# Fixed points of meromorphic functions and of their differences and shifts

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**Abstract.** Let f(z) be a finite order transcendental meromorphic function such that  $\lambda(1/f(z)) < \sigma(f(z))$ , and let  $c \in \mathbb{C} \setminus \{0\}$  be a constant such that  $f(z+c) \not\equiv f(z) + c$ . We mainly prove that

$$\max\{\tau(f(z)), \tau(\Delta_c f(z))\} = \max\{\tau(f(z)), \tau(f(z+c))\}$$
$$= \max\{\tau(\Delta_c f(z)), \tau(f(z+c))\} = \sigma(f(z)),$$

where  $\tau(g(z))$  denotes the exponent of convergence of fixed points of the meromorphic function g(z), and  $\sigma(g(z))$  denotes the order of growth of g(z).

1. Introduction and results. We assume the reader is familiar with the basic notions of Nevanlinna's value distribution theory (see [10, 13, 15, 16]. In addition, we use  $\sigma(f(z))$  to denote the order of growth of a meromorphic f(z); and  $\lambda(f(z))$  and  $\lambda(1/f(z))$  to denote, respectively, the exponents of convergence of zeros and of poles of f(z). We also use  $\tau(f(z))$  to denote the exponent of convergence of fixed points of f(z), which is defined as

$$\tau(f(z)) = \limsup_{r \to \infty} \frac{\log N\left(r, \frac{1}{f(z) - z}\right)}{\log r}$$

Fixed points are an important topic in the theory of meromorphic functions. Bergweiler and Pang [3] proved the following theorem.

THEOREM A (see [3]). Let f be a transcendental meromorphic function and let R be a rational function,  $R \neq 0$ . Suppose that all but finitely many zeros and poles of f are multiple. Then f' - R has infinitely many zeros.

When R = z, Theorem A shows that f'(z) has infinitely many fixed points under the assumption of the theorem.

Recently, a number of articles (e.g. [1, 2, 4–6, 8, 9, 11–14]) focus on complex difference equations and difference analogues of Nevanlinna's theory.

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The functions  $f_1(z) = e^z + z$ ,  $f_2(z) = e^z + z - 1$ , and  $f_3(z) = e^z + \frac{1}{2}z^2$ have the property that  $f_1(z)$ ,  $f_2(z + 1) = ee^z + z$  and  $\Delta_{2\pi i}f_3(z) = f_3(z + 2\pi i) - f_3(z) = 2\pi i z - 2\pi^2$  each have only finitely many fixed points. Even for meromorphic functions of small growth, Chen and Shon [4] showed that there exists a meromorphic function  $f_0$  such that  $\sigma(f_0) < 1$  and  $\Delta_c f_0(z) = f_0(z + c) - f_0(z)$  has only finitely many fixed points. They also proved

THEOREM B (see [4]). Let  $\phi(r)$  be a positive non-decreasing function on  $[1,\infty)$  which satisfies  $\lim_{r\to\infty} \phi(r) = \infty$ . Then there exists a transcendental meromorphic function f with

$$\limsup_{r \to \infty} \frac{T(r,f)}{r} < \infty \quad and \quad \liminf_{r \to \infty} \frac{T(r,f)}{\phi(r)\log r} < \infty,$$

such that  $g(z) = \Delta f(z) = f(z+1) - f(z)$  has only one fixed point and satisfies

$$\limsup_{r \to \infty} \frac{T(r,g)}{\phi(r) \log r} < \infty.$$

For a meromorphic function f(z), its divided difference  $\frac{f(z+c)-f(z)}{f(z)}$  may also have only finitely many fixed points: for example, if  $f(z) = ze^z$  then  $\frac{f(z+1)-f(z)}{f(z)} = \frac{(z+1)e-z}{z}$  has only finitely many fixed points. Chen and Shon [5] obtained the following results.

THEOREM C (see [5]). Let  $c \in \mathbb{C} \setminus \{0\}$  be a constant and f be a transcendental meromorphic function of order of growth  $\sigma(f) = \sigma < 1$  or of the form  $f(z) = h(z)e^{az}$  where  $a \neq 0$  is a constant, and h(z) is a transcendental meromorphic function with  $\sigma(h) < 1$ . Suppose that p(z) is a nonconstant polynomial. Then

$$G(z) = \frac{f(z+c) - f(z)}{f(z)} - p(z)$$

has infinitely many zeros.

From Theorem C, we easily see that under the assumptions of Theorem C, the divided difference  $G_1(z) = \frac{f(z+c)-f(z)}{f(z)}$  has infinitely many fixed points. The example  $f(z) = ze^z$  shows that the result of Theorem C is sharp.

However, we find that the function  $f(z) = e^z + z$  has no fixed point, but  $f(z+1) = ee^z + z + 1$  and  $\Delta_1 f(z) = f(z+1) - f(z) = (e-1)e^z + 1$ each have infinitely many fixed points. Thus, it is natural to ask about the relationships between fixed points of a meromorphic function f(z) and its shift f(z+c) and its difference  $\Delta_c f(z) = f(z+c) - f(z)$ .

In this article, we prove the following.

THEOREM 1.1. Let f(z) be a finite order meromorphic function such that  $\lambda(1/f(z)) < \sigma(f(z))$ , and let  $c \in \mathbb{C} \setminus \{0\}$  be a constant such that

$$\begin{aligned} f(z+c) \not\equiv f(z) + c. \; Set \; \Delta_c f(z) &= f(z+c) - f(z). \; Then \\ \max\{\tau(f(z)), \tau(\Delta_c f(z))\} &= \sigma(f(z)), \\ \max\{\tau(f(z)), \tau(f(z+c))\} &= \sigma(f(z)), \\ \max\{\tau(\Delta_c f(z)), \tau(f(z+c))\} &= \sigma(f(z)). \end{aligned}$$

REMARK 1.1. (i) By Theorem 1.1, if f(z) and c satisfy the assumptions of the theorem, then at least two of  $\tau(f(z))$ ,  $\tau(f(z+c))$  and  $\tau(\Delta_c f(z))$  are equal to  $\sigma(f(z))$ .

(ii) Generally, one might think that  $\tau(f(z)) = \tau(f(z+c))$  for a finite order meromorphic function f(z). But in fact, generally,

$$\tau(f(z)) \neq \tau(f(z+c)).$$

For example,  $f_1(z) = e^z + z$  satisfies  $\tau(f_1(z)) = 0$  and  $\tau(f_1(z+1)) = 1$ (where  $f_1(z+1) = ee^z + z + 1$ ). This shows that

$$\tau(f_1(z)) \neq \tau(f_1(z+1)).$$

Similarly,

$$\tau(\Delta_1 f_1(z)) = 1 \neq \tau(f_1(z)) = 0;$$

and  $f_2(z) = e^z + z - 2$  satisfies

$$\tau(\Delta_2 f(z)) = 1 \neq \tau(f_2(z+2)) = 0.$$

So an obvious question to ask is what conditions guarantee that

$$\tau(f(z)) = \tau(f(z+c)) = \tau(\Delta_c f(z)) = \sigma(f(z)).$$

The following two theorems answer this question.

THEOREM 1.2. Let f(z) be a finite order meromorphic function such that  $\lambda(1/f(z)) < \sigma(f(z))$ , and  $c \in \mathbb{C} \setminus \{0\}$  be a constant such that  $f(z+c) \not\equiv f(z)$ . If f(z) has a Borel exceptional value  $d \in \mathbb{C}$ , then

$$\tau(f(z)) = \tau(f(z+c)) = \tau(\Delta_c f(z)) = \sigma(f(z)).$$

THEOREM 1.3. Let f(z) be a finite order meromorphic function such that  $\lambda(1/f(z)) < \sigma(f(z))$ , and let  $c \in \mathbb{C} \setminus \{0\}$  be a constant such that  $f(z+c) \not\equiv f(z)$ . If all but finitely many zeros of f(z) are multiple, then

$$\tau(f(z)) = \tau(f(z+c)) = \sigma(f(z)).$$

REMARK 1.2. Theorem 1.1 fails if we replace "fixed points" with "zeros". For example, the function  $f_2(z) = e^z$  has no zero, and  $\Delta_1 f_2(z) = (e-1)e^z$  and  $f_2(z+1) = e^{z+1}$  have no zero either.

REMARK 1.3. The condition " $f(z+c) \neq f(z) + c$ " cannot be omitted in Theorem 1.1. For example, for  $f(z) = e^z + z$ , both f(z) and  $\Delta_{2\pi i} f(z) = f(z+2i\pi) - f(z) = 2i\pi$  have only finitely many fixed points, and  $c = 2\pi i$ satisfies  $f(z+c) \equiv f(z) + c$ . But we do not know whether the condition " $\lambda(1/f(z)) < \sigma(f(z))$ " may be omitted.

#### 2. Proof of Theorem 1.1. We need the following lemmas.

LEMMA 2.1 (see [6, 9]). Let f(z) be a meromorphic function with  $\sigma(f(z)) = \sigma < \infty$ , and let c be a nonzero constant. Then for each  $\varepsilon$  ( $0 < \varepsilon < 1$ ),

$$T(r, f(z+c)) = T(r, f(z)) + O(r^{\sigma-1+\varepsilon}) + O(\log r).$$

LEMMA 2.2 (see [6, 9]). Let f(z) be a meromorphic function such that  $\lambda(1/f(z)) = \lambda < \infty$  and let  $\eta \neq 0$  be fixed. Then for each  $\varepsilon$   $(0 < \varepsilon < 1)$ ,

$$N(r, f(z+\eta)) = N(r, f(z)) + O(r^{\lambda-1+\varepsilon}) + O(\log r).$$

Proof of Theorem 1.1. First, we prove that  $\max\{\tau(f(z)), \tau(\Delta_c f(z))\} = \sigma(f(z))$ . Suppose that  $\tau(f(z)) < \sigma(f(z))$ . Since  $z_0$  is a pole of f(z) - z if and only if  $z_0$  is a pole of f(z), we see that  $\lambda(1/f(z) - z) = \lambda(1/f(z)) < \sigma(f(z))$ . Thus, since f(z) is of finite order, f(z) - z can be written as

(2.1) 
$$f(z) - z = z^s \frac{p_1(z)}{q_1(z)} e^{h(z)} = \frac{p(z)}{q(z)} e^{h(z)} = F(z) e^{h(z)},$$

where: h(z) is a nonconstant polynomial with deg  $h(z) = \sigma(f(z))$ ; s is an integer; if  $s \ge 0$  then  $p(z) = z^s p_1(z)$ ,  $q(z) = q_1(z)$ ; if s < 0 then  $p(z) = p_1(z)$ ,  $q(z) = z^{-s} q_1(z)$ ,  $p_1(z)$  and  $q_1(z)$  are canonical products (or polynomials) formed by nonzero zeros and poles of f(z) - z respectively; and F(z) = p(z)/q(z), so that

(2.2) 
$$\lambda(p(z)) = \sigma(p(z)) = \lambda(f(z) - z) = \tau(f(z)) < \sigma(f(z)),$$

(2.3) 
$$\lambda(q(z)) = \sigma(q(z)) = \lambda\left(\frac{1}{f(z)-z}\right) = \lambda\left(\frac{1}{f(z)}\right) < \sigma(f(z)).$$

So,

(2.4) 
$$\sigma(F(z)) = \max\{\sigma(p(z)), \sigma(q(z))\} < \sigma(f(z)).$$

 $\operatorname{Set}$ 

(2.5) 
$$g(z) = \Delta_c f(z) - z = f(z+c) - f(z) - z.$$

Now we only need to prove  $\lambda(g(z)) = \sigma(f(z))$ . Substituting (2.1) into (2.5), we obtain

(2.6) 
$$g(z) = F(z+c)e^{h(z+c)} - F(z)e^{h(z)} - (z-c) = E(z)e^{h(z)} - (z-c)$$
  
where

(2.7) 
$$E(z) = F(z+c)e^{h(z+c)-h(z)} - F(z).$$

If  $E(z) \equiv 0$ , then by (2.1) and (2.7), we have  $F(z+c)e^{h(z+c)} = F(z)e^{h(z)}$ and

$$f(z+c) = F(z+c)e^{h(z+c)} + z + c = F(z)e^{h(z)} + z + c = f(z) + c,$$

that is,

$$f(z+c) \equiv f(z) + c,$$

contrary to assumption. Thus,  $E(z) \neq 0$ .

By Lemma 2.1, we see that

(2.8) 
$$T(r, F(z+c)) = T(r, F(z)) + S(r, F(z)).$$

By (2.4), (2.7), (2.8) and  $\deg[h(z+c) - h(z)] = \deg h(z) - 1$ , we find that

(2.9) 
$$\sigma(E(z)) < \deg h(z) = \sigma(g(z)) = \sigma(f(z)).$$

Now, by (2.6) and (2.9), g(z) is of regular growth and  $\sigma(g(z)) = \sigma(e^{h(z)})$ , so that

(2.10) 
$$N(r,g(z)) = N(r,E(z)) = o\{T(r,g(z))\}.$$

By (2.6), we obtain

(2.11) 
$$g'(z) = E(z)e^{h(z)} \left[\frac{E'(z)}{E(z)} + h'(z)\right] - 1$$

By (2.11), we see that  $\frac{E'(z)}{E(z)} + h'(z) \neq 0$ . In fact, if  $\frac{E'(z)}{E(z)} + h'(z) \equiv 0$ , then  $g'(z) \equiv -1$ , a contradiction. So we obtain

(2.12) 
$$N\left(r, \frac{1}{g'(z) - (-1)}\right) = N\left(r, \frac{1}{E(z)\left(\frac{E'(z)}{E(z)} + h'(z)\right)}\right)$$
$$\leq T\left(r, E(z)\left(\frac{E'(z)}{E(z)} + h'(z)\right)\right)$$

By (2.6) and (2.9), we see that  $T(r, g(z)) = T(r, e^{h(z)}) + S(r, g(z))$ . Since h(z) is a polynomial, (2.9) yields

(2.13) 
$$T\left(r, E(z)\left(\frac{E'(z)}{E(z)} + h'(z)\right)\right) = S(r, g(z)).$$

Hence, by (2.12) and (2.13),

(2.14) 
$$N\left(r, \frac{1}{g'(z) - (-1)}\right) = S(r, g(z)).$$

By the Milloux inequality, (2.10) and (2.14),

(2.15) 
$$T(r,g(z)) \le N(r,g(z)) + N\left(r,\frac{1}{g(z)}\right) + N\left(r,\frac{1}{g'(z) - (-1)}\right) + S(r,g(z)) = N\left(r,\frac{1}{g(z)}\right) + S(r,g(z)).$$

By (2.15), we obtain  $\lambda(g(z)) = \sigma(g(z)) = \sigma(f(z))$ . Hence,  $\max\{\tau(f(z)), \tau(\Delta_c f(z))\} = \sigma(f(z))$ .

**Secondly**, we prove  $\max\{\tau(f(z)), \tau(f(z+c))\} = \sigma(f(z))$ . Suppose that  $\tau(f(z)) < \sigma(f(z))$ . Then (2.1)–(2.4) hold. Set

(2.16) 
$$g_1(z) = f(z+c) - z = F(z+c)e^{h(z+c)} + c$$

Then  $\sigma(g_1(z)) = \sigma(f(z+c)) = \sigma(f(z))$ . By Lemma 2.1 and (2.4),

(2.17) 
$$T(r, F(z+c)) = T(r, F(z)) + S(r, F(z))$$
$$= S(r, g_1(z)) = o\{T(r, g_1(z))\}$$

By (2.4), (2.16) and (2.17),  $g_1(z)$  is of regular growth. Thus, we deduce that

(2.18) 
$$N(r,g_1(z)) = N(r,F(z+c)) \le T(r,F(z)) = o\{T(r,g_1(z))\}$$

and

(2.19) 
$$N\left(r, \frac{1}{g_1(z) - c}\right) = N\left(r, \frac{1}{F(z+c)}\right) \le T(r, F(z+c))$$
$$= T(r, F(z)) + S(r, F(z)) = o\{T(r, g_1(z))\}.$$

By the second fundamental theorem, (2.16), (2.18) and (2.19),

(2.20) 
$$T(r, g_1(z)) \le N(r, g_1(z)) + N\left(r, \frac{1}{g_1(z)}\right) + N\left(r, \frac{1}{g_1(z) - c}\right) + S(r, g(z)) = N\left(r, \frac{1}{g_1(z)}\right) + S(r, g_1(z)).$$

By (2.20), we obtain  $\lambda(g_1(z)) = \sigma(g_1(z)) = \sigma(f(z))$ . Hence,  $\max\{\tau(f(z)), \tau(f(z+c))\} = \sigma(f(z))$ .

**Thirdly**, we prove  $\max\{\tau(\Delta_c f(z)), \tau(f(z+c))\} = \sigma(f(z))$ . Because  $\sigma(f(z+c)) = \sigma(f(z)) = \sigma$  and  $\lambda(1/f(z)) < \sigma(f(z))$ , we see that N(r, f(z)) is of order  $\sigma_1$  ( $< \sigma$ ). By Lemma 2.2, we obtain

(2.21) 
$$N(r, f(z+c)) = N(r, f(z)) + O(r^{\sigma_1 - 1 + \varepsilon}) + O(\log r).$$

This gives

$$\lambda\left(\frac{1}{f(z+c)-z}\right) = \lambda\left(\frac{1}{f(z+c)}\right) = \lambda(1/f(z)) = \sigma_1 < \sigma(f(z)).$$

Now suppose that  $\tau(f(z+c)) < \sigma(f(z))$ . Since  $\lambda(\frac{1}{f(z+c)-z}) < \sigma(f(z))$ , we see that f(z+c) - z can be written as

(2.22) 
$$f(z+c) - z = \frac{p^*(z)}{q^*(z)}e^{h^*(z)} = F^*(z)e^{h^*(z)},$$

where  $h^*(z)$  is a nonconstant polynomial with deg  $h^*(z) = \sigma(f(z+c))$ ,

$$F^*(z) = p^*(z)/q^*(z), \text{ and } p^*(z) \text{ and } q^*(z) \text{ are entire functions such that}$$

$$(2.23) \qquad \lambda(p^*(z)) = \sigma(p^*(z)) = \lambda(f(z+c)-z)$$

$$= \tau(f(z+c)) < \sigma(f(z+c)),$$

and

(2.24) 
$$\lambda(q^*(z)) = \sigma(q^*(z)) = \lambda\left(\frac{1}{f(z+c)-z}\right)$$
$$= \lambda\left(\frac{1}{f(z+c)}\right) < \sigma(f(z+c)).$$

So,

(2.25) 
$$\sigma(F^*(z)) = \max\{\sigma(p^*(z)), \sigma(q^*(z))\} < \sigma(f(z+c)).$$

Thus, by (2.22) we obtain

(2.26) 
$$\Delta_c f(z) = f(z+c) - f(z) = F^*(z)e^{h^*(z)} - F^*(z-c)e^{h^*(z-c)} + c.$$

Set 
$$g_2(z) = \Delta_c f(z) - z$$
. Then

(2.27) 
$$g_2(z) = E^*(z)e^{h^*(z)} + c - z,$$

where  $E^*(z) = F^*(z) - F^*(z-c)e^{h^*(z-c)-h^*(z)}$ . As  $\deg(h^*(z-c)-h^*(z)) = e^{h^*(z-c)-h^*(z)}$ deg  $h^*(z) - 1$  and (2.27), we see that  $\sigma(E^*(z)) < \sigma(g_2(z)) = \sigma(e^{h^*(z)}) =$  $\sigma(f(z))$ . Using the same method as in the proof of the first step, we deduce that  $\lambda(g_2(z)) = \sigma(g_2(z)) = \sigma(f(z)).$ 

Hence,  $\max\{\tau(\Delta_c f(z)), \tau(f(z+c))\} = \sigma(f(z))$ .

### **3. Proof of Theorem 1.2.** We need the following lemma.

LEMMA 3.1 (see [7, pp. 69–70] or [16, pp. 79–80]). Suppose that  $n \ge 2$ and let  $f_j(z)$ ,  $j=1,\ldots,n$ , be meromorphic functions and  $g_j(z)$ ,  $j=1,\ldots,n$ , be entire functions such that

- (i)  $\sum_{j=1}^{n} f_j(z) \exp\{g_j(z)\} \equiv 0;$ (ii) when  $1 \le j < k \le n$ ,  $g_j(z) g_k(z)$  is not constant;
- (iii) when  $1 \leq j \leq n$  and  $1 \leq h < k \leq n$ ,

$$T(r, f_j) = o\{T(r, \exp\{g_h - g_k\})\} \quad (r \to \infty, r \notin E),$$

where  $E \subset (1,\infty)$  is of finite linear measure or finite logarithmic measure.

Then  $f_i(z) \equiv 0, \ j = 1, ..., n$ .

Proof of Theorem 1.2. Since f(z) has a Borel exceptional value  $d \in \mathbb{C}$ and  $\lambda(1/f(z)) < \sigma(f(z))$ , we see that f(z) can be written as

(3.1) 
$$f(z) = d + \frac{p(z)}{q(z)}e^{h_1(z)} = d + F_1(z)e^{h_1(z)},$$

where  $h_1(z)$  is a nonconstant polynomial with deg  $h_1(z) = \sigma(f(z))$ ,  $F_1(z) = p(z)/q(z)$ , and p(z), q(z) are nonzero entire functions such that

(3.2) 
$$\lambda(p(z)) = \sigma(p(z)) = \lambda(f(z) - d) < \sigma(f(z)),$$

(3.3) 
$$\lambda(q(z)) = \sigma(q(z)) = \lambda\left(\frac{1}{f(z) - d}\right) = \lambda(1/f(z)) < \sigma(f(z)).$$

So,

(3.4) 
$$\sigma(F_1(z)) = \max\{\sigma(p(z)), \sigma(q(z))\} < \sigma(f(z)).$$

**First**, we prove that  $\tau(f(z)) = \sigma(f(z))$ . Suppose that  $\tau(f(z)) < \sigma(f(z))$ . Since  $z_0$  is a pole of f(z) - z if and only if it is a pole of f(z), we see that  $\lambda(1/f(z) - z) = \lambda(1/f(z)) < \sigma(f(z))$ . Thus, f(z) - z can be written as

(3.5) 
$$f(z) - z = \frac{a(z)}{b(z)}e^{h_2(z)} = F_2(z)e^{h_2(z)}$$

where  $h_2(z)$  is a nonconstant polynomial with deg  $h_2(z) = \sigma(f(z)), a(z), b(z)$ are nonzero entire functions, and  $F_2(z) = a(z)/b(z)$ , so that

(3.6) 
$$\lambda(a(z)) = \sigma(a(z)) = \lambda(f(z) - z) = \tau(f(z)) < \sigma(f(z)),$$

(3.7) 
$$\lambda(b(z)) = \sigma(b(z)) = \lambda\left(\frac{1}{f(z) - z}\right) = \lambda(1/f(z)) < \sigma(f(z)).$$

So, by (3.6) and (3.7), we have

(3.8) 
$$\sigma(F_2(z)) = \max\{\sigma(a(z)), \sigma(b(z))\} < \sigma(f(z)).$$

By (3.1) and (3.5), we obtain

(3.9) 
$$F_1(z)e^{h_1(z)} - F_2(z)e^{h_2(z)} + F_0(z)e^{h_0(z)} = 0,$$

where  $F_0(z) = d - z, h_0(z) = 0.$ 

If  $\deg(h_1(z) - h_2(z)) = \deg h_1(z)$ , then since  $e^{h_1(z)}, e^{h_2(z)}, e^{h_1(z) - h_2(z)}$  are of regular growth, by (3.4) and (3.8) we obtain

(3.10) 
$$T(r, F_j(z)) = o\{T(r, e^{h_k(z) - h_s(z)}\}, \quad j = 0, 1, 2; 0 \le s < k \le 2.$$

Now Lemma 3.1, (3.9) and (3.10) yield

$$F_1(z) \equiv F_2(z) \equiv F_0(z) \equiv d - z \equiv 0,$$

a contradiction.

If deg $(h_1(z) - h_2(z)) < \deg h_1(z)$ , then by (3.9) we obtain (3.11)  $e^{h_1(z)}(F_1(z) - F_2(z)e^{h_2(z) - h_1(z)}) + d - z = 0.$ 

If  $F_1(z) - F_2(z)e^{h_2(z)-h_1(z)} \equiv 0$ , then  $d-z \equiv 0$ , a contradiction; if  $F_1(z) - F_2(z)e^{h_2(z)-h_1(z)} \neq 0$ , then the order of growth of the left side of (3.11) is  $\deg h_1(z) = \sigma(f(z))$ , also a contradiction. Hence  $\tau(f(z)) = \sigma(f(z))$ .

**Secondly**, we prove that  $\tau(f(z+c)) = \sigma(f(z))$ . By Lemma 2.1,

$$T(r, f(z + c)) = T(r, f(z)) + S(r, f(z)).$$

Using a similar method to the proof of the first step, we conclude that  $\tau(f(z+c)) = \sigma(f(z))$ .

**Thirdly**, we prove that  $\tau(\Delta_c f(z)) = \sigma(f(z))$ . Suppose that  $\tau(\Delta_c f(z)) < \sigma(f(z))$ . As in the proof of the first step,  $\Delta_c f(z) - z$  can be written as

(3.12) 
$$\Delta_c f(z) - z = f(z+c) - f(z) - z = F_3(z)e^{h_3(z)}$$

where  $h_3(z)$  is a polynomial with deg  $h_3(z) \leq \sigma(f(z))$  and  $F_3(z) \ (\neq 0)$  is a meromorphic function such that

(3.13) 
$$\sigma(F_3(z)) < \sigma(f(z)).$$

Substituting (3.1) into (3.12), we obtain

$$F_1(z+c)e^{h_1(z+c)} - F_1(z)e^{h_1(z)} - F_3(z)e^{h_3(z)} - z = 0,$$

that is,

(3.14) 
$$e^{h_1(z)}(F_1(z+c)e^{h_1(z+c)-h_1(z)}-F_1(z))-F_3(z)e^{h_3(z)}-z=0.$$

We claim that

(3.15) 
$$F_1(z+c)e^{h_1(z+c)-h_1(z)} - F_1(z) \neq 0.$$

In fact, if  $F_1(z+c)e^{h_1(z+c)-h_1(z)} - F_1(z) \equiv 0$ , then by (3.1), we obtain  $f(z+c) \equiv f(z)$ , contrary to assumption.

From (3.4), (3.13)–(3.15) and  $\deg(h_1(z+c) - h_1(z)) = \deg h_1(z) - 1$ , we obtain

(3.16) 
$$\deg h_3(z) = \deg h_1(z) = \sigma(f(z)) = k.$$

If  $\deg(h_3(z) - h_1(z)) = k$ , then by Lemma 3.1 and (3.14),

$$F_1(z+c)e^{h_3(z+c)-h_1(z)} - F_1(z) \equiv F_3(z) \equiv z \equiv 0,$$

a contradiction.

If 
$$\deg(h_3(z) - h_1(z)) < k$$
, then (3.14) can be rewritten as

$$(3.17) \quad e^{h_1(z)} \left( F_1(z+c) e^{h_1(z+c) - h_1(z)} - F_1(z) - F_3(z) e^{h_3(z) - h_1(z)} \right) - z = 0,$$

and using a similar method to the proof of the first step, we obtain a contradiction.

Hence,  $\tau(\Delta_c f(z)) = \sigma(f(z))$ .

## 4. Proof of Theorem 1.3. We need the following lemma.

LEMMA 4.1. Let f(z) be a meromorphic function with  $\lambda(f(z)) = \lambda < \infty$ and let  $\eta \neq 0$  be fixed. Then for each  $\varepsilon$   $(0 < \varepsilon < 1)$ ,

$$N\bigg(r,\frac{1}{f(z+\eta)}\bigg) = N\bigg(r,\frac{1}{f(z)}\bigg) + O(r^{\lambda-1+\varepsilon}) + O(\log r).$$

*Proof.* Use the same method as in the proof of Lemma 2.1.

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Proof of Theorem 1.3. We only prove that  $\tau(f(z+c)) = \sigma(f(z))$  since the method of the proof of  $\tau(f(z)) = \sigma(f(z))$  is the same.

If  $\lambda(f(z)) < \sigma(f(z))$ , then f(z) has Borel exceptional value 0, so that by Theorem 1.2, we see that  $\tau(f(z+c)) = \sigma(f(z))$ .

Now we suppose that  $\lambda(1/f(z)) < \lambda(f(z)) = \sigma(f(z))$ . By Lemmas 2.2 and 4.1,

(4.1) 
$$\lambda\left(\frac{1}{f(z+c)}\right) < \lambda(f(z+c)) = \sigma(f(z)).$$

Suppose that  $\tau(f(z+c)) < \sigma(f(z))$ . Since  $\lambda(\frac{1}{f(z+c)-z}) = \lambda(\frac{1}{f(z+c)}) < \sigma(f(z))$ , we see that, as in the proof of Theorem 1.1, f(z+c) - z can be written as

(4.2) 
$$f(z+c) - z = F(z)e^{h(z)},$$

where h(z) is a nonconstant polynomial and F(z) is a meromorphic function such that  $\sigma(F(z)) < \sigma(f(z)) = \deg h(z)$ . Thus, by (4.2),

$$f'(z+c) = F(z)e^{h(z)} \left(\frac{F'(z)}{F(z)} + h'(z)\right) + 1$$
  
=  $f(z+c) \left(\frac{F'(z)}{F(z)} + h'(z)\right) - z \left(\frac{F'(z)}{F(z)} + h'(z)\right) + 1,$ 

so that

(4.3) 
$$\frac{f'(z+c)}{f(z+c)} = \left(\frac{F'(z)}{F(z)} + h'(z)\right) - \left(z\frac{F'(z)}{F(z)} + zh'(z) - 1\right)\frac{1}{f(z+c)}.$$

We claim that

$$z \frac{F'(z)}{F(z)} + zh'(z) - 1 \neq 0.$$

In fact, otherwise  $\frac{F'(z)}{F(z)} + h'(z) = \frac{1}{z}$ . By integrating, we obtain  $F(z)e^{h(z)} = \alpha z$ , where  $\alpha \neq 0$  is a constant. This contradicts (4.2).

Since  $\sigma(F(z)) < \sigma(f(z)) = \lambda(f(z+c))$  and h'(z) is a polynomial, we see that

$$\sigma\left(\frac{F'(z)}{F(z)} + h'(z)\right) < \sigma(f(z)), \quad \sigma\left(z\frac{F'(z)}{F(z)} + zh'(z) - 1\right) < \sigma(f(z))$$

and there exists a point  $z_0$  which is a multiple zero of f(z+c), and is neither a zero of  $z \frac{F'(z)}{F(z)} + zh'(z) - 1$ , nor a pole of  $\frac{F'(z)}{F(z)} + h'(z)$ . Thus, the right side of (4.3) has a multiple pole at  $z = z_0$ , but the left side of (4.3) has only a simple pole at  $z = z_0$ , is a contradiction.

Hence  $\tau(f(z+c)) = \sigma(f(z))$ .

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