FUNCTIONAL ANALYSIS

## The Embeddability of $c_0$ in Spaces of Operators $\mathbf{b}\mathbf{v}$

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Summary. Results of Emmanuele and Drewnowski are used to study the containment of  $c_0$  in the space  $K_{w^*}(X^*, Y)$ , as well as the complementation of the space  $K_{w^*}(X^*, Y)$ of  $w^*$ -w compact operators in the space  $L_{w^*}(X^*, Y)$  of  $w^*$ -w operators from  $X^*$  to Y.

**Definitions and notations.** Throughout this paper X and Y will denote real Banach spaces and  $X^*$  denotes the continuous linear dual of X. An operator  $T: X \to Y$  will be a continuous and linear function. By  $X \otimes_{\lambda} Y$ we denote the injective tensor product of X and Y. Notation is consistent with that used in Diestel [5]. Let  $(e_n)$  be the Schauder basis of  $c_0$ ,  $(e_n^*)$  be the basis of  $\ell_1$ , and  $(e_n^2)$  the unit vector basis of  $\ell_2$ . The set of all continuous linear transformations from X to Y will be denoted by L(X, Y), and the compact (resp. weakly compact) operators will be denoted by K(X,Y)(resp. W(X,Y)). The w<sup>\*</sup>-w continuous (resp. w<sup>\*</sup>-w continuous compact) maps from  $X^*$  to Y will be denoted by  $L_{w^*}(X^*, Y)$  (resp.  $K_{w^*}(X^*, Y)$ ).

A bounded subset A of X is called a *limited subset* of X if each  $w^*$ -null sequence in  $X^*$  tends to 0 uniformly on A. If every limited subset of X is relatively compact, then we say that X has the Gelfand-Phillips property. If every weakly compact operator defined on X is completely continuous, then we say that X has the Dunford-Pettis property (DPP); see [6] and [1] for inventories of classical results related to the DPP.

**Introduction.** Numerous authors have studied the containment of  $c_0$ in the spaces of compact operators K(X, Y) and  $K_{w^*}(X^*, Y)$ . This problem has been studied together with the complementation of the space of com-

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pact operators  $K_{w^*}(X^*, Y)$  (resp. K(X, Y)) in the space  $L_{w^*}(X^*, Y)$  (resp. L(X, Y)) and the containment of  $l_{\infty}$  in  $L_{w^*}(X^*, Y)$  (resp. L(X, Y)). See Bator and Lewis [2], Kalton [23], Emmanuele [13], Emmanuele and John [16], Ghenciu [19], Lewis [25], and Tong and Wilken [31] for an indication of the extensive literature that deals with these problems. The survey paper [29] by Ruess is a valuable resource for the structure of the space of operators  $K_{w^*}(X^*, Y)$ .

Theorem 4 of Kalton [23] states that  $\ell_{\infty}$  embeds in K(X, Y) if and only if it embeds in  $X^*$  or in Y. In [8] Drewnowski generalized Theorem 4 of Kalton and proved that  $\ell_{\infty}$  embeds in  $K_{w^*}(X^*, Y)$  if and only if it embeds in X or in Y. In this paper we use techniques of Emmanuele [11] and Drewnowski's result [8] to obtain results about the complementation of the space  $K_{w^*}(X^*, Y)$  of compact  $w^*$ -w operators in the space  $L_{w^*}(X^*, Y)$  of bounded  $w^*$ -w operators. Applications to the complementation of the space K(X,Y) in W(X,Y) are given. We also give sufficient conditions for the containment of  $c_0$  in the space  $K_{w^*}(X^*,Y)$ , resp. K(X,Y). Results in this paper generalize results in [3], [11], [13], [14], [17], [20], [23], and [25].

**Spaces of operators.** We recall the following well-known isometries [29]:

1)  $L_{w^*}(X^*, Y) \simeq L_{w^*}(Y^*, X)$  and  $K_{w^*}(X^*, Y) \simeq K_{w^*}(Y^*, X)$   $(T \mapsto T^*)$ , 2)  $W(X, Y) \simeq L_{w^*}(X^{**}, Y)$  and  $K(X, Y) \simeq K_{w^*}(X^{**}, Y)$   $(T \mapsto T^{**})$ .

It is known that if X is infinite-dimensional and  $c_0 \hookrightarrow L(X,Y)$ , then  $\ell_{\infty} \hookrightarrow L(X,Y)$  (see, e.g., [23] and [25]). Part (i) of the following theorem generalizes this result, as well as Theorem 3 in [3].

Theorem 1.

- (i) Suppose that X and Y are infinite-dimensional and S is a closed linear subspace of L(X,Y) which contains all the rank one operators x\* ⊗ y, x\* ∈ X\*, y ∈ Y. If c<sub>0</sub> → S and S is complemented in L(X,Y), then l<sub>∞</sub> → S.
- (ii) Suppose that X and Y fail to have the Schur property, and S is a closed linear subspace of L<sub>w\*</sub>(X\*, Y) which contains all rank one operators x ⊗ y, x ∈ X, y ∈ Y. If c<sub>0</sub> → S and S is complemented in L<sub>w\*</sub>(X\*, Y), then l<sub>∞</sub> → S.

*Proof.* (i) Consider the following two cases.

Suppose first that  $c_0 \hookrightarrow Y$  and let  $(y_n)$  be a copy of  $(e_n)$  in Y. Use the Josefson–Nissenzweig theorem and choose a  $w^*$ -null normalized sequence  $(x_n^*)$  in  $X^*$ . Define  $J : \ell_{\infty} \to L(X, Y)$  by

$$J(b)(x) = \sum b_n x_n^*(x) y_n, \quad x \in X.$$

Then J is an isomorphism, and, if b is finitely supported,  $J(b) \in S$ .

Now suppose that  $c_0 \nleftrightarrow Y$ . Let  $B : c_0 \to S$  be an isomorphic embedding. Note that  $\sum |\langle B(e_n)(x), y^* \rangle| < \infty$  for all  $x \in X$  and  $y^* \in Y^*$ . Since  $c_0 \nleftrightarrow Y$ ,  $\sum B(e_n)(x)$  is unconditionally convergent in Y for all  $x \in X$ . Define  $\mu$  by  $\mu(\emptyset) = 0$  and

$$\mu(A) = \sum_{n \in A} B(e_n) \quad \text{(strong operator topology)}$$

for any non-empty subset A of  $\mathbb{N}$ . Note that  $\mu$  is bounded, finitely additive and not strongly additive  $(\|\mu(\{n\})\| \to 0)$ . Apply the Diestel–Faires theorem to obtain  $\ell_{\infty} \hookrightarrow L(X, Y)$ , and observe that if A is a finite subset of  $\mathbb{N}$ , then  $\mu(A) \in S$ .

Now suppose that S is complemented in L(X, Y), and let  $P: L(X, Y) \to S$ be a projection. Let  $\nu(A) = P(\chi_A)$  for  $A \subseteq \mathbb{N}$ . The first part of the proof shows that  $\ell_{\infty} \hookrightarrow L(X, Y)$ , thus  $\nu$  is well-defined. Then  $\nu : \mathcal{P}(\mathbb{N}) \to S$  is bounded and finitely additive. Moreover,  $\|\nu(\{n\})\| \to 0$ . Therefore another application of the Diestel–Faires theorem tells us that  $\ell_{\infty} \hookrightarrow S$ .

(ii) Assume first that  $c_0 \hookrightarrow Y$ . Let  $(x_n)$  be a *w*-null normalized sequence in X and  $(y_n)$  be a copy of  $(e_n)$  in Y. Define  $\phi : \ell_{\infty} \to L_{w^*}(X^*, Y)$  by

$$\phi(b)(x^*) = \sum b_n x^*(x_n) y_n, \quad x^* \in X^*.$$

We note that the series converges unconditionally. To show that  $\phi(b)$  is a  $w^*$ -w operator, we need to prove that  $(\phi(x^*_{\alpha}))$  is w-null for each  $w^*$ -null net  $(x^*_{\alpha})$  in  $X^*$ . We can suppose that  $(x^*_{\alpha})$  is a  $w^*$ -null net in  $B_{X^*}$  by results about the bounded X topology (or BX topology) for  $X^*$  ([10, Chapter V]). Let  $\varepsilon > 0$  and  $y^* \in B_{Y^*}$ . Since  $\sum y_n$  is wuc, there is an  $n \in \mathbb{N}$  such that  $\sum_{i=n+1}^{\infty} |y^*(y_i)| < \varepsilon/(2||b||_{\infty})$ . Then

$$\left|\sum_{i=n+1}^{\infty} b_i x_{\alpha}^*(x_i) y^*(y_i)\right| \le \|b\|_{\infty} \sum_{i=n+1}^{\infty} |y^*(y_i)| < \frac{\varepsilon}{2}.$$

On the other hand,  $\lim_{\alpha} \sum_{i=1}^{n} |b_i x_{\alpha}^*(x_i) y^*(y_i)| = 0$  since  $(x_{\alpha}^*)$  is a  $w^*$ -null net. Therefore, for  $\alpha$  large,

$$|\langle \phi(b)(x_{\alpha}^*), y^* \rangle| \le \Big| \sum_{i=1}^n b_i x_{\alpha}^*(x_i) y^*(y_i) \Big| + \Big| \sum_{i=n+1}^\infty b_i x_{\alpha}^*(x_i) y^*(y_i) \Big| < \varepsilon.$$

Hence  $\phi(b)$  is a  $w^*$ -w operator. Further, if  $b \in \ell_{\infty}$  is finitely supported,  $\phi(b) \in S$ . A result in [28] implies that  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$  since  $\|\phi(e_n)\| \to 0$ . Similarly, if  $c_0 \hookrightarrow X$  (and Y does not have the Schur property), then  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ .

Without loss of generality assume that  $c_0 \nleftrightarrow X, Y$  and let  $B : c_0 \to S$  be an isomorphic embedding. Note that  $\sum B(e_n)(x^*)$  is *wuc*, hence unconditionally convergent for each  $x^* \in X^*$  (since  $c_0 \nleftrightarrow Y$ ). Similarly,  $\sum B(e_n)^*(y^*)$  is unconditionally convergent in X for each  $y^* \in Y^*$ . Then

 $\sum B(e_n)$  (strong operator topology)

is a  $w^*$ -w operator from  $X^*$  to Y. Define  $\mu : \mathcal{P}(\mathbb{N}) \to L_{w^*}(X^*, Y)$  by  $\mu(\emptyset) = 0$ and

$$\mu(A) = \sum_{n \in A} B(e_n) \quad \text{(strong operator topology)}$$

if A is a non-empty subset of  $\mathbb{N}$ . Then  $\mu$  is bounded (by the Uniform Boundedness Principle) and finitely additive, but  $\mu(\{n\}) \to 0$ . The  $\sigma$ -algebra version of the Diestel–Faires theorem [7] implies that  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ . Observe that if A is a finite subset of  $\mathbb{N}$ , then  $\mu(A) \in S$ .

Now suppose that S is complemented in  $L_{w^*}(X^*, Y)$ , and let  $P : L_{w^*}(X^*, Y) \to S$  be a projection. Let  $\nu(A) = P(\chi_A)$  for  $A \subseteq \mathbb{N}$ . Then  $\nu : \mathcal{P}(\mathbb{N}) \to S$  is bounded and finitely additive. Moreover,  $\|\nu(\{n\})\| \to 0$ . By another application of the Diestel-Faires theorem we conclude that  $\ell_{\infty} \hookrightarrow S$ .

If X is infinite-dimensional and  $c_0 \hookrightarrow L_{w^*}(X^*, Y)$ , then  $L_{w^*}(X^*, Y)$  may fail to contain  $\ell_{\infty}$ . It is not difficult to check that  $c_0 \hookrightarrow K_{w^*}(\ell_1, \ell_1)$ . In fact,  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(\ell_1, \ell_1)$ ; see the closing remarks in this paper. However, since  $K_{w^*}(\ell_1, \ell_1) = L_{w^*}(\ell_1, \ell_1)$ , Drewnowski's theorem makes it clear that  $\ell_{\infty} \nleftrightarrow$  $L_{w^*}(\ell_1, \ell_1)$ .

Our first corollary points out that the exclusion of  $\ell_{\infty}$  is not possible if X and Y do not have the Schur property.

COROLLARY 2. Suppose that  $c_0 \hookrightarrow L_{w^*}(X^*, Y)$  and X and Y do not have the Schur property. Then  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ .

COROLLARY 3 (Ghenciu and Lewis, [20]).

- (i) If X does not have the Schur property and  $c_0 \hookrightarrow Y$ , then  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ .
- (ii) If  $c_0$  does not embed in X or Y and  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ , then  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$  provided that X and Y do not have the Schur property.

*Proof.* Part (i) follows from the proof of Theorem 1, and (ii) is an immediate corollary of the statement of the theorem.  $\blacksquare$ 

The next theorem is motivated by results in [13].

THEOREM 4. Suppose that X has an unconditional and seminormalized basis  $(x_i)$  with biorthogonal coefficients  $(x_i^*)$ , and  $T : X \to Y$  is an operator such that  $(T(x_i))$  is a weakly null seminormalized basic sequence in Y. Let S(X,Y) be a closed linear subspace of L(X,Y) which properly contains K(X,Y) such that  $\phi(b) \in S(X,Y)$  for all  $b \in \ell_{\infty}$ , where  $\phi(b)(x) =$  $\sum b_i x_i^*(x) T(x_i), x \in X$ . Then K(X,Y) is not complemented in S(X,Y). *Proof.* Let  $\delta > 0$  and  $(x_{i_j}) = (u_j)$  be a subsequence of  $(x_i)$  such that  $||T(u_i) - T(u_j)|| > \delta$  for  $i \neq j$ . Denote the corresponding subsequence of coefficient functionals by  $(u_j^*)$ . Note that  $\sum b_j u_j^*(x)T(u_j)$  converges unconditionally in Y for each  $x \in X$  and  $b = (b_i) \in \ell_{\infty}$ .

Let  $J : [(T(u_i)] \to \ell_{\infty}$  be a linear isometry, and let  $A : Y \to \ell_{\infty}$  be a continuous linear extension of J. Now suppose that K(X,Y) is complemented in S(X,Y) and let  $P : S(X,Y) \to K(X,Y)$  be a projection. Define  $\tau : \ell_{\infty} \to L(X,Y)$  by

$$\tau(b)(x) = \sum_{j} b_{j} u_{j}^{*}(x) T(u_{j}), \quad x \in X.$$

Note that  $\tau(\ell_{\infty}) \subseteq S(X, Y)$ . Consider the operators  $AP\tau : \ell_{\infty} \to K(X, \ell_{\infty})$ and  $A\tau : \ell_{\infty} \to S(X, \ell_{\infty})$ . Since  $\tau(e_j) = u_j^* \otimes T(u_j), \tau(e_j)$  is a rank one operator, thus compact. Then  $AP\tau(e_j) = A\tau(e_j)$  for each  $j \in \mathbb{N}$ . Proposition 5 of Kalton [23] produces an infinite subset M of  $\mathbb{N}$  such that

$$AP\tau(b) = A\tau(b), \quad b \in l_{\infty}(M).$$

Therefore  $A\tau(\chi_M)$  is compact. But  $\tau(\chi_M)(u_j) = T(u_j), j \in M$ , and  $\{T(u_j) : j \in M\}$  is not relatively compact. Therefore  $\tau(\chi_M)$  is not compact. However, this is a contradiction since  $A_{|_{[T(u_j)]}}$  is an isometry.

COROLLARY 5 (Emmanuele, [13]). Let Y be a Banach space without the Schur property. Then  $K(\ell_1, Y)$  is not complemented in  $W(\ell_1, Y)$ .

Proof. Let  $(y_n)$  be a *w*-null normalized basic sequence in  $Y, X = \ell_1$ , and  $S(\ell_1, Y) = W(\ell_1, Y)$ . Define  $T : \ell_1 \to Y$  by  $T(x) = \sum x_n y_n, x = (x_n) \in \ell_1$ . If  $\phi : \ell_{\infty} \to L(\ell_1, Y)$  is defined as in the previous theorem, then  $\phi(b)(x) = \sum_j b_j x_j y_j$  for  $x = (x_n) \in \ell_1$ . Since  $\phi(b)(e_n^*) = (b_n y_n)$  is *w*-null,  $\phi(b)$  is weakly compact for all  $b \in \ell_{\infty}$ . By Theorem 4,  $K(\ell_1, Y) \stackrel{c}{\hookrightarrow} W(\ell_1, Y)$ .

The next corollary contains principal results of [11], [13] and [16].

COROLLARY 6.

- (i) If  $\ell_{\infty} \hookrightarrow Y$  and X does not have the Schur property (or  $\ell_{\infty} \hookrightarrow X$ and Y does not have the Schur property), then  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .
- (ii) If  $c_0 \hookrightarrow K(X,Y)$  and  $K(X,Y) \neq L(X,Y)$ , then K(X,Y) is not complemented in L(X,Y).
- (iii) If  $c_0 \hookrightarrow Y$  and X does not have the Schur property (or  $c_0 \hookrightarrow X$ and Y does not have the Schur property), then  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .
- (iv) If  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$  and X and Y do not have the Schur property, then  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .

Proof. (i) Since  $\ell_{\infty} \hookrightarrow Y$  and  $\ell_{\infty}$  is injective,  $\ell_{\infty}$  is complemented in Y. Suppose that  $K_{w^*}(X^*, Y) \stackrel{c}{\hookrightarrow} L_{w^*}(X^*, Y)$ . Then  $K_{w^*}(X^*, \ell_{\infty}) \stackrel{c}{\hookrightarrow} L_{w^*}(X^*, \ell_{\infty})$ . Let P be a projection of  $L_{w^*}(X^*, \ell_{\infty})$  onto  $K_{w^*}(X^*, \ell_{\infty})$ . Note that  $W(\ell_1, X)$  $\simeq L_{w^*}(X^*, \ell_{\infty})$  and  $K(\ell_1, X) \simeq K_{w^*}(X^*, \ell_{\infty})$ . Hence the projection P may be viewed as an operator from  $W(\ell_1, X)$  onto  $K(\ell_1, X)$ . Apply Corollary 5 now.

(ii) Suppose that  $K(X,Y) \stackrel{c}{\hookrightarrow} L(X,Y)$ . By Theorem 1,  $\ell_{\infty} \hookrightarrow K(X,Y)$ . Apply Theorem 4 of Kalton [23] to conclude that  $\ell_{\infty} \hookrightarrow X^*$  or  $\ell_{\infty} \hookrightarrow Y$ . The first case produces a contradiction in view of Lemma 3 of Kalton [23]. If  $\ell_{\infty} \hookrightarrow Y$ , then  $c_0 \hookrightarrow Y$ , and the conclusion follows from Corollary 1 of Feder [17].

(iii) Suppose that  $c_0 \hookrightarrow Y$  and X does not have the Schur property. Assume that  $K_{w^*}(X^*, Y) \stackrel{c}{\hookrightarrow} L_{w^*}(X^*, Y)$ . Theorem 1 implies that  $\ell_{\infty} \hookrightarrow K_{w^*}(X^*, Y)$ . Drewnowski's result [8] implies that  $\ell_{\infty} \hookrightarrow X$  or  $\ell_{\infty} \hookrightarrow Y$ . However, this is not possible by part (i).

(iv) The same proof as for (iii).  $\blacksquare$ 

Our proof of Corollary 6 made use of the following result in [17]:

THEOREM 7 (Feder, [17]). Suppose T is an operator in L(X, Y) which is not compact and  $(T_n)$  is a sequence in K(X, Y) such that for each  $x \in X$ , the series  $\sum T_n(x)$  converges unconditionally to T(x). Then K(X, Y) is not complemented in L(X, Y).

In [11] Emmanuele proved that the containment of  $c_0$  in K(X,Y) is equivalent to the hypothesis of Feder's theorem. He used this to obtain (ii) of Corollary 6 above. In the next theorem we obtain an analogue of Feder's theorem in  $L_{w^*}(X^*, Y)$ .

THEOREM 8. Suppose T is an operator in  $L_{w^*}(X^*, Y)$  which is not compact and  $(T_n)$  is a sequence in  $K_{w^*}(X^*, Y)$  such that for each  $x^* \in X^*$ , the series  $\sum T_n(x^*)$  converges unconditionally to  $T(x^*)$ . Then  $K_{w^*}(X^*, Y)$ is not complemented in  $L_{w^*}(X^*, Y)$ . Furthermore,  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ .

Proof. Since  $L_{w^*}(X^*, Y) \neq K_{w^*}(X^*, Y)$ , X and Y do not have the Schur property (if X or Y has the Schur property,  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$ ). Without loss of generality assume  $c_0 \nleftrightarrow X, Y$  (by Corollary 6(iii)), hence  $\ell_{\infty} \nleftrightarrow X, Y$ . Suppose the operator T and the sequence  $(T_n)$  are as in the hypothesis. Since T is not compact,  $\sum T_n$  diverges in the norm topology of  $K_{w^*}(X^*, Y)$ . This divergence and the pointwise unconditional convergence of the series  $\sum T_n(x^*)$  allow us to reblock the sum and to assume that  $||T_n|| \to 0$ .

Now use the Uniform Boundedness Principle, the finite-cofinite algebra of the subsets of  $\mathbb{N}$ , and the Diestel-Faires theorem to conclude that  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ ; see the proof of Theorem 1 for details. (Alternatively, note that  $\sum T_n$  is weakly unconditionally convergent and not unconditionally convergent.) If  $K_{w^*}(X^*, Y)$  were complemented in  $L_{w^*}(X^*, Y)$ , then Theorem 1 would place  $\ell_{\infty}$  in  $K_{w^*}(X^*, Y)$ . Another application of Drewnowski's result [8] would provide the contradiction that  $\ell_{\infty}$  would embed in either X or Y. To see that  $\ell_{\infty}$  embeds in  $L_{w^*}(X^*, Y)$  simply apply Theorem 1 again.  $\blacksquare$ 

REMARK. The hypothesis of the previous theorem implies that the series  $\sum T_n$  is *wuc* (by the Uniform Boundedness Principle) and not unconditionally convergent in  $K_{w^*}(X^*, Y)$ , hence  $c_0$  embeds in  $K_{w^*}(X^*, Y)$ . Conversely, if  $c_0$  embeds in  $K_{w^*}(X^*, Y)$ , but neither in X nor in Y, then there is a sequence  $(T_n)$  which satisfies the hypothesis of Theorem 8. In fact, if  $c_0 \nleftrightarrow X, Y$ , then  $l_{\infty} \nleftrightarrow X, Y$  and thus  $l_{\infty} \nleftrightarrow K_{w^*}(X^*, Y)$  [8]. Let  $(T_n)$  be a copy of  $(e_n)$  in  $K_{w^*}(X^*, Y)$ . Define  $\phi : \ell_{\infty} \to L(X^*, Y)$  by

$$\phi(b)(x^*) = \sum b_n T_n(x^*), \quad x^* \in X^*.$$

This series is unconditionally convergent and  $\phi(b)$  is a  $w^*$ -w operator. If  $\phi(b)$  is compact for each  $b \in \ell_{\infty}$ , then  $\phi : \ell_{\infty} \to K_{w^*}(X^*, Y)$  is weakly compact (since  $\ell_{\infty} \nleftrightarrow K_{w^*}(X^*, Y)$ , [28]). Then  $\|\phi(e_n)\| = \|T_n\| \to 0$ . This is a contradiction. Therefore there is a  $b_0 \in \ell_{\infty}$  such that  $\phi(b_0)$  is not compact. The series  $\sum b_{0n}T_n$  and the operator  $\phi(b_0)$  satisfy the hypothesis of Theorem 8.

We are now in a position to present a concise and straightforward proof of the main result in [13] and to obtain several corollaries concerning the structure of K(X, Y) and W(X, Y).

THEOREM 9 ([13, Theorem 4]). Suppose  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ . Then either  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$ , or  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .

Furthermore,  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$  if and only if only one of the following is true:

- (i)  $c_0 \hookrightarrow Y$  and X has the Schur property,
- (ii)  $c_0 \hookrightarrow X$  and Y has the Schur property.

*Proof.* If  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$  and  $K_{w^*}(X^*, Y) \neq L_{w^*}(X^*, Y)$ , then Corollary 6(iv) implies that  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .

Now assume that  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$  and  $c_0$  embeds neither in X nor in Y. The proof of Theorem 1 shows that if  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ , but  $c_0 \nleftrightarrow X, Y$ , then  $\ell_{\infty} \hookrightarrow K_{w^*}(X^*, Y)$ . Therefore  $\ell_{\infty} \hookrightarrow X$  or  $\ell_{\infty} \hookrightarrow Y$  [8]. This contradiction shows that either  $c_0 \hookrightarrow X$  or  $c_0 \hookrightarrow Y$ .

If  $c_0 \hookrightarrow Y$  and X does not have the Schur property, then  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$  by Corollary 6(iii). Hence X has the Schur property and (i) must hold.  $\blacksquare$  Corollaries 10–12 make use of the following isometries:

 $W(X,Y) \simeq L_{w^*}(X^{**},Y), \quad K(X,Y) \simeq K_{w^*}(X^{**},Y).$ 

COROLLARY 10. Suppose Y is the second Bourgain–Delbaen space which is an  $\mathcal{L}_{\infty}$ -space which has the RNP and Y<sup>\*</sup> is isomorphic to  $\ell_1$ . Then  $c_0 \nleftrightarrow K(Y,Y)$ .

*Proof.* Since  $Y^*$  is a Schur space, it follows that K(Y,Y) = W(Y,Y)and  $c_0 \nleftrightarrow Y^*$ . Further,  $c_0 \nleftrightarrow Y$  since Y has the RNP. By Theorem 9,  $c_0 \nleftrightarrow K(Y,Y)$ .

COROLLARY 11. Suppose  $T: X \to Y$  is a weakly compact operator which is not compact and  $(T_n)$  is a sequence in K(X,Y) such that for each  $x \in X$ , the series  $\sum T_n(x)$  converges unconditionally to T(x). Then K(X,Y) is not complemented in W(X,Y). Furthermore,  $\ell_{\infty} \hookrightarrow W(X,Y)$ .

*Proof.* Apply Theorem 8.

COROLLARY 12.

- (i) If  $c_0 \hookrightarrow Y$  and  $X^*$  does not have the Schur property, then K(X,Y) is not complemented in W(X,Y) and  $\ell_{\infty} \hookrightarrow W(X,Y)$ .
- (ii) If  $c_0 \hookrightarrow K(X,Y)$  and  $K(X,Y) \neq W(X,Y)$ , then K(X,Y) is not complemented in W(X,Y) and  $\ell_{\infty} \hookrightarrow W(X,Y)$ .

*Proof.* (i) Apply Corollary 6(iii) to deduce that  $K(X,Y) \stackrel{c}{\hookrightarrow} W(X,Y)$ . An application of Corollary 2 concludes the proof.

(ii) Apply Theorem 9 to find that  $K(X,Y) \stackrel{c}{\nleftrightarrow} W(X,Y)$ . An application of Corollary 2 concludes the proof.

The next theorem, as well as several subsequent corollaries, show that many familiar spaces of operators contain complemented copies of  $c_0$ .

THEOREM 13. Suppose that  $(x_i)$  is an unconditional and seminormalized shrinking basis for X and  $(x_i^*)$  is the associated sequence of coefficient functionals. Let T be an operator in  $L_{w^*}(X^*,Y)$  such that  $(T(x_i^*))$ is seminormalized. Then  $c_0 \hookrightarrow K_{w^*}(X^*,Y)$ ,  $K_{w^*}(X^*,Y) \stackrel{c}{\hookrightarrow} L_{w^*}(X^*,Y)$ , and  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*,Y)$ . Moreover,  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(X^*,Y)$ .

Proof. Since  $(x_n)$  is an unconditional shrinking basis for X,  $(x_n^*)$  is an unconditional basis for  $X^*$ , and the series  $\sum x^*(x_n)x_n^*$  converges unconditionally to  $x^*$  for all  $x^* \in X^*$  ([32, Thm. 17.7]). Note that  $(T(x_i^*))$  is w-null since  $(x_i^*)$  is w\*-null. Bessaga–Pełczyński's selection principle allows us to assume that  $(T(x_i^*))$  is a w-null basic sequence in Y. If  $T_i : X^* \to Y$ ,  $T_i(x^*) = x^*(x_i)T(x_i^*)$ , then  $T_i \in K_{w^*}(X^*, Y)$  and the series  $\sum T_i(x^*)$  converges unconditionally to  $T(x^*)$  for all  $x^* \in X^*$ . Since T is not compact,

 $\sum T_n$  is weakly unconditionally convergent and not unconditionally convergent, and thus  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ . By Theorem 8,  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$  and  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ .

Choose  $\varepsilon > 0$  and intertwining sequences  $(m_k)$ ,  $(n_k)$  of positive integers so that  $\|\sum_{i=m_k}^{n_k} T_i\| > \varepsilon$  for each k. Let  $L_k = \sum_{i=m_k}^{n_k} T_i$ ,  $k \in \mathbb{N}$ . Note that  $\sum L_k(x^*)$  converges unconditionally for each  $x^* \in X^*$  since  $\sum T_i(x^*)$  is unconditionally convergent. Hence  $\sum L_k$  is weakly unconditionally convergent in  $K_{w^*}(X^*, Y)$ . Moreover,  $\inf \|L_k\| > 0$ . By Lemma 3 on p. 160 of [3],  $(L_k) \sim (e_k)$ .

Let  $(y_i^*)$  in  $Y^*$  be a biorthogonal sequence of coefficients of  $(T(x_i^*))$ . We may suppose that  $||y_i^*|| \leq 1$ . If  $L \in K_{w^*}(X^*, Y)$ , then  $\langle x_i^* \otimes y_i^*, L \rangle \leq ||L(x_i^*)|| \to 0$ . Hence  $(x_i^* \otimes y_i^*)$  is  $w^*$ -null in  $(K_{w^*}(X^*, Y))^*$ . For each  $m_k \leq i \leq n_k$ ,  $\langle x_i^* \otimes y_i^*, L_k \rangle = \langle x_i^* \otimes y_i^*, T_i \rangle = 1$ . Then  $(L_k)$  is not limited. By a result on p. 36 of Schlumprecht [30],  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(X^*, Y)$ .

THEOREM 14. Let X and Y be infinite-dimensional Banach spaces satisfying the following assumption: if T is an operator in  $L_{w^*}(X^*, Y)$ , then there is a sequence of operators  $(T_n)$  in  $K_{w^*}(X^*, Y)$  such that for each  $x^* \in X^*$ , the series  $\sum T_n(x^*)$  converges unconditionally to  $T(x^*)$ . Then the following are equivalent:

- (i)  $K_{w^*}(X^*, Y) \neq L_{w^*}(X^*, Y).$
- (ii) X and Y do not have the Schur property and  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ .
- (iii) X and Y do not have the Schur property and  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ .
- (iv)  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .

*Proof.* (i) $\Rightarrow$ (ii). Let  $T \in L_{w^*}(X^*, Y)$  be noncompact. Then X and Y do not have the Schur property. Let  $(T_n)$  be a sequence as in the hypothesis. By the remark after Theorem 8,  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ .

(ii) $\Rightarrow$ (iii) by Corollary 3 (or Corollary 2).

(iii) $\Rightarrow$ (i). If  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$ , then  $\ell_{\infty} \hookrightarrow K_{w^*}(X^*, Y)$ . By Drewnowski's result [8],  $\ell_{\infty} \hookrightarrow X$  or  $\ell_{\infty} \hookrightarrow Y$ . By Corollary 6(i),  $K_{w^*}(X^*, Y)$  $\stackrel{c}{\hookrightarrow} L_{w^*}(X^*, Y)$ , a contradiction.

 $(iv) \Rightarrow (i)$  is trivial, and  $(ii) \Rightarrow (iv)$  by Corollary 6(iv).

A separable Banach space X has an unconditional finite-dimensional expansion of the identity (u.f.d.e.i.) if there is a sequence  $(A_n)$  of finite rank operators from X to X such that  $\sum A_n(x)$  converges unconditionally to x for all  $x \in X$ . In this case,  $(A_n)$  is called an u.f.d.e.i. of X [18].

COROLLARY 15. If either Y or X has an u.f.d.e.i., then the following are equivalent:

- (i)  $K_{w^*}(X^*, Y) \neq L_{w^*}(X^*, Y).$
- (ii) X and Y do not have the Schur property and  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ .

- (iii) X and Y do not have the Schur property and  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ .
- (iv)  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .
- (v) X and Y do not have the Schur property and  $c_0 \stackrel{\sim}{\hookrightarrow} K_{w^*}(X^*, Y)$ .

*Proof.* Suppose Y has an u.f.d.e.i.  $(A_n)$ . Then  $A_n : Y \to Y$  is compact for each n and  $y = \sum A_n(y)$  unconditionally for each  $y \in Y$ . Let  $T \in L_{w^*}(X^*, Y)$ . Hence  $T(x^*) = \sum A_n T(x^*)$  unconditionally for each  $x^* \in X^*$  and  $A_n T \in K_{w^*}(X^*, Y)$ . Apply Theorem 14 to find that the first four statements are equivalent.

Now, if Y has an u.f.d.e.i. then Y must be separable, hence it has the Gelfand–Phillips property [4]. By Theorem 18 in [13], if  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ , then  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(X^*, Y)$ . Hence (ii) $\Rightarrow$ (v). (v) $\Rightarrow$ (ii) is trivial.

Assume that X has an u.f.d.e.i.  $(A_n)$ . Then  $A_n : X \to X$  is compact and  $x = \sum A_n(x)$  unconditionally for each  $x \in X$ . Let  $T \in L_{w^*}(X^*, Y)$ . Then  $T^*(y^*) = \sum A_n T^*(y^*)$  unconditionally for each  $y^* \in Y^*$  and  $T_n = A_n T^* \in K_{w^*}(Y^*, X)$ . Now apply Theorem 14 and use the isometry  $K_{w^*}(X^*, Y) \simeq K_{w^*}(Y^*, X)$ .

COROLLARY 16 ([13, Corollary 9]). Let X and Y be infinite-dimensional Banach spaces such that  $X^*$  or Y has an u.f.d.e.i. Then the following are equivalent:

- (i)  $K(X,Y) \neq W(X,Y)$ .
- (ii)  $X^*$  and Y do not have the Schur property and  $c_0 \hookrightarrow K(X,Y)$ .
- (iii)  $X^*$  and Y do not have the Schur property and  $\ell_{\infty} \hookrightarrow W(X,Y)$ .
- (iv) K(X,Y) is not complemented in W(X,Y).
- (v)  $X^*$  and Y do not have the Schur property and  $c_0 \stackrel{c}{\hookrightarrow} K(X,Y)$ .

*Proof.* Apply the isometries at the beginning of this section and Corollary 15.  $\blacksquare$ 

COROLLARY 17. Suppose that  $X^*$  has an u.f.d.e.i.  $(A_n)$  consisting of  $w^*$ -w operators. Then the conclusion of Corollary 15 is true.

Proof. Let  $(A_n)$  be an u.f.d.e.i. for  $X^*$  consisting of  $w^*$ -w operators. Let  $T \in L_{w^*}(X^*, Y)$  and  $T_n = TA_n$ . Then  $x^* = \sum A_n(x^*)$  unconditionally for each  $x^* \in X^*$ ,  $T^*(Y^*) \subseteq X$ ,  $A_n^*(X^{**}) \subseteq X$ , and  $T_n$  is compact for each n. We will show that  $T_n$  is  $w^*$ -w continuous. Let  $(x^*_{\alpha})$  be a  $w^*$ -null net in  $B_{X^*}$  and  $y^* \in Y^*$ . For each  $n \in \mathbb{N}$ ,

$$\langle y^*, T_n(x^*_\alpha) \rangle = \langle A^*_n T^*(y^*), x^*_\alpha \rangle \to 0.$$

Then  $T_n \in L_{w^*}(X^*, Y)$ , and thus  $T_n \in K_{w^*}(X^*, Y)$ . Since the series  $\sum T_n(x^*)$  converges unconditionally to  $T(x^*)$  for each  $x^* \in X^*$ , an application of Theorem 14, Theorem 18 in [13], and the isometry  $K_{w^*}(Y^*, X) \simeq K_{w^*}(X^*, Y)$  concludes the proof.  $\blacksquare$ 

The following result is motivated by Theorem 1 in [14].

A sequence  $(X_n)$  of closed subspaces of a Banach space X is called an unconditional Schauder decomposition of X if every  $x \in X$  has a unique representation of the form  $x = \sum x_n$  with  $x_n \in X_n$  for every n, and the series converges unconditionally [26].

COROLLARY 18. Let X and Y be infinite-dimensional Banach spaces satisfying the following assumptions:

- (a) Y is complemented in a Banach space Z which has an unconditional Schauder decomposition  $(Z_n)$ .
- (b)  $L(X^*, Z_n) = K(X^*, Z_n)$  for each n. Then the conclusion of Theorem 14 is true.

Proof. Let  $T \in L_{w^*}(X^*, Y)$ ,  $A_n : Z \to Z_n$ ,  $A_n(\sum z_i) = z_n$ , and Pthe projection of Z onto Y. Define  $T_n : X^* \to Y$  by  $T_n(x^*) = PA_nT(x^*)$ ,  $x^* \in X^*$ ,  $n \in \mathbb{N}$ . Note that  $T_n$  is compact since  $L(X^*, Z_n) = K(X^*, Z_n)$ , and  $T_n$  is  $w^*$ -w continuous for each n. Since for each  $z \in Z$ ,  $z = \sum A_n(z)$  and the convergence is unconditional,  $\sum T_n(x^*)$  converges unconditionally to  $T(x^*)$ for each  $x^* \in X^*$ . An application of Theorem 14 gives the conclusion.

The hypothesis (b) of the previous theorem is satisfied, for instance, in the following cases:

- (1) X is arbitrary and each  $Z_n$  is finite-dimensional;
- (2)  $\ell_1 \nleftrightarrow X^*$  and each  $Z_n$  has the Schur property;
- (3)  $X = \ell_1$  and each  $Z_n$  has the Schur property;
- (4)  $X^{**}$  has the Schur property and each  $Z_n$  has (RDP\*).

COROLLARY 19. If  $\ell_1 \nleftrightarrow X^*$ , Y is complemented in a Banach space Z which has an unconditional Schauder decomposition  $(Z_n)$ , and each  $Z_n$  has the Schur property, then the conclusion of Corollary 15 is true.

*Proof.* Since Z has an unconditional Schauder decomposition  $(Z_n)$  and each  $Z_n$  has the Schur property, Z, hence Y, has the Gelfand–Phillips property [9]. Apply Corollary 18 and Theorem 18 in [13] to get the conclusion.

The following theorem continues a theme of Theorem 13 and gives sufficient conditions for  $K_{w^*}(X^*, Y)$  to contain isomorphic (complemented) copies of  $c_0$ .

THEOREM 20. Let X and Y be Banach spaces satisfying the following assumption: there exists a Banach space G with an unconditional basis  $(g_n)$ and biorthogonal coefficients  $(g_n^*)$  and two operators  $R : G \to Y$  and S : $G^* \to X$  such that  $(R(g_i))$  and  $(S(g_i^*))$  are seminormalized sequences and either  $(R(g_i))$  or  $(S(g_i^*))$  is a basic sequence. Then  $c_0$  embeds in  $K_{w^*}(X^*, Y)$ (indeed, in any subspace H of  $L(X^*, Y)$  which contains  $X \otimes_{\lambda} Y$ ). Moreover, if  $(R(g_i))$  and  $(S(g_i^*))$  are basic and Y (or X) has the Gelfand-Phillips property, then  $K_{w^*}(X^*,Y)$  contains a complemented copy of  $c_0$ .

*Proof.* Suppose that  $p \leq ||R(g_i)|| \leq q$  and  $p \leq ||S(g_i^*)|| \leq q$  for all *i*. Let  $S(g_i^*) \otimes R(g_i) \in K_{w^*}(X^*, Y), \langle S(g_i^*) \otimes R(g_i), x^* \rangle = x^*(S(g_i^*))R(g_i), x^* \in X^*.$ 

Assume without loss of generality that  $(R(g_i))$  is a basic sequence. Choose  $C_1 > 0$  so that for all real numbers  $(b_i)$  and all positive integers  $m \leq n$ ,

$$\left\|\sum_{i=1}^{m} b_i R(g_i)\right\| \le C_1 \left\|\sum_{i=1}^{n} b_i R(g_i)\right\|.$$

Then  $||b_i R(g_i)|| \le 2C_1 ||\sum_{j=1}^n b_i R(g_i)||$  for each  $1 \le i \le n$ .

We have, for any sequence  $(a_n)$  of real numbers,

$$\begin{split} \left\|\sum_{i=1}^{n} a_{i}[S(g_{i}^{*}) \otimes R(g_{i})]\right\|_{\lambda} &= \sup\left\{\left\|\sum_{i=1}^{n} a_{i}x^{*}(S(g_{i}^{*}))R(g_{i})\right\| : x^{*} \in B_{X^{*}}\right\}\\ &\geq \sup\left\{\frac{1}{2C_{1}} \left\|a_{i}x^{*}(S(g_{i}^{*}))R(g_{i})\right\| : x^{*} \in B_{X^{*}}\right\}\\ &\geq \frac{1}{2C_{1}} \left.p|a_{i}| \left\|S(g_{i}^{*})\right\| \geq \frac{1}{2C_{1}} \left.p^{2}|a_{i}|\right\} \end{split}$$

for each  $1 \leq i \leq n$ . Hence

$$\left\|\sum_{i=1}^{n} a_{i}[S(g_{i}^{*}) \otimes R(g_{i})]\right\|_{\lambda} \geq \frac{1}{2C_{1}} p^{2}(\max_{i=1}^{n} |a_{i}|).$$

On the other hand, S and R induce an operator  $S \otimes_{\lambda} R : G^* \otimes_{\lambda} G \to X \otimes_{\lambda} Y$ , which maps  $(g_n^* \otimes g_n)$  into  $(S(g_n^*) \otimes R(g_n))$  ([7, Chapter VIII]). So we have

$$\left\|\sum_{i=1}^{n} a_{i}[S(g_{i}^{*}) \otimes R(g_{i})]\right\|_{\lambda} \leq \|S \otimes_{\lambda} R\| \left\|\sum_{i=1}^{n} a_{i}(g_{i}^{*} \otimes g_{i})\right\|_{\lambda}$$

Let  $\varepsilon(\{g_i^*(g)g_i\}) = \sup\{\sum |g^*(g_i^*(g)g_i)| : g^* \in B_{G^*}\}$  for  $g \in G$  and let M be the unconditional basis constant of the unconditional basis  $(g_n)$ .

If  $g \in G$  and  $g^* \in B_{G^*}$ , then  $g = \sum g_i^*(g)g_i$  unconditionally,  $\sum |g^*(g_i^*(g)g_i)| \le 2M ||g||$ , and  $\sup \{ \varepsilon (\{g_i^*(g)g_i\}) : g \in B_G \} \le 2M$ . Consequently,

$$\left\|\sum_{i=1}^{n} a_{i}(g_{i}^{*} \otimes g_{i})\right\|_{\lambda} \leq \sup\left\{\sum_{i=1}^{n} |a_{i}g_{i}^{*}(g)g^{*}(g_{i})| : g \in B_{G}, g^{*} \in B_{G^{*}}\right\}$$
$$\leq 2M(\max_{i=1}^{n} |a_{i}|),$$

and therefore

$$\left\|\sum_{i=1}^{n} a_i [S(g_i^*) \otimes R(g_i)]\right\|_{\lambda} \le 2M \|S \otimes_{\lambda} R\| \max_{i=1}^{n} |a_i|.$$

Hence  $(S(g_n^*) \otimes R(g_n)) \sim (e_n)$  and thus  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ .

To prove the last part of the theorem, suppose that Y has the Gelfand– Phillips property and both  $(R(g_n))$  and  $(S(g_n^*))$  are basic. If  $(R(g_n))$  is limited, then  $R(g_n) \to 0$  since  $(R(g_n))$  is relatively compact and the only weak limit of a basic sequence is zero [5, p. 42]. Therefore  $(R(g_n))$  is not limited. By a result of Schlumprecht [30], we can choose a  $w^*$ -null sequence  $(y_n^*)$  in  $Y^*$  such that  $\langle y_n^*, R(g_m) \rangle = \delta_{nm}$ . Let  $(x_n^*)$  be a bounded sequence in  $X^*$  such that  $\langle x_n^*, S(g_m^*) \rangle = \delta_{nm}$ . We may assume that  $||x_n^*|| \leq 1$ . Then  $(x_n^* \otimes y_n^*)$  is a  $w^*$ -null sequence in  $(K_{w^*}(X^*, Y))^*$  since for each  $T \in K_{w^*}(X^*, Y)$ ,

$$\langle x_n^* \otimes y_n^*, T \rangle = \langle T(x_n^*), y_n^* \rangle \le \|T^*(y_n^*)\| \to 0.$$

Also,  $\langle x_n^* \otimes y_n^*, S(g_m^*) \otimes R(g_m) \rangle = \delta_{nm}$ , thus  $(S(g_m^*) \otimes R(g_m))$  is not limited. By Theorem 1.3.2 in [30],  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(X^*, Y)$ .

REMARK. From Theorem 20 and the first example at the end of the paper it follows that  $c_0$  embeds in  $K_{w^*}(X^*, Y)$  when  $\ell_2$  embeds in both X and Y. In fact,  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(X^*, Y)$ .

COROLLARY 21 ([11, Theorem 3]). Let X and Y be Banach spaces satisfying the following assumption: there exists a Banach space G with an unconditional basis  $(g_n)$  and biorthogonal coefficients  $(g_n^*)$  and two operators  $R: G \to Y$  and  $S: G^* \to X^*$  such that  $(R(g_i))$  and  $(S(g_i^*))$  are normalized basic sequences. Then  $c_0 \hookrightarrow K(X,Y)$ .

Moreover, if Y (or  $X^*$ ) has the Gelfand–Phillips property, then K(X, Y) contains a complemented copy of  $c_0$ .

*Proof.* Apply Theorem 20 and the isometry  $K_{w^*}(X^{**}, Y) \simeq K(X, Y)$ .

Recall that a basis  $(x_n)$  for X is said to be *perfectly homogeneous* if it is seminormalized and every seminormalized block basic sequence with respect to  $(x_n)$  is equivalent to  $(x_n)$  [32]. A perfectly homogeneous basis is unconditional. The unit vector bases of  $c_0$  and  $\ell_p$ ,  $1 \le p < \infty$ , are, up to equivalence, the only perfectly homogeneous bases (Zippin) [32, p. 609].

THEOREM 22. Suppose that  $(x_n^*)$  is a perfectly homogeneous basic sequence in  $X^*$ ,  $[x_n^*]^* \hookrightarrow X$  and  $T : [x_n^*] \to Y$  is a non-completely continuous operator. Then  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ ,  $\ell_{\infty} \hookrightarrow L_{w^*}(X^*, Y)$ , and  $K_{w^*}(X^*, Y)$  is not complemented in  $L_{w^*}(X^*, Y)$ .

*Proof.* Suppose that  $(x_n^*)$  is a perfectly homogeneous basic sequence,  $[x_n^*]^* \hookrightarrow X$ , and  $T : [x_n^*] \to Y$  is an operator which is not completely continuous. Let  $U = [x_n^*]$ , and let  $(u_n^*)$  be a weakly null sequence in U so that

 $(T(u_n^*)) \rightarrow 0$ . Without loss of generality, suppose that  $\varepsilon > 0$  and  $||T(u_n^*)|| > \varepsilon$ for each n. Apply the Bessaga–Pełczyński selection principle [5] and let  $(v_n^*)$ be a subsequence of  $(u_n^*)$  so that  $(v_n^*)$  is equivalent to a block basic sequence of  $(x_n^*)$ . In fact, an inspection of the Bessaga–Pełczyński theorem shows that we may assume that  $(v_n^*)$  is seminormalized. Therefore  $(v_n^*) \sim (x_n^*)$ . Since  $(x_n^*)$  is unconditional,  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$  by Theorem 20. Apply Corollary 2 and Corollary 6(iv) to conclude the argument.

COROLLARY 23.

- (a) Assume that  $\ell_2 \hookrightarrow X$  and there is an operator  $T : \ell_2 \to Y$  such that the sequence  $(T(e_n^2))$  is seminormalized. Then the four statements in the conclusion of Theorem 14 hold.
- (b) Assume that  $\ell_2 \hookrightarrow Y$  and there is an operator  $T : \ell_2 \to X$  such that the sequence  $(T(e_n^2))$  is seminormalized. Then the four statements in the conclusion of Theorem 14 hold.

*Proof.* We prove (a); the case (b) is similar. An application of Theorem 22 (or 20) gives  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ . We note that X and Y are not Schur spaces by hypothesis. Thus, (ii) holds. The proof of Theorem 14 shows that (ii) $\Rightarrow$ (i), (ii) $\Rightarrow$ (iii), and (ii) $\Rightarrow$ (iv).

REMARK. A similar proof shows that if  $\ell_2 \hookrightarrow X$  and  $\ell_p \hookrightarrow Y$  for some  $p \ge 2$ , then the four statements in the conclusion of Theorem 14 hold.

COROLLARY 24. Assume that  $\ell_2 \hookrightarrow X^*$  and there is an operator  $T : \ell_2 \to Y$  such that the sequence  $(T(e_n^2))$  is seminormalized. Then the first four statements in the conclusion of Corollary 16 are true.

*Proof.* Apply Corollary 23.

In [17] Feder proved that  $K(C(S), L^1)$  is not complemented in  $L(C(S), L^1)$ when S is not dispersed. See also [12]. The following corollary improves Feder's result.

COROLLARY 25. Assume that S is a Hausdorff compact space which is not dispersed. Then  $K(C(S), L^1)$  is not complemented in  $W(C(S), L^1)$ .

*Proof.* Since S is not dispersed,  $\ell_1 \hookrightarrow C(S)$  [24]. Then  $L^1 \hookrightarrow C(S)^*$  [27]. Also, the Rademacher functions span  $\ell_2$  inside of  $L^1$ , and thus  $\ell_2 \hookrightarrow C(S)^*$ . Corollary 21 implies that  $c_0 \hookrightarrow K(C(S), L^1)$ . By Corollary 24,  $K(C(S), L^1)$ is not complemented in  $W(C(S), L^1)$ .

See the last section of this paper for a generalization of Corollary 25.

COROLLARY 26 ([13, Corollary 12]). Assume that X has the DPP and there is an operator  $T : \ell_2 \to Y$  such that the sequence  $(T(e_n^2))$  is seminormalized. Then the first four statements in the conclusion of Corollary 16 are equivalent. *Proof.* We only have to show that (i) $\Rightarrow$ (ii). Since  $K(X, Y) \neq W(X, Y)$ ,  $X^*$  and Y do not have the Schur property. Since X has the DPP and  $X^*$  is not a Schur space,  $\ell_1 \hookrightarrow X$  [21], [6].

Then  $L^1 \hookrightarrow X^*$  (by a result in [27]), hence  $\ell_2 \hookrightarrow X^*$  [5]. By Theorem 20,  $c_0 \hookrightarrow K(X,Y)$ . The rest follows from Corollary 24.

In [22] the authors proved that if X and Y are weakly sequentially complete and  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$ , then  $K_{w^*}(X^*, Y)$  is weakly sequentially complete. Now we give a partial converse.

COROLLARY 27. If Y (or X) has an u.f.d.e.i. and  $K_{w^*}(X^*, Y)$  is weakly sequentially complete, then  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$ .

*Proof.* By Corollary 15, if  $K_{w^*}(X^*, Y) \neq L_{w^*}(X^*, Y)$ , then  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ , a contradiction.

**Closing remarks.** Emmanuele made the following two observations on p. 334 of [11]:

- (a) If  $\ell_1 \hookrightarrow X$  and  $\ell_p \hookrightarrow Y$  for some  $p \ge 2$ , then  $c_0 \hookrightarrow K(X,Y)$  and  $K(X,Y) \stackrel{c}{\hookrightarrow} L(X,Y)$ .
- (b) If 1/p + 1/p' = 1 and  $1 < p' \le q < \infty$ , then  $c_0 \stackrel{c}{\hookrightarrow} \ell_p \otimes_{\varepsilon} \ell_q$ .

In case (a) we can actually show that  $K(X,Y) \stackrel{c}{\hookrightarrow} W(X,Y)$ . Suppose that  $\ell_1 \hookrightarrow X$  and  $\ell_p \hookrightarrow Y, p \ge 2$ . Then  $L_1 \hookrightarrow X^*$ , and thus  $\ell_2 \hookrightarrow X^*$ . By Theorem 20,  $c_0 \hookrightarrow K_{w^*}(X^{**},Y)$ . By Corollary 6,  $K_{w^*}(X^{**},Y)$  is not complemented in  $L_{w^*}(X^{**},Y)$ . Now use the natural isometries at the beginning of the previous section to conclude that K(X,Y) is not complemented in W(X,Y).

Since  $K(\ell_p, \ell_q) = K_{w^*}(\ell_p, \ell_q) \neq L(\ell_p, \ell_q) = L_{w^*}(\ell_p, \ell_q)$ , Theorem 13 allows us to see that  $c_0 \stackrel{c}{\hookrightarrow} K(\ell_p, \ell_q), \ \ell_{\infty} \hookrightarrow L(\ell_p, \ell_q)$ , and  $K(\ell_p, \ell_q) \stackrel{c}{\nleftrightarrow} L(\ell_p, \ell_q)$  whenever 1 .

Since  $X \hookrightarrow K_{w^*}(X^*, Y)$ , obviously  $c_0 \hookrightarrow K_{w^*}(\ell_1, Y)$  for every Banach space Y. By Theorem 18 in Emmanuele [13],  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(\ell_1, Y)$  whenever Y has the Gelfand–Phillips property. Thus  $c_0$  is complemented in  $K_{w^*}(\ell_1, \ell_1)$ . Further, Theorem 13, as well as the Emmanuele result just cited, show  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(\ell_1, \ell_p)$ , 1 . In fact, we can conclude more. Suppose that Zcontains an infinite-dimensional subspace Y which has a shrinking and semi $normalized basis <math>(y_n)$ . Let  $(y_n^*)$  be the associated sequence of coefficient functionals. Define  $L : \ell_1 \to Y$  by  $L(\lambda) = \sum_{i=1}^{\infty} \lambda_i y_i$ . Then  $L^*(y_k^*) = e_k \in c_0$  for each k. Since  $(y_n)$  is shrinking, L is a  $w^*$ -w continuous operator and satisfies the hypotheses of Theorem 13. (Theorems 14 and 20 also apply to this setting.) In fact, if one defines  $\hat{L} : \ell_1 \to Z$  by  $\hat{L}(\lambda) = L(\lambda)$ , then  $\hat{L} \in L_{w^*}(\ell_1, Z)$ . Thus  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(\ell_1, Z)$ ,  $\ell_{\infty} \hookrightarrow L_{w^*}(\ell_1, Z)$ , and  $K_{w^*}(\ell_1, Z) \stackrel{c}{\hookrightarrow} L_{w^*}(\ell_1, Z)$ . We note that  $K_{w^*}(\ell_1, Z)$  may also contain copies of  $c_0$  which fail to be complemented in this space of operators as well as copies of  $c_0$  which are complemented. For example,  $\ell_{\infty}$  contains all spaces with shrinking bases, and thus  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(\ell_1, \ell_{\infty})$ . However,  $\ell_{\infty}$  naturally (and isometrically) embeds in  $K_{w^*}(\ell_1, \ell_{\infty})$ , and thus the canonical copy of  $c_0$  contained in  $\ell_{\infty}$  cannot be complemented in this space of operators.

Similar arguments show that if  $1 and <math>\ell_q \hookrightarrow Z$ , then  $c_0 \stackrel{c}{\hookrightarrow} K_{w^*}(\ell_p, Z) = K(\ell_p, Z), \ \ell_{\infty} \hookrightarrow L_{w^*}(\ell_p, Z) = L(\ell_p, Z)$  and  $K(\ell_p, Z) \stackrel{c}{\hookrightarrow} L(\ell_p, Z)$ . Note also that  $K(\ell_p, \ell_{\infty})$  contains both complemented and uncomplemented copies of  $c_0$ .

EXAMPLES. The first example shows that there are Banach spaces X and Y such that  $c_0 \nleftrightarrow X, Y, c_0 \hookrightarrow K_{w^*}(X^*, Y)$ , but  $K_{w^*}(X^*, Y) \neq L_{w^*}(X^*, Y)$ . Clearly  $c_0$  does not embed in  $\ell_2$ . A direct application of Theorem 20 shows that  $c_0 \hookrightarrow K_{w^*}(\ell_2, \ell_2)$  and the identity operator from  $\ell_2$  to  $\ell_2$  shows that  $K_{w^*}(\ell_2, \ell_2) \neq L_{w^*}(\ell_2, \ell_2)$ .

The next example [15] shows that we can find Banach spaces X and Y such that  $c_0 \hookrightarrow K_{w^*}(X^*, Y)$ , but  $K_{w^*}(X^*, Y) = L_{w^*}(X^*, Y)$ . Let E = F be the Bourgain–Delbaen space which is an  $\mathcal{L}_{\infty}$  space with RNP and such that  $E^*$  is a Schur space even though  $c_0 \hookrightarrow E$ . Assume that  $c_0 \hookrightarrow K_{w^*}(E^{**}, E)$ and let  $(T_n)$  be a copy of  $c_0$  in  $K_{w^*}(E^{**}, E)$ . Define  $\phi : \ell_{\infty} \to L(E^{**}, E)$ by  $\phi(b)(x^{**}) = \sum b_n T_n(x^{**})$ . Since  $c_0 \hookrightarrow E^*$ , the series  $\sum b_n T_n^*(y^*)$  converges unconditionally for each  $y^* \in E^*$ , hence  $\phi(b) \in L_{w^*}(E^{**}, E)$ . Note that  $\|\phi(e_n)\| = \|T_n\| \to 0$ . A result of Rosenthal [28] implies that  $\ell_{\infty} \hookrightarrow$  $L_{w^*}(E^{**}, E)$ . On the other hand,  $K_{w^*}(E^{**}, E) = L_{w^*}(E^{**}, E)$  since  $E^*$  is a Schur space. By Drewnowski's result,  $\ell_{\infty} \hookrightarrow E$  or  $\ell_{\infty} \hookrightarrow E^*$ , a contradiction. Hence  $c_0 \hookrightarrow K_{w^*}(E^{**}, E)$ . Thus the spaces  $X = E^*$  and Y = E are as desired.

Alternatively, for  $1 \leq q < p$ ,  $L(\ell_p, \ell_q) = K(\ell_p, \ell_q)$  (Pitt). Kalton showed that for  $1 \leq q < p$ ,  $L(\ell_p, \ell_q)$  is reflexive [23]. Thus  $c_0 \nleftrightarrow K(\ell_p, \ell_q) \simeq K_{w^*}(\ell_p^{**}, \ell_q)$ , and the spaces  $X = \ell_p^*$  and  $Y = \ell_q$  are as desired.

We conclude the paper by asking the following question.

QUESTION. Are there Banach spaces X, Y such that  $K_{w^*}(X^*, Y) \neq L_{w^*}(X^*, Y)$  and  $c_0 \nleftrightarrow K_{w^*}(X^*, Y)$ ?

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