

# Topologies defined on trees

Aleksander Błaszczyk  
Silesian University, Poland

“Trends in Set Theory”, Warsaw 2012

For a partial order  $(X, \leq)$  and  $y \in X$  we shall use the following abbreviations:

$$(\leftarrow, y) = \{z \in X : z < y\},$$

$$(y, \rightarrow) = \{z \in X : z > y\},$$

A tree is a partial order  $(T, \leq)$  such that:

1. there exists the least element in  $T$ ,
2. for every  $t \in T$  the set  $(\leftarrow, t)$  is well ordered.

The order type of the set  $(\leftarrow, t)$  is called the height of  $t$  in  $T$  and denoted by  $\text{ht}(t, T)$  whereas

$$\text{Lev}_\alpha(T) = \{t \in T : \text{ht}(t, T) = \alpha\}$$

is the  $\alpha$ -level of  $T$ .

The height of the tree  $(T, \leq)$  is defined as

$$\text{ht}(T) = \min\{\alpha: \text{Lev}_\alpha(T) = \emptyset\}.$$

If  $(T, \leq)$  is a tree and  $t \in T$  then

$$\text{succ}(t) = \{s \in T: s \text{ is minimal in } (t, \rightarrow)\}$$

denotes the set of all immediate successors of the element  $t$ .

A tree  $(T, \leq)$  is called infinitely branching whenever the set  $\text{succ}(t)$  is infinite for every  $t \in T$ .

A family  $\mathcal{F} \subseteq \mathcal{P}(X)$  is called a (free) filter whenever

1.  $\{X \setminus F: |F| < \omega\} \subseteq \mathcal{F}$  and  $\emptyset \notin \mathcal{F}$ ,
2.  $(\forall F \in \mathcal{F})(\forall G \subseteq X)(F \subseteq G \Rightarrow G \in \mathcal{F})$ ,
3.  $(\forall F_1, F_2 \in \mathcal{F})(F_1 \cap F_2 \in \mathcal{F})$ .

Let  $(T, \leq)$  be a tree and let  $\mathfrak{F} = (\mathcal{F}_t : t \in T)$ , where

$$\mathcal{F}_t \subseteq \mathcal{P}(\text{succ}(t))$$

for every  $t \in T$ , be an indexed family of filters. For every  $s \in T$  and every

$$\phi_s \in \prod \{\mathcal{F}_t : t \in [s, \rightarrow)\},$$

we consider the set

$$U_{s, \phi_s} = \bigcup \{U_{\phi_s}^\alpha : \alpha < \text{ht}[s, \rightarrow)\},$$

where for every  $\alpha < \text{ht}[s, \rightarrow)$  the sets  $U_{\phi_s}^\alpha \subseteq T$  are defined as follows:

$$U_{\phi_s}^0 = \{s\},$$

$$U_{\phi_s}^{\alpha+1} = U_{\phi_s}^\alpha \cup \bigcup \{\phi_s(t) : t \in U_{\phi_s}^\alpha \text{ and } \text{ht}(t, [s, \rightarrow)) = \alpha\},$$

$$U_{\phi_s}^\alpha = \{t \in T : [s, t) \subseteq \bigcup \{U_{\phi_s}^\beta : \beta < \alpha\}\}$$

if  $\alpha$  is a limit ordinal.

For every tree  $T$  and every indexed family  $\mathfrak{F} = (\mathcal{F}_t : t \in T)$  of filters we consider the collection

$$\mathcal{B}(T, \mathfrak{F}) = \{U_{s, \phi_s} : s \in T \text{ and } \phi_s \in \prod \{\mathcal{F}_t : t \in [s, \rightarrow)\}\}.$$

**Lemma** *The family  $\mathcal{B}(T, \mathfrak{F}) \cup \{\emptyset\}$  is closed under finite intersections.*

**Definition 1** *The tree topology  $\mathcal{T}_{\mathfrak{F}}$  on  $T$  is the topology generated by the family  $\mathcal{B}(T, \mathfrak{F})$ , where  $\mathfrak{F} = (\mathcal{F}_t : t \in T)$  is an indexed family of filters. A tree endowed with the tree topology  $\mathcal{T}_{\mathfrak{F}}$  is called an  $\mathfrak{F}$ -tree.*

**Theorem** *Let  $(T, \leq)$  be an  $\mathfrak{F}$ -tree of height  $\kappa \geq \omega$ . Then the following conditions hold true:*

- (1)  *$T$  is a zero-dimensional dense in itself Hausdorff space,*
- (2)  *$T$  is nowhere compact, i.e. if  $A \subseteq T$  is a compact subspace then  $\text{int } A = \emptyset$ ,*

(3)  $\text{cl Lev}_\alpha(T) = \text{Lev}_{\leq \alpha}(T)$  for every  $\alpha < \kappa$ ,

(4)  $\text{int Lev}_{\leq \alpha}(T) = \emptyset$  for every  $\alpha < \kappa$ ,

(5) if  $A \subseteq T$  is a chain, then  $A$  is closed and discrete,

(6) if  $A \subseteq T$  is an antichain, then  $A$  is a discrete subspace of  $T$ .

**Proposition** *Every countable  $\mathfrak{F}$ -tree has a continuous bijection onto the space of rational numbers.*

**Proposition** *Let  $(T, \leq)$  be a special Aronszajn tree. Then for every  $\mathfrak{F} = (\mathcal{F}_t : t \in T)$  the  $\mathfrak{F}$ -tree  $T$  is an uncountable dense in itself space which is a countable union of closed discrete subspaces.*

**Theorem** *Every  $\mathfrak{F}$ -tree is a collectionwise normal space.*

**Theorem** *Assume  $T$  is an  $\mathfrak{F}$ -tree with  $\mathfrak{F} = (\mathcal{F}_t : t \in T)$  and  $\text{ht}(T) = \omega$ . Then  $T$  is extremally disconnected iff for every  $t \in T$  the filter  $\mathcal{F}_t$  is an ultrafilter.*

**Proposition** *Let  $(T, \leq)$  be a tree with the underlying set*

$$T = \text{Seq}(\omega + \omega) = \bigcup \{ {}^\alpha \omega : \alpha < \omega + \omega \}$$

*and the partial order given by*

$$x \leq y \iff y \upharpoonright \text{dom}(x) = x,$$

*and let  $\mathfrak{F} = (\mathcal{F}_t : t \in T)$  be an arbitrary collection of filters. Then there exist disjoint sets  $U, V \subseteq T$  which are open in the  $\mathfrak{F}$ -tree  $T$  and such that  $\emptyset \in \text{cl}U \cap \text{cl}V$ . In particular, the  $\mathfrak{F}$ -tree  $T$  is not extremally disconnected.*

Later we shall assume additionally that  $\text{ht}(T) = \omega$  and there exists a cardinal  $\kappa \geq \omega$  such that  $|\text{succ}(s)| = \kappa$  for all  $s \in T$ . Hence, the  $\mathfrak{F}$ -tree  $T$  is extremally disconnected whenever  $\mathfrak{F}$  consist of ultrafilters.

**Theorem** *Assume  $(T, \leq)$  is an  $\mathfrak{F}$ -tree and let  $f: T \rightarrow T$  be a continuous closed mapping. If  $\mathfrak{F}$  consists of pairwise incomparable ultrafilters, then  $f$  is the identity.*

**Theorem** *Assume  $(T, \leq)$  is an  $\mathfrak{F}$ -tree and  $\mathfrak{F}$  consists of pairwise incomparable ultrafilters. If  $U, V \subseteq T$  are open sets and  $f: U \rightarrow V$  is an open surjection, then  $U = V$  and  $f$  is the identity.*

**Remark (Jerry Vaughan)** *Assume  $T = \text{Seq}$  is an  $\mathfrak{F}$ -tree where  $\mathfrak{F} = (\mathcal{F}_s: s \in \text{Seq})$  is a collection of pairwise comparable ultrafilters. Then every two nonempty open subsets of  $T$  are homeomorphic.*



**Theorem** Assume  $T = \text{Seq}$  is an  $\mathfrak{F}$ -tree where  $\mathfrak{F} = (\mathcal{F}_s : s \in \text{Seq})$  is a collection of pairwise incomparable weak  $P$ -ultrafilters. Then for every continuous injection  $f : \beta T \rightarrow \beta T$  there exists a clopen set  $U \subseteq \beta T$  such that  $f \upharpoonright U$  is the identity and  $f[\beta T \setminus U]$  is a nowhere dense subset of  $\beta T$ . In particular, if  $f$  is a homeomorphism of  $\beta T$  onto itself, then it is the identity.

If  $\lambda > \omega$ , then a (free) filter  $\mathcal{F}$  on  $\omega$  is called a  $P_\lambda$ -filter if for every subfamily  $\mathcal{G}$  of size less than  $\lambda$  there exists an element of  $\mathcal{F}$  which is almost contained in every element of  $\mathcal{G}$ . As usual  $\mathfrak{b}$  denotes the minimal cardinality of an unbounded subset of  ${}^\omega\omega$  ordered by the relation  $\leq^*$ .

**Theorem** Assume  $\omega < \lambda \leq \mathfrak{b}$  and  $T = \text{Seq}(\omega)$ . If  $\mathfrak{F} = (\mathcal{F}_t : t \in T)$  consists of  $P_\lambda$ -filters and  $\mathcal{U}$  is a collection of open subsets of  $\beta T$  such that  $|\mathcal{U}| < \lambda$  and  $T \subseteq U \subseteq \beta T$  for every  $U \in \mathcal{U}$ , then

$$T \subseteq \text{int} \bigcap \mathcal{U}.$$