

Zeros of the Derivatives of L -Functions Attached to Cusp Forms

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Summary. Let f be a holomorphic cusp form of weight k with respect to $\mathrm{SL}_2(\mathbb{Z})$ which is a normalized Hecke eigenform, and $L_f(s)$ the L -function attached to f . We shall give a relation between the number of zeros of $L_f(s)$ and of the derivatives of $L_f(s)$ using Berndt's method, and an estimate of zero-density of the derivatives of $L_f(s)$ based on Littlewood's method.

1. Introduction. Let f be a cusp form of weight k for $\mathrm{SL}_2(\mathbb{Z})$ which is a normalized Hecke eigenform. Let $a_f(n)$ be the n th Fourier coefficient of f and set $\lambda_f(n) = a_f(n)/n^{(k-1)/2}$. Rankin showed that $\sum_{n \leq x} |\lambda_f(n)|^2 = C_f x + O(x^{3/5})$ for $x \in \mathbb{R}_{>0}$, where C_f is a positive constant depending on f (see [8, (4.2.3), p. 364]). The L -function attached to f is defined by

$$(1.1) \quad L_f(s) = \sum_{n=1}^{\infty} \frac{\lambda_f(n)}{n^s} = \prod_{p \text{ prime}} \left(1 - \frac{\alpha_f(p)}{p^s}\right)^{-1} \left(1 - \frac{\beta_f(p)}{p^s}\right)^{-1} \quad (\mathrm{Re} s > 1),$$

where $\alpha_f(p)$ and $\beta_f(p)$ satisfy $\alpha_f(p) + \beta_f(p) = \lambda_f(p)$ and $\alpha_f(p)\beta_f(p) = 1$. By Hecke's work [4], the function $L_f(s)$ is analytically continued to the whole s -plane by

$$(1.2) \quad (2\pi)^{-s-(k-1)/2} \Gamma\left(s + \frac{k-1}{2}\right) L_f(s) = \int_0^{\infty} f(iy) y^{s+(k-1)/2-1} dy,$$

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and has a functional equation

$$L_f(s) = \chi_f(s)L_f(1-s)$$

where $\chi_f(s)$ is given by

$$(1.3) \quad \begin{aligned} \chi_f(s) &= (-1)^{-k/2} (2\pi)^{2s-1} \frac{\Gamma(1-s + \frac{k-1}{2})}{\Gamma(s + \frac{k-1}{2})} \\ &= 2(2\pi)^{-2(1-s)} \Gamma\left(s + \frac{k-1}{2}\right) \Gamma\left(s - \frac{k-1}{2}\right) \cos(\pi(1-s)). \end{aligned}$$

The second equality is deduced from the fact that $\Gamma(s)\Gamma(1-s) = \pi/\sin(\pi s)$ and $\sin(\pi(s + (k-1)/2)) = (-1)^{k/2} \cos(\pi(1-s))$. Similarly to the case of the Riemann zeta function $\zeta(s)$, it is conjectured that all complex zeros of $L_f(s)$ lie on the critical line $\operatorname{Re} s = 1/2$, which is the Generalized Riemann Hypothesis (GRH). In order to support the truth of the GRH, the distribution and the density of complex zeros of $L_f(s)$ are studied without assuming the GRH.

Lekkerkerker [6] proved an approximate formula for the number of complex zeros of $L_f(s)$:

$$(1.4) \quad N_f(T) = \frac{T}{\pi} \log \frac{T}{2\pi e} + O(\log T),$$

where $T > 0$ is sufficiently large, and $N_f(T)$ denotes the number of complex zeros of $L_f(s)$ in $0 < \operatorname{Im} s \leq T$. The formula (1.4) is analogous to the formula for $N(T)$, the number of complex zeros of $\zeta(s)$ in $0 < \operatorname{Im} s \leq T$, given by Riemann [9]:

$$(1.5) \quad N(T) = \frac{T}{2\pi} \log \frac{T}{2\pi e} + O(\log T).$$

(Later von Mangoldt [14] proved (1.5) rigorously.) In the Riemann zeta function, the zeros of the derivative of $\zeta(s)$ have a connection with the Riemann Hypothesis (RH). Speiser [10] showed that RH is equivalent to the non-existence of a complex zero of $\zeta'(s)$ in $\operatorname{Re} s < 1/2$. Levinson and Montgomery [7] proved that if RH is true, then $\zeta^{(m)}(s)$ has at most finitely many complex zeros in $0 < \operatorname{Re} s < 1/2$ for any $m \in \mathbb{Z}_{\geq 0}$.

There are many studies of the zeros of $\zeta^{(m)}(s)$ without assuming RH. Spira [11], [12] showed that there exist $\sigma_m \geq (7m+8)/4$ and $\alpha_m < 0$ such that $\zeta^{(m)}(s)$ has no zero with $\operatorname{Re} s \leq \sigma_m$ or $\operatorname{Re} s \leq \alpha_m$, and exactly one real zero in each open interval $(-1-2n, 1-2n)$ for $1-2n \leq \alpha_m$. Later, Yıldırım [16] showed that $\zeta''(s)$ and $\zeta'''(s)$ have no zeros in $0 \leq \operatorname{Re} s < 1/2$. Berndt [2] gave a relation between the numbers of complex zeros of $\zeta(s)$ and of $\zeta^{(m)}(s)$:

$$(1.6) \quad N_m(T) = N(T) - \frac{T \log 2}{2\pi} + O(\log T),$$

where $m \in \mathbb{Z}_{\geq 1}$ is fixed and $N_m(T)$ denotes the number of complex zeros of $\zeta^{(m)}(s)$ in $0 < \text{Im } s \leq T$. Recently, Aoki and Minamide [1] studied the density of zeros of $\zeta^{(m)}(s)$ in the right hand side of the critical line $\text{Re } s = 1/2$ by using Littlewood's method. Let $N_m(\sigma, T)$ be the number of zeros of $\zeta^{(m)}(s)$ in $\text{Re } s \geq \sigma$ and $0 < \text{Im } s \leq T$. They showed that

$$(1.7) \quad N_m(\sigma, T) = O\left(\frac{T}{\sigma - 1/2} \log \frac{1}{\sigma - 1/2}\right),$$

uniformly for $\sigma > 1/2$. From (1.6) and (1.7), we see that almost all complex zeros of $\zeta^{(m)}(s)$ lie in the neighbourhood of the critical line.

The purpose of this paper is to study the counterparts of the results of Berndt, Aoki and Minamide for the derivatives of $L_f(s)$, namely, the relation between the number of complex zeros of $L_f(s)$ and that of $L_f^{(m)}(s)$, and the density of zeros of $L_f^{(m)}(s)$ in the right half-plane $\text{Re } s > 1/2$. Let n_f be the smallest integer greater than 1 such that $\lambda_f(n_f) \neq 0$. The m th derivative of $L_f(s)$ is given by

$$L_f^{(m)}(s) = \sum_{n=1}^{\infty} \frac{\lambda_f(n)(-\log n)^m}{n^s} = \sum_{n=n_f}^{\infty} \frac{\lambda_f(n)(-\log n)^m}{n^s} \quad (\text{Re } s > 1),$$

Differentiating both sides of (1.2), we find that $L_f^{(m)}(s)$ is holomorphic in the whole s -plane and has the functional equation

$$(1.8) \quad L_f^{(m)}(s) = \sum_{r=0}^m \binom{m}{r} (-1)^r \chi_f^{(m-r)}(s) L_f^{(r)}(1-s).$$

First, we shall exhibit zero free regions for $L_f^{(m)}(s)$ by following Berndt's method (see [2]) and Spira's method (see [11], [12]).

THEOREM 1.1. *The following assertions hold for any $m \in \mathbb{Z}_{\geq 0}$.*

- (i) *There exists $\sigma_{f,m} \in \mathbb{R}_{>1}$ such that $L_f^{(m)}(s)$ has no zero in $\text{Re } s \geq \sigma_{f,m}$.*
- (ii) *For any $\varepsilon \in \mathbb{R}_{>0}$, there exists $\delta_{f,m,\varepsilon} \in \mathbb{R}_{>(k-1)/2+1}$ such that $L_f^{(m)}(s)$ has no zero with $|s| \geq \delta_{f,m,\varepsilon}$ satisfying $\text{Re } s \leq -\varepsilon$ and $|\text{Im } s| \geq \varepsilon$.*
- (iii) *There exists $\alpha_{f,m} \in \mathbb{R}_{<-(k-1)/2-1}$ such that $L_f^{(m)}(s)$ has only real zeros in $\text{Re } s \leq \alpha_{f,m}$, and one real zero in each interval $(n-1, n)$ for $n \in \mathbb{Z}_{\leq \alpha_{f,m}}$.*

Next, based on Berndt's proof, we can obtain the following formula for the number of complex zeros of $L_f^{(m)}(s)$:

THEOREM 1.2. *For any fixed $m \in \mathbb{Z}_{\geq 1}$, let $N_{f,m}(T)$ be the number of complex zeros of $L_f^{(m)}(s)$ in $0 < \text{Im } s \leq T$. Then for any large $T > 0$, we*

have

$$N_{f,m}(T) = \frac{T}{\pi} \log \frac{T}{2\pi e} - \frac{T}{2\pi} \log n_f + O(\log T).$$

Moreover the relation between $N_f(T)$ and $N_{f,m}(T)$ is given by

$$N_{f,m}(T) = N_f(T) - \frac{T}{2\pi} \log n_f + O(\log T).$$

Finally, using the mean value formula for $L_f^{(m)}(s)$ obtained in [15] and Littlewood's method, we obtain the estimate of the density of zeros:

THEOREM 1.3. *For any $m \in \mathbb{Z}_{\geq 0}$, let $N_{f,m}(\sigma, T)$ be the number of complex zeros of $L_f^{(m)}(s)$ with $\operatorname{Re} s \geq \sigma$ and $0 < \operatorname{Im} s \leq T$. For any large $T > 0$, we have*

$$(1.9) \quad N_{f,m}(\sigma, T) = O\left(\frac{T}{\sigma - 1/2} \log \frac{1}{\sigma - 1/2}\right)$$

uniformly for $1/2 < \sigma \leq 1$. More precisely,

$$(1.10) \quad \begin{aligned} & N_{f,m}(\sigma, T) \\ & \leq \frac{2m+1}{2\pi} \frac{T}{\sigma - 1/2} \log \frac{1}{\sigma - 1/2} + \frac{1}{2\pi} \frac{T}{\sigma - 1/2} \log \frac{(2m)! n_f C_f}{|\lambda_f(n_f)|^2 (\log n_f)^{2m}} \\ & \quad + O\left(\frac{\log T}{\sigma - 1/2}\right) \\ & \quad + \frac{1}{2\pi} \frac{T}{\sigma - 1/2} \begin{cases} \log\left(1 + O\left(\frac{(2\sigma - 1)^{2m+1} (\log T)^{2m}}{T^{2\sigma-1}}\right)\right), & 1/2 < \sigma < 1, \\ \log\left(1 + O\left(\frac{(2\sigma - 1)^{2m+1} (\log T)^{2m+2}}{T}\right)\right), & \sigma = 1, \\ \log\left(1 + O\left(\frac{(2\sigma - 1)^{2m+1}}{T}\right)\right), & 1 < \sigma < \sigma_{f,m}, \end{cases} \end{aligned}$$

where $\sigma_{f,m}$ is given by Theorem 1.1(i).

2. Proof of Theorem 1.1. To show (i), we write

$$L_f^{(m)}(s) = \lambda_f(n_f) (-\log n_f)^m F(s) n_f^{-s}$$

where

$$(2.1) \quad F(s) = 1 + \sum_{n=n_f+1}^{\infty} \frac{\lambda_f(n)}{\lambda_f(n_f)} \left(\frac{\log n}{\log n_f}\right)^m \left(\frac{n_f}{n}\right)^s \quad (\operatorname{Re} s > 1).$$

Deligne's result $|\lambda_f(n)| \leq d(n) \ll n^\varepsilon$ implies that there exist $c_f \in \mathbb{R}_{>0}$ and $\sigma_{f,m} \in \mathbb{R}_{>1}$ depending on f and m such that

$$(2.2) \quad |F(\sigma + it) - 1| \leq \sum_{n=n_f+1}^{\infty} \left| \frac{\lambda_f(n)}{\lambda_f(n_f)} \right| \left(\frac{\log n}{\log n_f} \right)^m \left(\frac{n_f}{n} \right)^\sigma \\ \leq c_f \sum_{n=n_f+1}^{\infty} \frac{(\log n / \log n_f)^m}{(n/n_f)^{\sigma-\varepsilon}} \leq \frac{1}{2}$$

for $\sigma \in \mathbb{R}_{\geq \sigma_{f,m}}$ and $t \in \mathbb{R}$, where ε is an arbitrary positive number. Hence $L_f^{(m)}(s)$ has no zeros with $\operatorname{Re} s \geq \sigma_{f,m}$, that is, (i) is proved.

Next we shall show (ii) and (iii). Replacing s with $1 - s$ in (1.3) and (1.8), we have

$$(2.3) \quad (-1)^m L_f^{(m)}(1 - s) \\ = \sum_{r=0}^m \binom{m}{r} L_f^{(m-r)}(s) \left(2(2\pi)^{-2s} \cos(\pi s) \Gamma\left(s - \frac{k-1}{2}\right) \Gamma\left(s + \frac{k-1}{2}\right) \right)^{(r)}.$$

Since $(\cos(\pi s))^{(r)} = \pi^r (a_r \cos(\pi s) + b_r \sin(\pi s))$ where $a_r, b_r \in \{0, \pm 1\}$, and $((2\pi)^{-2s})^{(r)} = (-2 \log 2\pi)^r (2\pi)^{-2s}$ for $r \in \mathbb{Z}_{\geq 0}$, the formula (2.3) can be written as

$$(2.4) \quad (-1)^m L_f^{(m)}(1 - s) \\ = 2(2\pi)^{-2s} \sum_{r=0}^m R_{m-r}(s) \left(\Gamma\left(s - \frac{k-1}{2}\right) \Gamma\left(s + \frac{k-1}{2}\right) \right)^{(r)},$$

where

$$R_{m-r}(s) = \cos(\pi s) \sum_{j=0}^{m-r} a'_j L_f^{(j)}(s) + \sin(\pi s) \sum_{j=0}^{m-r} b'_j L_f^{(j)}(s)$$

and $a'_r, b'_r \in \mathbb{R}$. It is clear that $a'_0 = 1$, $b'_0 = 0$ and $R_0(s) = L_f(s) \cos(\pi s)$. Moreover we write (2.4) as

$$(2.5) \quad \frac{(-1)^m L_f^{(m)}(1 - s)}{2(2\pi)^{-2s}} = f(s) + g(s)$$

where

$$f(s) = R_0(s) \left(\Gamma\left(s - \frac{k-1}{2}\right) \Gamma\left(s + \frac{k-1}{2}\right) \right)^{(m)}, \\ g(s) = \sum_{r=0}^{m-1} R_{m-r}(s) \left(\Gamma\left(s - \frac{k-1}{2}\right) \Gamma\left(s + \frac{k-1}{2}\right) \right)^{(r)}.$$

The formula (2.5) implies that if $|f(s)| > |g(s)|$ in some region then $L_f^{(m)}(s)$ has no zero in that region.

In order to investigate the behavior of $f(s)$ and $g(s)$, we shall consider the approximate formula for $(\Gamma(s - \frac{k-1}{2})\Gamma(s + \frac{k-1}{2}))^{(r)} / (\Gamma(s - \frac{k-1}{2})\Gamma(s + \frac{k-1}{2}))$. By Stirling's formula, it is known that

$$(2.6) \quad \frac{\Gamma'}{\Gamma}(s) = \log s - \frac{1}{2s} + \int_0^\infty \frac{\{u\} - 1/2}{(u+s)^2} du$$

for $s \in \mathbb{C}$ such that $|\arg s| \leq \pi - \delta$ where $\delta \in \mathbb{R}_{>0}$ is fixed (see [5, Theorem A.5 b])). Let $D := \mathbb{C} \setminus \{s \in \mathbb{C} \mid \operatorname{Re} s < \varepsilon, |\operatorname{Im} s| < \varepsilon\}$, where ε is any fixed positive number. Setting $G^{(j)}(s) := (d^{j-1}/ds^{j-1})G^{(1)}(s)$ for $j \in \mathbb{Z}_{\geq 1}$ and $s \in D$ where $G^{(1)}(s)$ is the right-hand side of (2.6), we shall use the following lemma:

LEMMA 2.1 ([15, Lemma 2.3]). *Let F and G be holomorphic functions such that $F(s) \neq 0$ and $\log F(s) = G(s)$ for $s \in D$, where $D \subset \mathbb{C}$ is a domain. Then for any fixed $r \in \mathbb{Z}_{\geq 1}$, there exist $l_1, \dots, l_r \in \mathbb{Z}_{\geq 0}$ and $C_{(l_1, \dots, l_r)} \in \mathbb{Z}_{\geq 0}$ such that*

$$\frac{F^{(r)}}{F}(s) = \sum_{l_1 + \dots + l_r = r} C_{(l_1, \dots, l_r)} (G^{(1)}(s))^{l_1} \dots (G^{(r)}(s))^{l_r}$$

for $s \in D$. In particular, $C_{(r, 0, \dots, 0)} = 1$.

The estimates

$$\begin{aligned} |u+s|^2 &= u^2 + |s|^2 + 2u|s| \cos \arg s \\ &\geq \begin{cases} |s|^2, & u \leq |s|, |\arg s| \leq \pi/2, \\ |s|^2 (\sin \arg s)^2, & u \leq |s|, \pi/2 \leq |\arg s| \leq \pi - \delta, \\ u^2, & u \geq |s|, |\arg s| \leq \pi/2, \\ 4(1 + \cos \arg s)u^2, & u \geq |s|, \pi/2 \leq |\arg s| \leq \pi - \delta \end{cases} \end{aligned}$$

give

$$\int_0^\infty \frac{\{u\} - 1/2}{(u+s)^{j+1}} du \ll \int_0^{|s|} \frac{du}{|s|^{j+1}} + \int_{|s|}^\infty \frac{du}{u^{j+1}} \ll \frac{1}{|s|^j}$$

for $j \in \mathbb{Z}_{\geq 1}$ and $s \in D$. Then

$$\begin{aligned} G^{(1)}(s) &= \log s + O\left(\frac{1}{|s|}\right), \\ G^{(j)}(s) &= \frac{(-1)^{j-1}(j-2)!}{s^{j-1}} + \frac{(-1)^j(j-1)!}{2s^j} + (-1)^{j+1}j! \int_0^\infty \frac{\{u\} - 1/2}{(u+s)^{j+1}} du \\ &= O\left(\frac{1}{|s|^{j-1}}\right) \end{aligned}$$

for $j \in \mathbb{Z}_{\geq 2}$. Hence the approximate formula for $(\Gamma^{(r)}/\Gamma)(s)$ is

$$(2.7) \quad \frac{\Gamma^{(r)}}{\Gamma}(s) = (G^{(1)}(s))^r + O\left(\sum_{1q_1+\dots+rq_r=r, q_1 \neq r} \prod_{j=1}^r |G^{(j)}(s)|^{q_j}\right) \\ = \left(\log s + O\left(\frac{1}{|s|}\right)\right)^r + O\left(\frac{|\log s|^{r-1}}{|s|}\right) = (\log s)^r \sum_{j=0}^r \frac{M_j(s)}{(\log s)^j}$$

for $s \in D$ and $r \in \mathbb{Z}_{\geq 0}$, where $M_j(s) = O(1/|s|^j)$ for $j \in \mathbb{Z}_{\geq 1}$ and $M_0(s) = 1$. Using (2.7) and the approximate formula

$$(2.8) \quad \log\left(s \pm \frac{k-1}{2}\right) = \log s + \log\left(1 \pm \frac{k-1}{2s}\right) = \log |s| + i \arg s + O(1/|s|)$$

for $|s| > (k-1)/2$, we can write

$$(2.9) \quad \frac{(\Gamma(s - \frac{k-1}{2})\Gamma(s + \frac{k-1}{2}))^{(l)}}{\Gamma(s - \frac{k-1}{2})\Gamma(s + \frac{k-1}{2})} \\ = \sum_{j=0}^l \binom{l}{j} \frac{\Gamma^{(j)}\left(s - \frac{k-1}{2}\right)}{\Gamma\left(s - \frac{k-1}{2}\right)} \frac{\Gamma^{(l-j)}\left(s + \frac{k-1}{2}\right)}{\Gamma\left(s + \frac{k-1}{2}\right)} \\ = \sum_{j=0}^l \binom{l}{j} \left(\log\left(s - \frac{k-1}{2}\right)\right)^j \left(\log\left(s + \frac{k-1}{2}\right)\right)^{l-j} \\ \times \sum_{\substack{0 \leq j_1 + j_2 \leq l \\ 0 \leq j_1 \leq j, 0 \leq j_2 \leq l-j}} \frac{M_{j_1}\left(s - \frac{k-1}{2}\right)}{(\log(s - \frac{k-1}{2}))^{j_1}} \frac{M_{j_2}\left(s + \frac{k-1}{2}\right)}{(\log(s + \frac{k-1}{2}))^{j_2}} \\ = S_l(s) + T_l(s)$$

for $l \in \mathbb{Z}_{\geq 0}$, $s \in D'$ and $|s| > (k-1)/2$, where $D' := \mathbb{C} \setminus \{s \in \mathbb{C} \mid \operatorname{Re} s < (k-1)/2 + \varepsilon, |\operatorname{Im} s| < \varepsilon\}$ and

$$S_l(s) = \left(\log\left(s - \frac{k-1}{2}\right) + \log\left(s + \frac{k-1}{2}\right)\right)^l, \\ (2.10) \quad T_l(s) = O\left(\frac{1}{|s| \log |s|} \sum_{j=0}^l (\log |s|)^j (\log |s|)^{l-j}\right) = O\left(\frac{(\log |s|)^{l-1}}{|s|}\right)$$

for $l \in \mathbb{Z}_{\geq 1}$, in particular $S_0(s) = 1$ and $T_0(s) = 0$.

Next using $R_r(s)$, $S_r(s)$ and $T_r(s)$, we shall give a condition which implies $|f(s)| > |g(s)|$ for some region. From (2.5) and (2.9), the inequality $|f(s)| > |g(s)|$ is equivalent to

$$|S_m(s) + T_m(s)| > \left| \sum_{r=0}^{m-1} \frac{R_r}{R_0}(s)(S_r(s) + T_r(s)) \right|.$$

Dividing both sides by $S_{m-1}(s)$ and applying the triangle inequality, we see that if

$$(2.11) \quad |S_1(s)| > \left| \frac{T_m}{S_{m-1}}(s) \right| + \left| \sum_{r=0}^{m-1} \frac{R_r}{R_0}(s) \left(\frac{1}{S_{m-1-r}}(s) + \frac{T_r}{S_{m-1}}(s) \right) \right|,$$

then $|f(s)| > |g(s)|$ for $s \in D'$ and $|s| > (k-1)/2$. To prove (2.11), we shall obtain upper bounds of $(1/S_r)(s)$ and $(R_r/R_0)(s)$. The formula (2.8) gives

$$(2.12) \quad \left| \frac{1}{S_r}(s) \right| \leq \frac{C_1}{(\log |s|)^r}$$

for the above s and $r \in \mathbb{Z}_{\geq 0}$; here and later, C_1, C_2, \dots denote positive constants depending on f, r and ε . Since $L_f^{(j)}(s)$ and $(1/L_f)(s)$ are absolutely convergent for $\operatorname{Re} s > 1$, it follows that

$$(2.13) \quad \left| \frac{R_r}{R_0}(s) \right| = \left| \sum_{j=0}^r a'_j \frac{L_f^{(j)}}{L_f}(s) + \tan(\pi s) \sum_{j=0}^r b'_j \frac{L_f^{(j)}}{L_f}(s) \right| \leq C_2 + C_3 |\tan(\pi s)|$$

for $\operatorname{Re} s \geq 1 + \varepsilon$. Here $\tan(\pi s)$ is estimated as

$$(2.14) \quad |\tan \pi(\sigma + it)| = \left| \frac{e^{-2t} e^{2\pi i \sigma} - 1}{e^{-2t} e^{2\pi i \sigma} + 1} \right| \leq \begin{cases} 2/(1 - e^{-2\varepsilon}) & \text{if } |t| \geq \varepsilon, \\ 3 & \text{if } \sigma \in \mathbb{Z}. \end{cases}$$

Combining (2.10) and (2.12)–(2.14), we see that the right-hand side of (2.11) is estimated as

$$(2.15) \quad \left| \frac{T_m}{S_{m-1}}(s) \right| + \left| \sum_{r=0}^{m-1} \frac{R_r}{R_0}(s) \left(\frac{1}{S_{m-1-r}}(s) + \frac{T_r}{S_{m-1}}(s) \right) \right| \\ \leq \frac{C_4}{|s|} + C_5 |\tan(\pi s)| \sum_{r=0}^{m-1} \left(\frac{1}{(\log |s|)^{m-1-r}} + \frac{1}{|s| (\log |s|)^{m-r}} \right) \leq C_{f,m,\varepsilon}$$

for $|s| > (k-1)/2$ and $\operatorname{Re} s \geq 1 + \varepsilon$ provided $|\operatorname{Im} s| \geq \varepsilon$ or $\operatorname{Re} s \in \mathbb{Z}$, where $C_{f,m,\varepsilon}$ is a positive constant depending on f, m and ε . Choose $r_{f,m,\varepsilon} \in \mathbb{R}_{>(k-1)/2}$ such that $C_{f,m,\varepsilon} < (\log r_{f,m,\varepsilon})/C_1$. The inequalities (2.12) and (2.15) imply that (2.11) is true, that is, $L_f^{(m)}(1-s)$ has no zero for $s \in \mathbb{C}$ such that $|s| \geq r_{f,m,\varepsilon}$, $\operatorname{Re} s \geq 1 + \varepsilon$ and $|\operatorname{Im} s| \geq \varepsilon$. Therefore, we conclude that for any $\varepsilon \in \mathbb{R}_{>0}$ there exists $\delta_{f,m,\varepsilon} \in \mathbb{R}_{>(k-1)/2+1}$ such that $L_f^{(m)}(s)$ has no zero with $|s| \geq \delta_{f,m,\varepsilon}$, $\operatorname{Re} s \leq -\varepsilon$ and $|\operatorname{Im} s| \geq \varepsilon$, that is, the proof of (ii) is complete.

Finally, we show (iii) by applying Rouché's theorem to $f(s)$ and $g(s)$. For $n \in \mathbb{Z}_{\geq 1}$ let D_n be the region where $n \leq \operatorname{Re} s \leq n+1$ and $|\operatorname{Im} s| \leq 1/2$. By (2.14) and (2.15), we see that there exists $\delta_{f,m,1/2} \in \mathbb{R}_{>(k-1)/2}$ such that $|f(s)| > |g(s)|$ on the boundary of D_n and in the region where $|s| > \delta_{f,m,1/2}$ and $\operatorname{Re} s \geq 1 + 1/2$. Then the number of zeros of $f(s)$ is equal to that of

$f(s) + g(s)$ in the interior of D_n . From (2.4), (2.5) and (2.9),

$$(2.16) \quad f(s) = L_f(s) \cos(\pi s) \Gamma\left(s - \frac{k-1}{2}\right) \Gamma\left(s + \frac{k-1}{2}\right) (S_m(s) + T_m(s)).$$

When $R_{f,m}$ is chosen such that $R_{f,m} \geq \delta_{f,m,1/2}$ and $C_6/(R_{f,m} \log R_{f,m}) < 1$, (2.12) gives

$$(2.17) \quad \left| \frac{T_m(s)}{S_m(s)} \right| \leq \frac{C_6}{|s| \log |s|} < 1,$$

that is, $S_m(s) + T_m(s)$ has no zero with $|s| \geq R_{f,m}$. Hence $f(s)$ has the only real zero $s = n + 1/2$ in D_n . It is clear that $f(\bar{s}) = f(s)$ and $\overline{g(s)} = g(\bar{s})$ for $s \in \mathbb{C}$, which implies that $L_f^{(m)}(1-s)$ can only have a real zero in the interior of D_n . Replacing $1-s$ with s , we conclude that there exists $\alpha_{f,m} \in \mathbb{R}_{<-(k-1)/2-1}$ such that $L_f^{(m)}(s)$ has no complex zero in $\text{Re } s < \alpha_{f,m}$ and one real zero in each open interval $(n-1, n)$ for $n \in \mathbb{Z}_{\leq \alpha_{f,m}}$. The proof of (iii) is complete.

3. Proof of Theorem 1.2. Using Theorem 1.1, we can choose $\alpha_{f,m} \in \mathbb{R}_{<-(k-1)/2}$ and $\sigma_{f,m} \in \mathbb{R}_{>1}$ such that $L_f^{(m)}(s)$ has no zeros with $\text{Re } s \leq \alpha_{f,m}$ or $\text{Re } s \geq \sigma_{f,m}$. Moreover, choose $\tau_{f,m} \in \mathbb{R}_{>2}$ and $T \in \mathbb{R}_{>0}$ such that $L_f^{(m)}(s)$ has no zeros with $0 < \text{Im } s \leq \tau_{f,m}$ or $\text{Im } s = T$. Using the residue theorem in the region where $\alpha_{f,m} \leq \text{Re } s \leq \sigma_{f,m}$ and $\tau_{f,m} \leq \text{Im } s \leq T$, we get

$$(3.1) \quad N_{f,m}(T) = \frac{1}{2\pi i} \left(\int_{\alpha_{f,m}+i\tau_{f,m}}^{\sigma_{f,m}+i\tau_{f,m}} + \int_{\sigma_{f,m}+i\tau_{f,m}}^{\sigma_{f,m}+iT} + \int_{\sigma_{f,m}+iT}^{\alpha_{f,m}+iT} + \int_{\alpha_{f,m}+iT}^{\alpha_{f,m}+i\tau_{f,m}} \right) (\log L_f^{(m)}(s))' ds =: I_1 + I_2 + I_3 + I_4.$$

First, it is clear that

$$(3.2) \quad I_1 = \frac{\log L_f^{(m)}(\sigma_{f,m} + i\tau_{f,m}) - \log L_f^{(m)}(\alpha_{f,m} + i\tau_{f,m})}{2\pi i} = O(1).$$

To approximate I_2 , we write $L_f^{(m)}(s) = \lambda_f(n_f)(-\log n_f)^m F(s)n_f^{-s}$ where $F(s)$ is given by (2.1). Using (2.2) we find that $1/2 \leq |F(s)| \leq 3/2$, $\text{Re } F(s) \geq 1/2$ and $|\arg F(s)| < \pi/2$ for $s = \sigma_{f,m} + it$ ($t \in \mathbb{R}$). Hence

$$(3.3) \quad I_2 = \frac{1}{2\pi i} \left[\log \frac{\lambda_f(n_f)(-\log n_f)^m}{n_f^s} + \log F(s) \right]_{\sigma_{f,m}+i\tau_{f,m}}^{\sigma_{f,m}+iT} = \frac{-(\sigma_{f,m} + iT) \log n_f}{2\pi i} + O(1) = -\frac{T}{2\pi} \log n_f + O(1).$$

Next we shall estimate I_3 . By (1.8), the approximate functional equation for $L_f^{(m)}(s)$ (see [15, Theorem 1.2]) and Rankin's result, there exists $A \in \mathbb{R}_{\geq 0}$ such that $L_f^{(m)}(\sigma + it) = O(|t|^A)$ uniformly for $\sigma \in [\alpha_{f,m}, \sigma_{f,m}]$. This implies that

$$(3.4) \quad \begin{aligned} I_3 &= \frac{\log L_f^{(m)}(\alpha_{f,m} + iT) - \log L_f^{(m)}(\sigma_{f,m} + iT)}{2\pi i} \\ &= \frac{\arg L_f^{(m)}(\alpha_{f,m} + iT) - \arg L_f^{(m)}(\sigma_{f,m} + iT)}{2\pi} + O(\log T). \end{aligned}$$

To estimate the first term of the right-hand side, we write $L_f^{(m)}(\sigma + iT) = (-1)^m e^{-iT \log n_f} \lambda_f(n_f) G(\sigma + iT)$ where

$$G(\sigma + iT) = \frac{(\log n_f)^m}{n_f^\sigma} + \frac{1}{\lambda_f(n_f)} \sum_{n=n_f+1}^{\infty} \frac{\lambda_f(n)(\log n)^m}{n^\sigma} e^{iT \log n_f/n}$$

for $\sigma \in \mathbb{R}_{>1}$. Let Q be the number of zeros of $\operatorname{Re} G(s)$ on the line segment $(\alpha_{f,m} + iT, \sigma_{f,m} + iT)$. Divide this line into $Q + 1$ subintervals by these zeros. Then on each subinterval, the sign of $\operatorname{Re} G(s)$ is constant, and the variation of $\arg G(s)$ is at most π . Hence, there exists a constant C such that $\arg G(s) = \arg L_f^{(m)}(s) + C$ on the divided line, and so

$$(3.5) \quad |\arg L_f^{(m)}(\alpha_{f,m} + iT) - \arg L_f^{(m)}(\sigma_{f,m} + iT)| \leq (Q + 1)\pi.$$

In order to estimate Q , let $H(z) = (G(z + iT) + \overline{G(\bar{z} + iT)})/2$. Then we find that

$$(3.6) \quad \begin{aligned} H(\sigma) &= \operatorname{Re} G(\sigma + iT) \\ &= \frac{(\log n_f)^m}{n_f^\sigma} \left(1 + \sum_{n=n_f+1}^{\infty} \frac{\lambda_f(n)}{\lambda_f(n_f)} \left(\frac{\log n}{\log n_f} \right)^m \left(\frac{n_f}{n} \right)^\sigma \cos \left(T \log \frac{n_f}{n} \right) \right) \end{aligned}$$

for $\sigma \in \mathbb{R}_{>1}$. Now (2.2) and (3.6) give

$$(3.7) \quad \frac{1}{2} \frac{(\log n_f)^m}{n_f^{\sigma_{f,m}}} \leq H(\sigma_{f,m}) \leq \frac{3}{2} \frac{(\log n_f)^m}{n_f^{\sigma_{f,m}}}.$$

Take T so large that $T - \tau_{f,m} > 2(\sigma_{f,m} - \alpha_{f,m})$. Since $\operatorname{Im}(z + iT) \geq T - (T - \tau_{f,m}) > 0$ for $z \in \mathbb{C}$ such that $|z - \sigma_{f,m}| < T - \tau_{f,m}$, it follows that $H(z)$ is analytic in the disc $|z - \sigma_{f,m}| < T - \tau_{f,m}$. Note that there exists a positive constant B such that $H(z) = O(T^B)$ in that disc because $L_f(\sigma + it) = O(|t|^A)$. For $u \in \mathbb{R}_{\geq 0}$, let $P(u)$ be the number of zeros of $H(z)$ in $|z - \sigma_{f,m}| \leq u$. Then using the trivial estimate

$$P(\sigma_{f,m} - \alpha_{f,m}) \leq \frac{1}{\log 2} \int_{\sigma_{f,m} - \alpha_{f,m}}^{2(\sigma_{f,m} - \alpha_{f,m})} \frac{P(u)}{u} du \leq \frac{1}{\log 2} \int_0^{2(\sigma_{f,m} - \alpha_{f,m})} \frac{P(u)}{u} du,$$

Jensen's formula (see [13, Chapter 3.61]), the above remark and (3.7), we have

$$\begin{aligned} P(\sigma_{f,m} - \alpha_{f,m}) &\ll \int_0^{2(\sigma_{f,m} - \alpha_{f,m})} \frac{P(u)}{u} du \\ &= \frac{1}{2\pi} \int_0^{2\pi} \log |H(\sigma_{f,m} + 2(\sigma_{f,m} - \alpha_{f,m})e^{i\theta})| d\theta - \log |H(\sigma_{f,m})| \\ &\ll \int_0^{2\pi} \log T^B d\theta + 1 \ll \log T, \end{aligned}$$

Therefore

$$(3.8) \quad Q = \#\{\sigma \in (\alpha_{f,m}, \sigma_{f,m}) \mid F(\sigma) = 0\} \ll P(\sigma_{f,m} - \alpha_{f,m}) \ll \log T.$$

Combining (3.4), (3.5), (3.8), we obtain

$$(3.9) \quad I_3 = O(\log T).$$

Finally, in order to approximate I_4 , we shall obtain an approximate formula for $\log L_f^{(m)}(\alpha_{f,m} + iT)$ as $T \rightarrow \infty$. By the proof of Theorem 1.1, there exists $\delta_{f,m} \in \mathbb{R}_{>0}$ such that

$$(3.10) \quad \left| \frac{g}{f}(1-s) \right| < 1, \quad \left| \frac{T_m}{S_m}(1-s) \right| < 1$$

for $s \in \mathbb{C}$ in the region where $|s - (1 - (k-1)/2)| > \delta_{f,m}$, $\operatorname{Re} s < 1 - (k-1)/2$ and $|\operatorname{Im} s| > 1/2$. Choose $\alpha_{f,m} \in \mathbb{R}_{<0}$ such that $\alpha_{f,m} < 1 - (k-1)/2 - \delta_{f,m}$. Then the path of I_4 is contained in the above region. Replacing s with $1-s$ and taking the logarithm of both sides of (2.5), we obtain

$$\begin{aligned} (3.11) \quad \log L_f^{(m)}(\alpha_{f,m} + iT) &= -2(1 - \alpha_{f,m} - iT) \log 2\pi + \log f(1 - \alpha_{f,m} - iT) \\ &\quad + \log \left(1 + \frac{g}{f}(1 - \alpha_{f,m} - iT) \right) + O(1). \end{aligned}$$

The first inequality of (3.10) gives $|\arg(1 + (g/f)(1 - \alpha_{f,m} - iT))| < \pi/2$ and

$$\begin{aligned} (3.12) \quad \log \left(1 + \frac{g}{f}(1 - \alpha_{f,m} - iT) \right) &\ll \sqrt{\left| 1 + \frac{g}{f}(1 - \alpha_{f,m} - iT) \right|^2 + \left(\arg \left(1 + \frac{g}{f}(1 - \alpha_{f,m} - iT) \right) \right)^2} \ll 1. \end{aligned}$$

By (2.16), the second term of the right-hand side of (3.11) can be written as

$$\begin{aligned}
 (3.13) \quad & \log f(1 - \alpha_{f,m} - iT) \\
 &= \log \Gamma\left(1 - \alpha_{f,m} - \frac{k-1}{2} - iT\right) + \log \Gamma\left(1 - \alpha_{f,m} + \frac{k-1}{2} - iT\right) \\
 &\quad + \log S_m(1 - \alpha_{f,m} - iT) + \log\left(1 + \frac{T_m}{S_m}(1 - \alpha_{f,m} - iT)\right) \\
 &\quad + \log L_f(1 - \alpha_{f,m} - iT) + \log \cos \pi(1 - \alpha_{f,m} - iT).
 \end{aligned}$$

Now it is clear that

$$\begin{aligned}
 \cos(\pi(1 - \alpha_{f,m} - iT)) &= e^{\pi T} e^{i(1 - \alpha_{f,m}) - \log 2} (1 + e^{-2\pi(1 - \alpha_{f,m})i} / e^{2\pi T}), \\
 \log L_f(1 - \alpha_{f,m} - iT) &= \sum_{n=1}^{\infty} \frac{b_f(n)}{n^{1 - \alpha_{f,m} - iT}}
 \end{aligned}$$

where $b_f(n)$ is given by

$$b_f(n) = \begin{cases} (\alpha_f(p)^r + \beta_f(p)^r)/r, & n = p^r, \\ 0, & \text{otherwise,} \end{cases}$$

and $\alpha_f(p)$, $\beta_f(p)$ are given by (1.1). Hence for the last two terms of the right-hand side of (3.13) we have

$$(3.14) \quad \log L_f(1 - \alpha_{f,m} - iT) + \log \cos(\pi(1 - \alpha_{f,m} - iT)) = \pi T + O(1).$$

By a similar discussion to (3.12), the fourth term of the right-hand side of (3.13) is estimated as

$$(3.15) \quad \log\left(1 + \frac{T_m}{S_m}(1 - \alpha_{f,m} - iT)\right) \ll 1.$$

The trivial formula

$$\log\left(1 - \alpha_{f,m} \pm \frac{k-1}{2} - iT\right) = \log T - (\pi/2)i + O(1/T)$$

shows that the third term of the right-hand side of (3.13) is approximated as

$$\begin{aligned}
 (3.16) \quad & \log S_m(1 - \alpha_{f,m} - iT) \\
 &= m \log\left(\log\left(1 - \alpha_{f,m} - \frac{k-1}{2} - iT\right) + \log\left(1 - \alpha_{f,m} + \frac{k-1}{2} - iT\right)\right) \\
 &= m \log \log T + O(1).
 \end{aligned}$$

Using Stirling's formula

$$\log \Gamma(s) = (s - 1/2) \log s - s + \log \sqrt{2\pi} + O(1/|s|)$$

and the approximate formula for $\log(1 - \alpha_{f,m} \pm (k - 1)/2 - iT)$, we approximate the sum of the first two terms of the right-hand side of (3.13) as

$$\begin{aligned}
 (3.17) \quad & \log \Gamma\left(1 - \alpha_{f,m} + \frac{k-1}{2} - iT\right) + \log \Gamma\left(1 - \alpha_{f,m} - \frac{k-1}{2} - iT\right) \\
 &= (1 - 2\alpha_{f,m} - 2iT)(\log T - (\pi/2)i + O(1/T)) - 2(1 - \alpha_{f,m} - iT) + O(1) \\
 &= -2iT \log(T/e) - \pi T + (1 - 2\alpha_{f,m}) \log T + O(1).
 \end{aligned}$$

Combining (3.11)–(3.17), we obtain the desired approximate formula

$$\log L_f^{(m)}(\alpha_{f,m} + iT) = -2iT \log \frac{T}{2\pi e} + O(\log T),$$

which implies that

$$(3.18) \quad I_4 = \frac{T}{\pi} \log \frac{T}{2\pi e} + O(\log T).$$

By (3.2), (3.3), (3.9) and (3.18), the proof of Theorem 1.2 is complete.

4. Proof of Theorem 1.3. Write $L_f^{(m)}(s) = \lambda_f(n_f)(-\log n_f)^m F(s)/n_f^s$ where $F(s)$ is given by (2.1). By the proof of Theorem 1.1, we can choose $\sigma_{f,m} \in \mathbb{R}_{>1}$ such that $L_f(s)$ has no zero with $\text{Re } s > \sigma_{f,m}$, and

$$\sum_{n=n_f+1}^{\infty} \left| \frac{\lambda_f(n)}{\lambda_f(n_f)} \right| \left(\frac{\log n}{\log n_f} \right)^m \left(\frac{n_f}{n} \right)^{\sigma_{f,m}/2} \leq \frac{1}{2}.$$

Note that (2.2) and the above inequality give

$$\begin{aligned}
 (4.1) \quad |F(s) - 1| &\leq \sum_{n=n_f+1}^{\infty} \left| \frac{\lambda_f(n)}{\lambda_f(n_f)} \right| \left(\frac{\log n}{\log n_f} \right)^m \left(\frac{n_f}{n} \right)^{\sigma_{f,m}/2 + \sigma/2} \\
 &\leq \frac{1}{2} \left(\frac{n_f}{n_f + 1} \right)^{\sigma/2}
 \end{aligned}$$

for $\text{Re } s \geq \sigma_{f,m}$. Applying Littlewood’s formula (see [13, Chapter 3.8]) to $F(s)$, we obtain

$$\begin{aligned}
 (4.2) \quad 2\pi \sum_{\substack{F(\rho)=0 \\ \sigma \leq \text{Re } \rho \leq \sigma_{f,m} \\ 1 \leq \text{Im } \rho \leq T}} (\text{Re } \rho - \sigma) &= \int_1^T \log |F(\sigma + it)| dt - \int_1^T \log |F(\sigma_{f,m} + it)| dt \\
 &\quad + \int_{\sigma}^{\sigma_{f,m}} \arg F(u + iT) dt - \int_{\sigma}^{\sigma_{f,m}} \arg F(u + i) dt \\
 &=: I_1 + I_2 + I_3 + I_4
 \end{aligned}$$

for $\sigma \in \mathbb{R}_{>1/2}$. We first estimate I_2 . Cauchy's theorem gives

$$(4.3) \quad I_2 = \int_1^T \log |F(v+it)| dt + \int_{\sigma_{f,m}}^v \log |F(u+i)| du - \int_{\sigma_{f,m}}^v \log |F(u+iT)| du$$

for all $v > \sigma_{f,m}$. The facts that $\log |X| \leq |X - 1|$ for $X \in \mathbb{C}$ and $-\log |Y| \leq 2|Y - 1|$ for $Y \in \mathbb{C}$ satisfying $|Y| \geq 1/2$, and (4.1), imply that

$$(4.4) \quad \int_1^T \log |F(v+it)| dt \leq \frac{T-1}{2} \left(\frac{n_f}{n_f+1} \right)^{v/2}$$

and

$$(4.5) \quad \int_{\sigma_{f,m}}^v \log |F(u+i)| du - \int_{\sigma_{f,m}}^v \log |F(u+iT)| du \ll \int_{\sigma_{f,m}}^v \left(\frac{n_f}{n_f+1} \right)^{u/2} du \ll 1.$$

Combining (4.3)–(4.5) we get

$$(4.6) \quad I_2 = O(1).$$

Following the estimation of I_3 in the proof of Theorem 1.2, we obtain

$$(4.7) \quad I_3 + I_4 = O(\log T).$$

To estimate I_1 , we calculate

$$(4.8) \quad I_1 = \frac{T-1}{2} \log \frac{n_f^{2\sigma}}{|\lambda_f(n_f)|^2 (\log n_f)^{2m}} + \frac{1}{2} \int_1^T \log |L_f^{(m)}(\sigma+it)|^2 dt.$$

Jensen's inequality gives

$$(4.9) \quad \int_1^T \log |L_f^{(m)}(\sigma+it)|^2 dt \leq (T-1) \log \left(\frac{1}{T-1} \int_1^T |L_f^{(m)}(\sigma+it)|^2 dt \right).$$

Combining (4.2) and (4.6)–(4.9), we obtain

$$(4.10) \quad \sum_{\substack{F(\rho)=0 \\ \sigma \leq \operatorname{Re} \rho \leq \sigma_{f,m} \\ 1 \leq \operatorname{Im} \rho \leq T}} (\operatorname{Re} \rho - \sigma) \leq \frac{T-1}{4\pi} \log \left(\frac{1}{T-1} \int_1^T |L_f^{(m)}(\sigma+it)|^2 dt \right) + \frac{T-1}{4\pi} \log \frac{n_f^{2\sigma}}{|\lambda_f(n_f)|^2 (\log n_f)^{2m}} + O(\log T).$$

First, we consider the mean square of $L_f^{(m)}(s)$ for $\operatorname{Re} s > 1$. We calculate as follows:

$$\begin{aligned}
 (4.11) \quad & \int_1^T |L_f^{(m)}(\sigma + it)|^2 dt \\
 &= \sum_{n_1, n_2=1}^{\infty} \frac{\overline{\lambda_f(n_1)} \lambda_f(n_2) (\log n_1)^m (\log n_2)^m}{(n_1 n_2)^\sigma} \int_{\max\{n_1, n_2\}}^T \left(\frac{n_1}{n_2}\right)^{it} dt \\
 &= (T-1) \sum_{n=1}^{\infty} \frac{|\lambda_f(n)|^2 (\log n)^{2m}}{n^{2\sigma}} \\
 &\quad + \frac{1}{i} \sum_{\substack{n_1, n_2=1 \\ n_1 \neq n_2}}^{\infty} \frac{\overline{\lambda_f(n_1) n_1^{-iT}} \lambda_f(n_2) n_2^{-iT} (\log n_1)^m (\log n_2)^m}{(n_1 n_2)^\sigma \log(n_1/n_2)} \\
 &\quad - \frac{1}{i} \sum_{\substack{n_1, n_2=1 \\ n_1 \neq n_2}}^{\infty} \frac{\overline{\lambda_f(n_1) n_1^{-i \max\{n_1, n_2\}}} \lambda_f(n_2) n_2^{-i \max\{n_1, n_2\}} (\log n_1)^m (\log n_2)^m}{(n_1 n_2)^\sigma \log(n_1/n_2)}.
 \end{aligned}$$

By the same discussion for $U_\sigma(x)$ with

$$\begin{aligned}
 (\alpha_{n_1}, \beta_{n_2}) &= (\lambda_f(n_1) n_1^{-iT} (\log n_1)^m, \lambda_f(n_2) n_2^{-iT} (\log n_2)^m) \quad \text{or} \\
 &(\lambda_f(n_1) n_1^{-i \max\{n_1, n_2\}} (\log n_1)^m, \lambda_f(n_2) n_2^{-i \max\{n_1, n_2\}} (\log n_2)^m)
 \end{aligned}$$

in [3, p. 348, Lemma 6], we find that the second and third terms on the right-hand side of (4.11) are $O(1)$ uniformly for $\sigma > 1$. Hence, for $\sigma > 1$,

$$(4.12) \quad \int_1^T |L_f^{(m)}(\sigma + it)|^2 dt = (T-1) \sum_{n=1}^{\infty} \frac{|\lambda_f(n)|^2 (\log n)^{2m}}{n^{2\sigma}} + O(1).$$

Next the mean square of $L_f^{(m)}(s)$ for $1/2 < \text{Re } s \leq 1$ is obtained as follows:

LEMMA 4.1 ([15, Theorem 1.3]). *For any $m \in \mathbb{Z}_{\geq 0}$ and $T > 0$, we have*

$$(4.13) \quad \int_1^T |L_f^{(m)}(\sigma + it)|^2 dt = \begin{cases} (T-1) \sum_{n=1}^{\infty} \frac{|\lambda_f(n)|^2 (\log n)^{2m}}{n^{2\sigma}} + O(T^{2(1-\sigma)} (\log T)^{2m}), & 1/2 < \sigma < 1, \\ (T-1) \sum_{n=1}^{\infty} \frac{|\lambda_f(n)|^2 (\log n)^{2m}}{n^{2\sigma}} + O((\log T)^{2m+2}), & \sigma = 1. \end{cases}$$

Using Rankin's result mentioned in Introduction and the fact that

$$\int_{n_f}^{\infty} \frac{(\log u)^{2m}}{u^{2\sigma}} du = \frac{(2m)! n_f^{1-2\sigma}}{(2\sigma-1)^{2m+1}} \sum_{j=0}^{2m} \frac{(\log n_f)^j (2\sigma-1)^j}{j!},$$

which is obtained by induction and integration by parts, we find that the series in the main term of (4.13) is approximated as

$$\begin{aligned}
 (4.14) \quad & \sum_{n=1}^{\infty} \frac{|\lambda_f(n)|^2 (\log n)^{2m}}{n^{2\sigma}} \\
 &= - \int_{n_f}^{\infty} \left(\frac{(\log u)^{2m}}{u^{2\sigma}} \right)' \sum_{n_f < n \leq u} |\lambda_f(n)|^2 du \\
 &= - \frac{C_f (\log n_f)^{2m}}{n_f^{2\sigma-1}} + C_f \int_{n_f}^{\infty} \frac{(\log u)^{2m}}{u^{2\sigma}} du + O\left(\int_{n_f}^{\infty} \frac{(\log u)^{2m}}{u^{2\sigma+2/5}} du \right) \\
 &= \frac{(2m)! n_f C_f}{n_f^{2\sigma}} \frac{1}{(2\sigma-1)^{2m+1}} + O\left(\frac{1}{(2\sigma-1)^{2m}} \right)
 \end{aligned}$$

as $\sigma \rightarrow 1/2 + 0$. From (4.10)–(4.14), the following approximate formula is obtained:

$$\begin{aligned}
 (4.15) \quad & \sum_{\substack{F(\rho)=0, \\ \sigma \leq \text{Re } \rho \leq \sigma_{f,m} \\ 1 \leq \text{Im } \rho \leq T}} (\text{Re } \rho - \sigma) \\
 &\leq \frac{(2m+1)(T-1)}{4\pi} \log \frac{1}{2\sigma-1} + \frac{T-1}{4\pi} \log \frac{(2m)! n_f C_f}{|\lambda_f(n_f)|^2 (\log n_f)^{2m}} + O(\log T) \\
 &+ \frac{T-1}{4\pi} \begin{cases} \log \left(1 + O\left(\frac{(2\sigma-1)^{2m+1} (\log T)^{2m}}{T^{2\sigma-1}} \right) \right), & 1/2 < \sigma < 1, \\ \log \left(1 + O\left(\frac{(2\sigma-1)^{2m+1} (\log T)^{2m+2}}{T} \right) \right), & \sigma = 1, \\ \log \left(1 + O\left(\frac{(2\sigma-1)^{2m+1}}{T} \right) \right), & \sigma > 1. \end{cases}
 \end{aligned}$$

Finally, we shall give an upper bound of $N_{f,m}(\sigma, T)$. Since $N_{f,m}(\sigma, T)$ is decreasing with respect to σ , it follows that

$$\begin{aligned}
 (4.16) \quad & N_{f,m}(\sigma, T) = N_{f,m}(\sigma, T) - N_{f,m}(\sigma, 1) + C \\
 &\leq \frac{1}{\sigma - \sigma_1} \int_{\sigma_1}^{\sigma_{f,m}} (N_{f,m}(u, T) - N_{f,m}(u, 1)) du + C,
 \end{aligned}$$

where we set $\sigma_1 = 1/2 + (\sigma - 1/2)/2$. Note that $\sigma - \sigma_1 = (\sigma - 1/2)/2$, so $2\sigma_1 - 1 = \sigma - 1/2$. Since the number of zeros of $F_m(s)$ is equal to that of $L_f^{(m)}(s)$, it follows that

$$\begin{aligned}
 (4.17) \quad & \int_{\sigma_1}^{\sigma_{f,m}} (N_{f,m}(u, T) - N_{f,m}(u, 1)) du \\
 &= \int_{\sigma_1}^{\sigma_{f,m}} \sum_{\substack{F(\rho)=0 \\ u \leq \operatorname{Re} \rho \leq \sigma_{f,m} \\ 1 \leq \operatorname{Im} \rho \leq T}} 1 du = \sum_{\substack{F(\rho)=0 \\ \sigma_1 \leq \operatorname{Re} \rho \leq \sigma_{f,m} \\ 1 \leq \operatorname{Im} \rho \leq T}} \int_{\sigma_1}^{\operatorname{Re} \rho} 1 du \\
 &= \sum_{\substack{F(\rho)=0 \\ \sigma_1 \leq \operatorname{Re} \rho \leq \sigma_{f,m} \\ 1 \leq \operatorname{Im} \rho \leq T}} (\operatorname{Re} \rho - \sigma_1).
 \end{aligned}$$

Combining (4.15)–(4.17) we obtain (1.10) and (1.9). Hence the proof of Theorem 1.3 is complete.

References

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