FUNCTIONAL ANALYSIS

## On Some Classes of Operators on C(K, X)

by

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**Summary.** Suppose X and Y are Banach spaces, K is a compact Hausdorff space,  $\Sigma$  is the  $\sigma$ -algebra of Borel subsets of K, C(K, X) is the Banach space of all continuous X-valued functions (with the supremum norm), and  $T : C(K, X) \to Y$  is a strongly bounded operator with representing measure  $m : \Sigma \to L(X, Y)$ .

We show that if T is a strongly bounded operator and  $\hat{T} : B(K, X) \to Y$  is its extension, then T is limited if and only if its extension  $\hat{T}$  is limited, and that  $T^*$  is completely continuous (resp. unconditionally converging) if and only if  $\hat{T}^*$  is completely continuous (resp. unconditionally converging).

We prove that if K is a dispersed compact Hausdorff space and T is a strongly bounded operator, then T is limited (resp. weakly precompact, has a completely continuous adjoint, has an unconditionally converging adjoint) whenever  $m(A) : X \to Y$  is limited (resp. weakly precompact, has a completely continuous adjoint, has an unconditionally converging adjoint) for each  $A \in \Sigma$ .

**1. Introduction.** Suppose K is a compact Hausdorff space, X and Y are Banach spaces, C(K, X) is the Banach space of all continuous X-valued functions (with the supremum norm), and  $\Sigma$  is the  $\sigma$ -algebra of Borel subsets of K.

Every continuous linear function  $T : C(K, X) \to Y$  may be represented by a vector measure  $m : \Sigma \to L(X, Y^{**})$  of finite semivariation [11], [13, p. 182] such that

$$T(f) = \int_{K} f \, dm, \quad f \in C(K, X), \quad ||T|| = \tilde{m}(\Omega),$$

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and  $T^*(y^*) = m_{y^*}$  for  $y^* \in Y^*$ , where  $\tilde{m}$  denotes the semivariation of m. For each  $y^* \in Y^*$ , the vector measure  $m_{y^*} = y^*m : \Sigma \to X^*$  defined by  $\langle m_{y^*}(A), x \rangle = \langle m(A)(x), y^* \rangle$  for  $A \in \Sigma$  and  $x \in X$  is a regular countably additive measure of bounded variation. We denote this correspondence  $m \leftrightarrow T$ . If we denote by  $|y^*m|$  the variation of the measure  $y^*m$ , then for  $E \in \Sigma$ , the semivariation  $\tilde{m}(E)$  is given by

$$\tilde{m}(E) = \sup\{|y^*m|(E): y^* \in Y^*, \|y^*\| \le 1\}.$$

We note that for  $f \in C(K, X)$ ,  $\int_K f \, dm \in Y$  even if m is not L(X, Y)valued. A representing measure m is called *strongly bounded* if  $\tilde{m}(A_i) \to 0$ for every decreasing sequence  $A_i \to \emptyset$  in  $\Sigma$ , and an operator  $m \leftrightarrow T$ :  $C(K, X) \to Y$  is called strongly bounded if m is strongly bounded [11]. By [11, Theorem 4.4], a strongly bounded representing measure takes its values in L(X, Y). If m is a strongly bounded representing measure, then there is a nonnegative regular Borel measure  $\lambda$  such that  $\tilde{m}(A) \to 0$  as  $\lambda(A) \to 0$ . We call  $\lambda$  the *control measure* for m. If T is unconditionally converging, then m is strongly bounded [15].

Let  $\chi_A$  denote the characteristic function of a set A, and B(K, X) denote the space of all bounded,  $\Sigma$ -measurable functions on K with separable range in X and the sup norm. Clearly, C(K, X) is contained isometrically in B(K, X). Further, B(K, X) embeds isometrically in  $C(K, X)^{**}$  (see e.g. [11]). The reader should note that if  $m \leftrightarrow T$ , then  $m(A)x = T^{**}(\chi_A x)$  for all  $A \in \Sigma$  and  $x \in X$ . If  $f \in B(K, X)$ , then f is the uniform limit of X-valued simple functions,  $\int_K f \, dm$  is well-defined and defines an extension  $\hat{T}$  of T (see e.g. [14]). Theorem 2 of [6] shows that  $\hat{T}$  maps B(K, X) into Y if and only if the representing measure m of T is L(X, Y)-valued. If  $T : C(K, X) \to Y$  is strongly bounded, then m is L(X, Y)-valued [11], and thus  $\hat{T} : B(K, X) \to Y$ . Since  $\hat{T}$  is the restriction to B(K, X) of the operator  $T^{**}$ , it is clear that an operator  $T : C(K, X) \to Y$  is compact (resp. weakly compact).

Several authors have found the study of  $\hat{T}$  to be quite helpful. We mention the work of [6], [8], [9], and [18]. In these papers it has been proved that if m is strongly bounded, then  $T: C(K, X) \to Y$  is weakly compact, compact, Dunford–Pettis, Dieudonné, unconditionally converging, strictly singular, strictly cosingular, weakly precompact, and has a weakly precompact adjoint if and only if its extension  $\hat{T}: B(K, X) \to Y$  has the same property. We show that if  $T: C(K, X) \to Y$  is a strongly bounded operator and  $\hat{T}: B(K, X) \to Y$  is its extension, then T is limited if and only if  $\hat{T}$  is limited, and that  $T^*$  is completely continuous (resp. unconditionally converging) if and only if  $\hat{T}^*$  is completely continuous (resp. unconditionally converging). A topological space S is called *dispersed* (or *scattered*) if every nonempty closed subset of S has an isolated point [24]. A compact Hausdorff space K is dispersed if and only if  $\ell_1 \leftrightarrow C(K)$  [20].

Bombal and Cembranos [8] showed that if K is a dispersed compact Hausdorff space and  $m \leftrightarrow T : C(K, X) \to Y$  is an operator, then T is unconditionally converging (resp. completely continuous, Dieudonné, weakly compact) if and only if m is strongly bounded and  $m(A) : X \to Y$  is unconditionally converging (resp. completely continuous, Dieudonné, weakly compact) for every  $A \in \Sigma$ . We prove that if K is a dispersed compact Hausdorff space and  $m \leftrightarrow T : C(K, X) \to Y$  is a strongly bounded operator, then T is limited (resp. weakly precompact, compact, has a completely continuous adjoint, has an unconditionally converging adjoint) if and only if for every  $A \in \Sigma$ ,  $m(A) : X \to Y$  is limited (resp. weakly precompact, compact, has a completely continuous adjoint, has an unconditionally converging adjoint).

An operator  $T: X \to Y$  is completely continuous (or Dunford-Pettis) if it maps weakly convergent sequences to convergent sequences.

A subset S of X is said to be weakly precompact provided that every bounded sequence from S has a weakly Cauchy subsequence [5]. An operator  $T: X \to Y$  is weakly precompact (or almost weakly compact) if  $T(B_X)$  is weakly precompact.

A bounded subset A of a Banach space X is called a *limited* (resp. Dunford-Pettis (DP)) subset of X if every  $w^*$ -null (resp. weakly null) sequence  $(x_n^*)$  in  $X^*$  tends to 0 uniformly on A, i.e.,

$$\lim_{n} (\sup\{|x_{n}^{*}(x)| : x \in A\}) = 0.$$

Every limited subset of X is weakly precompact [10]. Every DP subset of X is weakly precompact (see e.g. [2] and [21, p. 377]). An operator  $T : X \to Y$  is called *limited* if  $T(B_X)$  is limited. We note that T is limited if and only if  $T^*$  is  $w^*$ -norm sequentially continuous.

A series  $\sum x_n$  of elements of X is weakly unconditionally convergent (wuc) if  $\sum |x^*(x_n)| < \infty$  for each  $x^* \in X^*$ . An operator  $T: X \to Y$  is unconditionally converging if it maps weakly unconditionally convergent series to convergent ones.

A bounded subset A of X (resp. A of  $X^*$ ) is called a  $V^*$ -subset of X (resp. a V-subset of  $X^*$ ) provided that

$$\lim_{n} (\sup\{|x_{n}^{*}(x)| : x \in A\}) = 0$$
  
(resp. 
$$\lim_{n} (\sup\{|x^{*}(x_{n})| : x^{*} \in A\}) = 0)$$

for each wuc series  $\sum x_n^*$  in  $X^*$  (resp. wuc series  $\sum x_n$  in X).

A bounded subset A of  $X^*$  is called an *L*-subset of  $X^*$  if each weakly null sequence  $(x_n)$  in X tends to 0 uniformly on A, i.e.,

$$\lim_{n} (\sup\{|x^*(x_n)| : x^* \in A\}) = 0.$$

A Banach space X has property weak (V) (wV) if any V-subset of X<sup>\*</sup> is weakly precompact [22].

**2. Main results.** We begin with the following lemma. If  $T : X \to Y^*$  is an operator, then  $T^*|_Y$  denotes the restriction of  $T^*$  to Y.

Lemma 1.

- (i) If  $T: X \to Y$  is an operator, then  $T(B_X)$  is a DP subset of Y if and only if  $T^*: Y^* \to X^*$  is completely continuous.
- (ii) If  $T: X \to Y$  is an operator, then  $T(B_X)$  is a V<sup>\*</sup>-subset of Y if and only if  $T^*: Y^* \to X^*$  is unconditionally converging.
- (iii) If  $T: X \to Y^*$  is an operator, then  $T(B_X)$  is a V-subset of  $Y^*$  if and only if  $T^*|_Y: Y \to X^*$  is unconditionally converging.
- (iv) If  $T: X \to Y^*$  is an operator, then  $T(B_X)$  is an L-subset of  $Y^*$  if and only if  $T^*|_Y: Y \to X^*$  is completely continuous.

*Proof.* (i) Suppose  $T(B_X)$  is a DP subset of Y and  $T^*: Y^* \to X^*$  is not completely continuous. Let  $(y_n^*)$  be weakly null in  $Y^*$  such that  $||T^*(y_n^*)|| \to 0$ . Choose a sequence  $(x_n)$  in  $B_X$  and  $\epsilon > 0$  such that  $\langle T^*(y_n^*), x_n \rangle > \epsilon$  for all n. Then  $\langle y_n^*, T(x_n) \rangle = \langle T^*(y_n^*), x_n \rangle > \epsilon$  for all n, contrary to  $T(B_X)$  being a DP set.

Conversely, suppose  $T^*: Y^* \to X^*$  is completely continuous. Let  $(x_n)$  be a sequence in  $B_X$  and  $(y_n^*)$  be weakly null in  $Y^*$ . Then

$$\langle y_n^*, T(x_n) \rangle = \langle T^*(y_n^*), x_n \rangle \le \|T^*(y_n^*)\| \to 0,$$

and  $T(B_X)$  is a DP subset of Y.

(ii) The proof is similar to that of (i).

(iii) Suppose  $T(B_X)$  is a V-subset of  $Y^*$ . We show that  $T^*|_Y : Y \to X^*$ is unconditionally converging. Suppose  $\sum y_n$  is wuc in Y. It suffices to show that  $||T^*(y_n)|| \to 0$ . Suppose  $||T^*(y_n)|| \to 0$ . Choose a sequence  $(x_n)$  in  $B_X$ and  $\epsilon > 0$  such that  $\langle T^*(y_n), x_n \rangle > \epsilon$  for all n. Then  $\langle y_n, T(x_n) \rangle > \epsilon$  for all n, which contradicts  $T(B_X)$  being a V-set.

Conversely, suppose  $T^*|_Y : Y \to X^*$  is unconditionally converging. Let  $(x_n)$  be a sequence in  $B_X$  and  $\sum y_n$  be wuc in Y. Since  $T^*|_Y$  is unconditionally converging,

$$\langle y_n, T(x_n) \rangle = \langle T^*(y_n), x_n \rangle \le ||T^*(y_n)|| \to 0,$$

and  $T(B_X)$  is a V-subset of  $Y^*$ .

(iv) The proof is similar to that of (iii).

Suppose that  $T: C(K, X) \to Y$  is an operator and  $\hat{T}: B(K, X) \to Y^{**}$ is its extension to B(K, X). As noted in the Introduction, if  $m \leftrightarrow T$ :  $C(K, X) \to Y$  is strongly bounded, then m is L(X, Y)-valued and  $\hat{T}$  maps B(K, X) into Y. Let  $B_0$  denote the unit ball of C(K, X), and B denote the unit ball of B(K, X).

THEOREM 2. Suppose that  $T : C(K, X) \to Y$  is a strongly bounded operator and  $\hat{T} : B(K, X) \to Y$  is its extension. Then:

- (i) T is limited if and only if  $\hat{T}$  is limited.
- (ii) T\* is completely continuous (resp. unconditionally converging) if and only if T<sup>\*</sup> is completely continuous (resp. unconditionally converging).

*Proof.* (i) Suppose that  $T : C(K, X) \to Y$  is limited and  $\hat{T}$  is not. Let  $(y_n^*)$  be  $w^*$ -null in  $Y^*$  and  $(f_n)$  be a sequence in the unit ball of B(K, X) such that  $\langle y_n^*, \hat{T}(f_n) \rangle = 1$  for all n. Without loss of generality assume  $||y_n^*|| \leq 1$  for all n.

Using the existence of a control measure for m and Lusin's theorem, one can find a compact subset  $K_0$  of K such that  $\tilde{m}(K \setminus K_0) < 1/4$  and  $g_n = f_n|_{K_0}$  is continuous for each  $n \in \mathbb{N}$ . Let  $H = [g_n]$  be the closed linear subspace spanned by  $(g_n)$  in  $C(K_0, X)$ , and  $S : H \to C(K, X)$  be the isometric extension operator given by [8, Theorem 1]. If  $h_n = S(g_n)$  for each  $n \in \mathbb{N}$ , then  $(h_n)$  is in the unit ball of C(K, X), and

$$\begin{split} |\langle y_n^*, T(h_n) \rangle| &\geq \left| \left\langle y_n^*, \int_{K_0} h_n \, dm \right\rangle \right| - \left| \left\langle y_n^*, \int_{K \setminus K_0} h_n \, dm \right\rangle \right| \\ &\geq \left| \left\langle y_n^*, \int_{K_0} f_n \, dm \right\rangle \right| - 1/4 \\ &\geq \left| \left\langle y_n^*, \int_K f_n \, dm \right\rangle \right| - \left| \left\langle y_n^*, \int_{K \setminus K_0} f_n \, dm \right\rangle \right| - 1/4 \\ &\geq \left| \left\langle y_n^*, \hat{T}(f_n) \right\rangle \right| - 1/4 - 1/4 = 1/2. \end{split}$$

This is a contradiction, since  $T(B_0)$  is limited.

(ii) By Lemma 1, it is enough to show that  $T(B_0)$  is a DP set (resp. a  $V^*$ -set) if and only if  $\hat{T}(B)$  is a DP set (resp. a  $V^*$ -set). Suppose that  $T(B_0)$  is a DP set (resp. a  $V^*$ -set) and  $\hat{T}(B)$  is not a DP set (resp. a  $V^*$ -set). Suppose  $(y_n^*)$  is weakly null (resp.  $\sum y_n^*$  is wuc) in  $Y^*$  and  $(f_n)$  is a sequence in the unit ball of B(K, X) such that  $\langle y_n^*, \hat{T}(f_n) \rangle = 1$  for each n. Continuing as above we find a sequence  $(h_n)$  in the unit ball of C(K, X) such that  $|\langle y_n^*, T(h_n) \rangle| \ge 1/2$ . This is a contradiction, since  $T(B_0)$  is a DP set (resp. a  $V^*$ -set).

COROLLARY 3. Suppose that  $m \leftrightarrow T : C(K,X) \to Y$  is a strongly bounded operator.

- (i) If T is limited, then  $m(A) : X \to Y$  is limited for each  $A \in \Sigma$ .
- (ii) If  $T^*$  is completely continuous (resp. unconditionally converging), then for each  $A \in \Sigma$ ,  $m(A)^* : Y^* \to X^*$  is completely continuous (resp. unconditionally converging).

*Proof.* We will only consider the case of limited operators. The proof of (ii) is similar. If  $A \in \Sigma$ ,  $A \neq \emptyset$ , define  $\theta_A : X \to B(K, X)$  by  $\theta_A(x) = \chi_A x$ . Then  $\theta_A$  is an isomorphic isometric embedding of X into B(K, X) and  $\hat{T}\theta_A = m(A)$ . By Theorem 2,  $\hat{T}$  is limited, and thus m(A) is.

The proofs of the following results are similar to those of Theorem 2 and Corollary 3 and will be omitted.

THEOREM 4. Suppose that  $T : C(K,X) \to Y^*$  is a strongly bounded operator and  $\hat{T} : B(K,X) \to Y^*$  is its extension. Then  $T^*|_Y$  is completely continuous (resp. unconditionally converging) if and only if  $\hat{T}^*|_Y$  is completely continuous (resp. unconditionally converging).

COROLLARY 5. Suppose that  $m \leftrightarrow T : C(K,X) \to Y^*$  is a strongly bounded operator. If  $T^*|_Y$  is completely continuous (resp. unconditionally converging), then for each  $A \in \Sigma$ ,  $m(A)^*|_Y$  is completely continuous (resp. unconditionally converging).

Next we study the properties of the compact space K for which an operator  $T : C(K, X) \to Y$  with representing measure m is limited (resp. weakly precompact, compact, has a completely continuous adjoint, has an unconditionally converging adjoint) whenever m is strongly bounded and  $m(A) : X \to Y$  is limited (resp. weakly precompact, compact, has a completely continuous adjoint, has an unconditionally converging adjoint) for each  $A \in \Sigma$ .

If  $T: C(K, X) \to Y$  is an operator,  $\overline{K}$  is a metrizable compact space, and  $\pi: K \to \overline{K}$  a continuous map which is onto, we will call  $\overline{K}$  a *quotient* of K. The map  $\overline{\pi}: C(\overline{K}) \to C(K)$  given by  $\overline{\pi}\overline{f} = \overline{f}\pi$  defines an isometric embedding of  $C(\overline{K})$  into C(K). Let  $\overline{T}: C(\overline{K}, X) \to Y$  be the operator defined by  $\overline{T}(\overline{f}) = T(\overline{f}\pi)$ , where  $\overline{f} \in C(\overline{K}, X)$  and  $\pi: K \to \overline{K}$  is the canonical mapping.

The following results will be useful in our study.

Lemma 6.

(i) An operator T : C(K, X) → Y is limited (resp. weakly precompact, compact) if and only if, for each metrizable quotient K of K, the operator T : C(K, X) → Y defined as above is limited (resp. weakly precompact, compact).

(ii) If T : C(K, X) → Y is an operator, then T\* is completely continuous (resp. unconditionally converging) if and only if, for each metrizable quotient K of K, T\* is completely continuous (resp. unconditionally converging), where T : C(K, X) → Y is defined as above.

*Proof.* We will only consider the case of limited operators. The proof for the other operators is similar. Suppose that  $T: C(K, X) \to Y$  is limited and  $\overline{K}$  is a metrizable quotient of K. Then  $\overline{T}$  is limited.

Conversely, let  $T : C(K, X) \to Y$  be an operator and let  $(f_n)$  be a sequence in the unit ball of C(K, X). It is known (see [6]) that there exists a metrizable quotient  $\bar{K}$  of K and a sequence  $(\bar{f}_n)$  in  $C(\bar{K}, X)$  defined by  $\bar{f}_n(\pi(t)) = f_n(t)$  for all  $t \in K$  and  $n \in \mathbb{N}$ . Define  $\bar{T} : C(\bar{K}, X) \to Y$  by  $\bar{T}(\bar{f}) = T(\bar{f}\pi)$ , where  $\pi : K \to \bar{K}$  is the canonical mapping. By assumption,  $\bar{T}$  is limited. Then  $(\bar{T}(\bar{f}_n)) = (T(f_n))$  is limited.

Similarly, we obtain the following result.

LEMMA 7. If  $T: C(K, X) \to Y^*$  is an operator, then  $T^*|_Y$  is completely continuous (resp. unconditionally converging) if and only if, for each metrizable quotient  $\bar{K}$  of K,  $\bar{T}^*|_Y$  is completely continuous (resp. unconditionally converging), where  $\bar{T}: C(\bar{K}, X) \to Y^*$  is defined as above.

LEMMA 8 ([8, Lemma 5]). Let K and  $K_0$  be two compact Hausdorff spaces,  $\Sigma$  and  $\Sigma_0$  the Borel  $\sigma$ -algebras of K and  $K_0$  respectively, and  $\alpha: K \to K_0$  a continuous map. If m is the representing measure of an operator  $T: C(K, X) \to Y$  and  $m_0$  is the representing measure of the operator  $T_0: C(K_0, X) \to Y$  defined by  $T_0(f) = T(f\alpha)$ , then  $m_0(A) = m(\alpha^{-1}(A))$ for all  $A \in \Sigma_0$ . Consequently,  $\tilde{m}_0(A) \leq \tilde{m}(\alpha^{-1}(A))$  for all  $A \in \Sigma_0$ .

LEMMA 9 ([23], [17], [12], [7]). Let H be a bounded subset of X. If for each  $\epsilon > 0$  there is a limited (resp. weakly precompact, relatively compact,  $DP, V^*$ ) subset  $H_{\epsilon}$  of X such that  $H \subseteq H_{\epsilon} + \epsilon B_X$ , then H is limited (resp. weakly precompact, relatively compact,  $DP, V^*$ ).

LEMMA 10 ([16], [3]). Let H be a bounded subset of  $X^*$ . If for each  $\epsilon > 0$ there is an L-subset (resp. a V-subset)  $H_{\epsilon}$  of  $X^*$  such that  $H \subseteq H_{\epsilon} + \epsilon B_{X^*}$ , then H is an L-set (resp. a V-set).

Abbott [1] gave an example of a pair  $m \leftrightarrow T$  such that T is weakly precompact and m is not strongly bounded.

THEOREM 11. Suppose that K is a dispersed compact Hausdorff space and  $m \leftrightarrow T : C(K, X) \to Y$  is a strongly bounded operator. Then:

(1) T is weakly precompact (resp. limited) if and only if  $m(A) : X \to Y$  is weakly precompact (resp. limited) for each  $A \in \Sigma$ .

(2)  $T^*: Y^* \to C(K, X)^*$  is completely continuous (resp. unconditionally converging) if and only if  $m(A)^*: Y^* \to X^*$  is completely continuous (resp. unconditionally converging) for each  $A \in \Sigma$ .

*Proof.* Suppose  $m \leftrightarrow T : C(K, X) \to Y$  is strongly bounded.

(1) If T is weakly precompact (resp. limited), then for each  $A \in \Sigma$ ,  $m(A) : X \to Y$  is weakly precompact (resp. limited) by [18, Corollary 17] (resp. Corollary 3).

Conversely, suppose that  $m \leftrightarrow T : C(K, X) \to Y$  is a strongly bounded operator and  $m(A) : X \to Y$  is weakly precompact (resp. limited) for each  $A \in \Sigma$ . From Lemmas 6 and 8 and the fact that a quotient space of a dispersed space is dispersed [24, 8.5.3], we can suppose without loss of generality that K is metrizable. Since K is dispersed and metrizable, it is countable [24, 8.5.5]. Suppose that  $K = \{t_i : i \in \mathbb{N}\}$ . Let  $(f_n)$  be a sequence in the unit ball of C(K, X). For each  $i \in \mathbb{N}$ , the set  $\{f_n(t_i) : n \in \mathbb{N}\}$  is bounded in X. Then the set

$$H_i = \{m(\{t_i\})(f_n(t_i)) : n \in \mathbb{N}\}$$

is weakly precompact (resp. limited) for each  $i \in \mathbb{N}$ . Let  $A_i = \{t_j : j > i\}$  for  $i \in \mathbb{N}$ . Then  $(A_i)$  is a decreasing sequence of sets. Let  $\epsilon > 0$ . Since m is strongly bounded, there is a  $k \in \mathbb{N}$  such that  $\tilde{m}(A_k) < \epsilon$ . For each  $n \in \mathbb{N}$ ,

$$T(f_n) = \int_K f_n \, dm = \sum_{i=1}^k m(\{t_i\})(f_n(t_i)) + \int_{A_k} f_n \, dm.$$

Further,  $\|\int_{A_k} f_n dm\| \le \tilde{m}(A_k) < \epsilon$ . Therefore

 $T(f_n) \in H_1 + \dots + H_k + \epsilon B_Y.$ 

Since  $H_1 + \cdots + H_k$  is weakly precompact (resp. limited), by Lemma 9 the set  $\{T(f_n) : n \in \mathbb{N}\}$  is weakly precompact (resp. limited). Thus T is weakly precompact (resp. limited).

(2) If  $T^*: Y^* \to C(K, X)^*$  is completely continuous (resp. unconditionally converging), then for each  $A \in \Sigma$ ,  $m(A)^*: Y^* \to X^*$  is completely continuous (resp. unconditionally converging) by Corollary 3.

Conversely, suppose  $m(A)^* : Y^* \to X^*$  is completely continuous (resp. unconditionally converging) for each  $A \in \Sigma$ . By Lemma 1,  $m(A)(B_X)$  is a DP set (resp. a  $V^*$ -set) for each  $A \in \Sigma$ . Let  $(f_n)$  be a sequence in the unit ball of C(K, X). Using an argument similar to the one above, we can show that  $\{T(f_n) : n \in \mathbb{N}\}$  is a DP set (resp. a  $V^*$ -set). By Lemma 1,  $T^* : Y^* \to C(K, X)^*$  is completely continuous (resp. unconditionally converging).

REMARK 1. It is known that if  $m \leftrightarrow T : C(K, X) \to Y$  is a compact operator, then m is strongly bounded and for each  $A \in \Sigma$ ,  $m(A) : X \to Y$ is compact [11]. The proof of Theorem 11 shows that the following result holds: Suppose that K is a dispersed compact Hausdorff space and  $m \leftrightarrow T$ :  $C(K, X) \to Y$  is a strongly bounded operator. If  $(f_n)$  is a bounded sequence in C(K, X) and for all  $A \in \Sigma$  and  $t \in K$ ,  $m(A)(\{f_n(t) : n \in \mathbb{N}\})$  is relatively compact, then  $\{T(f_n) : n \in \mathbb{N}\}$  is relatively compact. It follows that if K is dispersed and  $m \leftrightarrow T : C(K, X) \to Y$  is an operator, then T is compact if and only if m is strongly bounded and  $m(A) : X \to Y$  is compact for each  $A \in \Sigma$ .

THEOREM 12. Suppose that K is a dispersed compact Hausdorff space and  $m \leftrightarrow T : C(K, X) \to Y^*$  is a strongly bounded operator. Then  $T^*|_Y :$  $Y \to C(K, X)^*$  is completely continuous (resp. unconditionally converging) if and only if for each  $A \in \Sigma$ ,  $m(A)^*|_Y : Y \to X^*$  is completely continuous (resp. unconditionally converging).

*Proof.* The proof is similar to the proof of Theorem 11 and uses Lemmas 1, 7, 8, and 10.  $\blacksquare$ 

COROLLARY 13. Suppose that K is a dispersed compact Hausdorff space.

- (i) If every unconditionally converging (resp. completely continuous) operator S : X → Y is weakly precompact, then every unconditionally converging (resp. completely continuous) operator T : C(K, X) → Y is weakly precompact.
- (ii) If X has property (wV), then every unconditionally converging operator  $T: C(K, X) \to Y$  is weakly precompact.

*Proof.* (i) If  $m \leftrightarrow T : C(K, X) \to Y$  is an unconditionally converging operator, then m is strongly bounded and  $m(A) : X \to Y$  is unconditionally converging for each  $A \in \Sigma$  [15]. If  $m \leftrightarrow T : C(K, X) \to Y$  is completely continuous, then m is strongly bounded and  $m(A) : X \to Y$  is completely continuous for each  $A \in \Sigma$  (this can be shown as in [15]). Hence m is strongly bounded and  $m(A) : X \to Y$  is weakly precompact for each  $A \in \Sigma$ . Then Tis weakly precompact by Theorem 11.

(ii) Suppose X has property (wV). Then every unconditionally operator on X has a weakly precompact adjoint [22, p. 529], and thus is weakly precompact, by [4, Corollary 2]. Apply (i).

COROLLARY 14. Suppose that K is a dispersed compact Hausdorff space. Suppose  $m \leftrightarrow T : C(K, X) \to Y$  is an operator such that m is strongly bounded and  $m(A)^* : Y^* \to X^*$  is weakly precompact for each  $A \in \Sigma$ . Then T is unconditionally converging and weakly precompact. In addition, if  $X^*$ is weakly sequentially complete, then T is weakly compact.

*Proof.* For each  $A \in \Sigma$ ,  $m(A) : X \to Y$  is unconditionally converging and weakly precompact, by [4, Corollary 2]. Then T is unconditionally converging and weakly precompact by [8, Theorem 9] and Theorem 11.

Moreover, if  $X^*$  is weakly sequentially complete, then  $m(A)^* : Y^* \to X^*$  is weakly compact for each  $A \in \Sigma$ . Hence  $m(A) : X \to Y$  is weakly compact for each  $A \in \Sigma$ . By [8, Theorem 7], T is weakly compact.

The following theorem gives a characterization of Dunford–Pettis sets.

THEOREM 15. Suppose A is a bounded subset of a Banach space X. Then the following assertions are equivalent:

- (i) A is a DP set.
- (ii) If  $T: X \to Y$  is an operator with weakly precompact adjoint, then T(A) is relatively compact.
- (iii) If  $T: X \to c_0$  is an operator with weakly precompact adjoint, then T(A) is relatively compact.
- (iv) If  $T: X \to c_0$  is a weakly compact operator, then T(A) is relatively compact.
- (v) If  $(x_n^*)$  is a weakly null sequence in  $X^*$  and  $(x_n)$  is a sequence in A, then  $\lim x_n^*(x_n) = 0$ .

*Proof.* (i) $\Rightarrow$ (ii). Suppose that A is a DP set and let  $T : X \to Y$  be an operator such that  $T^*$  is weakly precompact. Let  $(x_n)$  be a sequence in A. Without loss of generality we may assume that  $(x_n)$  is weakly Cauchy [21], [2].

Define  $S : \ell_1 \to X$  by  $S(b) = \sum b_n x_n$  for  $b = (b_n) \in \ell_1$ . Since the closed absolutely convex hull of  $(x_i)$  is a DP subset of X,  $S(B_{\ell_1})$  is a DP set. By Lemma 1,  $S^*$  is completely continuous. Since  $T^*$  is weakly precompact,  $S^*T^*$ , and thus TS, is compact. Then  $(T(x_n)) = (TS(e_n^*))$  is relatively compact, and T(A) is relatively compact.

 $(ii) \Rightarrow (iii)$  and  $(iii) \Rightarrow (iv)$  are clear.

 $(iv) \Rightarrow (v) \text{ and } (v) \Rightarrow (i) \text{ by } [2, \text{ Theorem 1}]. \blacksquare$ 

COROLLARY 16. Suppose that K is a dispersed compact Hausdorff space and  $(f_n)$  is a bounded sequence in C(K, X).

- (i) If for each  $t \in K$ ,  $(f_n(t))$  is a DP set, then  $(f_n)$  is a DP set.
- (ii) If for each  $t \in K$ ,  $(f_n(t))$  is a  $V^*$ -set, then  $(f_n)$  is a  $V^*$ -set.

Proof. (i) Suppose that for each  $t \in K$ ,  $(f_n(t))$  is a DP set. Let  $m \leftrightarrow T$ :  $C(K, X) \to Y$  be an operator such that  $T^*$  is weakly precompact. Then T is unconditionally converging by [4, Corollary 2], thus strongly bounded [15]. For each  $A \in \Sigma$ ,  $m(A)^* : Y^* \to X^*$  is weakly precompact, by [18, Corollary 20]. Let  $A \in \Sigma$  and  $t \in K$ . By Theorem 15,  $m(A)(\{f_n(t) : n \in \mathbb{N}\})$  is relatively compact. By Remark 1,  $\{T(f_n) : n \in \mathbb{N}\}$  is relatively compact. Then  $(f_n)$  is a DP set, by Theorem 15.

(ii) Suppose that for each  $t \in K$ ,  $(f_n(t))$  is a  $V^*$ -set. Let  $m \leftrightarrow T$ :  $C(K, X) \to \ell_1$  be an operator. Then T is unconditionally converging, thus strongly bounded [15]. Let  $A \in \Sigma$  and  $t \in K$ . By [7, Proposition 1.1],  $m(A)(\{f_n(t): n \in \mathbb{N}\})$  is relatively compact. By Remark 1,  $\{T(f_n): n \in \mathbb{N}\}$  is relatively compact. Then  $(f_n)$  is a  $V^*$ -set, by [7, Proposition 1.1].

Next we produce operators  $m \leftrightarrow T : C(K, X) \to Y$  such that m is strongly bounded, m(A) is compact for each  $A \in \Sigma$ , yet T fails to be compact. In the following two results the unit vector basis of  $c_0$  is denoted by  $(e_n)$ and the unit vector basis of  $\ell_1$  is denoted by  $(e_n^*)$ .

Let  $\Delta$  be the Cantor set  $\{-1,1\}^{\mathbb{N}}$ , and let  $\lambda$  be the Haar measure on  $\Delta$ . Let  $C_{ni}$ ,  $1 \leq i \leq 2^n$ , denote the dyadic partition at the *n*th stage, so that for example  $C_{11} = \{(t_n) : t_1 = 1\}$  and  $C_{12} = \{(t_n) : t_1 = -1\}$ . Let  $(r_n)$  in  $C(\Delta)$ be the sequence of Rademacher functions on  $\Delta$ , i.e.,  $r_n(t) = t_n$ , for  $t \in \Delta$ .

THEOREM 17. Suppose X is an infinite-dimensional Banach space. Then there is a nonlimited and noncompact operator  $m \leftrightarrow T : C(\Delta, X) \to c_0$  such that m is strongly bounded and  $m(A) : X \to c_0$  is compact for every  $A \in \Sigma$ .

*Proof.* Use the Josefson–Nissenzweig theorem to choose a  $w^*$ -null sequence  $(x_n^*)$  in  $X^*$  with  $||x_n^*|| = 1$  for all n. For each n, choose  $x_n$  in  $B_X$  such that  $\langle x_n^*, x_n \rangle > 1/2$ . Define  $T : C(\Delta, X) \to c_0$  by

$$T(f) = \left( \int_{\Delta} \langle x_n^*, f(t) \rangle r_n(t) \, d\lambda \right)_n, \quad f \in C(\Delta, X).$$

If  $f \in C(\Delta)$  and  $x \in X$ , let  $f \otimes x$  be the element of  $C(\Delta, X)$  defined by  $(f \otimes x)(t) = f(t) x$ . Then

$$T(f \otimes x) = \left( \int_{\Delta} \langle x_n^*, x \rangle f(t) r_n(t) \, d\lambda \right)_n.$$

Since  $||x_n^*|| = 1$  and  $(\int_{\Delta} f(t)r_n(t) d\lambda) \to 0$ , we have  $T(f \otimes x) \in c_0$  for all  $f \in C(\Delta)$  and  $x \in X$ . Therefore  $T(f) \in c_0$  for every  $f \in C(\Delta, X)$ . The representing measure m of T is given by

$$m(A)(x) = \left( \langle x_n^*, x \rangle \int_A r_n(t) \, d\lambda \right)_n$$

for  $A \in \Sigma$  and  $x \in X$ . Since  $\int_A r_n(t) d\lambda \to 0$  for all  $A \in \Sigma$  and  $\{\langle x_n^*, x \rangle : n \in \mathbb{N}, x \in B_X\}$  is bounded, it follows that  $m(A)(x) \in c_0$ . Further,  $||m(A)|| \leq \lambda(A)$ , m is a dominated representing measure [14], [11, p. 148], and thus strongly bounded. If  $(b_n) \in \ell_1$ , then

$$\langle m(A)^*, (b_n) \rangle = \sum b_n \left( \int_A r_n(t) \, d\lambda \right) x_n^*.$$

Note that  $m(A)^*$  maps the unit ball of  $\ell_1$  into the absolute closed convex hull of  $\{(\int_A r_n(t) d\lambda) x_n^* : n \in \mathbb{N}\}$ , which is a compact set (since  $\|(\int_A r_n(t) d\lambda) x_n^*\| \le |\int_A r_n(t) d\lambda| \to 0$ ).

For each n, let  $f_n = r_n x_n$  in  $C(\Delta, X)$ ; note that  $||f_n|| \le 1$  and

$$T(f_n) = \left( \int_{\Delta} \langle x_i^*, f_n(t) \rangle r_i(t) \, d\lambda \right)_i$$
$$= \left( \int_{\Delta} \langle x_i^*, x_n \rangle r_n(t) r_i(t) \, d\lambda \right)_i = \langle x_n^*, x_n \rangle e_n.$$

Since  $\langle T(f_n), e_n^* \rangle = \langle x_n^*, x_n \rangle > 1/2$ , *T* is nonlimited and noncompact. THEOREM 18 The following statements are equivalent:

THEOREM 18. The following statements are equivalent:

- (i) K is dispersed.
- (ii) For any pair of Banach spaces X and Y, a strongly bounded operator m ↔ T : C(K, X) → Y is limited if and only if m(A) : X → Y is limited for every A ∈ Σ.
- (iii) There is a Banach space X such that a strongly bounded operator  $m \leftrightarrow T : C(K, X) \to c_0$  is limited if and only if  $m(A) : X \to c_0$  is limited for every  $A \in \Sigma$ .

*Proof.* (i) $\Rightarrow$ (ii) by Theorem 11. (ii) $\Rightarrow$ (iii) is clear.

(iii) $\Rightarrow$ (i). Suppose that (iii) holds and K is not dispersed. Then there is a purely nonatomic regular probability Borel measure  $\lambda$  on K [19, Theorem 2.8.10]. Now we can construct a Haar system  $\{A_i^n : 1 \le i \le 2^n, n \ge 0\}$ in  $\Sigma$  (that is,  $A_1^0 = K$ , for each  $n \in \mathbb{N}$ ,  $\{A_i^n : 1 \le i \le 2^n\}$  is a partition of K, and  $A_i^n = A_{2i-1}^{n+1} \cup A_{2i}^{n+1}$ ,  $1 \le i \le 2^n$ ,  $n \ge 0$ ) such that  $\lambda(A_i^n) = 2^{-n}$ for  $1 \le i \le 2^n$  and  $n \ge 0$ . Let  $(x_n)$  be a sequence in X with  $||x_n|| = 1$  for  $n \ge 0$ . For each  $n \ge 0$ , choose  $x_n^* \in X^*$  such that  $\langle x_n^*, x_n \rangle = 1 = ||x_n^*||$ , and let  $r_n = \sum_{i=1}^{2^n} (-1)^i \chi_{A_i^n}$ . Then  $(r_n)$  is orthonormal in  $L^2(\lambda)$ , and thus weakly null in  $L^1(\lambda)$ . Define  $T : C(K, X) \to c_0$  by

$$T(f) = \left( \int_{K} \langle x_n^*, f(t) \rangle r_n(t) \, d\lambda \right)_{n \ge 0}, \quad f \in C(K, X).$$

We note that  $T(f) \in c_0$  for each  $f \in C(K, X)$ , and that the representing measure m of T is given by

$$m(A)(x) = \left( \langle x_n^*, x \rangle \int_A r_n(t) \, d\lambda \right)_{n \ge 0}$$

for  $A \in \Sigma$  and  $x \in X$ . As in the proof of the previous theorem, we have  $||m(A)|| \leq \lambda(A), m(A)(x) \in c_0$  for all  $A \in \Sigma, x \in X$ , and m is strongly bounded. Further,  $m(A) : X \to c_0$  is compact, thus limited, for every  $A \in \Sigma$ . By assumption, T is limited.

Let  $\hat{T}$  be the extension of T to B(K, X). For each n, let  $f_n = r_n x_n$ . Note that  $||f_n|| \leq 1$  and  $\hat{T}(f_n) = e_n$ . Since  $(e_n)$  is not limited in  $c_0$ ,  $\hat{T}$  is not limited. By Theorem 2, T is not limited. This contradiction concludes the proof.  $\blacksquare$ 

We recall that an operator  $T : C(K, X) \to Y$  is compact if and only if its extension  $\hat{T} : B(K, X) \to Y$  is compact (as noted in the Introduction). Since compact operators are in particular limited, the above argument and Remark 1 also prove the following result.

THEOREM 19. The following statements are equivalent:

- (i) K is dispersed.
- (ii) For any pair of Banach spaces X and Y, an operator m ↔ T : C(K,X) → Y is compact if and only if m is strongly bounded and m(A): X → Y is compact for every A ∈ Σ.
- (iii) There is a Banach space X such that an operator  $m \leftrightarrow T$ :  $C(K,X) \to c_0$  is compact if and only if m is strongly bounded and  $m(A): X \to c_0$  is compact for every  $A \in \Sigma$ .

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