

# A set-theoretic analysis of the quotient problem and the biorthogonal system problem

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# Outline

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7. Finite-dimensional approximations

# Schauder basic sequences

## Schauder basic sequences

A sequence  $\{x_\gamma : \gamma \in \Gamma\}$  in some Banach space  $X$  indexed by a set of ordinals  $\Gamma$  is **basic**, or **Schauder basic**, in  $E$  if it is normalized, independent and if there is a constant  $C \geq 1$  such that

$$\left\| \sum_{i \in I} a_i x_i \right\| \leq C \left\| \sum_{j \in J} a_j x_j \right\|$$

for any pair  $I \sqsubseteq J$  of finite subsets of  $\Gamma$  such that  $I$  is an **initial segment** of  $J$  and for every sequence  $(a_j : j \in J)$  of scalars.

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**Theorem (Mazur 1932)**

*Every infinite-dimensional Banach space contains an infinite basic sequence.*

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## Theorem (Godun-Kadets 1980, Plichko 1980)

*If  $|\Gamma| > 2^{\aleph_0}$ , then*

$$\ell_{\infty}^{\aleph_0}(\Gamma) = \{x \in \ell_{\infty}(\Gamma) : |\text{supp}(x)| \leq \aleph_0\}$$

*has no fundamental biorthogonal system.*

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The **cofinality** of an infinite-dimensional Banach space  $X$  is the minimal infinite cardinal  $\theta$  for which there is a **increasing** sequence  $X_\xi$  ( $\xi < \theta$ ) of **proper closed** subspaces of  $X$  such that  $\bigcup_{\xi < \theta} X_\xi$  is dense in  $X$ .

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## Theorem (Folklore ?)

*If an infinite-dimensional space  $X$  has cofinality  $\omega$  then  $X$  has a quotient with a Schauder basis of length  $\omega$ .*

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We say that such an ideal  $\mathcal{I}$  is a **P-ideal** if for every sequence  $(x_n)$  in  $\mathcal{I}$  there is  $y \in \mathcal{I}$  such that  $x_n \setminus y$  is finite for all  $n$ .

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2. Given a family  $\mathcal{F}$  of cardinality  $< \mathfrak{b}$  the ideal

$$\mathcal{F}^\perp = \{x \in [S]^{\leq \aleph_0} : (\forall X \in \mathcal{F}) |x \cap X| < \aleph_0\}$$

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3. It is known that PID implies, for example, the Souslin Hypothesis.

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## Theorem (Todorcevic 2006)

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### Theorem (Josefson 1975, Nissenzweig 1975)

*For every infinite-dimensional normed space  $X$  there is a normalized  $w^*$ -null sequence  $(f_n)$  ( $n < \omega$ ) in  $X^*$ .*

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We concentrate on the alternative (2) of PID and design another P-ideal.

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2. The corresponding sequence  $\{f_\gamma^* : \gamma \in \Gamma\}$  of linear functionals of the norm-closed linear span of  $\{f_\gamma : \gamma \in \Gamma\}$  is also Schauder basic of constant 1.
3. The map  $x \mapsto \sum_{\gamma \in \Gamma} f_\gamma(x) f_\gamma^*$  is a quotient map from  $X$  onto the norm-closed linear span of  $\{f_\gamma^* : \gamma \in \Gamma\}$ .



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the norm-fragmentability of the  $w^*$ -topology of the dual ball,  
sequential compactness of its dual ball with the  $w^*$ -topology,  
separability of the dual of every separable subspace, etc.

# PID and Asplund spaces



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*Assume PID. The following are equivalent:*

- 1. Every non-separable Asplund space has an uncountable biorthogonal system.*
- 2.  $\mathfrak{b} = \omega_2$ .*

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### Lemma

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### Remark

If  $M$  orthogonal to  $\mathcal{I}$  would mean that  $M$  does not accumulate to  $0^*$  we would be easily done, since if  $X$  is non-separable,  $0^*$  is not a  $G_\delta$  point of  $B_{X^*}$ .

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### Lemma (Key)

*The alternative (2) fails for the ideal  $\mathcal{I} \upharpoonright (K \cup -K) \setminus \{0^*\}$ .*

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A Banach space  $(X, \|\cdot\|)$  has the **Mazur Intersection Property, MIP**, if every bounded closed convex set is an intersection of closed balls.

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## Theorem (JiménezSevilla-Moreno 1997)

*Suppose that a Banach space  $X$  has a biorthogonal system  $\{(x_i, f_i : i \in I)\} \subseteq X \times X^*$  such that*

$$X^* = \overline{\text{span}}\{f_i : i \in I\}$$

*Then  $X$  admits an equivalent norm with the MIP.*



## Theorem (JiménezSevilla-Moreno 1997)

*Suppose that a non-separable Banach space  $X$  has an equivalent norm with the MIP. Then for every  $\varepsilon > 0$  there is an uncountable  $\varepsilon$ -biorthogonal system  $\{(x_i, f_i : i \in I)\} \subseteq X \times X^*$ , i.e., a system such that*

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## Remark

This is natural to ask in view of Mazur's original theorem since a Banach space with a Fréchet differentiable norm is necessarily an Asplund space.

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## Theorem (Bačák-Hájek 2008)

*Suppose  $X$  is an Asplund space of density  $\aleph_1$  with an uncountable biorthogonal theorem. Then  $X$  contains an uncountable normalized sequence which is  $w^*$ -null as a subset of  $X^{**}$ .*

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For  $n < \omega$  and  $\beta < \omega_1$ , set

$$H_n(\beta) = \{\beta\} \cup \{\alpha < \beta : \Delta(\alpha, \beta) = n \text{ and } \rho_1(\alpha, \beta) \leq a_\beta(n)\}.$$

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### Theorem (Todorćević 1989)

*$X_{\mathcal{H}}$  is a nonseparable Asplund space whose weak topology is hereditarily Lindelöf. So  $X$  has no uncountable biorthogonal systems nor equivalent norms with the MIP.*



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## Remark

We give an answer to this question by borrowing both from the theory of  $\rho$ -functions on  $\omega_1$  and known forcing constructions of Boolean algebras and Banach spaces.

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When  $n_t$  and the cardinality of  $t$  and  $r_t$  depend only on the rank of  $t$  in  $\mathcal{F}$ , we say that  $\mathcal{F}$  is **homogeneous**.



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Note that condition indeed passes from rank  $k$  to rank  $k + 1$

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We say that  $t \in \mathcal{F}$  **captures** a finite  $\Delta$ -system  $a_\xi$  ( $\xi \in I$ ) of finite subsets of  $\omega_1$  with root  $a$  if there is an injection

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## Problem

*Does any of the two assumptions  $\mathfrak{b} = \omega_1$  or  $\mathfrak{p} = \omega_1$  give us homogeneous cofinal families of finite subsets of  $\omega_1$  that (in some sense) capture uncountable  $\Delta$ -systems of finite subsets of  $\omega_1$ ?*

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## Theorem

*Assume  $\diamond$ . Then there is a cofinal homogeneous family  $\mathcal{F}$  of finite subsets of  $\omega_1$  which totally and positionally captures an arbitrary uncountable  $\Delta$ -system of finite subsets of  $\omega_1$ .*

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## Remark

Only the sequence  $(r_k)_k$  of cardinalities of roots needs to satisfy certain mild requirement, the other two sequences are arbitrary, modulo the requirements

$$m_0 = 1, r_{k+1} < m_k, n_{k+1} > 1, \text{ and } m_{k+1} = r_{k+1} + n_{k+1}(m_k - r_{k+1}).$$



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### Proposition

*If  $\mathcal{F}$  is a homogeneous cofinal family of finite subsets of  $\omega_1$  which positionally and totally captures uncountable  $\Delta$ -systems then  $X_{\mathcal{F}}$  is a nonseparable Asplund space with no uncountable biorthogonal system.*