PARABOLIC AND NAVIER-STOKES EQUATIONS BANACH CENTER PUBLICATIONS, VOLUME 81 INSTITUTE OF MATHEMATICS POLISH ACADEMY OF SCIENCES WARSZAWA 2008

# CRITERIA OF LOCAL IN TIME REGULARITY OF THE NAVIER-STOKES EQUATIONS BEYOND SERRIN'S CONDITION

# REINHARD FARWIG

Fachbereich Mathematik, Technische Universität Darmstadt 64289 Darmstadt, Germany E-mail: farwig@mathematik.tu-darmstadt.de

### HIDEO KOZONO

Mathematical Institute, Tôhoku University Sendai, 980-8578 Japan E-mail: kozono@math.tohoku.ac.jp

## HERMANN SOHR

Fakultät für Elektrotechnik, Informatik und Mathematik, Universität Paderborn 33098 Paderborn, Germany
E-mail: hsohr@math.uni-paderborn.de

**Abstract.** Let u be a weak solution of the Navier-Stokes equations in a smooth bounded domain  $\Omega \subseteq \mathbb{R}^3$  and a time interval  $[0,T),\ 0 < T \leq \infty$ , with initial value  $u_0$ , external force  $f=\operatorname{div} F$ , and viscosity  $\nu>0$ . As is well known, global regularity of u for general  $u_0$  and f is an unsolved problem unless we pose additional assumptions on  $u_0$  or on the solution u itself such as Serrin's condition  $\|u\|_{L^s(0,T;L^q(\Omega))} < \infty$  where 2/s+3/q=1. In the present paper we prove several local and global regularity properties by using assumptions beyond Serrin's condition e.g. as follows: If the norm  $\|u\|_{L^r(0,T;L^q(\Omega))}$  and a certain norm of F satisfy a  $\nu$ -dependent smallness condition, where Serrin's number 2/r+3/q>1, or if u satisfies a local leftward  $L^s$ - $L^q$ -condition for every  $t\in (0,T)$ , then u is regular in (0,T).

1. Introduction and main results. Let  $\Omega \subseteq \mathbb{R}^3$  be a bounded domain with smooth boundary  $\partial\Omega$  in the sense that  $\partial\Omega$  is uniform of class  $C^{2,1}$ , and let [0,T) be a time interval

<sup>2000</sup> Mathematics Subject Classification: Primary 35Q30; Secondary 76D05, 35B65.

Key words and phrases: nonstationary Navier-Stokes equations, local in time regularity, Serrin's condition.

The paper is in final form and no version of it will be published elsewhere.

with  $0 < T \le \infty$ . We consider the Navier-Stokes system

(1.1) 
$$u_t - \nu \Delta u + u \cdot \nabla u + \nabla p = f, \quad \text{div } u = 0, \\ u|_{\partial \Omega} = 0, \quad u|_{t=0} = u_0,$$

with external force  $f = \operatorname{div} F$ ,  $F \in L^2(\Omega \times (0,T))$ , initial value  $u_0 \in L^2_{\sigma}(\Omega)$  and viscosity  $\nu > 0$ . Then we are interested in weak solutions u of this system defined as follows.

Definition 1.1. A vector field

(1.2) 
$$u \in L^{\infty}(0,T; L^{2}_{\sigma}(\Omega)) \cap L^{2}_{loc}([0,T); W^{1,2}_{0}(\Omega))$$

is called a weak solution of the system (1.1) with initial value  $u_0 \in L^2_{\sigma}(\Omega)$  and external force  $f = \operatorname{div} F$ ,  $F = (F_{i,j})^3_{i,j=1} \in L^2(\Omega \times (0,T))$ , if the relation

$$(1.3) \qquad -\langle u, v_t \rangle_{\Omega, T} + \nu \langle \nabla u, \nabla v \rangle_{\Omega, T} - \langle uu, \nabla v \rangle_{\Omega, T} = \langle u_0, v(0) \rangle_{\Omega} - \langle F, \nabla v \rangle_{\Omega, T}$$

is satisfied for all test functions  $v \in C_0^{\infty}([0,T); C_{0,\sigma}^{\infty}(\Omega))$ .

Here we use the following notations:  $\langle \cdot, \cdot \rangle_{\Omega}$  means the usual pairing of functions on  $\Omega$ ,  $\langle \cdot, \cdot \rangle_{\Omega,T}$  means the corresponding pairing on  $\Omega \times [0,T)$ ,  $L^2_{\sigma}(\Omega) = \overline{C^{\infty}_{0,\sigma}(\Omega)}^{\|\cdot\|_2}$  with  $C^{\infty}_{0,\sigma}(\Omega) = \{v \in C^{\infty}_{0}(\Omega); \operatorname{div} v = 0\}$  and  $W^{1,2}_{0}(\Omega) = \overline{C^{\infty}_{0}(\Omega)}^{\|\cdot\|_{W^{1,2}}}$ . Moreover,  $uu = (u_i u_j)_{i,j=1}^3$  for  $u = (u_1, u_2, u_3)$ .

We know, see [13, V, (3.6.3)], that there exists a weak solution u as in Definition 1.1 which additionally satisfies the *strong energy inequality* 

(1.4) 
$$\frac{1}{2} \|u(t)\|_{2}^{2} + \nu \int_{\sigma}^{t} \|\nabla u\|_{2}^{2} d\tau \le \frac{1}{2} \|u(\sigma)\|_{2}^{2} - \int_{\sigma}^{t} \langle F, \nabla u \rangle_{\Omega} d\tau$$

for almost all  $\sigma \in [0, T)$ , including  $\sigma = 0$ , and all  $t \in [\sigma, T)$ . This energy inequality is needed for the local in time identification of u with strong solutions.

Each weak solution u satisfies the condition

(1.5) 
$$u \in L^r(0,T;L^q(\Omega)), \quad 2 \le q, r < \infty, \quad \frac{2}{r} + \frac{3}{q} = \frac{3}{2}.$$

Without loss of generality we may assume in the following that

(1.6) 
$$u:[0,T)\to L^2_\sigma(\Omega)$$
 is weakly continuous,

with  $u(0) = u_0$ . Further, there exists a distribution p, called an associated pressure, such that

$$(1.7) u_t - \nu \Delta u + u \cdot \nabla u + \nabla p = f$$

holds in the sense of distributions, see [13, Chapter V.1]. Conversely, if u satisfies (1.2), (1.6),  $u(0) = u_0$ , and if (1.7) holds with some p in the sense of distributions, then u is a weak solution in the sense of Definition 1.1.

We will use Definition 1.1 with obvious modifications if the interval [0, T) is replaced by any other interval  $[t_0, T)$  with  $0 < t_0 < T$ , and with  $u_{|_{t=t_0}} = u_0$ .

A weak solution u in Definition 1.1 is uniquely determined by  $u_0$  and f if Serrin's condition

(1.8) 
$$u \in L^{s}(0,T;L^{q}(\Omega)), \quad 2 < s < \infty, \quad 3 < q < \infty, \quad \frac{2}{s} + \frac{3}{q} = 1,$$

is satisfied, see [12], [13], i.e., if

$$\|u\|_{L^{s}(0,T;L^{q}(\Omega))} = \|u\|_{q,s} = \left(\int_{0}^{T} \|u\|_{q}^{s} d\tau\right)^{\frac{1}{s}} < \infty,$$

where  $||u||_q = ||u(t)||_{L^q(\Omega)} = (\int_{\Omega} |u(x,t)|^q dx)^{1/q}$ . More precisely, u is unique within the class defined by (1.8). The same result holds in the limit case  $s = \infty$ , q = 3, see [10].

Moreover, if u satisfies (1.8), then u is regular in the sense that

$$(1.9) u \in C^{\infty}(\overline{\Omega} \times (0,T)), \ p \in C^{\infty}(\overline{\Omega} \times (0,T)),$$

provided  $\partial\Omega$  and f are of class  $C^{\infty}$ , see [13, Theorem V.1.8.2]. Hence a weak solution u satisfying (1.8) is called a *strong solution*. A similar result was proved for the limit case  $s = \infty$ , q = 3 in a series of papers, see e.g. [11].

A point  $t \in (0,T)$  is called a regular point of a weak solution u if there exists a subinterval  $(t - \delta, t + \delta) \subset (0,T)$ ,  $\delta > 0$ , such that  $u \in L^s(t - \delta, t + \delta; L^q(\Omega))$  with s, q as in (1.8). Otherwise t is called a singular point of u.

Now our first main result reads as follows:

THEOREM 1.2. Let  $\Omega \subseteq \mathbb{R}^3$  be a bounded domain with boundary  $\partial\Omega$  of class  $C^{2,1}$  and let  $0 < T \le \infty$ . Furthermore let  $1 \le s < \infty$ , 3 < q < 6,  $1 < q^* < q$  and  $1 \le s < s > s$  be given with  $\frac{2}{s} + \frac{3}{q} = 1$  and  $\frac{1}{3} + \frac{1}{q} = \frac{1}{q^*}$ . For  $u_0 \in L^2_{\sigma}(\Omega)$  and  $f = \operatorname{div} F$ ,  $F \in L^2(0,T;L^2(\Omega)) \cap L^s(0,T;L^{q^*}(\Omega))$ , consider a weak solution u of the Navier-Stokes system (1.1) satisfying the strong energy inequality (1.4).

(i) Assume  $0 \neq u_0 \in L^q_\sigma(\Omega)$ . Then there exist constants  $\varepsilon_* = \varepsilon_*(q,\Omega) > 0$  and  $c_0 = c_0(q,\Omega,r) > 0$  with the following property: If

(1.10) 
$$\int_0^T \|F\|_{q^*}^s d\tau \le \nu^{2s-1} \varepsilon_* \quad and \quad \int_0^T \|u\|_q^r d\tau \le c_0 \frac{\nu^{s+r-1} \varepsilon_*}{\|u_0\|_g^s},$$

then u is regular in the sense that  $u \in L^s(0,T;L^q(\Omega))$ .

(ii) Suppose for each  $T_1 \in (0,T)$  there is some  $0 < \delta = \delta(T_1) < T_1$  such that u satisfies the leftward  $L^s$ - $L^q$ -condition

$$u \in L^s(T_1 - \delta, T_1; L^q(\Omega)).$$

Then u is regular in the sense that  $u \in L^s_{loc}((0,T); L^q(\Omega))$ .

We remark that the constant  $c_0 = c_0(q,\Omega,r) > 0$  in (1.10) mainly depends on the boundedness of the Stokes semigroup  $\{e^{-tA_q}: t>0\}$ , see §2 below, but that  $\varepsilon_* = \varepsilon_*(q,\Omega) > 0$  is related to the nonlinearity of the Navier-Stokes system. Note that if r < s and consequently  $\frac{2}{r} + \frac{3}{q} > 1$ , then Theorem 1.2 (i) yields the regularity of the weak solution u beyond Serrin's barrier  $\frac{2}{s} + \frac{3}{q} = 1$ . The proof is based on the following theorem yielding a local in time regularity result.

<sup>&</sup>lt;sup>1</sup>In the meantime the restriction  $4 < s < \infty$ , 3 < q < 6, see also Lemma 2.1 below, has been removed by the authors. For the more general result when  $2 < s < \infty$ ,  $3 < q < \infty$  see the forthcoming paper *Very weak, weak and strong solutions to the instationary Navier-Stokes system*, Nečas Center for Mathematical Modeling, Lecture Notes, Vol. 1, Prague, 2007, 15–68.

Theorem 1.3. Let  $\Omega \subseteq \mathbb{R}^3$  be a bounded domain with boundary  $\partial\Omega$  of class  $C^{2,1}$  and let  $0 < T \le \infty$ . Consider a weak solution u of the Navier-Stokes system (1.1) with  $u_0 \in L^2_{\sigma}(\Omega)$  and  $f = \operatorname{div} F$ ,  $F \in L^2(\Omega \times (0,T))$ , satisfying the strong energy inequality (1.4). Moreover let  $1 < s < \infty$ , 3 < q < 6,  $1 < q^* < q$ ,  $1 \le r \le s$ , and  $0 \le \beta \le \frac{r}{s}$  with  $\frac{2}{s} + \frac{3}{a} = 1$ ,  $\frac{1}{3} + \frac{1}{a} = \frac{1}{a^*}$ .

Then there is a constant  $\varepsilon_* = \varepsilon_*(\Omega, q) > 0$  with the following property: If  $0 < T_0 < T_1 < T' < T$ , and if

$$(1.11) \qquad \int_{T_0}^{T'} \|F(\tau)\|_{q^*}^s d\tau \le \varepsilon_* \nu^{2s-1}, \quad \frac{1}{T_1 - T_0} \int_{T_0}^{T_1} (T' - \tau)^\beta \|u(\tau)\|_q^r d\tau \le \varepsilon_* \nu^{r-\beta},$$

then u is regular in some interval  $(T_1 - \delta, T') \subset (0, T)$ ,  $\delta > 0$ , in the sense that Serrin's condition

$$u \in L^s(T_1 - \delta, T'; L^q(\Omega))$$

is satisfied. In particular,  $T_1$  is a regular point of u. If  $\beta = 0$ , then  $T' = T \leq \infty$  is allowed.

COROLLARY 1.4. Let u be a weak solution in  $\Omega \times [0,T)$  and let  $r, s, q, q^*$  be exponents as in Theorem 1.3.

(i) Let  $T = \infty$  and assume that

(1.12) 
$$\int_0^\infty \|F\|_{q^*}^s d\tau \le \varepsilon_* \nu^{2s-1} \quad and \quad T_1 > \frac{1}{\varepsilon_* \nu^r} \|u\|_{L^r(0,\infty;L^q(\Omega))}^r$$

with  $\varepsilon_*$  as in (1.11). Then u is regular for  $t \geq T_1$ , i.e.,  $u \in L^s(T_1, \infty; L^q(\Omega))$ .

(ii) Let  $0 < T_1 < T \le \infty$  and assume that

(1.13) 
$$\liminf_{\delta \to 0} \frac{1}{\delta^{1-\beta}} \int_{T_1 - \delta}^{T_1} ||u(\tau)||_q^r d\tau = 0, \quad 0 \le \beta \le \frac{r}{s}.$$

Then there exist T' and  $\delta_0 > 0$ ,  $0 < T_1 - \delta_0 < T_1 < T' \le T$ , such that u is regular in  $(T_1 - \delta_0, T')$  in the sense  $u \in L^s(T_1 - \delta_0, T'; L^q(\Omega))$ . In particular,  $T_1$  is a regular point.

We note that the condition (1.13) may be replaced by the slightly weaker smallness condition

(1.14) 
$$\liminf_{\delta \to 0} \frac{1}{\delta^{1-\beta}} \int_{T_1 - \delta}^{T_1} \|u(\tau)\|_q^r d\tau < \varepsilon_* \nu^{r-\beta} 2^{-\beta}$$

with  $\varepsilon_*$  as in Theorem 1.3.

If r=s, then the local leftward Serrin condition  $\int_{T_1-\delta_0}^{T_1} \|u(\tau)\|_q^s d\tau < \infty$  with some fixed  $\delta_0 > 0$  is sufficient for (1.13) when  $\beta = \frac{r}{s} = 1$  and implies that  $T_1$  is a regular point. Furthermore, (1.13) is satisfied with  $0 < \beta \le \frac{r}{s} \le 1$  if  $T_1 \in (0,T)$  is a Lebesgue point of  $t \mapsto \|u(t)\|_q^r$ ,  $t \in (0,T)$ , in the sense that

(1.15) 
$$\lim_{\delta \to 0} \frac{1}{\delta} \int_{T_1 - \delta}^{T_1} \|u(\tau)\|_q^r d\tau = \|u(T_1)\|_q^r.$$

Conversely, if  $T_1 \in (0,T)$  is a singular point of u in the sense that there is no  $T' > T_1$  such that u is contained in  $L^s(T_1,T';L^q(\Omega))$ , then for all  $\beta \in [0,\frac{r}{s}]$ 

(1.16) 
$$\liminf_{\delta \to 0} \frac{1}{\delta^{1-\beta}} \int_{T_* - \delta}^{T_1} \|u(\tau)\|_q^r d\tau \ge \varepsilon_* \nu^{r-\beta} 2^{-\beta}.$$

The set of such points  $T_1$  (is empty or) has Lebesgue measure zero.

**2. Preliminaries.** Given a bounded smooth domain  $\Omega \subseteq \mathbb{R}^3$  as in Section 1 we use the well-known spaces  $L^q(\Omega)$ ,  $1 < q < \infty$ , with norm  $\|\cdot\|_{L^q(\Omega)} = \|\cdot\|_q$  and pairing  $\langle v,w \rangle = \langle v,w \rangle_{\Omega} = \int_{\Omega} v \cdot w \, dx$  for  $v \in L^q(\Omega)$ ,  $w \in L^{q'}(\Omega)$ ,  $q' = \frac{q}{q-1}$ . Moreover, given  $0 < T \le \infty$ , we need the Bochner spaces  $L^s(0,T;L^q(\Omega))$ ,  $1 \le s < \infty$ , with norm  $\|\cdot\|_{L^s(0,T;L^q(\Omega))} = \|\cdot\|_{q,s} = (\int_0^T \|\cdot\|_q^s \, dt)^{1/s}$  and the corresponding pairing  $\langle\cdot,\cdot\rangle = \langle\cdot,\cdot\rangle_{\Omega,T}$  on  $L^s(0,T;L^q(\Omega)) \times L^{s'}(0,T;L^{q'}(\Omega))$ ,  $s' = \frac{s}{s-1}$ . Furthermore, we will use the smooth function spaces  $C_0^\infty(\Omega)$ ,  $C_{0,\sigma}^\infty(\Omega) = \{v \in C_0^\infty(\Omega); \operatorname{div} v = 0\}$  and the space  $L^q_\sigma(\Omega) = \overline{C_{0,\sigma}^\infty(\Omega)}^{\|\cdot\|_q}$ .

Concerning the Stokes operator  $A_q = -P_q \Delta : D(A_q) \to L^q_{\sigma}(\Omega), \ D(A_q) \subseteq L^q_{\sigma}(\Omega)$ , and the Helmholtz projection  $P_q : L^q(\Omega) \to L^q_{\sigma}(\Omega)$  in  $L^q$ -spaces we refer to [1], [3] – [7]. In particular we need the following estimates, see [4]:

(2.2) 
$$||A_q^{\alpha} e^{-\nu t A_q} v||_q \le C \nu^{-\alpha} e^{-\nu \delta t} t^{-\alpha} ||v||_q \text{ for all } v \in L_{\sigma}^q(\Omega), \ t > 0,$$
 where  $\delta = \delta(\Omega, q) > 0$  and  $0 \le \alpha \le 1$ ,

(2.3) 
$$||A_q^{-\frac{1}{2}} P_q \operatorname{div} v||_q \le C||v||_q \text{ for all } v = (v_{ij})_{i,j=1}^3 \in L^q(\Omega),$$

(2.4) 
$$||v||_{L^{s}(0,T;L^{q}(\Omega))} \leq C \frac{1}{\nu} ||f||_{L^{s}(0,T;L^{q}(\Omega))} \text{ for all } f \in L^{s}(0,T;L^{q}_{\sigma}(\Omega)),$$
 where  $v(t) = A_{q} \int_{0}^{t} e^{-\nu(t-\tau)A_{q}} f(\tau) d\tau.$ 

The constants C in (2.1)–(2.4) depend on  $\Omega$  and  $q,s,\alpha$ , but are independent of v and  $\nu$ . Note that the norms  $\|A_q^{1/2}v\|_q$  and  $\|\nabla v\|_q$  are equivalent for  $v\in D(A_q^{1/2})$ .

To prove our main results we have to identify the given weak solution u locally in time with strong solutions, i.e. with weak solutions satisfying Serrin's regularity condition. There are many results on the existence of such solutions for some given interval [0,T),  $0 < T \le \infty$ , if the initial value  $u_0$  satisfies a certain smallness condition, see, e.g., [8]-[10], [14]. However, we need some particular weak assumption on  $u_0$  and will apply Theorem 1 in [4] for bounded domains.

LEMMA 2.1. Let  $\Omega \subseteq \mathbb{R}^3$  be a bounded domain with boundary  $\partial\Omega$  of class  $C^{2,1}$  and let  $4 < s < \infty$ , 3 < q < 6,  $1 < q^* < q$  satisfy  $\frac{1}{3} + \frac{1}{q} = \frac{1}{q^*}$  and  $\frac{2}{s} + \frac{3}{q} = 1$ . Moreover, let  $u_0 \in L^q_\sigma(\Omega)$  and  $f = \operatorname{div} F$ ,  $F \in L^s(0,T;L^{q^*}(\Omega))$ ,  $0 < T \le \infty$ . Then there is a constant  $\varepsilon_* = \varepsilon_*(\Omega,q) > 0$  with the following property: If

(2.5) 
$$\int_0^T \|F\|_{q^*}^s d\tau \le \varepsilon_* \nu^{2s-1} \quad and \quad \int_0^T \|e^{-\nu \tau A_q} u_0\|_q^s d\tau \le \varepsilon_* \nu^{s-1},$$

then there exists a unique weak solution u in  $\Omega \times [0,T)$  of the Navier-Stokes system (1.1) satisfying Serrin's condition

$$(2.6) u \in L^s(0,T;L^q(\Omega))$$

and the energy inequality

$$(2.7) \frac{1}{2} \|u(t)\|_{2}^{2} + \nu \int_{0}^{t} \|\nabla u\|_{2}^{2} d\tau \le \frac{1}{2} \|u_{0}\|_{2}^{2} - \int_{0}^{t} \langle F, \nabla u \rangle_{\Omega} d\tau , \quad 0 \le t < T.$$

*Proof.* In the case  $\nu = 1$  the existence result of [4, Theorem 1] yields – under the smallness condition (2.5), see [4, (4.23)] – a unique solution u in the following so-called *very weak sense*: It satisfies (2.6) and the relation

$$(2.8) \qquad -\langle u, v_t \rangle_{\Omega,T} - \nu \langle u, \Delta v \rangle_{\Omega,T} - \langle uu, \nabla v \rangle_{\Omega,T} = \langle u_0, v(0) \rangle_{\Omega} - \langle F, \nabla v \rangle_{\Omega,T}$$

for all  $v \in C_0^{\infty}([0,T); C_{0,\sigma}^{\infty}(\Omega))$ . It is straightforward to generalize this result to  $\nu \neq 1$  and to check that (2.5) is the corresponding smallness condition with constant  $\varepsilon_* = \varepsilon_*(q,\Omega) > 0$ ; for details on the dependence on  $\nu$  see [5] concerning the theory of very weak solutions in three-dimensional exterior domains and in particular the condition [5, (5.12)].

In order to prove that u is a weak solution satisfying (2.6) we have to show several regularity properties. We start with the case that  $4 < s \le 8$  and hence  $4 \le q < 6$ . Due to the proof in [4, (4.19)] we know that u satisfies the relation

(2.9) 
$$\tilde{u}(t) \equiv u(t) - E(t) = -\int_0^t A_q^{\frac{1}{2}} e^{-\nu(t-\tau)A_q} A_q^{-\frac{1}{2}} P_q \operatorname{div}(uu) d\tau, \ 0 \le t < T,$$

with

$$E(t) = e^{-\nu t A_q} u_0 + \int_0^t e^{-\nu (t-\tau) A_q} f(\tau) d\tau.$$

Using (2.3) and Hölder's inequality we obtain that

where here and in the following C is a generic positive constant depending only on q and  $\Omega$ . By (2.9)

(2.11) 
$$A_q^{\frac{1}{2}}\tilde{u}(t) = -A_q \int_0^t e^{-\nu(t-\tau)A_q} A_q^{-\frac{1}{2}} P_q \operatorname{div}(uu) d\tau, \ 0 \le t < T,$$

and using (2.4) we get the estimate

This shows that

(2.13) 
$$\nabla \tilde{u} \in L^{s/2}(0, T; L^{q/2}(\Omega))$$

and, since  $4 \le q < 6$ ,  $4 < s \le 8$ , that

(2.14) 
$$\nabla \tilde{u} \in L^2_{loc}([0,T);L^2(\Omega)), \ \tilde{u} \in L^2_{loc}([0,T);W_0^{1,2}(\Omega)).$$

By virtue of (2.2) and (2.3), Hölder's inequality and the properties of q and s we obtain

from (2.9) the estimate

Hence (2.14) and (2.15) imply that

(2.16) 
$$\tilde{u} \in L^{\infty}([0,T); L^{2}_{\sigma}(\Omega)) \cap L^{2}_{loc}([0,T); W^{1,2}_{0}(\Omega)).$$

Concerning E(t) standard energy estimates, see e.g. [13, Theorem V.1.4.1], yield the inequalities

(2.17) 
$$||E||_{2,\infty}^2 + \nu ||\nabla E||_{2,2}^2 \le ||u_0||_2^2 + \frac{1}{\nu} ||F||_{2,2}^2.$$

With the help of (2.16) and (2.17) we conclude that

(2.18) 
$$u \in L^{\infty}([0,T); L^{2}_{\sigma}(\Omega)) \cap L^{2}_{loc}([0,T); W^{1,2}_{0}(\Omega)).$$

Since  $u \in L^s(0,T';L^q(\Omega))$  for all 0 < T' < T, Hölder's inequality yields

(2.19) 
$$uu \in L^2_{loc}([0,T); L^2(\Omega)).$$

Using (2.18) and (2.19), a calculation shows that (2.8) implies (1.3), and that the energy inequality (2.7) is satisfied; see also [13, Theorem V.1.4.1] concerning the last property. Consequently u is a weak solution of (1.1) satisfying (2.6) and (2.7). Hence it is also a strong solution. The uniqueness of u with these properties follows from Serrin's uniqueness argument, see [12], [13]. This completes the proof in the case that  $4 < s \le 8$ .

In the second case we assume that  $8 < s < \infty$  and 3 < q < 4. Now we need several steps. First let  $s_1 = s$ ,  $q_1 = q$ . Then we get as in (2.9)–(2.13) that  $\nabla \tilde{u} \in L^{s_1/2}(0,T;L^{q_1/2}(\Omega))$ . Defining  $s_2 = \frac{s_1}{2}$  and  $q_2 > q_1$  such that  $\frac{1}{3} + \frac{1}{q_2} = \frac{1}{q_1/2}, \frac{2}{s_2} + \frac{3}{q_2} = 1$ , we obtain by Sobolev's embedding theorem that  $\tilde{u} \in L^{s_2}(0,T;L^{q_2}(\Omega))$ . Moreover, using (2.1), (2.2) we see that  $E \in L^{s_2}(0,T;L^{q_2}(\Omega))$  which leads to  $u \in L^{s_2}(0,T;L^{q_2}(\Omega))$ . Proceeding in the same way, let  $s_k = \frac{s_{k-1}}{2}$  and  $q_k > q_{k-1}$  such that  $\frac{1}{3} + \frac{1}{q_k} = \frac{1}{q_{k-1}/2}$ ,  $\frac{2}{s_k} + \frac{3}{q_k} = 1$ , for  $k \in \mathbb{N}$ . Since  $\frac{1}{3} - \frac{1}{q_k} = 2^{k-1}(\frac{1}{3} - \frac{1}{q_1})$ , we choose  $k \in \mathbb{N}$  such that  $\frac{1}{3} - \frac{1}{q_{k-1}} < \frac{1}{12} \le \frac{1}{3} - \frac{1}{q_k}$ , leading to  $4 \le q_k < 6$ ,  $4 < s_k \le 8$ . Now  $q_k/2 \ge 2$ , and using (2.12), (2.15) with q,s replaced by  $q_k,s_k$ , we obtain the properties (2.14), (2.16). This yields the result in the same way as in the first case. Now the proof of the lemma is complete.

# **3. Proof of the theorems.** First we have to prove Theorem 1.3.

Proof of Theorem 1.3. Given the bounded domain  $\Omega \subseteq \mathbb{R}^3$ ,  $0 < T_0 < T_1 < T' < T$  and  $u, q, r, s, \beta$  as in this theorem, we have to prove the existence of some constant  $\varepsilon_* = \varepsilon_*(\Omega, q) > 0$  yielding regularity of u on  $(T_1 - \delta, T')$  if (1.11) is satisfied. Note that if  $\beta = 0$  then the subsequent proof will also work for T' = T.

Using the weak continuity of the weak solution  $u:[0,T)\to L^2_\sigma(\Omega)$ , see (1.6), we know that  $u(t_0)\in L^2_\sigma(\Omega)$  is well defined for all  $t_0\in[0,T)$ . Furthermore, since  $\nabla u\in L^2(0,T;L^2(\Omega))$ , see (1.4) for  $\sigma=0$ , and since 3< q<6, the embedding inequality  $\|u(t)\|_q\leq C_1\|u(t)\|_6\leq C_2\|\nabla u(t)\|_2$  with  $C_j=C_j(\Omega,q)>0$ , j=1,2, implies that  $u\in L^2(0,T;L^q_\sigma(\Omega))$ . Then the Lebesgue point argument shows that there is a null set  $N\subseteq(0,T)$  such that  $\|u(t_0)\|_q$  is well defined by the property

(3.1) 
$$\lim_{\delta \to 0} \frac{1}{2\delta} \int_{t_0 - \delta}^{t_0 + \delta} \|u(\tau)\|_q^2 d\tau = \|u(t_0)\|_q^2$$

for all  $t_0 \in (0,T) \setminus N$ . Moreover, since the energy inequality (1.4) holds for a.a.  $\sigma \in [0,T)$ , we may assume in the following that the null set  $N \subseteq (0,T)$  is chosen in such a way that both (3.1) and the energy inequality

$$(3.2) \qquad \frac{1}{2} \|u(t)\|_{2}^{2} + \nu \int_{t_{0}}^{t} \|\nabla u\|_{2}^{2} d\tau \le \frac{1}{2} \|u(t_{0})\|_{2}^{2} - \int_{t_{0}}^{t} \langle F, \nabla u \rangle_{\Omega} d\tau, \ t_{0} \le t < T,$$

hold for all  $t_0 \in (0,T) \backslash N$ .

Let  $t_0 \in (T_0, T_1) \setminus N$ . Then  $u(t_0) \in L^q_\sigma(\Omega)$ , and we are able to apply the local existence results of Lemma 2.1, replacing the existence interval [0, T) by the interval  $[t_0, T')$ , and using  $u(t_0)$  as initial value. Hence, if the smallness condition

(3.3) 
$$\int_{t_0}^{T'} \|F\|_{q^*}^s d\tau \le \varepsilon_* \nu^{2s-1}, \quad \int_0^{T'-t_0} \|e^{-\nu \tau A_q} u(t_0)\|_q^s d\tau \le \varepsilon_* \nu^{s-1}$$

is satisfied with  $\varepsilon_*$  as in Lemma 2.1, then we obtain a unique weak solution  $\tilde{u}$  on the interval  $[t_0, T')$ , corresponding to Definition 1.1, of the Navier-Stokes system

(3.4) 
$$\begin{aligned}
\tilde{u}_t - \nu \Delta \tilde{u} + \tilde{u} \cdot \nabla \tilde{u} + \nabla \tilde{p} &= f, & \text{div } \tilde{u} &= 0, \\
\tilde{u}_{|_{\partial \Omega}} &= 0, & \tilde{u}_{|_{t=t_0}} &= u(t_0),
\end{aligned}$$

satisfying

$$(3.5) \tilde{u} \in L^{\infty}(t_0, T'; L^2_{\sigma}(\Omega)) \cap L^2_{\text{loc}}([t_0, T'); W_0^{1,2}(\Omega)), \quad \tilde{u} \in L^s(t_0, T'; L^q(\Omega)),$$

and the energy inequality

$$\frac{1}{2}\|\tilde{u}(t)\|_{2}^{2} + \nu \int_{t_{0}}^{t} \|\nabla \tilde{u}\|_{2}^{2} d\tau \leq \frac{1}{2}\|u(t_{0})\|_{2}^{2} - \int_{t_{0}}^{t} \langle F, \nabla \tilde{u} \rangle_{\Omega} d\tau, \ t_{0} \leq t < T'.$$

By Serrin's uniqueness argument, see [12], [13, V, Theorem 1.5.1], we conclude that  $u = \tilde{u}$  on  $[t_0, T')$ . This yields the properties (3.5) with  $\tilde{u}$  replaced by u, and we get the desired result of Theorem 1.3.

Thus it remains to prove the existence of some  $t_0 \in (T_0, T_1) \backslash N$  as above such that (3.3) is satisfied. First assume that the conditions

(3.6) 
$$\int_{T_0}^{T'} \|F\|_{q^*}^s d\tau \le \varepsilon_*' \nu^{2s-1}, \quad \frac{1}{T_1 - T_0} \int_{T_0}^{T_1} (T' - \tau)^\beta \|u(\tau)\|_q^r d\tau \le \varepsilon_*' \nu^{r-\beta}$$

are satisfied with some constant  $\varepsilon'_* > 0$  to be determined below. Then we find at least

one  $t_0 \in (T_0, T_1) \backslash N$  such that

$$(3.7) (T'-t_0)^{\beta} \|u(t_0)\|_q^r \leq \frac{1}{T_1-T_0} \int_{T_0}^{T_1} (T'-\tau)^{\beta} \|u(\tau)\|_q^r d\tau \leq \varepsilon_*' \nu^{r-\beta}.$$

Hence, by virtue of (2.2) with  $\alpha = 0$  and of the condition (3.7),

$$\int_{0}^{T'-t_{0}} \|e^{-\nu\tau A_{q}} u(t_{0})\|_{q}^{s} d\tau \leq c_{0} \int_{0}^{T'-t_{0}} e^{-\nu\delta s\tau} d\tau \|u(t_{0})\|_{q}^{s} 
\leq c_{0} (T'-t_{0})^{\frac{\beta s}{r}} \left( \int_{0}^{T'-t_{0}} e^{-\nu\delta s\tau} d\tau \right)^{1-\frac{\beta s}{r}} \|u(t_{0})\|_{q}^{s} 
\leq c_{0} (\varepsilon'_{*} \nu^{r-\beta})^{\frac{s}{r}} (\nu^{\frac{\beta s}{r}-1}) = c_{0} (\varepsilon'_{*})^{\frac{s}{r}} \nu^{s-1},$$

where  $c_0 = c_0(q, \Omega, \beta, r) > 0$  is a generic constant. This estimate shows how to choose the smallness constant  $\varepsilon'_*$  in (3.6) depending on  $\varepsilon_*$  in (3.3), in order to prove Theorem 1.3. For simplicity we denote the constant  $\varepsilon'_*$  finally in Theorem 1.3 again by  $\varepsilon_*$ . The proof is complete.  $\blacksquare$ 

Proof of Theorem 1.2. (i) By Lemma 2.1 there exists some  $\delta = \delta(u_0, \nu, \Omega, q, \varepsilon_*) \in (0, T)$  such that  $u \in L^s(0, \delta; L^q(\Omega))$ . Actually, the second part of condition (2.5) shows that we may choose  $\delta = c_0 \varepsilon_* \nu^{s-1} \|u_0\|_q^{-s}$  with  $\varepsilon_*$  as in (2.5) and  $c_0 = c_0(\Omega, q) > 0$ . Next let  $T_0 = \frac{\delta}{2}$ ,  $T_1 = \delta$ , and denoting the constant  $\varepsilon_*$  from (1.11) here by  $\varepsilon'_*$ , we assume that

$$\int_{0}^{T} \|u\|_{q}^{r} d\tau \leq \frac{\delta}{2} \varepsilon_{*}' \nu^{r} = \frac{c_{0}}{2} \varepsilon_{*} \varepsilon_{*}' \nu^{s+r-1} \|u_{0}\|_{q}^{-s}$$

is satisfied. Using Theorem 1.3 with  $\beta = 0$  and T' = T we conclude that  $u \in L^s(T_1, T; L^q(\Omega))$  and even  $u \in L^s(0, T; L^q(\Omega))$ . This proves (i).

(ii) In this case we use Theorem 1.3 with r=s and  $\beta=\frac{r}{s}=1$ . Let  $T_1\in(0,T)$  and choose  $0<\delta< T_1$  such that  $u\in L^s(T_1-\delta,T_1;L^q(\Omega))$  satisfies the estimate  $2\|u\|_{L^s(T_1-\delta,T_1;L^q(\Omega))}^s\leq \varepsilon_*\nu^{r-1}$  with  $\varepsilon_*$  from (1.11). Moreover, we can reach with  $T'=T_1+\delta$  and  $T_0=T_1-\delta$ , that

(3.8) 
$$\int_{T_0}^{T'} \|F\|_{q_*}^s d\tau = \int_{T_1 - \delta}^{T + \delta} \|F\|_{q_*}^s d\tau \le \varepsilon_* \nu^{2s - 1}$$

and

$$\frac{1}{T_1-T_0}\int_{T_0}^{T_1}(T'-t)\|u(t)\|_q^s\,dt \leq 2\int_{T_0}^{T_1}\|u(t)\|_q^s\,dt \leq \varepsilon_*\nu^{r-1}.$$

Then Theorem 1.3 implies that  $u \in L^s(T_1 - \delta, T_1 + \delta; L^q(\Omega))$ . We can find such a  $\delta > 0$  for each  $T_1 \in (0,T)$  and get the result.

Proof of Corollary 1.4. (i) Condition (1.12) implies (1.11) with  $\beta = 0$  for some sufficiently small  $T_0 > 0$ .

(ii) Assume that (1.13) (or only (1.14)) holds. Then we find a sufficiently small  $\delta > 0$  such that with  $T' = T_1 + \delta$  and  $T_0 = T_1 - \delta$  the estimates

$$\frac{1}{T_1 - T_0} \int_{T_0}^{T_1} (T' - \tau)^{\beta} \|u(t)\|_q^r d\tau \le 2^{\beta} \delta^{\beta - 1} \int_{T_1 - \delta}^{T_1} \|u(t)\|_q^r d\tau \le \varepsilon_* \nu^{r - \beta}$$

and (1.11) are satisfied.  $\blacksquare$ 

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