

# Small initial segments and consistency

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Model Theory and Proof Theory of Arithmetic  
A Memorial Conference in Honor of Henryk Kotlarski and  
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# Introduction and motivation

The main association a mathematician has with the word consistency is probably the Gödel Second Incompleteness Theorem.

$$T \not\vdash \text{Cons}(T).$$

However, this does not always hold. There are some restrictions on  $T$  (Willard), but also there are some requirements of the predicate  $\text{Cons}$  we use (Pudlak). For instance

$$I\Delta_0 \vdash H\text{Cons}^J(I\Delta_0),$$

where  $J$  is some definable initial segment (e.g.  $\log \log \log$ ). Our focus will be on  $\text{Cons}^J(\cdot)$  (consistency relativized to  $J$ ), for some definable initial segment  $J$ .

# Introduction and motivation

Assume  $T$  is recursive, consistent and contains  $I\Delta_0 + B\Sigma_1$ .

# Small initial segments

We consider initial segments  $J = J_T$  depending on  $T$ . The definition of  $T$  is built into the definition of  $J_T$ .

We assume that  $J$  is a  $\Sigma_1$  or  $\Pi_1$  formula. We shall identify  $J$  with the set definable by the formula  $J$ .

We are interested in the following properties of  $J$ :

## When an initial segment is small? Key properties

- ▶  $J$  is an initial segment provably in  $T$ ,
- ▶  $\mathbb{N} \subseteq J$  provably in  $T$
- ▶  $J$  is  $\mathbb{N}$  in some non standard models of  $T$ .

Is there a non trivial  $\Sigma_1$  or  $\Pi_1$  definable  $J$  with the above properties?

## The amount of consistency of $T$

Let  $Cons(\cdot)$  denote the Hilbert or the Herbrand consistency predicate. Let  $Cons(\cdot)^x$  express the meaning that there is no inconsistency proof which is  $\leq x$ .

Consider the following definable initial segment  $J$ : let  $x \in J$  iff  $Cons^x(T)$ .

Note that the definition of  $J$  depends on the formula defining  $T$ . Thus, we should write  $J_T$ .

We shall call  $J_T$  *the amount of consistency of  $T$* .

# The amount of consistency of $T$

- ▶  $x \in J_T$  iff  $Cons^x(T)$

Evidently,  $J$  has the *non Gödel* property:

$$T \vdash Cons^{J_T}(T).$$

Note that  $J_T$  has the following properties:

- ▶  $J_T$  is an initial segment provably in  $T$ ,
- ▶  $\mathbb{N} \subseteq J_T$  provably in  $T$

However,  $J_T$  is not  $\mathbb{N}$  in non standard models of  $T$  (is not small).

# The amount of consistency of $T$ . Questions

Let  $Pr_T(\cdot)$  be defined as  $\neg Cons(T + \neg \cdot)$ .

We have:

- ▶ If  $Pr_T^x(\phi)$ , then  $Cons^{x+y}(T)$  implies  $Cons^y(T + \phi)$ .

## Question

For what  $\phi, y$ ,  $Cons^{x+y}(T)$  implies  $Cons^x(T + \phi)$ ?

Candidate  $\neg Cons(T)$ .



# The amount of consistency of $T$ . Questions

In the classical case we have:

$$\text{Cons}(T) \Rightarrow \text{Cons}(T + \neg\text{Cons}(T))$$

**Question**

$$\text{Cons}^x(T) \Rightarrow \text{Cons}^x(T + \neg\text{Cons}(T))?$$

**Question**

For what  $\phi$

$$\text{Pr}_T^x(\phi) \Rightarrow \text{Pr}_T^x(0 = 1)?$$

Candidate:  $\text{Cons}(T)$ .

# What $J_T$ can be

## The amount of consistency of the $\Pi_1$ or $\Sigma_1$ truth

By  $Cons^x(T + \Sigma_1)$  we shall mean the sentence stating the following: for every  $\Sigma_1$  sentence  $\eta$  if  $Sat_{\Sigma_1}(\eta)$  holds and  $\eta \leq x$ , then  $Cons^x(T + \eta)$  holds.

By  $Cons^x(T + \Pi_1)$  we shall mean the sentence stating the following: for every  $\Pi_1$  sentence  $\eta$  if  $Sat_{\Pi_1}(\eta)$  holds and  $\eta \leq x$ , then  $Cons^x(T + \eta)$  holds.

# What $J_T$ can be

## The amount of consistency of the $\Pi_1$ or $\Sigma_1$ truth

Assume  $T \supseteq I\Delta_0 + exp$  and  $\mathbb{N} \models T$ .

Consider the following definable initial segment  $J_T$ : let  $x \in J_T$  iff  $Cons^x(T + \Pi_1)$ . This initial segment is  $\Sigma_1$  definable.

We shall call  $J_T$  *the amount of consistency of  $\Pi_1$ -truth*.

## Dual

Consider the following definable initial segment  $J_T$ : let  $x \in J_T$  iff  $Cons^x(T + \Sigma_1)$ . This initial segment is  $\Pi_1$  definable.

We shall call  $J_T$  *the amount of consistency of  $\Sigma_1$ -truth*.

# The amount of consistency of the $\Pi_1$ or $\Sigma_1$ truth

Is there a non standard model of  $T$  in which  $J_T = \mathbb{N}$ , i.e. is  $J_T$  small?

Can  $J_T$  be a closed under successor (be a cut)?

# What $J_T$ can be

Consider the following formula  $\mathbb{N}_{T, \Pi_1}(x)$  expressing the meaning that there is a set (i.e. a characteristic function of a set) of size  $x$  consisting of  $\Pi_1$  sentences containing all true  $\Pi_1$  sentences and  $x$ -consistent with  $T$ :

$$\exists t \in \{0, 1\}^x \left( \forall \varphi < x (\text{Sat}_{\Pi_1}(\varphi) \Rightarrow t(\varphi) = 1) \right)$$

&the theory  $\{\varphi < x : t(\varphi) = 1\}$  is  $x$ -consistent with  $T$ )

We may call  $\mathbb{N}_{T, \Pi_1}(x)$ , *the amount of codability of the  $\Pi_1$  truth*. This is  $\Sigma_1$ .

# Dual

Consider the following formula  $\mathbb{N}_{T, \Sigma_1}(x)$  expressing the meaning that there is a set (i.e. a characteristic function of a set) of size  $x$  consisting of  $\Sigma_1$  sentences containing all true  $\Sigma_1$  sentences and  $x$ -consistent with  $T$ :

$$\exists t \in \{0, 1\}^x \left( \forall \varphi < x (\text{Sat}_{\Sigma_1}(\varphi) \Rightarrow t(\varphi) = 1) \right)$$

&the theory  $\{\varphi < x : t(\varphi) = 1\}$  is  $x$ -consistent with  $T$ )

that is

$$\forall y \exists t \in \{0, 1\}^x \left( \forall \varphi < x (\text{Sat}_{\Sigma_1}(\varphi^y) \Rightarrow t(\varphi) = 1) \right)$$

&the theory  $\{\varphi < x : t(\varphi) = 1\}$  is  $x$ -consistent with  $T$ )

We may call  $\mathbb{N}_{T, \Sigma_1}(x)$ , *the amount of the codability of the  $\Sigma_1$  truth*. This is  $\Pi_1$ .

# Without exponentiation

- ▶  $\mathbb{N}_{T, \forall \Sigma_m^b}$  = the amount of codability of  $\forall \Sigma_m^b$  truth
- ▶  $\mathbb{N}_{T, \exists \Pi_m^b}$  = the amount of codability of  $\exists \Pi_m^b$  truth
- ▶ the amount of consistency of  $\exists \Pi_m^b$  truth
- ▶ the amount of consistency of  $\forall \Sigma_m^b$  truth

# The amount of codability of the $\Pi_1$ or $\Sigma_1$ truth.

## Questions

For what  $T$ ,

- ▶  $\mathbb{N}_{T,\Pi_1}, \mathbb{N}_{T,\Sigma_1}$  are small, i.e.  $= \mathbb{N}$  in some non standard model of  $T$ ?
- ▶  $\mathbb{N}_{T,\Pi_1} = \mathbb{N}_{T,\Sigma_1}$  in some non standard model of  $T$ ?
- ▶  $\mathbb{N}_{T,\Sigma_1} < \mathbb{N}_{T,\Pi_1}$  in some model of  $T$ ?
- ▶  $\mathbb{N}_{T,\Pi_1} < \mathbb{N}_{T,\Sigma_1}$  in some model of  $T$ ?



# The amount of codability of the $\Pi_1$ or $\Sigma_1$ truth.

## Questions

The most interesting is  $\mathbb{N}_{T, \Pi_1}$ .

Can  $\mathbb{N}_{T, \Pi_1}$  be non standard and closed under successor (be a cut)?

Can  $\mathbb{N}_{T, \Pi_1}$  be a non standard model of  $I\Delta_0$ ?

Can  $\mathbb{N}_{T, \Pi_1}$  be a non standard model of  $I\Delta_0 + exp$ ?

We may also consider  $\mathbb{N}_{T, \Pi_1}$  in a model of a theory which is weaker than  $T$ , e.g.  $\mathbb{N}_{I\Delta_0, \Pi_1}$  in a model of  $Q$  or  $\mathbb{N}_{I\Delta_0 + exp, \Pi_1}$  in a model of  $I\Delta_0$ .

# Definition

Recall:

$$\omega_0(x) = x^2,$$

$$\omega_1(x) = 2^{(\log x)^2},$$

$$\omega_2(x) = 2^{2^{(\log \log x)^2}},$$

$$\omega_{i+1}(x) = 2^{\omega_i(\log x)}.$$

$$\Omega_i : \forall x \exists y \ y = \omega_i(x).$$

$$\log_0(x) = x, \log_{i+1}(x) = \log \log_i(x).$$

We have

$$I\Delta_0 + \Omega_i \not\vdash \forall x \exists \omega_i^{\log_{i+2} x}(x)$$

$$I\Delta_0 + \Omega_{i+1} \vdash \forall x \exists \omega_1^{\log_{i+2} x}(x).$$

For, the following formula is easy to be checked by induction:

$$\omega_1^n(x) = 2^{(\log x)^{2^n}},$$

for  $n \geq 1$ .

Hence, for instance,

$$\omega_1^{\log^3 x}(x) = 2^{(\log x)^{2^{\log^3 x}}} = 2^{(\log x)^{\log \log x}} = 2^{2^{(\log \log x)^2}} = \omega_2(x).$$

# Examples

Assume  $T = I\Delta_0 + \Omega_i$ ,  $i \geq 0$ . Then

$$T \vdash HCons^{\log_{i+3}}(T)$$

and if  $I\Delta_0$  is finitely axiomatizable,

$$T \vdash HCons^{\log_{i+2}}(T).$$

Hence, if our *Cons* is *Hcons*, then

- ▶ the amount of consistency of  $T \supseteq \log_{i+3}$ .

and if  $I\Delta_0$  is finitely axiomatizable,

- ▶ the amount of consistency of  $T \supseteq \log_{i+2}$ .

# Examples

Assume that  $I\Delta_0$  is finitely axiomatizable. Assume

$T = I\Delta_0 + \Omega_i, i \geq 0$ . Then

Let  $T^\# \subseteq \Sigma_1$  be maximal consistent with  $T$ . Assume that elements  $\Sigma_1$  definable are downward cofinal in  $M$  above  $\mathbb{N}$ .

Suppose that in  $M$  the amount of consistency of  $\Sigma_1$  truth is

$> \mathbb{N}$ . Then  $M \models Hcons^l(T + \Sigma_1)$  for an  $\Sigma_1$  definable

nonstandard  $l$ . But then, by maximality of  $T^\#$ ,

$Hcons^l(T + \phi)$  gives a  $\Pi_1$  truth definition for  $\Sigma_1$  sentences in  $M$ , contradiction.

Thus, in  $M$  we have:

- ▶ the amount of consistency of  $\Sigma_1$  truth =  $\mathbb{N}$ .

Thus,  $J_T$  = the amount of consistency of  $\Sigma_1$  truth, is small.

What about the amount of consistency of  $\Pi_1$  truth?

# Examples

Since

- ▶ the amount of consistency of  $\Sigma_1$  truth  $\geq$  the amount of codability of  $\Sigma_1$  truth.

We have in  $M$ :

- ▶ the amount of consistency of  $\Sigma_1$  truth = the amount of codability of  $\Sigma_1$  truth =  $\aleph$ .

The same can be shown without the assumption that  $\Delta_0$  is finitely axiomatizable with  $\exists \Pi_m^b$  in place of  $\Sigma_1$ .

What about the amount of codability of  $\Pi_1$  truth?

# Examples

Assume that  $I\Delta_0$  is finitely axiomatizable. Assume  $T = I\Delta_0 + \Omega_i$ ,  $i \geq 0$ . Let  $M \models I\Delta_0 + \Omega_{i+1}$ . Then  $M \models Hcons^{\log_{i+2}}(T + \Sigma_1)$  (by the fact that  $\omega_{i+1}(x) = \omega_i^{\log_{i+2}(x)}(x)$ ). Hence

- ▶ the amount of consistency of  $\Sigma_1$  truth  $\supseteq \log_{i+2}$ .

# Examples

Assume  $T = I\Delta_0 + \Omega_i$ ,  $i \geq 0$ .

Let  $T^\# \subseteq \exists\Pi_m^b$  be maximal consistent with  $I\Delta_0 + \Omega_{i+1}$ . Let  $M \models I\Delta_0 + \Omega_{i+1} + T^\#$ . Suppose  $M \models Hcons^{\log_{i+2}}(T + \exists\Pi_m^b)$ . Since  $Hcons^{\log_{i+2}}(T + \phi)$  is not a truth definition for  $\exists\Pi_m^b$  sentences in  $M$ , for some  $\phi \in \exists\Pi_m^b$ ,  $\phi \notin T^\#$ ,  $M \models Hcons^{\log_{i+2}}(T + \phi)$ . But then  $\phi$  is consistent with  $T$  and inconsistent with  $I\Delta_0 + \Omega_{i+1}$ , by maximality of  $T^\#$ . Thus, if in  $M$ ,

- ▶ the amount of consistency of  $\exists\Pi_m^b$  truth  $\supseteq \log_{i+2}$ ,

then  $I\Delta_0 + \Omega_{i+1}$  is not  $\Pi_1$  conservative over  $I\Delta_0 + \Omega_i$ .



# Consistency

Recall By  $Cons^J(T + \Sigma_1)$  we shall mean the sentence stating the following: for every  $\Sigma_1$  sentence  $\eta$  if  $Sat_{\Sigma_1}(\eta)$  holds and  $\eta \in J$ , then  $Cons^J(T + \eta)$  holds.

By  $Cons^J(T + \Pi_1)$  we shall mean the sentence stating the following: for every  $\Pi_1$  sentence  $\eta$  if  $Sat_{\Pi_1}(\eta)$  holds and  $\eta \in J$ , then  $Cons^J(T + \eta)$  holds.

For  $J_T = \mathbb{N}_{T, \Pi_1}$  or  $J_T = \mathbb{N}_{T, \Sigma_1}$ , by definition we have the non Gödel property:

$$T \vdash Cons^{\mathbb{N}_{T, \Pi_1}}(T + \Pi_1),$$

$$T \vdash Cons^{\mathbb{N}_{T, \Sigma_1}}(T + \Sigma_1).$$

$$T + \Pi_1\text{-truth} \vdash \text{Cons}^{\mathbb{N}_{T, \Sigma_1}}(T + \Pi_1)?$$

$$T + \Sigma_1\text{-truth} \vdash \text{Cons}^{\mathbb{N}_{T, \Pi_1}}(T + \Sigma_1)?$$

The answer is NO, even without exponentiation (for  $\exists \Pi_m^b$  and  $\forall \Sigma_m^b$  instead of  $\Sigma_1, \Pi_1$ ).

Thus, we have the Gödel property.

# Consistency

Usually a predicate  $Cons(\cdot)$  is considered as expressing consistency if

$T$  is consistent iff  $\mathbb{N} \models Cons(T)$ .

Some other properties are usually expected, e.g. the Hilbert Bernays derivability conditions:

- ▶  $T \vdash \phi$  implies  $T \vdash Pr_T(\phi)$
- ▶  $T \vdash (Pr_T(\phi) \Rightarrow Pr_T(Pr_T(\phi)))$
- ▶  $T \vdash \left( (Pr_T(\phi) \& Pr_T(\phi \Rightarrow \psi)) \Rightarrow Pr_T(\psi) \right)$

# Consistency

Note two other useful properties:

$Cons(T) \& Pr_T(\phi)$  implies  $Cons(T + \phi)$ .

If  $Cons^J(\cdot)$  denotes  $Cons$  relativized to a definable initial segment  $J$ , then

$Cons^{2J}(T) \& Pr_T^J(\phi)$  implies  $Cons^J(T + \phi)$ .

We shall call the above properties **basic**.

Later we shall consider some unusual consistency predicates  $Cons^J(\cdot)$ , for some initial segments  $J$ , having the basic properties.

# Usual properties of consistency

1.  $Cons(\cdot)$  is  $\Pi_1$
2.  $\Sigma_1$  **completeness**:
  - ▶ (a)  $T \vdash (\eta \Rightarrow Pr_T(\eta))$  for  $\eta \in \Sigma_1$
  - ▶ (b)  $T + Cons(T) \vdash (Pr_T(\eta) \Rightarrow \eta)$  for  $\eta \in \Pi_1$
  - ▶ (c)  $Cons(T) \Leftrightarrow Cons(T + \Sigma_1)$

Remarks:

(a) implies the first Hilbert Bernays condition. From (a)  $Cons(T)$  is provably equivalent to  $Cons(T + \Sigma_1)$ ;

Proof of (b):

Suppose  $T + Cons(T) + Pr_T(\eta) + \neg\eta$ . Then, by (a),  $Pr_T(\neg\eta)$ , whence  $Pr_T(\neg\eta) \& Pr_T(\neg\eta)$ , contradicting  $Cons(T)$ .

# Usual properties of consistency

If we consider  $Cons(T + \Sigma_1 + \cdot)$ , then (a):

$$T \vdash (\eta \Rightarrow Pr_{T+\Sigma_1}(\eta))$$

for  $\eta \in \Sigma_1$ , is for free.

# $Cons^{\mathbb{N}_{T, \Pi_1}}(T + \Sigma_1)$

Consider  $Cons^{J_T}(T + \Sigma_1 + \cdot)$ , where  $J_T = \mathbb{N}_{T, \Pi_1}$ .

1.  $Cons^{J_T}(T + \Sigma_1 + \cdot)$  is  $\Pi_1$  (remark:  $Cons^{\mathbb{N}_{T, \Sigma_1}}(T + \Pi_1 + \cdot)$  is  $\Sigma_1$ )

2.  $\Sigma_1$  **completeness for free**

- ▶  $T \vdash (\eta \Rightarrow Pr_{T+\Sigma_1}^{J_T}(\eta))$  for  $\eta \in \Sigma_1$
- ▶  $T + Cons^{2J_T}(T) \vdash (Pr_{T+\Sigma_1}^{J_T}(\eta) \Rightarrow \eta)$  for  $\eta \in \Pi_1$
- ▶ \*  $Cons^{J_T}(T) \not\equiv Cons^{J_T}(T + \Sigma_1)$



## 3. $\Pi_1$ conservativeness

- ▶ (a)  $T + \neg\text{Cons}(T)$  is  $\Pi_1$  conservative over  $T$
- ▶ (b)  $T + \eta \not\vdash \text{Cons}(T)$ , for  $\eta \in \Sigma_1$
- ▶ (c) If  $T^\# \subseteq \Sigma_1$  is maximal consistent with  $T$ , then " $\neg\text{Cons}(T)$ "  $\in T^\#$

Proof of (a):

Let  $\eta \in \Sigma_1$  and assume that  $T + \eta$  is consistent. Suppose  $T + \eta \vdash \text{Cons}(T)$ . Then  $T + \eta \vdash \text{Cons}(T) \& \text{Pr}_T(\eta)$ , whence  $T + \eta \vdash \text{Cons}(T + \eta)$ , which contradicts the Gödel theorem for  $T + \eta$ .

Proof of (b): similar.

Proof of (c):

Let  $\eta \in \Sigma_1$  and assume that  $T + \eta$  is consistent. If  $T + \eta \vdash \text{Cons}(T)$ , then  $T + \eta \vdash \text{Cons}(T + \eta)$ , which contradicts the Gödel theorem for  $T + \eta$ . Thus  $T + \neg \text{Cons}(T)$  is consistent.

If  $J_T = \mathbb{N}_{T, \Pi_1}$ ,

### 3. $\Pi_1$ conservativeness

- ▶  $T + \neg Cons^{J_T}(T + \Sigma_1)$  is  $\Pi_1$  conservative over  $T$
- ▶  $T + \eta \not\vdash Cons^{J_T}(T + \Sigma_1)$ , for  $\eta \in \Sigma_1$
- ▶ If  $T^\# \subseteq \Sigma_1$  is maximal consistent with  $T$ , then  
“ $\neg Cons^{J_T}(T + \Sigma_1)$ ”  $\in T^\#$

## 4. Gödel:

- ▶ (a)  $T \not\vdash \text{Cons}(T)$ ;
- ▶ (b) If  $T$  is true then  $T \not\vdash \neg \text{Cons}(T)$  (note that  $T + \neg \text{Cons}(T) \vdash \neg \text{Cons}(T + \neg \text{Cons}(T))$ )
- ▶ (c) If  $\theta \Leftrightarrow \text{Cons}(T + \neg \theta)$  provably in  $T$ , then  $\theta \Leftrightarrow \text{Cons}(T)$  provably in  $T$
- ▶ (d)  $T + \text{Cons}(T) \vdash \text{Cons}(T + \neg \text{Cons}(T))$

Proof of (c): Work in  $T$ . Assume  $\theta$ . Then  $\text{Cons}(T + \neg\theta)$ , whence, in particular,  $\text{Cons}(T)$ . Assume  $\text{Cons}(T)$ . Suppose  $\neg\theta$ . Since  $\neg\theta$  is  $\Sigma_1$  we infer  $\text{Cons}(T + \neg\theta)$ , whence  $\theta$ .

Proof of (d): Let  $\theta$  be as in (c). Then, by (c),  
 $T + \text{Cons}(T) \vdash \theta$ , whence, by (c),  
 $T + \text{Cons}(T) \vdash \text{Cons}(T + \neg\theta)$ .

#### 4. Gödel:

If  $J_T = \mathbb{N}_{T, \Pi_1}$ ,

- ▶  $T \not\vdash Cons^{J_T}(T + \Sigma_1)$
- ▶ \*  $T \not\vdash \neg Cons^{J_T}(T + \Sigma_1)$  (this means that  $J_{T + \neg Cons^{J_T}(T + \Sigma_1)} \neq J_T$ )
- ▶ If  $\theta \Leftrightarrow Cons^{J_T}(T + \Sigma_1 + \neg\theta)$  provably in  $T$ , then  $\theta \Leftrightarrow Cons^{J_T}(T + \Sigma_1)$  provably in  $T$
- ▶  $T + Cons^{2J_T}(T + \Sigma_1) \vdash Cons^{J_T}(T + \neg Cons^{J_T}(T + \Sigma_1))$

## Special

5. If  $J_T = \mathbb{N}_{T, \Pi_1}$  and the set of true  $\Pi_1$  sentences is maximal consistent with  $T$  and is not coded, then  $Cons^{J_T}(T + \Sigma_1)$  (consistency holds in short models)
6.  $T \vdash Cons^{J_T}(T + \Pi_1)$
7.  $T + Cons^{2J_T}(T + \Sigma_1)$  is  $\Sigma_1$  conservative over  $T$

# For what $T$ , $\mathbb{N}_{T, \Pi_1}$ , $\mathbb{N}_{T, \Sigma_1}$ have the key properties?

Let  $T$  denote a  $\Pi_2$  axiomatizable consistent recursive theory.  
E.g.  $I\Delta_0 + exp$ ,  $I\Delta_0 + \Omega_1$ .

- ▶  $T$  has pointwise  $\Sigma_1$  definable models. Every model of  $T$  has a  $\Sigma_1$  elementary submodel pointwise  $\Sigma_1$  definable models.
- ▶  $T$  has models in which the set  $\Sigma_1(M)$  of true  $\Sigma_1$  sentences is not coded.



# The key properties of $\mathbb{N}_{T, \Pi_1}$ , $\mathbb{N}_{T, \Sigma_1}$

**Lemma 2.1.** *For every  $n \in \mathbb{N}$  and every model  $M$  of  $T$ ,  $M \models \mathbb{N}_{T, \Pi_1}(n)$ ,  $M \models \mathbb{N}_{T, \Sigma_1}(n)$ .*

**Lemma 2.2.** *For every theory  $T^\# \subseteq \Pi_1$  which is maximal consistent w.r.t.  $T$  and every model  $M$  of  $T + T^\#$  having the property that  $T^\#$  is not coded in  $M$ ,  $\mathbb{N}_{T, \Pi_1}$  defines  $\mathbb{N}$  in  $M$ . For every theory  $T^\# \subseteq \Sigma_1$  which is maximal consistent w.r.t.  $T$  and every model  $M$  of  $T + T^\#$  having the property that  $T^\#$  is not coded in  $M$ ,  $\mathbb{N}_{T, \Sigma_1}$  defines  $\mathbb{N}$  in  $M$ .*

# The key properties of $\mathbb{N}_{T, \Pi_1}$ , $\mathbb{N}_{T, \Sigma_1}$

*Proof.* Let  $M$  satisfy the requirements of the lemma.

We shall show that  $\mathbb{N}_{T, \Sigma_1}$  defines  $\mathbb{N}$  in  $M$ .

For, assume  $x \in \mathbb{N}$ . Let  $t \in \{0, 1\}^x$  be such that

$$t(\varphi) = 1 \text{ iff } M \models \text{Sat}_{\Sigma_1}(\varphi).$$

Then  $t$  is as required in  $\mathbb{N}_{T, \Sigma_1}$ .

Assume now  $\mathbb{N}_{T, \Sigma_1}(x)$  and suppose  $x > \mathbb{N}$ . Take the  $t \in M$  existing by  $\mathbb{N}_{T, \Sigma_1}$ . Then the theory

$$\{\varphi : M \models t(\varphi) = 1\}$$

is consistent with  $T$  since

$M \models$  the theory  $\{\varphi < x : t(\varphi) = 1\}$  is  $x$ -consistent with  $T$ .

# The key properties of $\mathbb{N}_{T, \Pi_1}$ , $\mathbb{N}_{T, \Sigma_1}$

On the other hand this theory contains  $T^\#$ , since whenever  $\varphi$  is true i.e.  $M \models \text{Sat}_{\Sigma_1}(\varphi)$ , then  $t(\varphi) = 1$ .  
So, by the maximality of  $T^\#$ , the theory

$$\{\varphi : M \models t(\varphi) = 1\}$$

equals  $T^\#$ . But so,  $t$  is its code on  $M$ . Contradiction.

# Existence of models whose $\Sigma_1$ truth is not coded

**Theorem 2.3.** *If  $M \models T$  is pointwise  $\Sigma_1$  definable then  $\Sigma_1(M)$  is not coded in  $M$ .*

*Proof.* Suppose the converse. Let  $x \in M$  be a code for  $\Sigma_1(M)$ . Let  $\eta$  be the  $\Sigma_1$  definition of  $X$ . Then we have for  $\phi$  running over  $\Sigma_1$  sentences:

$$\phi \text{ iff } \forall x (\eta(x) \Rightarrow \phi \in x).$$

This gives a  $\Pi_1$  definition of the  $\Sigma_1$  truth. Contradiction with the Tarski theorem.

# Existence of models whose $\Sigma_1$ or $\exists\Pi_m^b$ truth is not coded

**Theorem 2.4.** *Every model for  $I\Delta_0$  has a  $\Sigma_1$  elementary submodel satisfying  $I\Delta_0 + B\Sigma_1$  whose  $\Sigma_1$  truth is not coded.*

(A. J. Wilkie and J. B. Paris, On the existence of end extensions of models of bounded induction, in: Proceedings of the International Congress of Logic, Philosophy and Methodology of Sciences, Moscow 1987.)

Let  $J_T$  be  $\Pi_1$  definable.

**Lemma 3.1.** *Let  $\theta$  be the diagonal sentence such that*

$$T \vdash (\theta \Leftrightarrow \text{Cons}^{J_T}(T + \Pi_1 + \neg\theta)).$$

*Call  $\theta$  the Gödel sentence.*

*Then*

$$T \vdash (\theta \Leftrightarrow \text{Cons}^{J_T}(T + \Pi_1)).$$

*Proof.* Work in  $T$ . Assume  $\theta$ . Then, in particular,  $\text{Cons}^{J_T}(T + \Pi_1)$ . Assume now  $\neg\theta$ . Suppose  $\neg\theta$ . Since  $\neg\theta$  is  $\Pi_1$  we infer  $\text{Cons}^{J_T}(T + \Pi_1 + \neg\theta)$ . Hence  $\theta$ .

**Lemma 3.2.** *Let  $\theta$  be the diagonal sentence such that*

$$T \vdash (\theta \Leftrightarrow \text{Cons}(T + \Sigma_1 + \neg\theta)).$$

*Call  $\theta$  the Gödel sentence.*

*Then*

$$T \vdash (\theta \Leftrightarrow \text{Cons}(T + \Sigma_1)).$$

*Proof.*

Work in  $T$ . Assume  $\theta$ . Then  $\text{Cons}(T + \Sigma_1 + \neg\theta)$ , whence, in particular,  $\text{Cons}(T + \Sigma_1)$ . Assume  $\text{Cons}(T + \Sigma_1)$ . Suppose  $\neg\theta$ . Since  $\neg\theta$  is  $\Sigma_1$  we infer  $\text{Cons}(T + \Sigma_1 + \neg\theta)$ , whence  $\theta$ .

**Corollary**

$$T \not\vdash \text{Cons}(T + \Sigma_1).$$

**Lemma 3.3.** *The sentence  $\text{Cons}^{J_T}(T + \Pi_1)$  is independent from  $T$ .*

*Proof.* To see that the theory  $T + \text{Cons}^{J_T}(T + \Pi_1)$  is consistent it suffices to observe that it is true in every model  $M$  of  $T$  in which  $J_T^M = \mathbb{N}$ .

We shall prove that  $T \not\vdash \text{Cons}^{J_T}(T + \Pi_1)$ . Suppose the converse. Let  $\theta$  Gödel sentence. Then, by Lemma 3.1,  $T \vdash \theta$ . Let  $M$  be a model of  $T$ . Then  $M \models \theta$ . Thus,  $M \models \text{Cons}^{J_T}(T + \Pi_1 + \neg\theta)$ . Since  $J_T^M \supseteq \mathbb{N}$ , the theory  $T + \neg\theta$  is consistent. But on the other hand  $T \vdash \theta$ . Contradiction.



# In weak arithmetic

Here we replace  $\Sigma_1$  by  $\exists\Pi_m^b$  and  $\Pi$  by  $\forall\Sigma_m^b$ . In particular we consider the  $\forall\Sigma_m^b$  formula  $\mathbb{N}_{T, \exists\Pi_m^b}$ . It has the key properties. We let  $J_T$  be  $\mathbb{N}_{T, \exists\Pi_m^b}$ .

1.  $Cons^{\mathbb{N}_{T, \exists\Pi_m^b}}(\cdot + \forall\Sigma_m^b)$  is  $\exists\Pi_m^b$

2.  $\forall\Sigma_m^b$  completeness

- ▶  $T \vdash (\eta \Rightarrow Pr_{T + \forall\Sigma_m^b}^{\mathbb{N}_{T, \exists\Pi_m^b}}(\eta))$  for  $\eta \in \forall\Sigma_m^b$
- ▶  $T + Cons^{2\forall\Sigma_m^b}(T) \vdash (Pr_{T + \forall\Sigma_m^b}^{\mathbb{N}_{T, \exists\Pi_m^b}}(\eta) \Rightarrow \eta)$  for  $\eta \in \exists\Pi_m^b$
- ▶ \*  $Cons^{\mathbb{N}_{T, \exists\Pi_m^b}}(T) \not\equiv Cons^{\mathbb{N}_{T, \exists\Pi_m^b}}(T + \forall\Sigma_m^b)$

## 3. $\exists\Pi_m^b$ conservativeness

- ▶  $T + \neg\text{Cons}^{\mathbb{N}_{T, \exists\Pi_m^b}}(T + \forall\Sigma_m^b)$  is  $\exists\Pi_m^b$  conservative over  $T$
- ▶  $T + \eta \not\vdash \text{Cons}^{\mathbb{N}_{T, \exists\Pi_m^b}}(T + \forall\Sigma_m^b)$ , for  $\eta \in \forall\Sigma_m^b$
- ▶ If  $T^\# \subseteq \forall\Sigma_m^b$  is maximal consistent with  $T$ , then  
“ $\neg\text{Cons}^{\mathbb{N}_{T, \exists\Pi_m^b}}(T + \forall\Sigma_m^b)$ ”  $\in T^\#$

## 4. Gödel:

- ▶  $T \not\vdash \text{Cons}^{\mathbb{N}}_{T, \exists \Pi_m^b}(T + \forall \Sigma_m^b)$
- ▶ \*  $T \not\vdash \neg \text{Cons}^{\mathbb{N}}_{T, \exists \Pi_m^b}(T + \forall \Sigma_m^b)$   
(this means that  $\mathbb{N}_{T + \neg \text{Cons}^{\mathbb{N}}_{T, \exists \Pi_m^b}(T + \forall \Sigma_m^b), \exists \Pi_m^b} \neq \mathbb{N}_{T, \exists \Pi_m^b}$ )
- ▶ If  $\theta \Leftrightarrow \text{Cons}^{\mathbb{N}}_{T, \exists \Pi_m^b}(T + \forall \Sigma_m^b + \neg \theta)$  provably in  $T$ , then  $\theta \Leftrightarrow \text{Cons}^{\mathbb{N}}_{T, \exists \Pi_m^b}(T + \forall \Sigma_m^b)$  provably in  $T$
- ▶  $T + \text{Cons}^{2\forall \Sigma_m^b}(T + \forall \Sigma_m^b) \vdash$   
 $\text{Cons}^{\mathbb{N}}_{T, \exists \Pi_m^b}(T + \neg \text{Cons}^{\mathbb{N}}_{T, \exists \Pi_m^b}(T + \forall \Sigma_m^b))$

## Special

5. If the set of true  $\exists\Pi_m^b$  sentences is maximal consistent with  $T$  and is not coded, then  $Cons^{\mathbb{N}}_{T, \exists\Pi_m^b}(T + \forall\Sigma_m^b)$  (consistency holds in tall models)
6.  $T \vdash Cons^{\mathbb{N}}_{T, \exists\Pi_m^b}(T + \exists\Pi_m^b)$
7.  $T + Cons^{2\mathbb{N}}_{T, \exists\Pi_m^b}(T + \forall\Sigma_m^b)$  is  $\forall\Sigma_m^b$  conservative over  $T$