

GROUPS OF $C^{r,s}$ -DIFFEOMORPHISMS RELATED TO A FOLIATION

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Abstract. The notion of a $C^{r,s}$ -diffeomorphism related to a foliation is introduced. A perfectness theorem for the group of $C^{r,s}$ -diffeomorphisms is proved. A remark on C^{n+1} -diffeomorphisms is given.

1. Introduction. The goal of this note is to show that some automorphism groups of a foliated manifold are perfect. Let us recall that a group G is called perfect if $G = [G, G]$, where the commutator subgroup is generated by all commutators $[g_1, g_2] = g_1 g_2 g_1^{-1} g_2^{-1}$, $g_1, g_2 \in G$. In terms of homology of groups this means that $H_1(G) = G/[G, G] = 0$.

The following fundamental result is well-known. Throughout the subscript c indicates the compactly supported subgroup, and the subscript 0 indicates the identity component.

THEOREM 1.1 (Herman, Thurston, Mather). *Let M be a smooth manifold, and let $n = \dim(M)$. If $r = 1, 2, \dots, \infty$, $r \neq n + 1$, then $\text{Diff}_c^r(M)_0$ is perfect. Consequently, this group is simple as well.*

The case $r = \infty$ and $M = T^n$ is due to Herman [3] who applied a difficult small denominator theory argument. Next, Thurston [8] used the result of Herman to obtain that $\text{Diff}_c^\infty(M)_0$ is perfect for an arbitrary manifold M (cf. [1] for the proof). By a completely different method Mather [4] proved the first assertion for $r \neq n + 1$, r finite. Finally, the second assertion follows from Epstein [2].

Given a foliated manifold (M, \mathcal{F}) a diffeomorphism $f : M \rightarrow M$ is said to be leaf preserving if $f(L_x) = L_x$ for all $x \in M$, where L_x is the leaf of \mathcal{F} passing through x .

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THEOREM 1.2. *Let (M, \mathcal{F}) be a foliated smooth manifold with $k = \dim \mathcal{F}$, and let $\text{Diff}_c^r(M, \mathcal{F})$ be the group of leaf preserving diffeomorphisms. Then $\text{Diff}_c^r(M, \mathcal{F})_0$ is perfect, provided $r \leq k$, or $r = \infty$.*

The proof of Theorem 1.2 for $r = \infty$ modifies arguments of Herman and Thurston (cf. Rybicki [6]). In the case $r \leq k$ it is easily checked that the proof of Mather [4], II, applies to leaf preserving diffeomorphisms thanks to 'foliated properties' of Mather's operators $P_{i,A}$.

Observe that the group $\text{Diff}_c^r(M, \mathcal{F})$ is locally contractible (cf. [7]) and, consequently, the identity component of it coincides with the totality of its elements that can be joined to the identity by an isotopy in $\text{Diff}_c^r(M, \mathcal{F})$.

Our aim is to study here the remaining case of $r > k$, r finite. In the final section we shall show how a possible analogue of Theorem 1.2 for $r > k + 1$ is related to the simplicity of $\text{Diff}_c^{n+1}(M)_0$. Observe that there exist some strong arguments suggesting that $\text{Diff}_c^{n+1}(M)_0$ is not simple (Mather [4], III, [5]). This suggests, in turn, that the assertion of Theorem 1.2 for $r > k$ might not be true. However, if we consider groups of leaf preserving diffeomorphisms with some 'loss of smoothness' in the transversal direction then we are able to obtain a positive result.

Given a foliated manifold (M, \mathcal{F}) with $k = \dim \mathcal{F}$, let $\text{Diff}^{r,s}(M, \mathcal{F})$ denote the group of leaf preserving C^1 -diffeomorphisms which are of class C^r in the tangent direction, and of class C^s in the transversal direction, where $1 \leq s \leq r$. (See section 2.)

THEOREM 1.3. *The group $\text{Diff}_c^{r,s}(M)_0$ is perfect, provided $r - s > k + 1$.*

In the whole paper we exploit the techniques from Mather's fundamental paper [4]. We retain the notation of that paper as far as possible and we recall definitions and facts from it. In particular, the construction of the rolling-up operators is adopted to $C^{r,s}$ -diffeomorphisms (section 4).

2. Preliminaries. Let $r, s \geq 1$ and $k \geq 1$ be fixed integers. Let $f(x, y) = (f_1(x, y), y)$, where $x \in \mathbb{R}^k$ and $y \in \mathbb{R}^{n-k}$.

DEFINITION 2.1. A partial derivative of order $p \geq 1$ of f is called *s-admissible* if it contains at most s derivatives in the direction of the last $n - k$ coordinates. We say that f is of class $C^{r,s}$ if it has all the *s-admissible* partial derivatives up to order r and they are continuous. For f of class $C^{r,s}$ and $1 \leq p \leq r$ we denote by $D^{p,s}f : \mathbb{R}^n \rightarrow L^p(\mathbb{R}^n, \mathbb{R}^n)$ the mapping, called the *p-th derivative* of f , consisting of *s-admissible* partial derivatives of f of order p , and of zeros in place of partial derivatives of f of order p which are not *s-admissible*. In other words, we may say that f is of class $C^{r,s}$ if $D^{r,s}f$ exists and is continuous. The symbol $C^{r,s}(n, k)$ will stand for the space of all mappings of the form $f(x, y) = (f_1(x, y), y)$, which are of class $C^{r,s}$.

It is clear that $D^{r,s}f = D^r f$ if $r \leq s$. In particular we have then the standard derivative formulas for composed mappings

$$(2.1) \quad D(f \circ g) = (Df \circ g) \cdot Dg$$

and

$$(2.2) \quad \begin{aligned} D^{r,s}(f \circ g) &= (D^{r,s}f \circ g) \cdot (Dg \times \dots \times Dg) + (Df \circ g) \cdot D^{r,s}g \\ &\quad + \sum C_{i,j_1,\dots,j_i} (D^{i,s}f \circ g) \cdot (D^{j_1,s}g \times \dots \times D^{j_i,s}g), \end{aligned}$$

where the sum is over $1 < i < r$, $1 \leq j_l$, $j_1 + \dots + j_i = r$ and C_{i,j_1,\dots,j_i} are positive integers independent of f and g .

Notice that for $r > s$ the above formula (2.2) is no longer valid. The following fact is simple but clue.

PROPOSITION 2.2. *Let $f, g \in C^{r,s}(n, k)$. If an entry of the matrix $D^{r,s}(f \circ g)$ on the l.h.s. of (2.2) is an s -admissible partial derivative of $f \circ g$ then the corresponding entry on the r.h.s. is expressed by means of s -admissible partial derivatives of orders $\leq r$ of f and g .*

Proof. It suffices to make the following observation. Any partial derivative in the direction of x_i , $i = 1, \dots, k$, of $f \circ g$ cannot produce a partial derivative of f or g in the direction of y_j , $j = 1, \dots, n - k$. In case of g this is obvious, in case of f this follows from the fact that $g = (g_1, g_2) \in C^{r,s}(n, k)$ satisfies $g_2(x, y) = y$ and, consequently, $\frac{\partial g_2}{\partial x_i} = 0$. ■

DEFINITION 2.3. A *modulus of continuity* is a continuous, strictly increasing function $\alpha : [0, \infty) \rightarrow \mathbb{R}$, such that $\alpha(0) = 0$ and $\alpha(tx) \leq t\alpha(x)$ for every $x \in [0, \infty)$ and $t \geq 1$.

Let X, Y be two metric spaces, and let α be a modulus of continuity. We say that $f : X \rightarrow Y$ is α -continuous if there exist $C > 0$ and $\varepsilon > 0$ such that for every $x_1, x_2 \in X$ and $d_X(x_1, x_2) \leq \varepsilon$ we have $d_Y(f(x_1), f(x_2)) \leq C\alpha(d_X(x_1, x_2))$. f is called *locally α -continuous* if each point has a neighborhood U such that $f|_U$ is α -continuous. Obviously these concepts depend on equivalence classes of metrics only.

It is clear that every $f : X \rightarrow Y$ that is Lipschitz, is α -continuous for all moduli of continuity α . In particular a C^1 -mapping $f : U \rightarrow \mathbb{R}^n$, where $U \subset \mathbb{R}^n$, is locally α -continuous for all moduli of continuity α .

The following fact is well-known.

LEMMA 2.4. *Let $f : X \rightarrow Y$ be a continuous mapping from a compact, convex subset of a normed vector space to a metric space. Then there exists a modulus of continuity α such that f is α -continuous.*

We say that f is of class $C^{r,s,\alpha}$ if it is $C^{r,s}$ and $D^{r,s}f$ is locally α -continuous. Clearly this notion does not depend on the choice of a norm on $L^r(\mathbb{R}^n, \mathbb{R}^n)$. In the sequel the symbol $C^{r,s,[\alpha]}$ will stand for $C^{r,s}$ or $C^{r,s,\alpha}$. We denote by $\mathcal{D}^{r,s,[\alpha]}(n, k)$ the group of leaf preserving diffeomorphisms of class $C^{r,s,[\alpha]}$ on \mathbb{R}^n with compact support which are isotopic to the identity through compactly supported $C^{r,s,[\alpha]}$ -isotopies, and by $\mathcal{D}_K^{r,s,[\alpha]}(n, k)$ the subgroup of $\mathcal{D}^{r,s,[\alpha]}(n, k)$ of diffeomorphisms supported in K .

PROPOSITION 2.5. *If $f, g \in C^{r,s,[\alpha]}(n, k)$ then $f \circ g \in C^{r,s,[\alpha]}(n, k)$.*

Proof. In fact, this is a consequence of Proposition 2.2. ■

PROPOSITION 2.6. *If $f \in C^{r,s,[\alpha]}(n, k)$ and f has a C^1 -inverse, then $f^{-1} \in C^{r,s,[\alpha]}(n, k)$.*

Proof. We have the formula

$$D(f^{-1}) = \text{inv} \circ Df \circ f^{-1},$$

where inv is the inversion in $L(\mathbb{R}^n, \mathbb{R}^n)$. It is well-known that inv is of class C^∞ . $D(f^{-1})$ is of class $C^{r-1, s-1}$. Considering each entry in matrix $D(f^{-1})$ it is easy to see that $D^{r,s}(f^{-1})$ exists and is continuous. ■

A leaf preserving mapping of a smooth foliated manifold $f : (M, \mathcal{F}) \rightarrow (M, \mathcal{F})$ is of class $C^{r,s}$ if for every $x \in M$ and for every distinguished chart (V, v) on (M, \mathcal{F}) with $f(x) \in V$, there exists a distinguished chart (U, u) on (M, \mathcal{F}) with $x \in U$, $f(U) \subset V$ and $v \circ f \circ u^{-1}$ is $C^{r,s}$.

We define

$$C^{r,s}(M, \mathcal{F}) = \{f : (M, \mathcal{F}) \rightarrow (M, \mathcal{F}) \mid f \text{ is } C^{r,s} \text{ and } f(L_x) \subset L_x, \forall x \in M\}.$$

It is obvious that $C^{p+1, s+1}(M, \mathcal{F}) \subset C^{p+1, s}(M, \mathcal{F}) \subset C^{p, s}(M, \mathcal{F})$, for $1 \leq p < r$. By $\text{Diff}^{r,s}(M, \mathcal{F})_0$ we denote the group of all leaf preserving C^1 -diffeomorphisms on M of class $C^{r,s}$ which can be joined to the identity by a compactly supported $C^{r,s}$ -isotopy.

The following fact can be proved as usual (cf. [1]).

LEMMA 2.7. *Let $g \in \text{Diff}_c^{r,s}(M, \mathcal{F})_0$. Then there exist open balls U_i and $g_i \in \text{Diff}_c^{r,s}(M, \mathcal{F})_0$, $i = 1, \dots, l$, such that $\text{supp}(g_i) \subset U_i$ and $g = g_1 \dots g_l$.*

This fragmentation property enables us to reduce the proof of Theorem 1.3 to the case of $(M, \mathcal{F}) = (\mathbb{R}^n, \mathcal{F}_k)$, where $\mathcal{F}_k = \{\mathbb{R}^k \times \{\text{pt}\}\}$.

As a consequence of Lemmas 2.4 and 2.7 we have the following

LEMMA 2.8. *One has*

$$\mathcal{D}^{r,s}(n, k) = \bigcup \mathcal{D}^{r,s,\alpha}(n, k),$$

where the union is taken over all moduli of continuity α .

3. Basic estimates. Let $s \geq 1$ and $0 \leq p \leq r$. For $f \in C^{r,s}(\mathbb{R}^n, \mathbb{R}^n)$ we define

$$\|f\|_{p,s} = \sup_{x \in \mathbb{R}^n} \|D^{p,s}f(x)\| \leq \infty,$$

and

$$\|f\|_{p,s,\alpha} = \sup_{x \neq y \in \mathbb{R}^n} \frac{\|D^{p,s}f(x) - D^{p,s}f(y)\|}{\alpha(\|x - y\|)} \leq \infty,$$

where $\|\cdot\|$ denotes the usual norm in the space of p -linear mappings. Further we put $\mu_{p,s}(f) = \|f - \text{id}\|_{p,s}$ and $\mu_{p,s,\alpha}(f) = \|f - \text{id}\|_{p,s,\alpha}$. Moreover, we denote

$$M_{p,s,\alpha}(f) = \sup\{\mu_{1,s,\alpha}(f), \dots, \mu_{p,s,\alpha}(f)\}.$$

By simple computation we see that $\mu_{1,s}(f) \leq \|f\|_{1,s} + 1$ and $\|f\|_{1,s} \leq \mu_{1,s}(f) + 1$. Further, we have $\mu_{p,s}(f) = \|f\|_{p,s}$ for $p \geq 2$, and $\mu_{p,s,\alpha}(f) = \|f\|_{p,s,\alpha}$ for $p \geq 1$.

Let K be a closed subset of \mathbb{R}^n . We define

$$R_K = \sup\{\text{dist}(q, \overline{\mathbb{R}^n \setminus K}) : q \in \mathbb{R}^n\} \leq \infty$$

and

$$R_K^v = \sup\{\text{dist}(q, \overline{\mathbb{R}^n \setminus K} \cap L_q) : q \in \mathbb{R}^n\} \leq \infty.$$

Here $q \in L_q \in \mathcal{F}$. Clearly $R_K \leq R_K^v$.

PROPOSITION 3.1. *Let K be a closed interval of \mathbb{R}^n .*

(1) Assume that $R_K < \infty$. Then there exists a constant $C > 0$, depending on R_K and α such that for all $1 \leq p \leq r$

$$\mu_{p,s}(f) \leq C\mu_{p,s,\alpha}(f),$$

whenever $f \in \mathcal{D}_K^{r,s,\alpha}(n, k)$.

(2) If $R_K^v < \infty$ then there exists a constant $C > 0$, depending on R_K^v and α such that for all $1 \leq p < r$

$$\mu_{p,s,\alpha}(f) \leq C\mu_{p+1,s,\alpha}(f),$$

for any $f \in \mathcal{D}_K^{r,s,\alpha}(n, k)$.

Proof. The inequality in (1) follows by properties of moduli of continuity.

The proof of (2) consists of three steps. First, let us take $(x, y^1), (x, y^2) \in \mathbb{R}^n$, where $x \in \mathbb{R}^k$ and $y^1, y^2 \in \mathbb{R}^{n-k}$. As K is an interval we can choose $x_0 \in \mathbb{R}^k$ such that $(x_0, y^1), (x_0, y^2) \in \overline{\mathbb{R}^n \setminus K}$ and $\|x - x_0\| = \|(x, y^j) - (x_0, y^j)\| \leq R_K^v, j = 1, 2$.

We denote $I_t(a, b) = ta + (1 - t)b$. Then we have

$$\begin{aligned} & \|D^{p,s}f(x, y^1) - D^{p,s}f(x, y^2)\| \\ &= \|D^{p,s}f(x, y^1) - D^{p,s}f(x_0, y^1) + D^{p,s}f(x_0, y^2) - D^{p,s}f(x, y^2)\| \\ &= \left\| \int_0^1 (D^{p+1,s}f(I_t(x, x_0), y^1) - D^{p+1,s}f(I_t(x, x_0), y^2))(x - x_0, 0) dt \right\| \\ &\leq \sup_{t \in [0,1]} \|D^{p+1,s}f(I_t(x, x_0), y^1) - D^{p+1,s}f(I_t(x, x_0), y^2)\| \|x - x_0\|. \end{aligned}$$

Hence

$$\begin{aligned} & \frac{\|D^{p,s}f(x, y^1) - D^{p,s}f(x, y^2)\|}{\alpha(\|(x, y^1) - (x, y^2)\|)} \\ &\leq \sup_{z \in \mathbb{R}^k} \frac{\|D^{p+1,s}f(z, y^1) - D^{p+1,s}f(z, y^2)\|}{\alpha(\|y^1 - y^2\|)} \|x - x_0\| \\ &\leq R_K^v \mu_{p+1,s,\alpha}(f). \end{aligned}$$

In the next step we take $(x^1, y), (x^2, y) \in \mathbb{R}^n$, where $x^1, x^2 \in \mathbb{R}^k$ and $y \in \mathbb{R}^{n-k}$. If $\|x^1 - x^2\| > 1$, we choose $x_0^1, x_0^2 \in \mathbb{R}^k$ such that $(x_0^1, y), (x_0^2, y) \in \overline{\mathbb{R}^n \setminus K}, \|x^1 - x_0^1\| \leq R_K^v$ and $\|x^2 - x_0^2\| \leq R_K^v$. We obtain

$$\begin{aligned} & \frac{\|D^{p,s}f(x^1, y) - D^{p,s}f(x^2, y)\|}{\alpha(\|x^1 - x^2\|)} \\ &\leq \frac{\|D^{p,s}f(x^1, y) - D^{p,s}f(x_0^1, y)\| + \|D^{p,s}f(x_0^2, y) - D^{p,s}f(x^2, y)\|}{\alpha(1)} \\ &\leq \frac{2}{\alpha(1)} \|f\|_{p+1,s} (\|x^1 - x_0^1\| + \|x^2 - x_0^2\|). \end{aligned}$$

If $\|x^1 - x^2\| \leq 1$ then

$$\frac{\|D^{p,s}f(x^1, y) - D^{p,s}f(x^2, y)\|}{\alpha(\|x^1 - x^2\|)} \leq \frac{\|f\|_{p+1,s} \|x^1 - x^2\|}{\alpha(\|x^1 - x^2\|)} \leq \frac{\|f\|_{p+1,s}}{\alpha(1)}$$

as $\frac{t}{\alpha(t)}$ is an increasing function. In view of (1) one has the inequality in (2).

Finally, for arbitrary $q, q' \in \mathbb{R}^n$ we take $q_0 \in \mathbb{R}^n$ with $q - q_0 \in \mathbb{R}^k \times \{0\}$ and $q' - q_0 \in \{0\} \times \mathbb{R}^{n-k}$, and we use the preceding steps of the proof. ■

LEMMA 3.2 ([4]). *Let f be a C^1 -diffeomorphism and $\mu_{1,s}(f) \leq \frac{1}{2}$. Then*

$$\mu_{1,s}(f^{-1}) \leq 2\mu_{1,s}(f).$$

DEFINITION 3.3. We say that a polynomial F is *admissible* if it has no constant term and its coefficients are nonnegative.

LEMMA 3.4. *Let $1 \leq p \leq r$, let α be a modulus of continuity, and let K be a closed interval of \mathbb{R}^n such that $R_K^v < \infty$.*

(1) *There exist $\delta_1 > 0$ and $C_1 > 0$ depending on n, p, α and R_K^v such that*

$$\mu_{p,s,\alpha}(f \circ g) \leq \mu_{p,s,\alpha}(f) + \mu_{p,s,\alpha}(g) + C_1\mu_{p,s,\alpha}(f)\mu_{p,s,\alpha}(g)$$

whenever $f, g \in \mathcal{D}_K^{r,s,\alpha}(n, k)$ and $\mu_{p,s,\alpha}(f), \mu_{p,s,\alpha}(g) \leq \delta_1$.

(2) *For every $\lambda > 1$ there exists $\delta_2 > 0$ depending on n, p, α and R_K^v such that*

$$\mu_{p,s,\alpha}(f^{-1}) \leq \lambda\mu_{p,s,\alpha}(f)$$

provided $f \in \mathcal{D}_K^{r,s,\alpha}(n, k)$ with $\mu_{p,s,\alpha}(f) \leq \delta_2$.

Notice that Lemma 3.4 is formulated only for K such that $R_K^v < \infty$. But some parts of its proof are valid for the weaker assumption $R_K < \infty$. Moreover, the above inequalities are valid for $R_K < \infty$, if only $p \leq s$.

Proof. We have for any $q, q' \in \mathbb{R}^n$

$$\begin{aligned} & \frac{\|(D^{i,s}f \circ g)(D^{j_1,s}g \times \dots \times D^{j_i,s}g)(q) - (D^{i,s}f \circ g)(D^{j_1,s}g \times \dots \times D^{j_i,s}g)(q')\|}{\alpha(\|q - q'\|)} \\ & \leq \mu_{i,s,\alpha}(f)(1 + \mu_{1,s}(g))\|g\|_{j_1,s} \dots \|g\|_{j_i,s} + 2^{i-1}\|f\|_{i,s}\mu_{j_1,s,\alpha}(g)\|g\|_{j_2,s} \dots \|g\|_{j_i,s}. \end{aligned}$$

Then from (2.2), Proposition 2.2 and Proposition 3.1 (1) we have

$$\begin{aligned} (3.1) \quad \mu_{p,s,\alpha}(f \circ g) & \leq \mu_{p,s,\alpha}(f)(1 + \mu_{1,s}(g))\|g\|_{1,s}^p + 2^{p-1}\|f\|_{p,s}\mu_{1,s,\alpha}(g)\|g\|_{1,s}^{p-1} \\ & \quad + \mu_{1,s,\alpha}(f)(1 + \mu_{1,s}(g))\|g\|_{p,s} + \|f\|_{1,s}\mu_{p,s,\alpha}(g) \\ & \quad + \sum C_{i,j_1,\dots,j_i} \left(\mu_{i,s,\alpha}(f)(1 + \mu_{1,s}(g))\|g\|_{j_1,s} \dots \|g\|_{j_i,s} \right. \\ & \quad \quad \left. + 2^{i-1}\|f\|_{i,s}\mu_{j_1,s,\alpha}(g)\|g\|_{j_2,s} \dots \|g\|_{j_i,s} \right) \\ & \leq \mu_{p,s,\alpha}(f) + \mu_{p,s,\alpha}(g) + M_{p,s,\alpha}(f)F(M_{p,s,\alpha}(g)) \end{aligned}$$

for arbitrary $f, g \in \mathcal{D}_K^{p,s,\alpha}(n, k)$ with $R_K < \infty$. Here F is an admissible polynomial independent of f and g , and $F = 0$ for $p = 1$.

Hence, from (3.1) and Proposition 3.1 (2) we obtain (1) for sufficiently small $\mu_{p,s,\alpha}(f)$ and $\mu_{p,s,\alpha}(g)$.

To show (2) we proceed by induction on p . First assume that $p = 1$ and $R_K < \infty$. For $\mu_{1,s}(f) < 1$ we have the formula

$$(3.2) \quad (Df)^{-1} = \sum_{m=1}^{\infty} (-(Df - \text{Id}))^m.$$

Then from (3.2) we get

$$\begin{aligned} \mu_{1,s,\alpha}(f^{-1}) &\leq \sup_{q \neq q'} \frac{\|(Df)^{-1}(f^{-1}(q)) - (Df)^{-1}(f^{-1}(q'))\|}{\alpha(\|f^{-1}(q) - f^{-1}(q')\|)} \frac{\alpha(\|f^{-1}\|_{1,s}\|q - q'\|)}{\alpha(\|q - q'\|)} \\ &\leq \sqrt{\lambda} \sum_{m=1}^{\infty} \sup \frac{\|(Df - \text{Id})^m(q) - (Df - \text{Id})^m(q')\|}{\alpha(\|q - q'\|)}, \end{aligned}$$

since from Proposition 3.1 (1) and Lemma 3.2

$$\|f^{-1}\|_{1,s} \leq 1 + \mu_{1,s}(f^{-1}) \leq 1 + 2C\mu_{1,s,\alpha}(f) \leq \sqrt{\lambda},$$

provided $\mu_{1,s,\alpha}(f)$ is small. By using Lemma 3.1 (1) we get

$$\begin{aligned} \mu_{1,s,\alpha}(f^{-1}) &\leq \sqrt{\lambda}\mu_{1,s,\alpha}(f) \sum_{m=1}^{\infty} m\mu_{1,s}(f)^{m-1} \\ &= \sqrt{\lambda}\mu_{1,s,\alpha}(f) \frac{1}{(1 - \mu_{1,s}(f))^2} \leq \lambda\mu_{1,s,\alpha}(f) \end{aligned}$$

for sufficiently small $\mu_{1,s,\alpha}(f)$.

For $p \leq s$ we obtain from (2.2)

$$\begin{aligned} (3.3) \quad D^{p,s}(f^{-1}) &= D(f^{-1})(D^{p,s}f \circ f^{-1})(D(f^{-1}) \times \dots \times D(f^{-1})) \\ &\quad + D(f^{-1}) \sum C_{i,j_1,\dots,j_i}(D^{i,s}f \circ f^{-1})(D^{j_1,s}(f^{-1}) \times \dots \times D^{j_i,s}(f^{-1})). \end{aligned}$$

Now let $p \geq 2$ and $R_K < \infty$. Using (3.3), Propositions 2.2 and 3.1 (1) we have

$$\begin{aligned} (3.4) \quad &\frac{\|(D(f^{-1})(D^{p,s}f \circ f^{-1})(D(f^{-1}))^p)(q) - (D(f^{-1})(D^{p,s}f \circ f^{-1})(D(f^{-1}))^p)(q')\|}{\alpha(\|q - q'\|)} \\ &\leq \mu_{1,s,\alpha}(f^{-1})\mu_{p,s}(f)(1 + \mu_{1,s}(f^{-1}))^p + \mu_{p,s,\alpha}(f)(1 + \mu_{1,s}(f^{-1}))^{p+2} \\ &\quad + 2^{p-1}\mu_{p,s}(f)\mu_{1,s,\alpha}(f^{-1})(1 + \mu_{1,s}(f^{-1}))^p \\ &\leq \mu_{p,s,\alpha}(f) + \mu_{p,s,\alpha}(f)F(\mu_{1,s,\alpha}(f^{-1})), \end{aligned}$$

where F is an admissible polynomial.

Similarly, we can estimate the second summand of (3.3) and then

$$\begin{aligned} (3.5) \quad &\frac{\|(D(f^{-1}) \sum C_{i,j_1,\dots,j_i}(D^{i,s}f \circ f^{-1})(D^{j_1,s}(f^{-1}) \times \dots \times (D^{j_i,s}(f^{-1})))\|_{q'}^q}{\alpha(\|q - q'\|)} \\ &\leq \mu_{1,s,\alpha}(f^{-1}) \sum C_{i,j_1,\dots,j_i}\mu_{i,s}(f) \prod_{l=1}^i (1 + \mu_{j_l,s}(f^{-1})) \\ &\quad + (1 + \mu_{1,s}(f^{-1})) \sum C_{i,j_1,\dots,j_i}\mu_{i,s,\alpha}(f)(1 + \mu_{1,s}(f^{-1})) \\ &\quad \cdot \mu_{j_{i_0},s}(f^{-1}) \prod_{l \neq i_0} (1 + \mu_{j_l,s}(f^{-1})) \\ &\quad + (1 + \mu_{1,s}(f^{-1})) \sum C_{i,j_1,\dots,j_i}\mu_{i,s}(f)\mu_{j_1,s,\alpha}(f^{-1})2^{i-1} \prod_{l=2}^i (1 + \mu_{j_l,s}(f^{-1})) \\ &\leq M_{p-1,s,\alpha}(f)F(M_{p-1,s,\alpha}(f^{-1})). \end{aligned}$$

Now, suppose $R_K^v < \infty$. From (3.4) and (3.5), by using Proposition 3.1 (2) and induction on p , we obtain

$$\mu_{p,s,\alpha}(f^{-1}) \leq \mu_{p,s,\alpha}(f)(1 + F(\mu_{p,s,\alpha}(f))) \leq \lambda\mu_{p,s,\alpha}(f),$$

provided $\mu_{p,s,\alpha}(f)$ is sufficiently small. ■

From the above lemma we obtain by standard arguments the following

COROLLARY 3.5. *Let $K \subset \mathbb{R}^n$ be a compact interval, let $p \geq 1$ and let α be a modulus of continuity. Then $(f, g) \mapsto \|f - g\|_{p,s, [\alpha]}$ is a metric on $\mathcal{D}_K^{p,s, [\alpha]}(n, k)$. The induced topology is called the $C^{p,s, [\alpha]}$ -topology. $\mathcal{D}_K^{p,s, [\alpha]}(n, k)$ equipped with the $C^{p,s, [\alpha]}$ -topology is a connected topological group.*

4. Rolling-up operators $\Psi_{i,A}$. Following Mather [4], I, we let $C_i := \mathbb{R}^{i-1} \times S^1 \times \mathbb{R}^{n-i}$, where $S^1 \cong \mathbb{R}/\mathbb{Z}$, $i = 1, \dots, k$. Let $\pi_i : \mathbb{R}^n \rightarrow C_i$ be the covering projection, and let $\tilde{p}_i : \mathbb{R}^n \rightarrow \mathbb{R}^{n-1}$ and $p_i : C_i \rightarrow \mathbb{R}^{n-1}$ be the projections, which omit the i -th coordinate. Clearly $p_i \circ \pi_i = \tilde{p}_i$.

The mapping $\pi_i : \mathbb{R}^n \rightarrow C_i$ gives us a system of coordinates in a neighborhood of any point of C_i , compatible with the foliation $\mathcal{F}_{k,i} = \{\mathbb{R}^{i-1} \times S^1 \times \mathbb{R}^{k-i} \times \{\text{pt}\}\}$ on C_i . Notice that the seminorms introduced above do make also sense on C_i , and the group $\mathcal{D}^{r,s, [\alpha]}(C_i, k)$ is defined analogously as before.

Let $A \geq 1$ and $K_i = [-2, 2]^i \times [-2A, 2A]^{k-i} \times [-2, 2]^{n-k}$, $i = 0, \dots, k$. We have that $K_0 = [-2A, 2A]^k \times [-2, 2]^{n-k} \supset K_1 \supset \dots \supset K_k = [-2, 2]^n$. Next, let $K'_i = [-2A, 2A]^{i-1} \times S^1 \times [-2A, 2A]^{k-i} \times [-2, 2]^{n-k}$.

Choose $\tilde{\rho}_A \in C^\infty(\mathbb{R}, [0, 1])$ with $\text{supp}(\tilde{\rho}_A) = [-2A - 1, 2A + 1]$ and $\tilde{\rho}_A = 1$ on $[-2A, 2A]$. We define $\rho_A \in C^\infty(\mathbb{R}^n, [0, 1])$ by $\rho_A(x, y) = \tilde{\rho}_A(x_1) \dots \tilde{\rho}_A(x_k)$, where $x = (x_1, \dots, x_k), y = (y_1, \dots, y_{n-k})$. Then $\text{supp}(\rho_A) = [-2A - 1, 2A + 1]^k \times \mathbb{R}^{n-k}$ and $\rho_A|_{[-2A, 2A]^k \times \mathbb{R}^{n-k}} \equiv 1$. Let $\tau_{i,A} = \text{Fl}_1^{\rho_A \partial_i} \in \text{Diff}^\infty(\mathbb{R}^n, \mathcal{F}_0)$, where ∂_i denotes the unit vector field on \mathbb{R}^n in the direction of the i -th coordinate, and Fl_t^X denotes the flow of the vector field X . Further we denote by T_i the unit translation in the direction of the i -th coordinate, i.e. $T_i = \text{Fl}_1^{\partial_i}$.

Let $f \in \mathcal{D}^{r,s,\alpha}(n, k)$ with $\text{supp}(f) \subset K_0$ and $\mu_{0,s}(f) \leq \frac{1}{2}$. For $\theta \in C_i$ we choose $(x, y) \in \mathbb{R}^n$ with $\pi_i(x, y) = \theta$ and $x_i < -2A$. Then we choose $N \in \mathbb{N}$ such that $((T_i f)^N(x, y))_i > 2A$. We define $\Gamma_{i,A}(f) : C_i \rightarrow C_i$ as

$$\Gamma_{i,A}(f)(\theta) = \pi_i((T_i f)^N(x, y)),$$

which is independent of the choice of x and N .

It is obvious that $\Gamma_{i,A}$ preserves the identity. There exists a neighbourhood U of $\text{Id} \in \mathcal{D}^{1,s}(n, k)$ such that

$$\Gamma_{i,A} : \mathcal{D}_{K_0}^{r,s,\alpha}(n, k) \cap U \rightarrow \mathcal{D}_{K'_i}^{r,s,\alpha}(C_i, k)_0$$

is continuous with respect to the $C^{r,s}$ -topology. Moreover we have the following

LEMMA 4.1. *There exists $\delta > 0$ depending on n, r, α and A such that*

$$\mu_{r,s,\alpha}(\Gamma_{i,A}(f)) \leq 9A\mu_{r,s,\alpha}(f)$$

for $f \in \mathcal{D}_{K_0}^{r,s,\alpha}(n, k) \cap U$ with $\mu_{r,s,\alpha}(f) \leq \delta$, and

$$\mu_{r,s,\alpha}(\Gamma_{i,A}(f)) \leq 9\mu_{r,s,\alpha}(f)$$

for $f \in \mathcal{D}_{K_i}^{r,s,\alpha}(n, k) \cap U$, where $i > 0$, with $\mu_{r,s,\alpha}(f) \leq \delta$.

Proof. Let $N \in \mathbb{N}$ and choose $\varepsilon > 0$ such that $\sum_{j=0}^{N-1} (1 + \varepsilon)^j \leq N + 1$. We will show that

$$(4.1) \quad \mu_{r,s,\alpha}((T_i f)^N) \leq (1 + (1 + \varepsilon) + \dots + (1 + \varepsilon)^{N-1})\mu_{r,s,\alpha}(f)$$

for $\mu_{r,s,\alpha}(f)$ sufficiently small.

By simple computation we have $\mu_{r,s,\alpha}(T_i f) = \mu_{r,s,\alpha}(f)$. Then for $1 < m < N$ from (3.1) (which is valid for $R_K < \infty$), Proposition 3.1 (2), and Lemma 3.4 (1) we obtain arguing by induction

$$\begin{aligned} & \mu_{r,s,\alpha}((T_i f)^m) \\ & \leq \mu_{r,s,\alpha}(T_i f) + \mu_{r,s,\alpha}((T_i f)^{m-1}) + M_{r,s,\alpha}(T_i f)F(M_{r,s,\alpha}((T_i f)^{m-1})) \\ & \leq \mu_{r,s,\alpha}(f) + (1 + \dots + (1 + \varepsilon)^{m-2})\mu_{r,s,\alpha}(f) \\ & \quad + M_{r,s,\alpha}(f)F((1 + \dots + (1 + \varepsilon)^{m-2})M_{r,s,\alpha}(f)) \\ & \leq \mu_{r,s,\alpha}(f) + (1 + \dots + (1 + \varepsilon)^{m-2})\mu_{r,s,\alpha}(f) \\ & \quad + (R_{K_0}^v)^{r-1}\mu_{r,s,\alpha}(f)F((1 + \dots + (1 + \varepsilon)^{m-2})(R_{K_0}^v)^{r-1}\mu_{r,s,\alpha}(f)) \\ & \leq \mu_{r,s,\alpha}(f) + (1 + \dots + (1 + \varepsilon)^{m-2})\mu_{r,s,\alpha}(f) + \varepsilon(1 + \dots + (1 + \varepsilon)^{m-2})\mu_{r,s,\alpha}(f) \\ & \leq \mu_{r,s,\alpha}(f) + (1 + \varepsilon)(1 + \dots + (1 + \varepsilon)^{m-2})\mu_{r,s,\alpha}(f) \\ & = (1 + \dots + (1 + \varepsilon)^{m-1})\mu_{r,s,\alpha}(f) \end{aligned}$$

whenever $\mu_{r,s,\alpha}(f)$ is sufficiently small.

Next we choose $N \in \mathbb{N}$ with $8A + 1 < N < 8A + 3$. Then from (4.1)

$$\mu_{r,s,\alpha}(\Gamma_{i,A}(f)) = \mu_{r,s,\alpha}((T_i f)^N) \leq (N + 1)\mu_{r,s,\alpha}(f) \leq 9A\mu_{r,s,\alpha}(f)$$

for $f \in \mathcal{D}_{K_0}^{r,s,\alpha}(n, k) \cap U$ with $\mu_{r,s,\alpha}(f) \leq \delta$. Analogously

$$\mu_{r,s,\alpha}(\Gamma_{i,A}(f)) = \mu_{r,s,\alpha}((T_i f)^N) \leq \mu_{r,s,\alpha}((T_i f)^8) \leq 9\mu_{r,s,\alpha}(f)$$

for $f \in \mathcal{D}_{K_i}^{r,s,\alpha}(n, k) \cap U$ with $\mu_{r,s,\alpha}(f) \leq \delta$, where $i > 0$. ■

Consider the S^1 -action $S^1 \times C_i \rightarrow C_i$ given by

$$\beta \cdot (x_1, \dots, \theta, \dots, x_k, y) = (x_1, \dots, \beta + \theta, \dots, x_k, y),$$

where y stands for y_1, \dots, y_{n-k} . Let $G_i^{r,s,\alpha}$ denote the group of equivariant $C^{r,s,\alpha}$ -diffeomorphisms of C_i ,

$$G_i^{r,s,\alpha} = \{f \in \mathcal{D}^{r,s,\alpha}(C_i, k) : f(\beta \cdot \theta) = \beta \cdot f(\theta) \ \forall \beta \in S^1 \ \forall \theta \in C_i\}.$$

PROPOSITION 4.2. *Let α be a modulus of continuity and $A \geq 1$. Then there exists a neighborhood U_A of $\text{id} \in \text{Diff}_c^1(\mathbb{R}^n)$ depending on n, r, α and A such that for $f, g \in \mathcal{D}_{K_0}^{r,s,\alpha}(n, k) \cap U_A$ with $\Gamma_{i,A}(f)\Gamma_{i,A}(g)^{-1} \in G_i^{r,s,\alpha}$, the mappings $\tau_{i,A}f$ and $\tau_{i,A}g$ are conjugate in $\mathcal{D}^{r,s,\alpha}(n, k)$.*

For the proof, see [4], I.

Now we will follow Mather [4], I, to define rolling-up operators $\Psi_{i,A}$. It is important that all steps of definition $\Psi_{i,A}$ are 'leaf preserving'.

Let $f \in U_A \cap \mathcal{D}_{K_0}^{r,s,\alpha}(n, k)$, where U_A is as in Proposition 4.2. We can find $g \in C^1(C_i, C_i)$ such that $g \in G_i^{1,s,\alpha}$ and $g = \Gamma_{i,A}(f)$ on $\{\theta \in C_i : \theta_i = 0\}$ by the formula

$$g(x_1, \dots, \theta_i, \dots, x_k, y) = \Gamma_{i,A}(f)(x_1, \dots, 0, \dots, x_k, y) + (0, \dots, \theta_i, \dots, 0).$$

Moreover g depends continuously on f , so we can shrink U_A such that $g \in \mathcal{D}_{K_i}^{1,s}(C_i, k)_0$. It is easily seen that

$$\mu_{r,s,\alpha}(g) \leq \mu_{r,s,\alpha}(\Gamma_{i,A}(f)).$$

Let $h = g^{-1}\Gamma_{i,A}(f) \in \mathcal{D}_{K_i}^{1,s}(C_i, k)_0$.

We identify g, h and $\Gamma_{i,A}(f) = gh$ with periodic diffeomorphisms of \mathbb{R}^n supported in $K_i'' = [-2A, 2A]^{i-1} \times \mathbb{R} \times [-2A, 2A]^{k-i} \times [-2, 2]^{n-k}$. By abuse these periodic diffeomorphisms will be denoted by the same letters. It is easily seen that the lifted diffeomorphisms have the same norms $\mu_{r,s,[\alpha]}$ as the initial ones.

In order to perform further steps of the construction we need the following analog of Proposition 3.1 for periodic diffeomorphisms.

PROPOSITION 4.3. *Let f be a periodic $C^{r,s}$ -diffeomorphisms of \mathbb{R}^n with period 1 with respect to the variable x_i for some $i = 1, \dots, k$, and let $f|_{\{x \in \mathbb{R}^n : x_i = 0\}} = \text{Id}$. Then for every $p = 0, \dots, r$*

- (1) $\mu_{p,s}(f) \leq \mu_{r,s}(f)$, and
- (2) $\mu_{p,s,\alpha}(f) \leq C^r \mu_{r,s,\alpha}(f)$, where $C > 0$ depends on α .

Proof. Note that f is periodic with period 1 if and only if $(f - \text{Id})(x_1, \dots, x_i + 1, \dots, x_k, y) = (f - \text{id})(x, y)$. In order to show (1) we just integrate partial derivatives of $f - \text{Id}$ with respect to x_i , and this procedure does not change s in $\mu_{j,s}$. Here we use the periodicity of $f - \text{Id}$ and of its derivatives, and the condition $f|_{\{x \in \mathbb{R}^n : x_i = 0\}} = \text{Id}$ for $p = 0$.

Now we prove (2). Let $\beta \in \mathbb{N}^n, |\beta| = p, \beta = (\beta', \beta'')$, where $\beta' \in \mathbb{R}^k$ and $\beta'' \in \mathbb{R}^{n-k}$ and $|\beta''| \leq s$. First, let us take $(x, y^1), (x, y^2) \in \mathbb{R}^n$, where $x \in \mathbb{R}^k$ and $y^1, y^2 \in \mathbb{R}^{n-k}$. We may write

$$D^\beta f(x, y_j) = \frac{\partial^{|\beta'|}}{\partial x^{\beta'}}(D^{\beta''} f(x, y_j))$$

and $D^{\beta''} f(x, y_j)$ viewed as a function of x , is a periodic function with period 1 with respect to x_i which is equal to 0 on $\{x \in \mathbb{R}^n : x_i = 0\}$, for $j = 1, 2$. Then

$$\begin{aligned} \|D^\beta f(x, y_1) - D^\beta f(x, y_2)\| &= \left\| \frac{\partial^{|\beta'|}}{\partial x^{\beta'}}(D^{\beta''} f(x, y_1) - D^{\beta''} f(x, y_2)) \right\| \\ &\leq \sup_{x \in \mathbb{R}^k} \left\| \frac{\partial^{|\beta'_i|}}{\partial x^{\beta'_i}}(D^{\beta''} f(x, y_1) - D^{\beta''} f(x, y_2)) \right\| \\ &= \sup_{x \in \mathbb{R}^k} \|D^{\beta_i} f(x, y_1) - D^{\beta_i} f(x, y_2)\| \\ &\leq \sup_{x \in \mathbb{R}^k} \|D^{p+1,s} f(x, y_1) - D^{p+1,s} f(x, y_2)\|, \end{aligned}$$

where $\beta'_i = (\beta_1, \dots, \beta_i + 1, \dots, \beta_k)$ and $\beta_i = (\beta'_i, \beta'')$, after integration along the x_i -axis.

Therefore

$$\begin{aligned} \frac{\|D^{p,s}f(x, y^1) - D^{p,s}f(x, y^2)\|}{\alpha(\|(x, y^1) - (x, y^2)\|)} &\leq \frac{\|D^{p+1,s}f(x, y^1) - D^{p+1,s}f(x, y^2)\|}{\alpha(\|y^1 - y^2\|)} \\ &\leq \mu_{p+1,s,\alpha}(f). \end{aligned}$$

In the second case, where $(x^1, y), (x^2, y) \in \mathbb{R}^n$ with $x^1, x^2 \in \mathbb{R}^k$ and $y \in \mathbb{R}^{n-k}$, we proceed as in the proof of Proposition 3.1, by using the periodicity of f . The general case follows from the first two cases. ■

We have $\mu_{1,s,\alpha}(g^{-1}) \leq 2\mu_{1,s,\alpha}(g)$. From (3.4), (3.5) (bearing in mind that $R_{K'_i} = 2A < \infty$), the above inequalities and Propositions 3.1 (1) and 4.3 we have by induction on p

$$\begin{aligned} \mu_{p,s,\alpha}(g^{-1}) &\leq \mu_{p,s,\alpha}(g) + M_{p,s,\alpha}(g)F(M_{p-1,s,\alpha}(g^{-1})) \\ &\leq \mu_{p,s,\alpha}(\Gamma_{i,A}(f)) + M_{p,s,\alpha}(\Gamma_{i,A}(f))F(M_{p-1,s,\alpha}(\Gamma_{i,A}(f))) \\ &\leq 9A\mu_{p,s,\alpha}(f) + C^p 9A\mu_{p,s,\alpha}(f)F(C^{p-1}9A\mu_{p-1,s,\alpha}(f)) \\ &\leq C^p A\mu_{p,s,\alpha}(f) \end{aligned}$$

if $\mu_{p,s,\alpha}(f)$ is sufficiently small. Here C is independent of A . Therefore

$$\begin{aligned} \mu_{r,s,\alpha}(h) &\leq \mu_{r,s,\alpha}(g^{-1}) + \mu_{r,s,\alpha}(\Gamma_{i,A}(f)) + M_{r,s,\alpha}(g^{-1})F(M_{r,s,\alpha}(\Gamma_{i,A}(f))) \\ &\leq C^r A\mu_{r,s,\alpha}(f) \end{aligned}$$

for $f \in U_A \cap \mathcal{D}_{K'_0}^{r,s,\alpha}(n, k)$ with $\mu_{r,s,\alpha}(f) \leq \delta_1$.

Fix a function $\xi \in C^\infty(\mathbb{R}, [0, 1])$ of period 1, which equals 0 near m and equals 1 near $m + \frac{1}{2}$, where $m \in \mathbb{Z}$. We define functions

$$h_- = (\xi \circ \text{pr}_i) \cdot (h - \text{Id}) + \text{Id}, \quad h_+ = h_-^{-1}h.$$

Shrinking U_A if necessary, $h_-, h_+ \in \text{Diff}_{K'_i}^{1,r,s}(\mathbb{R}^n)_0$.

Then we have

$$\begin{aligned} \mu_{r,s,\alpha}(h_-) &\leq \sup_{q \neq q' \in \mathbb{R}^n} \frac{\|(D^{r,s}((\xi \circ \text{pr}_i)(h - \text{Id})))|_{q'}^q\|}{\alpha(\|q - q'\|)} \\ &\leq \sum_{j=0}^r \binom{r}{j} \left[\sup_{q \neq q'} \frac{\|(D^{j,s}(\xi \circ \text{pr}_i))|_{q'}^q\| \|D^{r-j,s}(h - \text{Id})(q)\|}{\alpha(\|q - q'\|)} \right. \\ &\quad \left. + \sup_{q \neq q'} \frac{\|D^{j,s}(\xi \circ \text{pr}_i)(q')\| \|(D^{r-j,s}(h - \text{Id}))|_{q'}^q\|}{\alpha(\|q - q'\|)} \right] \\ &\leq \sum_{j=0}^r \binom{r}{j} (\|\xi \circ \text{pr}_i\|_{j,s,\alpha} \mu_{r-j,s}(h) + \|\xi \circ \text{pr}_i\|_{j,s} \mu_{r-j,s,\alpha}(h)) \\ &\leq C_1 \mu_{r,s,\alpha}(h). \end{aligned}$$

By Lemma 3.4 and Proposition 4.3 there exists $C_2 > 0$ independent of A such that

$$\mu_{r,s,\alpha}(h_+) \leq C_2 A \mu_{r,s,\alpha}(f)$$

for small $\mu_{r,s,\alpha}(f)$. Let us take

$$E_- = \{(x, y) \in \mathbb{R}^n : -1 \leq x_i \leq 0\}, \quad E_+ = \left\{ (x, y) \in \mathbb{R}^n : \frac{1}{2} \leq x_i \leq \frac{3}{2} \right\},$$

and define $\Psi_{i,A}(f)$ by

$$\Psi_{i,A}(f)|_{E_+} = h_+|_{E_+}, \quad \Psi_{i,A}(f)|_{E_-} = h_-|_{E_-},$$

and $\Psi_{i,A}(f)|_{\mathbb{R}^n \setminus (E_- \cup E_+)} = \text{Id}$.

Then we have

$$\Gamma_{i,A}(f)\Gamma_{i,A}(\Psi_{i,A}(f))^{-1} = \Gamma_{i,A}(f)h^{-1} = g \in G_i^{r,s,\alpha}.$$

Shrinking U_A if necessary and using Proposition 4.2 we see that $\tau_{i,A}f$ and $\tau_{i,A}\Psi_{i,A}(f)$ are conjugate.

Summing up the above considerations we have the following

PROPOSITION 4.4. *There exist a neighborhood U_A of $\text{Id} \in \text{Diff}_{K_0}^1(\mathbb{R}^n)_0$ and the operators*

$$\Psi_{i,A} : U_A \rightarrow \text{Diff}_{K_0}^1(n, k), \quad 1 \leq i \leq k,$$

with the following properties.

- (1) $\Psi_{i,A}$ preserves the identity.
- (2) $\Psi_{i,A} : U_A \cap \mathcal{D}_{K_{i-1}}^{r,s,\alpha}(n, k) \rightarrow \mathcal{D}_{K_i}^{r,s,\alpha}(n, k)$ is continuous with respect to the $C^{r,s}$ -topology.
- (3) For every $f \in U_A \cap \mathcal{D}^{r,s,\alpha}(n, k)$ we have

$$[f] = [\Psi_{i,A}(f)] \in H_1(\mathcal{D}^{r,s,\alpha}(n, k)).$$

- (4) There exists $\delta > 0$ depending on n, r, α, A , and $C > 1$ depending on n, r, α but independent of A with

$$\mu_{r,s,\alpha}(\Psi_{i,A}(f)) \leq CA\mu_{r,s,\alpha}(f)$$

for all $f \in U_A \cap \mathcal{D}^{r,s,\alpha}(n, k)$ with $\mu_{r,s,\alpha}(f) \leq \delta$.

5. Proof of the Theorem 1.3. Let $f \in \mathcal{D}^{r,s}(n, k)$. In view of Lemmas 2.7 and 2.8 we may assume that $f \in \mathcal{D}_{[-2,2]^n}^{r,s,\alpha}(n, k)$. Moreover, f can be chosen sufficiently close to the identity in the $C^{r,s,\alpha}$ -topology due to Corollary 3.5. We have to show that f belongs to the commutator subgroup $[\mathcal{D}^{r,s,\alpha}(n, k), \mathcal{D}^{r,s,\alpha}(n, k)]$.

Let us take $\chi_A \in \text{Diff}_c^\infty(\mathbb{R}^n)_0$ such that for any $(x, y) \in [-2, 2]^k \times \mathbb{R}^{n-k}$ one has $\chi_A(x, y) = (Ax, y)$. Then for any $g \in \mathcal{D}^{r,s,\alpha}(n, k)$ we define $g_0 = \chi_A f g \chi_A^{-1}$, and $g_i = \Psi_{i,A}(g_{i-1})$ for $i = 1, \dots, k$. It is obvious by Proposition 4.4 that $[g_k] = [fg]$.

LEMMA 5.1. *Let $r - s > k + 1$. Then there exist $A \geq 1$ and $\varepsilon_0 > 0$ such that for every $0 < \varepsilon < \varepsilon_0$ and $f, g \in \mathcal{D}_{[-2,2]^n}^{r,s,\alpha}(n, k)$ with $\mu_{r,s,\alpha}(f), \mu_{r,s,\alpha}(g) \leq \varepsilon$ we have $\mu_{r,s,\alpha}(g_k) \leq \varepsilon$.*

Proof. We can choose A so large that $3C^k A^{1-r+s+k} \leq 1$, where C is the constant from Proposition 4.4 (4). There exists $\varepsilon_0 > 0$ such that we have

$$\mu_{r,s,\alpha}(fg) \leq \mu_{r,s,\alpha}(f) + \mu_{r,s,\alpha}(g) + C_1\mu_{r,s,\alpha}(f)\mu_{r,s,\alpha}(g) \leq 3\varepsilon,$$

for every $0 < \varepsilon \leq \varepsilon_0$ and f, g as above. In view of definition of $D^{r,s}$ we have

$$\|D^{r,s}(\chi_A f g \chi_A^{-1})(x, y)\| \leq \|A^{1-r+s} D^{r,s}(f \circ g)(\frac{1}{A}x, y)\|.$$

Therefore, for $q = (x, y), q' = (x', y')$

$$\begin{aligned} \mu_{r,s,\alpha}(\chi_A f g \chi_A^{-1}) &= \sup_{q \neq q'} \frac{\|D^{r,s}(\chi_A f g \chi_A^{-1})(q) - D^{r,s}(\chi_A f g \chi_A^{-1})(q')\|}{\alpha(\|q - q'\|)} \\ &\leq A^{1-r+s} \sup_{q \neq q'} \frac{\|D^{r,s}(fg)(\frac{1}{A}x, y) - D^{r,s}(fg)(\frac{1}{A}x', y')\|}{\alpha(\|q - q'\|)} \\ &\leq A^{1-r+s} \sup_{q \neq q'} \frac{\|D^{r,s}(fg)(\frac{1}{A}x, y) - D^{r,s}(fg)(\frac{1}{A}x', y')\|}{\alpha(\|(\frac{1}{A}x, y) - (\frac{1}{A}x', y')\|)} \\ &\leq A^{1-r+s} \mu_{r,s,\alpha}(fg) \leq 3A^{1-r+s} \varepsilon. \end{aligned}$$

If $\varepsilon_0 \leq \delta$, where δ is the constant from Proposition 4.4 (4), we obtain

$$\mu_{r,s,\alpha}(g_k) \leq C^k A^k \mu_{r,s,\alpha}(\chi_A f g \chi_A^{-1}) \leq 3C^k A^{1-r+s+k} \varepsilon \leq \varepsilon. \blacksquare$$

LEMMA 5.2. *Let $a > 0$. The set*

$$L = \{h \in \mathcal{D}_{[-a,a]^n}^{r,s,\alpha}(n, k) : \mu_{r,s,\alpha}(h) \leq \varepsilon\}$$

equipped with the $C^{r,s}$ -topology has the fixed-point property, i.e. every continuous mapping $L \rightarrow L$ has a fixed point.

Proof. Let us consider

$$L' = \{h' : h' + \text{Id} \in L\} \subset (C_{[-a,a]^n}^{r,s}(\mathbb{R}^n, \mathbb{R}^n), \|\cdot\|_{r,s}).$$

Here $h'(x, y) = (h'_1(x, y), 0)$ is of class $C^{r,s,\alpha}$.

We have the homeomorphism $L \ni h \mapsto h - \text{Id} \in L'$. L' is closed in $(C_{[-a,a]^n}^{r,s}(\mathbb{R}^n, \mathbb{R}^n), \|\cdot\|_{r,s})$. Let us take

$$T : (C_{[-a,a]^n}^{r,s}(\mathbb{R}^n, \mathbb{R}^n), \|\cdot\|_{r,s}) \ni h \mapsto D^{r,s}h \in (C_{[-a,a]^n}^0(\mathbb{R}^n, L^r(\mathbb{R}^n, \mathbb{R}^n)), \|\cdot\|_{\text{sup}}).$$

T is continuous as

$$(5.1) \quad \|Th\|_{\text{sup}} = \sup_{x \in \mathbb{R}^n} \|D^{r,s}h(x)\| = \|h\|_{r,s}.$$

For every $h \in L'$ we have

$$\begin{aligned} \|Th(x) - Th(y)\| &= \|D^{r,s}h(x) - D^{r,s}h(y)\| \\ &\leq \frac{\|D^{r,s}h(x) - D^{r,s}h(y)\|}{\alpha(\|x - y\|)} \alpha(\|x - y\|) \leq \varepsilon \alpha(\|x - y\|), \end{aligned}$$

so $T(L')$ is equicontinuous, and it is bounded in view of (5.1). By Ascoli-Arzelà's theorem, the set $T(L')$ is relative compact in $(C_{[-a,a]^n}^0(\mathbb{R}^n, L^r(\mathbb{R}^n, \mathbb{R}^n)), \|\cdot\|_{\text{sup}})$, so it is compact.

Hence L' and L are compact. Since L is a convex subset of a Fréchet space, by Schauder-Tychonoff's theorem every continuous map $L \rightarrow L$ has a fixed point. \blacksquare

We choose $\varepsilon > 0$ as in Lemma 5.1. Then L has the fixed-point property, and the mapping

$$\mathcal{D}_{[-2,2]^n}^{r,s,\alpha}(n, k) \ni g \mapsto g_k \in \mathcal{D}_{[-2,2]^n}^{r,s,\alpha}(n, k),$$

is continuous with respect to the $C^{r,s}$ -topology. Hence there exists $g \in L$ such that $g = g_k$. Therefore

$$[f][g] = [fg] = [g_k] = [g] \in H_1(\mathcal{D}^{r,s,\alpha}(n, k)).$$

and $[f] = [\text{Id}] \in H_1(\mathcal{D}^{r,s,\alpha}(n, k))$. This completes the proof.

6. Remark on C^{n+1} -diffeomorphisms. It is still not known whether the group $\text{Diff}_c^{n+1}(M)_0$ is perfect and simple. Mather in [5] considered the geometric transfer map and proved that linearized forms of commutator equations are true iff $r \neq n + 1$. This result strongly suggests that $\text{Diff}_c^{n+1}(M)_0$ is not perfect.

Observe that the perfectness of $\text{Diff}_c^{n+1}(M)_0$ is strictly related to the perfectness of $\text{Diff}_c^r(M, \mathcal{F})_0$ for large r . In fact, let $f \in \text{Diff}_c^{n+1}(M)_0$ be sufficiently close to Id , and let $0 < k < n$. In view of Lemma 2.7 we may assume that $f \in \text{Diff}_c^{n+1}(\mathbb{R}^n)_0$. Then there exist $g \in \text{Diff}_c^{n+1}(\mathbb{R}^n, \mathcal{F}_k)_0$ and $h \in \text{Diff}_c^{n+1}(\mathbb{R}^n, \mathcal{F}'_{n-k})_0$ such that $f = g \circ h$, where $\mathcal{F}_k = \{\mathbb{R}^k \times \{\text{pt}\}\}$ and $\mathcal{F}'_{n-k} = \{\{\text{pt}\} \times \mathbb{R}^{n-k}\}$ are the product foliations of \mathbb{R}^n .

In fact, if $f = (f_1, f_2)$ is sufficiently close to the identity then $h = (h_1, h_2)$ given by $h(x, y) = (x, f_2(x, y))$, where $x \in \mathbb{R}^k$, $y \in \mathbb{R}^{n-k}$, is a diffeomorphism which belongs to $\text{Diff}_c^{n+1}(\mathbb{R}^n, \mathcal{F}'_{n-k})_0$. Define $g = (g_1, g_2)$ by $g(x, y) = (f_1(h^{-1}(x, y)), y)$. We have that $g \in \text{Diff}_c^{n+1}(\mathbb{R}^n, \mathcal{F}_k)_0$, provided f is sufficiently close to the identity. Then

$$\begin{aligned} (g \circ h)(x, y) &= (g_1(h(x, y)), g_2(h(x, y))) = ((f_1 \circ h^{-1})(h(x, y)), h_2(x, y)) \\ &= (f_1(x, y), f_2(x, y)) = f(x, y) \end{aligned}$$

is the required decomposition.

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