

Simply-transitive CR real hypersurfaces in \mathbb{C}^3

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An equivalence problem

Up to local biholomorphism, classify all homogeneous real hypersurfaces $M^{2n+1} \subset \mathbb{C}^{n+1}$.

Symmetry: we have the **real** Lie algebra

$$\mathfrak{hol}(M) = \{X \text{ hol. v.f. on } \mathbb{C}^{n+1} : (X + \bar{X})|_M \text{ tangent to } M\}.$$

If $\forall p \in M$, the evaluation map $\mathfrak{hol}(M) \rightarrow T_p M$, $X \mapsto (X + \bar{X})|_p$ is surjective, then M is (holomorphically) homogeneous.

- **multiply-transitive (MT):** hom. & $\dim(M) < \dim \mathfrak{hol}(M)$.
- **simply-transitive (ST):** hom. & $\dim(M) = \dim \mathfrak{hol}(M)$.

Our focus: **simply-transitive**, 'Levi non-degenerate' $M^5 \subset \mathbb{C}^3$

Examples: Tubes

Given a real hypersurface $\mathcal{S}^n = \{x : \mathcal{F}(x) = 0\} \subset \mathbb{R}^{n+1}$ ('*base*'), its associated tubular CR hypersurface (or '*tube*') is:

$$M_{\mathcal{S}}^{2n+1} = \mathcal{S} + i\mathbb{R}^{n+1} := \{z : \mathcal{F}(\Re z) = 0\} \subset \mathbb{C}^{n+1}, \quad \dim_{\mathbb{R}} M_{\mathcal{S}} = 2n + 1.$$

- Always: $i\partial_{z_1}, \dots, i\partial_{z_{n+1}} \in \mathfrak{hol}(M_{\mathcal{S}})$.
- If $\mathbf{S} = (A_{k\ell}x_{\ell} + b_k)\partial_{x_k} \in \mathfrak{aff}(\mathcal{S})$ is a (*real*) affine symmetry of \mathcal{S} , then $\mathbf{S}^{\text{cr}} := (A_{k\ell}z_{\ell} + b_k)\partial_{z_k} \in \mathfrak{hol}(M_{\mathcal{S}})$.
- If \mathcal{S} is affinely homogeneous, then $M_{\mathcal{S}}$ is homogeneous.

Example ($\mathcal{S}^2 \subset \mathbb{R}^3$ affinely hom., $M_{\mathcal{S}}^5 \subset \mathbb{C}^3$ simply-transitive)

$$\mathcal{S} : u = x_1 \ln x_2, \quad \mathfrak{aff}(\mathcal{S}) = \langle x_1 \partial_{x_1} + u \partial_u, x_2 \partial_{x_2} + x_1 \partial_u \rangle, \\ \mathfrak{hol}(M_{\mathcal{S}}) = \langle i\partial_{z_1}, i\partial_{z_2}, i\partial_w, z_1 \partial_{z_1} + w \partial_w, z_2 \partial_{z_2} + z_1 \partial_w \rangle.$$

Example ($\mathcal{S}^2 \subset \mathbb{R}^3$ affinely inhom., $M_{\mathcal{S}}^5 \subset \mathbb{C}^3$ multiply-transitive)

$$\mathcal{S} : u = x_1 x_2 + x_1^3 \ln(x_1), \quad \mathfrak{aff}(\mathcal{S}) = \langle \partial_{x_2} + x_1 \partial_u \rangle, \quad \mathfrak{hol}(M_{\mathcal{S}}) = \langle i\partial_{z_1}, i\partial_{z_2}, i\partial_w, \\ \partial_{z_2} + z_1 \partial_w, iz_1 \partial_{z_2} + i\frac{z_1^2}{2} \partial_w, z_1 \partial_{z_1} + (2z_2 - \frac{3}{2}z_1^2) \partial_{z_2} + (3w - \frac{1}{2}z_1^3) \partial_w \rangle.$$

CR structure and its Levi form

$M^{2n+1} \subset \mathbb{C}^{n+1}$ inherits a (integrable) CR structure (M, C, J) :

- $C := TM \cap J(TM)$, $J^2 = -1$; $n = \text{rk}_{\mathbb{C}} C = \text{CR-dim of } M$.
- $C^{\mathbb{C}} = C^{1,0} \oplus C^{0,1}$. These $\pm i$ eigenspaces for J are integrable.

Levi form (on $C^{0,1}$): $\mathcal{L}(\xi, \eta) = [\xi, \bar{\eta}] \bmod C^{\mathbb{C}}$. (Want 'ndg'.)

	$M^3 \subset \mathbb{C}^2$	$M^5 \subset \mathbb{C}^3$
max sym	8	15
submax sym	3	$\begin{cases} 8, & \text{Levi indefinite} \\ 7, & \text{Levi definite} \end{cases}$

(See Kruglikov (2015) for symmetry gaps for higher dim CR.)

Example ($M^5 \subset \mathbb{C}^3$)

Hyperquadric $\Im m(w) = |z_1|^2 \pm |z_2|^2$ (15-dim sym);

Winkelmann hypersurface: $\Im m(w + \bar{z}_1 z_2) = |z_1|^4$ (8-dim sym).

These are **tubular**, i.e. equivalent to tubes on $u = x_1^2 + x_2^2$, $u = x_1 x_2$, and $u = x_1 x_2 + x_1^4$ respectively.

Historical Summary

- Poincaré (1907): Not all $M^3 \subset \mathbb{C}^2$ are locally equivalent.
- Cartan (1932): Classified all homogeneous $M^3 \subset \mathbb{C}^2$.
- Cartan (1935): Bounded homogeneous domains $D \subset \mathbb{C}^k$:
 - $k = 2$: are Hermitian symmetric spaces. Equivalent to either $|z_1| < 1, |z_2| < 1$ or $|z_1|^2 + |z_2|^2 < 1$.
 - $k = 3$: announced to be Hermitian symmetric. Apparently, proof was long and he decided not to publish it. Investigating multiply-transitive $M^5 = \partial D \subset \mathbb{C}^3$ is an ingredient.
- Piatetski-Shapiro (1959): \exists bounded homogeneous domain in \mathbb{C}^k for $k \geq 4$ that are not Hermitian symmetric.
- Loboda (2000-2003): Most of the MT, Levi ndg case settled. **Incomplete: 6-dim Levi indefinite case.**
- Fels–Kaup (2008): Levi rank 1 & 2-ndg. **All hom. models are tubular.**
- Doubrov–Medvedev–T. (2017): All MT, Levi ndg.
- Kossovskiy–Loboda (2019): ST, Levi definite. **All tubular.**
- Loboda et al. (2019-2020): ST, Levi indefinite. **Two non-tubular models.**
- Doubrov–Merker–T. (2020): All ST, Levi ndg. **(Independent approach.)**

Multiply-transitive, Levi non-degenerate $M^5 \subset \mathbb{C}^3$

Theorem (Doubrov–Medvedev–T. 2017)

Any *multiply-transitive* Levi non-degenerate hypersurface $M^5 \subset \mathbb{C}^3$ is locally biholomorphically equivalent to:

- ① Hyperquadric $\Im m(w) = |z_1|^2 \pm |z_2|^2$ (15-dim sym);
- ② A tube (extensive list^a; 6, 7, or 8-dim sym);
- ③ Cartan hypersurfaces ($\mathfrak{so}(4)$, $\mathfrak{so}(1, 3)$, $\mathfrak{so}(2, 2)$ sym) or a related quaternionic model ($\mathfrak{so}^*(4)$ sym);
- ④ hypersurface of Winkelmann type with 6-dim sym.

^aBase may be affinely inhomogeneous!

Strategy:

- Study ‘complexified’ CR str. (‘ILC’ str. / PDE) via Cartan reduction.
- Classify CR real forms.
- Recognize most tubes via classification of affinely **hom.** surfaces $\mathcal{S}^2 \subset \mathbb{R}^3$, see Doubrov–Komrakov–Rabinovitch (1995) & Eastwood–Ezhov (1999).

The simply-transitive classification

Theorem (Loboda et al. 2019-2020 & Doubrov–Merker–T. 2020)

Any simply-transitive Levi non-degenerate hypersurface $M^5 \subset \mathbb{C}^3$ is locally biholomorphically equivalent to precisely one of:

- 1 Either one hypersurface among the 6 families of tubes with affinely simply-transitive base (for $\alpha, \beta \in \mathbb{R}$ and $\epsilon = \pm 1$):

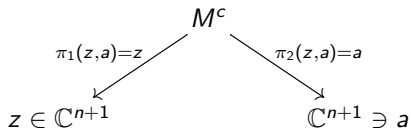
T1	$u = x_1^\alpha x_2^\beta$	$\alpha\beta(1 - \alpha - \beta) \neq 0,$ $(\alpha, \beta) \neq (1, 1), (1, -1), (-1, 1)$ Redundancy: $(\alpha, \beta) \sim (\beta, \alpha) \sim (\frac{1}{\alpha}, -\frac{\beta}{\alpha})$
T2	$u = (x_1^2 + x_2^2)^\alpha \exp(\beta \arctan(\frac{x_2}{x_1}))$	$\alpha \neq \frac{1}{2}; (\alpha, \beta) \neq (0, 0), (1, 0)$ Redundancy: $(\alpha, \beta) \sim (\alpha, -\beta)$
T3	$u = x_1(\alpha \ln(x_1) + \ln(x_2))$	$\alpha \neq -1$
T4	$(u - x_1 x_2 + \frac{x_1^3}{3})^2 = \alpha(x_2 - \frac{x_1^2}{2})^3$	$\alpha \neq 0, -\frac{8}{9}$
T5	$x_1 u = x_2^2 + \epsilon x_1^\alpha$	$\alpha \neq 0, 1, 2$
T6	$x_1 u = x_2^2 + \epsilon x_1^2 \ln(x_1)$	–

- 2 $\text{Im}(w) = |\text{Im}(z_2) - w \text{Im}(z_1)|^2$. (Levi indefinite, non-tubular, symmetry $\text{saff}(2, \mathbb{R}) := \mathfrak{sl}(2, \mathbb{R}) \ltimes \mathbb{R}^2$.)

Loboda (2020): Another ST (non-tube) model. (False: it's intransitive.)

Segré varieties

If $M^{2n+1} = \{z : \Phi(z, \bar{z}) = 0\} \subset \mathbb{C}^{n+1}$, define its ‘**complexification**’ $M^c := \{(z, a) : \Phi(z, a) = 0\} \subset \mathbb{C}^{n+1} \times \mathbb{C}^{n+1}$ (or ‘**Segré variety**’). We have $M =$ fixed-point set of $\tau(z, a) = (\bar{a}, \bar{z})$ restricted to M^c .



This induces rank n distributions $E = \ker(d\pi_2)$ and $F = \ker(d\pi_1)$.
 Let $\text{sym}(M^c) = \{X = \xi^k(z)\partial_{z_k} + \sigma^k(a)\partial_{a_k} : X \text{ tangent to } M^c\}$.

Example ($\mathcal{S} : u = x_1 \ln x_2$)

$$\begin{aligned}
 \text{hol}(M_{\mathcal{S}}) &= \langle i\partial_{z_1}, i\partial_{z_2}, i\partial_w, z_1\partial_{z_1} + w\partial_w, z_2\partial_{z_2} + z_1\partial_w \rangle. \\
 \text{sym}(M_{\mathcal{S}}^c) &= \langle \partial_{z_1} - \partial_{a_1}, \partial_{z_2} - \partial_{a_2}, \partial_w - \partial_c, \\
 &\quad z_1\partial_{z_1} + w\partial_w + a_1\partial_{a_1} + c\partial_c, z_2\partial_{z_2} + z_1\partial_w + a_2\partial_{a_2} + a_1\partial_c \rangle.
 \end{aligned}$$

We always have $\dim_{\mathbb{R}} \text{hol}(M) = \dim_{\mathbb{C}} \text{sym}(M^c)$.

ILC structures & PDE

Definition

A *Legendrian contact (LC) structure* is a **complex** contact manifold (N, C) with $C = E \oplus F$ where E, F are maximally isotropic. If one or both of E, F are integrable, it is **SILC** or **ILC** respectively.

Example

For $M \subset \mathbb{C}^{n+1}$ as before, $(M^c; E, F)$ is an ILC structure.

Locally, $C = \{\sigma = 0\}$, $\eta = d\sigma|_C$ ndg. $\exists(z^j, w, w_j)$ with $\sigma = dw - w_j dz^j$. If F is integ., we may assume $F = \langle \partial_{w_j} \rangle$, so

$$E = \langle \mathcal{D}_j := \partial_{z^j} + w_j \partial_w + f_{jk} \partial_{w_k} \rangle, \quad \exists f_{jk} = f_{kj}.$$

Equivalently, we have a complete 2nd order PDE system $\frac{\partial^2 w}{\partial z_j \partial z_k} = f_{jk}(z^\ell, w, w_\ell)$, considered up to **point transformations**.

M^c and the PDE solution spaces

PDE compatibility \Leftrightarrow integrability of E .

In fact, $M^c = \{(z, a) : \Phi(z, a) = 0\}$ is the **solution space** of a 2nd order PDE system. How to find it?

- 1 Regard $w := z_{n+1}$ as a function of (z_1, \dots, z_n) , treat $a \in \mathbb{C}^{n+1}$ as parameters.
- 2 Find $w_j := \frac{\partial w}{\partial z_j}$. Solve for a in terms of (w, w_1, \dots, w_n) .
- 3 Find $w_{jk} := \frac{\partial^2 w}{\partial z_j \partial z_k}$ and sub. in a .

Example ($\mathcal{S} : u = x_1 \ln x_2$)

$$M_{\mathcal{S}} : \Re(w) = \Re(z_1) \ln \Re(z_2), \quad M_{\mathcal{S}}^c : \frac{w+c}{2} = \left(\frac{z_1+a_1}{2}\right) \ln\left(\frac{z_2+a_2}{2}\right)$$

$$\Rightarrow (w_1, w_2, w_{11}, w_{12}, w_{22}) = \left(\ln\left(\frac{z_2+a_2}{2}\right), \frac{z_1+a_1}{z_2+a_2}, 0, \frac{1}{z_2+a_2}, -\frac{z_1+a_1}{(z_2+a_2)^2}\right).$$

$$\Rightarrow w_{11} = 0, \quad w_{12} = \frac{1}{2}e^{-w_1}, \quad w_{22} = -\frac{1}{2}w_2e^{-w_1}.$$

Simply-transitive classification strategy - 1

Hom. ILC $(G/K; E, F) \leftrightarrow$ ILC quadruple $(\mathfrak{g}, \mathfrak{k}; \mathfrak{e}, \mathfrak{f})$. When $\mathfrak{k} = 0$:

Definition (ILC triple & ASD-ILC triple)

Let $\dim \mathfrak{g} = 2n + 1$. An **ILC triple** $(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$ consists of n -dim subalgs $\mathfrak{e}, \mathfrak{f} \subset \mathfrak{g}$ s.t. $C = \mathfrak{e} \oplus \mathfrak{f}$ is ndg, i.e. $\eta(x, y) = [x, y] \bmod C$ is ndg on C . An ILC triple $(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$ is **ASD** if \exists anti-involution τ of \mathfrak{g} that swaps \mathfrak{e} and \mathfrak{f} .

Want: ASD-ILC triples $(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$ with $5 = \dim(\mathfrak{g}) = \dim \text{sym}_{\text{ILC}}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$.

How to tell if symmetry jumps up? i.e. $\dim \text{sym}_{\text{ILC}}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f}) > \dim(\mathfrak{g}) = 5$.

- Find embedding of $(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$ into $(\tilde{\mathfrak{g}}, \tilde{\mathfrak{k}}; \tilde{\mathfrak{e}}, \tilde{\mathfrak{f}})$, where $\tilde{\mathfrak{k}} \neq 0$.
- New coord-indep. formula for fundamental quartic \mathcal{Q}_4 . Moreover,

\mathcal{Q}_4 root type	O	N	D	III	II	I
max sym	15	8	7	6	5	5

- Direct computation via two methods: PDE syms or power series.

Simply-transitive classification strategy - 2

Kossovskiy–Loboda (2019): In the **Levi-definite** case, if 5-dim $\mathfrak{hol}(M)$ contains a 3-dim abelian ideal, then M is a tube over an affinely hom. base \mathcal{S} . (**Proof does not extend to indefinite case.**)

Let \mathfrak{g} be a complex 5-dim Lie alg. **Want: ASD-ILC triples $(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$ with admissible τ and $\dim \text{sym}_{\text{ILC}}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f}) = 5$.** Overview:

- ① \mathfrak{g} has no 3-dim abelian ideal: $\exists!$ **model**.
- ② \mathfrak{g} has a 3-dim abelian ideal \mathfrak{a} :
 - (a) $\mathfrak{a} \neq \tau(\mathfrak{a})$: \nexists **models**.
 - (b) $\mathfrak{a} = \tau(\mathfrak{a})$:
 - $\mathfrak{e} \cap \mathfrak{a} \neq 0$ (or $\mathfrak{f} \cap \mathfrak{a} \neq 0$): \nexists **models**.
 - $\mathfrak{e} \cap \mathfrak{a} = \mathfrak{f} \cap \mathfrak{a} = 0$: **All must be tubes on affinely hom. $\mathcal{S}^2 \subset \mathbb{R}^3$.**

Tube strategy: From DKR list, remove \mathcal{S} with MT $M_{\mathcal{S}}$ & restrict to affinely ST \mathcal{S} with ndg Hessians. Get tube list in Main Thm as a *candidate list*. Need to test for symmetry jumps.

The fundamental quartic \mathcal{Q}_4

All 5-dim LC structures admit a fundamental curvature invariant that is a binary quartic field. (Typically computed via Chern–Moser normal form or via PDE realization.) **Geometric interpretation?**

Key idea: Lift $(N^5; E, F)$ to a \mathbb{P}^1 -bundle $\tilde{N}^6 \rightarrow N^5$.

$$\tilde{N}_x := \{(\ell_E, \ell_F) \in \mathbb{P}(E_x) \times \mathbb{P}(F_x) : \eta(\ell_E, \ell_F) = 0\}$$

(In fact, $\ell_F = F \cap (\ell_E)^\perp$, so $\tilde{N} \rightarrow N$ is a \mathbb{P}^1 -bundle.) On \tilde{N} :

- (i) rank 1: $V = \ker(\pi_*)$;
- (ii) rank 3: $D|_{\tilde{x}} := (\pi_*)^{-1}(\ell_E \oplus \ell_F)$ for $\tilde{x} = (\ell_E, \ell_F)$;
- (iii) rank 5: $\tilde{C} := (\pi_*)^{-1}C$ for $C = E \oplus F$.

Indeed, we get an instance of a **Borel geometry** (R^6, D) :

- weak derived flag $D \subset D^2 \subset D^3 = TR$ has growth $(3, 5, 6)$.
- symbol algebra modelled on $\begin{pmatrix} 0 & 0 & 0 & 0 \\ * & 0 & 0 & 0 \\ * & * & 0 & 0 \\ * & * & * & 0 \end{pmatrix} \subset \mathfrak{sl}(4)$.

Geometric interpretation of \mathcal{Q}_4

Proposition

Given any Borel geometry (R^6, D) , we *canonically* have:

- (a) rk 2: $\sqrt{D} \subset D$ satisfying $[\sqrt{D}, \sqrt{D}] \equiv 0 \pmod{D}$.
- (b) rk 1: $V = \{X \in D : [X, D^2] \subset D^2\}$. Have $D = V \oplus \sqrt{D}$.
- (c) $\sqrt{D} = L_1 \oplus L_2$ (unique up to ordering) into null lines L_1, L_2 for a ndg conformal symmetric bilinear form on \sqrt{D} .

Corollary

The map $\Gamma(L_1) \times \Gamma(L_2) \rightarrow \Gamma(V)$, $(X, Y) \mapsto \text{proj}_V([X, Y])$ is tensorial, so determines a vector bundle map $\Phi : L_1 \otimes L_2 \rightarrow V$. Geometrically, Φ obstructs Frobenius-integrability of \sqrt{D} .

For LC str. on N , Φ on \tilde{N} is a **quartic** tensor field $\mathcal{Q}_4(t)$ wrt affine coord t on \mathbb{P}^1 . **Homog. cases are easily computed in terms of algebraic data.**

Abstract realization of the exceptional model

Proposition

Any 5-dimensional complex Lie algebra \mathfrak{g} without 3-dimensional abelian ideals is isomorphic to one of:

- $\mathfrak{sl}(2, \mathbb{C}) \times \mathbb{C}^2$;
- $\mathfrak{sl}(2, \mathbb{C}) \times \mathfrak{r}_2$;
- $\mathfrak{safl}(2, \mathbb{C}) := \mathfrak{sl}(2, \mathbb{C}) \ltimes \mathbb{C}^2$;
- upper-triangular matrices in $\mathfrak{sl}(3, \mathbb{C})$.

Proof is indep. of Mubarakzyanov classification of 5-dim (real) Lie alg.

Theorem

For list above, only $\mathfrak{g} = \mathfrak{safl}(2, \mathbb{C})$ supports an ASD-ILC triple $(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$ with $\dim \text{sym}_{\text{ILC}}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f}) = 5$. Up to $\text{Aut}(\mathfrak{g})$ -equivalence, $(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})$ is unique and admits a unique admissible anti-involution τ .

Wrt 'usual' basis H, X, Y, v_1, v_2 : $\mathfrak{e} = \langle H + v_1, X \rangle$, $\mathfrak{f} = \langle H - v_2, Y \rangle$ (\mathcal{Q}_4 has root type I), and $(H, X, Y, v_1, v_2) \xrightarrow{\tau} (-H, Y, X, v_2, v_1)$.

Derivation of the exceptional model

Std. action of $\mathfrak{g} = \mathfrak{aff}(2, \mathbb{C})$ on $\mathbb{C}^2 = J^0(\mathbb{C}, \mathbb{C})$:

$$H = z_1 \partial_{z_1} - z_2 \partial_{z_2}, \quad X = z_1 \partial_{z_2}, \quad Y = z_2 \partial_{z_1}, \quad v_1 = \partial_{z_1}, \quad v_2 = \partial_{z_2}.$$

Prolong these to $J^1(\mathbb{C}, \mathbb{C})$, i.e. $(z_1, z_2, w := z_2')$ -space. Induce the **joint action on two copies of $J^1(\mathbb{C}, \mathbb{C})$** , i.e. $(z_1, z_2, w, a_1, a_2, c)$ -space.

$$\begin{aligned} H &= z_1 \partial_{z_1} - z_2 \partial_{z_2} - 2w \partial_w + a_1 \partial_{a_1} - a_2 \partial_{a_2} - 2c \partial_c, \\ X &= z_1 \partial_{z_2} + \partial_w + a_1 \partial_{a_2} + \partial_c, \quad v_1 = \partial_{z_1} + \partial_{a_1}, \\ Y &= z_2 \partial_{z_1} - w^2 \partial_w + a_2 \partial_{a_1} - c^2 \partial_c, \quad v_2 = \partial_{z_2} + \partial_{a_2}. \end{aligned}$$

This prolonged \mathfrak{g} -action admits the joint differential invariant:

$$\mathcal{A} := \frac{(z_2 - a_2 - w(z_1 - a_1))(z_2 - a_2 - c(z_1 - a_1))}{2(w - c)}.$$

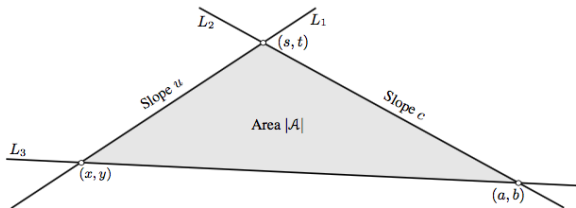
Consider $\boxed{\mathcal{A} = \lambda \in \mathbb{C}^\times}$. Rescale variables to normalize $\boxed{\lambda \text{ to } i}$. Intersect with the fixed-point set of $(z_1, z_2, w, a_1, a_2, c) \mapsto (\bar{a}_1, \bar{a}_2, \bar{c}, \bar{z}_1, \bar{z}_2, \bar{w})$ to get the exceptional model $\tilde{\mathfrak{m}}(w) = |\tilde{\mathfrak{m}}(z_2) - w \tilde{\mathfrak{m}}(z_1)|^2$.

Related real equi-affine geometry

Fix $(x, y, u, a, b, c) \in \mathbb{R}^6 \simeq_{loc} J^1(\mathbb{R}, \mathbb{R}) \times J^1(\mathbb{R}, \mathbb{R})$, define

$$\mathcal{A} := \frac{(y - b - u(x - a))(y - b - c(x - a))}{2(u - c)}.$$

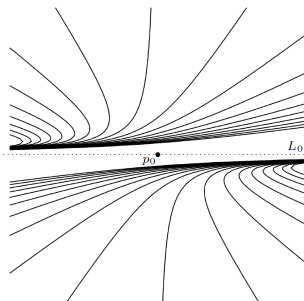
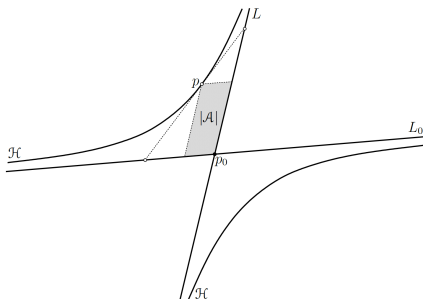
For $\mathcal{A} \in \mathbb{R}^\times$, this data determines a **triangle** in \mathbb{R}^2 with area $|\mathcal{A}|$:



Setting $\mathcal{A} = \lambda \in \mathbb{R}^\times$ defines a family of planar triangles that is invariant under the planar equi-affine group $\text{SAff}(2, \mathbb{R})$.

Related real equi-affine geometry - 2

Recall: For any planar hyperbola \mathcal{H} , its 'asymptotes-parallelogram' has area $\text{Area}(\mathcal{H})$ independent of $p \in \mathcal{H}$.



Fix \mathcal{A} . Any $(a, b, c) \in \mathbb{R}^3 \simeq_{loc} J^1(\mathbb{R}, \mathbb{R})$ yields $p_0 = (a, b) \in \mathbb{R}^2$ and a line L_0 through it with slope c . Get a local foliation $\{\mathcal{H} : \text{Area}(\mathcal{H}) = |\mathcal{A}|, L_0 \text{ an asymptote for } \mathcal{H}\}$. The collection of all such foliations is $\text{SAff}(2, \mathbb{R})$ -invariant.

Tubes

Real affine hypersurface
$S = \{x : \mathcal{F}(x) = 0\} \subset \mathbb{R}^{n+1}, \quad d\mathcal{F} \neq 0 \text{ on } S;$ Real affine symmetry $\mathbf{S} = (A_{k\ell}x_\ell + b_k)\partial_{x_k} \in \text{aff}(S)$

Tubular CR hypersurface
$M_S = \{z : \mathcal{F}(\Re z) = 0\} \subset \mathbb{C}^{n+1};$ $i\partial_{z_1}, \dots, i\partial_{z_{n+1}} \in \text{hol}(M_S),$ $\mathbf{S}^{\text{cr}} := (A_{k\ell}z_\ell + b_k)\partial_{z_k} \in \text{aff}(S)^{\text{cr}}$

Tubular ILC hypersurface
$M_S^c = \{(z, a) : \mathcal{F}(\frac{z+a}{2}) = 0\} \subset \mathbb{C}^{n+1} \times \mathbb{C}^{n+1};$ $\partial_{z_1} - \partial_{a_1}, \dots, \partial_{z_{n+1}} - \partial_{a_{n+1}} \in \text{sym}(M_S^c),$ $\mathbf{S}^{\text{lc}} := (A_{k\ell}z_\ell + b_k)\partial_{z_k} + (A_{k\ell}a_\ell + b_k)\partial_{a_k} \in \text{aff}(S)^{\text{lc}}$

Note that $\mathfrak{a} = \langle \partial_{z_1} - \partial_{a_1}, \dots, \partial_{z_{n+1}} - \partial_{a_{n+1}} \rangle$ is transverse to the projections $\pi_1(z, a) = z$ and $\pi_2(z, a) = a$.

Abstract description of tubes

Given any affine hypersurface $S \subset \mathbb{R}^{n+1}$ with homogeneous CR / ILC tubes M_S and M_S^c , we get the following abstract structure:

Definition

A *tubular CR realization* for an ILC quadruple $(\mathfrak{g}, \mathfrak{k}; \mathfrak{e}, \mathfrak{f})$ in dimension $\dim(\mathfrak{g}/\mathfrak{k}) = 2n + 1$ is a pair (\mathfrak{a}, τ) , where

- (T.1) $\mathfrak{a} \subset \mathfrak{g}$ is an $(n + 1)$ -dim abelian subalgebra;
- (T.2) $\mathfrak{e} \cap \mathfrak{a} = \mathfrak{f} \cap \mathfrak{a} = 0$.
- (T.3) τ is an admissible anti-involution of $(\mathfrak{g}, \mathfrak{k}; \mathfrak{e}, \mathfrak{f})$ that preserves \mathfrak{a} .

If \mathfrak{a} has normalizer $\mathfrak{n}(\mathfrak{a})$ in \mathfrak{g} , then $\mathfrak{n}(\mathfrak{a})/\mathfrak{a} \cong \text{aff}(S) \otimes_{\mathbb{R}} \mathbb{C}$.

Theorem

If $M^5 \subset \mathbb{C}^3$ is *ST*, Levi ndg with $\mathfrak{hol}(M)$ containing a 3-dim abelian ideal, then $M \cong M_S$ for some *affinely ST* base $S \subset \mathbb{R}^3$.

Affinely homogeneous hypersurfaces to ILC structures

Proposition

Let $\mathcal{S} \subset \mathbb{R}^{n+1}$ be an affinely hom. hypersurface with ndg 2nd fundamental form. Then $M_{\mathcal{S}} \subset \mathbb{C}^{n+1} \times \mathbb{C}^{n+1}$ is homogeneous and encoded by an ILC quadruple $(\mathfrak{g}, \mathfrak{k}; \mathfrak{e}, \mathfrak{f})$ given for any $p \in \mathcal{S}$ by

$$\begin{aligned} \mathfrak{e} &:= \text{aff}(\mathcal{S}) \otimes_{\mathbb{R}} \mathbb{C}, & \mathfrak{g} &:= \mathfrak{e} \ltimes \mathbb{C}^{n+1}, \\ \mathfrak{f} &:= \{Y \in \mathfrak{g} : Y|_p = 0\}, & \mathfrak{k} &:= \mathfrak{e} \cap \mathfrak{f}. \end{aligned}$$

Thus, \mathcal{Q}_4 can be efficiently computed for our tubes of study.

Example ($\mathcal{S} : u = x_1(\alpha \ln x_1 + \ln x_2)$; ndg: $\alpha \neq -1$; $p = (1, 1, 0) \in \mathcal{S}$)

$\mathfrak{e} = \langle \mathbf{S} := x_1 \partial_{x_1} - \alpha x_2 \partial_{x_2} + u \partial_u, \mathbf{T} := x_2 \partial_{x_2} + x_1 \partial_u \rangle$, $[\mathbf{S}, \mathbf{T}] = 0$
 $\mathfrak{f} = \langle \tilde{\mathbf{S}} := \mathbf{S} - \partial_{x_1} + \alpha \partial_{x_2}, \tilde{\mathbf{T}} := \mathbf{T} - \partial_{x_2} - \partial_u \rangle$. We use this to calculate:

$$\mathcal{Q}_4 = -t^4 - 4t^3 - \frac{2(\alpha+3)}{\alpha+1} t^2 - \frac{4}{\alpha+1} t - \frac{1}{(\alpha+1)^2} \Rightarrow \begin{cases} \text{I: } & \alpha \neq -1, 0, 8; \\ \text{II: } & \alpha = 8; \\ \text{N: } & \alpha = 0 \end{cases}$$

Conclusion: Type I and II have 5-dim sym. For type N, use Maple on the PDE system $(w_{11}, w_{12}, w_{22}) = (0, \frac{1}{2} e^{-w_1}, -\frac{1}{2} w_2 e^{-w_1})$.

Summary

The classification of homogeneous $M^5 \subset \mathbb{C}^3$ branches as:

- ① $\mathbb{R} \times \mathbb{C} \times \mathbb{C}$ or $M^5 = \mathcal{M}^3 \times \mathbb{C}$, where $\mathcal{M}^3 \subset \mathbb{C}^2$ is Levi ndg.
- ② Levi rank 1 & 2-nondegenerate
- ③ Levi non-degenerate (MT & **ST**)

This classification is now complete.

- We used a Lie algebraic approach that circumvents normal forms, is independent of the Mubarakzyanov classification, and takes advantage of the close relationship to ILC structures.
- A key new tool is a coordinate-independent formula / geometric interpretation for the fundamental quartic Q_4 . (See Maple supplement in arXiv submission.)