## On the independence of $\sigma(\phi(n))$ and $\phi(\sigma(n))$

by

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1. Introduction. In [3], two arithmetic functions $f(n)$ and $g(n)$ are called independent if for every positive integer $k$ and any two permutations $i_{1}, \ldots, i_{k}$ and $j_{1}, \ldots, j_{k}$ of $\{1, \ldots, k\}$ there exist infinitely many positive integers $n$ such that

$$
f\left(n+i_{1}\right)<f\left(n+i_{2}\right)<\cdots<f\left(n+i_{k}\right)
$$

and

$$
g\left(n+j_{1}\right)>g\left(n+j_{2}\right)>\cdots>g\left(n+j_{k}\right) .
$$

In [3], it was shown that the number of distinct prime factors $\omega(n)$ of $n$ and the number of divisors $\tau(n)$ of $n$ are independent. In [2], it was shown that the Euler function $\phi(n)$ and the Carmichael function $\lambda(n)$ are independent. We recall that $\phi(n)$ is the cardinality of the group of invertible elements modulo $n$, while $\lambda(n)$ is the exponent (maximal order of elements) of this group. In this paper, we prove that the compositions $\sigma(\phi(n))$ and $\phi(\sigma(n))$ are independent in the above sense.

Theorem 1. The functions $\sigma(\phi(n))$ and $\phi(\sigma(n))$ are independent.
We recall that the compositions $\sigma \circ \phi$ and $\phi \circ \sigma$ have already been investigated in a series of papers [7], [1], [6]. A similar method can be used to prove that $\phi \circ \phi$ and $\sigma \circ \sigma$ are independent. We do not enter into details. On the other hand, it would be interesting to find an effective version of the above theorem, namely to find explicit sequences of $n$ 's tending to infinity with $k$ for which the two inequalities

$$
\begin{equation*}
\sigma\left(\phi\left(n+i_{1}\right)\right)<\sigma\left(\phi\left(n+i_{2}\right)\right)<\cdots<\sigma\left(\phi\left(n+i_{k}\right)\right) \tag{1}
\end{equation*}
$$

and

$$
\begin{equation*}
\phi\left(\sigma\left(n+j_{1}\right)\right)>\phi\left(\sigma\left(n+j_{2}\right)\right)>\cdots>\phi\left(\sigma\left(n+j_{k}\right)\right) \tag{2}
\end{equation*}
$$

hold. We leave this as a challenge to the reader.

[^0]Throughout this paper, we use the Vinogradov symbols $\gg$ and $\ll$ as well as the Landau symbol $O$ with their usual meanings. All the implied constants depend at most on the number $k$. Sometimes we will emphasize this dependence by writing $O_{k}$, etc.
2. The proof. We assume that $k \geq 2$, as otherwise there is nothing to prove. We take $K=k!^{2}$ and let $\lambda_{1}, \ldots, \lambda_{k}$ be $k$ distinct primes $>k^{2}$. We put $\Lambda=\prod_{i=1}^{k} \lambda_{i}, N=K \Lambda$ and let $n_{0}(\bmod N)$ be the solution of the system of congruences

$$
n \equiv 0(\bmod K), \quad n+i \equiv \lambda_{i}\left(\bmod \lambda_{i}^{2}\right) \quad \text { for all } i=1, \ldots, k .
$$

The congruence class $n_{0}(\bmod N)$ exists by the Chinese Remainder Lemma. We assume that $n_{0}>0$ is the smallest positive integer in its congruence class modulo $N$.

If $n \equiv n_{0}(\bmod N)$, then there exists a positive integer $m$ such that $n=N m+n_{0}$. Furthermore,
$n+i=\left(N m+n_{0}\right)+i=i \lambda_{i}\left(\frac{N}{i \lambda_{i}} m+\frac{n_{0}+i}{i \lambda_{i}}\right):=i \lambda_{i}\left(a_{i} m+b_{i}\right), \quad i=1, \ldots, k$.
For a positive integer $m$ we write $p(m)$ for the smallest prime factor of $m$.
We let $x>N, u$ a positive integer depending on $k$ to be determined later and put

$$
\begin{equation*}
\mathcal{E}(x)=\left\{1 \leq m \leq x: p\left(a_{i} m+b_{i}\right)>x^{1 / u} \text { for all } i=1, \ldots, k\right\} . \tag{3}
\end{equation*}
$$

Lemma 1. There exist constants $u_{0} \geq 3, c_{1}, c_{2}, x_{0}$ depending on $k$ such that if $x>x_{0}, u>u_{0}$ and $x^{1 / u}>N^{2}$, then

$$
\# \mathcal{E}(x)=c(x) \frac{x u^{k}}{(\log x)^{k}} \quad \text { for some } c(x) \in\left[c_{1}, c_{2}\right] .
$$

Proof. This follows easily from the Fundamental Lemma of the Combinatorial Sieve. Indeed, let

$$
F_{i}(m)=a_{i} m+b_{i} \quad \text { for } i=1, \ldots, k,
$$

and put

$$
F(m)=\prod_{i=1}^{k} F_{i}(m) .
$$

For a prime number $p$ put $\rho(p)=\#\{1 \leq m \leq p-1: F(m) \equiv 0(\bmod p)\}$. Note that, if $p \mid N$, then $p \mid a_{i}$ for all $i=1, \ldots, k$ but $p \nmid b_{i}$ for any $i=1, \ldots, k$. Thus, $\rho(p)=0$ for such primes $p$. On the other hand, if $p \nmid N$, then $p \nmid a_{i}$ for any $i=1, \ldots, k$. In particular, $m \equiv b_{i} a_{i}^{-1}(\bmod p)$ is a solution of $F(m) \equiv 0$ $(\bmod m)$. Let us show that these solutions are distinct. If not, there are $i \neq j$
such that

$$
p\left|a_{i} b_{j}-a_{j} b_{i}=\frac{1}{i j \lambda_{i} \lambda_{j}}\left(N\left(n_{0}+j\right)-N\left(n_{0}+i\right)\right)=\frac{N(j-i)}{i j \lambda_{i} \lambda_{j}}\right| N^{2}
$$

therefore $p \mid N$, which is a contradiction. Thus, $\rho(p)=k$ for all $p \nmid N$. The version of the Fundamental Lemma of the Combinatorial Sieve appearing on page 85 in [5] (Theorem 2.6) together with the remarks on the bottom of page 86 there show that for $x^{1 / u}>N^{2}$, we have
(4) $\# \mathcal{E}(x)$

$$
\begin{aligned}
= & x \prod_{p \leq x^{1 / u}}\left(1-\frac{\rho(p)}{p}\right)\left\{1+O_{k}(\exp (-u(\log u-\log \log 3 u-k-2)))\right\} \\
& +O_{k}\left(\frac{x}{\exp (\sqrt{\log x})}\right)
\end{aligned}
$$

Since $x^{1 / u}>N^{2}$, we have

$$
\prod_{p \leq x^{1 / u}}\left(1-\frac{\rho(p)}{p}\right)=\prod_{k<p \leq x^{1 / u}}\left(1-\frac{k}{p}\right) \prod_{i=1}^{k}\left(1-\frac{k}{\lambda_{i}}\right)^{-1}
$$

Note that for the second product above, since $\lambda_{i}>k^{2}$ for $i=1, \ldots, k$, we have

$$
\begin{equation*}
1<\prod_{i=1}^{k}\left(1-\frac{k}{\lambda_{i}}\right)^{-1}<\left(1-\frac{1}{k}\right)^{-k} \leq 4 \tag{5}
\end{equation*}
$$

whereas for the first, we have

$$
\begin{align*}
\prod_{k<p<x^{1 / u}}\left(1-\frac{k}{p}\right) & =\exp \left(\sum_{k<p<x^{1 / u}} \log \left(1-\frac{k}{p}\right)\right)  \tag{6}\\
& =\exp \left(-\sum_{k<p<x^{1 / u}} \frac{k}{p}+O\left(\sum_{p>k} \frac{k}{p^{2}}\right)\right) \\
& =\exp \left(-k\left(\log \log x^{1 / u}-\log \log k\right)+O\left(\frac{k}{\log k}\right)\right) \\
& =\exp (k \log u-k \log \log x+O(k \log \log k)) \\
& =\frac{u^{k}}{(\log x)^{k}} \exp (O(k \log \log k))
\end{align*}
$$

We now choose $u_{0}:=u_{0}(k) \geq 3$ such that for $u>u_{0}$,

$$
1+O_{k}(-u(\log u-\log \log (3 u)-k-2)) \in[1 / 2,2]
$$

Next, we note that by inequalities (5), (6) and estimate (4),

$$
\# \mathcal{E}(x)=c_{1}(x) \frac{x u^{k}}{(\log x)^{k}}+O_{k}\left(\frac{x}{\exp (\sqrt{\log x})}\right)
$$

where $c_{1}(x) \in[\alpha, \beta]$, with $\alpha<\beta$ some positive constants depending on $k$. Taking now $x_{0}$ sufficiently large with respect to $k$, since $u \geq 3$, it follows that the desired estimate holds with $c_{1}=\alpha / 2$ and $c_{2}=2 \beta$.

We now shrink $\mathcal{E}(x)$ by removing some of its elements. Recall that for a positive integer $m$ its Möbius function is $\mu(m)=(-1)^{\omega(m)}$ if $m$ is squarefree and $\mu(m)=0$ otherwise. Let

$$
\begin{aligned}
& \mathcal{E}_{1}(x)=\left\{m \in \mathcal{E}(x): \mu\left(F_{i}(m)\right)=0 \text { for some } i=1, \ldots, k\right\} \\
& \mathcal{E}_{2}(x)=\left\{m \in \mathcal{E}(x): F_{i}(m) \text { is prime for some } i=1, \ldots, k\right\}
\end{aligned}
$$

Next we give upper bounds for the cardinalities of $\mathcal{E}_{i}(x)$ for $i=1,2$.
Lemma 2. We keep the notations and hypothesis of Lemma 1. Then
(i) There exists a positive constant $c_{3}$ depending on $k$ such that

$$
\# \mathcal{E}_{1}(x) \leq c_{3} x^{1-1 / u}
$$

(ii) There exists a positive constant $c_{4}$ depending on $k$ such that

$$
\# \mathcal{E}_{2}(x) \leq c_{4} \frac{x u^{k-1}}{(\log x)^{k}}
$$

Proof. (i) Assume that $m \in \mathcal{E}(x)$ and $p^{2} \mid F_{i}(m)$ for some $i=1, \ldots, k$. Fix $i$ and $p$. Then $m \equiv-b_{i} a_{i}^{-1}\left(\bmod p^{2}\right)$. Since $m \leq x$, the number of such $m$ is $\leq\left\lfloor x / p^{2}\right\rfloor+1 \leq x / p^{2}+1$. Note that since $x^{1 / u}>N^{2}$, we get $a_{i} m+b_{i} \leq N m+n_{0}+k \leq 3 N m \leq 3 x^{1+1 / 2 u}<x^{1+1 / u}$, and since $p^{2} \mid a_{i} m+b_{i}$, we obtain $p \leq x^{1 / 2+1 / 2 u}<x^{2 / 3}$. Thus, summing up over all possible values of $i \in\{1, \ldots, k\}$ and $p \in\left(x^{1 / u}, x^{2 / 3}\right)$ yields

$$
\# \mathcal{E}_{1}(x) \leq k x \sum_{p>x^{1 / u}} \frac{1}{p^{2}}+k \pi\left(x^{2 / 3}\right) \leq c_{3} x^{1-1 / u}
$$

where $c_{3}>0$ is some constant depending on $k$, as desired.
(ii) This follows easily from Brun's sieve. Indeed, let us fix $i \in\{1, \ldots, k\}$ and estimate the cardinality of the subset of $m \in \mathcal{E}(x)$ such that $F_{i}(m)$ is prime. This set is contained in the set of those $m \leq x$ such that either $m<x^{1 / 2}$, or

$$
p\left(F_{i}(m)\right)>x^{1 / 2} \quad \text { and } \quad p\left(F_{j}(m)\right)>x^{1 / u} \quad \text { for all } j \neq i \in\{1, \ldots, k\}
$$

This last problem is a sieving problem. In this case, $\rho(p)=\#\{0 \leq m \leq$ $p-1: F(m) \equiv 0(\bmod p)\}$ equals 0 if $p \mid N, k$ if $p \nmid N$ and $p<x^{1 / u}$, and 1 if $x^{1 / u} \leq x \leq x^{1 / 2}$. By the Brun sieve (see Theorem 2.4 on page 76 in [5]),
the number of such $m \in\left[x^{1 / 2}, x\right]$ is

$$
\begin{equation*}
\ll x \prod_{\substack{k<p<x^{1 / u} \\ p \nmid N}}\left(1-\frac{k}{p}\right) \prod_{\substack{x^{1 / u}<p<x^{1 / 2}}}\left(1-\frac{1}{p}\right) \tag{7}
\end{equation*}
$$

where the implied constant is absolute. The proof of Lemma 1 shows that the first product is $O_{k}\left(u^{k} /(\log x)^{k}\right)$. Mertens' formula shows that the second product is

$$
\begin{equation*}
\frac{\log \left(x^{1 / u}\right)}{\log x}\left(1+O\left(\frac{1}{\log \left(x^{1 / u}\right)}\right)\right)=u^{-1}\left(1+O\left(\frac{u}{\log x}\right)\right) \tag{8}
\end{equation*}
$$

Thus,

$$
\#\left\{m \in \mathcal{E}(x): F_{i}(m) \text { is prime }\right\} \leq \sqrt{x}+O_{k}\left(\frac{x u^{k-1}}{(\log x)^{k}}\right)
$$

Summing up the above inequality over $k$ implies the desired estimate.
It follows from Lemmas 1 and 2 that the set of $m \in \mathcal{E}(x)$ such that $F_{i}(m)$ is squarefree for each $i=1, \ldots, k$ and none is a prime, denoted by $\mathcal{E}_{3}(x)$, has cardinality

$$
\geq c_{1} \frac{u^{k} x}{(\log x)^{k}}-c_{3} x^{1-1 / u}-\frac{c_{4} x u^{k-1}}{(\log c x)^{k}}
$$

where $c_{1}, c_{3}$ and $c_{4}$ depend only on $k$. We see therefore that there exists $u_{1} \geq \max \left\{3,2 c_{4} / c_{1}\right\}$ and $x_{1}$ depending on $k$ such that for $u=u_{1}$ and $x \geq x_{1}$, the above number is $\geq\left(c_{1} / 2\right) u_{1}^{k} x /(\log x)^{k}$. From now on, we shall assume that $u=u_{1}$ and that $x \geq x_{1}$, so that

$$
\# \mathcal{E}_{3}(x) \geq c_{5} \frac{x^{k}}{(\log x)^{k}}
$$

where we put $c_{5}=c_{1} / 2$.
Now let $\delta>0$ be some parameter depending on $k$, to be fixed later. We need the following result concerning the distribution of primes $p$ such that either $p-1$ has a large divisor sum, or $p+1$ has a small Euler function.

Lemma 3.
(i) Let $\delta>1$ and $\mathcal{P}_{\delta}=\{p: \sigma(p-1)>\delta(p-1)\}$. Then

$$
\mathcal{P}_{\delta}(x):=\#\left(\mathcal{P}_{\delta} \cap[1, x]\right)=O(\pi(x) / \log \delta) .
$$

(ii) Let $\delta>1$ and $\mathcal{Q}_{\delta}=\left\{p: \phi(p+1)<\delta^{-1}(p+1)\right\}$. Then

$$
\mathcal{Q}_{\delta}(x):=\#\left(\mathcal{Q}_{\delta} \cap[1, x]\right)=O(\pi(x) / \log \delta)
$$

Proof. (i) We put

$$
h(n)=\sum_{p \mid n} \frac{1}{p-1} .
$$

Observe that for $p \in \mathcal{P}_{\delta}$ we have

$$
\delta<\frac{\sigma(p-1)}{p-1}<\frac{p-1}{\phi(p-1)}=\prod_{q \mid p-1}\left(1+\frac{1}{q-1}\right)<\exp (h(p-1))
$$

therefore $h(p-1)>\log \delta$. Next, put

$$
S(x)=\sum_{p \leq x} h(p-1)=\sum_{p \leq x} \sum_{q \mid p-1} \frac{1}{q-1} .
$$

We interchange the order of summation above and get

$$
S(x)=\sum_{q \leq x} \frac{1}{q-1} \sum_{\substack{p \leq x \\ p \equiv 1(\bmod q)}} 1=\sum_{q \leq x} \frac{\pi(x ; 1, q)}{q-1}
$$

where, as usual, we write $\pi(x ; a, b)$ for the number of primes $p \leq x$ in the arithmetic progression $p \equiv a(\bmod b)$. We split the above sum at $x^{1 / 2}$. In the lower range, we use the Montgomery-Vaughan [8] estimate

$$
\pi(x ; 1, q) \leq \frac{2 x}{\log (x / q)} \leq \frac{4 x}{\log x}
$$

while in the upper range we use the trivial inequality $\pi(x ; 1, q) \leq x / q$. We get

$$
S(x) \leq \sum_{q \leq x^{1 / 2}} \frac{4 x}{q(q-1) \log x}+x \sum_{x^{1 / 2}<q<x} \frac{1}{q(q-1)} \ll \frac{x}{\log x}+x^{1 / 2} \ll \pi(x)
$$

On the other hand, it is clear that $S(x) \geq \# \mathcal{P}_{\delta}(x) \log \delta$. Hence,

$$
\# \mathcal{P}_{\delta}(x) \log (\delta) \ll \pi(x)
$$

which implies the desired estimate.
(ii) Since $\phi(m) / m>\left(6 / \pi^{2}\right) m / \sigma(m)$, it follows that if $\phi(p+1) /(p+1)$ $<\delta^{-1}$, then $\sigma(p+1) /(p+1)>6 \delta / \pi^{2}$. Now the conclusion follows by typographical changes from the preceding argument (say, replace $p-1$ above by $p+1)$.

We are now ready to remove some more elements from $\mathcal{E}(x)$.
Lemma 4. Let $\delta>1$. There exists a constant $c_{6}$ which depends only on $k$ such that if

$$
\mathcal{E}_{4}(x):=\left\{m \in \mathcal{E}(x): p \mid F(m) \text { for some } p \in \mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}\right\}
$$

then

$$
\# \mathcal{E}_{4}(x) \leq c_{6} \frac{x^{k}}{(\log \delta)(\log x)^{k}}
$$

Proof. We fix $i=1, \ldots, k$ and assume that $m \in \mathcal{E}_{3}(x)$ and that $p \mid F_{i}(m)$ for some $p \in \mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}$. We fix $p$. The congruence $a_{i} m+b_{i} \equiv 0(\bmod p)$ puts $m$ in the congruence class $-b_{i} a_{i}^{-1}$ modulo $p$. Let $m_{p}$ be the smallest
positive integer $m$ in this congruence class and write $m=p l+m_{p}$. Note that $a_{i} m+b_{i}<3 N m<3 x^{1+1 / 2 u}$ and $\omega\left(a_{i} m+b_{i}\right) \geq 2$. Hence, $\left(a_{i} m+b_{i}\right) / p$ is divisible by a prime $q>x^{1 / u}$, giving $3 x^{1+1 / 2 u} / p>x^{1 / u}$, therefore $x / p>$ $x^{1 / 2 u} / 3>x^{1 / 4 u}$, where the last inequality holds because $x^{1 / 2 u}>N>3^{2}$. Observe that since $m \leq x$, we get $l<x / p$. Hence, we are led to count the number of nonnegative integers $l \leq x / p$ such that $a_{i} l+\left(b_{i}+m_{p}\right) / p$ and $F_{j}(m)=a_{i} p l+\left(a_{i} m_{p}+b_{i}\right)$ for $j \neq i$ are $k$ linear forms in $l$ all free of factors $<x^{1 / u}$. By the Brun sieve, the number of such $l$ 's is

$$
\ll \frac{x}{p(\log (x / p))^{k}} \ll \frac{x}{p\left(\log \left(x^{1 / 4 u}\right)\right)^{k}} \ll \frac{x(4 u)^{k}}{(\log x)^{k}} .
$$

Since $u$ depends on $k$, we conclude that the above number is $<_{k} x / p(\log x)^{k}$. Summing up over $i=1, \ldots, k$ and then over $p \in \mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}$, we get

$$
\begin{equation*}
\# \mathcal{E}_{4}(x) \ll k \frac{x}{(\log x)^{k}} \sum_{\substack{x^{1 / u}<p<x^{1+1 / u} \\ p \in \mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}}} \frac{1}{p} . \tag{9}
\end{equation*}
$$

Lemma 3 and Abel's summation formula show that uniformly in $3 \leq y<z$ we have

$$
\begin{aligned}
\sum_{\substack{y<p<z \\
p \in \mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}}} \frac{1}{p} & \ll \frac{\# \mathcal{P}_{\delta}(y)+\# \mathcal{Q}_{\delta}(y)}{y}+\int_{y}^{z} \frac{d\left(\mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}\right)(t)}{t} \\
& \ll \frac{\pi(y)}{(\log \delta) y}+\int_{y}^{z} \frac{d \pi(t)}{(\log \delta) t} \ll \frac{1}{\log \delta}\left(1+\int_{y}^{z} \frac{d t}{t \log t}\right) \\
& \leq \frac{1}{\log \delta}(1+\log \log z-\log \log y) .
\end{aligned}
$$

With $y=x^{1 / u}, z=x^{1+1 / u}$, we get

$$
\begin{aligned}
\sum_{\substack{x^{1 / u}<p<x^{1+1 / u} \\
p \in \mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}}} \frac{1}{p} & \ll \frac{1}{\log \delta}\left(1+\log \log \left(x^{1+1 / u}\right)-\log \log x^{1 / u}\right) \\
& =\frac{1}{\log \delta}(1+\log (u+1)) \ll k \frac{1}{\log \delta},
\end{aligned}
$$

where the last inequality follows from the fact that $u$ is fixed in terms of $k$. The above estimate together with estimate (9) completes the proof of the lemma.

Lemma 4 shows that if we choose $\delta=\delta_{1}=\exp \left(2 c_{6} / c_{5}\right)$, then the set $\mathcal{E}_{5}(x)$ of $m \in \mathcal{E}_{3}(x)$ such that no prime factor of $F_{i}(m)$ for $i=1, \ldots, k$ is in $\mathcal{P}_{\delta} \cup \mathcal{Q}_{\delta}$, has cardinality

$$
\geq c_{7} \frac{x}{(\log x)^{k}}, \quad \text { where } \quad c_{7}=c_{5} / 2
$$

From now on, we work with this value of $\delta$.

Let $x$ be large and $m \in \mathcal{E}_{5}(x)$. With $n=N m+n_{0}$, we have

$$
n+i=i \lambda_{i}\left(a_{i} m+b_{i}\right)=i \lambda_{i} p_{1}^{(i)} \cdots p_{s_{i}}^{(i)},
$$

where since $a_{i} m+b_{i}<3 N m<x^{1+1 / u}$ but each $p_{l}^{(j)}>x^{1 / u}$, we have $s_{i} \leq u$. Thus,
$\phi(n+i)=\phi(i)\left(\lambda_{i}-1\right) \prod_{l=1}^{s_{i}}\left(p_{l}^{(i)}-1\right), \quad \sigma(n+i)=\sigma(i)\left(\lambda_{i}+1\right) \prod_{l=1}^{s_{i}}\left(p_{l}^{(i)}+1\right)$.
Observe that since $a \sigma(b) \leq \sigma(a b) \leq \sigma(a) \sigma(b)$, we have

$$
\sigma\left(\lambda_{i}-1\right) \phi(i) \prod_{l=1}^{s_{i}}\left(p_{l}^{(i)}-1\right) \leq \sigma(\phi(n+i)) \leq \sigma\left(\lambda_{i}-1\right) \sigma(\phi(i)) \prod_{l=1}^{s_{i}} \sigma\left(p_{l}^{(i)}-1\right)
$$

for all $i=1, \ldots, k$. Thus,

$$
\begin{aligned}
\frac{\sigma\left(\lambda_{i}-1\right) \phi(i)}{\lambda_{i} i} \prod_{l=1}^{s_{i}}\left(1-\frac{1}{p_{l}^{(i)}}\right) & \leq \frac{\sigma(\phi(n+i))}{n+i} \\
& \leq \frac{\sigma\left(\lambda_{i}-1\right) \sigma(\phi(i))}{\lambda_{i} i} \prod_{l=1}^{s_{i}} \frac{\sigma\left(p_{l}^{(i)}-1\right)}{p_{l}^{(i)}}
\end{aligned}
$$

for all $i=1, \ldots, k$. We put $c_{i}=\phi(i) / i$ and $d_{i}=\sigma(\phi(i))$ for $i=1, \ldots, k$. Since $p_{i}^{(1)}, \ldots, p_{i}^{\left(s_{i}\right)}$ are all primes $>x^{1 / u}$ and not in $\mathcal{P}_{\delta}$, the above inequality yields

$$
\begin{equation*}
c_{i} \frac{\sigma\left(\lambda_{i}-1\right)}{\lambda_{i}}\left(1-\frac{1}{x^{1 / u}}\right)^{u} \leq \frac{\sigma(\phi(n+i))}{n+i} \leq d_{i} \frac{\sigma\left(\lambda_{i}-1\right)}{\lambda_{i}} \delta^{u} . \tag{10}
\end{equation*}
$$

A similar argument based on the inequality $\phi(a) \phi(b) \leq \phi(a b) \leq \phi(a) b$ shows that

$$
\begin{equation*}
e_{i} \frac{\phi\left(\lambda_{i}+1\right)}{\lambda_{i}} \delta^{-u} \leq \frac{\phi(\sigma(n+i))}{n+i} \leq f_{i} \frac{\phi\left(\lambda_{i}+1\right)}{\lambda_{i}}\left(1+\frac{1}{x^{1 / u}}\right)^{u}, \tag{11}
\end{equation*}
$$

where $e_{i}=\phi(\sigma(i)) / i$ and $f_{i}=\sigma(i) / i$ for all $i=1, \ldots, k$.
The above inequalities show that it suffices to construct primes $\lambda_{1}, \ldots, \lambda_{k}$ such that

$$
\begin{equation*}
d_{i_{l}} \frac{\sigma\left(\lambda_{i_{l}}-1\right)}{\lambda_{i_{l}}} \delta^{u}<c_{i_{l+1}} \frac{\sigma\left(\lambda_{i_{l+1}}-1\right)}{\lambda_{i_{l+1}}} \tag{12}
\end{equation*}
$$

for all $l=1, \ldots, k-1$, and also

$$
\begin{equation*}
e_{j_{l}} \frac{\phi\left(\lambda_{j_{l}}+1\right)}{\lambda_{j_{l}}} \delta^{-u}>f_{j_{l+1}} \frac{\phi\left(\lambda_{j_{l+1}}+1\right)}{j_{l+1}} \tag{13}
\end{equation*}
$$

for all $l=1, \ldots, k-1$. Indeed, once these inequalities hold, for large $x$ inequalities (10) and (11) will show that (1) and (2) are satisfied for $n \in \mathcal{E}_{5}(x)$.

But this is easy. Namely, we start by constructing inductively pairs $\left(A_{i}, B_{i}\right)$ of integers such that $12 \mid A_{i}, B_{i} \equiv 2(\bmod 12), \operatorname{gcd}\left(A_{i}, B_{i}\right)=2$ and furthermore

$$
\begin{equation*}
d_{i_{l}} \frac{\sigma\left(A_{i_{l}}\right)}{A_{i_{l}}} \delta^{u}<c_{i_{l+1}} \frac{\sigma\left(A_{i_{l+1}}\right)}{A_{i_{l+1}}} \tag{14}
\end{equation*}
$$

for all $l=1, \ldots, k-1$ and

$$
\begin{equation*}
e_{j_{l}} \frac{\phi\left(B_{j_{l}}\right)}{B_{j_{l}}} \delta^{-u}>f_{j_{l+1}} \frac{\phi\left(B_{j_{l+1}}\right)}{B_{j_{l+1}}} \tag{15}
\end{equation*}
$$

for all $l=1, \ldots, k-1$. The fact that this is possible follows from a result of Erdős and Schinzel [4] (see also the recent paper of Wong [9]). Let $z$ be larger than $\max \left\{A_{i}, B_{i}: i=1, \ldots, k\right\}$ and let $Q_{i}$ be the product of all primes $p \leq z$ which do not divide $A_{i} B_{i}$. Consider the arithmetic progression $\lambda_{i} \equiv 1+A_{i}\left(\bmod A_{i}^{2}\right), \lambda_{i} \equiv-1+B_{i}\left(\bmod B_{i}^{2}\right)$ and $\lambda_{i} \equiv 2\left(\bmod Q_{i}^{2}\right)$. This progression is solvable by the Chinese Remainder Lemma and yields a residue class modulo $M=2^{2} \prod_{p \leq z} p^{2}$ which is coprime to the modulus. Moreover, if $\lambda_{i}$ is in the above progression modulo $M$, then $\left(\lambda_{i}-1\right) / A_{i}$ and $\left(\lambda_{i}+1\right) / B_{i}$ are both integers coprime to all primes $p<z$. We use Linnik's theorem to find such a prime $\lambda_{i} \leq M^{O_{k}(1)}=e^{O_{k}(z)}$ (the implied constant might depend on $k$ since we need to ensure that $\lambda_{1}, \ldots, \lambda_{k}$ end up being different primes). Since $\omega(m) \ll \log m / \log \log m$ for all positive integers $m$, the number of prime factors of either $\left(\lambda_{i}-1\right) / A_{i}$ or $\left(\lambda_{i}+1\right) / B_{i}$ is $<_{k} \log M^{O(1)} / \log \log M^{O(1)} \ll k_{k} z / \log z$. Since the smallest such prime is $>z$, we have that

$$
\begin{aligned}
\frac{\sigma\left(\lambda_{i}-1\right)}{\lambda_{i}-1} & =\frac{\sigma\left(A_{i}\right)}{A_{i}} \prod_{p^{\alpha_{p}} \|\left(\lambda_{i}-1\right) / A_{i}}\left(1+\frac{1}{p}+\cdots+\frac{1}{p^{\alpha_{p}}}\right) \\
& =\frac{\sigma\left(A_{i}\right)}{A_{i}}\left(1+O\left(\frac{1}{z}\right)\right)^{O_{k}(z / \log z)}=\frac{\sigma\left(A_{i}\right)}{A_{i}}\left(1+O_{k}\left(\frac{1}{\log z}\right)\right)
\end{aligned}
$$

and similarly

$$
\frac{\phi\left(\lambda_{i}+1\right)}{\lambda_{i}+1}=\frac{\phi\left(B_{i}\right)}{B_{i}}\left(1+O_{k}\left(\frac{1}{\log z}\right)\right)
$$

Thus, the above estimates show that for $z$ large in terms of $k$ estimates (12) and (13) are consequences of (14) and (15).

This completes the proof of our theorem.
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