

Ternary quadratic forms that represent zero: the function field case

by

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1. Introduction. Let K be a global function field with field of constants k , a finite field with q elements and odd characteristic. Let S be a finite set of $s > 0$ places of K and let R_S denote the ring of S -integers of K , that is, the set of $a \in K$ such that $v(a) \geq 0$ for each place $v \notin S$. For s -tuples $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ of rational integers, let $Q_S(\mathbf{m}, \mathbf{n})$ denote the number of pairs (a, b) of integers of R_S such that $v(a) = m_v$ and $v(b) = n_v$ for all $v \in S$ and the quadratic form

$$(f_{a,b}) \quad X^2 - aY^2 - bZ^2$$

represents 0 over the field K . We give an asymptotic estimate for $Q_S(\mathbf{m}, \mathbf{n})$ for s -tuples \mathbf{m} and \mathbf{n} such that the numbers

$$\|\mathbf{m}\| = - \sum_{v \in S} f_v m_v, \quad \|\mathbf{n}\| = - \sum_{v \in S} f_v n_v$$

tend to ∞ , f_v denoting the degree of the place v .

The present paper can be viewed as a generalization of [1] where the author dealt with the case of a rational function field. That case was a polynomial analogue of questions asked by Serre [8] and solved by Hooley [5] and Guo [4] about the size of the number $H(x)$ of pairs $(a, b) \in \mathbb{Z}^2$ such that $|a|, |b| \leq x$ and the ternary quadratic form

$$X^2 + aY^2 + bZ^2$$

represents 0 over the field \mathbb{Q} . Presently no number field analogue of the theorems proved in what follows is known.

This paper is organized as follows. Notations and statements of the main theorems are given in Section 2. Auxiliary estimates concerning arithmetic functions and character sums are given in the third section. Section 4 is the

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main part of the paper. In that section, we require the coefficients a and b to belong to a ring $R_{\{v_0\}}$ since dealing with the case where S reduces to one element v_0 is easier. In this setting we study a more general problem. This study allows us to get as a corollary an estimate for $Q_S(\mathbf{m}, \mathbf{n})$, obtained in the last section.

2. Notations and statement of the results. Let g be the genus of K and let h be its divisor class number, that is, the number of classes of divisors of degree 0.

Let $V = V(K)$ denote the set of places of K . When there is no danger of confusion we denote by the same symbol a place and the normalized discrete valuation associated with it.

The zeta-function of the field K is defined on the open disk $D_{1/q}$ formed by the complex numbers z such that $|z| < 1/q$ by

$$\zeta_K(u) = \prod_{v \in V} (1 - u^{f_v})^{-1}. \quad (2.1)$$

(Since we shall use the ζ -function in its rational form we have chosen to denote it in an unusual way.)

For s -tuples $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ of rational integers, let $Q_S(\mathbf{m}, \mathbf{n})$, $Q'_S(\mathbf{m}, \mathbf{n})$ and $Q_{1,S}(\mathbf{m}, \mathbf{n})$ denote respectively the number of pairs (a, b) of integers in R_S , of square-free integers in R_S , and of square-free coprime integers in R_S , such that $v(a) = m_v$ and $v(b) = n_v$ for all $v \in S$, and the quadratic form

$$(f_{a,b}) \quad X^2 - aY^2 - bZ^2$$

represents 0 over the field K .

We prove the following theorem.

THEOREM 2.1. *Let λ and θ be real numbers such that*

$$\frac{3 \log 2}{2 \log q} < \lambda \leq 1 \quad \text{and} \quad \frac{\log 2}{\log q} < \theta \leq 1.$$

Let $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ be s -tuples of rational integers, let $\tau(\mathbf{m}, \mathbf{n})$ be the number of indices v such that m_v or n_v is odd, and let

$$\|\mathbf{m}\| = - \sum_{v \in S} f_v m_v, \quad \|\mathbf{n}\| = - \sum_{v \in S} f_v n_v.$$

(i) *If $0 < \lambda \max(\|\mathbf{m}\|, \|\mathbf{n}\|) \leq \min(\|\mathbf{m}\|, \|\mathbf{n}\|)$, then*

$$Q_S(\mathbf{m}, \mathbf{n}) = 2^{-\tau(\mathbf{m}, \mathbf{n})} C(S) \frac{q^{|\mathbf{m}| + |\mathbf{n}|}}{\|\mathbf{m}\|^{1/2} \|\mathbf{n}\|^{1/2}} + O\left(\frac{q^{|\mathbf{m}| + |\mathbf{n}|}}{\|\mathbf{m}\| \|\mathbf{n}\|}\right),$$

where

$$C(S) = \frac{2\zeta_K(q^{-2})q^{1-g}(q-1)}{h\pi} \times \prod_{v \in S} \left(1 - \frac{1}{q^{2f_v}}\right) \left(1 - \frac{1}{q^{f_v}}\right) \cdot \prod_{\substack{v \in V \\ v \notin S}} \left(1 + \frac{1}{2q^{f_v}(q^{f_v} + 1)}\right)$$

and where the constants involved in the O symbol depend only on K , S and λ .

(ii) If $0 < \theta \max(\|\mathbf{m}\|, \|\mathbf{n}\|) \leq \min(\|\mathbf{m}\|, \|\mathbf{n}\|)$, then

$$Q_{1,S}(\mathbf{m}, \mathbf{n}) = 2^{-\tau(\mathbf{m}, \mathbf{n})} C_1(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\|^{1/2} \|\mathbf{n}\|^{1/2}} + O\left(\frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}\right),$$

$$Q'_S(\mathbf{m}, \mathbf{n}) = 2^{-\tau(\mathbf{m}, \mathbf{n})} C'(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\|^{1/2} \|\mathbf{n}\|^{1/2}} + O\left(\frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}\right),$$

where

$$C_1(S) = \frac{2q^{1-g}(q-1)}{\pi h \zeta_K(q^{-2})} \prod_{v \in S} \left(1 + \frac{1}{q^{f_v}}\right)^{-1},$$

$$C'(S) = \frac{2q^{1-g}(q-1)}{\pi h \zeta_K(q^{-2})} \prod_{v \in S} (1 + q^{-f_v})^{-1} \cdot \prod_{\substack{v \in V \\ v \notin S}} \left(1 + \frac{1}{2q^{f_v}(q^{f_v} + 1)}\right)$$

and where the constants involved in the O symbols depend only on K , S and θ .

Let $v \in V$. Let K_v , K_v^* , O_v , and U_v denote respectively the completion of the field K at the place v , the multiplicative group of the field K_v , the valuation ring of K_v , and the group of units of the ring O_v . Moreover, let f_v denote the residual degree of v .

For a rational integer $j > 0$ let $U_v^{(j)}$ denote the subgroup formed by the $u \in U_v$ such that $v(u-1) \geq j$. Once for all we choose, for any $v \in V$, a uniformizing element $\pi_v \in K$. There is a subfield k_v of K_v isomorphic to the residual field at v such that every non-zero element $\alpha \in K_v$ admits a unique expansion

$$\alpha = \sum_{j=n}^{\infty} a_j \pi_v^j, \quad (2.2)$$

with $n = v(\alpha)$, $a_j \in k_v$, $a_n \neq 0$ [7]. Hence α is uniquely written as a product

$$\alpha = \text{sgn}_v(\alpha) \pi_v^{v(\alpha)} u_v(\alpha), \quad (2.3)$$

with $\text{sgn}_v(\alpha) \in k_v$ and $u_v(\alpha) \in U_v^{(1)}$.

Let v_0 be a place of K and let $R = R_{\{v_0\}}$. We denote by $\mathcal{I} = \mathcal{I}(R)$ the set of non-zero integral ideals of R , by $\mathcal{P} = \mathcal{P}(R)$ the set of prime ideals of R , by $\mathcal{F} = \mathcal{F}(R)$ the group of fractional ideals of R in K , by $\mathcal{SF} = \mathcal{SF}(R)$ the set of square-free ideals of R , by $\text{Pr} = \text{Pr}(R)$ the monoid of non-zero principal ideals of R , and by $\mathcal{Cl} = \mathcal{Cl}(R)$ the ideal class group of R .

The set V is the union of the place v_0 and the P -adic places v_P for P running through the set \mathcal{P} of prime ideals of R . In order to reduce notation, we set

$$f_{v_P} = f_P, \quad K_{v_P} = K_P, \quad U_{v_P}^j = U_P^{(j)}$$

for each $P \in \mathcal{P}$ or for $P = 0$.

Let $H \in \mathcal{I}(R)$. We say that a fractional ideal $J \in \mathcal{F}(R)$ is *coprime* to H if $v_P(J) = 0$ for any prime ideal P dividing H . For any subset \mathcal{E} of $\mathcal{F}(R)$, we denote by \mathcal{E}_H the set of $Y \in \mathcal{E}$ coprime to H .

Let $\widehat{\mathcal{Cl}} = \widehat{\mathcal{Cl}}(R)$ be the group of characters of $\mathcal{Cl}(R)$. Let $\chi \in \widehat{\mathcal{Cl}}$. The character χ^* of the group of fractional ideals of R derived from χ is defined by

$$\chi^*(Y) = \chi(\text{cl}(Y))$$

where $\text{cl}(Y)$ denotes the class of Y in the ideal class group $\mathcal{Cl}(R)$. In what follows, we shall abuse language and denote by the same symbol χ the character $\chi \in \widehat{\mathcal{Cl}}$ and the derived character χ^* .

We set

$$\varrho(R) = \frac{q^{f_0} - 1}{q - 1}. \quad (2.4)$$

The group $\mathcal{F}(R)$ is free, generated by the set $\mathcal{P}(R)$. Thus, the subgroup $\text{FPr}(R)$ formed by the non-zero principal fractional ideals of R in K is free. Let \mathcal{B} be a basis of this free group. For each $B \in \mathcal{B}$, let $b_B \in K$ be a generator of B chosen once for all. Then the subgroup \mathcal{H} of K^* generated by $\{b_B; B \in \mathcal{B}\}$ is isomorphic to $\text{FPr}(R)$. Let \mathcal{M} denote the set $\mathcal{H} \cap R$ of integral elements of \mathcal{H} . The set \mathcal{M} is a multiplicative monoid such that every principal ideal in R is generated by a unique element of \mathcal{M} . The elements of \mathcal{M} will be called *monic*. (In the rational case, one can take for \mathcal{B} the set of ideals generated by the monic irreducible polynomials and for \mathcal{M} the set of monic polynomials.) For $A_1, \dots, A_r \in \mathcal{I}$, the greatest common divisor of A_1, \dots, A_r is denoted by (A_1, \dots, A_r) . For any non-zero $H \in \mathcal{I}(R)$, let $\omega(H)$ denote the number of distinct prime divisors of H , and $|H|$ the number of elements of the quotient ring R/H . Then $|H|$ is a power of q . We define the *degree* f_H of the ideal H by

$$|H| = q^{f_H}. \quad (2.5)$$

This notation agrees with the notation $f_P = f_{v_P}$ used for prime ideals. We

note that

$$f_H = \sum_{\substack{P \in \mathcal{P} \\ P|H}} v_P(H) f_P \quad (2.6)$$

and that this notation extends in a natural way to fractional ideals.

The divisor class number h of K and the ideal class number h_0 of R , that is, the order of the ideal class group \mathcal{Cl} , are connected by the identity

$$h_0 = h f_0. \quad (2.7)$$

See [6] for a proof.

We shall denote by D_r the open disk formed by the complex numbers z such that $|z| < r$, and by $z^{1/2}$ the branch of $z \mapsto z^{1/2}$ for which $1^{1/2} = 1$.

The following properties of the zeta-function ζ_K are well-known (cf. [9]):

$$\zeta_K(u) = \frac{P_K(u)}{(1-u)(1-qu)}, \quad (2.8)$$

where P_K is a polynomial of degree $2g$.

If $g > 0$, there exist algebraic numbers $\varrho_1, \dots, \varrho_g$ such that

$$P_K(u) = \prod_{i=1}^g (1 - \varrho_i u)(1 - \bar{\varrho}_i u), \quad |\varrho_i| = \sqrt{q}. \quad (2.9)$$

Moreover,

$$P_K(1) = h, \quad (2.10)$$

and P_K satisfies the functional equation

$$P_K(u) = q^g u^{2g} P_K\left(\frac{1}{qu}\right). \quad (2.11)$$

(For the rational function field K , we have $g = 0$, $h = 1$.)

All constants occurring in this work depend on K and other parameters. We agree that a constant denoted $a(x_1, \dots, x_r)$ depends only on K, x_1, \dots, x_r , or possibly only on x_1, \dots, x_r , and that a constant denoted b depends only on K , or is absolute. We shall denote by (a) the principal ideal Ra and by $\#E$ the cardinality of any finite set E . If H and Y are ideals in $\mathcal{I}(R)$ and if a is a non-zero element of R , then $H|Y$ and $H|a$ will mean respectively that the ideal H divides the ideal Y or the principal ideal (a) .

3. Auxiliary estimates. In this section we collect all technical estimates required for the proof. Let us introduce some new notations and definitions.

Once for all, we choose an ideal $I_1 \in \mathcal{F}(R)$ such that $f_{I_1} = 1$. According to [9, Chap. VII], such an ideal exists.

REMARK 3.1.

(i) Let $a \in R$. Then

$$f_{(a)} = -f_0 v_0(a). \quad (3.1)$$

(ii) The map $X \mapsto f_X$ is a surjective homomorphism from $\mathcal{F}(R)$ onto \mathbb{Z} .

(iii) If I and J are fractional ideals belonging to the same ideal class Γ , then

$$f_I \equiv f_J \pmod{f_0}. \quad (3.2)$$

Thus, there is a unique surjective homomorphism $\varphi : \Gamma \mapsto \varphi_\Gamma$ of the group $\mathcal{Cl} = \mathcal{Cl}(R)$ onto $\mathbb{Z}/\mathbb{Z}f_0$ such that

$$\varphi_\Gamma = f_H \quad \text{for any } H \in \Gamma. \quad (3.3)$$

Proof. See [2, Remarques III.4]. ■

Let n be a non-negative integer and let $\Gamma \in \mathcal{Cl}$. Let $i(n)$ and $i(\Gamma, n)$ denote respectively the number of ideals $Y \in \mathcal{I}$ or $Y \in \Gamma$ such that $f_Y = n$. We write $n \in \varphi_\Gamma$, resp. $n \notin \varphi_\Gamma$, whenever the congruence classes $n + \mathbb{Z}f_0$ and φ_Γ are equal, resp. different.

PROPOSITION 3.2. Let n be a non-negative integer and let $\Gamma \in \mathcal{Cl}$. Then

$$i(\Gamma, n) = 0 \quad \text{if } n \notin \varphi_\Gamma, \quad (3.4)$$

$$i(\Gamma, n) \leq \varrho(R)q^n, \quad i(n) \leq h\varrho(R)q^n, \quad (3.5)$$

$$i(\Gamma, n) = \varrho(R)q^{n+1-g-f_0} \quad \text{if } n \in \varphi_\Gamma \text{ and } n \geq 2g - 1 + f_0, \quad (3.6)$$

$$i(n) = h\varrho(R)q^{n+1-g-f_0} \quad \text{if } n \geq 2g - 1 + f_0. \quad (3.7)$$

Proof. We get (3.4) from (3.2) and (3.3). If γ is the unit class then $i(\gamma, 0) = i(0) = 1$. Suppose $n > 0$. By (3.2), (3.3), and (3.4),

$$i(n) = \sum_{\Gamma \in \mathcal{Cl}} i(\Gamma, n) = \sum_{\substack{\Gamma \in \mathcal{Cl} \\ n \in \varphi_\Gamma}} i(\Gamma, n).$$

Since there are exactly $h_0/f_0 = h$ ideal classes Γ such that $n \in \varphi_\Gamma$, it suffices to prove the first part of (3.5) and (3.6) in the case where $n \in \varphi_\Gamma$. Let $H \in \mathcal{I}(R)$ belong to the class Γ^{-1} . (Such an ideal exists, since if $J \in \mathcal{F}(R)$, then there exists a non-zero element $x \in R$ such that $xJ \in \mathcal{I}(R)$, and the ideals J and xJ belong to the same class.) Then $n + f_H \equiv 0 \pmod{f_0}$. Let m be defined by

$$(1) \quad n + f_H = mf_0.$$

Let $Y \in \mathcal{I}(R)$. Then $Y \in \Gamma$ if and only if there exists $y \in \mathcal{M}$, necessarily unique, such that $YH = (y)$, and in this case, by the product formula, $f_Y = n$ if and only if $-f_0 v_0(y) = n + f_H$. Since the group of units of

$R = R_{v_0}$ is the multiplicative group k^\star ,

$$(2) \quad (q-1)i(\Gamma, n) = \#\{y \in R; v_0(y) = -m, H \mid y\}.$$

For $r \in \{m, m-1\}$, consider the divisor

$$(3) \quad A(r, H) = rv_0 - \sum_{\substack{P \in \mathcal{P} \\ P \mid H}} v_P(H)v_P$$

and the set $\Lambda(A(r, H))$ formed by the $y \in K$ such that $v(y) \geq -v(A(r, H))$ for any $v \in V(K)$. Then $\Lambda(A(r, H))$ is a k -vector space of finite dimension over k . The Riemann–Roch theorem [3] connects the dimension λ_r of $\Lambda(A(r, H))$ and the degree

$$F_{A(r, H)} = rf_0 - \sum_{\substack{P \in \mathcal{P} \\ P \mid H}} f_P v_P(H)$$

of the divisor $A(r, H)$. One has

$$(4) \quad \lambda_r \leq \max(0, 1 + F_{A(r, H)}) \leq n,$$

$$(5) \quad \lambda_m - \lambda_{m-1} \leq F_{A(m, H)} - F_{A(m-1, H)} = f_0,$$

and if $F_{A(r, H)} \geq 2g-1$, then

$$(6) \quad \lambda_r = F_{A(r, H)} + 1 - g.$$

By (2),

$$(7) \quad (q-1)i(\Gamma, n) = \#\Lambda(A(m, H)) - \#\Lambda(A(m-1, H)).$$

If $\Lambda(A(m, H)) = \Lambda(A(m-1, H))$, then by (7), $i(\Gamma, n) = 0$ and (3.5) is proved. If $\Lambda(A(m, H)) \neq \Lambda(A(m-1, H))$, the quotient $\Lambda(A(m, H))/\Lambda(A(m-1, H))$ has $q^{f_0} - 1$ non-zero elements, hence, by (7) and (2.4),

$$i(\Gamma, n) = \varrho(R)\#\Lambda(A(m-1, H)),$$

and by (4),

$$i(\Gamma, n) \leq \varrho(R)q^n.$$

Now, suppose $n \geq 2g-1 + f_0$. Then, by (6),

$$i(\Gamma, n) = \varrho(R)q^{n+1-g-f_0},$$

proving (3.6). ■

PROPOSITION 3.3. *Let χ be a character of $\mathcal{Cl}(R)$. Then the series*

$$L(\chi, u) = \sum_{Y \in \mathcal{I}} \chi(Y)u^{f_Y} \quad (3.8)$$

is absolutely convergent in the disk $D_{1/q}$, and for $u \in D_{1/q}$,

$$L(\chi, u) = \prod_{P \in \mathcal{P}} (1 - \chi(P)u^{f_P})^{-1}. \quad (3.9)$$

Moreover,

(i) if χ is trivial on $\text{Ker } \varphi$ (see Remark 3.1(iii)), then

$$L(\chi, u) = \zeta_K(\chi(I_1)u)(1 - u^{f_0}), \quad (3.10)$$

(ii) if χ is not trivial on $\text{Ker } \varphi$, then $L(\chi, u)$ is a polynomial of degree $2g - 2 + f_0$ and

$$L(\chi, u) = \prod_{i=1}^{2g-2+f_0} (1 - \omega_i u), \quad (3.11)$$

with $|\omega_i| = q^{1/2}$ for $1 \leq i \leq 2g - 2$ and $|\omega_i| = 1$ for $2g - 1 \leq i \leq 2g - 2 + f_0$.

Proof. See [2, Proposition III.5]. ■

PROPOSITION 3.4. Let $a > 1$ be a real number. Then

$$\prod_{P \in \mathcal{P}} (1 - |P|^{-a}) = \frac{(1 - q^{-a})(1 - q^{1-a})}{(1 - q^{-f_0 a})P_K(q^{-a})}, \quad (3.12)$$

$$\prod_{P \in \mathcal{P}} (1 + |P|^{-a}) = \frac{P_K(q^{-a})(1 - q^{1-2a})(1 + q^{-a})}{P_K(q^{-2a})(1 - q^{1-a})(1 + q^{f_0 a})}. \quad (3.13)$$

Proof. Let $u \in D_{1/q}$. By (2.1) and (2.8),

$$\prod_{P \in \mathcal{P}} (1 - u^{f_P})^{-1} = \frac{(1 - u^{f_0})P_K(u)}{(1 - u)(1 - qu)}.$$

We get (3.12) and (3.13) by taking $u = q^{-a}$ and $u = q^{-2a}$. ■

Let $l \geq 2$ be an integer and let μ_l denote the group of l th roots of unity.

PROPOSITION 3.5. Let $\chi \in \widehat{\mathcal{Cl}(R)}$. Let Ψ be a morphism from the group $\mathcal{F}(R)$ of fractional ideals to μ_l and let $H \in \mathcal{I}(R)$. Then the series

$$L(\chi\Psi, u) = \sum_{Y \in \mathcal{I}_H} \chi(Y)\Psi(Y)u^{f_Y} \quad (3.14)$$

is absolutely convergent in the disk $D_{1/q}$, and for $u \in D_{1/q}$,

$$L(\chi\Psi, u) = \prod_{P \in \mathcal{P}_H} (1 - \chi(P)\Psi(P)u^{f_P})^{-1}. \quad (3.15)$$

Moreover, if Ψ is not trivial on the group $\text{FPr}(R)$ of principal fractional ideals and for any $x \in K$,

$$x \in U_0^{(1)} \text{ and } x \equiv 1 \pmod{H} \Rightarrow \Psi((x)) = 1, \quad (3.16)$$

then $L(\chi\Psi, u)$ is a polynomial of degree $d(\chi\Psi) \leq 2g - 2 + f_0 + f_H$ and

$$L(\chi\Psi, u) = \prod_{i=1}^{d(\chi\Psi)} (1 + \varrho_i u) \quad (3.17)$$

with $|\varrho_i| \in \{q^{1/2}, 1\}$.

Proof. See [2, Proposition III.6]. ■

PROPOSITION 3.6. *Let $\chi \in \widehat{\mathcal{Cl}}(R)$. Let Ψ be a morphism from the group $\mathcal{F}(R)$ to μ_l and let $H \in \mathcal{I}(R)$ satisfy (3.16). Let $A \in \mathcal{I}(R)$ be coprime to H and for any non-negative integer n , let*

$$a(\chi, \Psi, H, A, n) = \sum_{\substack{Y \in \mathcal{SF}_{HA} \\ f_Y = n}} \chi(Y)\Psi(Y)2^{-\omega(Y)}. \quad (3.18)$$

Then the series

$$f(z) = \sum_{n=0}^{\infty} a(\chi, \Psi, H, A, n) \left(\frac{z}{q}\right)^n = \sum_{Y \in \mathcal{SF}_{HA}} \chi(Y)\Psi(Y)2^{-\omega(Y)} \left(\frac{z}{q}\right)^{f_Y} \quad (3.19)$$

is absolutely convergent in the open disk D_1 , the product

$$G(z) = \prod_{P \in \mathcal{P}} \left(1 - \frac{3}{4} \left(\chi(P)\Psi(P) \left(\frac{z}{q}\right)^{f_P}\right)^2 - \frac{1}{4} \left(\chi(P)\Psi(P) \left(\frac{z}{q}\right)^{f_P}\right)^3\right) \quad (3.20)$$

is absolutely convergent in the open disk $D_{\sqrt{q}}$, and for $z \in D_1$ we have

$$\left(\frac{f(z)}{U(z)}\right)^2 = L\left(\chi\Psi, \frac{z}{q}\right)G(z), \quad (3.21)$$

where

$$U(z) = \prod_{\substack{P \in \mathcal{P} \\ P|AH}} \left(1 + \frac{1}{2} \chi(P)\Psi(P) \left(\frac{z}{q}\right)^{f_P}\right)^{-1}. \quad (3.22)$$

Moreover, if one of the following two hypotheses is satisfied:

- (i) there exists $x \in \mathcal{M}$ with $\Psi(x) \neq 1$,
- (ii) $H = (1)$, Ψ is trivial on $\mathcal{F}(R)$ and χ is not trivial on $\text{Ker } \varphi$,

then for any $n \geq 1$,

$$|a(\chi, \Psi, H, A, n)| \leq \alpha_1(R)2^{f_H/2}2^{\omega(AH)}n^{1/2}q^{n/2} \quad (3.23)$$

with $\alpha_1(R)$ a constant.

Proof. With the necessary adaptations the proof follows the proof of Proposition 2.2 in [1]. ■

COROLLARY 3.7. *Let $H \in \mathcal{I}(R)$ and let Ψ be a morphism from the group $\mathcal{F}(R)_H$ to the group μ_l non-trivial on the subgroup of principal ideals and satisfying (3.16). Let $A \in \mathcal{I}(R)$ and let $B \in \mathcal{I}(R)$ be coprime to H . For any non-negative integer n , let*

$$b(\Psi, H, A, B, n) = \sum_{\substack{Y \in \mathcal{SF}_{BH} \\ f_Y = n \\ AY \in \text{Pr}}} \Psi(Y) 2^{-\omega(Y)}. \quad (3.24)$$

Then

$$|b(\chi, \Psi, H, A, n)| \leq \alpha_1(R) 2^{f_H/2} 2^{\omega(BH)} n^{1/2} q^{n/2}. \quad (3.25)$$

Proof. By orthogonality,

$$(\#\mathcal{Cl})b(\Psi, H, A, B, n) = \sum_{\chi \in \hat{\mathcal{C}}\ell} \chi(A) u(\chi, n),$$

where for any integer $j \geq 0$,

$$u(\chi, j) = \sum_{\substack{Y \in \mathcal{SF}_H \\ f_Y = j}} \chi(Y) \Psi(Y) 2^{-\omega(Y)}.$$

The sum $u(\chi, j)$ is the sum $a(\chi, \Psi, H, B, j)$ defined by (3.18). Then, by (3.23),

$$|u(\chi, n)| \leq \alpha_1(R) 2^{f_H/2} 2^{\omega(BH)} n^{1/2} q^{n/2},$$

proving (3.25). ■

PROPOSITION 3.8. *Let $\eta \in]0, 1/2[$. Then, for $J, A \in \mathcal{I}(R)$ and any positive integer $n \equiv -f_A \pmod{f_0}$,*

$$\left| \sum_{\substack{Y \in \mathcal{SF}_J \\ f_Y = n \\ AY \in \text{Pr}}} 2^{-\omega(Y)} - B_1(R) \Omega(J) q^n n^{-1/2} \right| \leq \alpha_2(R) 2^{\omega(J)} q^{n/2} n^{1/2} + \alpha_3(R, \eta) \lambda_\eta(J) q^n n^{-3/2} \quad (3.26)$$

with

$$B_1(R) = \left(\frac{h\varrho(R)}{\pi h q^{g+f_0-1}} \right)^{1/2} \prod_{P \in \mathcal{P}} \left(1 + \frac{1}{2|P|} \right) \left(1 - \frac{1}{|P|} \right)^{1/2}, \quad (3.27)$$

$$\Omega(J) = \prod_{\substack{P \in \mathcal{P} \\ P|J}} \left(1 + \frac{1}{2|P|} \right)^{-1}, \quad (3.28)$$

$$\lambda_\eta(J) = \prod_{\substack{P \in \mathcal{P} \\ P|J}} \left(1 - \frac{|P|^{\eta-1}}{2} \right)^{-1}, \quad (3.29)$$

$\alpha_2(R)$ and $\alpha_3(R, \eta)$ being constants.

Proof. Let $n > 0$ be a rational integer and let

$$(1) \quad x_n = \sum_{\substack{Y \in \mathcal{SF}_J \\ AY \in \text{Pr} \\ f_Y = n}} 2^{-\omega(Y)}.$$

By orthogonality,

$$(2) \quad h_0 x_n = \sum_{\chi \in \hat{\mathcal{C}}\ell} \chi(A) u(\chi, n),$$

where

$$(3) \quad u(\chi, k) = \sum_{\substack{Y \in \mathcal{SF}_J \\ f_Y = k}} \chi(Y) 2^{-\omega(Y)}$$

for any integer $k \geq 0$. The sum $u(\chi, k)$ is the sum $a(\chi, \Psi, (1), J, k)$ defined by (3.18) where Ψ is taken equal to the unit character. Let $\mathcal{C}\ell_1 = \mathcal{C}\ell(R)_1$ denote the subgroup of $\mathcal{C}\ell(R)$ formed by the $\chi \in \mathcal{C}\ell(R)$ which are trivial on the subgroup $\text{Ker } \varphi$. Then $\#\mathcal{C}\ell(R)_1 = \#(\mathcal{C}\ell(R)/\text{Ker } \varphi) = \#(\mathbb{Z}/\mathbb{Z}f_0) = f_0$. By (3.23),

$$(4) \quad \left| \sum_{\substack{\chi \in \mathcal{C}\ell \\ \chi \notin \mathcal{C}\ell_1}} u(\chi, n) \right| \leq (h_0 - f_0) \alpha_1(R) 2^{\omega(J)} n^{1/2} q^{n/2}.$$

Let $\chi \in \mathcal{C}\ell_1$ and let

$$(5) \quad F(z) = \sum_{n=0}^{\infty} u(\chi, n) \left(\frac{z}{q} \right)^n.$$

By (3.21),

$$\left(\frac{F(z)}{U(z)} \right)^2 = L\left(\chi, \frac{z}{q} \right) G(z)$$

with $U(z)$ and $G(z)$ given by (3.22) and (3.20). By (3.10), and then (2.8),

$$L(\chi, z/q) = \frac{(1 - (z/q)^{f_0}) P_K(\chi(I_1)z/q)}{(1 - \chi(I_1)z/q)(1 - \chi(I_1)z)},$$

hence,

$$F(z) = U(z) G(z)^{1/2} \frac{(1 - (z/q)^{f_0})^{1/2} (P_K(\chi(I_1)z/q))^{1/2}}{(1 - \chi(I_1)z/q)^{1/2} (1 - \chi(I_1)z)^{1/2}}.$$

Let $\eta \in]0, 1/2[$. According to [1, Lemma 2.1],

$$\left| \frac{u(\chi, n)}{q^n} - \chi(I_1)^n U\left(\frac{1}{\chi(I_1)} \right) G\left(\frac{1}{\chi(I_1)} \right)^{1/2} \frac{(1 - (\frac{1}{\chi(I_1)q})^{f_0} P_K(\frac{1}{q}))^{1/2}}{\pi^{1/2} (1 - 1/q)^{1/2} n^{1/2}} \right| \\ \leq \beta(q, \eta) \max\{|U(z)| |P_K(z/q) G(z)|^{1/2}; |z| = q^n\} n^{-3/2}$$

with $\beta(q, \eta)$ a constant.

Since $n + f_A \equiv 0 \pmod{f_0}$ and $f_{I_1} = 1$, we have $f_A + nf_{I_1} \equiv 0 \pmod{f_0}$. Since χ is trivial on $\text{Ker } \varphi$, it follows that $\chi(I_1)^n \chi(A) = 1$ and $\chi(I_1)^{f_0} = 1$. By a proof which mimics that given in [1, Prop. 2.2], we get

$$(6) \quad \left| \chi(A)u(\chi, n)q^{-n} - U\left(\frac{1}{\chi(I_1)}\right)G\left(\frac{1}{\chi(I_1)}\right)^{1/2} P_K\left(\frac{1}{q}\right)^{1/2} \frac{(1 - (1/q)^{f_0})^{1/2}}{\pi^{1/2}(1 - 1/q)^{1/2}} n^{-1/2} \right| \leq h\alpha_3(R, \eta)\lambda_\eta(J)n^{-3/2}$$

with λ_η defined by (3.29) and $\alpha_3(R, \eta)$ a constant.

Since χ is trivial on $\text{Ker } \varphi$ and $f_{I_1} = 1$, we have $\chi(I_1)^{f_Z} = \chi(Z)$ for any ideal Z and by (3.22), (3.20) and (2.5),

$$(7) \quad U\left(\frac{1}{\chi(I_1)}\right) = \prod_{\substack{P \in \mathcal{P} \\ P|AH}} \left(1 + \frac{1}{2|P|}\right)^{-1},$$

$$(8) \quad G\left(\frac{1}{\chi(I_1)}\right) = \prod_{P \in \mathcal{P}} \left(1 - \frac{3}{4|P|^2} - \frac{1}{4|P|^3}\right).$$

We conclude the proof by combining (1), (2), (4), (6), (7), (8), (2.4), (2.10) and (2.11), with $\alpha_2(R) = (h_0 - f_0)\alpha_1(R)$. ■

PROPOSITION 3.9. *Let $H, A \in \mathcal{I}(R)$. Then, for any positive integer n such that $n + f_A \equiv 0 \pmod{f_0}$,*

$$\left| \sum_{\substack{Y \in \mathcal{SF}_H \\ f_Y = n \\ AY \in \text{Pr}}} \Omega(Y)2^{-\omega(Y)} - B_2(R)\Gamma(H)q^n n^{-1/2} \right| \leq \alpha_4(R)nq^{n/2} + \alpha_5(R)q^n n^{-3/2}, \quad (3.30)$$

where

$$B_2(R) = \left(\frac{h\varrho(R)}{\pi q^{g+f_0-1}}\right)^{1/2} \prod_{P \in \mathcal{P}} \left(1 + \frac{1}{1+2|P|}\right) \left(1 - \frac{1}{|P|}\right)^{1/2}, \quad (3.31)$$

$$\Gamma(H) = \prod_{\substack{P \in \mathcal{P} \\ P|H}} \left(1 + \frac{1}{1+2|P|}\right)^{-1}, \quad (3.32)$$

with $\alpha_4(R)$ and $\alpha_5(R)$ constants.

Proof. As for Proposition 3.8. We choose a particular value for η , for instance $\eta = 1/4$. ■

PROPOSITION 3.10. *Let $\eta \in]0, 1/2[$. Then, for $H, A \in \mathcal{I}(R)$ and any integer $n > 0$,*

$$\sum_{\substack{Y \in \mathcal{SF}_H \\ f_Y = n \\ AY \in \text{Pr}}} \lambda_\eta(Y) 2^{-\omega(Y)} \leq \alpha_6(R, \eta) q^n n^{-1/2} \quad (3.33)$$

with $\alpha_6(R, \eta)$ a constant.

Proof. Let

$$(1) \quad x_n = \sum_{\substack{Y \in \mathcal{SF}_H \\ f_Y = n \\ AY \in \text{Pr}}} \lambda_\eta(Y) 2^{-\omega(Y)}.$$

By orthogonality,

$$(2) \quad h_0 x_n = \sum_{\chi \in \hat{\mathcal{C}}\ell} \chi(A) u(\chi, H, \eta, n),$$

where

$$(3) \quad u(\chi, H, \eta, n) = \sum_{\substack{Y \in \mathcal{SF}_H \\ f_Y = n}} \chi(Y) \lambda_\eta(Y) 2^{-\omega(Y)}.$$

By (3.29), $\lambda_\eta(Y) > 0$. Hence,

$$(4) \quad |u(\chi, H, \eta, n)| \leq \sum_{\substack{Y \in \mathcal{SF}_H \\ f_Y = n}} \lambda_\eta(Y) 2^{-\omega(Y)}.$$

We consider the series

$$f(z) = \sum_{n=0}^{\infty} u(\chi_0, H, \eta, n) \left(\frac{z}{q}\right)^n = \sum_{Y \in \mathcal{SF}_H} \lambda_\eta(Y) 2^{-\omega(Y)} \left(\frac{z}{q}\right)^{f_Y}.$$

Proceeding as for Proposition 3.6 and Corollary 3.7, we get

$$|u(\chi, H, \eta, n)| \leq \alpha_6(R, \eta) q^n n^{-1/2}$$

with $\alpha_6(R, \eta)$ a constant. ■

4. Quadratic forms with coefficients in the ring $R = R_{\{v_0\}}$. Let S be a non-empty finite set of places of K containing v_0 and let $r = \#S$. For $v \in V(K)$ such that $v \neq v_0$, let P_v denote the prime ideal of R associated with the place v . For r -tuples $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ of rational integers, let $H(S, \mathbf{m}, \mathbf{n})$ denote the number of pairs $(a, b) \in R \times R$ such that

$$(1) \quad v(a) = m_v \text{ and } v(b) = n_v \text{ for all } v \in S,$$

$$(2) \quad \text{the quadratic form}$$

$$(f_{a,b}) \quad X^2 - aY^2 - bZ^2$$

represents 0 over the field K .

The goal of this section is to establish an estimate for $H(S, \mathbf{m}, \mathbf{n})$ with $-\sum_{v \in S} f_v m_v$ and $-\sum_{v \in S} f_v n_v$ positive. The proof will provide an estimate for the number $H_1(S, \mathbf{m}, \mathbf{n})$ of $(a, b) \in R \times R$ such that conditions (1) and (2) above are satisfied and

- (3) the ideals $a(\prod_{v \in S - \{v_0\}} P_v^{-v(a)})$ and $b(\prod_{v \in S - \{v_0\}} P_v^{-v(b)})$ are square-free and coprime,

and for the number $H'(S, \mathbf{m}, \mathbf{n})$ of $(a, b) \in R^2$ such that (1) and (2) are satisfied and

- (3') the ideals $a(\prod_{v \in S - \{v_0\}} P_v^{-v(a)})$ and $b(\prod_{v \in S - \{v_0\}} P_v^{-v(b)})$ are square-free.

Let

$$G = \prod_{\substack{v \in S \\ v \neq v_0}} P_v. \quad (4.1)$$

We note that $G = 1$ when $S = \{v_0\}$.

For $a \in K$, let $\mathbf{v}(a)$ denote the r -tuple $(v(a))_{v \in S}$. For an r -tuple $\mathbf{m} = (m_v)_{v \in S}$ of rational integers, let

$$\|\mathbf{m}\| = -\sum_{v \in S} f_v m_v. \quad (4.2)$$

If $x \in R$, the principal ideal Rx may be written in a unique way as

$$Rx = \left(\prod_{\substack{v \in S \\ v \neq v_0}} P_v^{v(x)} \right) U(x)^2 Q(x) \quad (4.3)$$

with $U(x) \in \mathcal{I}(R)$ and $Q(x) \in \mathcal{SF}(R)$ coprime to G .

Let $\mathcal{X}(S, \mathbf{m}, \mathbf{n})$ denote the set of $(a, b) \in R \times R$ such that $v(a) = m_v$ and $v(b) = n_v$ for all $v \in S$. If $(a, b) \in \mathcal{X}(S, \mathbf{m}, \mathbf{n})$, let $D(a, b) = \text{g.c.d.}(Q(a), Q(b))$ and let $J_{a,b}(a)$ and $J_{a,b}(b)$ be the square-free ideals defined by $Q(a) = D(a, b)J_{a,b}(a)$ and $Q(b) = D(a, b)J_{a,b}(b)$. Then $J_{a,b}(a)$ and $J_{a,b}(b)$ are coprime and

$$\begin{aligned} f_{J_{a,b}(a)} + 2f_{U(a)} + f_{D(a,b)} &= \|\mathbf{m}\|, \\ f_{J_{a,b}(b)} + 2f_{U(b)} + f_{D(a,b)} &= \|\mathbf{n}\|. \end{aligned} \quad (4.4)$$

For a square-free ideal D of R coprime to G , and for ideals U and V of R coprime to G such that $2f_U + f_D \leq \|\mathbf{m}\|$ and $2f_V + f_D \leq \|\mathbf{n}\|$, let $\mathcal{Y}(S, \mathbf{m}, \mathbf{n}, D, U, V)$ denote the set of $(a, b) \in \mathcal{X}(S, \mathbf{m}, \mathbf{n})$ such that $U(a) = U$, $U(b) = V$, and $D(a, b) = D$, and let $\mathcal{Z}(S, \mathbf{m}, \mathbf{n}, D, U, V)$ denote the set of $(a, b) \in \mathcal{Y}(S, \mathbf{m}, \mathbf{n}, D, U, V)$ such that the quadratic form $(f_{a,b})$ represents 0

over K . Let $Z(S, \mathbf{m}, \mathbf{n}, D, U, V) = \#\mathcal{Z}(S, \mathbf{m}, \mathbf{n}, D, U, V)$. Then

$$H(S, \mathbf{m}, \mathbf{n}) = \sum_{\substack{D \in \mathcal{SF}_G \\ f_D \leq \min(\|\mathbf{m}\|, \|\mathbf{n}\|)}} \sum_{\substack{U \in \mathcal{I}_G \\ 2f_U + f_D \leq \|\mathbf{m}\|}} \sum_{\substack{V \in \mathcal{I}_G \\ 2f_V + f_D \leq \|\mathbf{n}\|}} Z(S, \mathbf{m}, \mathbf{n}, D, U, V). \quad (4.5)$$

We fix \mathbf{m} and \mathbf{n} with $\|\mathbf{m}\|$ and $\|\mathbf{n}\|$ positive. By symmetry, we can and will suppose that

$$\|\mathbf{m}\| \leq \|\mathbf{n}\|. \quad (4.6)$$

We fix a square-free ideal D in R , and ideals U and V in R such that $f_D + 2f_U \leq \|\mathbf{m}\|$ and $f_D + 2f_V \leq \|\mathbf{n}\|$, all coprime to G . For brevity, set

$$\mathfrak{A} = DU^2 \left(\prod_{v \in S - \{v_0\}} P_v^{m_v} \right), \quad \mathfrak{B} = DV^2 \left(\prod_{v \in S - \{v_0\}} P_v^{n_v} \right), \quad (4.7)$$

$$\mathcal{Y} = \mathcal{Y}(S, \mathbf{m}, \mathbf{n}, D, U, V), \quad \mathcal{Z} = \mathcal{Z}(S, \mathbf{m}, \mathbf{n}, D, U, V), \quad (4.8)$$

$$M = \|\mathbf{m}\| - 2f_U - f_D, \quad N = \|\mathbf{n}\| - 2f_V - f_D, \quad (4.9)$$

and for $(a, b) \in \mathcal{Y}(S, \mathbf{m}, \mathbf{n}, D, U, V)$, write $J(a) = J_{(a,b)}(a)$ and $J(b) = J_{(a,b)}(b)$. In view of (4.4),

$$(a, b) \in \mathcal{Y}(S, \mathbf{m}, \mathbf{n}, D, U, V) \Rightarrow f_{J(a)} = M, f_{J(b)} = N. \quad (4.10)$$

Now, we suppose that

$$M + f_D \leq \frac{\log q}{\log 2} N. \quad (4.11)$$

Let \mathcal{A} , resp. \mathcal{B} , denote the first, resp. second projection of the set $\mathcal{Y} = \mathcal{Y}(\mathbf{m}, \mathbf{n}, D, U, V)$. For $a \in \mathcal{A}$, let ${}_a\mathcal{Y}$ denote the set of $b \in R$ such that $(a, b) \in \mathcal{Y}$. Similarly, for $b \in \mathcal{B}$, let \mathcal{Y}_b denote the set of $a \in R$ such that $(a, b) \in \mathcal{Y}$.

Our proof makes use of characters of order 2 of the multiplicative group K^\star , defined as follows. For any $v \in V(K)$ we have chosen a uniformizing element $\pi_v \in K$. With this choice, every non-zero $\alpha \in K$ is uniquely written as a product

$$\alpha = \text{sgn}_v(\alpha) \pi_v^{v(\alpha)} u_v(\alpha) \quad (2.3)$$

with $\text{sgn}_v(\alpha) \in k_v$ and $u_v(\alpha) \in U_v^{(1)}$. Let

$$\theta_v(\alpha) = \begin{cases} 1 & \text{if } \text{sgn}_v(\alpha) \text{ is a square in } k_v, \\ -1 & \text{otherwise.} \end{cases} \quad (4.12)$$

The character Θ_\emptyset is the unit character. If Σ is a non-empty finite set of places of K , the character Θ_Σ is defined by

$$\Theta_\Sigma(a) = \prod_{v \in \Sigma} \theta_v(a). \quad (4.13)$$

Let (a, b) be a pair of non-zero elements of K . For $v \in V = V(K)$, the *Hilbert symbol* $(a, b)_v$ is defined by

$$(a, b)_v = \begin{cases} 1 & \text{if } (f_{(a,b)}) \text{ represents } 0 \text{ over } K_v, \\ -1 & \text{if not.} \end{cases} \quad (4.14)$$

It is well known that

$$(a, b)_v = \theta_v(-1)^{v(a)v(b)} \theta_v(\text{sgn}_v(a))^{v(b)} \theta_v(\text{sgn}_v(b))^{v(a)} \quad (4.15)$$

(cf. [7, Chap. XIV, 4]), and that the Hilbert symbol satisfies the product formula

$$\prod_{v \in V(K)} (a, b)_v = 1 \quad (4.16)$$

(cf. [7, Chap. XIV, annexe]). If Σ is a finite set of places of K , let

$$(a, b)_\Sigma = \prod_{v \in \Sigma} (a, b)_v, \quad (4.17)$$

the empty product being equal to 1.

If $H \in \mathcal{I}(R)$, let $\Sigma(H) = \{v_P; P \in \mathcal{P}(R), P \mid H\}$. Let T denote the set of $v \in S$ such that m_v or n_v is odd, and let $\tau = \tau(\mathbf{m}, \mathbf{n}) = \#T$.

REMARK 4.1. For $(a, b) \in \mathcal{Y}(\mathbf{m}, \mathbf{n}, D, U, V)$, let

$$W(a, b) = T \cup \{v_P; P \mid DJ(a)J(b)\}.$$

Then

$$(a, b)_v = 1 \quad \text{for } v \notin W(a, b), \quad (4.18)$$

$$(a, b)_{W(a,b)} = 1. \quad (4.19)$$

Proof. Let $(a, b) \in \mathcal{Y}(\mathbf{m}, \mathbf{n}, D, U, V)$. If $P \in \mathcal{P}(R)$ does not divide $DJ_{(a,b)}(a)J_{(a,b)}(b)$, then $v_P(a) \equiv 0 \pmod{2}$, $v_P(b) \equiv 0 \pmod{2}$, and by (4.15), $(a, b)_{v_P} = 1$. Similarly, if $v \in S$ is such that m_v and n_v are even, then $(a, b)_v = 1$. Hence, $(a, b)_v = 1$ for any $v \notin W(a, b)$.

By the product formula (4.16),

$$1 = \prod_{v \in V(K)} (a, b)_v = \prod_{\substack{v \in V(K) \\ v \notin W(a,b)}} (a, b)_v \cdot \prod_{v \in W(a,b)} (a, b)_v = (a, b)_{W(a,b)}$$

with notation (4.17). ■

PROPOSITION 4.2. We have

$$2^{\tau + \omega(D)} Z = \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} \sum_{X \subset W(a,b)} (a, b)_X, \quad (4.20)$$

$$2^{\omega(D)} Z = Z_1 + Z_2 + Z_3, \quad (4.21)$$

with

$$Z_1 = 2 \sum_{t \subset T} \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} (a,b)_t, \quad (4.22)$$

$$Z_2 = 2 \sum_{t \subset T} \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} (a,b)_t \sum_{\substack{B \in \mathcal{I} \\ B|J(b) \\ B \neq (1)}} (a,b)_{\Sigma(B)}, \quad (4.23)$$

$$Z_3 = \sum_{t \subset T} \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} (a,b)_t \sum_{\substack{H \in \mathcal{I} \\ H|DJ(a) \\ (1) \neq H \neq DJ(a)}} \sum_{\substack{B \in \mathcal{I} \\ B|J(b)}} (a,b)_{\Sigma(HB)}. \quad (4.24)$$

Proof. Let $(a,b) \in \mathcal{Y}(\mathbf{m}, \mathbf{n}, D, U, V)$. By the Hasse principle, $(f_{a,b})$ represents 0 over K if and only if it represents 0 over any K_v with v running through $V(K)$. In view of (4.14) and (4.18), $(a,b) \in \mathcal{Z} = \mathcal{Z}(\mathbf{m}, \mathbf{n}, D, U, V)$ if and only if

$$\prod_{v \in W(a,b)} (1 + (a,b)_v) = 2^{\#W(a,b)}.$$

Otherwise this product is 0. In view of the definition of the set $W(a,b)$,

$$2^{\#W(a,b)} = 2^{\tau + \omega(D) + \omega(J(a)J(b))},$$

thus,

$$2^{\tau + \omega(D)} Z = \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} \prod_{v \in W(a,b)} (1 + (a,b)_v).$$

Expanding this product and using notation (4.17), we get

$$(1) \quad 2^{\tau + \omega(D)} Z = \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} \sum_{X \subset W(a,b)} (a,b)_X.$$

As in the proof of Proposition 3.3 in [1], following Hooley's idea, we split the right hand side of (1) into three subsums Z_i , $1 \leq i \leq 3$, corresponding to different subsets $X \subset W(a,b)$.

1) The sum Z_1 which will give the main term contains for each $(a,b) \in \mathcal{Y}$ all subsets t and $t \cup \Sigma(DJ(a)J(b))$ with $t \subset T$, that is,

$$Z_1 = \sum_{t \subset T} \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} ((a,b)_t + (a,b)_{t \cup \Sigma(DJ(a)J(b))}).$$

For any $t \subset T$, let t' denote the difference set $T - t$. The map $t \mapsto t'$ being a permutation of the subsets of T ,

$$(2) \quad Z_1 = \sum_{t \subset T} \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} ((a,b)_t + (a,b)_{t' \cup \Sigma(DJ(a)J(b))}).$$

For $(a,b) \in \mathcal{Y}$ and $t \subset T$,

$$(a,b)_{t' \cup \Sigma(DJ(a)J(b))} = ((a,b)_t)^2 (a,b)_{t' \cup \Sigma(DJ(a)J(b))}.$$

By (4.17),

$$(a, b)_{t' \cup \Sigma(DJ(a)J(b))} = (a, b)_t(a, b)_{T \cup \Sigma(DJ(a)J(b))} = (a, b)_t(a, b)_{W(a, b)},$$

and by (4.19),

$$Z_1 = 2 \sum_{t \subset T} \sum_{(a, b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} (a, b)_t.$$

2) The sum Z_2 contains for each $(a, b) \in \mathcal{Y}$ all subsets $t \cup \Sigma(B)$ and $t \cup \Sigma(DJ(a)B')$ with $t \subset T$, $B \neq (1)$ running over the ideals dividing $J(b)$, and $B' \neq J(b)$ running over the ideals dividing $J(b)$, that is,

$$\begin{aligned} Z_2 &= \sum_{t \subset T} \sum_{(a, b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} \sum_{\substack{B \in \mathcal{I} \\ B | J(b) \\ B \neq (1)}} (a, b)_{t \cup \Sigma(B)} \\ &\quad + \sum_{t' \subset T} \sum_{\substack{B' \in \mathcal{I} \\ B' | J(b) \\ B' \neq J(b)}} (a, b)_{t' \cup \Sigma(DJ(a)B')}, \end{aligned}$$

where t' has the same meaning as above. If $B' \in \mathcal{I}$ divides $J(b)$, then $J(b) = BB'$. Moreover, $B' \neq J(b)$ if and only if $B \neq (1)$. With notation (4.17) we find that for any subset $t \subset T$,

$$(a, b)_{t' \cup \Sigma(B')} = (a, b)_{t' \cup \Sigma(B')} ((a, b)_{t \cup \Sigma(B)})^2 = (a, b)_{T \cup \Sigma(J(b))} (a, b)_{t \cup \Sigma(B)},$$

whence

$$Z_2 = \sum_{t \subset T} \sum_{(a, b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} (a, b)_t \sum_{\substack{B \in \mathcal{I} \\ B | J(b) \\ B \neq (1)}} (a, b)_{\Sigma(B)} (1 + (a, b)_{W(a, b)}).$$

We now get (4.23) from (4.19).

3) The sum Z_3 contains the remaining terms, which yields (4.24). ■

We compute Z_1 and we bound Z_2 and Z_3 . Once more, we need new notations. Let $T_{1,0}$, $T_{0,1}$, and $T_{1,1}$ denote respectively the sets of $v \in S$ such that m_v is odd and n_v is even; m_v is even and n_v is odd; m_v and n_v are odd. We denote by $J(\mathcal{A})$ and $J(\mathcal{B})$ respectively the sets of ideals $J(a)$ with a running over \mathcal{A} , and the set of ideals $J(b)$ with b running over \mathcal{B} . ■

PROPOSITION 4.3. *We have*

$$|Z_1 - 2L| \leq \beta_1(R) 2^{\tau+r+f_G/2} 2^{\omega(D)+N/2} (N^{1/2} q^{M+N/2} + M^{1/2} q^{N+M/2}), \quad (4.25)$$

$$|Z_2| \leq \beta_1(R) 2^{\tau+r+f_G/2} 2^{\omega(D)+N/2} M^{1/2} q^{N+M/2}, \quad (4.26)$$

where

$$L = L(S, \mathbf{m}, \mathbf{n}, D, U, V) = \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))}, \quad (4.27)$$

with $\beta_1(R)$ and $\beta_2(R)$ constants.

Proof. For $i = 1, 2$, let

$$(1) \quad S_i = \sum_{t \subset T} \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} (a, b)_t \sigma_i(a, b)$$

with

$$(2) \quad \sigma_1(a, b) = 1,$$

$$(3) \quad \sigma_2(a, b) = \sum_{\substack{B \in \mathcal{I} \\ B|J(b) \\ B \neq (1)}} (a, b)_{\Sigma(B)}.$$

Let $(a, b) \in \mathcal{Y}(S, \mathbf{m}, \mathbf{n}, D, U, V)$. By (4.15), for $v \in S$,

$$(a, b)_v = \theta_v(-1)^{m_v n_v} \theta_v(\text{sgn}_v(b))^{m_v} \theta_v(\text{sgn}_v(a))^{n_v}$$

with θ_v defined by (4.12). With notation (4.13),

$$(4) \quad S_i = \sum_{\substack{t_{1,0} \subset T_{1,0} \\ t_{0,1} \subset T_{0,1} \\ t_{1,1} \subset T_{1,1}}} \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} \Theta_{t_{1,0}}(b) \Theta_{t_{0,1}}(a) \Theta_{t_{1,1}}(-ab) \sigma_i(a, b).$$

We look at S_2 . Let $(a, b) \in \mathcal{Y}$. In view of (4.3), if $P \in \mathcal{P}(R)$ divides $J(b)$, then $v_P(b) \equiv 1 \pmod{2}$, $v_P(a) \equiv 0 \pmod{2}$, and by (4.15), $(a, b)_{v_P} = \theta_{v_P}(\text{sgn}_P(a))$. Hence, with notations (4.13) and (4.17), if $B \in \mathcal{I}(R)$ divides $J(b)$, then $(a, b)_{\Sigma(B)} = \Theta_{\Sigma(B)}(a)$. Let $b \in \mathcal{B}$. Every $x \in \mathcal{Y}_b$ may be written as a product αa with $\alpha \in k^*$ and $a \in \mathcal{M}$, where \mathcal{M} is the set of monic elements. Moreover, $a \in \mathcal{M} \cap \mathcal{Y}_b$. Hence, by (4) and (3),

$$\begin{aligned} S_2 &= \left\{ \sum_{b \in \mathcal{B}} 2^{-\omega(J(b))} \sum_{a \in \mathcal{M} \cap \mathcal{Y}_b} 2^{-\omega(J(a))} \right\} \\ &\times \left\{ \sum_{\substack{t_{1,0} \subset T_{1,0} \\ t_{0,1} \subset T_{0,1} \\ t_{1,1} \subset T_{1,1}}} \Theta_{t_{1,0}}(b) \Theta_{t_{0,1}}(a) \Theta_{t_{1,1}}(-ab) \sum_{\substack{B \in \mathcal{I} \\ B|J(b) \\ B \neq (1)}} \Theta_{\Sigma(B)}(a) \right. \\ &\left. \times \sum_{\alpha \in k^*} \Theta_{t_{0,1}}(\alpha) \Theta_{t_{1,1}}(\alpha) \Theta_{\Sigma(B)}(\alpha) \right\}. \end{aligned}$$

We consider the inner sum

$$\Omega_{t_{0,1}, t_{1,1}, B} = \sum_{\alpha \in k^*} \Theta_{t_{0,1} \cup t_{1,1} \cup \Sigma(B)}(\alpha).$$

Let $v \in t_{0,1} \cup t_{1,1} \cup \{v_P; P \mid B\}$. If f_v is even, then every $\alpha \in k^*$ is a square in the field k_v and by (4.12), $\theta_v(\alpha) = 1$. If f_v is odd, then by (4.12), $\theta_v(\alpha) = 1$ or -1 according as α is or is not a square in k . Hence, $\Omega_{t_{0,1}, t_{1,1}, B} = q - 1$ or $\Omega_{t_{0,1}, t_{1,1}, B} = 0$ according as the sum

$$\sum_{v \in t_{0,1}} f_v + \sum_{v \in t_{1,1}} f_v + \sum_{\substack{P \in \mathcal{P} \\ P \mid B}} f_{v_P}$$

is even or odd. With the same arguments, looking at the inner sum

$$\sum_{\beta \in k^*} \Theta_{t_{1,0}}(\beta) \Theta_{t_{1,1}}(\beta),$$

we conclude that in the sum S_2 , there only occur the 4-tuples $(t_{0,1}, t_{1,0}, t_{1,1}, B)$ such that the sums

$$\sum_{v \in t_{0,1}} f_v + \sum_{v \in t_{1,1}} f_v + \sum_{\substack{P \in \mathcal{P} \\ P \mid B}} f_{v_P}, \quad \sum_{v \in t_{1,0}} f_v + \sum_{v \in t_{1,1}} f_v$$

are even. In the following, we denote this condition and analogous parity conditions by the symbol $(t_{0,1}, t_{1,0}, t_{1,1}, B) \equiv 0$. Hence,

$$(5) \quad S_2 = \sum_{b \in \mathcal{B}} 2^{-\omega(J(b))} \sum_{\substack{t_{1,0} \subset T_{1,0} \\ t_{0,1} \subset T_{0,1} \\ t_{1,1} \subset T_{1,1}}} \sum_{\substack{B \in \mathcal{I} \\ B \mid J(b) \\ B \neq (1) \\ (t_{0,1}, t_{1,0}, t_{1,1}, B) \equiv 0}} \Theta_{t_{1,0}}(b) \Theta_{t_{1,1}}(-b) \\ \times \sum_{a \in \mathcal{Y}_b} 2^{-\omega(J(a))} \Theta_{t_{0,1} \cup t_{1,1} \cup \Sigma(B)}(a).$$

We consider the last inner sum. By the parity condition, the map

$$y \mapsto \Theta_{t_{0,1} \cup t_{1,1} \cup \Sigma(B)}(y)$$

is trivial on the group k^* . Hence, we may define a morphism Ψ' from $\text{Pr}(R)$, the monoid of non-zero principal ideals of R , to the group $\{1, -1\}$ by $\Psi'(Y) = \Theta_{t_{0,1} \cup t_{1,1} \cup \Sigma(B)}(\eta)$ if $\eta \in R$ generates the principal ideal Y . This morphism extends in an obvious unique way to a morphism from the group $\text{FPr}(R)$ of principal fractional ideals to $\{1, -1\}$. Since $\text{FPr}(R)$ has finite index in the group $\mathcal{F}(R)$, the morphism Ψ' extends to a morphism Ψ from $\mathcal{F}(R)$ to the group μ_l of l th roots of 1 for some l . In view of the definition of the set \mathcal{Y}_b ,

$$\sum_{a \in \mathcal{Y}_b} 2^{-\omega(J(a))} \Theta_{t_{0,1} \cup t_{1,1} \cup \Sigma(B)}(a) = (q - 1) \sum_{\substack{A \in \mathcal{S}\mathcal{F}_{GDJ(b)} \\ A\mathfrak{A} \in \text{Pr} \\ f_A = M}} 2^{-\omega(A)} \Psi(\mathfrak{A}A)$$

with \mathfrak{A} defined by (4.7). Since $B \neq (1)$, the map

$$y \mapsto \Theta_{t_{0,1} \cup t_{1,1} \cup \Sigma(B)}(y)$$

is not trivial on R , and the morphism Ψ is not trivial on the group of principal ideals. Moreover, Ψ satisfies condition (3.16) with $H = BG$. Hence, by (3.25),

$$(6) \quad \left| \sum_{a \in \mathcal{Y}_b} 2^{-\omega(J(a))} \Theta_{t_{0,1} \cup t_{1,1} \cup \Sigma(B)}(a) \right| \leq (q-1) \alpha_1(R) 2^{r+f_G/2+f_B/2} 2^{\omega(DJ(b))} M^{1/2} q^{M/2}.$$

By (5) and (6),

$$|S_2| \leq (q-1) \alpha_1(R) 2^{r+f_G/2} 2^{\omega(D)} M^{1/2} q^{M/2} \sum_{b \in \mathcal{B}} \sum_{\substack{t_{1,0} \subset T_{1,0} \\ t_{0,1} \subset T_{0,1} \\ t_{1,1} \subset T_{1,1}}} \sum_{\substack{B \in \mathcal{I} \\ B|J(b) \\ B \neq (1)}} 2^{f_B/2}.$$

In view of (4.7) and the definition of \mathcal{B} , if $B \in \mathcal{I}(R)$ divides $J(b)$ with $b \in \mathcal{B}$, then B is square-free and coprime to GD , $Rb = \mathfrak{B}BB'$ with B' square-free and coprime to GDB . Hence,

$$(7) \quad |S_2| \leq (q-1)^2 \alpha_1(R) 2^{r+r+f_G/2} 2^{\omega(D)} M^{1/2} q^{M/2} \mathcal{S}$$

with

$$(8) \quad \mathcal{S} = \sum_{\substack{B \in \mathcal{S}\mathcal{F}_{GD} \\ 1 \leq f_B \leq N}} 2^{f_B/2} \sum_{\substack{B' \in \mathcal{S}\mathcal{F}_{GDB} \\ \mathfrak{B}BB' \in \text{Pr} \\ f_{BB'} = N}} 1.$$

The ideals B' occurring in the inner sum above belong to the same ideal class. Hence, by (3.5),

$$\mathcal{S} \leq \varrho(R) \sum_{\substack{B \in \mathcal{I}_{GD} \\ 1 \leq f_B \leq N}} 2^{f_B/2} q^{N-f_B} \leq \varrho(R) q^N \sum_{\substack{B \in \mathcal{I} \\ 1 \leq f_B \leq N}} 2^{f_B/2} q^{-f_B}.$$

Then, by (3.5),

$$(9) \quad \mathcal{S} \leq h \frac{\sqrt{2}}{\sqrt{2}-1} \varrho(R) 2^2 2^{N/2} q^N.$$

This together with (7) gives (4.26).

Now, we deal with S_1 . We break the sum (4) into three parts. The first part which will give the main term is given by the triple $(t_{0,1}, t_{1,0}, t_{1,1}) = (\emptyset, \emptyset, \emptyset)$; the second part is given by the triples $(t_{0,1}, t_{1,0}, t_{1,1}) = (\emptyset, t_{1,0}, \emptyset)$ with $t_{1,0} \neq \emptyset$; and the third part contains the remaining terms. In other words,

$$(10) \quad S_1 = S_{1,1} + S_{1,2} + S_{1,3}$$

with

$$(11) \quad S_{1,1} = \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))},$$

$$(12) \quad S_{1,2} = \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} \sum_{\substack{t_{1,0} \subset T_{1,0} \\ t_{1,0} \neq \emptyset}} \Theta_{t_{1,0}}(b),$$

$$(13) \quad S_{1,3} = \sum_{(a,b) \in \mathcal{Y}} 2^{-\omega(J(a)J(b))} \sum_{\substack{t_{1,0} \subset T_{1,0} \\ t_{0,1} \subset T_{0,1} \\ t_{1,1} \subset T_{1,1} \\ (t_{0,1}, t_{1,1}) \neq (\emptyset, \emptyset)}} \Theta_{t_{1,0}}(b) \Theta_{t_{0,1}}(a) \Theta_{t_{1,1}}(-ab).$$

We deal with $S_{1,2}$ and $S_{1,3}$ just as we have dealt with S_2 . We get

$$\begin{aligned} S_{1,2} &\leq (q-1)\alpha_1(R)2^{r+f_G/2}\lambda_{1,2}2^{\omega(D)}N^{1/2}q^{N/2}\#\mathcal{A}, \\ S_{1,3} &\leq (q-1)\alpha_1(R)2^{r+f_G/2}\lambda_{1,3}2^{\omega(D)}M^{1/2}q^{M/2}\#\mathcal{B}, \end{aligned}$$

where $\lambda_{1,2}$ is the number of $t_{1,0} \neq \emptyset$ such that $(\emptyset, t_{1,0}, \emptyset) \equiv 0$, and $\lambda_{1,3}$ is the number of $(t_{0,1}, t_{1,1})$ with $(t_{0,1}, t_{1,1}) \neq (\emptyset, \emptyset)$ such that $(t_{0,1}, \emptyset, t_{1,1}) \equiv 0$. By (3.5),

$$\#\mathcal{A} \leq (q-1)\varrho(R)q^M, \quad \#\mathcal{B} \leq (q-1)\varrho(R)q^N.$$

Hence,

$$|S_{1,2} + S_{1,3}| \leq (q-1)^2\varrho(R)\alpha_1(R)2^{\tau+r+f_G/2}(N^{1/2}q^{M+N/2} + M^{1/2}q^{N+M/2}),$$

since $\lambda_{1,2} + \lambda_{1,3} \leq \tau$. This together with (10) and (11) gives (4.25). ■

PROPOSITION 4.4. *Let $\theta \in]\log 2/\log q, 1]$. Suppose that $\theta N \leq M \leq N$. Then*

$$\left| L - (q-1)^2 B_3(R) \Lambda(GD) \frac{q^{M+N}}{\sqrt{MN}} \right| \leq \beta_3(R, \theta) 2^{r+\omega(D)} \frac{q^{M+N}}{MN} \quad (4.28)$$

with

$$B_3(R) = \frac{h\varrho(R)}{\pi q^{g+f_0-1}} \prod_{P \in \mathcal{P}} \left(1 - \frac{1}{|P|^2} \right), \quad (4.29)$$

$$\Lambda(H) = \prod_{\substack{P \in \mathcal{P} \\ P|H}} \left(1 + \frac{1}{|P|} \right)^{-1} \quad (4.30)$$

for any ideal H , and $\beta_3(R, \theta)$ a constant.

Proof. In view of (4.27) and the definition of the sets \mathcal{Y} , \mathcal{A} and ${}_a\mathcal{Y}$,

$$(1) \quad L = \sum_{a \in \mathcal{A}} 2^{-\omega(J(a))} \eta(a)$$

with

$$(2) \quad \eta(a) = \sum_{b \in {}_a\mathcal{Y}} 2^{-\omega(J(b))}.$$

In view of (4.7) and the definition of the sets ${}_a\mathcal{Y}$,

$$\eta(a) = (q-1) \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B = N \\ B \mathfrak{B} \in \text{Pr}}} 2^{-\omega(B)}.$$

Proposition 3.8 gives

$$(3) \quad |\eta(a) - (q-1)B_1(R)\Omega(GDJ(a))q^N N^{-1/2}| \\ \leq (q-1)(\alpha_2(R)2^{\omega(GDJ(a))}N^{1/2}q^{N/2} + \alpha_3(R, 1/4)\lambda_{1/4}(GDJ(a))q^N N^{-3/2})$$

with $B_1(R)$ defined by (3.27) and $\Omega(H)$ defined by (3.28). In view of (4.7) and the definition of the set \mathcal{A} ,

$$\sum_{a \in \mathcal{A}} 2^{-\omega(J(a))}\Omega(J(a)) = (q-1) \sum_{\substack{A \in \mathcal{SF}_{GD} \\ \mathfrak{A}A \in \text{Pr} \\ f_A = M}} 2^{-\omega(A)}\Omega(A),$$

and by (1) and (3),

$$(4) \quad |L - (q-1)^2 B_1(R)\Omega(GD)q^N N^{-1/2}S| \\ \leq (q-1)\alpha_2(R)2^{r+\omega(D)}N^{1/2}q^{N/2}\#\mathcal{A} \\ + (q-1)^2\alpha_3(R, 1/4)\lambda_{1/4}(GD)q^N N^{-3/2}S'$$

with

$$(5) \quad S = \sum_{\substack{A \in \mathcal{SF}_{GD} \\ f_A = M \\ A \mathfrak{A} \in \text{Pr}}} 2^{-\omega(A)}\Omega(A),$$

$$(6) \quad S' = \sum_{\substack{A \in \mathcal{SF}_{GD} \\ f_A = M \\ A \mathfrak{A} \in \text{Pr}}} 2^{-\omega(A)}\lambda_{1/4}(A).$$

By (3.30) and (3.33),

$$(7) \quad |S - B_2(R)\Gamma(GD)q^M M^{-1/2}| \leq \alpha_4(R)Mq^{M/2} + \alpha_5(R)q^M M^{-3/2},$$

$$(8) \quad S' \leq \alpha_6(R, 1/4)q^M M^{-1/2},$$

with $B_2(R)$ and $\Gamma(H)$ defined by (3.31) and (3.32). We have seen above that $\#\mathcal{A} \leq (q-1)\varrho(R)q^M$. Hence, by (4), (7), and (8),

$$(9) \quad \left| L - (q-1)^2 B_1(R)B_2(R)\Omega(GD)\Gamma(GD) \frac{q^{M+N}}{\sqrt{MN}} \right| \\ \leq B_1(R)\Theta(GD)(q-1)^2(\alpha_4(R)Mq^{M/2} + \alpha_5(R)q^M M^{-3/2}) \\ + (q-1)^2\alpha_2(R)\varrho(R)2^{r+\omega(D)}N^{1/2}q^{M+N/2} \\ + (q-1)^2\alpha_3(R, 1/4)\alpha_6(R, 1/4)\lambda_{1/4}(GD)q^{M+N} M^{-1/2}N^{-3/2}.$$

By (3.27) and (3.31),

$$B_1(R)B_2(R) = \frac{h\varrho(R)}{\pi q^{g+f_0-1}} \prod_{P \in \mathcal{P}} \left(1 - \frac{1}{|P|}\right) \left(1 + \frac{1}{|P|}\right).$$

By (3.28) and (3.32),

$$\Gamma(GD)\Omega(GD) = \prod_{\substack{P \in \mathcal{P} \\ P|GD}} \left(1 + \frac{1}{1+2|P|}\right)^{-1} \left(1 + \frac{1}{1+2|P|}\right)^{-1}.$$

By (3.28) and (3.29), $\Omega(GD) \leq 1 \leq \lambda_{1/4}(GD) \leq 2^{\omega(GD)} = 2^{r+\omega(D)}$, which yields (4.28). ■

PROPOSITION 4.5. *We have*

$$|Z_3| \leq \beta_4(R) 2^{\tau+r+f_G/2+2\omega(D)+f_D/4+M/4} N^{1/2} q^{M+3N/4} (M+1) \quad (4.31)$$

with $\beta_4(R)$ a constant.

Proof. Interchanging the order of summation in Z_3 given by (4.24) we get

$$(1) \quad Z_3 = \sum_{t \subset T} \sum_{a \in \mathcal{A}} 2^{-\omega(J(a))} \sum_{\substack{E \in \mathcal{I} \\ E|D}} \sum_{\substack{A \in \mathcal{I} \\ A|J(a) \\ (1) \neq EA \neq DJ(a)}} \phi_{E,A}(a)$$

with

$$(2) \quad \phi_{E,A}(a) = \sum_{t \subset T} \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B \leq N}} \sum_{\substack{b \in {}_a\mathcal{Y} \\ (a,b) \in \mathcal{Y} \\ B|J(b)}} 2^{-\omega(J(b))} (a,b)_{t \cup \Sigma(EAB)}.$$

Let j be an integer such that

$$(3) \quad j < N.$$

We divide the sum $\phi_{E,A}(a)$ into two parts according as the ideals B occurring in it satisfy $f_B \leq j$ or $f_B > j$. We get

$$(4) \quad \phi_{E,A}(a) = \sigma_{E,A}(a, j) + \tau_{E,A}(a, j)$$

with

$$(5) \quad \sigma_{E,A}(a, j) = \sum_{t \subset T} \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B \leq j}} \sum_{\substack{b \in {}_a\mathcal{Y} \\ (a,b) \in \mathcal{W} \\ B|J(b)}} 2^{-\omega(J(b))} (a,b)_{t \cup \Sigma(EAB)},$$

$$(6) \quad \tau_{E,A}(a, j) = \sum_{t \subset T} \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B > j}} \sum_{\substack{b \in {}_a\mathcal{Y} \\ (a,b) \in \mathcal{W} \\ B|J(b)}} 2^{-\omega(J(b))} (a,b)_{t \cup \Sigma(EAB)}.$$

In (6) we set $D = EE'$, $J(a) = AA'$, $J(b) = BB'$ and for $t \subset T$, let $t' = T - t$. Then, by (4.17),

$$\begin{aligned} (a, b)_{t \cup \Sigma(EAB)} &= (a, b)_{t \cup \Sigma(EAB)} ((a, b)_{t' \cup \Sigma(E'A'B')})^2 \\ &= (a, b)_{t' \cup \Sigma(E'A'B')} \cdot (a, b)_{T \cup \Sigma(DJ(a)J(b))} \\ &= (a, b)_{t' \cup \Sigma(E'A'B')} \cdot (a, b)_{W(a,b)}. \end{aligned}$$

In view of (4.19),

$$(a, b)_{t \cup \Sigma(EAB)} = (a, b)_{t' \cup \Sigma(E'A'B')}.$$

Hence,

$$\tau_{E,A}(a, j) = \sum_{t' \subset T} \sum_{\substack{B' \in \mathcal{SF}_{GDJ(a)} \\ f_{B'} < N-j}} \sum_{\substack{b \in_a \mathcal{Y} \\ (a,b) \in \mathcal{Y} \\ B' | J(b)}} 2^{-\omega(J(b))} (a, b)_{t' \cup \Sigma(E'A'B')}.$$

By (5),

$$(7) \quad \tau_{E,A}(a, j) = \sigma_{E',A'}(a, N - j - 1).$$

We now deal with the sum $\sigma_{E,A}(a, j)$. If $P \in \mathcal{P}(R)$ divides D , then $v_P(a)$ and $v_P(b)$ are odd and by (4.15), $(a, b)_{v_P} = \theta_{v_P}(-\text{sgn}_{v_P}(a) \text{sgn}_{v_P}(b))$. If $P \in \mathcal{P}(R)$ divides $J(a)$, then $v_P(a)$ is odd, $v_P(b)$ is even and by (4.15), $(a, b)_{v_P} = \theta_{v_P}(\text{sgn}_{v_P}(b))$. Hence, with notations (4.13) and (4.17), $(a, b)_{\Sigma(EA)} = \Theta_{\Sigma(E)}(-ab)\Theta_{\Sigma(A)}(b)$ and by symmetry, $(a, b)_{\Sigma(B)} = \Theta_{\Sigma(B)}(a)$. As in the proof of Proposition 4.3 we get

$$\begin{aligned} \sigma_{E,A}(a, j) &= \Theta_E(-a) \sum_{t_{0,1} \subset T_{0,1}} \Theta_{t_{0,1}}(a) \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B \leq j}} \Theta_{\Sigma(B)}(a) \\ &\times \sum_{\substack{t_{1,0} \subset T_{1,0} \\ t_{1,1} \subset T_{1,1} \\ (\emptyset, t_{1,0}, t_{1,1}, AE) \equiv 0}} \Theta_{t_{1,1}}(a) \sum_{\substack{b \in_a \mathcal{Y} \\ B | J(b)}} 2^{-\omega(J(b))} \Theta_{t_{1,0} \cup t_{1,1} \cup \Sigma(AE)}(b). \end{aligned}$$

Hence,

$$|\sigma_{E,A}(a, j)| \leq \sum_{\substack{t_{0,1} \subset T_{0,1} \\ t_{1,0} \subset T_{1,0} \\ t_{1,1} \subset T_{1,1} \\ (\emptyset, t_{1,0}, t_{1,1}, AE) \equiv 0}} \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B \leq j}} \left| \sum_{\substack{b \in_a \mathcal{Y} \\ B | J(b)}} 2^{-\omega(J(b))} \Theta_{t_{1,0} \cup t_{1,1} \cup \Sigma(AE)}(b) \right|.$$

By the parity condition, the map

$$(8) \quad y \mapsto \Theta_{t_{1,0} \cup t_{1,1} \cup \Sigma(AE)}(y)$$

is trivial on the group k^* . As in the proof of Proposition 4.3, we get

$$\left| \sum_{\substack{b \in_a \mathcal{Y} \\ B|J(b)}} 2^{-\omega(J(b))} \Theta_{t_{1,0} \cup t_{1,1} \cup \Sigma(AE)}(b) \right| \leq (q-1)\alpha_1(R)2^{r+(f_G+f_{AE})/2}2^{\omega(DJ(a))}N^{1/2}q^{(N-f_B)/2}.$$

Hence,

$$\begin{aligned} |\sigma_{E,A}(a, j)| &\leq (q-1)\alpha_1(R)2^{r+(f_G+f_{AE})/2}2^{\omega(DJ(a))}N^{1/2}q^{N/2} \\ &\quad \times \sum_{\substack{t_{0,1} \subset T_{0,1} \\ t_{1,0} \subset T_{1,0} \\ t_{1,1} \subset T_{1,1} \\ (\emptyset, t_{1,0}, t_{1,1}, AE) \equiv 0}} \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B \leq j}} q^{-f_B/2} \end{aligned}$$

and

$$\begin{aligned} |\sigma_{E,A}(a, j)| &\leq (q-1)\alpha_1(R)2^{\tau+r+(f_G+f_{AE})/2} \\ &\quad \times 2^{\omega(DJ(a))}N^{1/2}q^{N/2} \sum_{\substack{B \in \mathcal{SF}_{GDJ(a)} \\ f_B \leq j}} q^{-f_B/2}. \end{aligned}$$

By (3.5),

$$(9) \quad |\sigma_{E,A}(a, j)| \leq (q-1)h\varrho(R)\alpha_1(R) \times \frac{\sqrt{q}}{\sqrt{q}-1} 2^{\tau+r+(f_G+f_{AE})/2}2^{\omega(DJ(a))}N^{1/2}q^{(N+j)/2}.$$

Similarly,

$$(10) \quad |\sigma_{E',A'}(a, N-j-1)| \leq (q-1)h\varrho(R)\alpha_1(R) \frac{\sqrt{q}}{\sqrt{q}-1} 2^{\tau+r+(f_G+f_{A'E'})/2}2^{\omega(DJ(a))}N^{1/2}q^{N-(j+1)/2}.$$

Let

$$(11) \quad j = \left\lceil \frac{1}{2} (N + (f_{E'A'} - f_{EA}) \log_q(2)) \right\rceil,$$

where $[x]$ denotes the integral part of the real number x . Then, by (9) and (10),

$$\begin{aligned} |\sigma_{E,A}(a, j)| &\leq (q-1)h\varrho(R)\alpha_1(R) \\ &\quad \times \frac{\sqrt{q}}{\sqrt{q}-1} 2^{\tau+r+f_G/2}2^{f_{DJ(a)}/4}2^{\omega(DJ(a))}N^{1/2}q^{3N/4}, \\ |\sigma_{E',A'}(a, N-j-1)| &\leq (q-1)h\varrho(R)\alpha_1(R) \\ &\quad \times \frac{\sqrt{q}}{\sqrt{q}-1} 2^{\tau+r+f_G/2}2^{f_{DJ(a)}/4}2^{\omega(DJ(a))}N^{1/2}q^{3N/4}, \end{aligned}$$

and with (1), (4) and (7),

$$(12) \quad |Z_3| \leq 2(q-1)h\varrho(R)\alpha_1(R) \\ \times \frac{\sqrt{q}}{\sqrt{q}-1} 2^{\tau+r+f_G/2+\omega(D)+f_D/4} 2^{M/4} N^{1/2} q^{3N/4} Z_3^*,$$

where

$$(13) \quad Z_3^* = \sum_{\substack{E \in \mathcal{SF} \\ E|D}} \sum_{a \in \mathcal{A}} \sum_{\substack{A \in \mathcal{SF} \\ A|J(a) \\ (1) \neq EA \neq DJ(a)}} 1.$$

Interchanging the order of summation, we get

$$Z_3^* = (q-1) \sum_{\substack{E \in \mathcal{SF} \\ E|D}} \sum_{\substack{A \in \mathcal{SF}_{GD} \\ f_A \leq M \\ (1) \neq EA \neq DJ(a)}} \sum_{\substack{A' \in \mathcal{SF}_{AGD} \\ \mathfrak{A}U^2 AA' \in \text{Pr} \\ f_{AA'} = M}} 1.$$

Hence, by (3.5),

$$Z_3^* \leq (q-1)\varrho(R)q^M \sum_{\substack{E \in \mathcal{SF} \\ E|D}} \sum_{\substack{A \in \mathcal{SF}_{GD} \\ f_A \leq M \\ (1) \neq EA \neq DJ(a)}} q^{-f_A},$$

and by (3.5) and (12),

$$|Z_3| \leq 2(q-1)^2 h^2 \varrho(R)^3 \alpha_1(R) \\ \times \frac{\sqrt{q}}{\sqrt{q}-1} 2^{\tau+r+f_G/2+2\omega(D)+f_D/4+M/4} N^{1/2} q^{M+3N/4} (M+1).$$

This gives (4.31). ■

We summarize what has been proved above in the following theorem.

THEOREM 4.6. *Let $\theta \in]\log 2/\log q, 1[$. Let $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ be r -tuples of rational integers such that*

$$\|\mathbf{m}\| - 2f_U \leq (\|\mathbf{n}\| - 2f_V - f_D) \frac{\log q}{\log 2} \quad (4.11)$$

and

$$\|\mathbf{m}\| - 2f_U - f_D \geq \theta(\|\mathbf{n}\| - 2f_V - f_D) > 0. \quad (4.32)$$

Then

$$\left| Z(S, \mathbf{m}, \mathbf{n}, D, U, V) - C_1(S) 2^{-\tau(\mathbf{m}, \mathbf{n}) - \omega(D)} \Lambda(D) \frac{q^{M+N}}{\sqrt{MN}} \right| \\ \leq \beta_5(R, \theta) 2^{r+f_G/2+2\omega(D)+f_D/4} \frac{q^{M+N}}{MN} \quad (4.33)$$

with

$$C_1(S) = \frac{2h(q-1)}{\pi q^{g-1} \zeta_K(q^{-2})} \prod_{v \in S} \left(1 + \frac{1}{q^{f_v}}\right)^{-1}, \quad (4.34)$$

$M = \|\mathbf{m}\| - 2f_U - f_D$, $N = \|\mathbf{n}\| - 2f_V - f_D$, and $\beta_5(R, \theta)$ a constant.

Proof. By (4.21), (4.25), (4.26), (4.28) and (4.31),

$$\begin{aligned} & \left| 2^{\omega(D)} Z - 2^{1-\tau} (q-1)^2 B_3(R) \Lambda(GD) \frac{q^{M+N}}{\sqrt{MN}} \right| \\ & \leq \beta_5(R, \theta) 2^{r+f_G/2+\omega(D)+f_D/4} \frac{q^{M+N}}{MN} \end{aligned}$$

with $\beta_5(R, \theta)$ a constant. This gives (4.33) with

$$C_1(S) = 2(q-1)^2 B_3(R) \Lambda(G).$$

Easy computations yield (4.34). ■

COROLLARY 4.7. *Let $\theta \in]\log 2/\log q, 1]$. Let $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ be r -tuples of rational integers such that $0 < \theta \max(\mathbf{m}, \mathbf{n}) \leq \min(\mathbf{m}, \mathbf{n})$. Then*

$$\left| H_1(S, \mathbf{m}, \mathbf{n}) - C_1(S) 2^{-\tau(\mathbf{m}, \mathbf{n})} \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \right| \leq \beta_5(R, \theta) 2^{r+f_G/2} \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}. \quad (4.35)$$

Proof. Interchanging \mathbf{m} and \mathbf{n} if necessary, we apply Theorem 4.6 with $D = U = V = 1$. ■

For ideals U and V of R coprime to G and such that $2f_U \leq \|\mathbf{m}\|$ and $2f_V \leq \|\mathbf{n}\|$, let $\mathcal{Y}' = \mathcal{Y}'(S, \mathbf{m}, \mathbf{n}, U, V)$ denote the set of $(a, b) \in \mathcal{X}(S, \mathbf{m}, \mathbf{n})$ such that $U(a) = U$ and $U(b) = V$, let $\mathcal{Z}'(S, \mathbf{m}, \mathbf{n}, U, V)$ denote the set of $(a, b) \in \mathcal{Y}'(S, \mathbf{m}, \mathbf{n}, U, V)$ such that the quadratic form $(f_{a,b})$ represents 0 over K , and let $Z' = Z'(S, \mathbf{m}, \mathbf{n}, U, V) = \#\mathcal{Z}'(S, \mathbf{m}, \mathbf{n}, U, V)$.

Fix ideals U and V of R coprime to G . The following theorem gives an estimate for the numbers $Z'(S, \mathbf{m}, \mathbf{n}, U, V)$.

THEOREM 4.8. *Let $\alpha \in]\log 2/\log q, 1]$. Let $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ be r -tuples of rational integers such that $2f_U \leq \|\mathbf{m}\|$, $2f_V \leq \|\mathbf{n}\|$ and*

$$\min(\|\mathbf{m}\| - 2f_U, \|\mathbf{n}\| - 2f_V) \geq \alpha \max(\|\mathbf{m}\| - 2f_U, \|\mathbf{n}\| - 2f_V) > 0. \quad (4.36)$$

Then

$$\begin{aligned} & \left| Z'(S, \mathbf{m}, \mathbf{n}, U, V) - 2^{-\tau(\mathbf{m}, \mathbf{n})} C'(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\| - 2f_U - 2f_V}}{\sqrt{(\|\mathbf{m}\| - 2f_U)(\|\mathbf{n}\| - 2f_V)}} \right| \\ & \leq \beta_6(R, \alpha) 2^{r+f_G/2} \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\| - 2f_U - 2f_V}}{(\|\mathbf{m}\| - 2f_U)(\|\mathbf{n}\| - 2f_V)} \end{aligned} \quad (4.37)$$

with

$$C'(S) = \frac{2h(q-1)}{\pi q^{g-1} \zeta_K(q^{-2})} \cdot \prod_{\substack{v \in V \\ v \notin S}} \left(1 + \frac{1}{2q^{f_v}(1+q^{f_v})} \right) \cdot \prod_{v \in S} \left(1 + \frac{1}{q^{f_v}} \right)^{-1} \quad (4.38)$$

and $\beta_6(R, \alpha)$ a constant.

Proof. The set $\mathcal{Z}'(S, \mathbf{m}, \mathbf{n}, U, V)$ is the union of the sets $\mathcal{Z}(S, \mathbf{m}, \mathbf{n}, D, U, V)$ for D running over the set of square-free ideals of R coprime to G . Hence

$$(1) \quad \mathcal{Z}'(S, \mathbf{m}, \mathbf{n}, U, V) = \sum_{\substack{D \in \mathcal{SF}_G \\ f_D \leq m}} \mathcal{Z}(S, \mathbf{m}, \mathbf{n}, D, U, V)$$

with

$$(2) \quad m = \min(\|\mathbf{m}\| - 2f_U, \|\mathbf{n}\| - 2f_V).$$

Let

$$(3) \quad M' = \|\mathbf{m}\| - 2f_U, \quad N' = \|\mathbf{n}\| - 2f_V.$$

By symmetry, we may suppose

$$(4) \quad M' \leq N'.$$

Condition (4.36) gives

$$(5) \quad M' \geq \alpha N'.$$

Obviously,

$$Z(S, \mathbf{m}, \mathbf{n}, D, U, V) \leq (q-1)^2 \sum_{\substack{A \in \mathcal{SF} \\ \mathfrak{A}A \in \text{Pr} \\ f_{AD}=M'}} \sum_{\substack{B \in \mathcal{SF} \\ \mathfrak{B}B \in \text{Pr} \\ f_{BD}=N'}} 1,$$

and by (3.5),

$$Z(S, \mathbf{m}, \mathbf{n}, D, U, V) \leq (q-1)^2 \varrho(R)^2 q^{M'+N'-2f_D}.$$

Let

$$\kappa(\alpha) = \kappa = \frac{\alpha - \log 2 / \log q}{\alpha(2 - \alpha - \log 2 / \log q)} \quad \text{and} \quad \theta = \alpha \frac{1 - \kappa}{1 - \kappa\alpha}.$$

Then

$$\theta = \frac{1}{2} \left(\alpha + \frac{\log 2}{\log q} \right) > \frac{\log 2}{\log q} \quad \text{and} \quad \alpha = \frac{\theta}{1 - \kappa(1 - \theta)}.$$

Hence,

$$(6) \quad M' - \theta N' \geq \kappa M'(1 - \theta).$$

Let

$$(7) \quad \mu = [\kappa M'],$$

$$(8) \quad Z^* = \sum_{\substack{D \in \mathcal{SF}_G \\ f_D \leq \mu}} Z(S, \mathbf{m}, \mathbf{n}, D, U, V).$$

Then, by (1),

$$0 \leq Z' - Z^* \leq (q-1)^2 \varrho(R)^2 q^{M'+N'} \sum_{\substack{D \in \mathcal{SF}_G \\ f_D > \mu}} q^{-2f_D},$$

and by (3.5),

$$(9) \quad 0 \leq Z' - Z^* \leq h(q-1) \varrho(R)^3 q^{M'+N'-\mu}.$$

By (6) and (7), each D occurring in the sum Z^* satisfies conditions (4.11) and (4.32). In view of Theorem 4.6,

$$\begin{aligned} & \left| Z(S, \mathbf{m}, \mathbf{n}, D, U, V) - 2^{-\tau(\mathbf{m}, \mathbf{n}) - \omega(D)} C_1(S) \Lambda(D) \frac{q^{M'+N'-2f_D}}{\sqrt{(M'-f_D)(N'-f_D)}} \right| \\ & \leq \beta_5(R, \theta) 2^{r+f_G/2} 2^{\omega(D)+f_D/4} \frac{q^{M'+N'-2f_D}}{(M'-f_D)(N'-f_D)}. \end{aligned}$$

By (7),

$$\frac{q^{M'+N'-2f_D}}{(M'-f_D)(N'-f_D)} \leq \left(\frac{1}{1-\kappa} \right)^2 \frac{q^{M'+N'-2f_D}}{M'N'}.$$

Hence,

$$(10) \quad \begin{aligned} & \left| Z(S, \mathbf{m}, \mathbf{n}, D, U, V) \right. \\ & \quad \left. - C_1(S) 2^{-\tau(\mathbf{m}, \mathbf{n}) - \omega(D)} \Lambda(D) \frac{q^{M'+N'-2f_D}}{\sqrt{(M'-f_D)(N'-f_D)}} \right| \\ & \leq \left(\frac{1}{1-\kappa} \right)^2 \beta_5(R, \lambda) 2^{r+f_G/2} 2^{\omega(D)+f_D/4} \frac{q^{M'+N'}}{M'N'}. \end{aligned}$$

For any ideal D ,

$$2^{\omega(D)+f_D/4} \leq q^{f_D \log_q(2)/4} \prod_{\substack{P \in \mathcal{P} \\ P|D}} q^{f_P \log_q(2)} \leq |D|^{5 \log_q(2)/4}.$$

Hence, in view of (3.5), the series

$$(11) \quad Y_1 = \sum_{D \in \mathcal{SF}} 2^{\omega(D)+f_D/4} q^{-2f_D}$$

is convergent. By (7), (8), (10) and (11),

$$(12) \quad \begin{aligned} & |Z^* - C_1(S) 2^{-\tau(\mathbf{m}, \mathbf{n})} q^{M'+N'} Z^{**}| \\ & \leq 2^{r+f_G/2} \left(\frac{1}{1-\kappa} \right)^2 Y_1 \beta_5(R, \theta) \frac{q^{M'+N'}}{M'N'}, \end{aligned}$$

where

$$(13) \quad Z^{**} = \sum_{\substack{D \in \mathcal{SF}_G \\ f_D \leq \mu}} \frac{2^{-\omega(D)} q^{-2f_D} \Lambda(D)}{\sqrt{(M' - f_D)(N' - f_D)}}.$$

By (6), if the ideal D is such that $f_D \leq \mu$ then

$$(14) \quad 0 \leq \frac{1}{\sqrt{(M' - f_D)(N' - f_D)}} - \frac{1}{\sqrt{M'N'}} \leq \gamma(\alpha) \frac{f_D}{M'N'}$$

with

$$(15) \quad \gamma(\alpha) = \frac{1}{\sqrt{1 - \kappa(\alpha)} + 1 - \kappa(\alpha)} \left(\frac{1}{\sqrt{1 - \kappa(\alpha)}} + \frac{1}{\sqrt{\alpha}} \right)$$

and by (13),

$$\begin{aligned} 0 &\leq Z^{**} - \frac{1}{\sqrt{M'N'}} \sum_{\substack{D \in \mathcal{SF}_G \\ f_D \leq \mu}} 2^{-\omega(D)} q^{-2f_D} \Lambda(D) \\ &\leq \frac{\gamma(\alpha)}{M'N'} \sum_{\substack{D \in \mathcal{SF}_G \\ f_D \leq \mu}} f_D 2^{-\omega(D)} q^{-2f_D} \Lambda(D). \end{aligned}$$

By (4.30), $0 < \Lambda(D) \leq 1$ for all $D \in \mathcal{I}$. Hence, by (3.5), the series

$$(16) \quad Y_2 = \sum_{D \in \mathcal{SF}_G} f_D 2^{-\omega(D)} q^{-2f_D} \Lambda(D)$$

is convergent and

$$(17) \quad 0 \leq Z^{**} - \frac{1}{\sqrt{M'N'}} \sum_{\substack{D \in \mathcal{SF}_G \\ f_D \leq \mu}} 2^{-\omega(D)} q^{-2f_D} \Lambda(D) \leq \frac{\gamma(\alpha) Y_2}{M'N'}.$$

The series

$$(18) \quad Y_3 = \sum_{D \in \mathcal{SF}_G} 2^{-\omega(D)} q^{-2f_D} \Lambda(D)$$

is convergent and in view of (4.30),

$$(19) \quad Y_3 = \prod_{\substack{v \in V \\ v \notin S}} \left(1 + \frac{1}{2q^{f_v}(q^{f_v} + 1)} \right).$$

By (4.30) and (3.5),

$$\sum_{\substack{D \in \mathcal{SF}_G \\ f_D > \mu}} 2^{-\omega(D)} q^{-2f_D} \Lambda(D) \leq \sum_{\substack{D \in \mathcal{I} \\ f_D > \mu}} q^{-2f_D} \leq \frac{h\varrho(R)}{q-1} q^{-\mu}.$$

Hence by (17),

$$\left| Z^{\star\star} - \frac{Y_3}{\sqrt{M'N'}} \right| \leq \frac{h\varrho(R)}{q-1} \cdot \frac{q^{-\mu}}{\sqrt{M'N'}} + \frac{\gamma(\alpha)Y_2}{M'N'}$$

and by (9) and (12),

$$\begin{aligned} & \left| Z' - C_1(S)Y_3 2^{-\tau(\mathbf{m}, \mathbf{n})} \frac{q^{M'+N'}}{\sqrt{M'N'}} \right| \\ & \leq h(q-1)\varrho(R)^3 q^{M'+N'-\mu} + 2^{r+f_G/2} \left(\frac{1}{1-\kappa} \right)^2 Y_1 \beta_5(R, \theta) \frac{q^{M'+N'}}{M'N'} \\ & \quad + \frac{hC_1(S)\varrho(R)q^{M'+N'-\mu}}{(q-1)\sqrt{M'N'}} + \frac{C_1(S)\gamma(\alpha)Y_2}{M'N'}. \end{aligned}$$

Hence,

$$(20) \quad \left| Z' - C_1(S)Y_3 2^{-\tau(\mathbf{m}, \mathbf{n})} \frac{q^{M'+N'}}{\sqrt{M'N'}} \right| \leq \beta_6(S, \alpha) \frac{q^{M'+N'}}{M'N'}$$

with $\beta_6(S, \alpha)$ a constant. In view of (3), (20) gives (4.37) with $C'(S) = Y_3 C_1(S)$. We get (4.38) from (19) and (4.34). ■

COROLLARY 4.9. *Let $\alpha \in]\log 2/\log q, 1]$. Let $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ be r -tuples of rational integers such that $\min(\|\mathbf{m}\|, \|\mathbf{n}\|) \geq \alpha \max(\|\mathbf{m}\|, \|\mathbf{n}\|) > 0$. Then*

$$\left| H'(\mathbf{m}, \mathbf{n}) - 2^{-\tau(\mathbf{m}, \mathbf{n})} C'(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \right| \leq \beta_6(R, \alpha) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}. \quad (4.39)$$

Proof. Take $U = V = (1)$ in Theorem 4.8. ■

Now, we are able to end the proof.

THEOREM 4.10. *Let $\lambda \in]3 \log 2/(2 \log q), 1]$. Let $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ be r -tuples of rational integers such that $\min(\|\mathbf{m}\|, \|\mathbf{n}\|) \geq \lambda \max(\|\mathbf{m}\|, \|\mathbf{n}\|) > 0$. Then*

$$\left| H(\mathbf{m}, \mathbf{n}) - 2^{-\tau(\mathbf{m}, \mathbf{n})} C(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \right| \leq \beta_7(S, \lambda) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|} \quad (4.40)$$

with

$$\begin{aligned} C(S) &= \frac{2h\zeta_K(q^{-2})(q-1)}{\pi q^{g-1}} \\ & \quad \times \prod_{v \in S} \left(1 - \frac{1}{q^{2f_v}} \right) \left(1 - \frac{1}{q^{f_v}} \right) \cdot \prod_{\substack{v \in V \\ v \notin S}} \left(1 + \frac{1}{2q^{f_v}(q^{f_v} + 1)} \right) \end{aligned} \quad (4.41)$$

and $\beta_7(S, \lambda)$ a constant.

Proof. We suppose that $\|\mathbf{m}\| \leq \|\mathbf{n}\|$. Then

$$(1) \quad \|\mathbf{m}\| \geq \lambda \|\mathbf{n}\|.$$

In view of (4.5) and the definition of $Z'(S, \mathbf{m}, \mathbf{n}, U, V)$,

$$(2) \quad H(S, \mathbf{m}, \mathbf{n}) = \sum_{\substack{U \in \mathcal{I}_G \\ 2f_U \leq \|\mathbf{m}\|}} \sum_{\substack{V \in \mathcal{I}_G \\ 2f_V \leq \|\mathbf{n}\|}} Z'(S, \mathbf{m}, \mathbf{n}, U, V).$$

Let

$$(3) \quad \alpha = \frac{2}{3} \lambda.$$

We note that $\log 2 / \log q < \alpha \leq 2/3$. Let E' denote the set of pairs (U, V) with U and V coprime to G and such that $2f_U \leq \frac{1}{3}\|\mathbf{m}\|$, $2f_V \leq \frac{1}{3}\lambda\|\mathbf{n}\|$, and let E denote the set of $(U, V) \in E'$ such that

$$(4) \quad \min(\|\mathbf{m}\| - 2f_U, \|\mathbf{n}\| - 2f_V) \geq \alpha \max(\|\mathbf{m}\| - 2f_U, \|\mathbf{n}\| - 2f_V).$$

Let (U, V) be a pair of ideals as in (2). Obviously, $Z'(S, \mathbf{m}, \mathbf{n}, U, V)$ is less than the number of pairs (A, B) of ideals such that $A\mathfrak{A}$ and $B\mathfrak{B}$ are principal and satisfy

$$f_A = \|\mathbf{m}\| - 2f_U, \quad f_B = \|\mathbf{n}\| - 2f_V,$$

with \mathfrak{A} and \mathfrak{B} defined by (4.7). By (3.5),

$$Z'(S, \mathbf{m}, \mathbf{n}, U, V) \leq \varrho(R)^2 q^{\|\mathbf{m}\| + \|\mathbf{n}\| - 2f_U - 2f_V}.$$

Hence,

$$\begin{aligned} & \sum_{\substack{U \in \mathcal{I}_G, V \in \mathcal{I}_G \\ (U, V) \notin E' \\ 2f_U \leq \|\mathbf{m}\|, 2f_V \leq \|\mathbf{n}\|}} Z'(S, \mathbf{m}, \mathbf{n}, U, V) \\ & \leq \varrho(R)^2 q^{\|\mathbf{m}\| + \|\mathbf{n}\|} \left(\sum_{\substack{U \in \mathcal{I} \\ 2f_U > \|\mathbf{m}\|/3}} q^{-2f_U} \sum_{V \in \mathcal{I}} q^{-2f_V} + \sum_{\substack{V \in \mathcal{I} \\ 2f_V > \lambda\|\mathbf{n}\|/3}} q^{-2f_V} \sum_{U \in \mathcal{I}} q^{-2f_U} \right). \end{aligned}$$

Thus, by (3.5),

$$\begin{aligned} & \sum_{\substack{U \in \mathcal{I}_G, V \in \mathcal{I}_G \\ (U, V) \notin E' \\ 2f_U \leq \|\mathbf{m}\|, 2f_V \leq \|\mathbf{n}\|}} Z'(S, \mathbf{m}, \mathbf{n}, U, V) \\ & \leq h^2 \left(\frac{q}{q-1} \right)^2 \varrho(R)^4 q^{\|\mathbf{m}\| + \|\mathbf{n}\|} (q^{-\|\mathbf{m}\|/6} + q^{-\lambda\|\mathbf{n}\|/6}), \end{aligned}$$

and by (1),

$$(5) \quad \sum_{\substack{U \in \mathcal{I}_G, V \in \mathcal{I}_G \\ (U, V) \notin E' \\ 2f_U \leq \|\mathbf{m}\|, 2f_V \leq \|\mathbf{n}\|}} Z'(S, \mathbf{m}, \mathbf{n}, U, V) \leq 2h^2 \left(\frac{q}{q-1} \right)^2 \varrho(R)^4 q^{\|\mathbf{m}\| + \|\mathbf{n}\| - \lambda \|\mathbf{n}\|/6}.$$

If $(U, V) \in E'$ is not in E then either $2f_V \geq \|\mathbf{n}\| - \|\mathbf{m}\| + 2f_U$, and in this case $2f_V > \|\mathbf{n}\| - \alpha \|\mathbf{m}\| + 2\alpha f_U \geq \|\mathbf{n}\| - \alpha \|\mathbf{m}\|$, or $2f_V < \|\mathbf{n}\| - \|\mathbf{m}\| + 2f_U$, and in this case $2f_U > \|\mathbf{m}\| - \alpha \|\mathbf{n}\| + 2\alpha f_V \geq \|\mathbf{m}\| - \alpha \|\mathbf{n}\|$. So by (3.5),

$$(6) \quad \sum_{\substack{(U, V) \in E' \\ (U, V) \notin E}} Z'(S, \mathbf{m}, \mathbf{n}, U, V) \leq h^2 \left(\frac{q}{q-1} \right)^2 \varrho(R)^4 q^{\|\mathbf{m}\| + \|\mathbf{n}\|} (q^{-(\|\mathbf{n}\| - \alpha \|\mathbf{m}\|)/2} + q^{-(\|\mathbf{m}\| - \alpha \|\mathbf{n}\|)/2}).$$

Let

$$(7) \quad F = F(S, \mathbf{m}, \mathbf{n}) = \sum_{(U, V) \in E} Z'(S, \mathbf{m}, \mathbf{n}, U, V).$$

Then, by (2), (5), (1) and (3),

$$(8) \quad |H(S, \mathbf{m}, \mathbf{n}) - F| \leq 4h^2 \left(\frac{q}{q-1} \right)^2 \varrho(R)^4 q^{\|\mathbf{m}\| + \|\mathbf{n}\| - \lambda \|\mathbf{n}\|/6}.$$

If $(U, V) \in E$, then

$$\min(\|\mathbf{m}\| - 2f_U, \|\mathbf{n}\| - 2f_V) \geq \alpha \max(\|\mathbf{m}\| - 2f_U, \|\mathbf{n}\| - 2f_V)$$

with $\log 2/\log q < \alpha \leq 1$ and we may apply Theorem 4.8 to $Z'(S, \mathbf{m}, \mathbf{n}, U, V)$.

Doing this, we get

$$(9) \quad |F - 2^{-\tau(\mathbf{m}, \mathbf{n})} C'(S) q^{\|\mathbf{m}\| + \|\mathbf{n}\|} F^*| \leq \beta_6(S, \varrho) 2^{r+f_G/2} q^{\|\mathbf{m}\| + \|\mathbf{n}\|} F'$$

with

$$(10) \quad F^* = \sum_{(U, V) \in E} q^{-2f_U - 2f_V} (\|\mathbf{m}\| - 2f_U)^{-1/2} (\|\mathbf{n}\| - 2f_V)^{-1/2},$$

$$(11) \quad F' = \sum_{(U, V) \in E} q^{-2f_U - 2f_V} (\|\mathbf{m}\| - 2f_U)^{-1} (\|\mathbf{n}\| - 2f_V)^{-1}.$$

If $(U, V) \in E$ then $(U, V) \in E'$ and by (3), $(\|\mathbf{m}\| - 2f_U)(\|\mathbf{n}\| - 2f_V) \geq \frac{2}{9}(3 - \lambda)\|\mathbf{m}\|\|\mathbf{n}\|$. Therefore,

$$F' \leq \frac{9}{2(3 - \lambda)\|\mathbf{m}\|\|\mathbf{n}\|} \left(\sum_{U \in \mathcal{I}} q^{-2f_U} \right)^2.$$

By (3.5),

$$(12) \quad F' \leq \frac{9h^2 q^2 \varrho(R)^2}{2(3 - \lambda)(q - 1)^2 \|\mathbf{m}\|\|\mathbf{n}\|}.$$

We have

$$\begin{aligned}
 0 &\leq \frac{1}{\sqrt{(\|\mathbf{m}\| - 2f_U)(\|\mathbf{n}\| - 2f_V)}} - \frac{1}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \\
 &\leq \frac{2f_V}{\sqrt{2/3}(\sqrt{1 - \lambda/3} + 1 - \lambda/3)\|\mathbf{m}\|^{1/2}\|\mathbf{n}\|^{3/2}} \\
 &\quad + \frac{2f_U}{(\sqrt{2/3} + 2/3)\|\mathbf{m}\|^{3/2}\|\mathbf{n}\|^{1/2}} \\
 &\leq \frac{1}{\|\mathbf{m}\| \|\mathbf{n}\|} \left(\frac{2f_V}{\sqrt{2/3}(\sqrt{1 - \lambda/3} + 1 - \lambda/3)} + \frac{2f_U}{\sqrt{\lambda}(\sqrt{2/3} + 2/3)} \right).
 \end{aligned}$$

The series

$$(13) \quad Y_4 = \sum_{(U,V) \in \mathcal{I} \times \mathcal{I}} f_U q^{-2f_U - 2f_V}$$

is convergent and by (10),

$$(14) \quad 0 \leq F^* - \frac{1}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \sum_{(U,V) \in E} q^{-2f_U - 2f_V} \leq \frac{\kappa(\lambda)Y_4}{\|\mathbf{m}\| \|\mathbf{n}\|}$$

with

$$(15) \quad \kappa(\lambda) = 2 \left(\frac{1}{\sqrt{2/3}(\sqrt{1 - \lambda/3} + 1 - \lambda/3)} + \frac{1}{\sqrt{\lambda}(\sqrt{2/3} + 2/3)} \right).$$

The series

$$(16) \quad Y_5 = \sum_{(U,V) \in \mathcal{I}_G \times \mathcal{I}_G} q^{-2f_U - 2f_V}$$

is convergent. As above we get

$$\left| Y_5 - \sum_{(U,V) \in E} q^{-2f_U - 2f_V} \right| \leq 4h^2 \left(\frac{q}{q-1} \right)^2 \varrho(R)^2 q^{\|\mathbf{m}\| + \|\mathbf{n}\| - \lambda\|\mathbf{n}\|/6},$$

and by (14),

$$\begin{aligned}
 (17) \quad 0 &\leq F^* - \frac{Y_5}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \\
 &\leq \frac{\kappa(\lambda)Y_4}{\|\mathbf{m}\| \|\mathbf{n}\|} + 4h^2 \left(\frac{q}{q-1} \right)^2 \varrho(R)^2 \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\| - \lambda\|\mathbf{n}\|/6}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}}.
 \end{aligned}$$

By (8), (9), (12) and (17) we get

$$(18) \quad \left| H(S, \mathbf{m}, \mathbf{n}) - 2^{-\tau(\mathbf{m}, \mathbf{n})} C'(S) Y_5 \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \right| \leq \beta_\tau(R, \lambda) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}$$

with $\beta_7(R, \lambda)$ a constant. In order to complete the proof, it remains to compute the constant

$$(19) \quad C(S) = C'(S)Y_5.$$

Expanding Y_5 as a product, we get

$$Y_5 = \prod_{P \in \mathcal{P}_G} (1 - q^{-2f_P})^{-2} = \prod_{P \in \mathcal{P}} (1 - q^{-2f_P})^{-2} \cdot \prod_{\substack{P \in \mathcal{P} \\ P|G}} (1 - q^{-2f_P})^2.$$

By (2.1) and (4.1),

$$Y_5 = \zeta_K \left(\frac{1}{q^2} \right)^2 \prod_{v \in S} \left(1 - \frac{1}{q^{2f_v}} \right)^2,$$

and by (4.38),

$$\begin{aligned} C(S) &= \frac{2h\zeta_K(q^{-2})(q-1)}{\pi q^{g-1}} \cdot \prod_{\substack{v \in V \\ v \notin S}} \left(1 + \frac{1}{2q^{f_v}(q^{f_v} + 1)} \right) \\ &\quad \times \prod_{v \in S} \left(1 - \frac{1}{q^{2f_v}} \right)^{-2} \left(1 + \frac{1}{q^{f_v}} \right)^{-1}. \blacksquare \end{aligned}$$

5. Quadratic forms with coefficients in the ring R_S . In this section we end the proof of the announced theorem.

Let S be a finite, non-empty set of r places of K . For r -tuples $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ of rational integers, let $Q_S(\mathbf{m}, \mathbf{n})$ denote the number of $(a, b) \in R_S \times R_S$ such that

- (1) $v(a) = m_v$ and $v(b) = n_v$ for all $v \in S$,
- (2) the quadratic form

$$(f_{a,b}) \quad X^2 - aY^2 - bZ^2$$

represents 0 over the field K .

Similarly, let $Q_{1,S}(\mathbf{m}, \mathbf{n})$ denote the number of $(a, b) \in R_S \times R_S$ with ideals $R_S a$ and $R_S b$ square-free and coprime and such that (1) and (2) are true; and let $Q'_S(\mathbf{m}, \mathbf{n})$ denote the number of $(a, b) \in R_S \times R_S$ with $R_S a$ and $R_S b$ square-free and such that (1) and (2) are true.

THEOREM 5.1. *Let λ and θ be real numbers with $3 \log 2 / (2 \log q) < \lambda \leq 1$ and $\log 2 / \log q < \theta \leq 1$. Let $\mathbf{m} = (m_v)_{v \in S}$ and $\mathbf{n} = (n_v)_{v \in S}$ be r -tuples of rational integers. If*

$$0 < \lambda \max(\|\mathbf{m}\|, \|\mathbf{n}\|) \leq \min(\|\mathbf{m}\|, \|\mathbf{n}\|), \quad (5.1)$$

then

$$\left| Q_S(\mathbf{m}, \mathbf{n}) - 2^{-\tau(\mathbf{m}, \mathbf{n})} C(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \right| \leq \beta_7(S, \lambda) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}; \quad (5.2)$$

if

$$0 < \theta \max(\|\mathbf{m}\|, \|\mathbf{n}\|) \leq \min(\|\mathbf{m}\|, \|\mathbf{n}\|), \quad (5.3)$$

then

$$\left| Q_{1,S}(\mathbf{m}, \mathbf{n}) - 2^{-\tau(\mathbf{m}, \mathbf{n})} C_1(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \right| \leq \beta_5(S, \theta) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}, \quad (5.4)$$

$$\left| Q'_S(\mathbf{m}, \mathbf{n}) - 2^{-\tau(\mathbf{m}, \mathbf{n})} C'(S) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\sqrt{\|\mathbf{m}\| \|\mathbf{n}\|}} \right| \leq \beta_6(S, \theta) \frac{q^{\|\mathbf{m}\| + \|\mathbf{n}\|}}{\|\mathbf{m}\| \|\mathbf{n}\|}, \quad (5.5)$$

with $\|\cdot\|$, $\tau(\mathbf{m}, \mathbf{n})$, $C(S)$, $C'(S)$, $C_1(S)$, $\beta_5(S, \theta)$, $\beta_6(S, \theta)$, $\beta_7(S, \lambda)$ defined as in Section 4.

Proof. Let $v_0 \in S$ and let $R = R_{\{v_0\}}$. If $S = \{v_0\}$, then (5.2), (5.4) and (5.5) are respectively given by Theorem 4.10, Corollary 4.7 and Corollary 4.9. We now assume that the set $S' = S - \{v_0\}$ is not empty. Let G be defined by (4.1). For $v \in S'$, we denote by d_v the order of the ideal class of P_v in the ideal class group of the ring R , and by p_v the monic element such that $Rp_v = P_v^{d_v}$. Let $(i_v)_{v \in S'}$, $(\bar{m}_v)_{v \in S'}$, $(j_v)_{v \in S'}$, $(\bar{n}_v)_{v \in S'}$ be defined by the relations

$$(3) \quad m_v = 2d_v i_v + \bar{m}_v, \quad 0 \leq \bar{m}_v < 2d_v; \quad n_v = 2d_v j_v + \bar{n}_v, \quad 0 \leq \bar{n}_v < 2d_v.$$

Let $\mathbf{X}_S(\mathbf{m}, \mathbf{n})$ denote the set of $(a, b) \in R_S \times R_S$ such that $\mathbf{v}(a) = \mathbf{m}$ and $\mathbf{v}(b) = \mathbf{n}$. Let $(a, b) \in \mathbf{X}_S(\mathbf{m}, \mathbf{n})$ and set

$$a' = a \prod_{v \in S'} p_v^{-2i_v}, \quad b' = b \prod_{v \in S'} p_v^{-2j_v}.$$

We look at $(v(a'))_{v \in V}$ and $(v(b'))_{v \in V}$. For $v \in S'$, we have $v(a') = \bar{m}_v \geq 0$ and $v(b') = \bar{n}_v \geq 0$. For $v \notin S$, $v(a') = v(a) \geq 0$ and $v(b') = v(b) \geq 0$. Hence a' and b' belong to the ring $R = R_{\{v_0\}}$. By the product formula,

$$v_0(a') = m_{v_0} + \frac{2}{f_0} \sum_{v \in S'} i_v d_v f_v, \quad v_0(b') = n_{v_0} + \frac{2}{f_0} \sum_{v \in S'} j_v d_v f_v.$$

Hence, $(a', b') \in \mathcal{X}_S(\mathbf{m}', \mathbf{n}')$, where

$$(4) \quad \mathbf{m}' = (\bar{m}_v)_{v \in S}, \quad \mathbf{n}' = (\bar{n}_v)_{v \in S},$$

with

$$(5) \quad \bar{m}_{v_0} = m_{v_0} + \frac{2}{f_0} \sum_{v \in S'} i_v d_v f_v, \quad \bar{n}_{v_0} = n_{v_0} + \frac{2}{f_0} \sum_{v \in S'} j_v d_v f_v.$$

Moreover, the map $(a, b) \mapsto (a', b')$ is bijective and the quadratic form $(f_{a,b})$ represents 0 over K if and only if $(f_{a',b'})$ does. Hence, $Q_S(\mathbf{m}, \mathbf{n})$ is equal

to the number of pairs $(a', b') \in \mathcal{X}_S(\mathbf{m}', \mathbf{n}')$ such that $(f_{a', b'})$ represents 0 over K , that is, the number $H(S, \mathbf{m}', \mathbf{n}')$ defined in the previous section. By (3)–(5),

$$-\|\mathbf{m}'\| = \sum_{v \in S} f_v \bar{m}_v = f_0 m_{v_0} + \sum_{v \in S'} 2i_v d_v f_v + \sum_{v \in S'} (m_v - 2i_v d_v) f_v,$$

and

$$(6) \quad \|\mathbf{m}'\| = \|\mathbf{m}\|, \quad \|\mathbf{n}'\| = \|\mathbf{n}\|.$$

We now deduce (5.2) from (4.40).

Let $\mathbf{X}_{1,S}(\mathbf{m}, \mathbf{n})$, resp. $\mathbf{X}'_S(\mathbf{m}, \mathbf{n})$, denote the set of $(a, b) \in \mathbf{X}_S(\mathbf{m}, \mathbf{n})$ such that $(f_{a,b})$ represents zero with a and b coprime and square-free, resp. with a and b square-free. As above, the map $(a, b) \mapsto (a', b')$ is bijective from $\mathbf{X}_{1,S}(\mathbf{m}, \mathbf{n})$ to $\mathcal{Z}(S, \mathbf{m}', \mathbf{n}', (1), (1), (1))$, and from $\mathbf{X}'_S(\mathbf{m}, \mathbf{n})$ to $\mathcal{Z}(S, \mathbf{m}', \mathbf{n}', (1), (1))$, the sets $\mathcal{Z}(S, \mathbf{m}', \mathbf{n}', (1), (1), (1))$ and $\mathcal{Z}'(S, \mathbf{m}', \mathbf{n}', (1), (1))$ being defined in Section 4. We now get (5.4) and (5.5) from (4.35) and (4.39). ■

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