

# Renormalization for multimodal maps

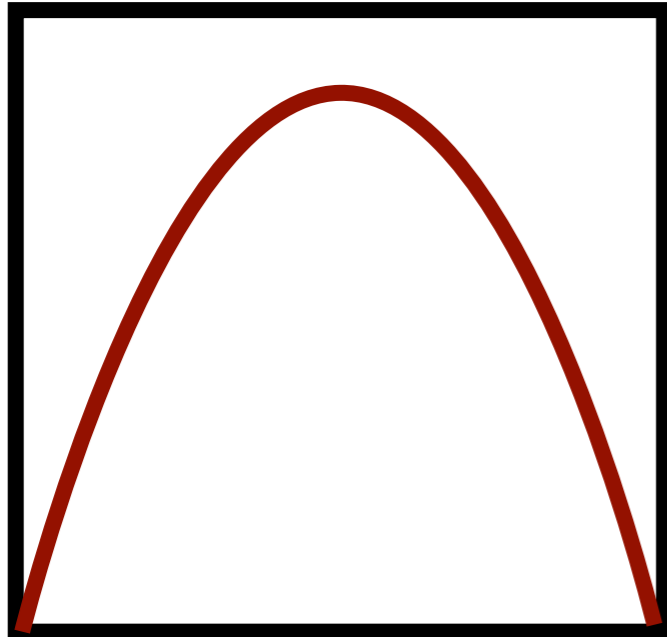
Daniel Smania  
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[www.icmc.usp.br/~smania](http://www.icmc.usp.br/~smania)

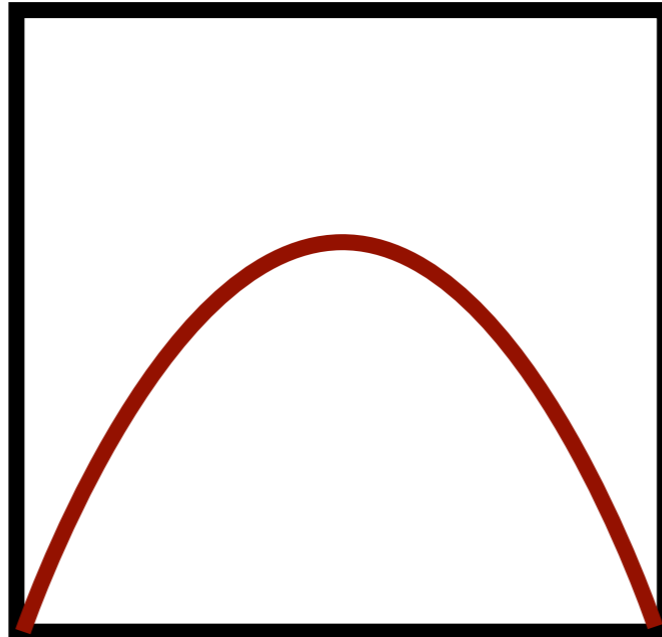
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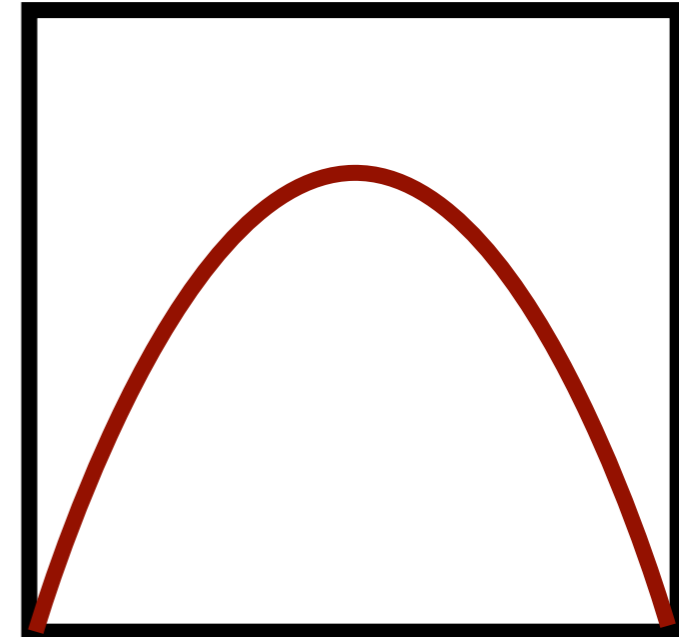
$f$



$f$



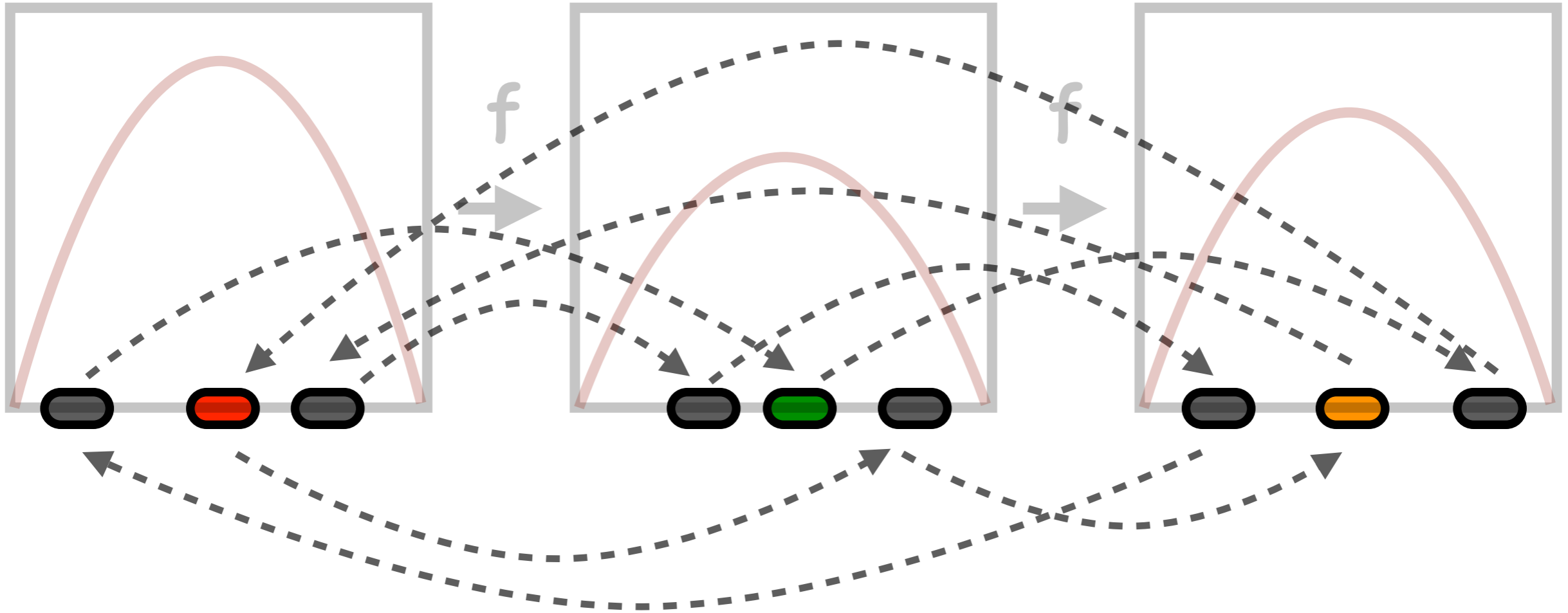
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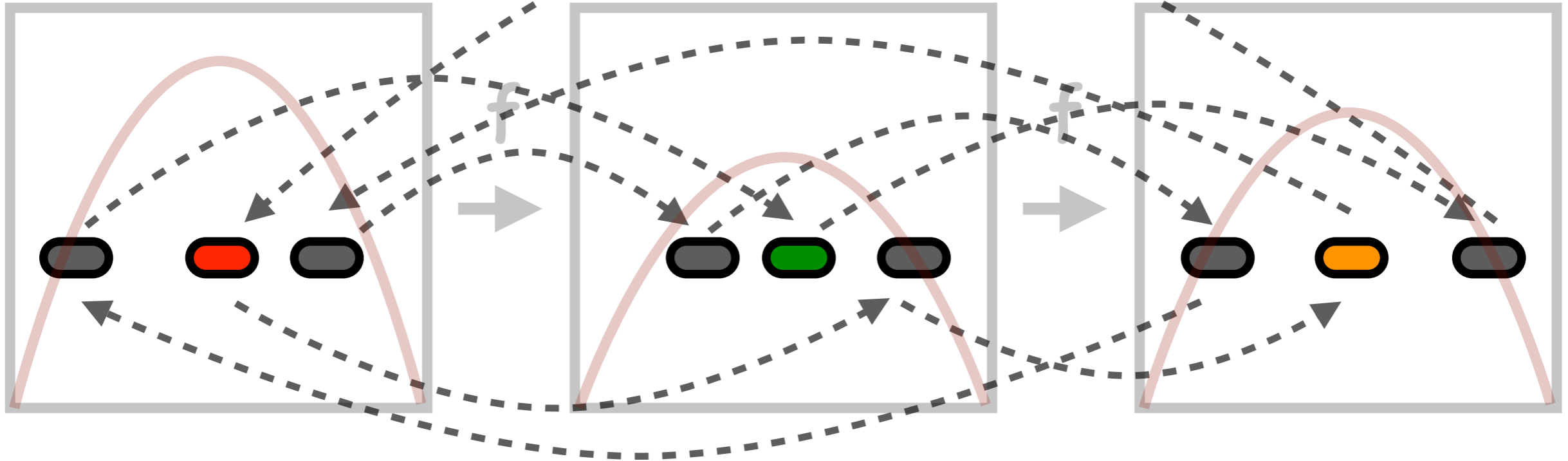
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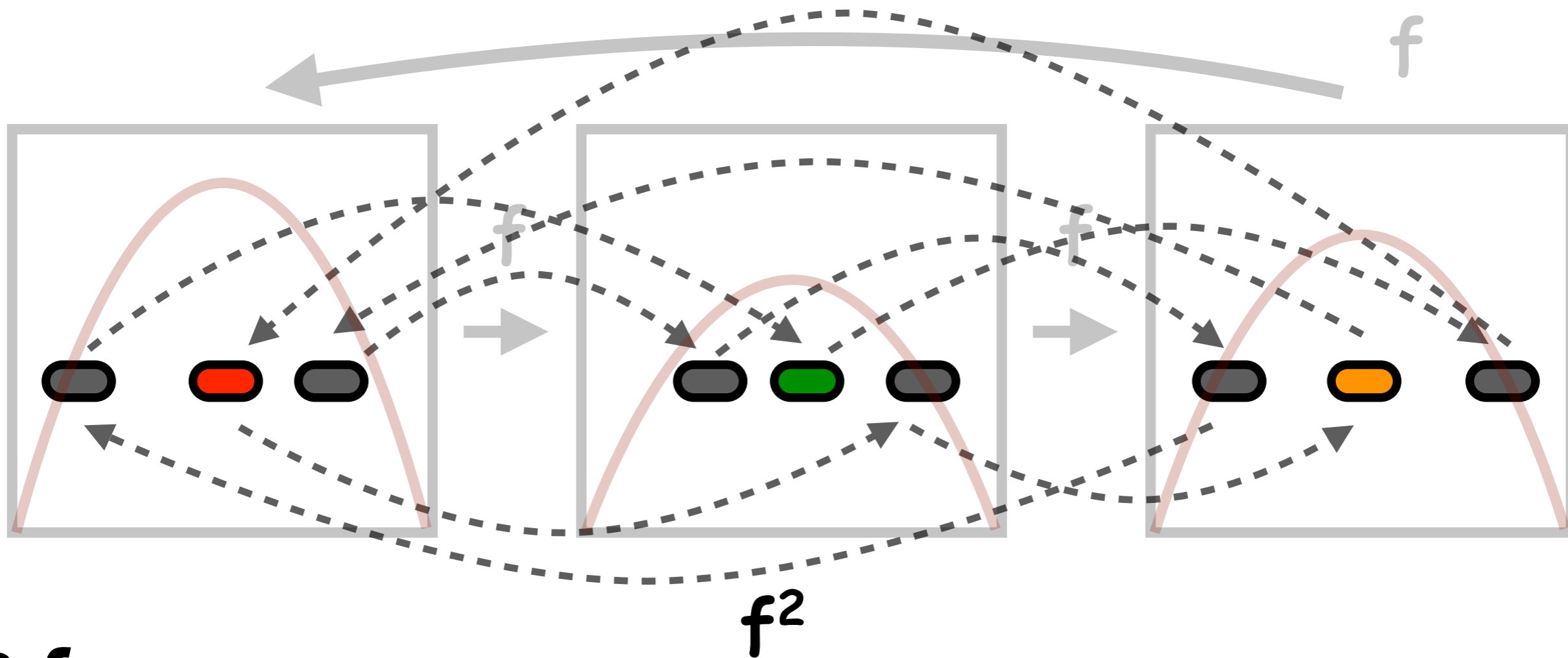
$f$

$f$



# Renormalization for multimodal maps

$f$



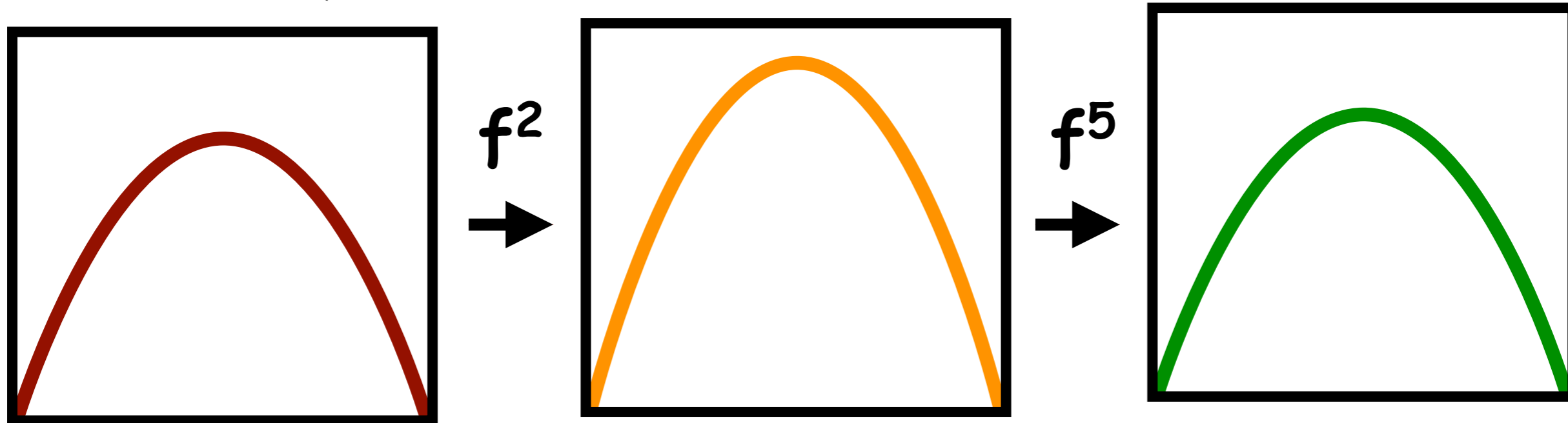
$f$

$f$

$f$

$f^2$

$Rf$



$f^2$

$f^5$

# Cycles of intervals

$J_0, \dots, J_{p-1}$  is a **cycle of intervals** for  $f$  if

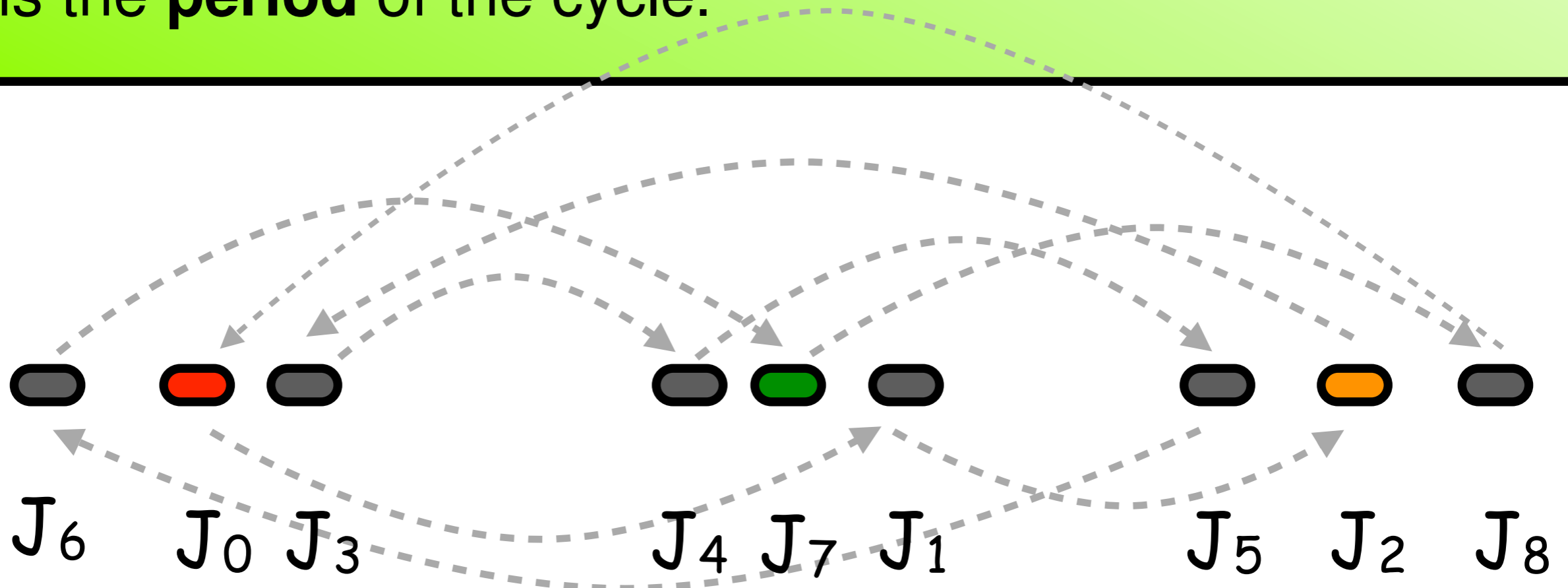
-Interiors of  $J_i$  are pairwise disjoint.

- $f(J_i) \subset J_{i+1} \pmod p$

- $f(\partial J_i) \subset \partial J_{i+1} \pmod p$

-All critical points of  $f$  belong to  $\cup_i J_i$ .

$p$  is the **period** of the cycle.



# Infinitely renormalizable maps

$f$  is **infinitely renormalizable** if there exists a sequence of cycles

$$J_0^n, \dots, J_{p_n}^n$$

with  $p_n < p_{n+1}$  and

$$\bigcup_i J_i^{n+1} \subset \bigcup_i J_i^n$$

$f$  has  **$B$ -bounded combinatorics** if moreover

$$\sup_n \frac{p_{n+1}}{p_n} \leq B$$

# Main Theorem

Let  $f_\lambda$  be a finite-dimensional smooth family of real analytic multimodal maps and let  $\Lambda_B$  be the subset of parameters  $\lambda$  such that  $f_\lambda$  is infinitely renormalizable with  $B$ -bounded combinatorics.

**For a generic finite-dimensional family  $f_t$  the set  $\Lambda_B$  has zero Lebesgue measure.**



# The meaning of generic

For a generic finite-dimensional family  $f_t$  the set  $\Lambda_B$  has zero Lebesgue measure.

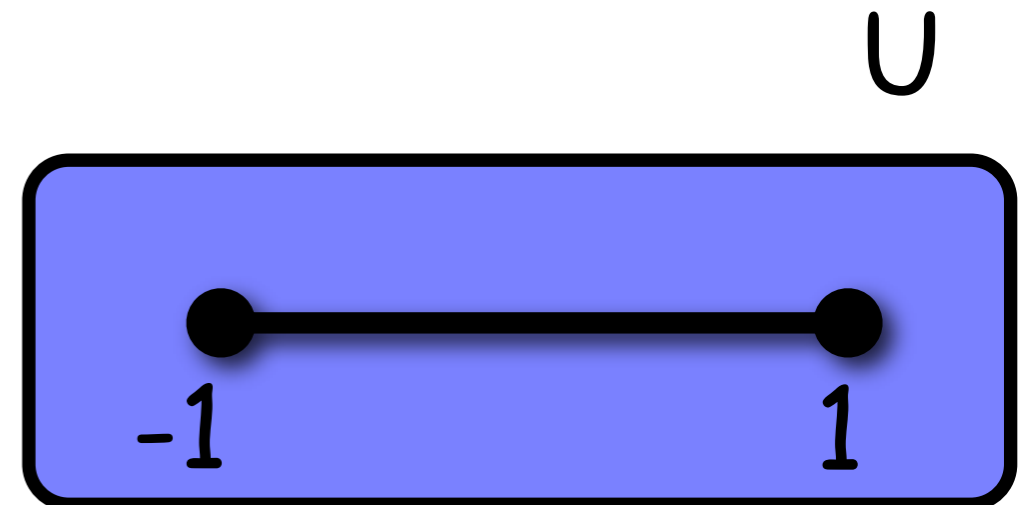
$f \in B_{\mathbb{R}}(U)$  iff

- $f$  is continuous in  $\bar{U}$ ,
- $f$  is complex analytic in  $U$  and
- $f(\bar{z}) = \overline{f(z)}$ .

We mean generic  $C^k$  families  $t \in [0, 1]^n \rightarrow B_{\mathbb{R}}(U)$ ,  $k > 1$ .

and also generic  $C^\omega$  families  $t \in \bar{\mathbb{D}}^n \rightarrow B_{\mathbb{C}}(U)$ ,

real in real parameters



# Facts on the renormalization operator

Unimodal (Douady&Hubbard, Sullivan, McMullen, Lyubich)  
and multimodal (Hu, S. (2001,2005), + stuff in progress)

**(Complex bounds)** If  $f$  is infinitely renormalizable then  $\{R^n f\}_n$  is precompact.

**(Universality)** The Omega-limit set  $\Omega$  of  $R$  is a compact set. The dynamics of  $R$  on  $\Omega$  is conjugate with a full shift with finitely many symbols.

There exists  $\lambda \in (0, 1)$  s.t. if  $f$  is infinitely renormalizable then there exists  $f_\star \in \Omega$  such that

$$|R^n f - R^n f_\star| \leq C_f \lambda^n.$$

# Steps of the proof

- 1 Complexification of  $R$  (**Complex bounds**).
- 2 The Omega limit set  $\Omega$  of  $R$  is hyperbolic.
- 3 If a family  $f_t$  is transversal to the stable lamination  $W^s(\Omega)$  then  $\Lambda$  has zero Lebesgue measure.  
  
(easy) adaptation of results by Bowen and Ruelle (1975) for the finite-dimensional case.
- 4 The result for generic families follows from step 3 using...**Fubini's Theorem!!**

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④ The result for generic families follows from step 3 using...**Fubini's Theorem!!**

3

If a family  $f_+$  is transversal to the stable lamination  $W^s(\Omega)$  then  $\Lambda$  has zero Lebesgue measure.

(Bowen and Ruelle) Let  $\Omega$  be a  $C^2$  hyperbolic set in a finite-dimensional manifold. Then  $m(W^s(\Omega)) = 0$  if and only if  $\Omega$  is not an attractor.

3

If a family  $f_t$  is transversal to the stable lamination  $W^s(\Omega)$  then  $\bigwedge$  has zero Lebesgue measure.

Suppose that  $\Omega$  lives in a infinite-dimensional Banach space **but** the unstable direction has finite dimension  $d$ .

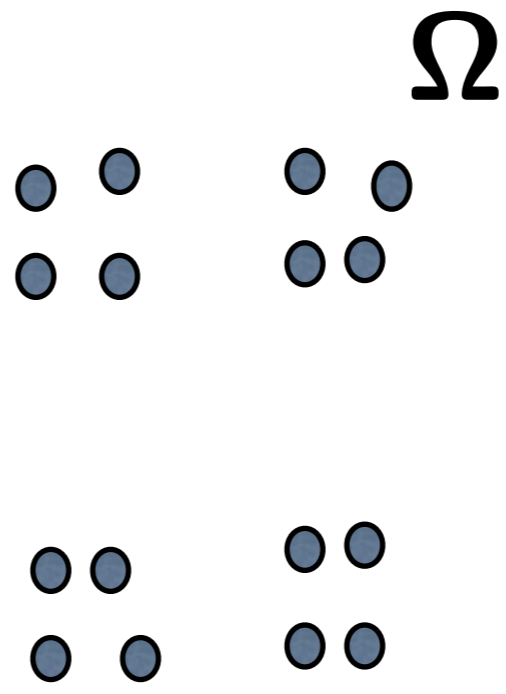
Let  $t \in U \subset \mathbb{R}^d \mapsto f_t$  be a  $C^2$  smooth family such that  $f_t \pitchfork W^s(\Omega)$ . Then  $m(\{t \in U: f_t \in W^s(\Omega)\}) = 0$ .

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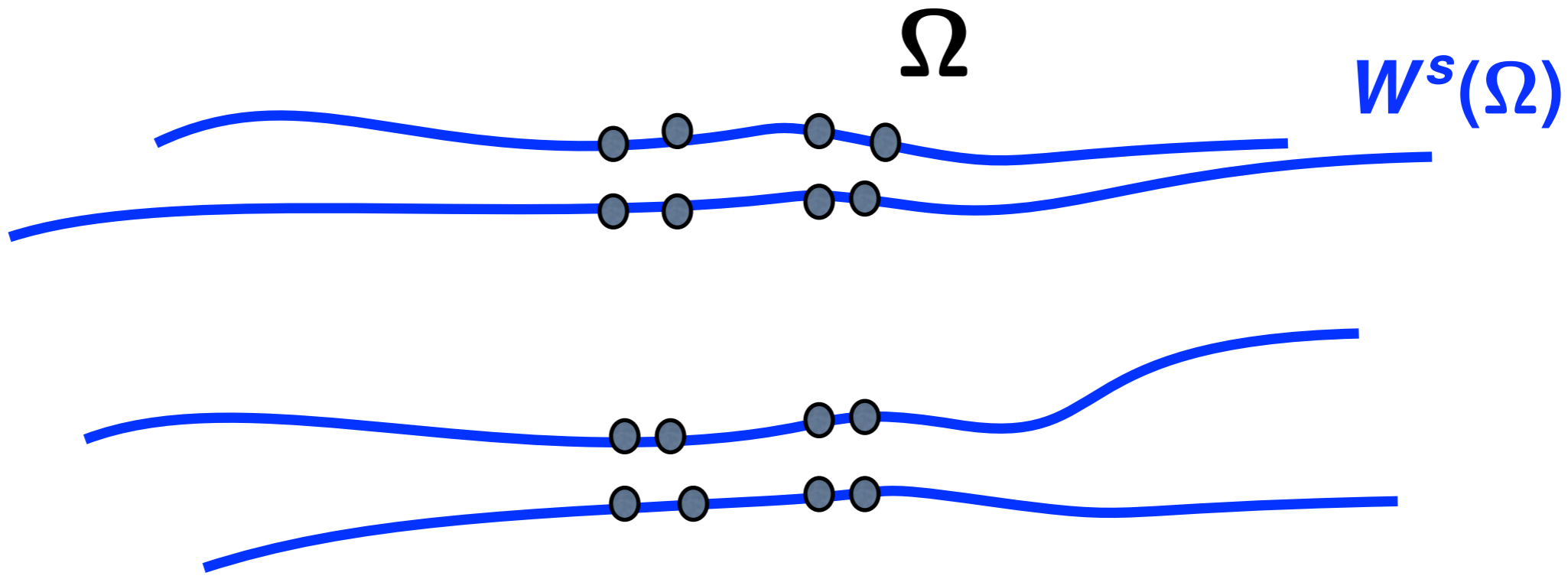


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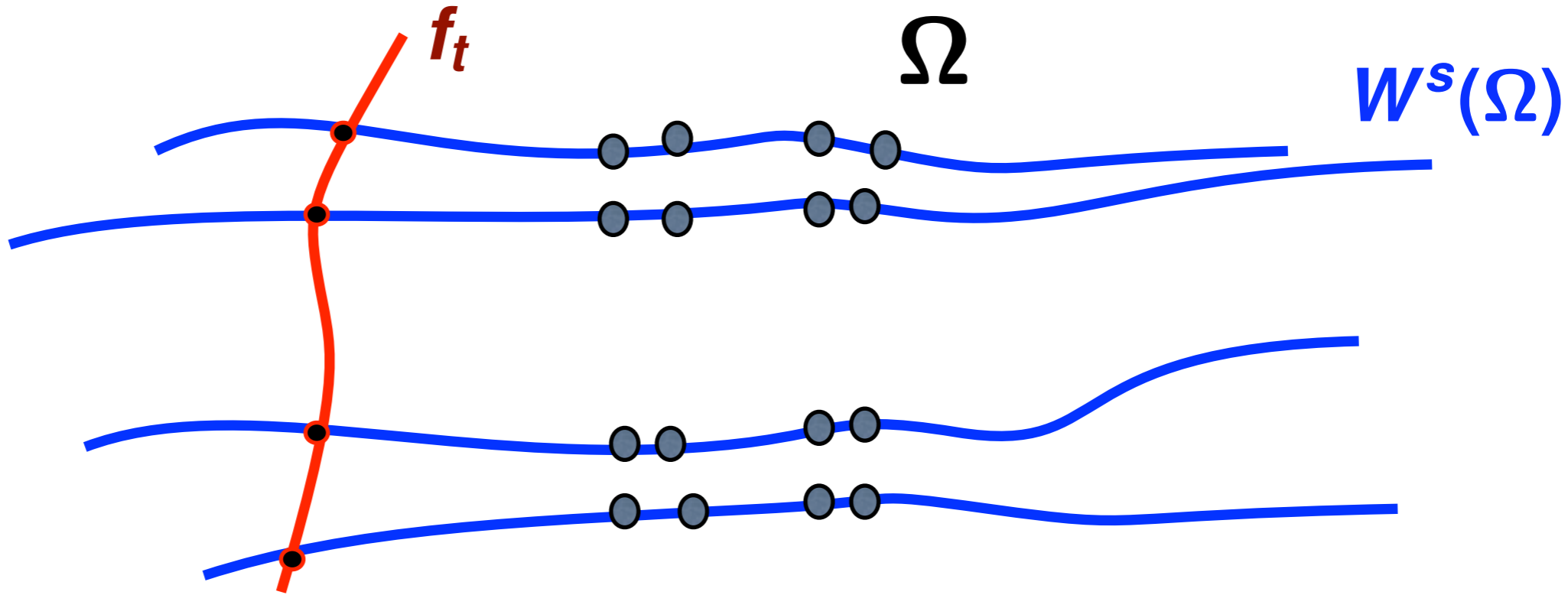


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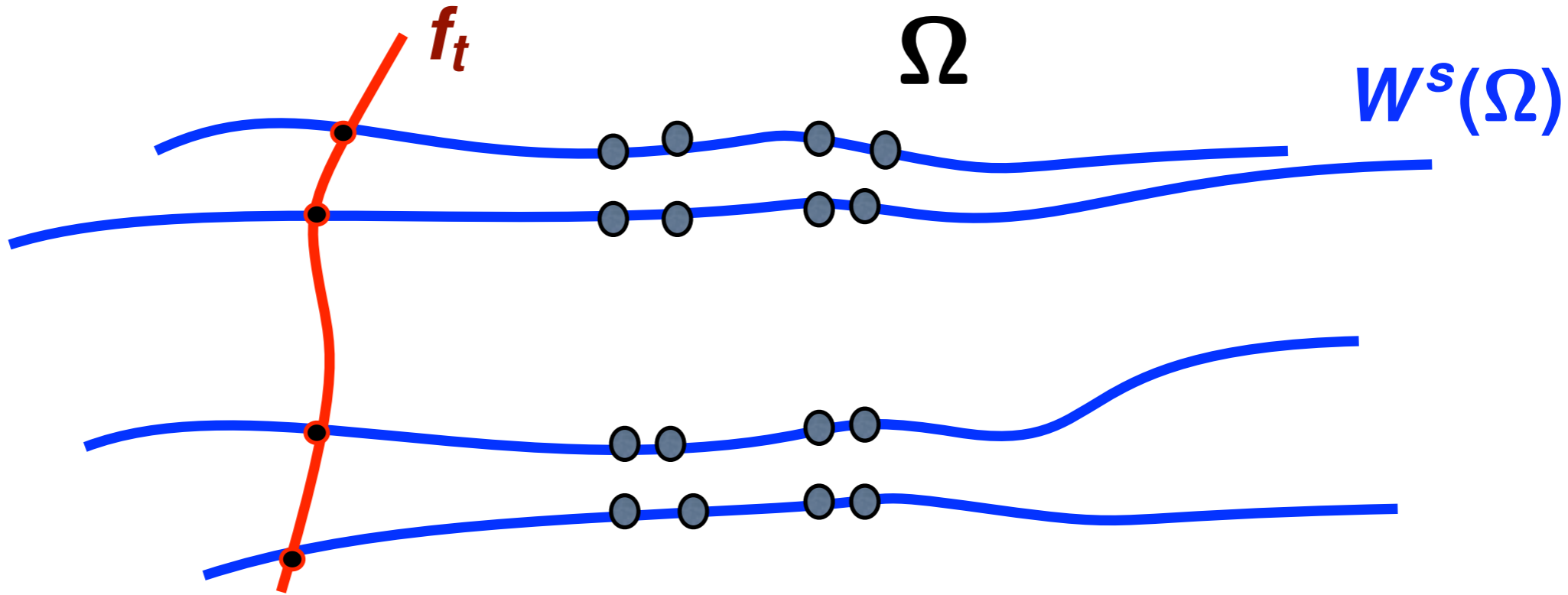


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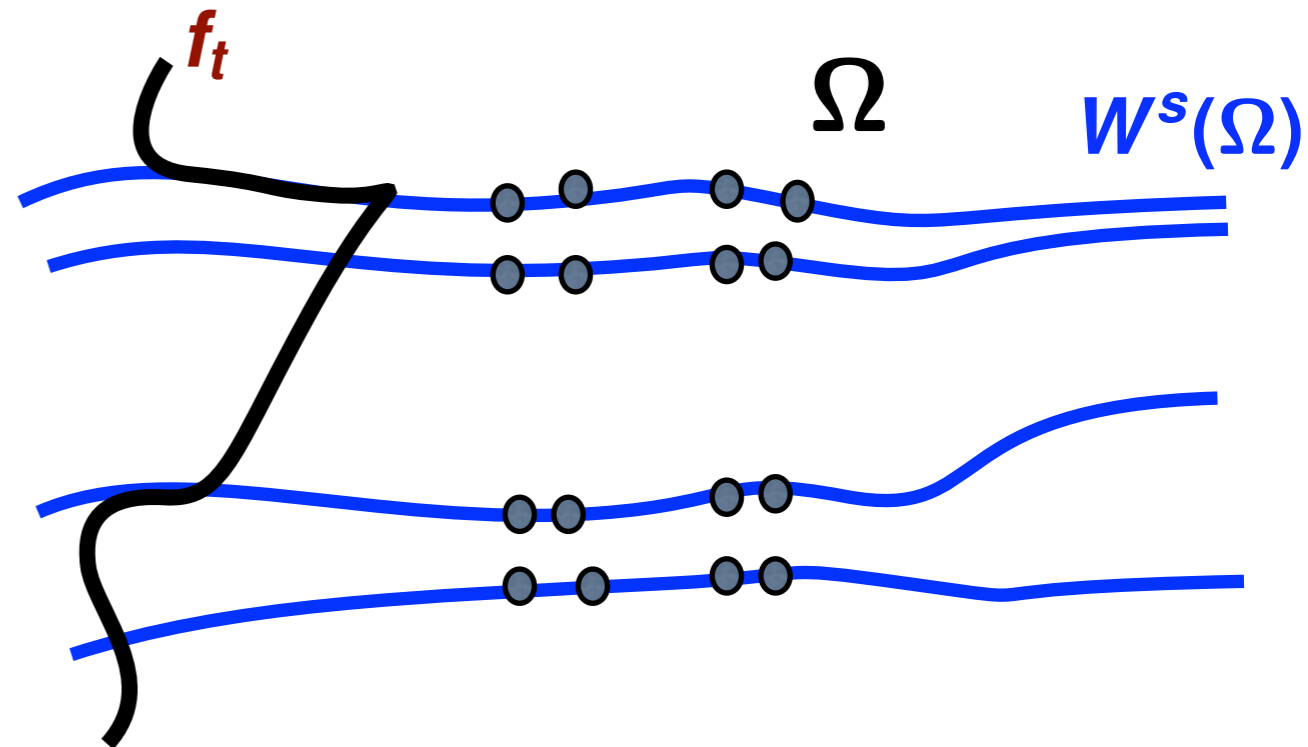


Ergodic Methods!! So my talk fits in the conference:-) !!

4

The result for generic families follows from step 3 using...**Fubini's Theorem!!**

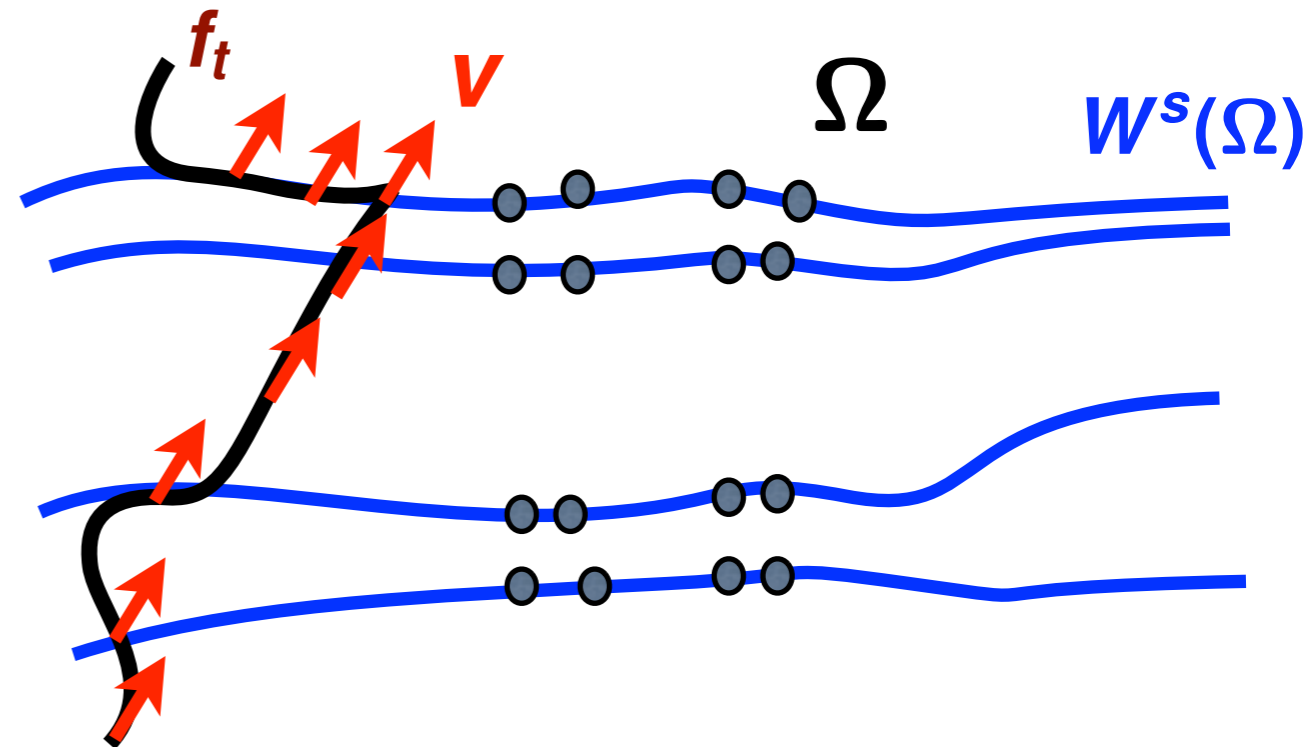
$U$



4

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$U$

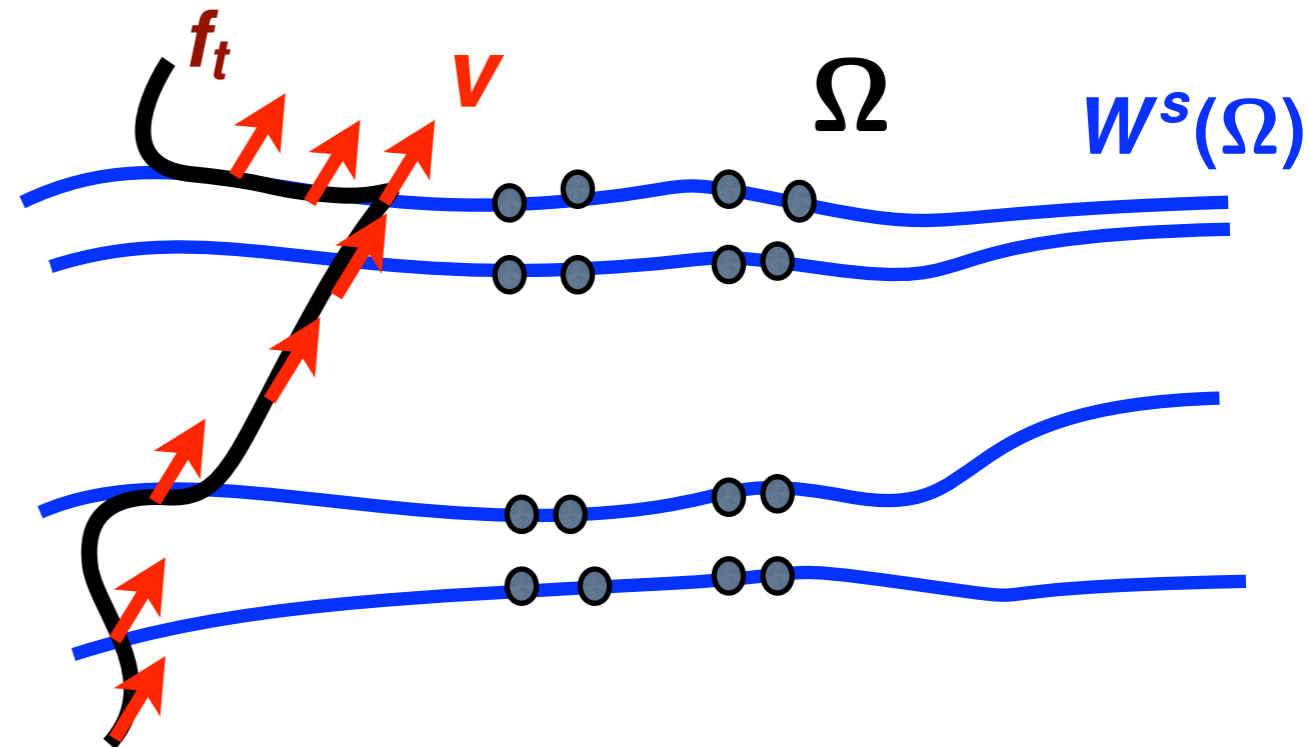


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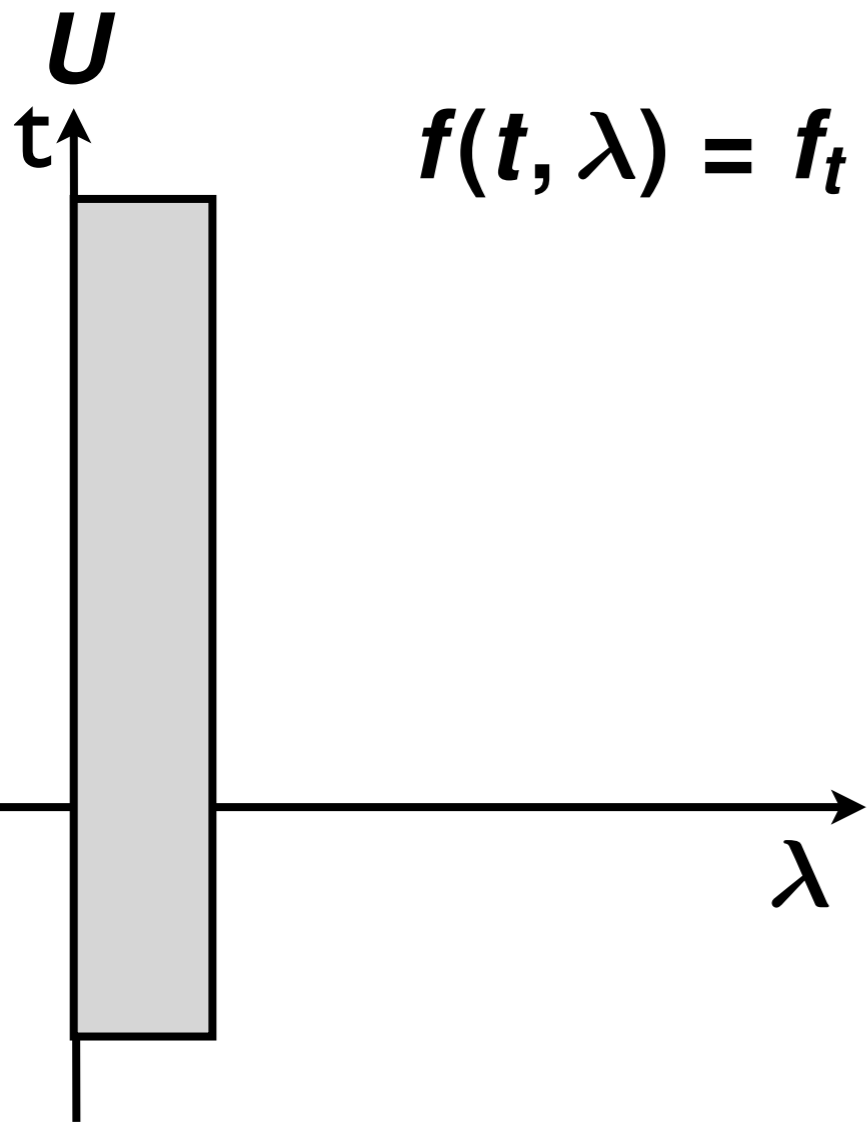
$U$

$$f(t, \lambda) = f_t + \lambda v$$

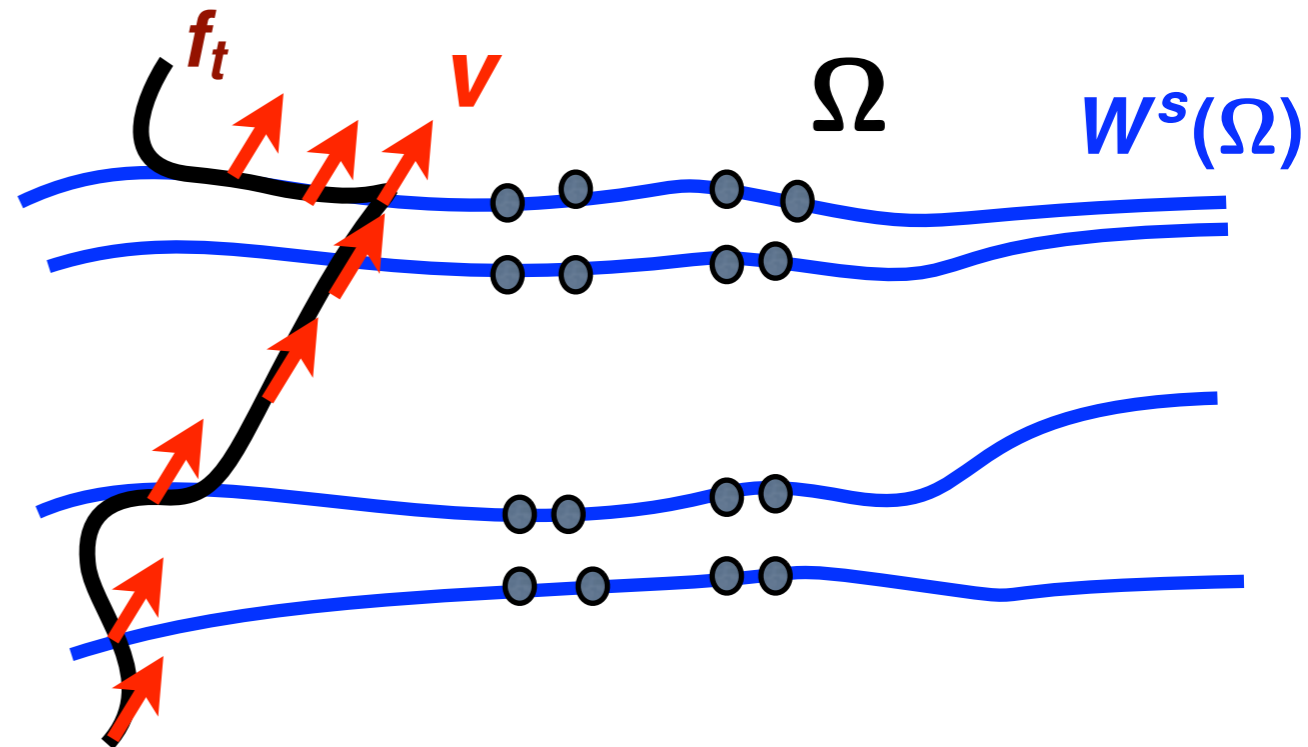


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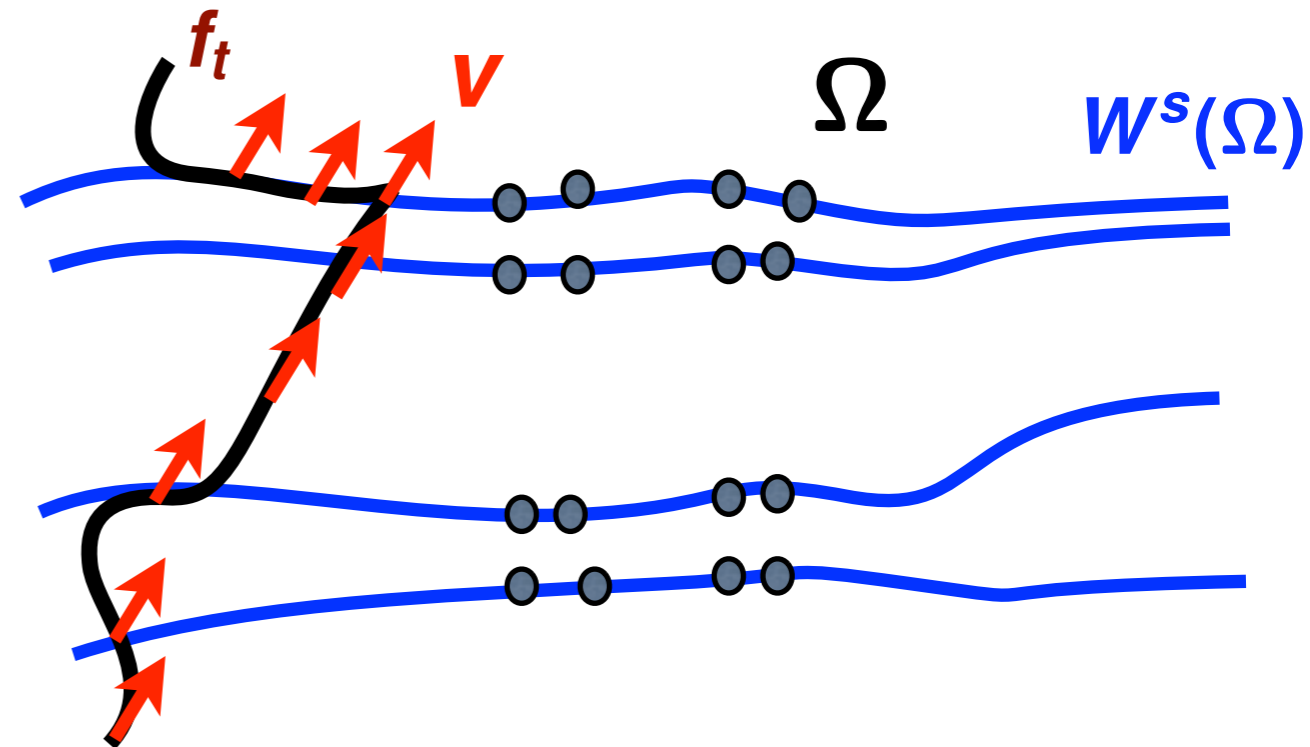
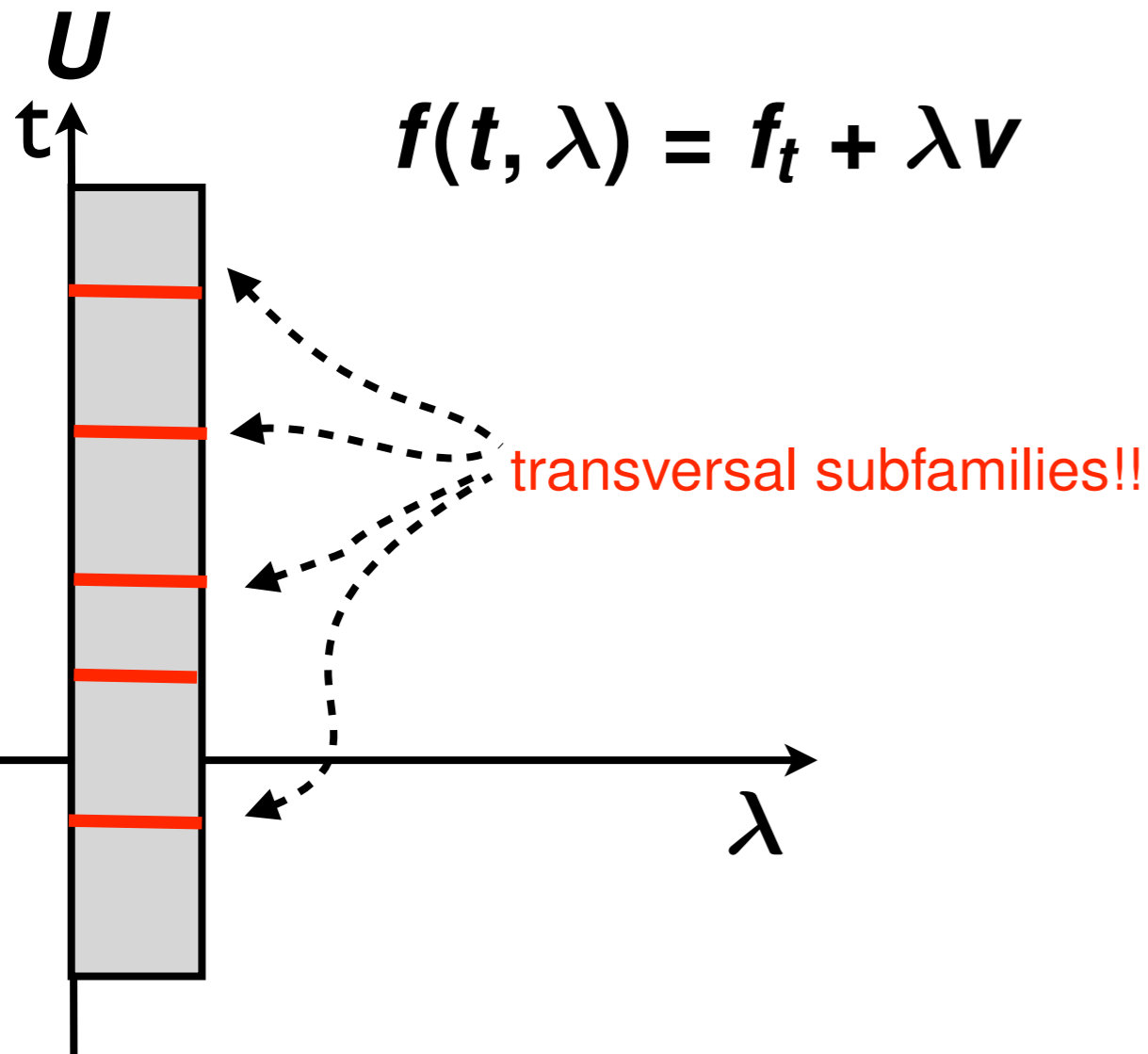


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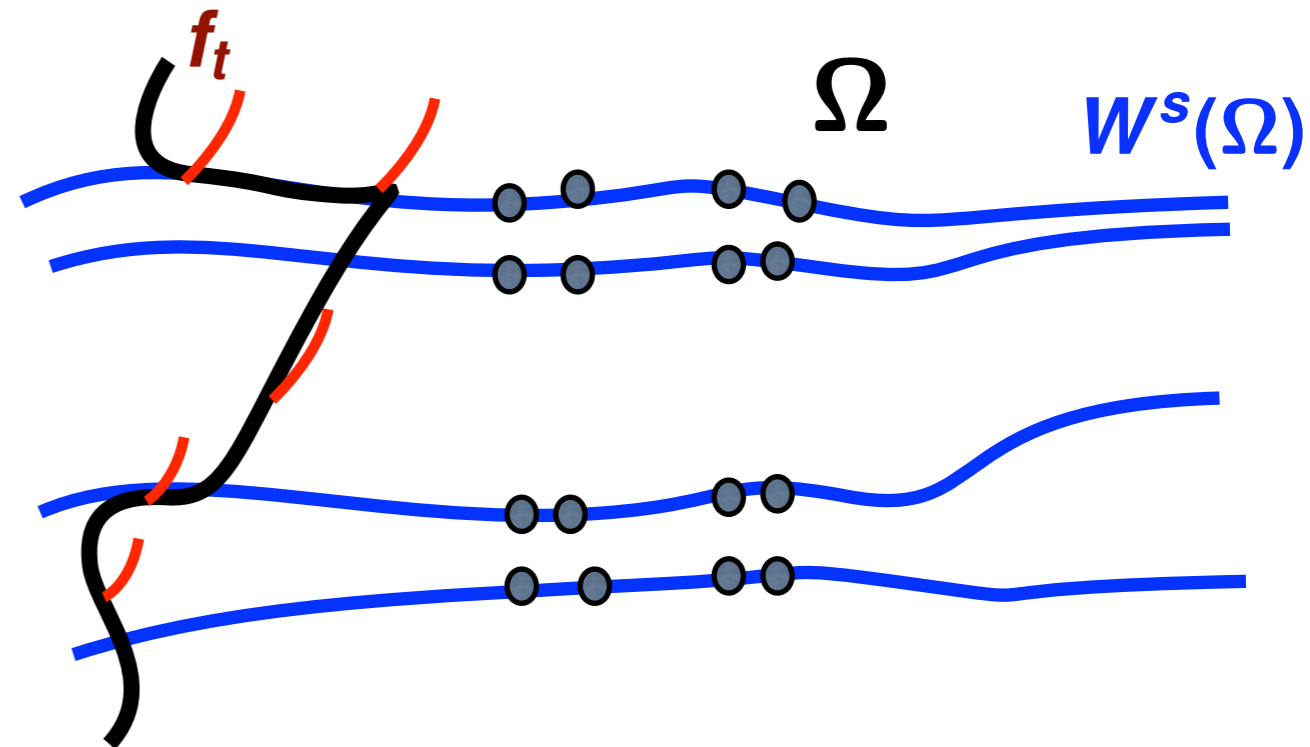
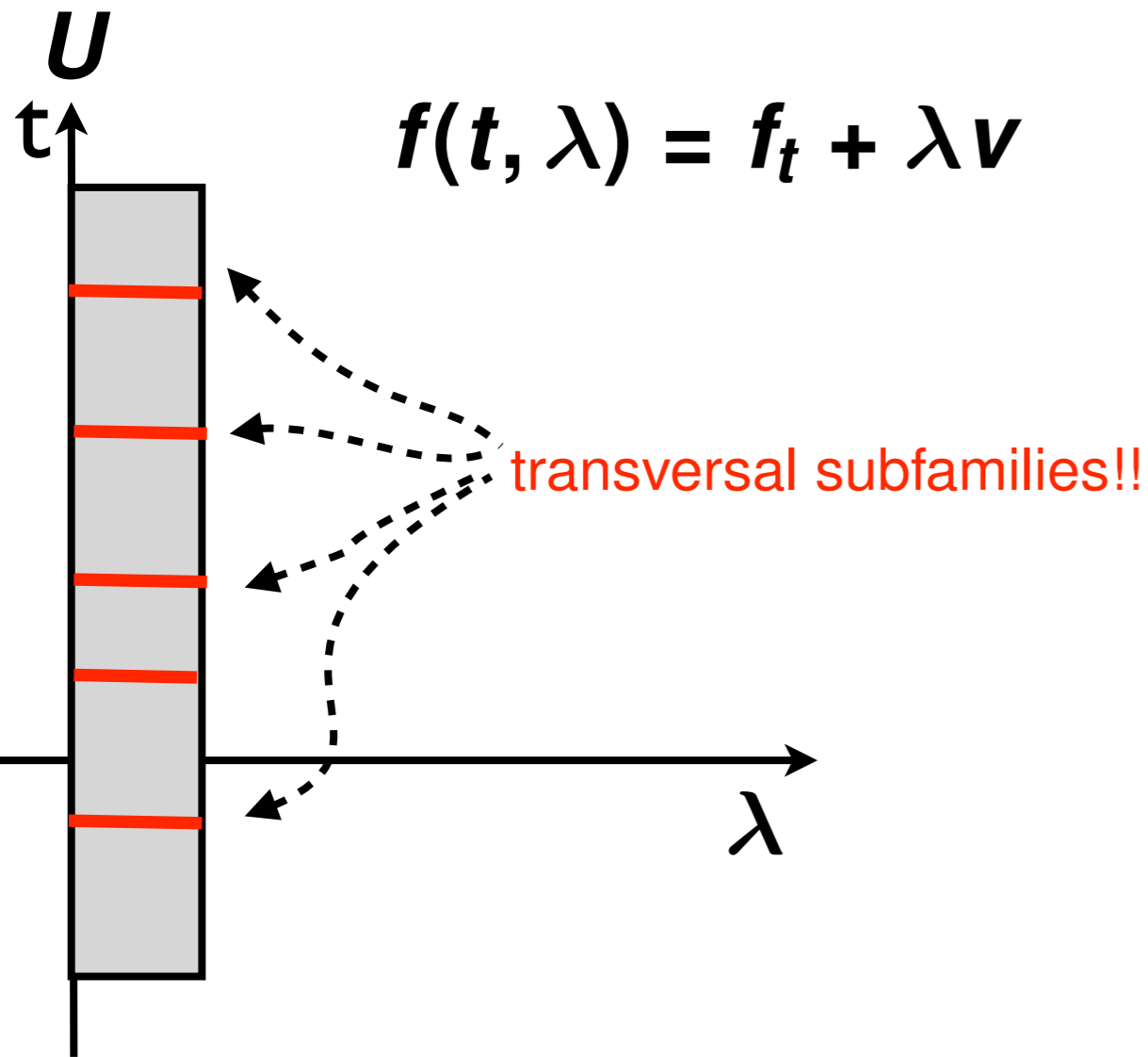
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4

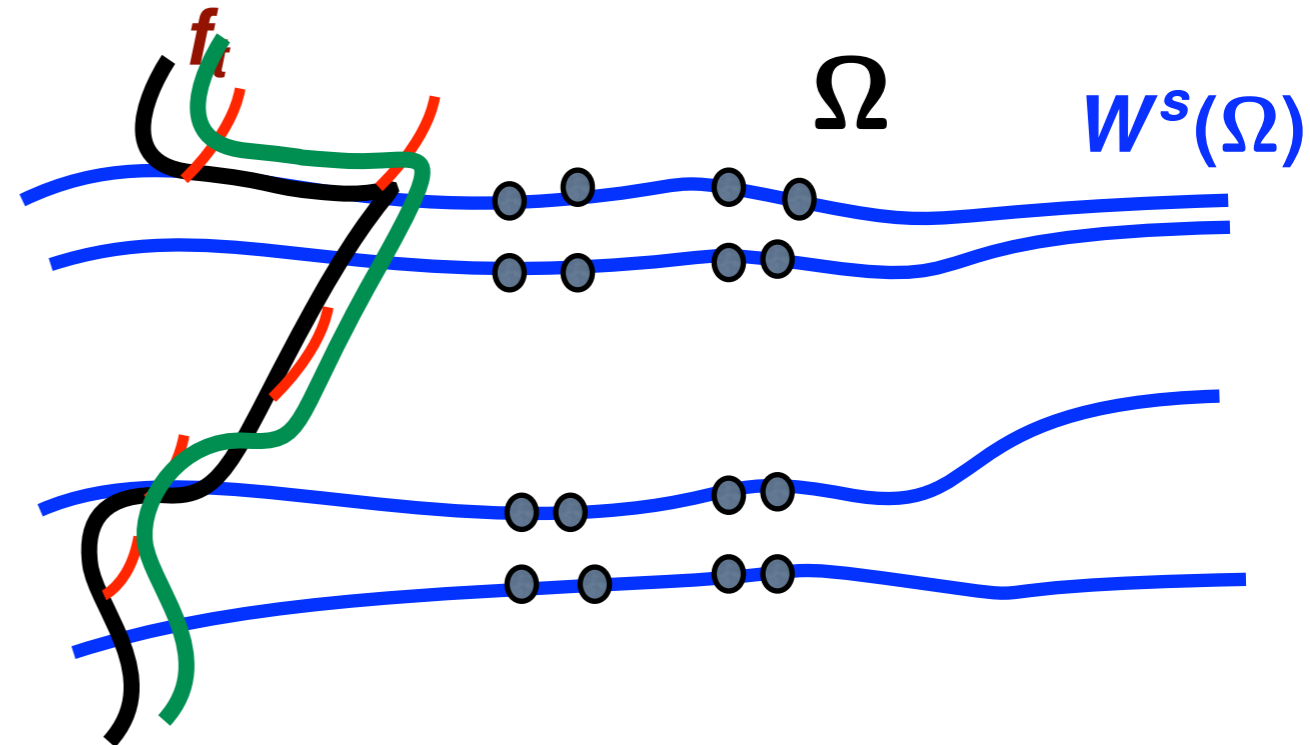
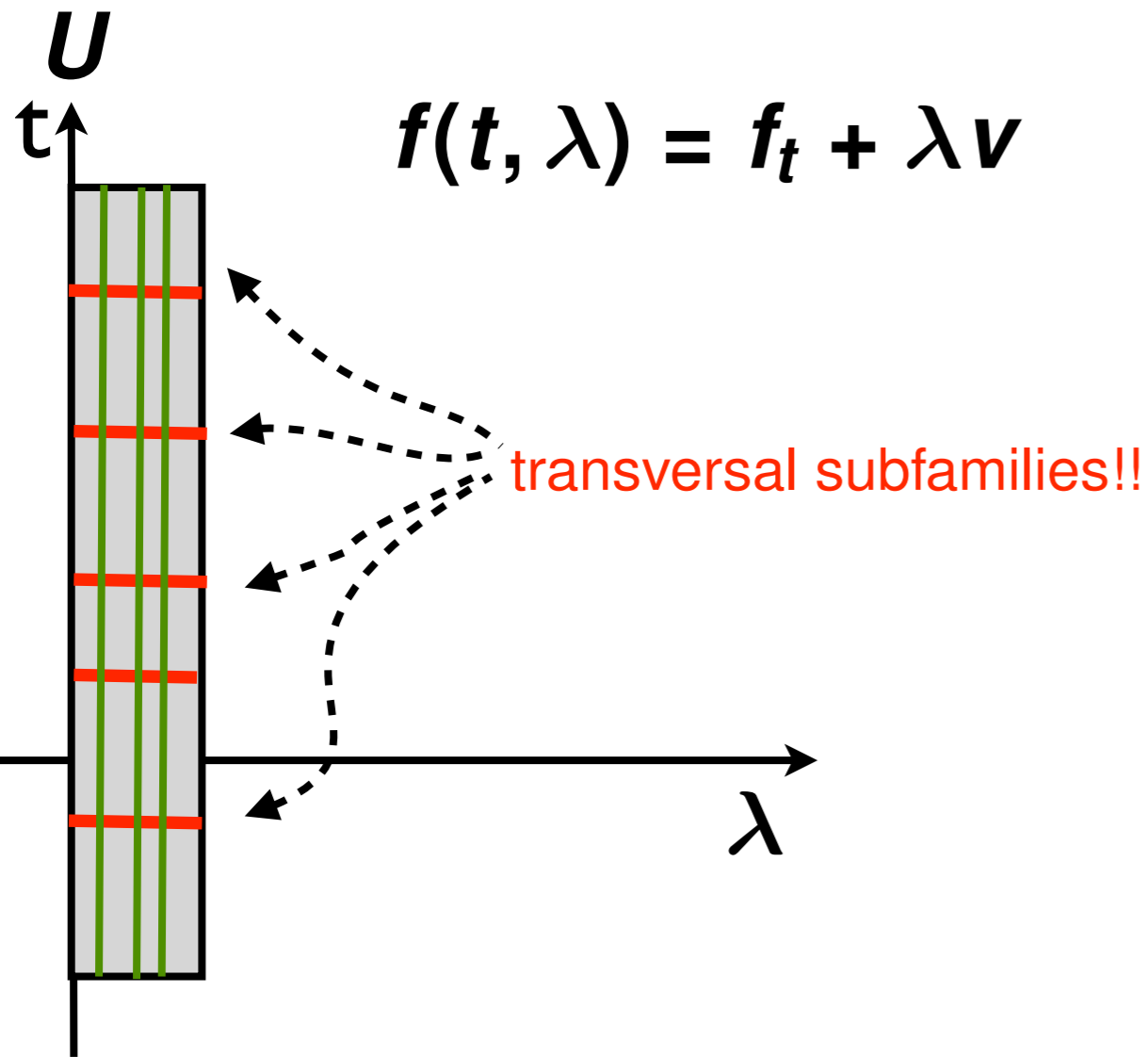
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4

The result for generic families follows from step 3 using...Fubini's Theorem!!



# Quasiconformal vector fields

The vector field  $\alpha : \mathbb{C} \rightarrow \mathbb{C}$  is **quasiconformal** if it has distributional derivatives in  $L^2_{loc}$  and

$$|\bar{\partial}\alpha|_\infty < \infty$$

$$\alpha(x + iy) = u(x, y) + i \cdot v(x, y)$$

$$\bar{\partial}\alpha = \frac{u_x - v_y}{2} + i \cdot \frac{v_x + u_y}{2}$$

# Horizontal directions

(Lyubich, 1999)

$f: U \rightarrow V$  polynomial-like map.  $v: U \rightarrow V$  is **horizontal** if there exists a quasiconformal vector field  $\alpha$ , defined in a neighborhood of  $K(f)$  such that

$$v(x) = \alpha \circ f(x) - Df(x) \cdot \alpha(x)$$

Moreover  $\bar{\partial}\alpha = 0$  on the filled-in Julia set  $K(f)$ .

$$E_f^h := \{v : v \text{ is horizontal for } f\}$$

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automatic in our setting (no invariant line fields on  $J(f)$ ), so don't pay too much attention to this...

$$E_f^h := \{v : v \text{ is horizontal for } f\}$$

# Facts on horizontal directions

Unimodal(Lyubich, 1999) and multimodal(S., in progress)

**(Continuity)** The codimension of  $E_f^h$  is finite and it depends only on the number of unimodal components. Moreover  $f \rightarrow E_f^h$  is continuous.

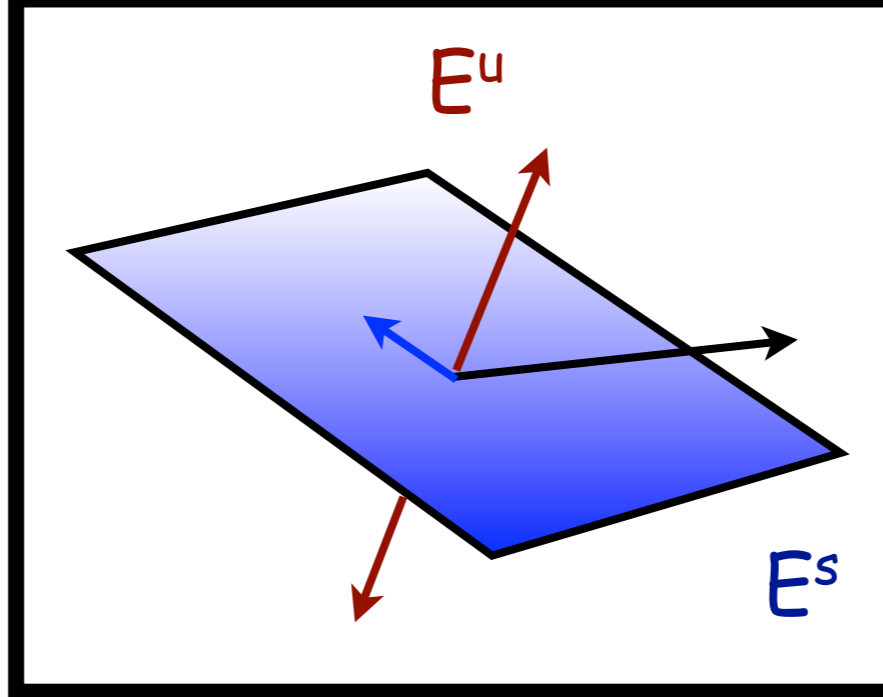
**(Invariant vector bundle)** if  $v \in E^h$  then  $DR_f \cdot v \in E_{\mathcal{R}f}^h$ .

**(Contraction)**  $|DR_f^n \cdot v| \leq C\lambda^n, \lambda < 1$ .

# Detecting hyperbolicity

Autonomous case

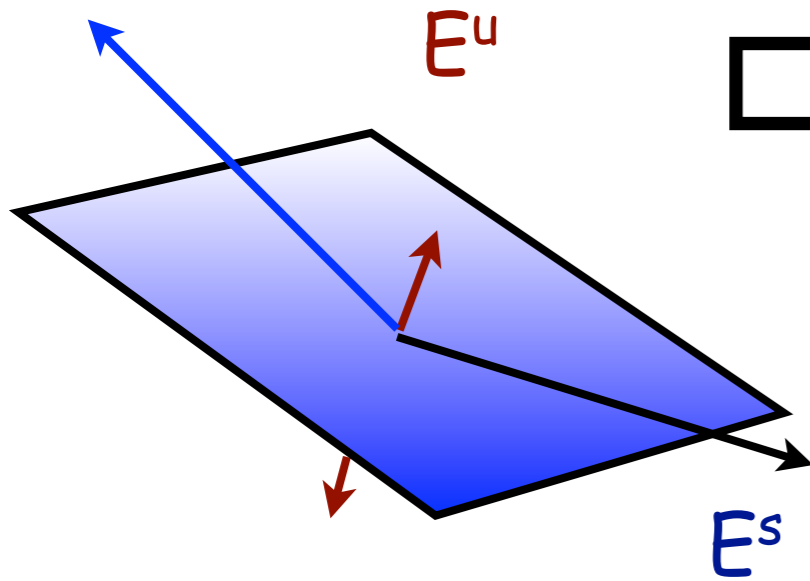
T is a hyperbolic linear map



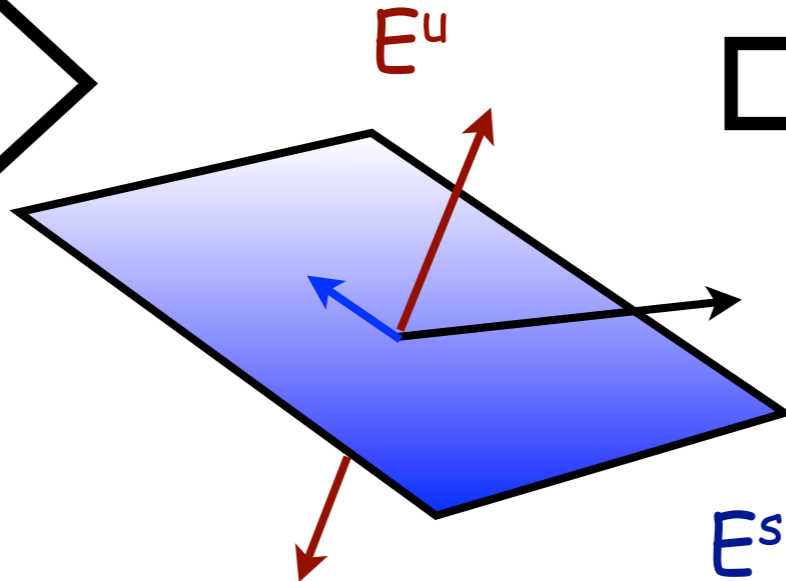
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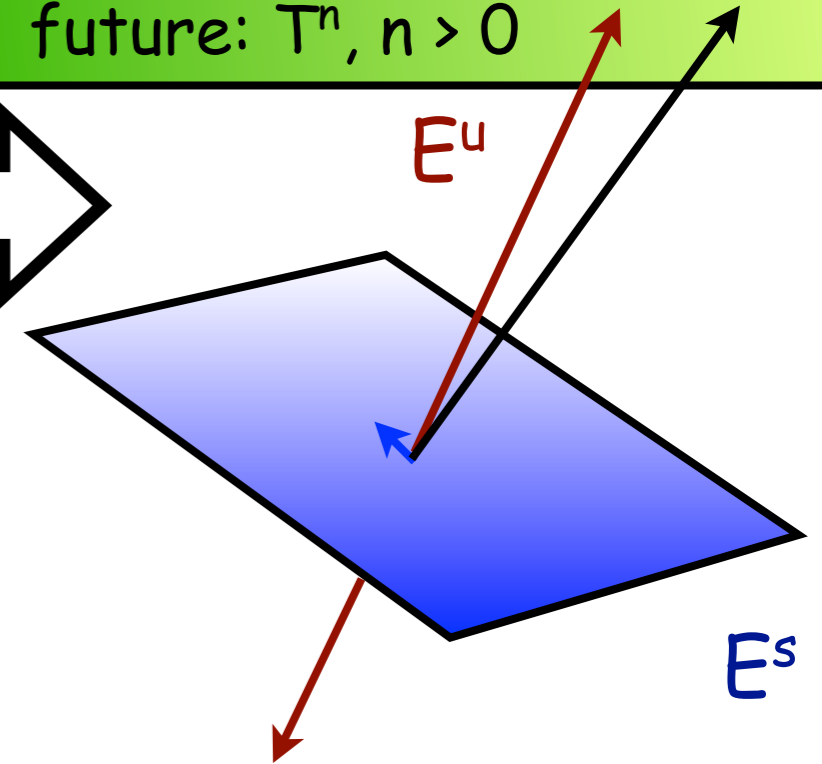
past:  $T^n, n < 0$



$T$  is a hyperbolic linear map



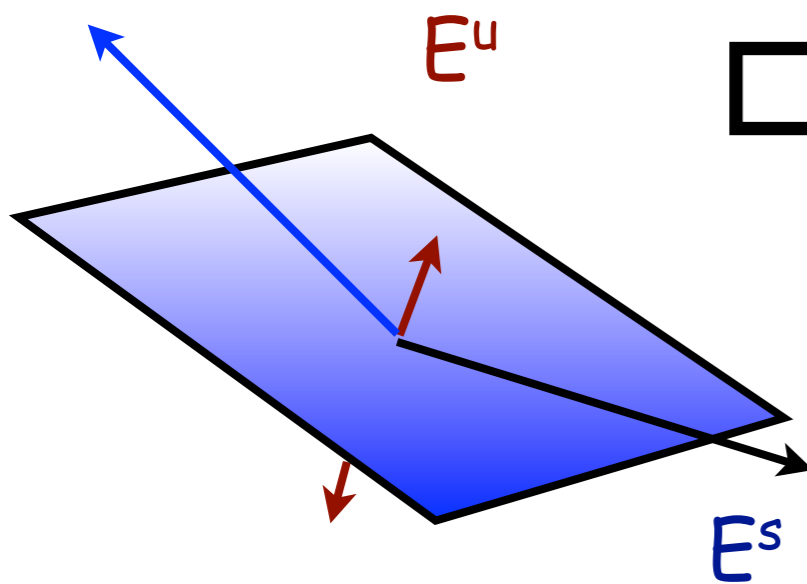
future:  $T^n, n > 0$



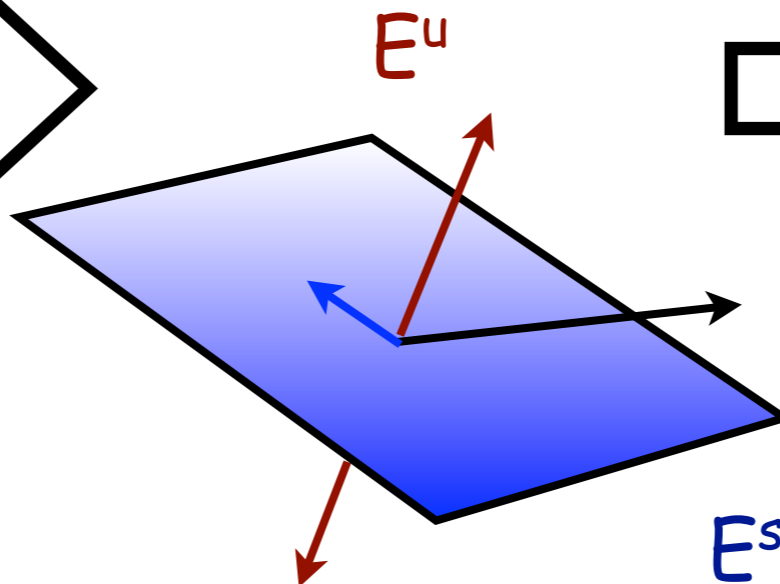
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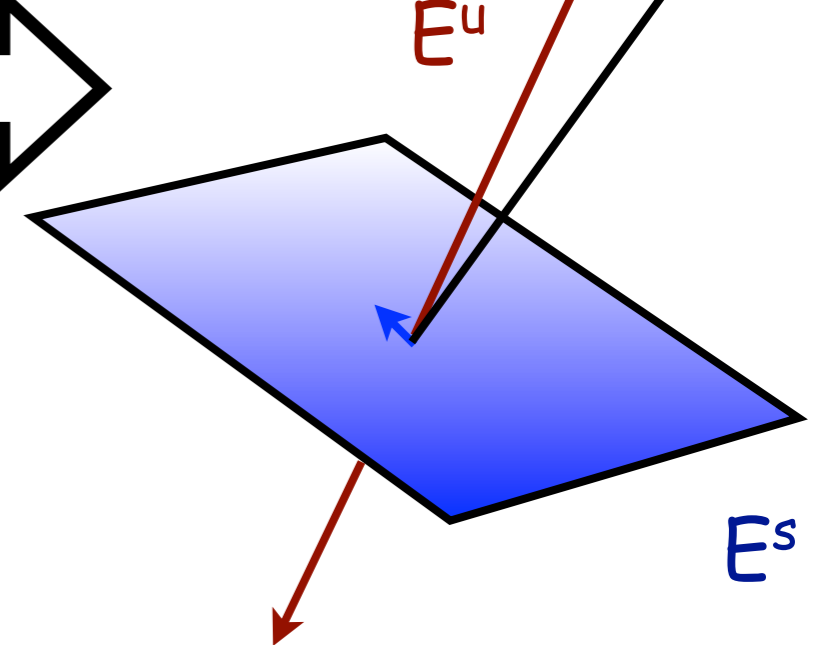
past:  $T^n, n < 0$



$T$  is a hyperbolic linear map



future:  $T^n, n > 0$



$T$  is hyperbolic if and only if

$$B = \{v \in \mathbb{R}^n : \sup_{i \in \mathbb{Z}} |T^i v| < \infty\} = \{0\}$$



# Detecting hyperbolicity

Non-autonomous case (Sacker & Sell, 1974)

$X$  compact metric space.

$f: X \rightarrow X$  homeomorphism such that the minimal sets are dense in  $X$ .

$A: X \rightarrow GL(n, \mathbb{R})$  continuous.

Let  $T: X \times \mathbb{R}^n \rightarrow X \times \mathbb{R}^n$  be the linear cocycle defined by

$$T(x, v) = (f(x), A(x) \cdot v)$$

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Define

$$B = \{(x, v) \text{ s.t. } \sup_{i \in \mathbb{Z}} |\pi_2(T^i(x, v))| < \infty\}$$

# Detecting hyperbolicity

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$T$  is a hyperbolic cocycle if and only if  $B = X \times \{0\}$ .

PS: Same result for vector bundles with same assumption on the base  $X$

# Back to renormalization

Considering the finite-dimensional vector bundle defined by

$$f \in \Omega \rightarrow \mathbb{B} / E_f^h$$

and the cocycle

$$\tilde{D}_f[v] = [D\mathcal{R}_f \cdot v]$$

and using Sacker & Sell Theorem we can get:

If

$$B_f^+ = \{(f, v) \in \Omega \times \mathbb{B} \text{ s.t. } \sup_{i \geq 0} |D\mathcal{R}_f^i \cdot v| < \infty\} \subset E_f^h$$

for every  $f \in \Omega$  then the renormalization operator is hyperbolic on  $\Omega$  with  $E_f^s = E_f^h$ .

# Key Lemma

If  $f \in \Omega$  and

$$|D\mathcal{R}_f^i \cdot v| \leq C$$

for every  $i \geq 0$  then there exists a quasiconformal vector field  $\alpha$  defined in a neighborhood of  $K(f) = J(f)$  such that

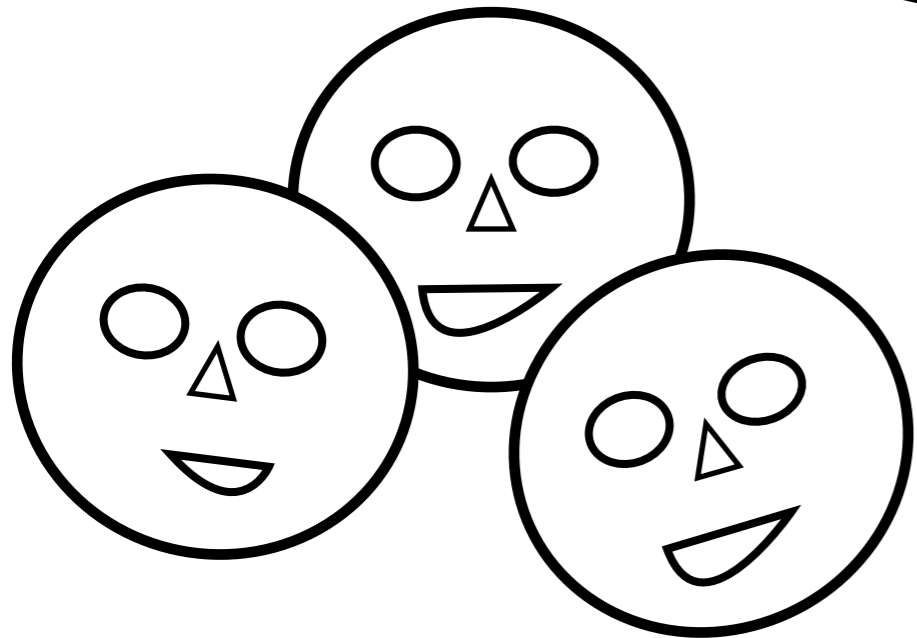
$$v(x) = \alpha \circ f(x) - Df(x) \cdot \alpha(x).$$

# Infinitesimal pullback argument

(Avila, Lyubich and de Melo, 2003)

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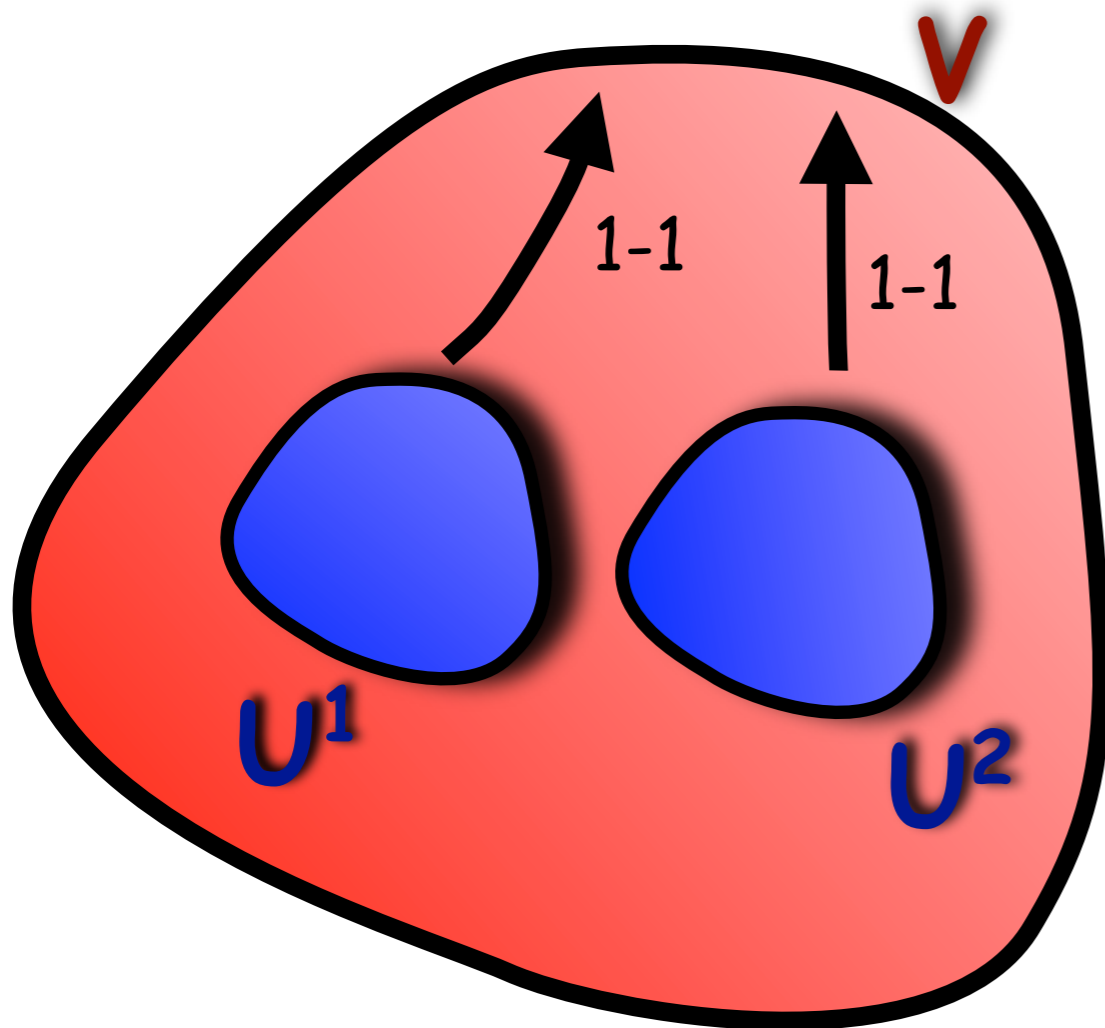
To find a quasiconformal vector field solution to the t.c.e. we just need to find a quasiconformal vector field which is the solution on the boundary of the domain and the postcritical set.



# Easy case: Conformal iterated function systems (no critical points)

$$f : U^1 \cup U^2 \rightarrow V$$

$$f : U^i \rightarrow V \text{ conformal and onto, } i = 1, 2.$$



**Problem:** Given

$$v : U^1 \cup U^2 \rightarrow \mathbb{C},$$

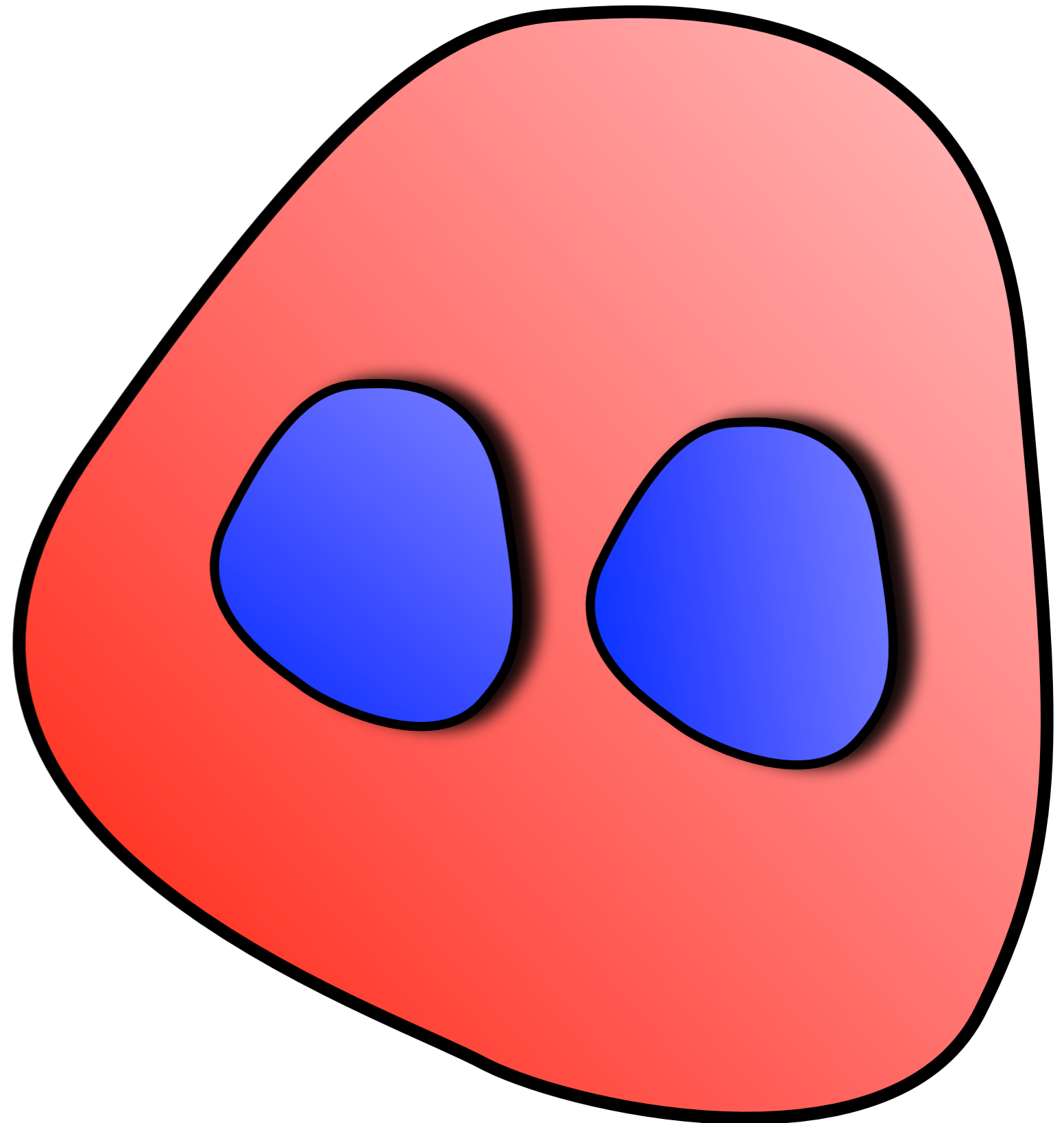
find a quasiconformal vector field

$$\alpha : V \rightarrow \mathbb{C}$$

such that

$$v(x) = \alpha(f(x)) - Df(x) \cdot \alpha(x)$$

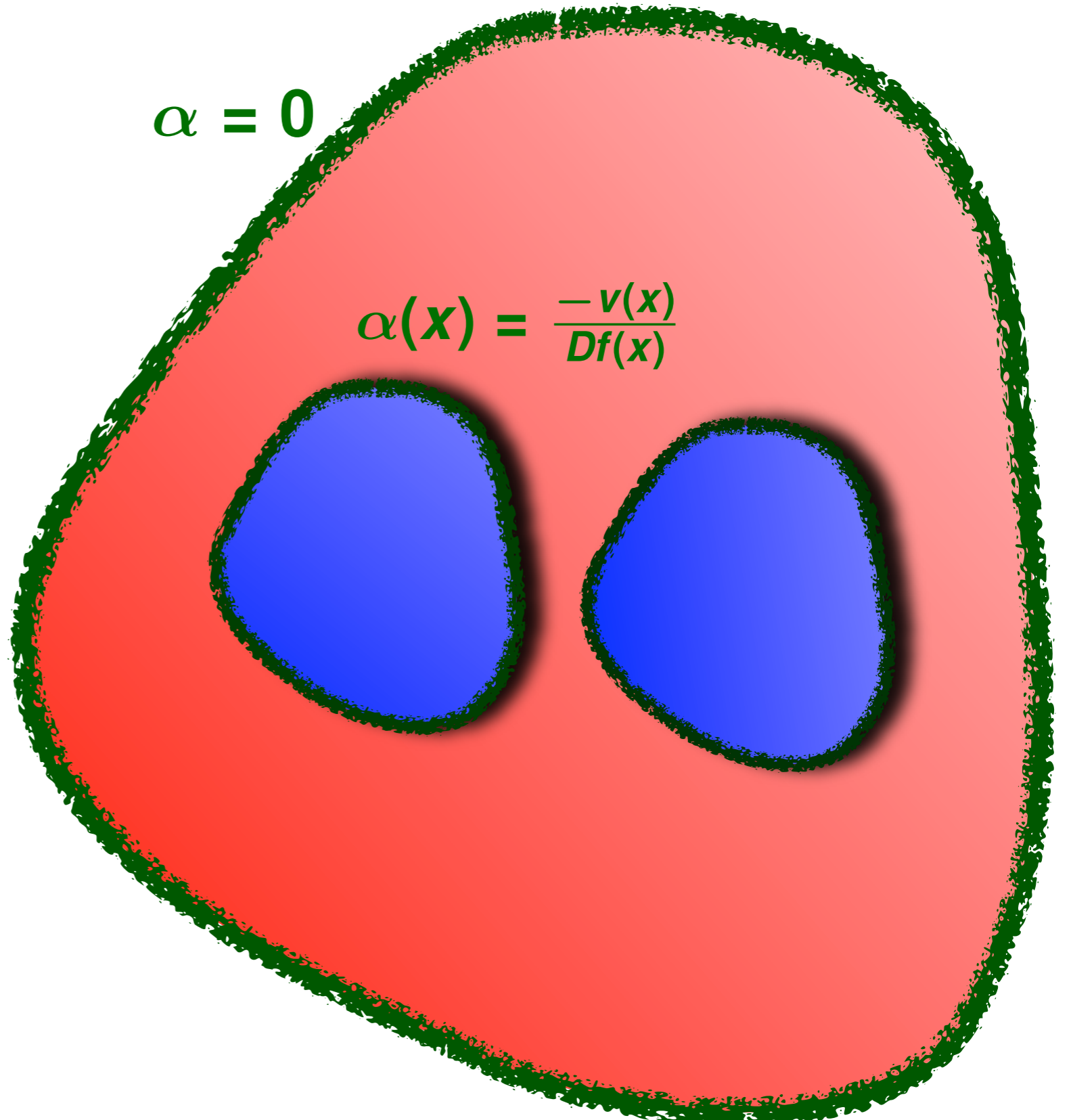
Easy case of Infinitesimal pullback argument :  
Conformal iterated function systems



# Easy case of Infinitesimal pullback argument : Conformal iterated function systems

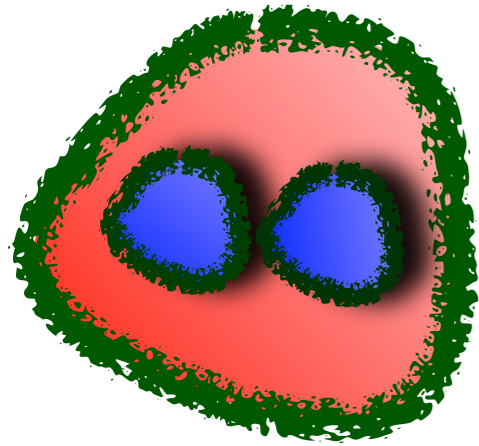
$$\alpha = 0$$

$$\alpha(x) = \frac{-v(x)}{Df(x)}$$

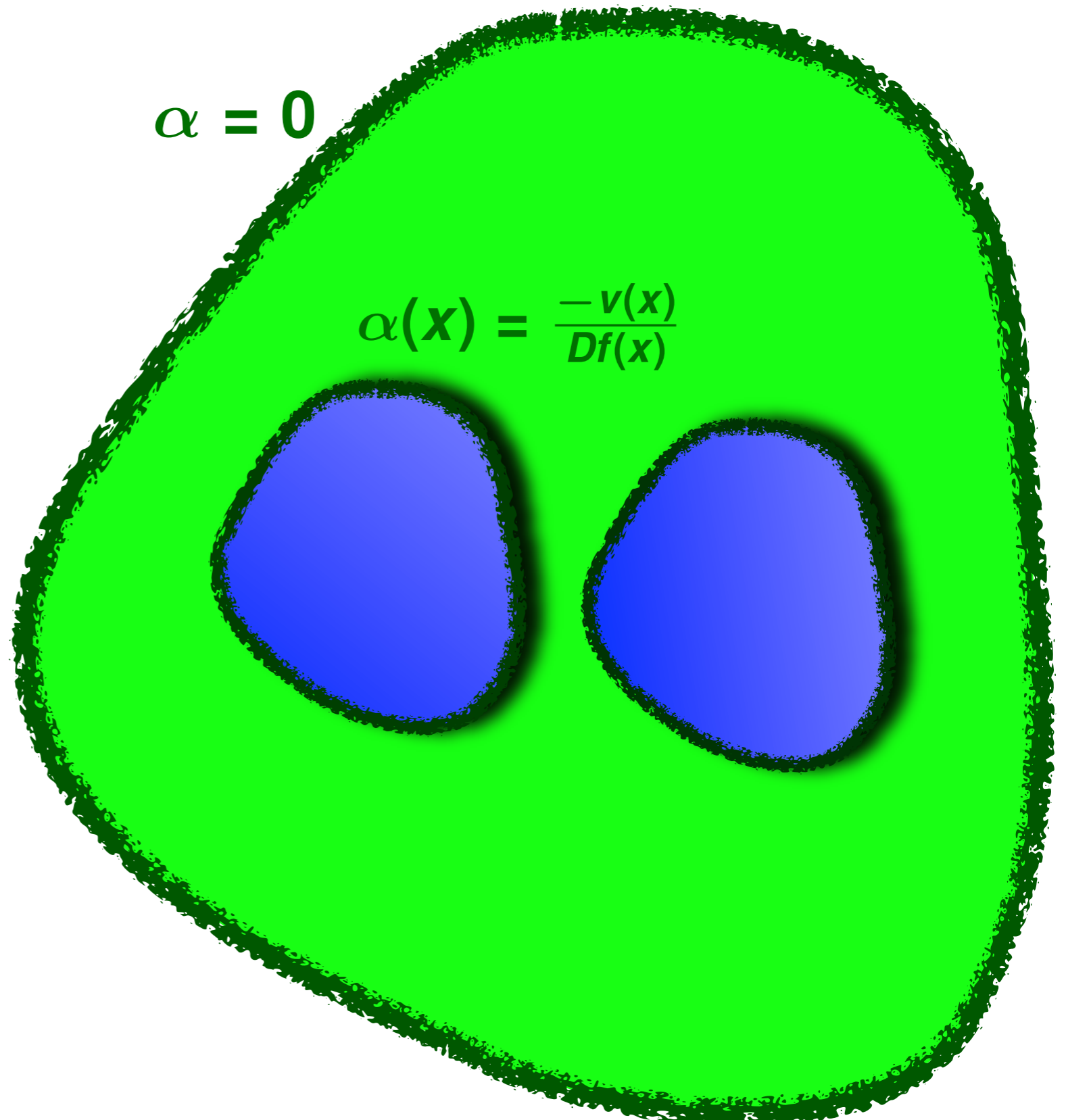


  $\alpha$  is defined.

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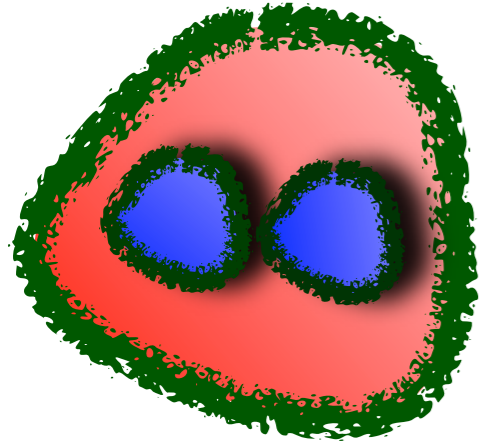


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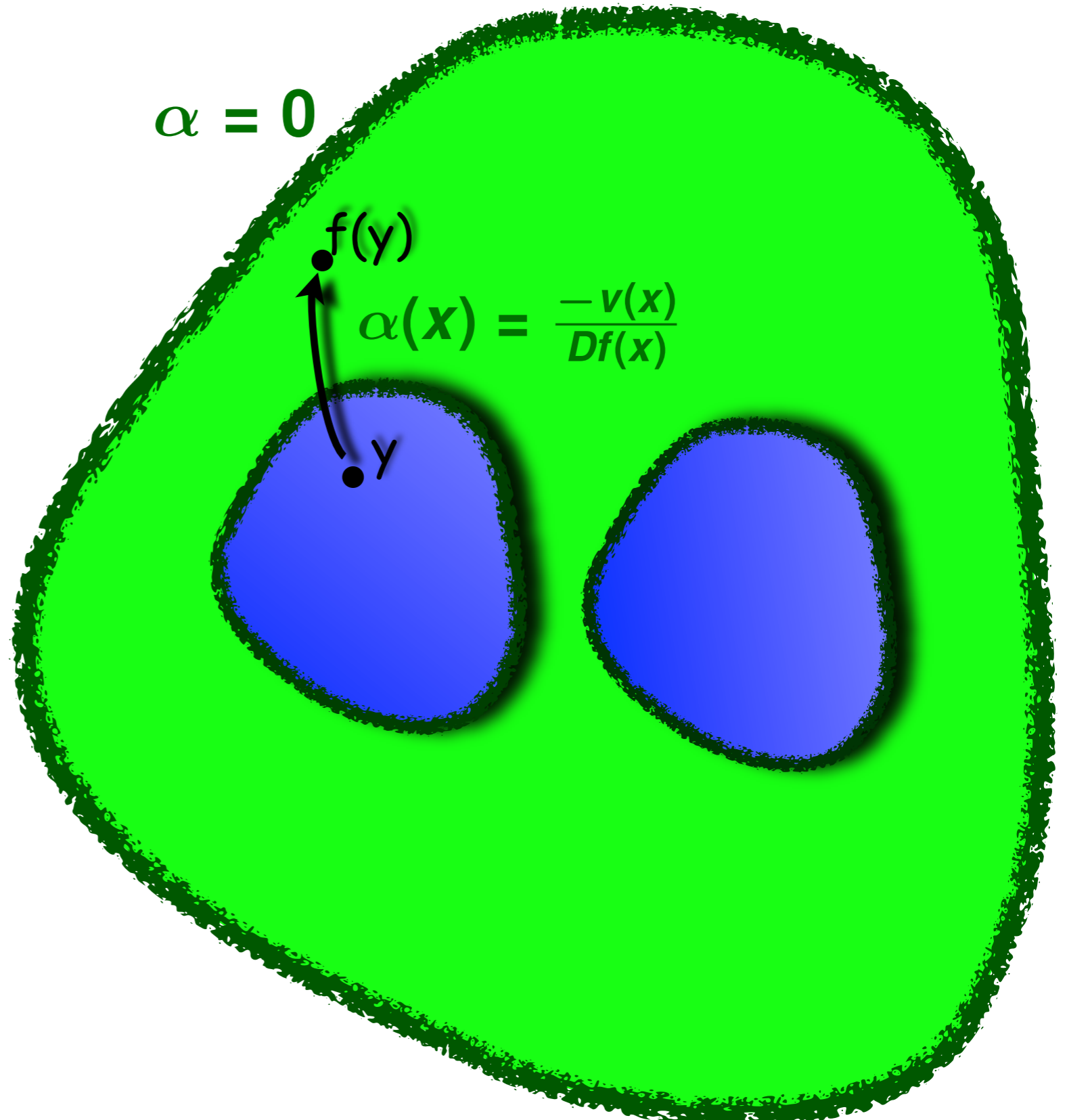


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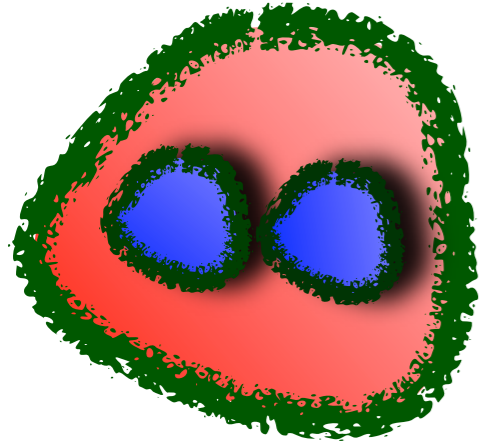


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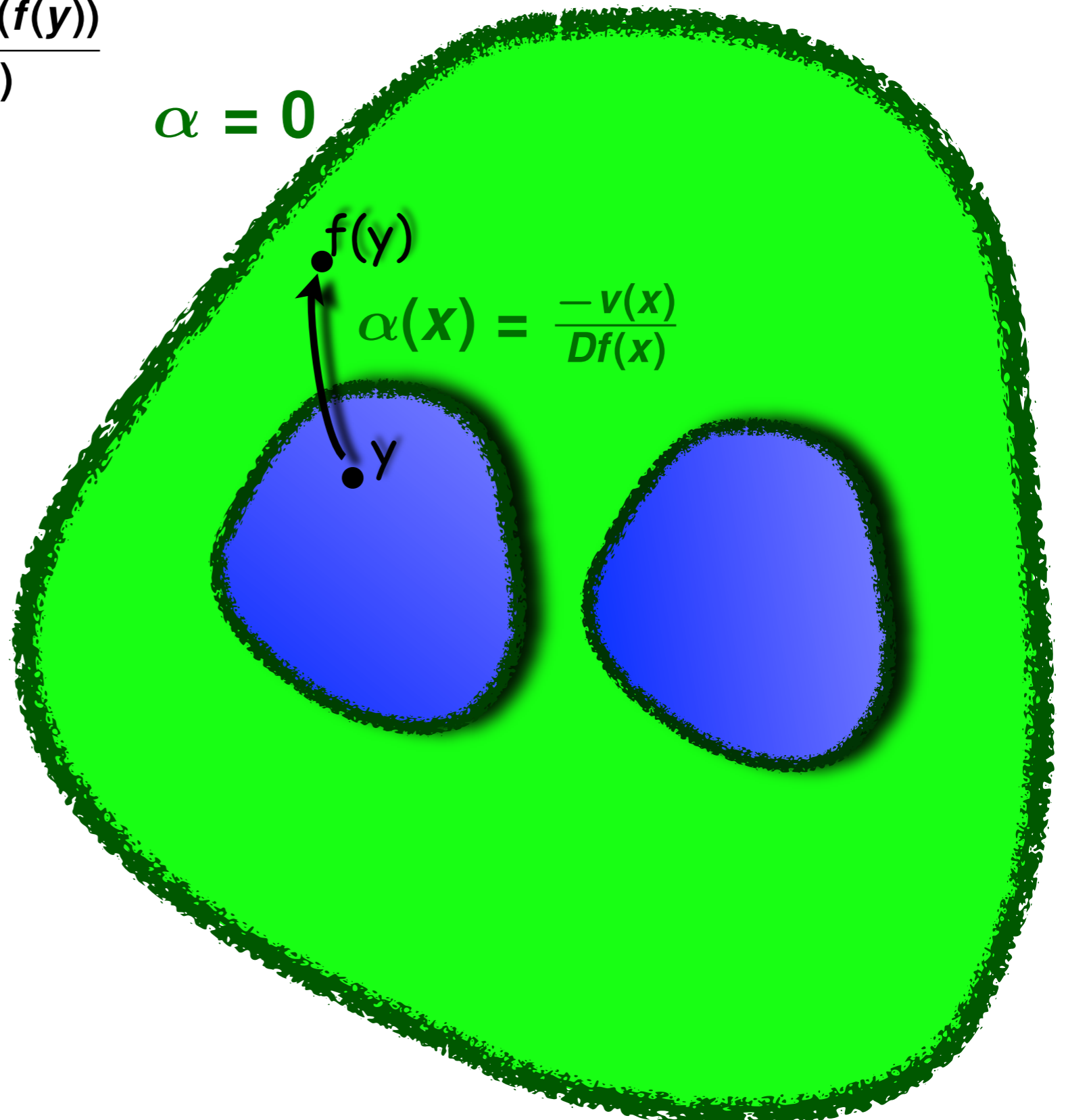
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# Easy case of Infinitesimal pullback argument : Conformal iterated function systems



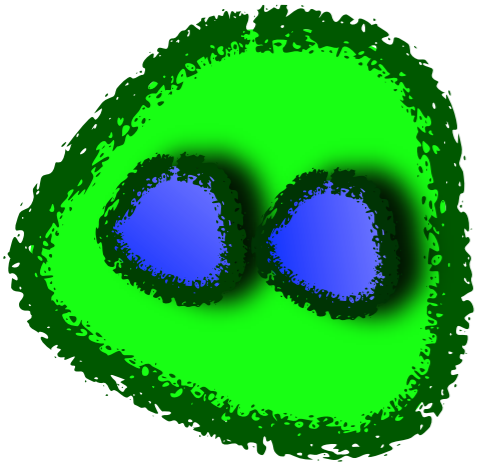
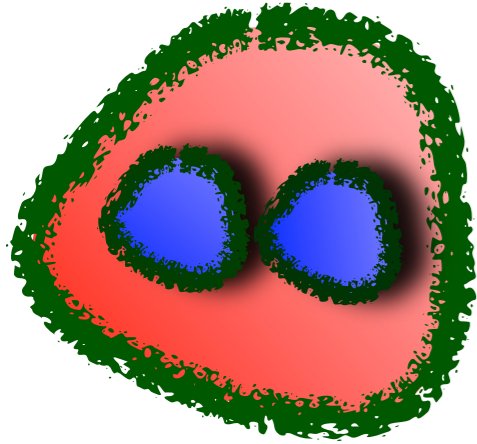
$$\alpha(y) := \frac{v(y) - \alpha(f(y))}{Df(y)}$$

$$\alpha = 0$$

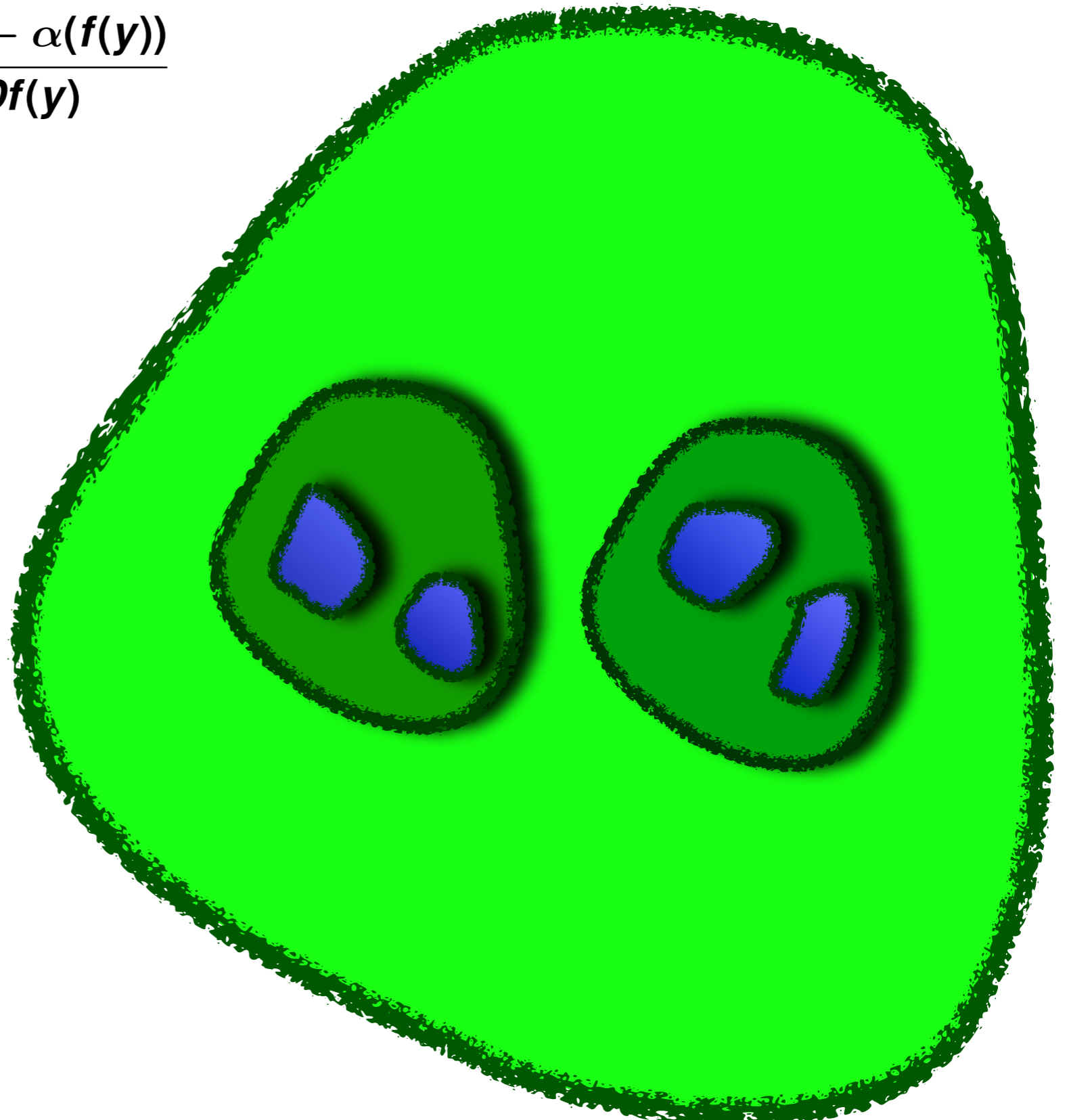


  $\alpha$  is defined.

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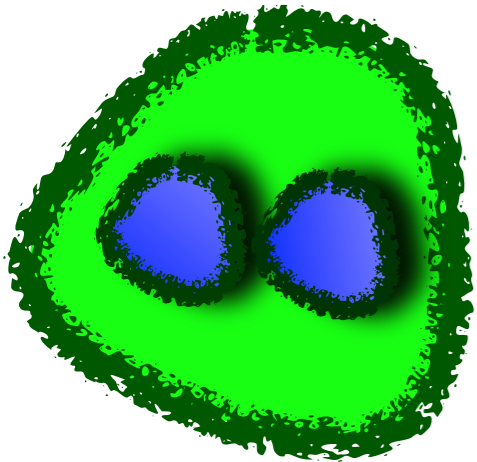
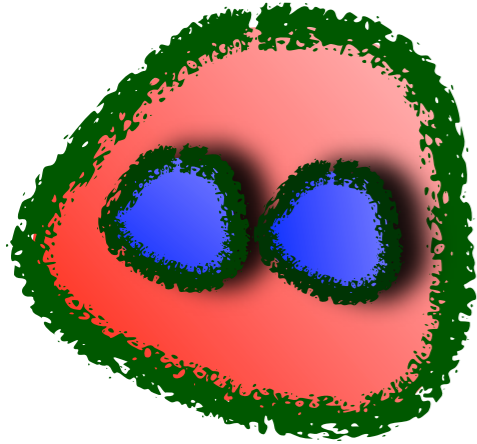


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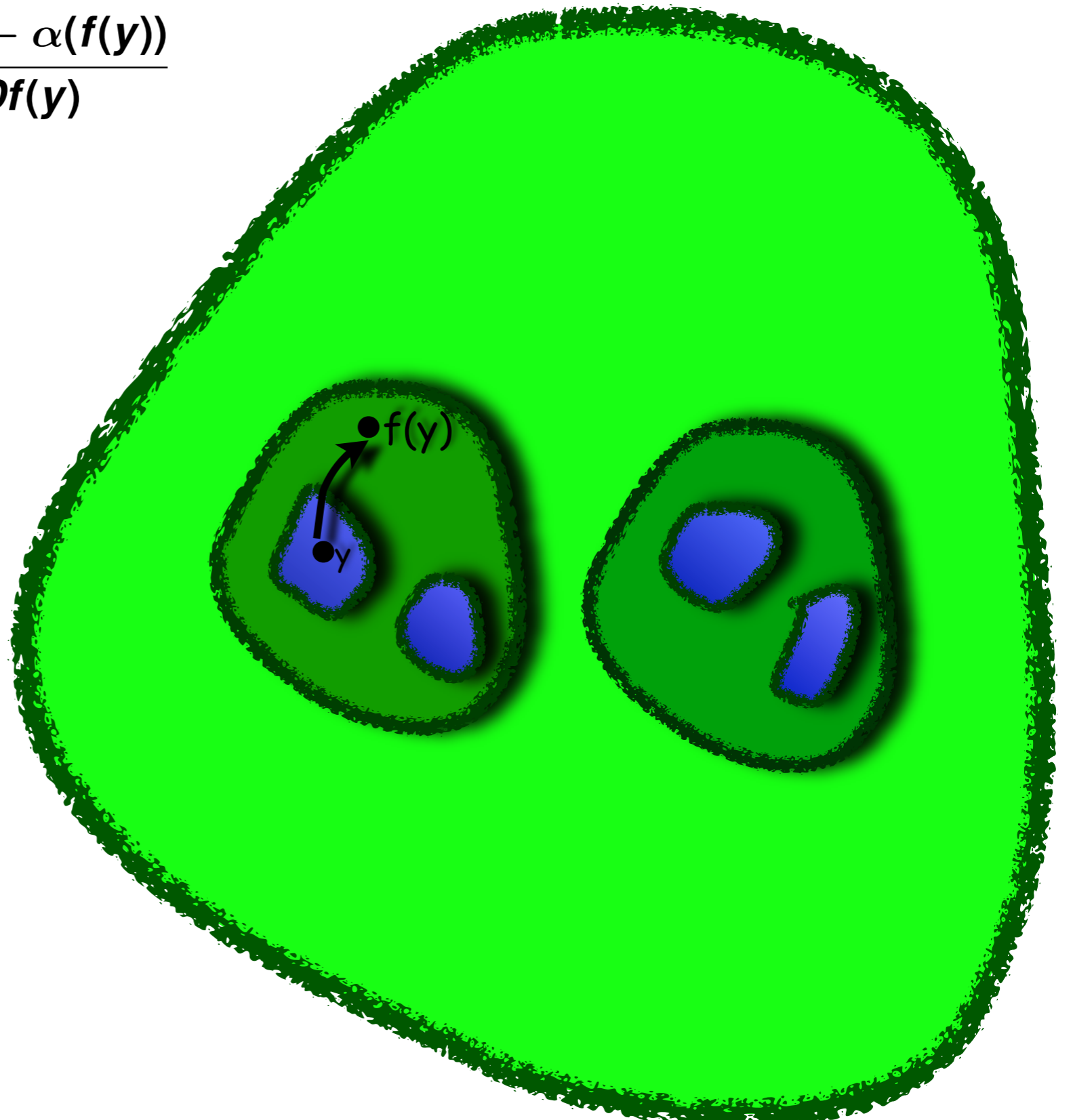


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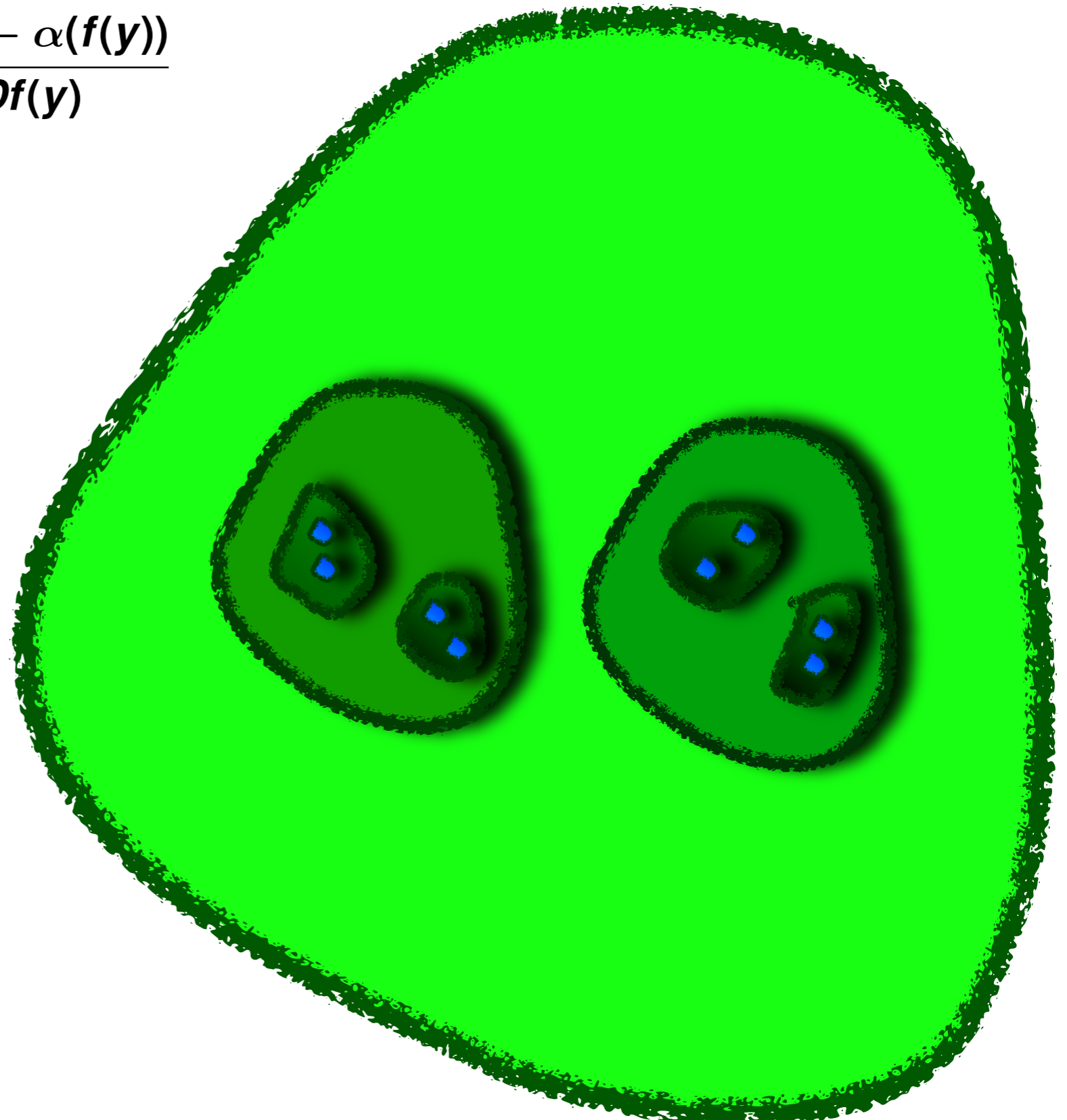
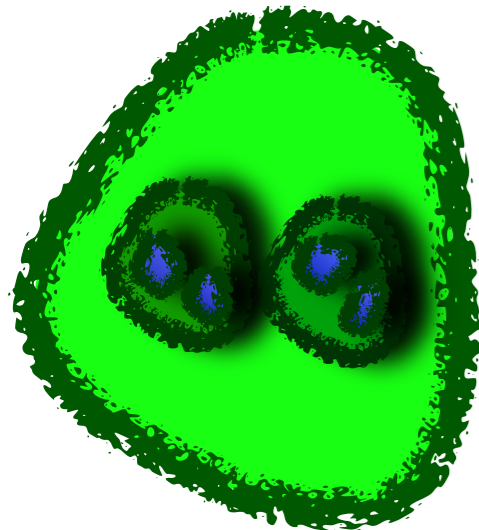
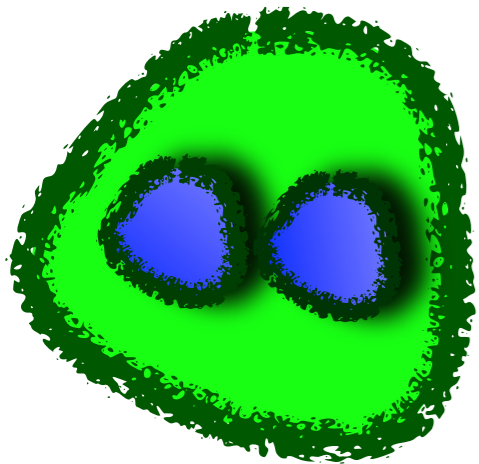
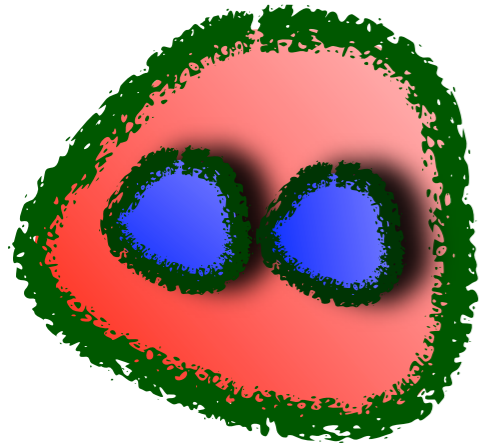


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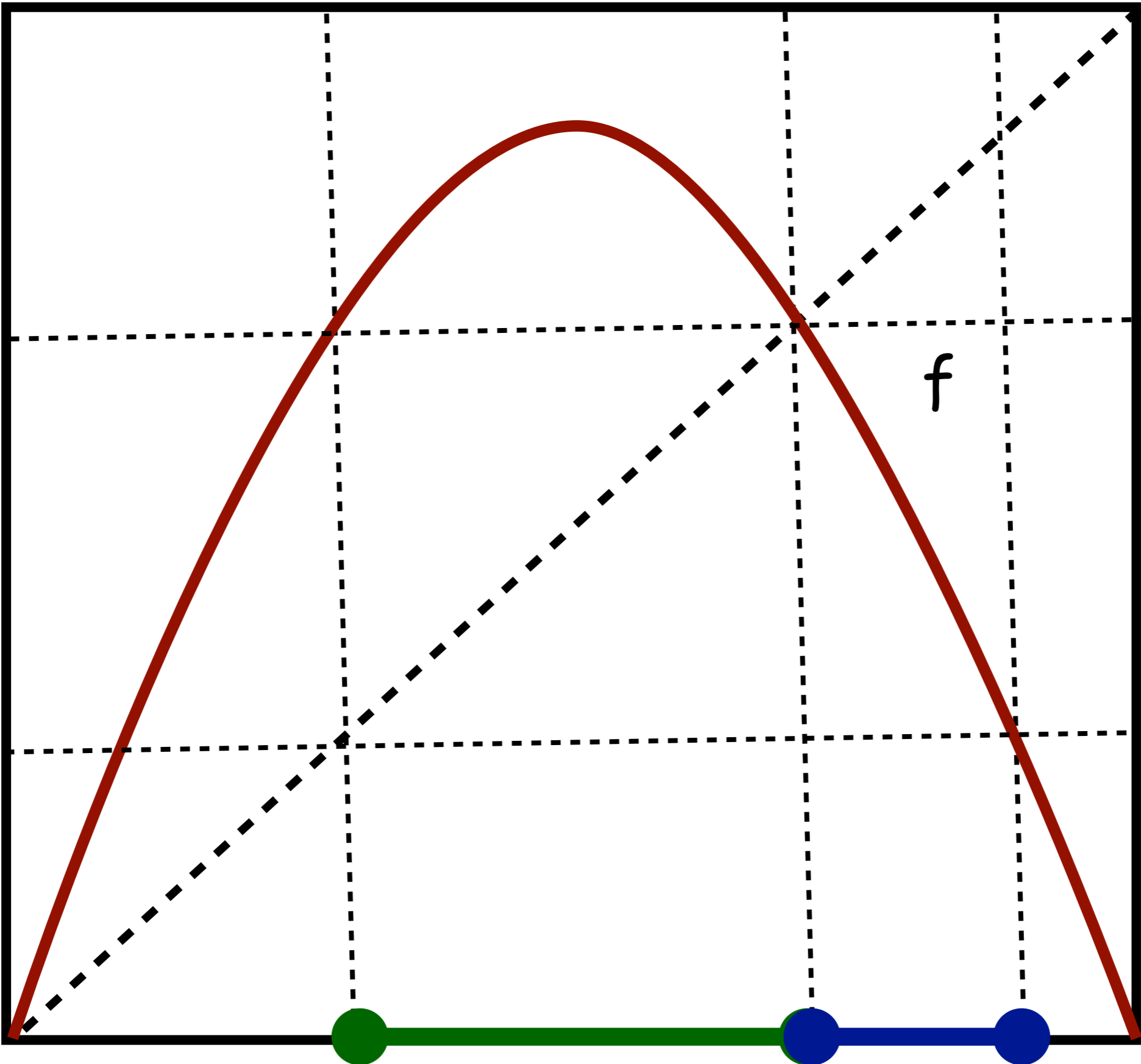
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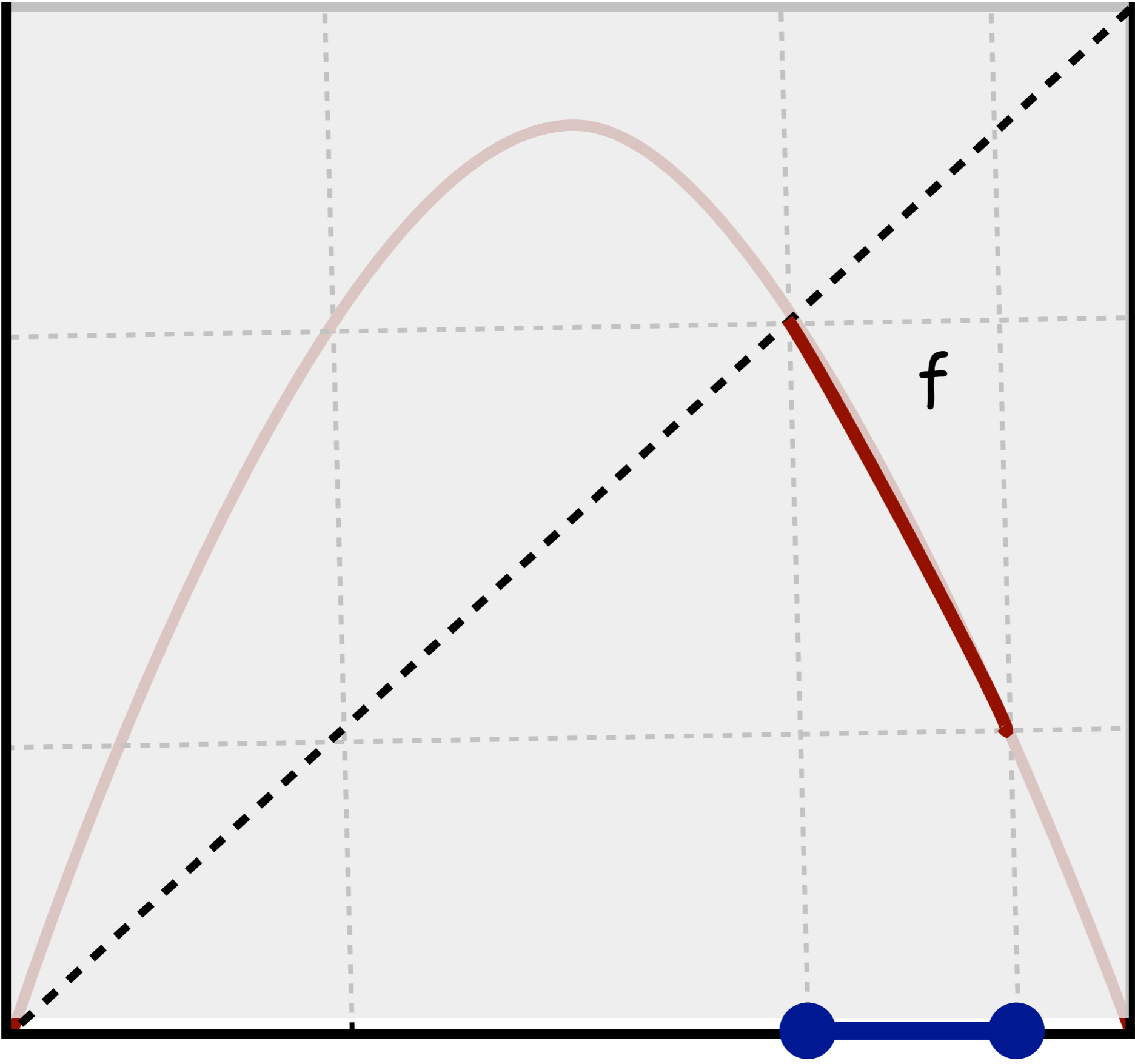


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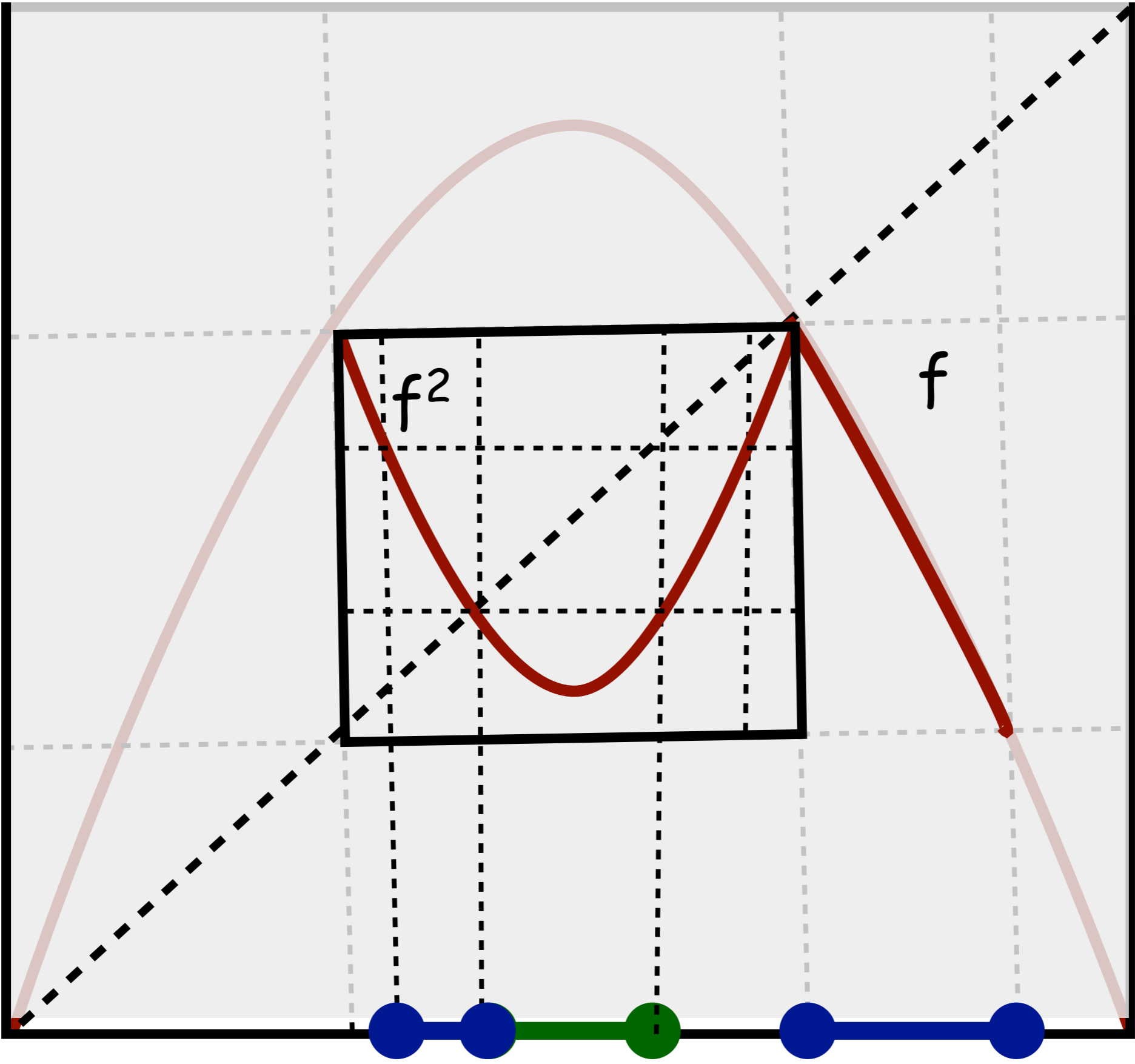
Period-doubling case: induced map



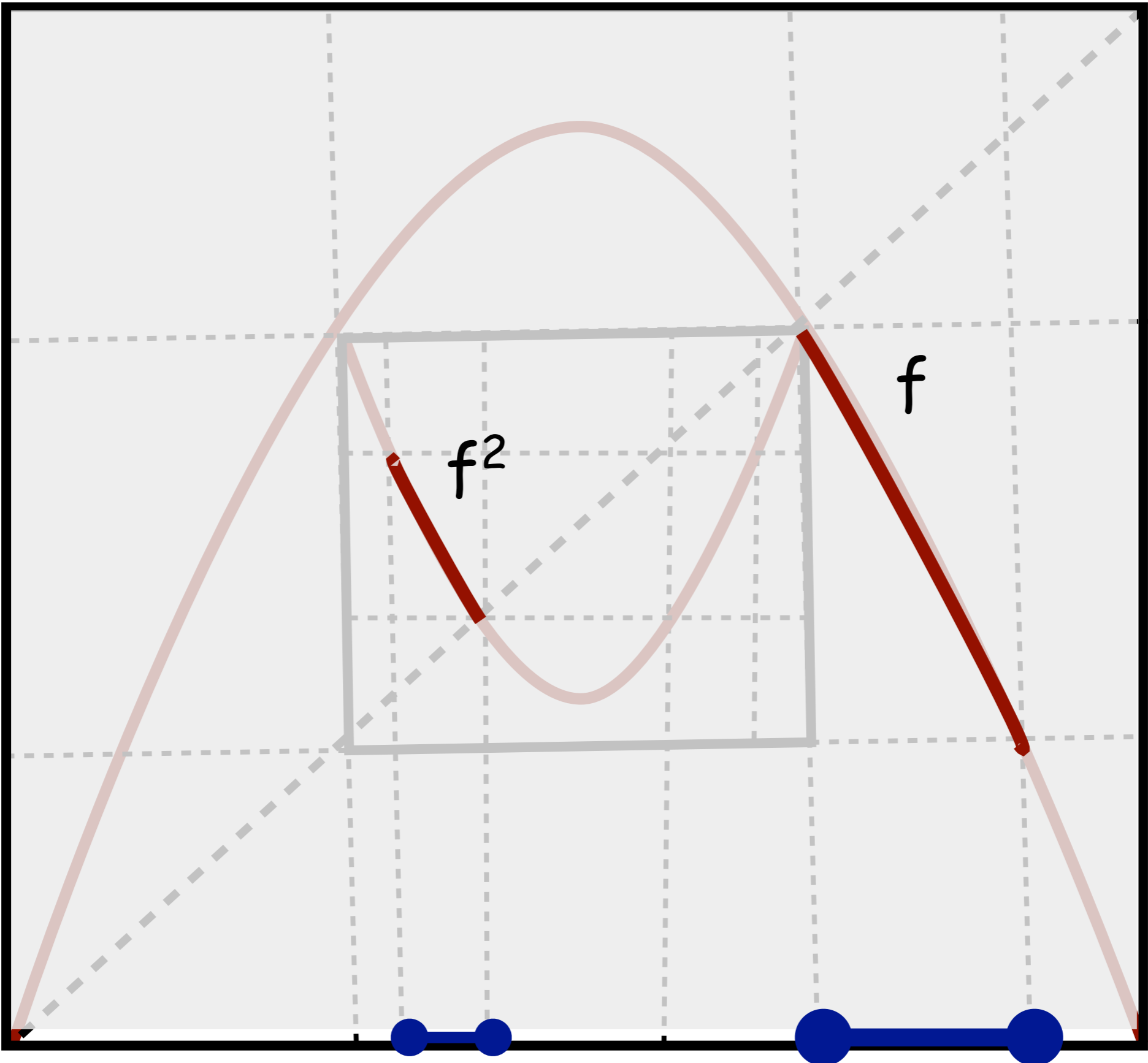
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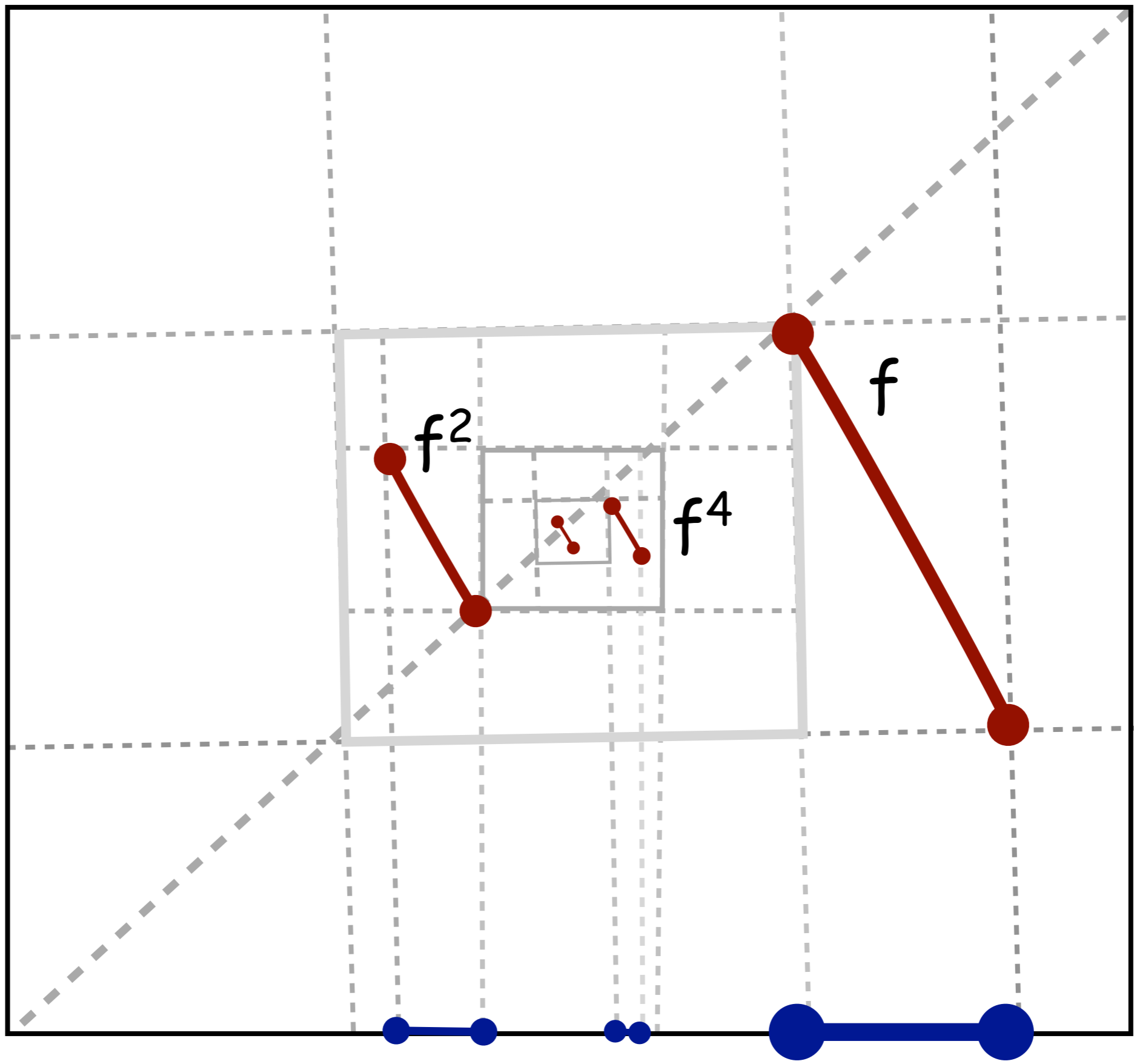
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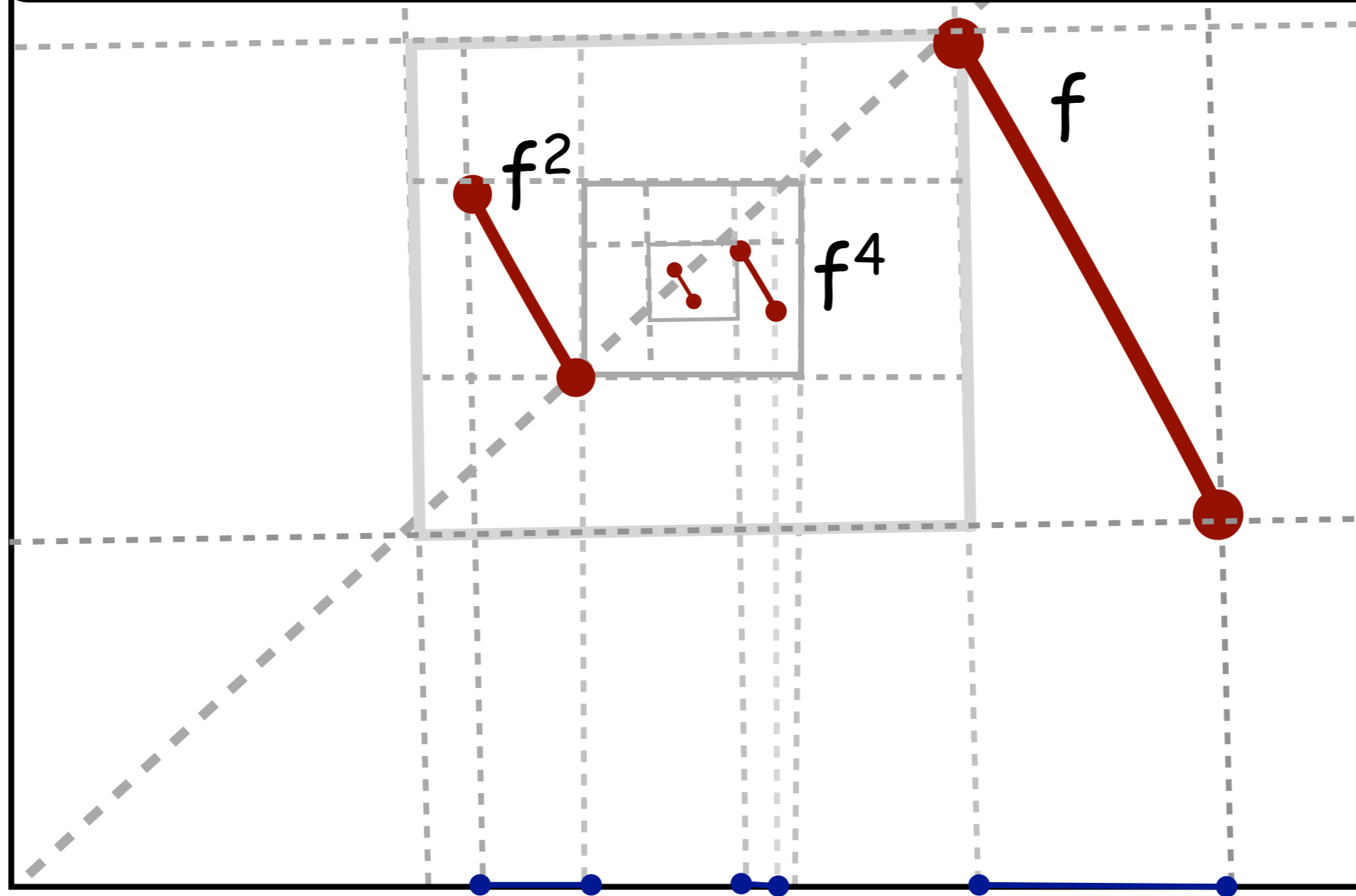
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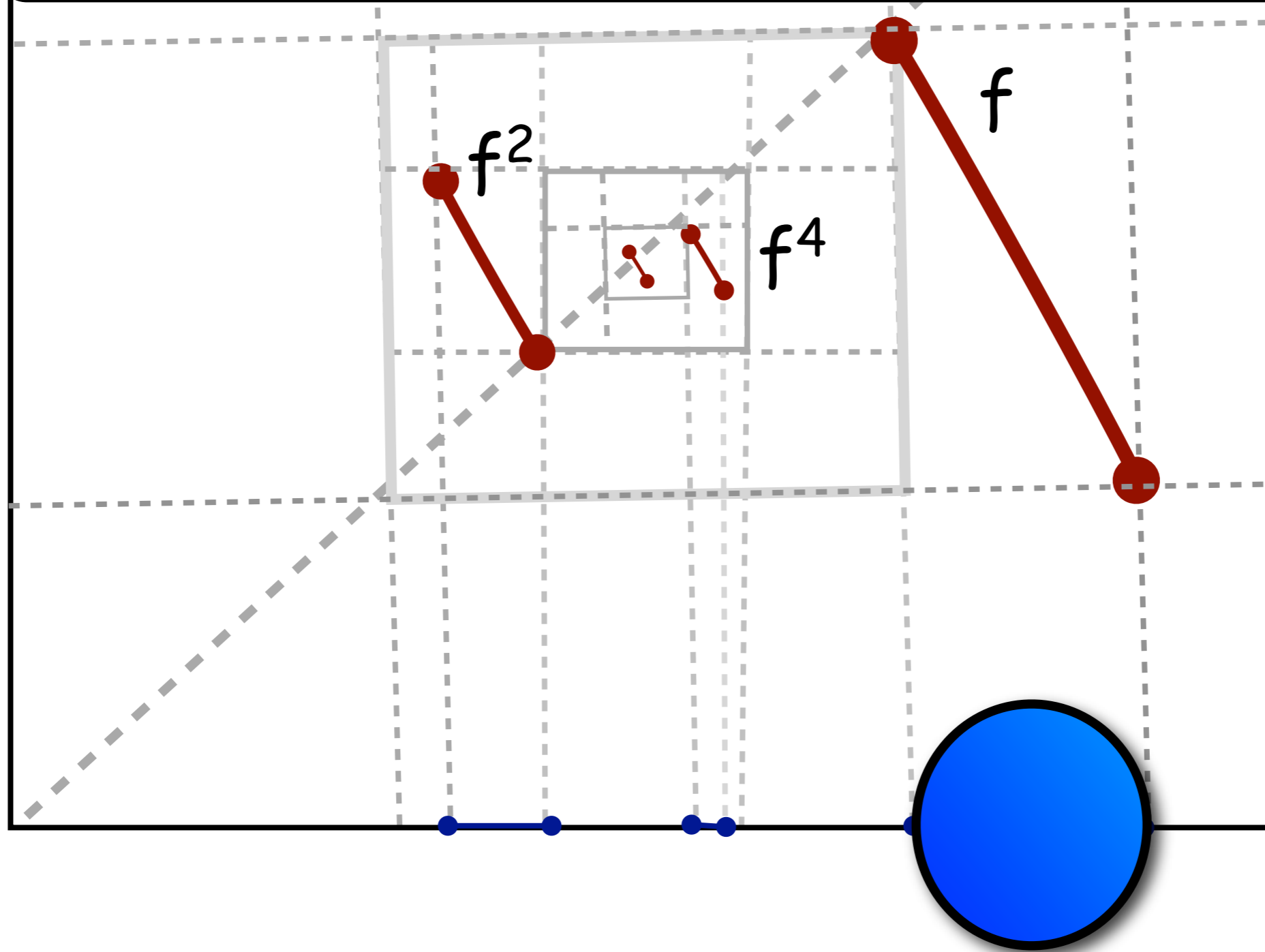
# Period-doubling case: induced map



# Period-doubling case: Complex induced map

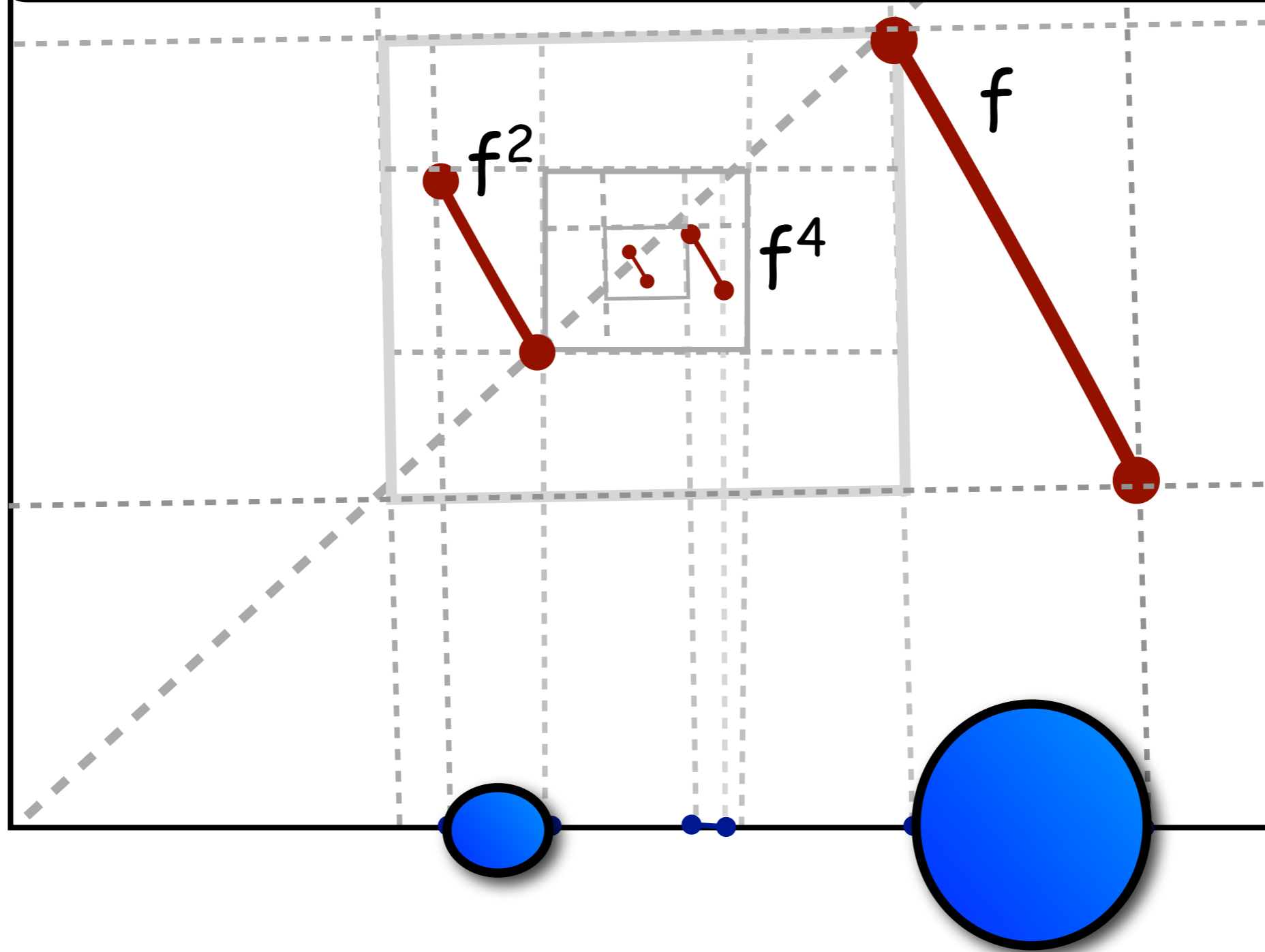


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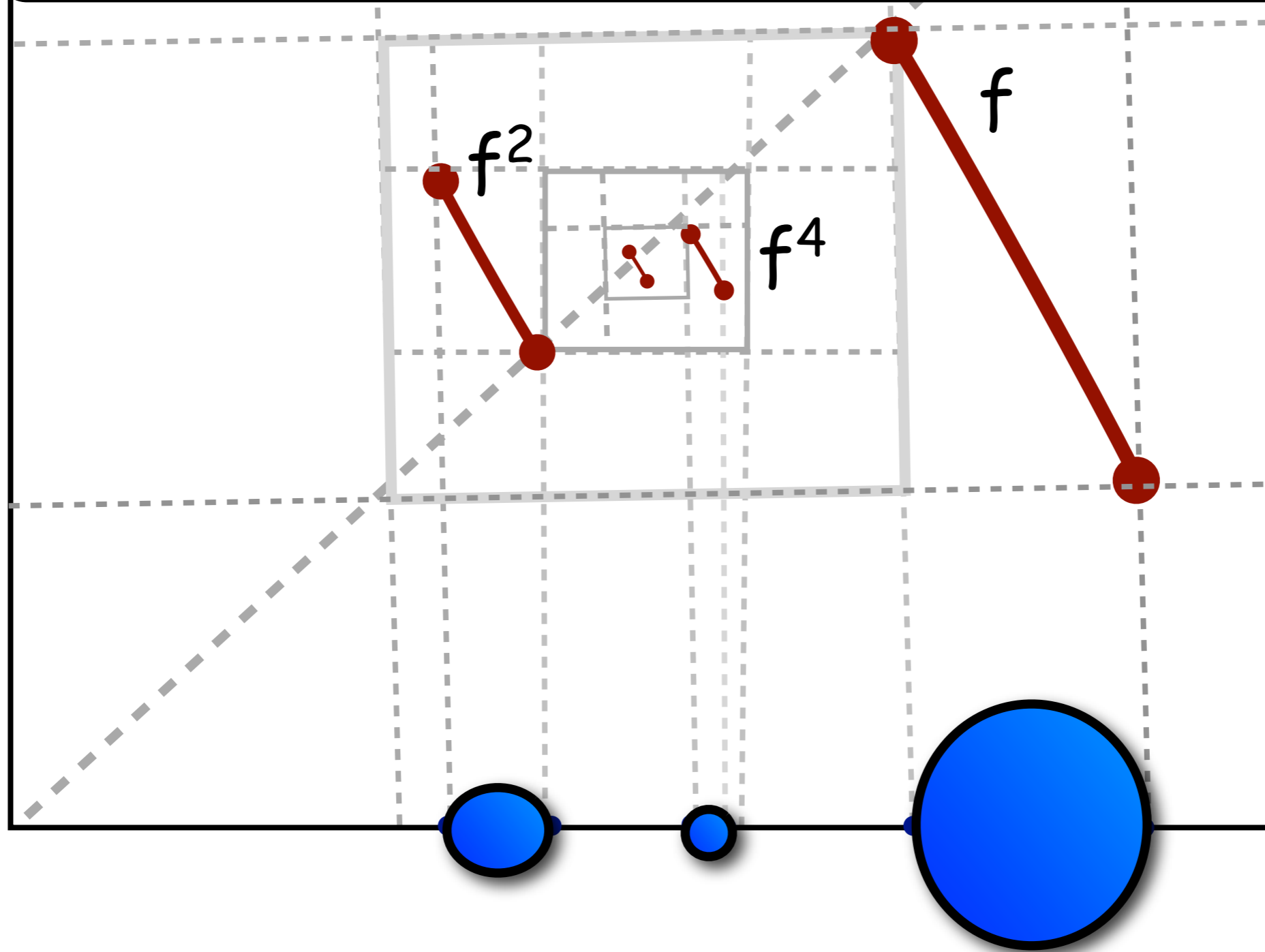




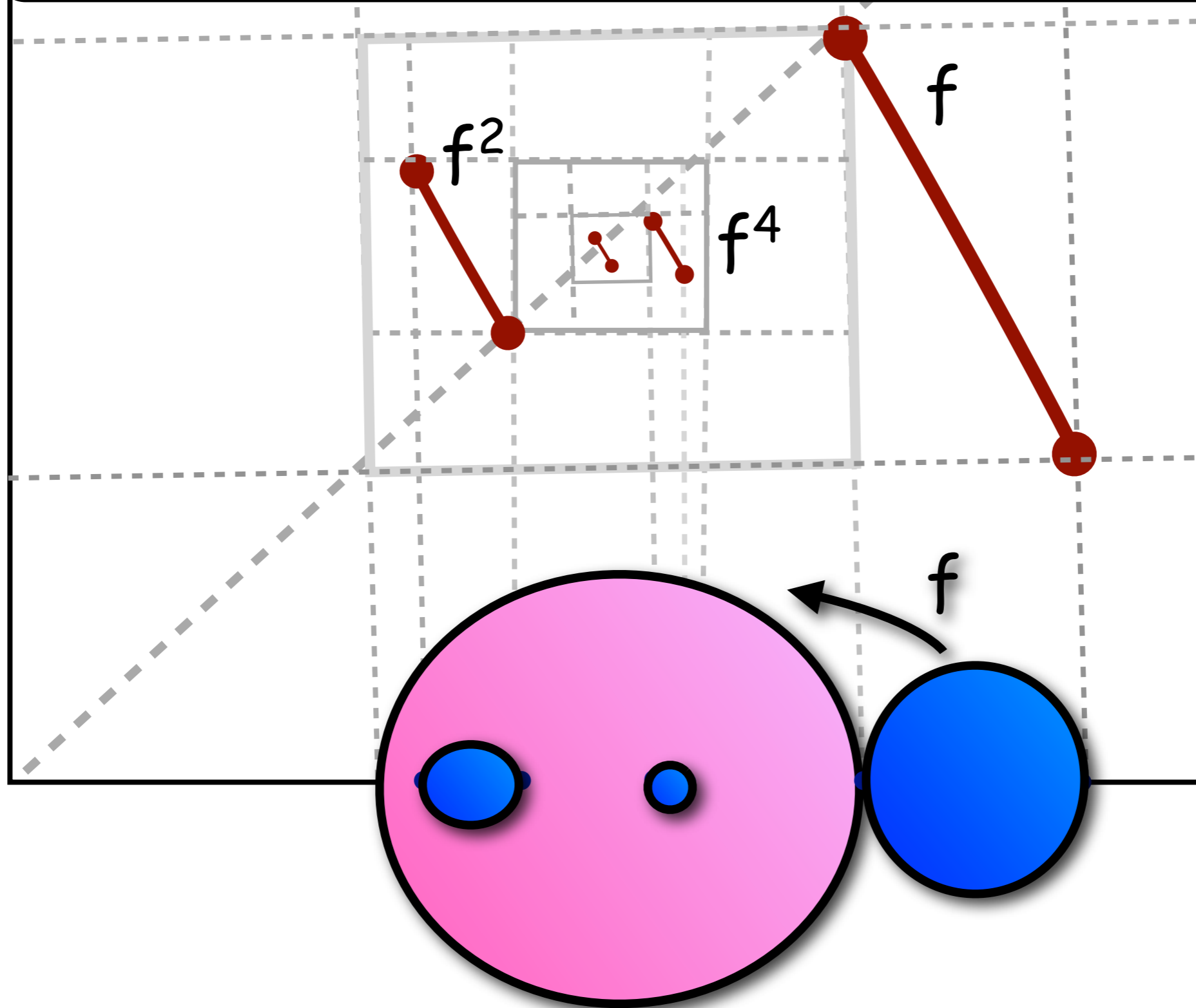
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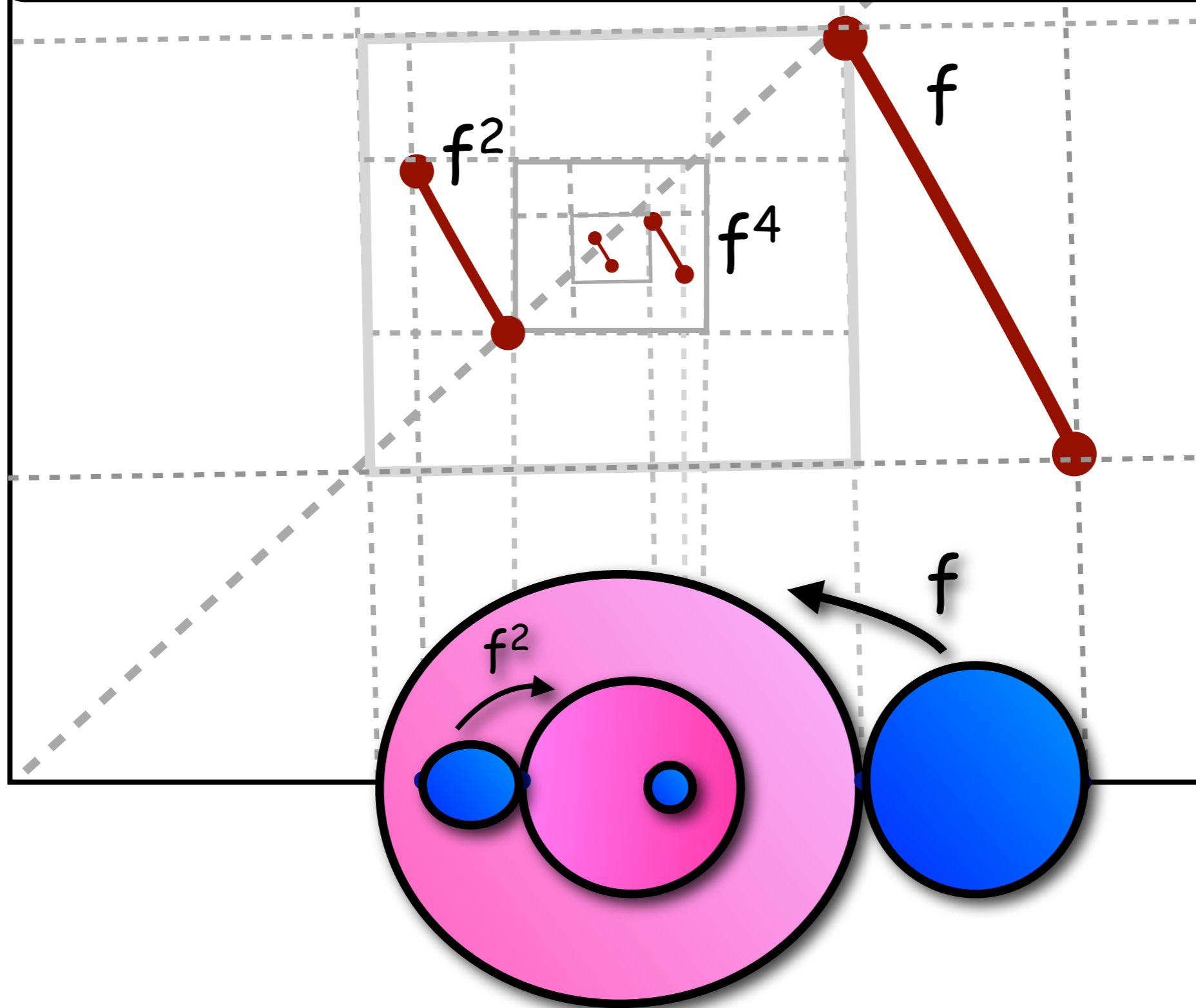
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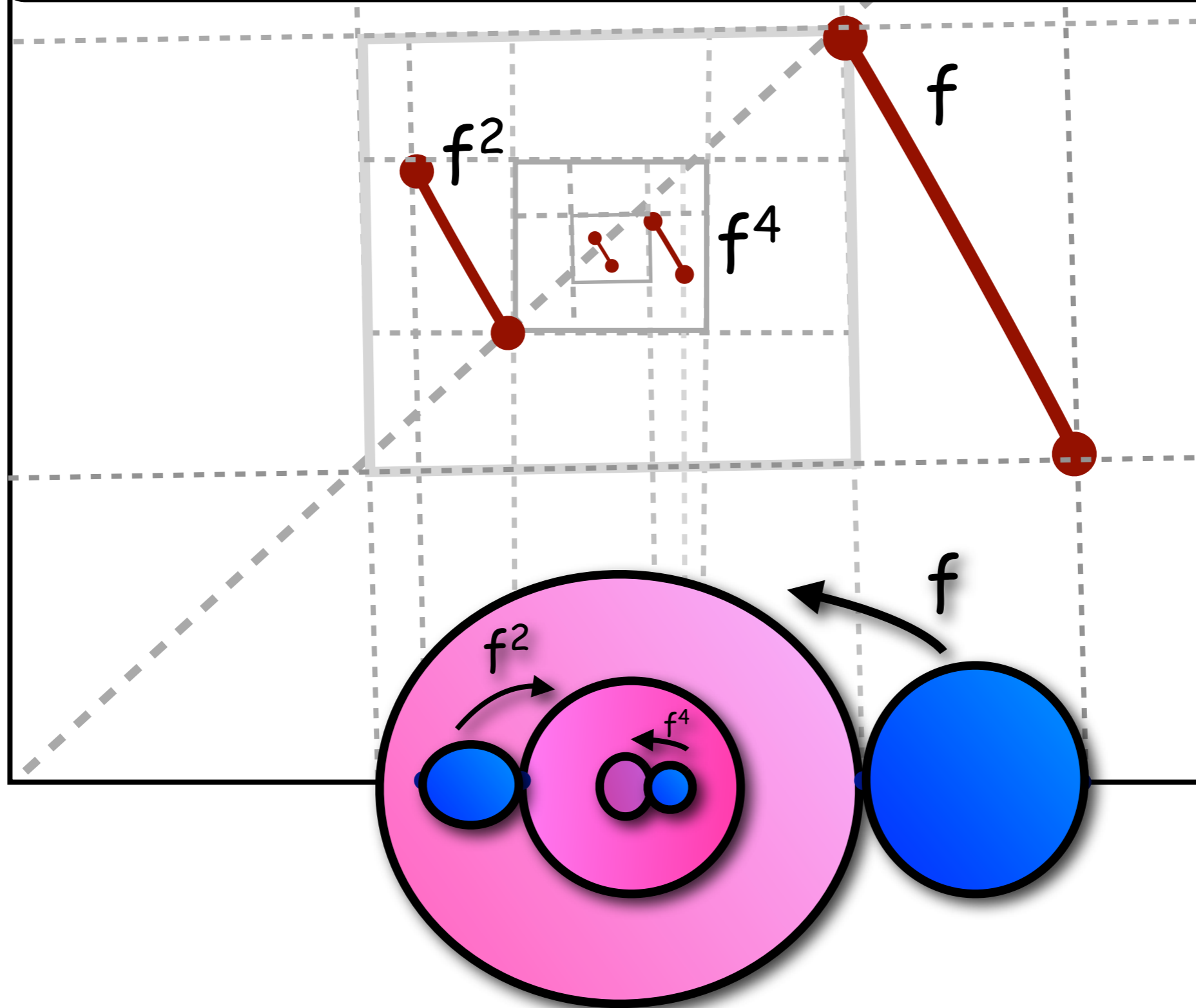
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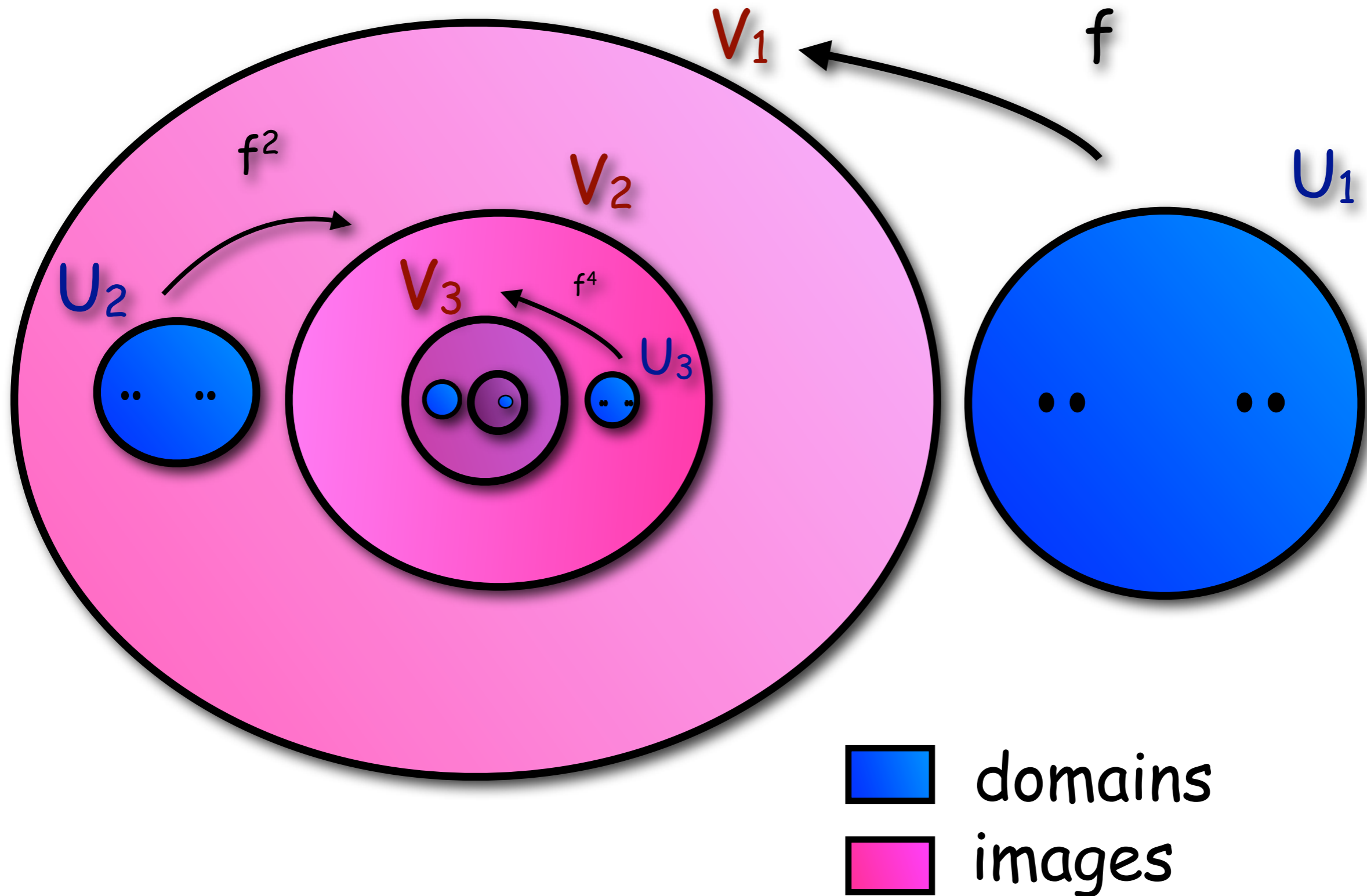


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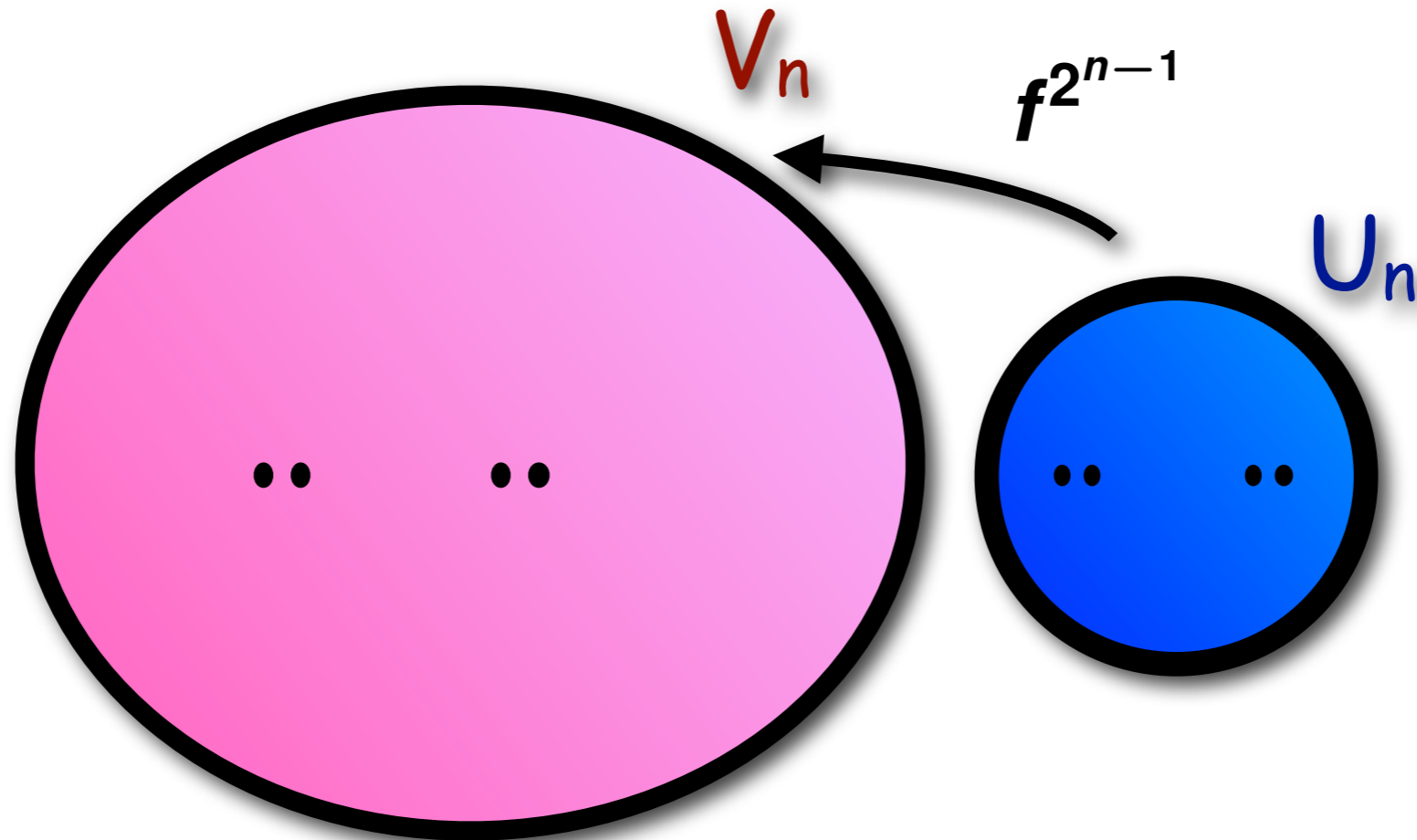


# Period-doubling case: Complex induced map

(reducing the domain a little bit)



# Induced Problem

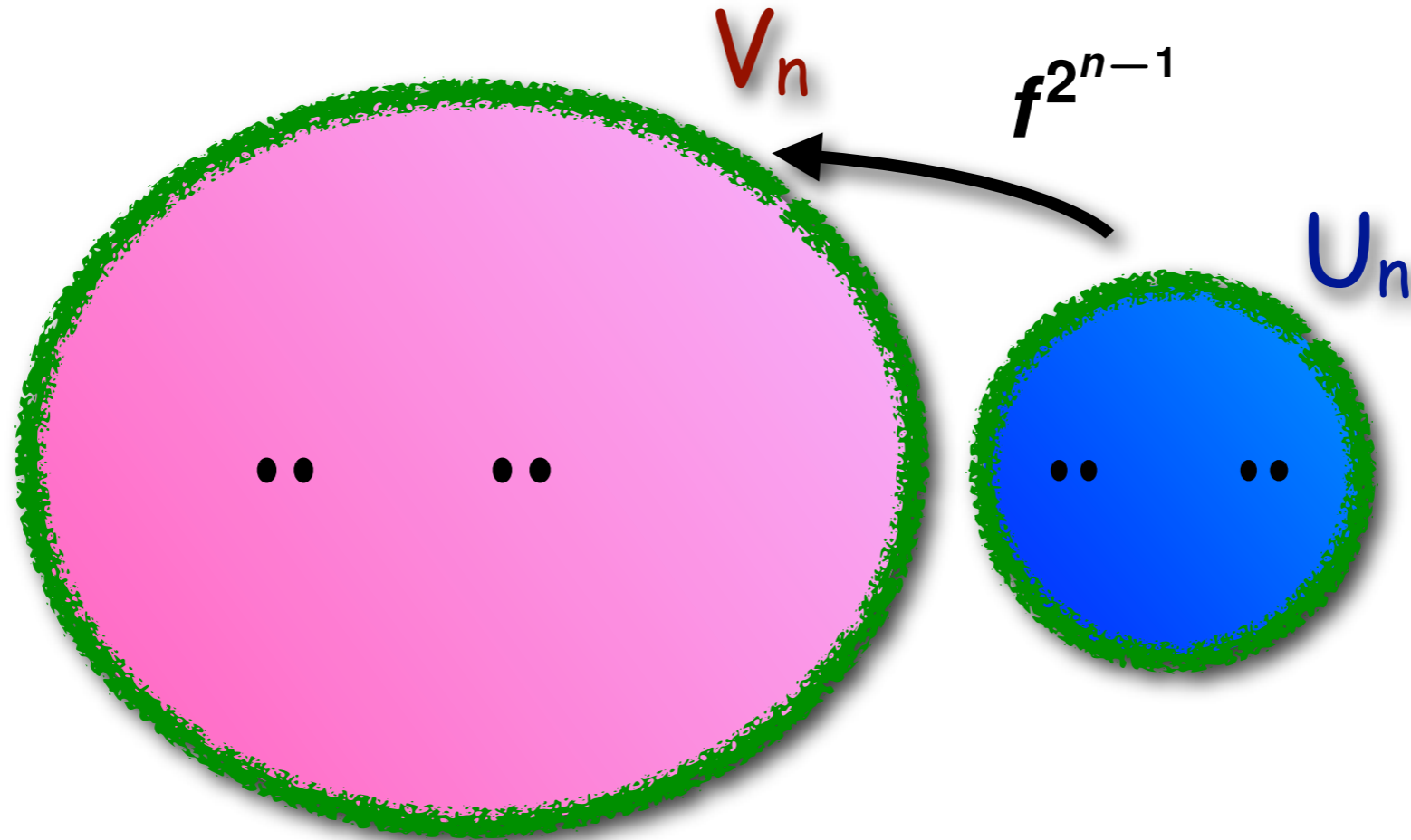


Finding a quasiconformal vector field  $\alpha$  such that

$$\partial_t (f + tv)^{2^{n-1}} \Big|_{t=0}(\mathbf{x}) = \alpha(f^{2^{n-1}}(\mathbf{x})) - Df^{2^{n-1}}(\mathbf{x}) \cdot \alpha(\mathbf{x})$$

for every  $\mathbf{x} \in \partial U_n$  and for all  $n$ .

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## More information on

$$\partial_t(f + tv)^{2^n} |_{t=0}(y)$$

$$\partial_t(f + tv)^{2^n} |_{t=0}(y) = p_{n,0} \cdot (D\mathcal{R}_t^n \cdot v)\left(\frac{y}{p_{n,0}}\right)$$

$$+ \partial_x f^{2^n}(y) \cdot \beta_n(y) - \beta_n(f^{2^n}(y))$$

# More information on

$$\partial_t(f + tv)^{2^n} |_{t=0}(y)$$

$$\partial_t(f + tv)^{2^n} |_{t=0}(y) = \underbrace{\rho_{n,0} \cdot (D\mathcal{R}_t^n \cdot v)\left(\frac{y}{\rho_{n,0}}\right)}_{W_1}$$

nice!! since  $|D\mathcal{R}^n \cdot v| \leq C$  for every  $n$ !!

$$+ \partial_x f^{2^n}(y) \cdot \beta_n(y) - \beta_n(f^{2^n}(y))$$

# More information on

$$\partial_t(f + tv)^{2^n} |_{t=0}(y)$$

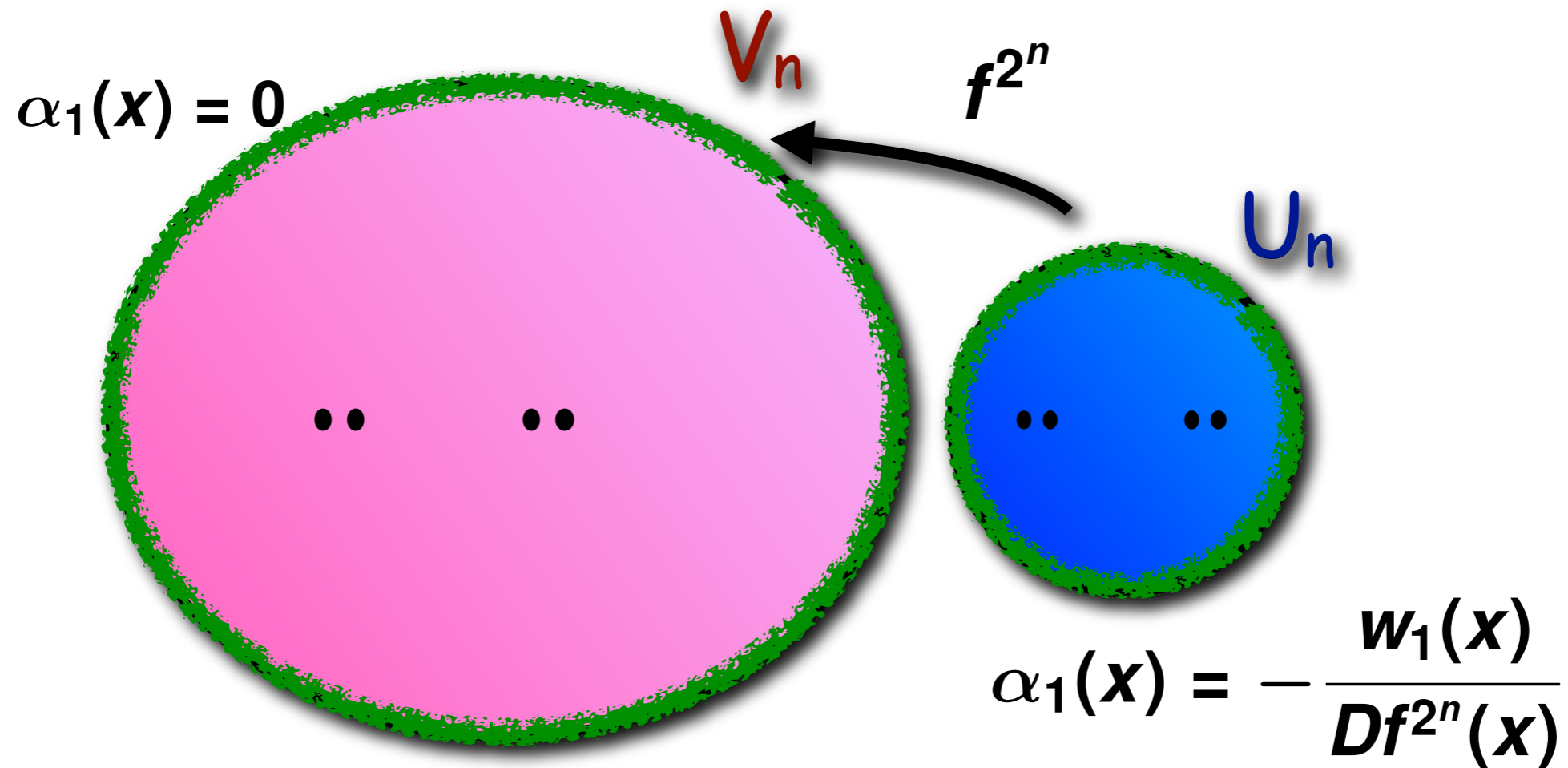
$$\partial_t(f + tv)^{2^n} |_{t=0}(y) = \rho_{n,0} \cdot (D\mathcal{R}_t^n \cdot v)\left(\frac{y}{\rho_{n,0}}\right)$$

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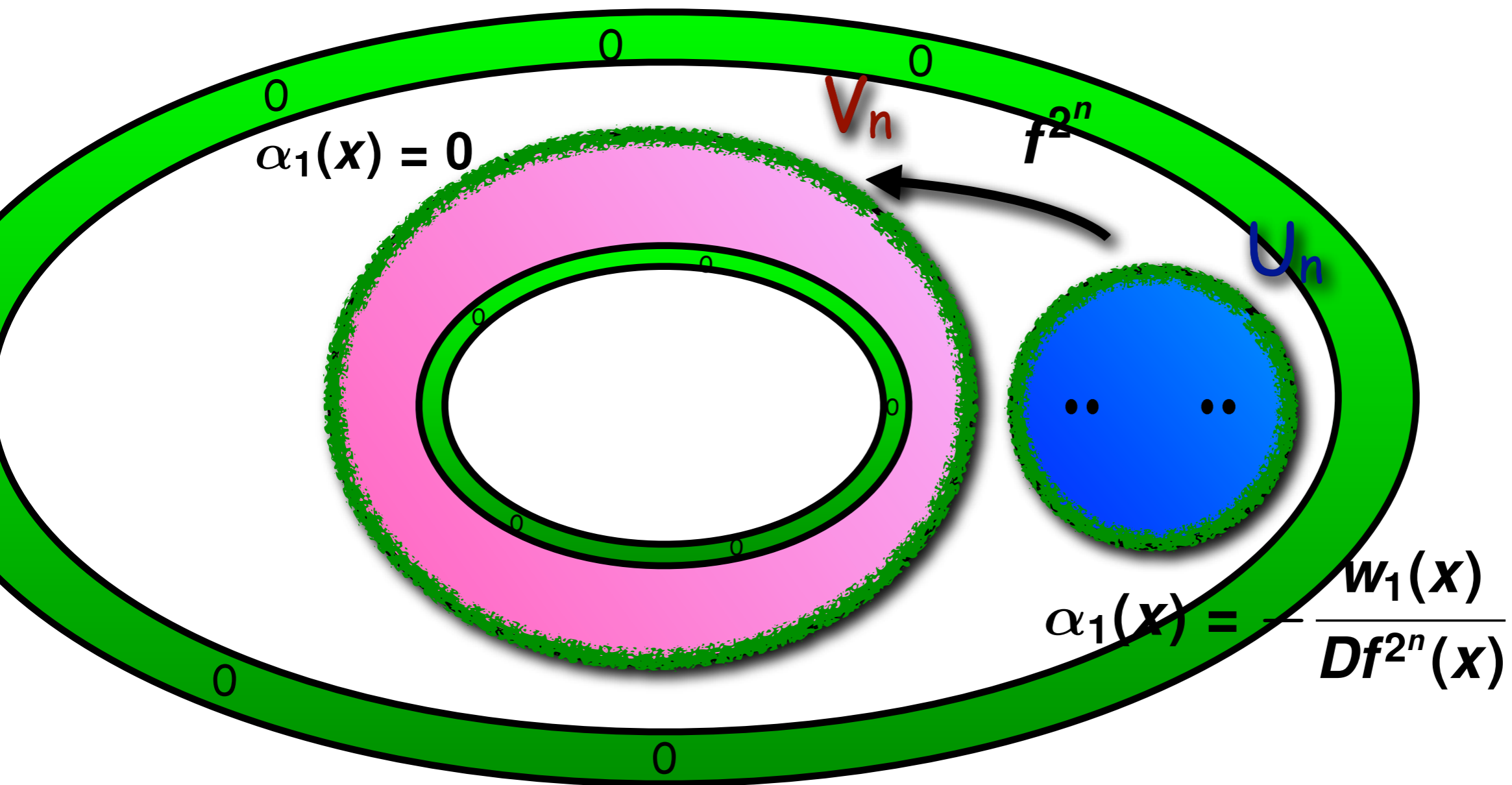
where  $\beta_n(y) = \frac{\partial_t \rho_{n,t}}{\rho_{n,t}} y$

# Solution of induced problem for $w_1$



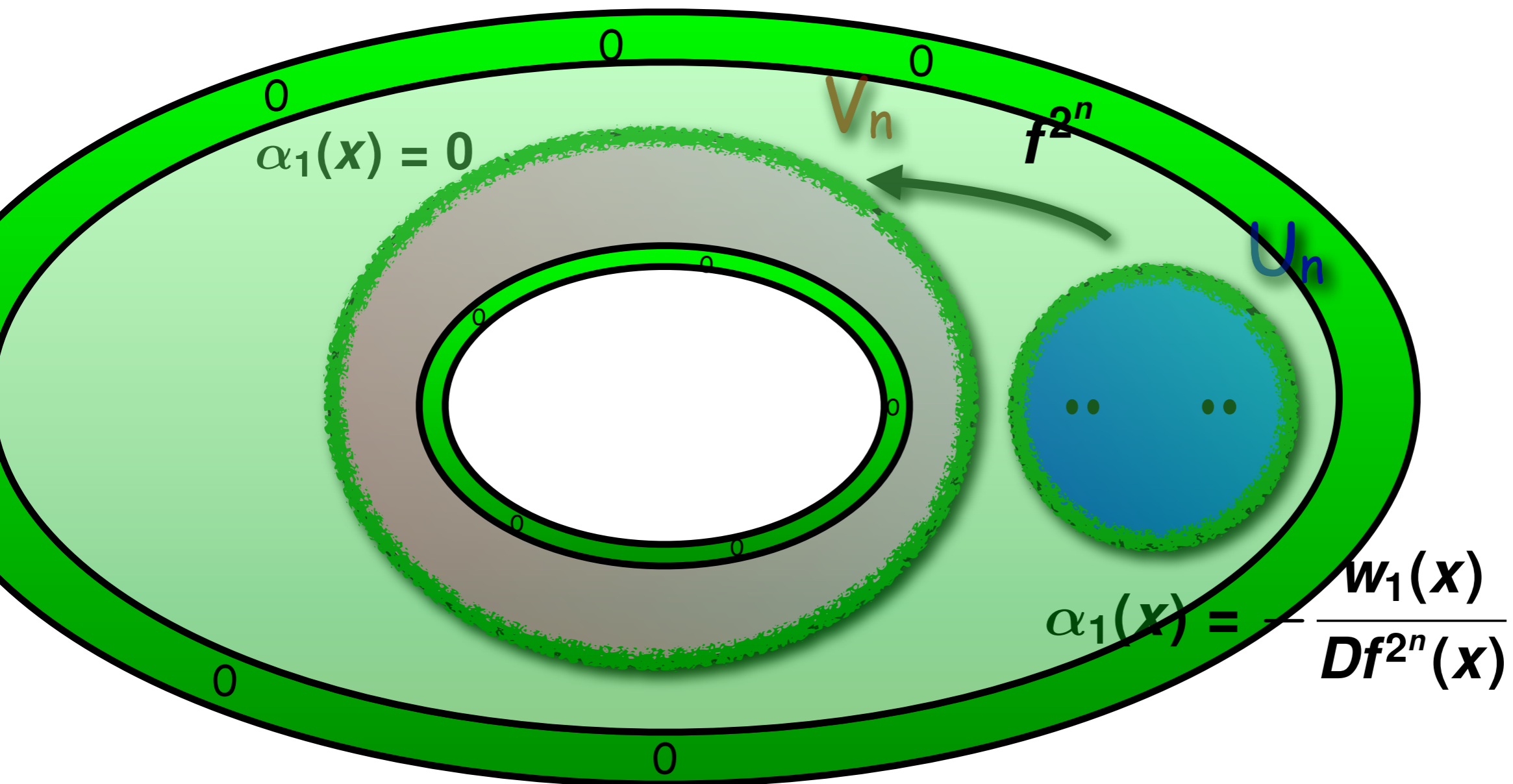
$$w_1(x) = \alpha_1(f^{2^n}(x)) - Df^{2^n}(x) \cdot \alpha_1(x)$$

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# Solution of induced problem for $w_2$

$$w_2(\mathbf{x}) = Df^{2^n}(\mathbf{x}) \cdot \beta_n(\mathbf{x}) - \beta_n(f^{2^n}(\mathbf{x}))$$

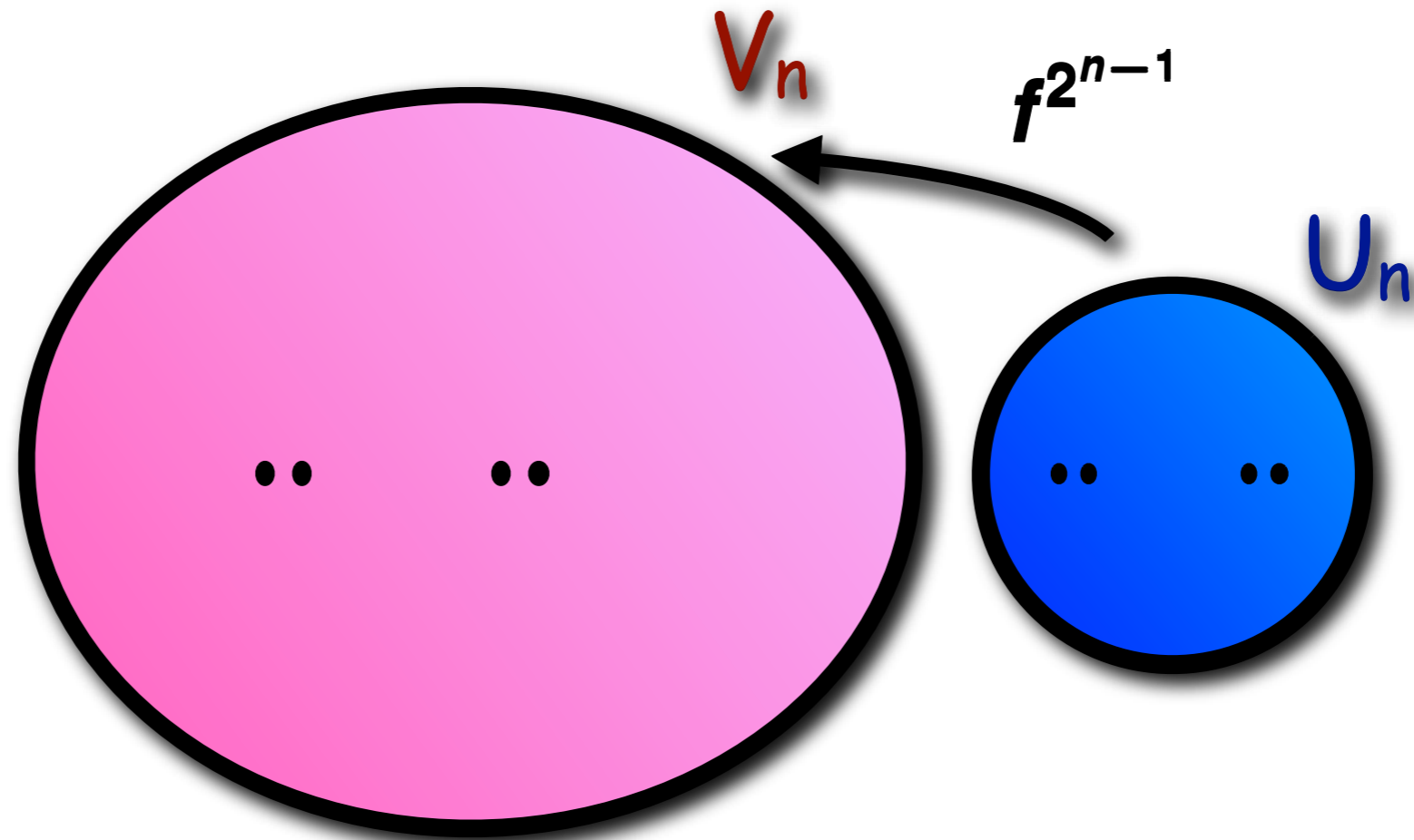
$$\beta_n(\mathbf{x}) = \frac{\partial p_{n,t}}{p_{n,0}} \cdot \mathbf{x} = \mathbf{c}_n \cdot \mathbf{x}$$

Because  $|D\mathcal{R}_f^n \cdot \mathbf{v}| < \mathbf{C}$  it follows that

$$|\mathbf{c}_{n+1} - \mathbf{c}_n| < \mathbf{C}$$

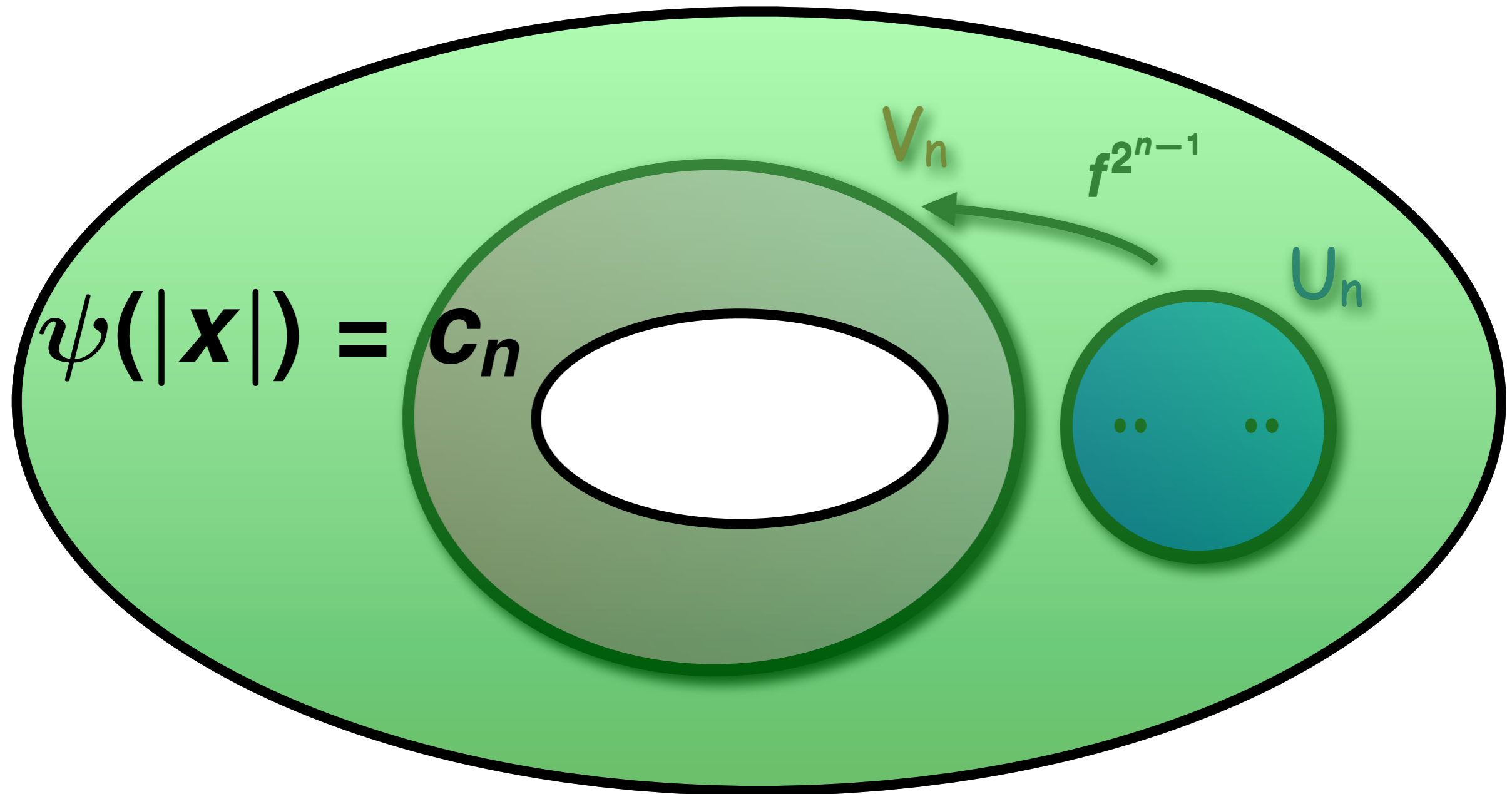
Define  $\alpha_2(\mathbf{x}) = \psi(|\mathbf{x}|) \cdot \mathbf{x}$

# Solution of induced problem for $w_2$

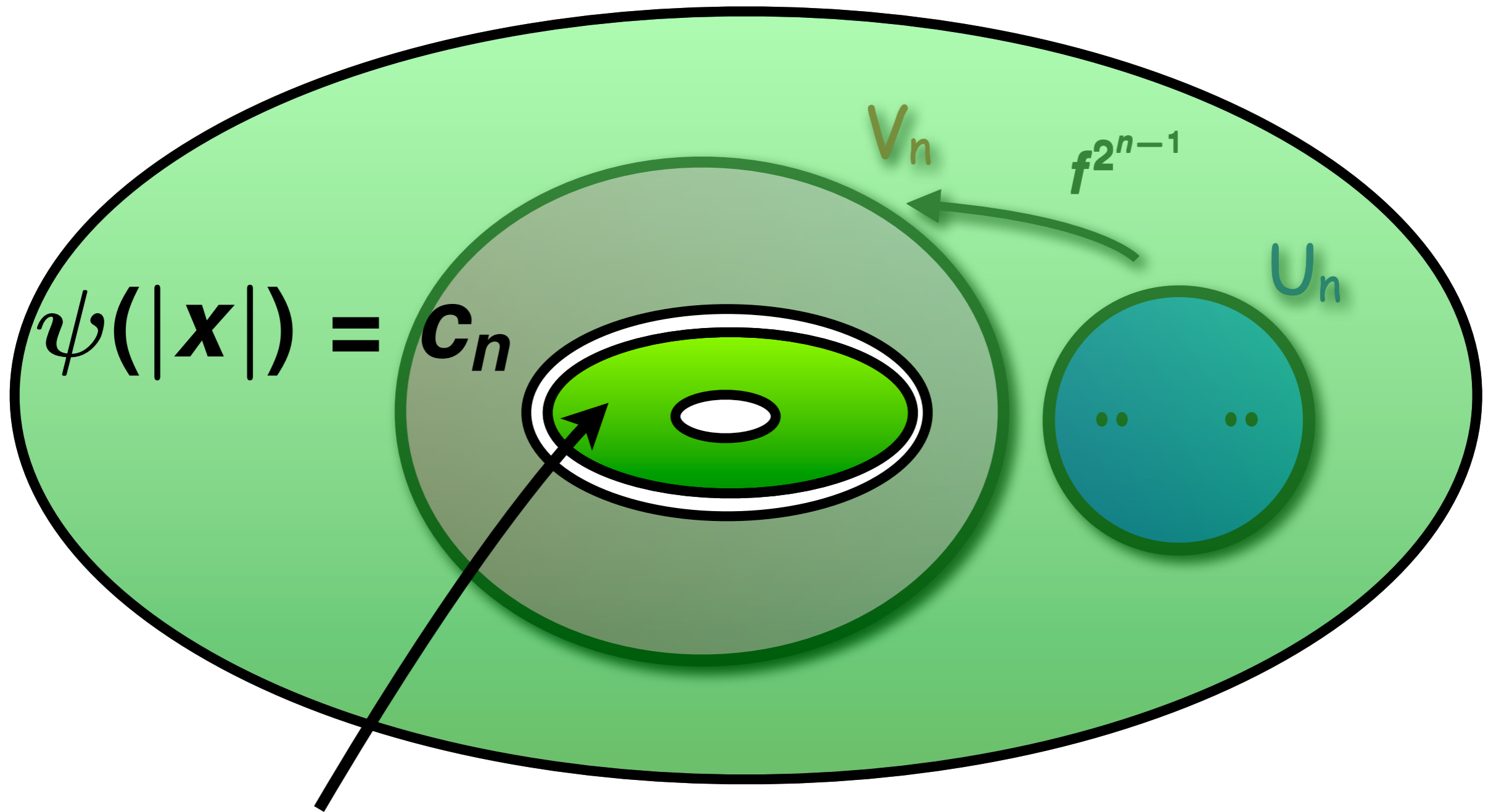




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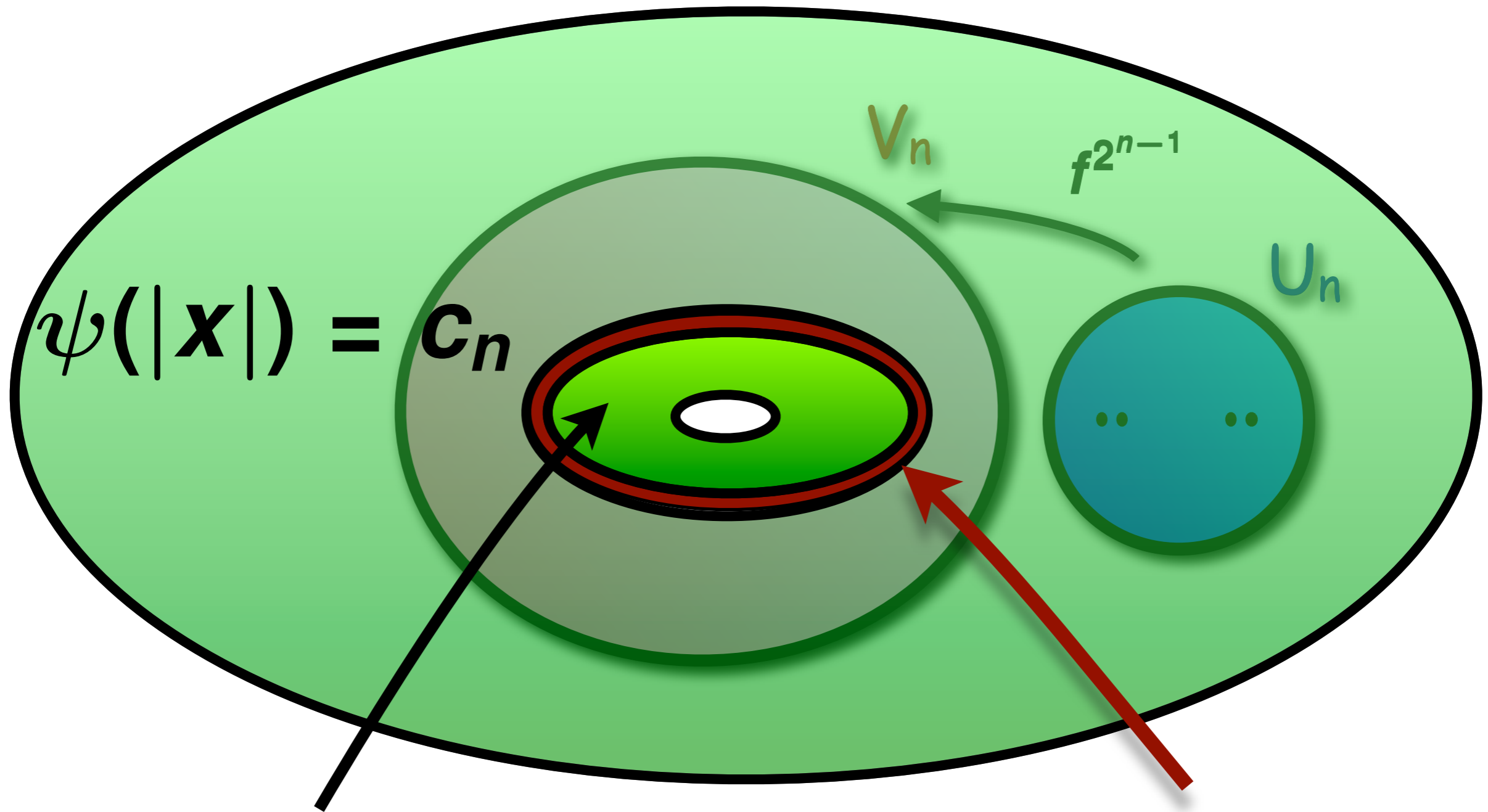


# Solution of induced problem for $w_2$



$$\psi(|\mathbf{x}|) = \mathbf{C}_{n+1}$$

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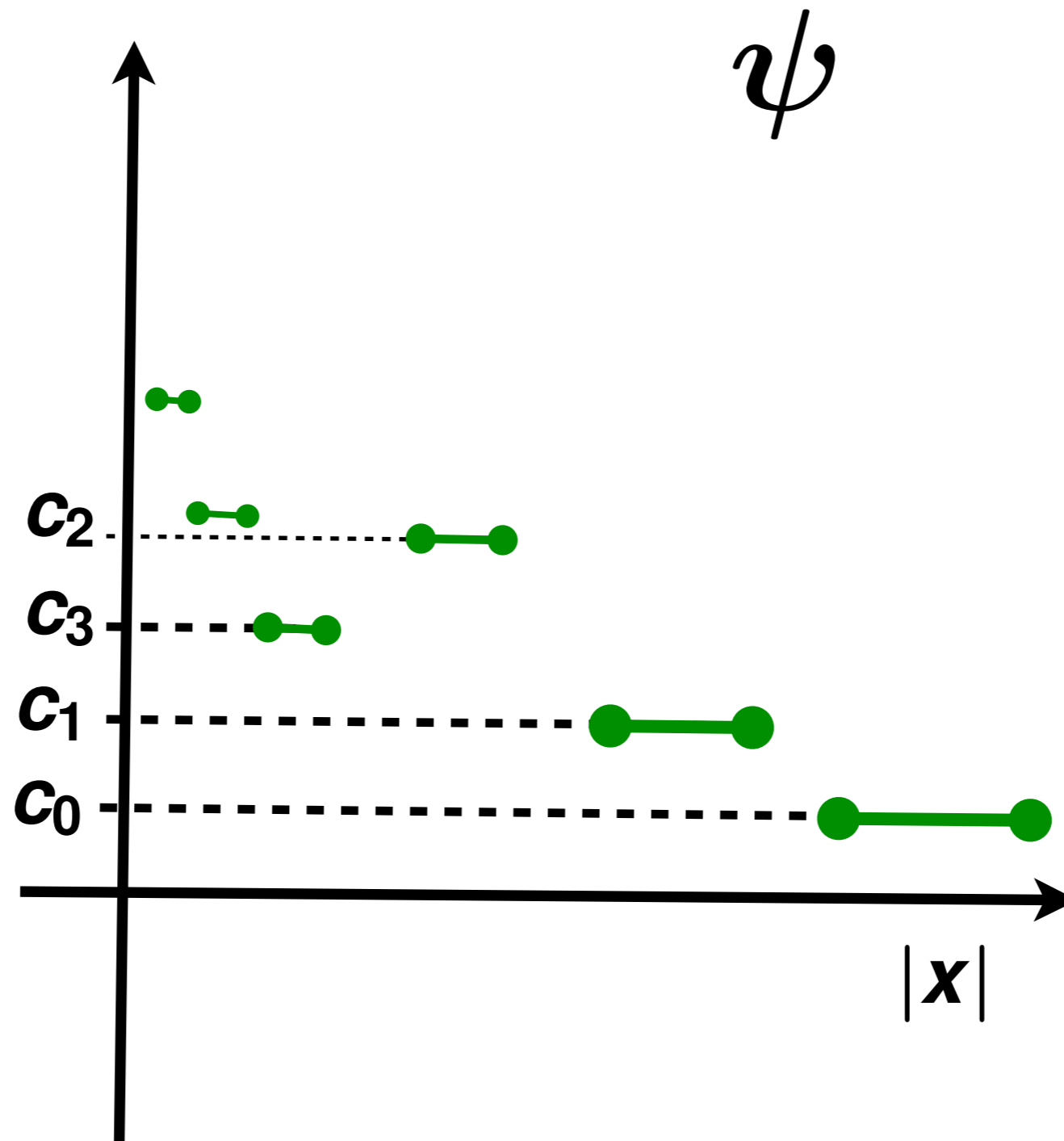


$$\psi(|\mathbf{x}|) = C_n$$

$$\psi(|\mathbf{x}|) = C_{n+1}$$

**Linear interpolation**

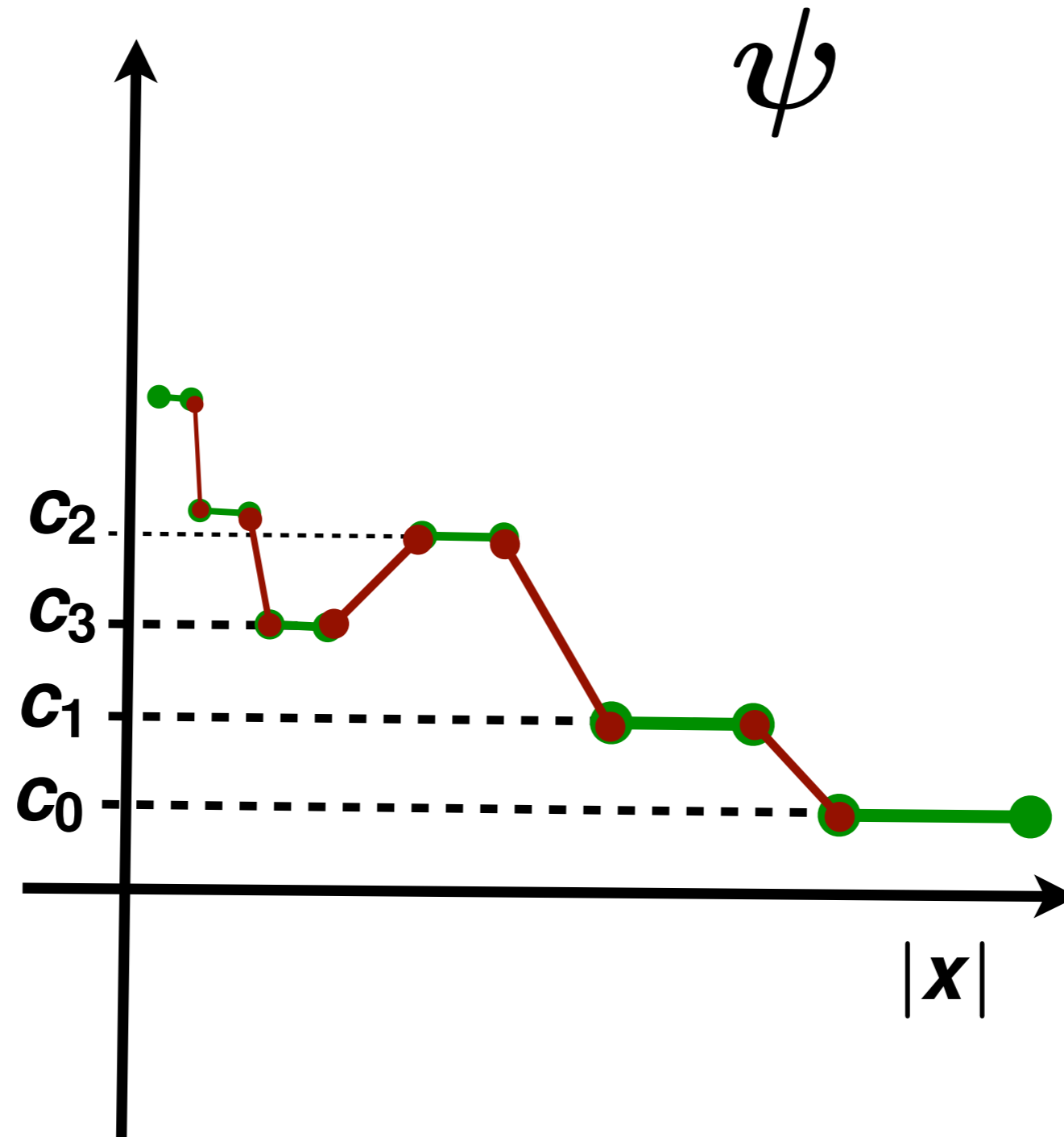
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$$\alpha_2(\mathbf{x}) = \psi(|\mathbf{x}|) \cdot \mathbf{x}$$

is a quasiconformal vector field!!

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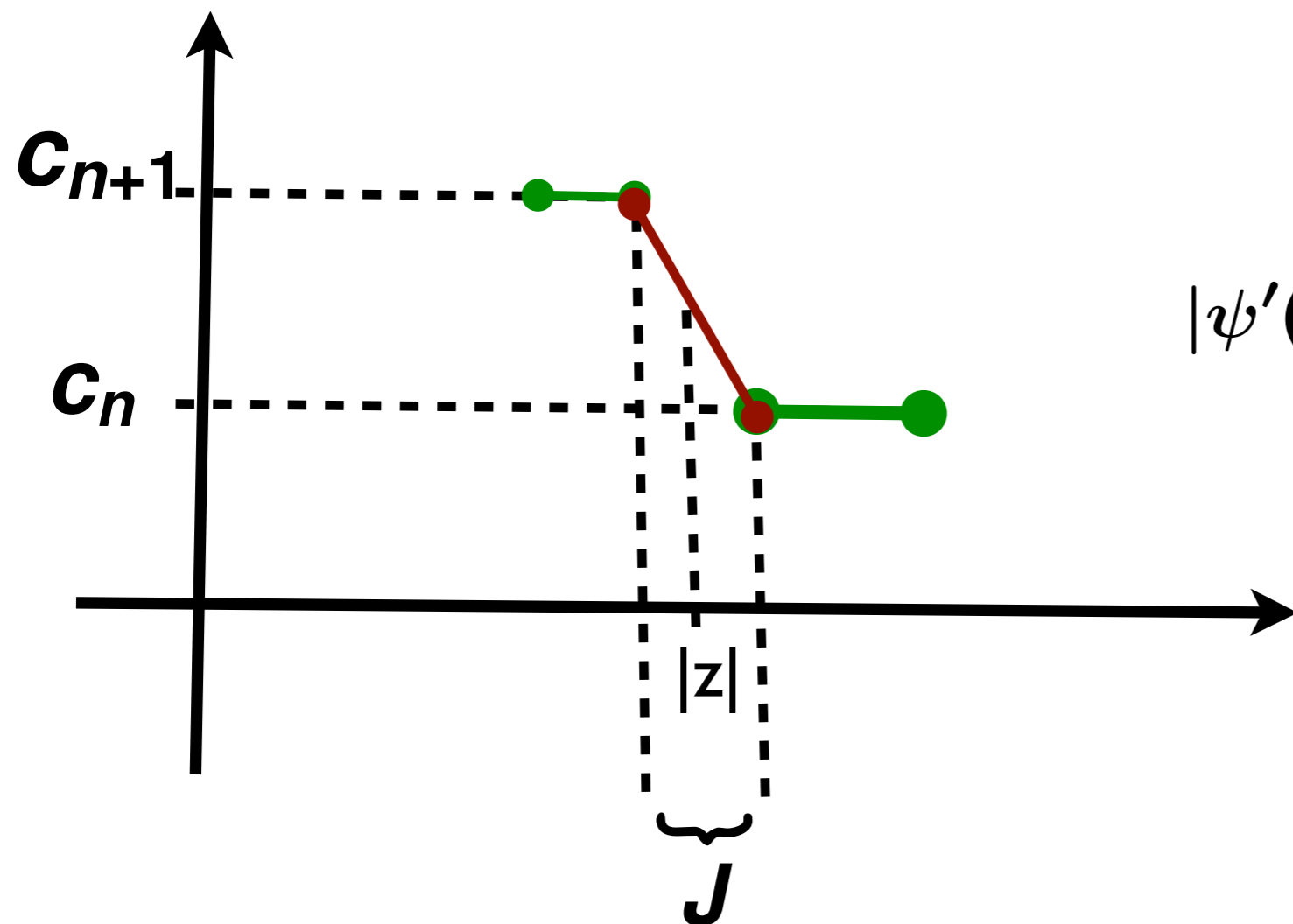
is a quasiconformal vector field!!

# Solution of induced problem for $w_2$

$$\alpha_2(\mathbf{x}) = \psi(|\mathbf{x}|) \cdot \mathbf{x}$$

$$|\bar{\partial}\alpha_2(\mathbf{z})| = \frac{|\mathbf{z}\psi'(|\mathbf{z}|)|}{2} < C$$

**Motivation:** If  $\psi(|\mathbf{z}|) = \ln |\mathbf{z}|$ , then  $|\bar{\partial}\alpha_2| = 1/2$



$$|\mathbf{J}| > \epsilon_0 |\mathbf{z}|$$

$$|\psi'(|\mathbf{z}|)| = \frac{|c_{n+1} - c_n|}{|\mathbf{J}|} \leq \frac{C}{\epsilon_0 |\mathbf{z}|}$$