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ENTROPY AND APPROXIMATION NUMBERS OF EMBEDDINGS BETWEEN WEIGHTED BESOV SPACES

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Abstract. The present paper is devoted to the study of the "quality" of the compactness of the trace operator. More precisely, we characterize the asymptotic behaviour of entropy numbers of the compact map

$$\operatorname{tr}_{\Gamma}: B^{s}_{p_{1},q}(\mathbb{R}^{n}, w^{\Gamma}_{\varkappa}) \to L_{p_{2}}(\Gamma),$$

where Γ is a *d*-set with 0 < d < n and w_{\varkappa}^{Γ} a weight of type $w_{\varkappa}^{\Gamma}(x) \sim \operatorname{dist}(x,\Gamma)^{\varkappa}$ near Γ with $\varkappa > -(n-d)$. There are parallel results for approximation numbers.

1. Introduction. The aim of this paper is to study the compactness of the trace operator acting between a weighted Besov space and an approximation space on a fractal *d*-set Γ . More precisely, we use known results on entropy numbers in unweighted settings to investigate the behavior of entropy and approximation numbers of compact embeddings between weighted Besov spaces $B_{pq}^s(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$, where w_{\varkappa}^{Γ} is a function that measures the distance of a given point $x \in \mathbb{R}^n$ to a certain fractal set Γ , $w_{\varkappa}^{\Gamma}(x) = \operatorname{dist}(x, \Gamma)^{\varkappa}$. In particular, we consider the trace operator from spaces $B_{pq}^s(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$ into Lebesgue spaces $L_p(\Gamma)$, where Γ is a *d*-set. Furthermore, we generalize this concept to so-called (d, Ψ) -sets. We will study the weight function $v_{\varkappa}^{\Gamma}(x) = (\operatorname{dist}(x, \Gamma))^{\varkappa} \Psi(\operatorname{dist}(x, \Gamma))$, where Ψ is an admissible function, see (2.7), and Γ a corresponding (d, Ψ) -set. Moreover, we compute approximation numbers of the embeddings between function spaces of the above type.

The paper is organized as follows. In the next section we collect some notation and recall definitions and known results on Muckenhoupt weights. In particular, we define the weighted Besov spaces $B_{pq}^s(\mathbb{R}^n, w)$ with $w \in \mathcal{A}_{\infty}$. Moreover, we give the definition

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of Besov spaces of generalized smoothness and related (d, Ψ) -sets with an admissible function Ψ . The third section is devoted to traces of weighted spaces on d- and (d, Ψ) sets. We first give classical statements of the trace problem on \mathbb{R}^{n-1} . Subsequently we describe extensions of this problem to an arbitrary closed set $\Gamma \subset \mathbb{R}^n$ with $|\Gamma| = 0$. We conclude this section by presenting recent results on traces of weighted Besov spaces on d- and (d, Ψ) -sets [Pio] which are the main tool in prove our further results. The fourth section contains results on entropy numbers of the trace operator between weighted Besov spaces. More precisely, we investigate the asymptotic behavior of the entropy numbers of the compact embedding

$$\mathrm{id}: B^{s_1}_{p_1q_1}(\mathbb{R}^n, w^{\Gamma}_{\varkappa}) \to B^{s_2}_{p_2q_2}(\mathbb{R}^n, w^{\Gamma}_{\varkappa}).$$

Here Γ denotes a *d*-set or (d, Ψ) -set. In the final section we give estimates of approximation numbers of a trace operator of weighted Besov spaces, e.g.

$$e_k(\operatorname{tr}_{\Gamma}: B^s_{pp}(\mathbb{R}^n, w^{\Gamma}_{\varkappa}) \to L_p(\Gamma)) \sim k^{\frac{1}{d}(\frac{n+\varkappa}{p}-s)-\frac{1}{p}} \sim a_k(\operatorname{tr}_{\Gamma}: B^s_{pp}(\mathbb{R}^n, w^{\Gamma}_{\varkappa}) \to L_p(\Gamma)).$$

2. Function spaces and weights

2.1. Definitions. In this section we collect some notation that remains fixed throughout this paper. As usual, \mathbb{R}^n denotes the *n*-dimensional real Euclidean space and the Euclidean scalar product of $x = (x_1, \ldots, x_n)$ and $y = (y_1, \ldots, y_n)$ is given by $xy = x_1y_1 + \cdots + x_ny_n$, as usual. Let $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$ stand for the non-negative integers.

We denote by $\mathcal{S}(\mathbb{R}^n)$ the Schwartz space of all complex-valued rapidly decreasing infinitely differentiable functions and by $\mathcal{S}'(\mathbb{R}^n)$ its dual space of all tempered distributions on \mathbb{R}^n . As usual, the Fourier transform defined on $\mathcal{S}'(\mathbb{R}^n)$ is given by

(2.1)
$$\mathcal{F}f(\xi) = \widehat{f}(\xi) = (2\pi)^{-n/2} \int_{\mathbb{R}^n} f(x) e^{-ix\xi} \mathrm{d}x.$$

Here dx denotes the *n*-dimensional Lebesgue measure. The Fourier transform is a one-toone mapping from $\mathcal{S}(\mathbb{R}^n)$ onto $\mathcal{S}(\mathbb{R}^n)$. As usual, $\mathcal{F}^{-1}f$ or f^{\vee} , stands for the inverse Fourier transform, given by the right-hand side of (2.1) with *i* in place of -i. Let $\varphi \in \mathcal{S}(\mathbb{R}^n)$ with supp $\varphi \subset \{y \in \mathbb{R}^n : |y| < 2\}$ and $\varphi(x) = 1$ if $|x| \leq 1$. Furthermore, let $\varphi_0 = \varphi$ and for each $j \in \mathbb{N}$ we put

(2.2)
$$\varphi_j(x) = \varphi(2^{-j}x) - \varphi(2^{-j+1}x).$$

Then

(2.3)
$$\sum_{j=0}^{\infty} \varphi_j(x) = 1 \quad \text{for all } x \in \mathbb{R}^n.$$

The system of functions $\{\varphi_j\}_{j=0}^{\infty}$ is called a *smooth dyadic resolution of unity*.

We will also need the following definition from fractal geometry.

DEFINITION 2.1. Let 0 < d < n. A set $\Gamma \subset \mathbb{R}^n$ is called *d*-set if there exists a Borel measure μ in \mathbb{R}^n such that $\operatorname{supp} \mu = \Gamma$ and there are constants $c_1, c_2 > 0$ such that for arbitrary $\gamma \in \Gamma$ and all 0 < r < 1,

$$c_1 r^d \le \mu(B(\gamma, r) \cap \Gamma) \le c_2 r^d.$$

REMARK 2.2. Note that self-similar fractals are outstanding examples of *d*-sets. For instance, the Cantor set in \mathbb{R}^1 is a *d*-set for $d = \ln 2/\ln 3$, and the Koch curve in \mathbb{R}^2 is a *d*-set for $d = \ln 4/\ln 3$.

Let Γ be a *d*-set and let $0 . Then <math>L_p(\Gamma)$ are the usual complex L_p -spaces with respect to the Borel measure μ , quasi-normed by

$$||f||L_p(\Gamma)|| = \left(\int_{\Gamma} |f(\gamma)|^p \ \mu(\mathrm{d}\gamma)\right)^{1/p},$$

with usual modification for $p = \infty$.

2.2. Muckenhoupt weights and Besov spaces. We recall some known facts and definitions on \mathcal{A}_p Muckenhoupt classes.

We say that w belongs to the Muckenhoupt class \mathcal{A}_p with 1 if there exists $a constant <math>0 < A < \infty$ such that for all balls B the following inequality holds

(2.4)
$$\left(\frac{1}{|B|}\int_{B}w(x)\,\mathrm{d}x\right)^{1/p}\cdot\left(\frac{1}{|B|}\int_{B}w(x)^{-p'/p}\,\mathrm{d}x\right)^{1/p'}\leq A_{p}$$

where p' is the dual exponent to p given by 1/p'+1/p = 1 and |B| stands for the Lebesgue measure of the ball B.

Futhermore, let M be the Hardy-Littlewood maximal operator given by

$$Mf(x) = \sup_{B(x,r)\in\mathcal{B}} \frac{1}{|B(x,r)|} \int_{B(x,r)} |f(y)| \mathrm{d}y, \quad x \in \mathbb{R}^n,$$

where \mathcal{B} is the collection of all open balls $B(x,r) = \{y \in \mathbb{R}^n : |y-x| < r\}, r > 0$. A weight w belongs to the Muckenhoupt class \mathcal{A}_1 if there exists a constant $0 < A < \infty$ such that the inequality

$$Mw(x) \le Aw(x)$$

holds for almost all $x \in \mathbb{R}^n$.

We also consider the Muckenhoupt class \mathcal{A}_{∞} defined by

(2.5)
$$\mathcal{A}_{\infty} = \bigcup_{p \ge 1} \mathcal{A}_p.$$

REMARK 2.3. The class of \mathcal{A}_p weights was introduced by B. MUCKENHOUPT in [Muc72a] A systematic treatment of these classes of weights functions may be found, in particular, in the monographs [GR85], [ST89], and [Ste93, Chapter V].

The most famous example of a Muckenhoupt weight $w \in \mathcal{A}_p$, 1 , is given $by <math>w(x) = |x|^{\delta}$ with $-n < \delta < n(p-1)$. In the sequel, we are more interested in the following example.

EXAMPLE 2.4. Let Γ be a *d*-set with 0 < d < n introduced in Definition 2.1 and let $\varkappa \in \mathbb{R}$. We study the weight $w_{\varkappa}^{\Gamma}(x), x \in \mathbb{R}^n$, given by

$$w_{\varkappa}^{\Gamma}(x) := \begin{cases} \operatorname{dist}(x,\Gamma)^{\varkappa}, & \operatorname{dist}(x,\Gamma) \leq 1, \\ 1, & \operatorname{otherwise.} \end{cases}$$

Note that the weight function w_{\varkappa}^{Γ} belongs to the Muckenhoupt class \mathcal{A}_p for $1 if, and only if, <math>-(n-d) < \varkappa < (n-d)(p-1)$. For the proof and more details we refer the reader to [HP].

Let $w \in \mathcal{A}_{\infty}$ according to (2.5). We define the weighted Lebesgue space $L_p(\mathbb{R}^n, w)$ with 0 as the collection of all measurable functions such that

$$||f||L_p(\mathbb{R}^n, w)|| = \left(\int_{\mathbb{R}^n} |f(x)|^p w(x) \mathrm{d}x\right)^{1/p}$$

is finite. Note that corresponding space for $p = \infty$ coincides with the unweighted space $L_{\infty}(\mathbb{R}^n)$.

DEFINITION 2.5. Let $0 , <math>0 < q \le \infty$, $s \in \mathbb{R}$ and let $\{\varphi_j\}_{j=0}^{\infty}$ be a smooth dyadic resolution of unity. Assume $w \in \mathcal{A}_{\infty}$. The weighted Besov space $B_{pq}^s(\mathbb{R}^n, w)$ is the set of all distributions $f \in \mathcal{S}'(\mathbb{R}^n)$ such that

(2.6)
$$\|f \|B_{pq}^{s}(\mathbb{R}^{n}, w)\| = \left(\sum_{j=0}^{\infty} 2^{jsq} \|\mathcal{F}^{-1}(\varphi_{j}\mathcal{F}f)\|L_{p}(\mathbb{R}^{n}, w)\|^{q}\right)^{1/q}$$

is finite. In the limiting case $q = \infty$ the usual modification is required.

REMARK 2.6. The above definition does not depend on the choice of the resolution of unity $\{\varphi_j\}_{j=0}^{\infty}$. The spaces $B_{pq}^s(\mathbb{R}^n, w)$ are quasi-Banach spaces (Banach spaces for $p, q \geq$ 1). Furthermore, it is clear that $\mathcal{F}^{-1}(\varphi_j \mathcal{F}f)$ is an entire analytic function on \mathbb{R}^n for any $f \in \mathcal{S}'(\mathbb{R}^n)$. We have, in particular, that $\mathcal{F}^{-1}(\varphi_j \mathcal{F}f)(x)$ make sense pointwise. One can also consider the weighted Triebel-Lizorkin spaces $F_{pq}^s(\mathbb{R}^n, w)$, $0 , <math>0 < q \leq \infty$, $s \in \mathbb{R}$, by interchanging the order of ℓ_q - and L_p -quasi-norms in (2.6), see [Bui82], [Bui84] and also [HP], [Pio06] and references therein. Moreover, for the weight function $w \equiv 1$ we obtain classical (unweighted) versions of these spaces. The best references here are the monographs by H. Triebel [Tri83], [Tri92] and [Tri06]. A systematic treatment of the Besov spaces with weights from the class \mathcal{A}_{∞} is due to H. Q. Bui in [Bui82], [Bui84] with subsequent papers [BPT96] and [BPT97].

2.3. Besov spaces of generalized smoothness and (d, Ψ) -sets. Now, we recall definitions and results on spaces of generalized smoothness and related (d, Ψ) -sets that will be of importance in the next section.

DEFINITION 2.7. A positive monotone function Ψ on the interval (0, 1] is called *admissible* if

(2.7)
$$\Psi(2^{-k}) \sim \Psi(2^{-2k}), \qquad k \in \mathbb{N}_0.$$

EXAMPLE 2.8. Let $b \in \mathbb{R}$. Then

$$\Psi_b(x) = (1 + |\log(x)|)^b, \ x \in (0, 1],$$

where the log is taken with respect to base 2, is an admissible function according to the above definition.

DEFINITION 2.9. Let Γ be a non-empty closed subset of \mathbb{R}^n .

(i) Let 0 < d < n and let Ψ be an admissible function according to Definition 2.7. Then Γ is called a (d, Ψ)-set if there exist a Radon measure µ on ℝⁿ with supp µ = Γ and two positive constants c₁ and c₂ such that

(2.8)
$$c_1 r^d \Psi(r) \le \mu(B(\gamma, r)) \le c_2 r^d \Psi(r)$$

for any ball $B(\gamma, r)$ in \mathbb{R}^n centered at $\gamma \in \Gamma$ and of radius $r \in (0, 1)$.

(ii) Let Ψ be a decreasing admissible function according to Definition 2.7 with $\Psi(x) \to \infty$, if $x \to 0$. Then Γ is called an (n, Ψ) -set if there is a Radon measure μ in \mathbb{R}^n with the above properties and d = n in (2.8).

REMARK 2.10. Obviously, for $\Psi \equiv 1$ we obtain *d*-sets with 0 < d < n as introduced in Definition 2.1. Let 0 < d < n and let Ψ be an admissible function, then for any couple (d, Ψ) there exists a (d, Ψ) -set in \mathbb{R}^n , see [ET99, Proposition 2.8]. Furthermore any (d, Ψ) set in \mathbb{R}^n with d < n satisfies the so-called ball condition, for more information see [Tri01, Proposition 22.6(iv)].

Let $\{\varphi_i\}_{i=0}^{\infty}$ be a smooth resolution of unity given by (2.2) and (2.3).

DEFINITION 2.11. Let $0 < p, q \le \infty$ and $s \in \mathbb{R}$. Moreover, let Ψ be an admissible function according to Definition 2.7. Then $B_{pq}^{s,\Psi}(\mathbb{R}^n)$ is the collection of all tempered distributions $f \in \mathcal{S}'(\mathbb{R}^n)$ for which

(2.9)
$$\|f |B_{pq}^{s,\Psi}(\mathbb{R}^n)\| = \left(\sum_{j=0}^{\infty} 2^{jsq} \Psi(2^{-j})^q \|(\varphi_j \widehat{f})^{\vee} |L_p(\mathbb{R}^n)\|^q\right)^{1/q}$$

(with the usual modification for $q = \infty$) is finite.

REMARK 2.12. The spaces $B_{pq}^{s,\Psi}(\mathbb{R}^n)$ were introduced by D. E. Edmunds and H. Triebel in [ET98]. For a complete treatment of these spaces we refer the reader to the work of S. D. Moura, [Mou01], see also [ET96], [Tri97] and [Tri01] for more details. One may also consider the Triebel-Lizorkin spaces of generalized smoothness $F_{pq}^{s,\Psi}(\mathbb{R}^n)$, 0 , $<math>0 < q \leq \infty$, $s \in \mathbb{R}$, by interchanging the order of ℓ_q - and L_p - quasi-norms in (2.9). The spaces $B_{pq}^{s,\Psi}(\mathbb{R}^n)$ are quasi-Banach spaces (Banach spaces if $p \geq 1$ and $q \geq 1$). It is known that the space $B_{pq}^{s,\Psi}(\mathbb{R}^n)$ does not depend on the chosen smooth resolution of unity $\{\varphi_j\}_{j=0}^{\infty}$ (in the sense of equivalent quasi-norms). Taking $\Psi \equiv 1$ we obtain the classical Besov spaces $B_{pq}^{s}(\mathbb{R}^n)$.

We give an extension of Example 2.4 to (d, Ψ) -sets.

EXAMPLE 2.13. Let Γ be a (d, Ψ) -set, $0 < d < n, \Psi$ an admissible function, $\varkappa \in \mathbb{R}$, and

$$v_{\varkappa}^{\Gamma}(x) := \begin{cases} (\operatorname{dist}(x,\Gamma))^{\varkappa} \Psi(\operatorname{dist}(x,\Gamma)), & \text{ for } \operatorname{dist}(x,\Gamma) \leq 1, \\ \Psi(1), & \text{ otherwise.} \end{cases}$$

Analogously to the *d*-set case we obtain that $v_{\varkappa}^{\Gamma} \in \mathcal{A}_{\infty}$ if, and only if, $-(n-d) < \varkappa < (n-d)(p-1)$, see [Pio06, Proposition 5.6].

3. Traces on fractals of weighted Besov spaces

3.1. Preliminaries. This section gives a brief survey of the results on trace problems of Besov spaces on fractals. Our choice of fractal sets are d- and (d, Ψ) -sets. Let $x = (x', x_n) \in \mathbb{R}^n$ with $x' \in \mathbb{R}^{n-1}$. Recall that the trace of f on \mathbb{R}^{n-1} is the mapping

(3.1)
$$\operatorname{tr}_{\mathbb{R}^{n-1}} : f(x) \mapsto f(x', 0).$$

In other words, $\operatorname{tr}_{\mathbb{R}^{n-1}}$ restricts functions on \mathbb{R}^n to the hyperplane $H = \{x \in \mathbb{R}^n : x_n = 0\}$. Given a function space $X \subset \mathcal{D}'(\mathbb{R}^n)$, the trace problem consists in finding a space $Y \subset \mathcal{S}'(\mathbb{R}^{n-1})$ such that $\operatorname{tr}_{\mathbb{R}^{n-1}}$ is a bounded linear surjection from X to Y. We refer to [Tri92, Section 4.4.1 and 4.4.2] for the classical trace problem. We shall explain the meaning of the trace (3.1) if we consider a suitable compact *d*-set instead of \mathbb{R}^{n-1} . We will interpret any function $f^{\Gamma} \in L_p(\Gamma), 1 \leq p < \infty$, as a tempered distribution $f \in \mathcal{S}'(\mathbb{R}^n)$ given by

$$f(\varphi) = \int_{\Gamma} f^{\Gamma}(\gamma)(\varphi|\Gamma)(\gamma)\mu(\mathrm{d}\gamma), \ \varphi \in \mathcal{S}(\mathbb{R}^n),$$

where the restriction $\varphi|\Gamma$ of φ is understood pointwise and μ is a Radon measure on Γ . Let Γ be a closed set in \mathbb{R}^n with $|\Gamma| = 0$. We assume that there exists a Radon measure μ on \mathbb{R}^n with $\operatorname{supp} \mu = \Gamma$. The restriction $\operatorname{tr}_{\Gamma} \varphi = \varphi|\Gamma$ understood pointwise is well-defined for any $\varphi \in \mathcal{S}(\mathbb{R}^n)$. Moreover, let us suppose that for s > 0 and $0 < p, q < \infty$ there is a constant c > 0 such that for all $\varphi \in \mathcal{S}(\mathbb{R}^n)$,

(3.2)
$$\|\operatorname{tr}_{\Gamma}\varphi|L_p(\Gamma)\| \leq c\|\varphi|B_{pq}^s(\mathbb{R}^n, w_{\varkappa}^{\Gamma})\|.$$

Since the Schwartz class $\mathcal{S}(\mathbb{R}^n)$ is dense in $B_{pq}^s(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$, the inequality (3.2) may be extended by completion to all $f \in B_{pq}^s(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$. The resulting limit of $\operatorname{tr}_{\Gamma} \varphi$ will be denoted by $\operatorname{tr}_{\Gamma} f$. Note that it is independent of the approximation of $f \in B_{pq}^s(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$ by $\mathcal{S}(\mathbb{R}^n)$ -functions due to (3.2).

3.2. Traces of weighted Besov spaces on d-sets. In this subsection we present recent results for the trace problem of weighted Besov spaces. For proofs and more details from this and the next subsection we refer the reader to [Pio], [Pio06].

THEOREM 3.1. Let 0 < d < n, $\varkappa > -(n-d)$, $0 , <math>0 < q \le \min(1, p)$ and let Γ be a d-set. Then we have

(3.3)
$$\operatorname{tr}_{\Gamma} B_{pq}^{\frac{\varkappa}{p} + \frac{n-d}{p}}(\mathbb{R}^{n}, w_{\varkappa}^{\Gamma}) = L_{p}(\Gamma),$$

in the sense that $\operatorname{tr}_{\Gamma} f \in L_p(\Gamma)$ for any $f \in B_{pq}^{\frac{\varkappa}{p} + \frac{n-d}{p}}(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$ and any $f^{\Gamma} \in L_p(\Gamma)$ is a trace of a suitable $g \in B_{pq}^{\frac{\varkappa}{p} + \frac{n-d}{p}}(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$ on Γ and

(3.4)
$$\|f^{\Gamma} |L_p(\Gamma)\| \sim \inf \|g| B_{pq}^{\frac{\varkappa}{p} + \frac{n-d}{p}} (\mathbb{R}^n, w_{\varkappa}^{\Gamma})\|,$$

where the infimum is taken over all $g \in B_{pq}^{\frac{\varkappa}{p} + \frac{n-d}{p}}(\mathbb{R}^n, w_{\varkappa}^{\Gamma})$ such that $\operatorname{tr}_{\Gamma} g = f^{\Gamma}$.

Motivated by the quasi-norm (3.4) we introduce the following trace spaces.

DEFINITION 3.2. Let Γ be a *d*-set in \mathbb{R}^n according to Definition 2.1 with 0 < d < n. Let $s > 0, 0 , and <math>0 < q \le \infty$. Let us define

(3.5)
$$\mathbb{B}_{pq}^{s}(\Gamma) = \operatorname{tr}_{\Gamma} B_{pq}^{s+\frac{n-a}{p}}(\mathbb{R}^{n}).$$

We equip this space with the quasi-norm

(3.6)
$$||f||\mathbb{B}_{pq}^{s}(\Gamma)|| = \inf ||g||B_{pq}^{s+\frac{n-d}{p}}(\mathbb{R}^{n})||,$$

where the infimum ranges over all $g \in B_{pq}^{s+\frac{n-d}{p}}(\mathbb{R}^n)$ with $\operatorname{tr}_{\Gamma} g = f$.

Theorem 3.3. Let $0 < d < n, \ s > 0, \ 0 and <math display="inline">-(n-d) < \varkappa < sp - (n-d).$ Then

$$\operatorname{tr}_{\Gamma} B^{s}_{pq}(\mathbb{R}^{n}, w^{\Gamma}_{\varkappa}) = \mathbb{B}^{s - \frac{n-d}{p} - \frac{\varkappa}{p}}_{pq}(\Gamma).$$

3.3. Traces of weighted Besov spaces on (d, Ψ) -sets. We begin with the counterpart of Definition 3.2 for the trace spaces with respect to the Besov spaces of generalized smoothness.

DEFINITION 3.4. Let $0 < p, q \leq \infty, s > 0, \Psi$ be an admissible function and let Γ be a (d, Ψ) -set in \mathbb{R}^n with 0 < d < n. We define

(3.7)
$$\mathbb{B}_{pq}^{s}(\Gamma) := \operatorname{tr}_{\Gamma} B_{pq}^{s+\frac{n-d}{p},\Psi^{1/p}}(\mathbb{R}^{n}).$$

We equip this space with the quasi-norm

(3.8)
$$||f||\mathbb{B}_{pq}^{s}(\Gamma)|| = \inf ||g||B_{pq}^{s+\frac{n-d}{p}},\Psi^{1/p}(\mathbb{R}^{n})||,$$

where the infimum is taken over all $g \in B_{pq}^{s+\frac{n-d}{p},\Psi^{1/p}}(\mathbb{R}^n)$ with $\operatorname{tr}_{\Gamma} g = f$.

Note that for $\Psi \equiv 1$, Γ is a *d*-set according to Definition 2.1, and then the above definition covers Definition 3.2. Let v_{\varkappa}^{Γ} be the Muckenhoupt weight introduced in Example 2.13. We have the following generalization of Theorem 3.3.

THEOREM 3.5. Let 0 < d < n, $s \in \mathbb{R}$, $-(n-d) < \varkappa$, $0 , <math>0 < q \le \infty$, Ψ be an admissible function and let Γ be a (d, Ψ) -set according to Definition 2.9(i). Then

(3.9)
$$\operatorname{tr}_{\Gamma} B^{s}_{pq}(\mathbb{R}^{n}, v^{\Gamma}_{\varkappa}) = \operatorname{tr}_{\Gamma} B^{s-\frac{\varkappa}{p}, \Psi^{1/p}}_{pq}(\mathbb{R}^{n})$$

whenever these spaces exist. Moreover, when $-(n-d) < \varkappa < sp - (n-d)$, then

(3.10)
$$\operatorname{tr}_{\Gamma} B^{s}_{pq}(\mathbb{R}^{n}, v^{\Gamma}_{\varkappa}) = \mathbb{B}^{s - \frac{\varkappa}{p} - \frac{n-d}{p}}_{pq}(\Gamma).$$

4. Entropy numbers of embeddings between weighted Besov spaces

4.1. Definitions. Let X and Y be quasi-Banach spaces and let $T : X \to Y$ be a bounded linear operator. Let

$$U_X := \{ x \in X : \|x\| \le 1 \}$$

be the unit ball in the quasi-Banach space X. An operator T is called compact if for any given $\varepsilon > 0$ we can cover the image of the unit ball U_X with finitely many balls in Y of radius ε .

DEFINITION 4.1. Let X, Y be quasi-Banach spaces and let $T \in L(X, Y)$. Then for all $k \in \mathbb{N}$, the kth dyadic entropy number $e_k(T)$ of T is defined by

(4.1)
$$e_k(T) = \inf \left\{ \varepsilon > 0 : \ T(U_X) \subset \bigcup_{j=1}^{2^{k-1}} (y_j + \varepsilon U_Y) \text{ for some } y_1, \dots, y_{2^{k-1}} \in Y \right\},$$

where U_X and U_Y denote the unit balls in X and Y, respectively.

These numbers have various elementary properties partly recalled in the following lemma.

LEMMA 4.2. Let X, Y and Z be quasi-Banach spaces, let $S, T \in L(X, Y)$ and $R \in L(Y, Z)$.

- (i) (Monotonicity): $||T|| \ge e_1(T) \ge e_2(T) \ge \cdots \ge 0$. Moreover $||T|| = e_1(T)$, provided that Y is a Banach space.
- (ii) (Additivity): If Y is a p-Banach space $(0 , then for all <math>j, k \in \mathbb{N}$

$$e_{j+k-1}^{p}(S+T) \le e_{j}^{p}(S) + e_{k}^{p}(T).$$

(iii) (Multiplicativity): For all $j, k \in \mathbb{N}$

$$e_{j+k-1}(RT) \le e_j(R)e_k(T).$$

(iv) (Compactness): T is compact if, and only if, $\lim_{k\to\infty} e_k(T) = 0$.

Proofs of the above properties may be found for instance in [ET96, Lemma 1.3.1/1]. For more information, we recommend the monographs [ET96] and [CS90].

REMARK 4.3. Let us briefly discuss the connection between eigenvalues of a compact linear map and its entropy numbers, though applications of that kind are out of the scope of this paper. Let $T: X \to X$ be a compact linear operator in a quasi-Banach space X and let $(\lambda_n(T))$ be the sequence of all nonzero eigenvalues of T, repeated according to algebraic multiplicity and ordered so that $|\lambda_1(T)| \ge |\lambda_2(T)| \ge \ldots \ge 0$. Then *Carl's inequality* states

$$|\lambda_n(T)| \le \sqrt{2}e_n(T), \quad n \in \mathbb{N}.$$

General references here are again [ET96] and [CS90]. Based on this inequality, and having in mind application to spectral theory of certain pseudo-differential operators, there was initiated a program to investigate the behavior of the entropy numbers in the context of weighted function spaces of Besov and Triebel-Lizorkin type, see [ET96] and [HT94a], [HT94b]. For a recent account we refer to the series of papers by T. Kühn et al. [KLSS06a], [KLSS06b], [KLSS].

4.2. Results and proofs. Let us first recall a result for entropy numbers in the corresponding unweighted situation, see [Tri97, Theorem 20.6].

THEOREM 4.4. Let Γ be a compact d-set in \mathbb{R}^n with 0 < d < n according to Definition 2.1. Let $\mathbb{B}_{pq}^s(\Gamma)$ be the spaces introduced in Definition 3.2, notationally complemented by $\mathbb{B}_{pq}^0(\Gamma) = L_p(\Gamma)$ for any $0 and <math>0 < q \le \infty$. Let

$$0 \le s_2 < s_1 < \infty, \ 0 < p_1, p_2 \le \infty, \ 0 < q_1, q_2 \le \infty,$$

and

$$s_1 - s_2 - d\left(\frac{1}{p_1} - \frac{1}{p_2}\right)_+ > 0$$

Then the embedding

$$\mathrm{id}: \ \mathbb{B}_{p_1q_1}^{s_1}(\Gamma) \to \mathbb{B}_{p_2q_2}^{s_2}(\Gamma)$$

is compact and the related entropy numbers satisfy

(4.2)
$$e_k(\mathrm{id}) \sim k^{-\frac{s_1-s_2}{d}}, \quad k \in \mathbb{N}.$$

REMARK 4.5. Recall that equivalence ~ in (4.2) means that there exist two positive numbers c_1 and c_2 such that for all $k \in \mathbb{N}$,

$$c_1 k^{-\frac{s_1-s_2}{d}} \le e_k(\mathrm{id}) \le c_2 k^{-\frac{s_1-s_2}{d}}.$$

Assume that $0 < q \leq \infty$ and

$$s - d\left(\frac{1}{p_1} - \frac{1}{p_2}\right)_+ > 0.$$

Then (4.2) with $s_1 = s$ and $s_2 = 0$ can be rewritten in the form

(4.3)
$$e_k(\operatorname{tr}_{\Gamma}: B^{s+\frac{n-d}{p_1}}_{p_1q}(\mathbb{R}^n) \to L_{p_2}(\Gamma)) \sim k^{-\frac{s}{d}}, \quad k \in \mathbb{N}.$$

For more details, see [Tri97, Chapter IV, p.172].

We can now present results on entropy numbers for weighted Besov spaces.

THEOREM 4.6. Let Γ be a d-set in \mathbb{R}^n with 0 < d < n according to Definition 2.1. Let $\frac{n-d+\varkappa}{p_2} \leq s_2 < s_1 < \infty, \ 0 < p_1, p_2 < \infty, \ 0 < q_1, q_2 \leq \infty, \ and$

(4.4)
$$-(n-d) < \varkappa < \min(s_1 p_1, s_2 p_2) - (n-d).$$

Let

(4.5)
$$s_1 - s_2 > (\varkappa + n - d) \left(\frac{1}{p_1} - \frac{1}{p_2}\right) + d \left(\frac{1}{p_1} - \frac{1}{p_2}\right)_+.$$

Then for the weight w_{\varkappa}^{Γ} introduced in Example 2.4 the embedding

is compact for the related entropy numbers satisfy

(4.7)
$$e_k(\mathrm{id}) \sim k^{-\frac{s_1-s_2}{d} + \left(\frac{\varkappa + n - d}{d}\right)\left(\frac{1}{p_1} - \frac{1}{p_2}\right)}, \quad k \in \mathbb{N}.$$

Proof. The proof is a simple consequence of Theorem 3.3 and Theorem 4.4. We have

$$(4.8) \quad e_k \left(\text{id} : \operatorname{tr}_{\Gamma} B_{p_1 q_1}^{s_1}(\mathbb{R}^n, w_{\varkappa}^{\Gamma}) \longrightarrow \operatorname{tr}_{\Gamma} B_{p_2 q_2}^{s_2}(\mathbb{R}^n, w_{\varkappa}^{1}) \right) \\ e_k \left(\text{id} : \mathbb{B}_{p_1 q_1}^{s_1 - \frac{\varkappa}{p_1} - \frac{n-d}{p_1}}(\Gamma) \longrightarrow \mathbb{B}_{p_2 q_2}^{s_2 - \frac{\varkappa}{p_2} - \frac{n-d}{p_2}}(\Gamma) \right).$$

By virtue of (4.2) with $\bar{s}_1 - \bar{s}_2 - d \left(\frac{1}{p_1} - \frac{1}{p_2} \right)_+ > 0$ we obtain
 $e_k (\text{id} : \mathbb{B}_{p_1 q_1}^{s_1 - \frac{\varkappa}{p_1} - \frac{n-d}{p_1}}(\Gamma) \longrightarrow \mathbb{B}_{p_2 q_2}^{s_2 - \frac{\varkappa}{p_2} - \frac{n-d}{p_2}}(\Gamma) \right) \sim k^{-\frac{\bar{s}_1 - \bar{s}_2}{d}}, \ k \in \mathbb{N},$

where

I. PIOTROWSKA

$$\bar{s}_i = s_i - \frac{\varkappa}{p_i} - \frac{n-d}{p_i}$$
 for $i = 1, 2$.

One immediately checks the compatibility of (4.4) and (4.5). This finishes the proof. REMARK 4.7. Let $s = \delta + \frac{n-d}{p_1} + \frac{\varkappa}{p_1}$. From Theorem 3.3 we conclude that

$$\operatorname{tr}_{\Gamma} B^{s}_{p_{1}q}(\mathbb{R}^{n}, w^{\Gamma}_{\varkappa}) = \mathbb{B}^{\delta}_{p_{1}q}(\Gamma), \quad \delta > 0.$$

Furthermore, by Definition 13 we get

$$\mathbb{B}^{\delta}_{p_1q}(\Gamma) = \operatorname{tr}_{\Gamma} B^{\delta + \frac{n-d}{p_1}}_{p_1q}(\mathbb{R}^n).$$

Comparing this with (4.3) and the above theorem we obtain the following result.

PROPOSITION 4.8. Let Γ be a d-set in \mathbb{R}^n with 0 < d < n according to Definition 2.1. Let $0 < p_1, p_2 < \infty$, $0 < q \le \infty$, $-(n-d) < \varkappa < sp_1 - (n-d)$, and let w_{\varkappa}^{Γ} be a weight function given by Example 2.4. Moreover, let

$$s - \frac{1}{p_1}(\varkappa + n - d) - d\left(\frac{1}{p_1} - \frac{1}{p_2}\right)_+ > 0.$$

The trace operator $\operatorname{tr}_{\Gamma}$ of $B^s_{p_1q}(\mathbb{R}^n, w^{\Gamma}_{\varkappa})$ into $L_{p_2}(\Gamma)$ is compact and the related entropy numbers satisfy

(4.9)
$$e_k\left(\operatorname{tr}_{\Gamma}: B^s_{p_1q}(\mathbb{R}^n, w^{\Gamma}_{\varkappa}) \to L_{p_2}(\Gamma)\right) \sim k^{\frac{1}{d}\left(\frac{n+\varkappa}{p_1}-s\right)-\frac{1}{p_1}}.$$

One can extend this result to the (d, Ψ) -sets, where Ψ is an admissible function according to Definition 2.7. In [ET99, Theorem 2.24] there is a generalization restricted to $1 < p_1, p_2 \le \infty$ and the target spaces L_p . The case $0 has been considered by S. D. Moura in [Mou01, Theorem 3.3.2]. She dealt with target spaces of Besov type. Let <math>\Psi$ be an admissible function according to Definition 2.7 and let Γ be a (d, Ψ) -set according to Definition 2.9. Let now $\mathbb{B}_{pq}^s(\Gamma)$ be the trace spaces introduced in Definition 3.4. By assumption we have $0 < p_1, p_2 \le \infty$, $0 < q_1, q_2 \le \infty$, and $s_1 \ge s_2 \ge 0$ with

$$s_1 - s_2 - d\left(\frac{1}{p_1} - \frac{1}{p_2}\right)_+ > 0,$$

such that the embedding

$$\mathrm{id}: \ \mathbb{B}_{p_1q_1}^{s_1}(\Gamma) \to \mathbb{B}_{p_2q_2}^{s_2}(\Gamma)$$

is compact. Furthermore, the related entropy numbers satisfy

(4.10)
$$e_k(\mathrm{id}) \sim \left[k\Psi(k^{-1})\right]^{-\frac{s_1-s_2}{d}}, \quad k \in \mathbb{N}.$$

(Note that we take $a_1 = a_2 = 0$ in the original version of Theorem 3.3.2 in [Mou01], such that Definition 2.2.7 in [Mou01] of \mathbb{B} -spaces covers Definition 3.4.) The best general reference here is [Mou01, Chapter 3] and also [ET98] and [ET99].

We can now give an extension of Theorem 4.6 to (d, Ψ) -sets.

PROPOSITION 4.9. Let Ψ be an admissible function, and let Γ be a (d, Ψ) -set according to Definition 2.9. Let $0 < p_1, p_2 < \infty, 0 < q_1, q_2 \le \infty$, and

(4.11)
$$-(n-d) < \varkappa < \min(s_1 p_1, s_2 p_2) - (n-d).$$

Moreover let s_1 , s_2 be as in (4.5) with $s_2 > \frac{n-d+\varkappa}{p_2}$. Then for the weight v_{\varkappa}^{Γ} introduced in Example 2.13 the embedding

is compact and the related entropy numbers satisfy

(4.13)
$$e_k(\mathrm{id}) \sim \left[k\Psi(k^{-1})\right]^{\frac{s_1-s_2}{d} - \left(\frac{\varkappa+n-d}{d}\right)\left(\frac{1}{p_1} - \frac{1}{p_2}\right)}, \quad k \in \mathbb{N}.$$

Proof. We follow the proof of Theorem 4.6. We consider Theorem 3.5 and Definition 3.4 and arrive at

$$(4.14) \quad e_k(\mathrm{id}: \operatorname{tr}_{\Gamma} B^{s_1}_{p_1q_1}(\mathbb{R}^n, v^{\Gamma}_{\varkappa}) \longrightarrow \operatorname{tr}_{\Gamma} B^{s_2}_{p_2q_2}(\mathbb{R}^n, v^{\Gamma}_{\varkappa})) \\ = e_k(\mathrm{id}: \mathbb{B}^{s_1 - \frac{\varkappa}{p_1} - \frac{n-d}{p_1}}_{p_1q_1}(\Gamma) \longrightarrow \mathbb{B}^{s_2 - \frac{\varkappa}{p_2} - \frac{n-d}{p_2}}_{p_2q_2}(\Gamma)).$$

Combining this with (4.10) completes the proof.

5. Approximation numbers of embeddings between weighted Besov spaces

5.1. Definition and results. In this section we recall the basic definitions and properties concerning approximation numbers and present the results on approximation numbers of the compact trace operator.

DEFINITION 5.1. Let $T \in L(X, Y)$, $k \in \mathbb{N}$. The *kth approximation number* a_k of T is defined by

(5.1)
$$a_k(T) = \inf \{ \|T - L\| : L \in L(X, Y), \operatorname{rank} L < k \},\$$

where $\operatorname{rank} L$ is the dimension of the range of L.

The approximation numbers have properties analogous to those of the entropy numbers. We present them in the following lemma.

LEMMA 5.2. Let X, Y and Z be quasi-Banach spaces, let $S, T \in L(X, Y)$ and $R \in L(Y, Z)$.

- (i) (Monotonicity): $||T|| = a_1(T) \ge a_2(T) \ge \cdots \ge 0$.
- (ii) (Additivity): If Y is a p-Banach space $(0 , then for all <math>j, k \in \mathbb{N}$

$$a_{i+k-1}^{p}(S+T) \le a_{i}^{p}(S) + a_{k}^{p}(T)$$

(iii) (Multiplicativity): For all $j, k \in \mathbb{N}$

$$a_{j+k-1}(RT) \le a_j(R)a_k(T).$$

(iv) (Rank property):

$$a_n(T) = 0$$
 if, and only if, rank $T < n$.

The best general references here are [CS90] and [ET96]. In the sequel, we restrict ourselves to *d*-sets to formulate our result. Recall that the function w_{\varkappa}^{Γ} is a weight introduced in Example 2.4. We now state the main result for approximation numbers.

THEOREM 5.3. Let 0 < d < n, $1 , <math>\frac{1}{p} + \frac{1}{p'} = 1$, $\frac{n-d+\varkappa}{p} < s \leq \frac{n+\varkappa}{p}$, and $-(n-d) < \varkappa$. Let Γ be a d-set according to Definition 2.1. Then the trace operator

(5.2)
$$\operatorname{tr}_{\Gamma}: B^{s}_{pp}(\mathbb{R}^{n}, w^{\Gamma}_{\varkappa}) \to L_{p}(\Gamma)$$

is compact and the related approximation numbers a_k satisfy

(5.3)
$$a_k(\operatorname{tr}_{\Gamma}: B^s_{pp}(\mathbb{R}^n, w^{\Gamma}_{\varkappa}) \to L_p(\Gamma)) \sim k^{\frac{1}{d}(\frac{n+\varkappa}{p}-s)-\frac{1}{p}}, \quad k \in \mathbb{N}.$$

Proof. As a consequence of Theorem 3.3 and Definition 3.2, from embedding (5.2) we get

$$\operatorname{tr}_{\Gamma}: B^s_{pp}(\mathbb{R}^n) \to L_p(\Gamma).$$

Combining this with Theorem 2 and Remark 9 (Example) in [Tri04] we obtain the desired estimate (5.3). The compactness is covered by Proposition 4.8 with $p_1 = p_2 = q$.

REMARK 5.4. Note that (4.9) coincides with (5.3) for $p_1 = p_2 = q = p$. One should expect a different behaviour of $e_k(\operatorname{tr}_{\Gamma})$ and $a_k(\operatorname{tr}_{\Gamma})$ for $p_1 \neq p_2$. This study is postponed to a later occasion, as is the counterpart of Proposition 4.9 for approximation numbers.

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