On q-asymptotics for some q-difference-differential equations with Fuchsian and irregular singularities

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Introduction

A class of *q*-difference-differential equations Study of the transformed problem Back to our problem

Notations Preliminary results for PDE's by S. Malek

Notations

 $\mathbb{N} = \{0, 1, 2, ...\}$ $\mathbb{C} [[z]]$ Formal complex power series $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$

D(0,r) denotes the open disc with center 0 and radius r > 0.

Given $V \subset \mathbb{C}$ and $q \in \mathbb{C}$, we consider q-spirals

 $Vq^{\mathbb{Z}} = \{vq^h : v \in V, \ h \in \mathbb{Z}\}, \quad Vq^{\mathbb{N}} = \{vq^h : v \in V, \ h \in \mathbb{N}\}.$

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Notations Preliminary results for PDE's by S. Malek

Cauchy problem for some PDE's, by S. Malek

S. Malek studied PDE's of the form

$$t^{2r_2}\partial_t^{r_2}(z\partial_z)^{r_1}\partial_z^S u(t,z) = F(t,z,\partial_t,\partial_z)u(t,z)$$
(1)

where $S, r_1, r_2 \in \mathbb{N}$ and F is some suitable differential operator with polynomial coefficients.

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where $S, r_1, r_2 \in \mathbb{N}$ and F is some suitable differential operator with polynomial coefficients.

For given initial data

$$(\partial_z^j \hat{u})(t,0) = \hat{u}_j(t) \in \mathbb{C}[[t]],$$
(2)

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 $0 \leq j \leq S-1,$ he constructed formal power series solutions of (1)+(2) of the form

$$\hat{u}(t,z) = \sum_{m \ge 0} \hat{u}_m(t) z^m / m!,$$

with coefficients in $\mathbb{C}[[t]]$.

Notations Preliminary results for PDE's by S. Malek

Summability for $r_1 = 0$, Gevrey expansion for $r_1 > 0$

Assume the initial conditions are 1–Borel summable with respect to t in some direction $d \in \mathbb{R}$.

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Summability for $r_1 = 0$, Gevrey expansion for $r_1 > 0$

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For $r_1 = 0$, it was shown in [S. Malek, J. Dyn. Control Syst. 13 (2007), no. 3, 419–449] that the formal series solution $\hat{u}(t, z)$ is 1–Borel summable with respect to t in the direction d if d is well chosen, as series with coefficients in the Banach space of holomorphic functions near the origin (in z) with the supremum norm.

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For $r_1 \neq 0$, in [S. Malek, J. Dyn. Control Syst. 15 (2009), no. 2, 277–305] it was shown the existence of actual holomorphic solutions u(t, z) which are Gevrey asymptotic of order larger than 1 to $\hat{u}(t, z)$ with respect to t in sectors with finite radius in well chosen directions $d \in \mathbb{R}$.

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Reason: presence of small divisors introduced by the Fuchsian operator $(z\partial_z)^{r_1}$.

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Setting of the problem Equivalent problem

Analogue for q-difference-differential equations

As a q-analogue of (1)+(2), we consider the q-difference-differential equation

$$((z\partial_z+1)^{r_1}(t\sigma_q)^{r_2}+1)\partial_z^S \hat{X}(t,z) = \sum_{k=0}^{S-1} b_k(z)(t\sigma_q)^{m_{0,k}} (\partial_z^k \hat{X})(t,zq^{-m_{1,k}})$$

with given initial conditions

$$(\partial_z^j \hat{X})(t,0) = \hat{X}_j(t) \in \mathbb{C}[[t]].$$

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with given initial conditions

$$(\partial_z^j \hat{X})(t,0) = \hat{X}_j(t) \in \mathbb{C}[[t]].$$

S and $m_{0,k},m_{1,k},~0\leq k\leq S-1,$ are nonnegative integers,

 $q\in\mathbb{C}$ with |q|>1,

 σ_q is the dilation operator defined by $(\sigma_q \hat{X})(t,z) = \hat{X}(qt,z)$,

 $b_k(z) = \sum_{s \in I_k} b_{ks} z^s$ are polynomial in z, where $I_k \subset \mathbb{N}$, the map $(t, z) \mapsto (q^{m_{0,k}} t, zq^{-m_{1,k}})$ is volume shrinking, i.e., $m_{0,k} < m_{1,k}$, and $r_2 \ge 1$, while $r_1 \ge 0$.

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This problem will be called (P1).

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Setting of the problem Equivalent problem

Formal solution

Proposition

(P1) has a unique formal power series solution of the form

$$\hat{X}(t,z) = \sum_{h \ge 0} \hat{X}_h(t) \frac{z^h}{h!},$$

where $\hat{X}_h(t) \in \mathbb{C}[[t]]$, $h \ge 0$.

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Setting of the problem Equivalent problem

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(P1) has a unique formal power series solution of the form

$$\hat{X}(t,z) = \sum_{h>0} \hat{X}_h(t) \frac{z^h}{h!},$$

where $\hat{X}_h(t) \in \mathbb{C}[[t]], h \ge 0.$

Objective: to construct actual holomorphic solutions of this problem that are asymptotically represented by $\hat{X}(t,z)$ in a precise sense.

Setting of the problem Equivalent problem

Formal q-Laplace and q-Borel transforms

Definition

The formal q-Borel transform of order 1 of a series $\hat{f}(t) = \sum_{n \ge 0} f_n t^n \in \mathbb{C}[[t]]$ is defined as

$$\hat{\mathcal{B}}_q \hat{f}(\tau) = \sum_{n \ge 0} \frac{f_n}{q^{n(n-1)/2}} \tau^n.$$

Definition

The formal $q-\text{Laplace transform of order 1 of }\hat{g}(\tau)=\sum_{n\geq 0}g_n\tau^n\in\mathbb{C}[[\tau]]$ is defined as

$$\hat{\mathcal{L}}_q \hat{g}(t) = \sum_{n \ge 0} q^{n(n-1)/2} g_n t^n.$$

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Setting of the problem Equivalent problem

Transformed problem

$$((z\partial_z+1)^{r_1}(t\sigma_q)^{r_2}+1)\partial_z^S \hat{X}(t,z) = \sum_{k=0}^{S-1} b_k(z)(t\sigma_q)^{m_{0,k}}(\partial_z^k \hat{X})(t,zq^{-m_{1,k}})$$

$$\hat{X}(t,z) = \hat{\mathcal{L}}_q(\hat{W})(\tau,z) \quad \uparrow \quad \hat{\mathcal{L}}_q(\tau\hat{W})(t) = t\hat{\mathcal{L}}_q\hat{W}(qt) = (t\sigma_q)\hat{\mathcal{L}}_q\hat{W}(t)$$

$$((z\partial_z+1)^{r_1}\tau^{r_2}+1)\partial_z^S\hat{W}(\tau,z) = \sum_{k=0}^{S-1} b_k(z)\tau^{m_{0,k}}(\partial_z^k\hat{W})(\tau,zq^{-m_{1,k}})$$
(3)

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Setting of the problem Equivalent problem

Equivalence of the formal problems

$$\hat{X}(t,z) = \sum_{h\geq 0} \hat{X}_h(t) \frac{z^h}{h!}, \text{ where } \hat{X}_h \in \mathbb{C}[[t]] \text{ for every } h \geq 0, \text{ satisfies } (P1) \iff \hat{W}(\tau,z) = \sum_{h\geq 0} \hat{\mathcal{B}}_q \hat{X}_h(\tau) \frac{z^h}{h!} \text{ satisfies (3) with initial conditions}$$
$$\hat{W}_j(\tau) = \hat{\mathcal{B}}_q \hat{X}_j, \ 0 \leq j \leq S - 1.$$
(4)

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Setting of the problem Equivalent problem

Equivalence of the formal problems

$$\hat{X}(t,z) = \sum_{h\geq 0} \hat{X}_{h}(t) \frac{z^{h}}{h!}, \text{ where } \hat{X}_{h} \in \mathbb{C}[[t]] \text{ for every } h \geq 0, \text{ satisfies } (P1) \iff \\ \hat{W}(\tau,z) = \sum_{h\geq 0} \hat{\mathcal{B}}_{q} \hat{X}_{h}(\tau) \frac{z^{h}}{h!} \text{ satisfies (3) with initial conditions} \\ \hat{W}_{j}(\tau) = \hat{\mathcal{B}}_{q} \hat{X}_{j}, \ 0 \leq j \leq S-1.$$

(3)+(4) will be called (P2).

In other words:

$$\begin{split} \hat{W}(\tau,z) &= \sum_{h\geq 0} \hat{W}_h(\tau) \frac{z^h}{h!}, \text{ with } \hat{W}_h \in \mathbb{C}[[\tau]] \text{ for every } h \geq 0, \text{ satisfies } (P2) \\ \iff \hat{X}(t,z) &= \sum_{h\geq 0} \hat{\mathcal{L}}_q \hat{W}_h(t) \frac{z^h}{h!} \text{ satisfies } (P1) \text{ with } \hat{X}_j(t) = \hat{\mathcal{L}}_q \hat{W}_j(t) \text{ for } \\ 0 &\leq j \leq S-1. \end{split}$$

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Assumptions

Existence of the solution, and growth of its coefficients, in a $q-{\rm spiral}$ Estimates for the derivatives of the coefficients near the origin

Assumptions on the initial conditions

Under certain technical assumptions on q and V, we suppose the initial data W_j , $0 \le j \le S - 1$ are holomorphic in $Vq^{\mathbb{Z}}$ such that: there exists a constant $K_0 > 0$ with

$$\sup_{x \in V} |W_j(xq^l)| \le K_0 |q|^{\frac{1}{4}l^2} (\frac{1}{T_{0,j}})^l \frac{1}{1+l^2}$$

and

$$\sup_{x \in V} |W_j(xq^{-l})| \le K_0(T_{0,j})^l \frac{1}{1+l^2}$$

for all $0 \le j \le S - 1$, all $l \ge 0$.

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The first inequalities express the property of W_j being of q-exponential growth on $Vq^{\mathbb{N}}$ (with order 2).

Assumptions Existence of the solution, and growth of its coefficients, in a q-spiral

Estimates for the derivatives of the coefficients near the origin

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q-growth of the coefficients in $Vq^{\mathbb{N}}$

Theorem

There exists a unique solution of (P2)

$$(\tau, z) \mapsto W(\tau, z) = \sum_{h \ge 0} W_h(\tau) \frac{z^h}{h!}$$

which is holomorphic on $Vq^{\mathbb{Z}} \times \mathbb{C}$.

Moreover, for all $\rho > 0$, there exist C, T > 0 (depending on the data) such that

$$\sup_{x \in V, z \in D(0,\rho)} |W(xq^l, z)| \le CK_0 |q|^{\frac{1}{2}l^2} (\frac{1}{T})^l,$$

and

$$\sup_{z \in V, z \in D(0,\rho)} |W(xq^{-l}, z)| \le CK_0 T^l$$

for all $l \geq 0$.

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Assumptions

In the Cauchy problem (P2) we consider initial conditions W_j which are holomorphic functions respectively defined in open sets containing the closed disc

$$\overline{D}_j = \{\tau : |\tau| \le 1/(2(j+1)^{r_1/r_2})\}$$

for $0 \le j \le S-1$ (for the sake of brevity, we say that W_j is holomorphic in \overline{D}_j).

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for $0 \le j \le S-1$ (for the sake of brevity, we say that W_j is holomorphic in \overline{D}_j).

Cauchy's integral formula for the derivatives allows us to obtain constants $A_j>0$ such that for every $n\geq 0$ we have

$$\max_{\tau\in\overline{D}_j}|\partial^n W_j(\tau)| \le A_j^n n!.$$

Assumptions Existence of the solution, and growth of its coefficients, in a $q-{\rm spiral}$ Estimates for the derivatives of the coefficients near the origin

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Result

Theorem

Suppose $W_j(\tau)$, $0 \le j \le S - 1$, are holomorphic functions in \overline{D}_j such that there exist $T_{0,j} > 0$ and a constant K > 0 such that

$$\max_{\tau \in \overline{D}_j} |\partial^n W_j(\tau)| \le K \left(\frac{1}{T_{0,j}}\right)^n \frac{n!}{1+n^2}, \quad n \ge 0, \ j = 0, 1, ..., S - 1.$$

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Then there exists a unique formal solution of (P2), $W(\tau, z) = \sum_{h\geq 0} W_h(\tau) \frac{z^n}{h!}$, where W_h is a holomorphic function in $\overline{D}_h = \{\tau : |\tau| \leq 1/(2(h+1)^{r_1/r_2})\}$, $h \geq S$.

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Moreover, there exist $T_1, X_1 > 0$ such that

$$\sup_{\tau\in\overline{D}_h} |\partial^n W_h(\tau)| \le C_1 \Big(\frac{1}{T_1}\Big)^n \Big(\frac{1}{X_1}\Big)^h n! h! (h+1)^{r_1 n/r_2} |q|^{-h^2/2},$$

for every $n, h \ge 0$, where C_1 is a positive constant (depending on $S,q,b_k(z),m_{1,k}$, for $0 \le k \le S-1$ and $T_{0,j}$, for $0 \le j \le S-1$).

 $q-{\sf Laplace}$ transform for functions of $q-{\sf exponential}$ growth $q-{\sf Laplace}$ transform for the coefficients Main result

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What we know about (P2)

Let W_h be the initial data in the Cauchy problem (P2), and suppose they are subject to the hypotheses in the previous Theorems. Then, we have a sequence of functions $\{W_h\}_{h\geq 0}$, holomorphic in $Vq^{\mathbb{Z}} \cup D_h$ for each $h \geq 0$, and such that the series

$$W(\tau, z) = \sum_{h \ge 0} W_h(\tau) \frac{z^h}{h!}$$

defines a holomorphic function on $Vq^{\mathbb{Z}}\times \mathbb{C}$ which solves the Cauchy problem.

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defines a holomorphic function on $Vq^{\mathbb{Z}} \times \mathbb{C}$ which solves the Cauchy problem.

Moreover, we know that

$$\sup_{x \in V} |W_h(xq^l)| \le K_0 C' |q|^{\frac{l^2}{2}} |q|^{\frac{-h^2}{4}} h! (\frac{1}{T})^l (\frac{1}{X})^h$$

for all $l, h \ge 0$.

 $q-{\sf Laplace}$ transform for functions of $q-{\sf exponential}$ growth $q-{\sf Laplace}$ transform for the coefficients Main result

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q-Laplace transform for functions of q-exponential growth I

Let $q \in \mathbb{C}$ such that |q| > 1.

Let V be an open and bounded set in \mathbb{C}^* and $D(0, \rho_0)$ a disc such that $V \cap D(0, \rho_0) \neq \emptyset$.

Let $\phi: Vq^{\mathbb{N}} \cup D(0, \rho_0) \to \mathbb{C}$ be a holomorphic function which satisfies the following estimates: there exist C, M > 0 such that

$$|\phi(xq^m)| \le M|q|^{m^2/2}C^m$$

for all $m \ge 0$, all $x \in V$.

 ϕ is said to have q-exponential growth of order 1 in $Vq^{\mathbb{N}}$.

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Let Θ be the Theta Jacobi function defined in \mathbb{C}^* by

$$\Theta(x) = \sum_{n \in \mathbb{Z}} q^{-n(n-1)/2} x^n.$$

 $q-{\sf Laplace}$ transform for functions of $q-{\sf exponential}$ growth $q-{\sf Laplace}$ transform for the coefficients Main result

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q-Laplace transform for functions of q-exponential growth II

Let $\delta > 0$ and $\lambda \in V \cap D(0, \rho_0)$. We denote by

$$\mathcal{R}_{\lambda,q,\delta} = \{ t \in \mathbb{C}^* / | 1 + \frac{\lambda}{tq^k} | > \delta, \forall k \in \mathbb{Z} \}, \quad \mathcal{T}_{\lambda,q,\delta,r_1} = \mathcal{R}_{\lambda,q,\delta} \cap D(0,r_1).$$

 $q-{\sf Laplace}$ transform for functions of $q-{\sf exponential}$ growth $q-{\sf Laplace}$ transform for the coefficients Main result

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The q-Laplace transform of ϕ in the direction $\lambda q^{\mathbb{Z}}$ is defined by

$$\mathcal{L}_q^{\lambda}(\phi)(t) := \sum_{m \in \mathbb{Z}} \phi(q^m \lambda) / \Theta(\frac{q^m \lambda}{t})$$

for all $t \in \mathcal{T}_{\lambda,q,\delta,r_1}$, if $r_1 < |\lambda q^{1/2}|/C$.

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for all $t \in \mathcal{T}_{\lambda,q,\delta,r_1}$, if $r_1 < |\lambda q^{1/2}|/C$.

 $\mathcal{L}_{q}^{\lambda}(\phi)(t)$ defines a bounded holomorphic function on $\mathcal{T}_{\lambda,q,\delta,r_{1}}$ whenever $r_{1} < |\lambda q^{1/2}|/C$.

 $q-{\sf Laplace}$ transform for functions of $q-{\sf exponential}$ growth $q-{\sf Laplace}$ transform for the coefficients Main result

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q-Laplace transform for functions of q-exponential growth III

Assume that the function ϕ has the following Taylor expansion

$$\phi(\tau) = \sum_{n \ge 0} \frac{f_n}{q^{n(n-1)/2}} \tau^n$$

on $D(0, \rho_0)$, where $f_n \in \mathbb{C}$, $n \ge 0$.

Then, there exist two constants D, B > 0 such that

$$|\mathcal{L}_{q}^{\lambda}(\phi)(t) - \sum_{m=0}^{n-1} f_{m}t^{m}| \le DB^{n}|q|^{n(n-1)/2}|t|^{n}$$

for all $n \ge 1$, for all $t \in \mathcal{T}_{\lambda,q,\delta,r_1}$.

 $\mathcal{L}^\lambda_q(\phi)$ admits the series $\sum_{m=0}^\infty f_m t^m$ as q-Gevrey asymptotic expansion of order 1.

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q-Laplace transform for the coefficients of our solution

Every W_h verifies suitable estimates so as to admit q-Laplace transform.

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$$\mathcal{L}_{q}^{\lambda q^{n(h)}}(W_{h})(t) = \sum_{m \in \mathbb{Z}} \frac{W_{h}(q^{m}\lambda q^{n(h)})}{\Theta(\frac{q^{m}\lambda q^{n(h)}}{t})} = \sum_{m \in \mathbb{Z}} \frac{W_{h}(q^{m}\lambda)}{\Theta(\frac{q^{m}\lambda}{t})},$$

so that it deserves to be denoted by $\mathcal{L}_q^{\lambda}(W_h)(t)$.

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This function is well defined and holomorphic in the set $\mathcal{T}_{\lambda q^{n(h)},q,\delta,r(h)} \equiv \mathcal{T}_{\lambda,q,\delta,r(h)}$, whenever $r(h) < |\lambda q^{n(h)}q^{1/2}|T$.

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Objective: Show that these radii r(h) can be taken independent of h, equal to $r_0 = |\lambda q^{1/2}|T/|q| = |\lambda q^{-1/2}|T$ for every $h \ge 0$, and obtain precise estimates for the corresponding q-asymptotic expansions.

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Uniform q-asymptotic expansion for the coefficients

Let us assume that the function W_h has the following Taylor expansion at 0,

$$W_h(\tau) = \sum_{n \ge 0} \frac{f_{n,h}}{q^{n(n-1)/2}} \tau^n,$$
(5)

where $f_{n,h} \in \mathbb{C}$, $n,h \ge 0$, and $\tau \in \overline{D}_h$.

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Proposition

There exist constants B(h), D(h) > 0 such that

$$|\mathcal{L}_{q}^{\lambda}(W_{h})(t) - \sum_{m=0}^{n-1} f_{m,h}t^{m}| \le D(h)B(h)^{n}|q|^{n(n-1)/2}|t|^{n}$$
(6)

for all $n \geq 1$, for all $t \in \mathcal{T}_{\lambda,q,\delta,r_0}$.

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for all $n \geq 1$, for all $t \in \mathcal{T}_{\lambda,q,\delta,r_0}$.

 $B(h) = A_1(h+1)^{r_1/r_2}, \qquad D(h) = A_2(h+1)^{r_1/r_2} h! A_3^h |q|^{-h^2/4}$

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Conditions for our main result

Suppose $\hat{X}_j(t) = \sum_{m \ge 0} f_{m,j}t^m \in \mathbb{C}[[t]]$, $0 \le j \le S - 1$, are given initial conditions for the Cauchy problem (P1), and let

$$\hat{X}(t,z) = \sum_{h \ge 0} \hat{X}_h(t) \frac{z^h}{h!} = \sum_{h \ge 0} \sum_{m \ge 0} f_{m,h} t^m \frac{z^h}{h!}$$

be the only formal series solution of the problem.

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Suppose $\hat{X}_j(t)$, $0 \le j \le S - 1$, are q-Gevrey of order 1, and that their formal q-Borel transforms of order 1, $W_j(\tau) = \hat{\mathcal{B}}_q \hat{X}_j(\tau)$, which are holomorphic functions around 0, satisfy the assumptions of the Theorems for (P2).

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Let

$$W(\tau, z) = \sum_{h \ge 0} W_h(\tau) \frac{z^h}{h!}$$

be the solution of the Cauchy problem (P2), corresponding to the initial conditions W_i , $0 \le j \le S - 1$.

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Main result

Theorem

1) The function
$$X(t,z) = \sum_{h \ge 0} \mathcal{L}_q^{\lambda}(W_h)(t) \frac{z^h}{h!}$$
 is holomorphic in $\mathcal{T}_{\lambda,q,\delta,r_0} \times \mathbb{C}$.

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2) The function X(t,z) solves the Cauchy problem (P1).

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Main result

Theorem

1) The function
$$X(t,z) = \sum_{h\geq 0} \mathcal{L}_q^{\lambda}(W_h)(t) \frac{z^h}{h!}$$
 is holomorphic in $\mathcal{T}_{\lambda,q,\delta,r_0} \times \mathbb{C}$.
2) The function $X(t,z)$ solves the Cauchy problem $(P1)$.
3) If $r_1 \geq 1$, given $R > 0$ there exist constants $\tilde{C} > 0$, $\tilde{D} > 0$ such that for every $n \in \mathbb{N}$, $n \geq 1$, one has

$$\left|X(t,z) - \sum_{h\geq 0}\sum_{m=0}^{n-1} f_{m,h}t^m \frac{z^h}{h!}\right| \leq \tilde{C}\tilde{D}^n \Gamma(\frac{r_1}{r_2}(n+1))|q|^{n(n-1)/2}|t|^n$$
(7)

for every $t \in \mathcal{T}_{\lambda,q,\delta,r_0}$, $z \in D(0,R)$.

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