Fréchet quotients of spaces of real-analytic functions

by

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Dedicated to Aleksander Pełczyński on the occasion of his 70th birthday

Abstract. We characterize all Fréchet quotients of the space $\mathscr{A}(\Omega)$ of (complexvalued) real-analytic functions on an arbitrary open set $\Omega \subseteq \mathbb{R}^d$. We also characterize those Fréchet spaces E such that every short exact sequence of the form $0 \to E \to X \to \mathscr{A}(\Omega) \to 0$ splits.

Let $\mathscr{A}(\Omega)$ denote the space of complex-valued real-analytic functions on the open set $\Omega \subset \mathbb{R}^d$, equipped with its natural locally convex topology (see [15] or [1]). The Fréchet structure of the spaces $\mathscr{A}(\Omega)$ was closely investigated in recent years and this was a basis of various interesting results. The Fréchet subspaces are characterized in Domański–Langenbruch [5] as being isomorphic to subspaces of $H(\mathbb{D}^d)$ if Ω has finitely many connected components, and of $H(\mathbb{D}^d)^{\mathbb{N}}$ if Ω has infinitely many connected components. In Domański–Vogt [7] it was shown that for Ω connected the space $\mathscr{A}(\Omega)$ admits only finite-dimensional complemented subspaces, and that led to the proof in [7] (cf. [8]) that no space $\mathscr{A}(\Omega)$ admits a (Schauder) basis.

About the quotients of $\mathscr{A}(\Omega)$ it was only known that they have the very restrictive property $(\overline{\Omega})$. This was a basic ingredient in the proof that all complemented Fréchet subspaces are finite-dimensional. However, it was not known whether $\mathscr{A}(\Omega)$ admits any infinite-dimensional Fréchet quotient besides the space ω of all sequences. Only recently was it shown in [9] that $\mathscr{A}(\Omega)$ admits nontrivial Fréchet quotients, but that is far from being an exact picture.

In the present paper we show that a Fréchet space E is isomorphic to a quotient of $\mathscr{A}(\Omega)$ for some, equivalently any, open set $\Omega \subseteq \mathbb{R}^d$ if and only if

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E has property $(\overline{\overline{\Omega}})$ and is $n^{1/d}$ -nuclear (see below for the definition in terms of Kolmogorov diameters), or equivalently (see [25]), if and only if *E* has property $(\overline{\overline{\Omega}})$ and is isomorphic to a quotient of $H(\mathbb{D}^d)$. An essential step is to show that a Fréchet space has $(\overline{\overline{\Omega}})$ if and only if $\operatorname{Ext}^1(\mathscr{A}(\Omega), E) = 0$, which means that every topologically exact sequence

$$0 \to E \to X \to \mathscr{A}(\Omega) \to 0$$

splits. This result is of independent interest.

1. Preliminaries. We use common notation for locally convex spaces, in particular Fréchet spaces. For the notation and general results we refer to [18] or [13]. For homological notions in locally convex spaces see [26].

For any open $\Omega \subset \mathbb{R}^d$ the space $\mathscr{A}(\Omega)$ is equipped with its natural topology given by

$$\mathscr{A}(\Omega) = \limsup_{n} \operatorname{proj} H(K_n)$$

where $K_1 \subset \mathring{K}_2 \subset K_2 \subset \ldots$ is a compact exhaustion of Ω and $H(K_n)$ denotes the (LB)-space of germs of holomorphic functions on K_n . By Martineau [15, Th. 1.9] this topology coincides with the one given by

$$\mathscr{A}(\Omega) = \liminf H(\omega).$$

Here ω runs through all complex neighborhoods of Ω , and $H(\omega)$ denotes the Fréchet space of holomorphic functions on ω with the compact-open topology.

A Fréchet space with a fundamental system of seminorms $\| \|_1 \leq \| \|_2 \leq \dots$ is said to have *property* $(\overline{\overline{\Omega}})$ if

$$\forall k \exists l \ \forall n, 0 < \vartheta < 1 \ \exists C : \quad \parallel \parallel_l^* \le C \parallel \parallel_k^{*\vartheta} \parallel \parallel_n^{*1-\vartheta}$$

or equivalently

$$\forall k \exists l \ \forall n, \varepsilon > 0 \ \exists C \ \forall r > 0 : \quad U_l \subset C(r^{\varepsilon}U_n + r^{-1}U_k).$$

Here we set $||y||_k^* = \sup\{|y(x)| \mid x \in U_k\}$ and $U_k = \{x \mid ||x||_k \leq 1\}$. For the role of property $(\overline{\overline{\Omega}})$ see [1], [2], [7], [22], [24]; for the equivalence of both conditions see [18, Lemma 29.13]. For examples of spaces with $(\overline{\overline{\Omega}})$ see [16, Ex. 4.12(5)].

Clearly $(\overline{\Omega})$ is a topological linear invariant which is inherited by quotient spaces. We will need another topological invariant.

Let X be a linear space and $V \subset U$ absolutely convex subsets. We define the nth Kolmogorov diameter of V with respect to U to be

$$\delta_n(V, U) = \inf\{\delta > 0 \mid V \subset \delta U + F, \dim F \le n\}.$$

Here F denotes a linear subspace of E.

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Let $\alpha = (\alpha_0, \alpha_1, \ldots)$ be a nonnegative increasing sequence so that $\lim_n (\log n)/\alpha_n = 0$ and $\sup_n \alpha_{2n}/\alpha_n < \infty$. We call this a *stable exponent sequence*. Using V and U for absolutely convex neighborhoods of zero, we define a locally convex space X to be:

- (1) weakly α -nuclear if $\forall U \exists V, t > 0$: $\lim_{n \to \infty} e^{t\alpha_n} \delta_n(V, U) = 0$,
- (2) α -nuclear if $\forall U, t > 0 \; \exists V : \lim_{n \to \infty} e^{t\alpha_n} \delta_n(V, U) = 0$,
- (3) strongly α -nuclear if $\forall U \exists V \forall t > 0$: $\lim_{n \to \infty} e^{t\alpha_n} \delta_n(V, U) = 0$.

The assumptions on (α_n) imply that every weakly α -nuclear space is nuclear (see [20, p. 296]). These are topological linear invariants, inherited by subspaces, quotients, countable products and direct sums, hence by countable projective and inductive limits (see [25, Lemma 1.3, 1.4]).

It is well known that for any open $U \subset \mathbb{C}^d$ the space H(U) of holomorphic functions on U with the compact-open topology is weakly $n^{1/d}$ -nuclear. Since for open $\Omega \subset \mathbb{R}^d$ we can write

$$\mathscr{A}(\Omega) = \limsup_{n \to \infty} \inf_{k} H(K_n + k^{-1} \mathbb{D}^d)$$

we see that $\mathscr{A}(\Omega)$ is weakly $n^{1/d}$ -nuclear. Here $\mathbb{D}^d = \{z \in \mathbb{C}^d \mid \sup_{\nu} |z_{\nu}| < 1\}$.

A locally convex space is called a (PLB)-space ((PLS)-space, resp.) if it is a countable projective limit of (LB)-spaces ((LS)-spaces, resp.). Main examples of (PLB)-spaces are $\mathscr{A}(\Omega)$, the space $\mathscr{D}'(\Omega)$ of distributions, and all Fréchet and (LB)-spaces; the first two examples are also (PLS)-spaces.

In this paper Ext^1 will always be taken in the category of locally convex spaces, i.e. $\text{Ext}^1(E, F) = 0$ will mean that every topologically exact sequence

$$0 \to F \xrightarrow{\jmath} X \xrightarrow{q} E \to 0$$

of locally convex spaces splits, i.e., q has a continuous linear right inverse. Recall that the sequence above is *topologically exact* whenever j is a topological isomorphism onto the kernel of q and q is surjective, continuous and open onto its image.

We denote by L(X, Y) the space of all continuous linear maps from a locally convex space X into another such space Y.

Consider an arbitrary projective spectrum of linear spaces:

$$\dots \to X_{n+1} \xrightarrow{i_n^{n+1}} X_n \to \dots \to X_1 \xrightarrow{i_0^1} X_0.$$

Then $\operatorname{Proj}_{n\in\mathbb{N}}^1 X_n := \prod_{n\in\mathbb{N}} X_n / \operatorname{im} \sigma$, where

$$\sigma: \prod_{n \in \mathbb{N}} X_n \to \prod_{n \in \mathbb{N}} X_n, \quad \sigma((x_n)_{n \in \mathbb{N}}) := (i_n^{n+1} x_{n+1} - x_n)_{n \in \mathbb{N}}.$$

Clearly, ker $\sigma = \lim \operatorname{proj}_{n \in \mathbb{N}} X_n$.

Let E be a Fréchet space, $(E_n)_{n \in \mathbb{N}}$ its sequence of local Banach spaces, and $i_n^m : E_m \to E_n$ the linking maps. There exists the following short (topologically) exact sequence:

$$0 \to E \to \prod_{n \in \mathbb{N}} E_n \xrightarrow{\sigma} \prod_{n \in \mathbb{N}} E_n \to 0,$$

where $\sigma((x_n)_{n\in\mathbb{N}}) := (i_n^{n+1}x_{n+1} - x_n)_{n\in\mathbb{N}}$, which we call the *canonical resolution* ([18, definition after 26.14]). Consider the spectrum $(L(X, E_n))_{n\in\mathbb{N}}$, where the linking maps are defined as follows:

$$I_n^{n+1}: L(X, E_{n+1}) \to L(X, E_n), \quad I_n^{n+1}(T) = i_n^{n+1} \circ T.$$

Then $\operatorname{Proj}_{n\in\mathbb{N}}^{1}L(X, E_{n}) = 0$ means exactly that every $T \in L(X, \prod_{n\in\mathbb{N}} E_{n})$ lifts with respect to σ , i.e., there is a map $S \in L(X, \prod_{n\in\mathbb{N}} E_{n})$ such that $\sigma \circ S = T$.

We will need some general homological facts.

LEMMA 1.1. Let E be a Fréchet space with local Banach spaces $(E_n)_{n \in \mathbb{N}}$. Let F be a locally convex space. If either E or F is nuclear then $\text{Ext}^1(F, E) \cong \text{Proj}_{n \in \mathbb{N}}^1 L(F, E_n)$.

Proof. Observe that L(E, F) can be identified with $\limsup_{n \in \mathbb{N}} L(F, E_n)$. We apply the functor $L(F, \cdot)$ to the canonical resolution of E. By the standard homological argument we obtain the following long exact sequence (see, for instance, [19, Th. 3.4(b)]):

$$0 \to L(F, E) \to L\left(F, \prod_{n \in \mathbb{N}} E_n\right) \to L\left(F, \prod_{n \in \mathbb{N}} E_n\right)$$
$$\to \operatorname{Ext}^1(F, E) \to \operatorname{Ext}^1\left(F, \prod_{n \in \mathbb{N}} E_n\right) \to \dots$$

For nuclear F and any Banach space X we have $\text{Ext}^1(F, X) = 0$ ([23]) and for nuclear E we may assume E_n to be injective for every n. In both cases we get $\text{Ext}^1(F, \prod_{n \in \mathbb{N}} E_n) = 0$. We obtain the following commutative diagram with exact rows:

$$\begin{array}{c|c} \prod_{n \in \mathbb{N}} L(F, E_n) \xrightarrow{\sigma} \prod_{n \in \mathbb{N}} L(F, E_n) \longrightarrow \operatorname{Proj}_{n \in \mathbb{N}}^1 L(F, E_n) \longrightarrow 0 \\ T & \uparrow & \uparrow & \uparrow \\ L(F, \prod_{n \in \mathbb{N}} E_n) \longrightarrow L(F, \prod_{n \in \mathbb{N}} E_n) \longrightarrow \operatorname{Ext}^1(F, E) \longrightarrow 0 \end{array}$$

where the upper row is obtained from the sequence defining Proj^1 for the spectrum $(L(F, E_n))_{n \in \mathbb{N}}$ and we have omitted the beginning of both rows. Here T denotes the canonical identification. It is clear that the last vertical arrow is an isomorphism we are looking for.

COROLLARY 1.2. Let E be a Fréchet space, F a locally convex space and $F_0 \subset F$ a subspace. If either E or F is nuclear and $\text{Ext}^1(F, E) = 0$ then $\text{Ext}^1(F_0, E) = 0$.

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Proof. Let $(E_n)_{n\in\mathbb{N}}$ be local Banach spaces for E. By our previous comments, we only have to show that $\operatorname{Proj}_{n\in\mathbb{N}}^1 L(F_0, E_n) = 0$. But this follows easily. Indeed, arguing as in the proof of Lemma 1.1, we show that every $t_n \in L(F_0, E_n)$ can be extended to $T_n \in L(F, E_n)$. We construct the following commutative diagram with exact rows:

where S_1, S_2 are surjective restriction maps. Thus also the last vertical arrow is surjective and this completes the proof.

We are now in a position to formulate our main theorems.

2. Main results

THEOREM 2.1. A Fréchet space E is isomorphic to a quotient of $\mathscr{A}(\Omega)$ if and only if it is $n^{1/d}$ -nuclear and has property $(\overline{\overline{\Omega}})$.

By [25, Th. 4.1], this has an immediate consequence:

COROLLARY 2.2. A Fréchet space E is isomorphic to a quotient of $\mathscr{A}(\Omega)$ if and only if it has property $(\overline{\overline{\Omega}})$ and it is isomorphic to a quotient of $H(\mathbb{D}^d)$.

THEOREM 2.3. If E is a Fréchet space then the following assertions are equivalent:

(a)
$$\operatorname{Ext}^1(\mathscr{A}(\Omega), E) = 0;$$

(b)
$$\operatorname{Proj}_{n \in \mathbb{N}}^{1} L(\mathscr{A}(\Omega), E_n) = 0;$$

(c) E has property $(\overline{\overline{\Omega}})$.

REMARK. In fact, analyzing the proof of the above result, it follows that if (c) is satisfied then (a) and (b) also hold for any closed subspace Yof $\mathscr{A}(\Omega)$ in place of $\mathscr{A}(\Omega)$ or, more generally, for every complete nuclear space Y which has (DN_{φ}) for any φ with $\lim_{r\to\infty} r^{-\varepsilon}\varphi(r) = 0$ for all $\varepsilon > 0$ (see Definition 4.3 below).

The proof will consist of a series of lemmas and will take the rest of this paper.

3. Necessity of the conditions. We first quote the following result (Theorem 3.4 of [7]):

LEMMA 3.1. If E is a Fréchet space isomorphic to a quotient of $\mathscr{A}(\Omega)$ then it has property $(\overline{\overline{\Omega}})$. P. Domański et al.

Due to the preliminary remarks we know that every quotient of $\mathscr{A}(\Omega)$ is weakly $n^{1/d}$ -nuclear. This condition is self-improving by the following.

LEMMA 3.2. If $W \subset V \subset U$ are absolutely convex sets, $\varepsilon > 0$ and $V \subset C(r^{-1}U + r^{\varepsilon}W)$ for all r > 0.

then there is D so that

 $\delta_n(V,U)^{\varepsilon} \le D\delta_n(W,V) \quad for \ all \ n.$

Proof. Let $F \subset E$ be a subspace. We set for the moment $\delta(V, U; F) = \inf\{\delta > 0 \mid V \subset \delta U + F\}$ and assume $\delta > \delta(W, U; F)$. Then, by assumption,

$$V \subset C(r^{-1} + r^{\varepsilon}\delta)U + F \quad \text{for all } r > 0,$$

hence

$$\delta(V, U; F) \le C \inf_{r \ge 0} (r^{-1} + r^{\varepsilon} \delta) = C(1 + \varepsilon) \varepsilon^{-\varepsilon/(1 + \varepsilon)} \delta^{1/(1 + \varepsilon)}$$

and therefore $\delta(V, U; F)^{1+\varepsilon} \leq D\delta(W, U; F)$. Since $\delta(W, U; F) \leq \delta(W, V; F) \times \delta(V, U; F)$ we obtain $\delta(V, U; F)^{\varepsilon} \leq D\delta(W, V; F)$. Taking the infimum over all F with dim $F \leq n$ we obtain $\delta_n(V, U)^{\varepsilon} \leq D\delta_n(W, V)$.

LEMMA 3.3. If the Fréchet space E has property $(\overline{\overline{\Omega}})$ and is weakly α -nuclear then it is strongly α -nuclear. In particular it is α -nuclear.

Proof. For k we choose l > k according to $(\overline{\overline{\Omega}})$, i.e. for every n and $\varepsilon > 0$ there is C > 0 so that

$$U_l \subset C(r^{-1}U_k + r^{\varepsilon}U_n)$$
 for all $r > 0$.

Since E is weakly α -nuclear we can find t > 0 so that

 $e^{t\alpha_n}\delta_n(U_n, U_l) \to 0$ as $n \to \infty$.

Using Lemma 3.2 we obtain

$$e^{t\alpha_n}\delta_n(U_l, U_k) \to 0 \quad \text{as } n \to \infty$$

for every t > 0.

This completes the proof of the necessity of the conditions in Theorem 2.1. We state it as a separate lemma.

LEMMA 3.4. If E is a Fréchet space isomorphic to a quotient of $\mathscr{A}(\Omega)$ then E is $n^{1/d}$ -nuclear.

For the proof of the sufficiency we need a further improvement.

LEMMA 3.5. If the Fréchet space E is strongly α -nuclear then there is a stable exponent sequence β with $\lim_n \alpha_n / \beta_n = 0$ so that E is (strongly) β -nuclear. *Proof.* By a recursive choice of the fundamental system $(U_k)_k$ of neighborhoods of zero we may assume that

$$e^{m\alpha_n}\delta_n(U_{k+1}, U_k) \to 0 \quad \text{as } n \to \infty$$

for all m and k.

We set $n_0 = 1$ and determine inductively $n_{m+1} > n_m$ so that

$$e^{(m+1)^2 \alpha_n} \delta_n(U_{k+1}, U_k) < \frac{1}{m+1}$$

for $n \ge n_{m+1}$ and k = 1, ..., m. Setting $\beta_n = m\alpha_n$ for $n_m \le n < n_{m+1}$ we obtain the result.

To prove the necessity of the conditions in Theorem 2.3 we recall that in Domański–Langenbruch [5] it is shown that the space $\Lambda_0(n^{1/d}) \simeq H(\mathbb{D}^d)$ can be imbedded into $\mathscr{A}(\Omega)$.

LEMMA 3.6. If E is a Fréchet space and $\operatorname{Ext}^{1}(\mathscr{A}(\Omega), E) = 0$ then E has property $(\overline{\Omega})$.

Proof. We choose $\beta_n = n^{1/d}$ and imbed $\Lambda_0(\beta)$ into $\mathscr{A}(\Omega)$. Then by Corollary 1.2, $\operatorname{Ext}^1(\Lambda_0(\beta), E) = 0$ and the result follows from [24, Theorem 4.2].

4. Sufficiency of conditions in Theorem 2.3. First notice that, by Lemma 1.1, conditions (a) and (b) in Theorem 2.3 are equivalent. We write property $(\overline{\Omega})$ in a different form. To do this, throughout this section we let φ and ψ denote increasing unbounded functions $(0, \infty) \to (0, \infty)$.

DEFINITION 4.1. E has property (Ω_{ψ}) if

$$\forall k \exists l \forall n \exists C \forall r > 0: \quad U_l \subset C\psi(r)U_n + r^{-1}U_k.$$

REMARK. Equivalently we may write (cf. [18, Lemma 29.13])

(1) $\forall k \exists l \ \forall n \ \exists C \ \forall r > 0 \ \forall y \in E': \|y\|_l^* \le C\psi(r)\|y\|_n^* + r^{-1}\|y\|_k^*.$

We obtain:

LEMMA 4.2. *E* has property $(\overline{\overline{\Omega}})$ if and only if there is a function ψ with $\lim_{r\to\infty} r^{-\varepsilon}\psi(r) = 0$ for all $\varepsilon > 0$ so that *E* has property (Ω_{ψ}) .

Proof. If E has property (Ω_{ψ}) with ψ as described, then clearly E has property $(\overline{\overline{\Omega}})$.

To prove the converse we find for given k an l = l(k) according to property $(\overline{\Omega})$. For r > 0 and $n \in \mathbb{N}$ we set

$$\psi_{k,n}(r) = \sup_{x \in U_l} \inf_{y \in r^{-1}U_k} \|x - y\|_n + 1.$$

Then, clearly,

$$U_l \subset \psi_{k,n}(r)U_n + r^{-1}U_k$$

for every r > 0 and, due to property $(\overline{\Omega})$, for every $\varepsilon > 0$ we obtain a constant C > 0 so that $\psi_{k,n}(r) \leq Cr^{\varepsilon} + 1$. This implies that $\lim_{r\to\infty} r^{-\varepsilon}\psi_{k,n}(r) = 0$ for all k, n and $\varepsilon > 0$. It is easily seen that we can find ψ so that $\lim_{r\to\infty} r^{-\varepsilon}\psi(r) = 0$ for all $\varepsilon > 0$ and that for all k, n there are C > 0 and r_0 with $\psi_{k,n}(r) \leq C\psi(r)$ for all $r > r_0$.

Let now X be a locally convex space, let p_0 , p, q denote continuous seminorms on X, and let φ be a nondecreasing positive unbounded function.

DEFINITION 4.3. X has property (DN_{φ}) if

$$\exists p_0 \ \forall p \ \exists q, C > 0 \ \forall r > 0 : \quad p \le C \left(rp_0 + \frac{1}{\varphi(r)} q \right).$$

In this case, p_0 is a norm and it is called a φ -dominating norm.

In the next lemma we assume that X is an (LB)-space, i.e. there is a sequence $X_1 \subset X_2 \subset \ldots$ of Banach spaces so that $X = \bigcup_{n=1}^{\infty} X_n$ and X carries the strongest topology so that all the imbeddings are continuous. We denote the norm in X_n by $\| \|_n$; we may assume that $\| \|_n \geq \| \|_{n+1}$ for all n.

LEMMA 4.4. If there exists a continuous norm $\|\cdot\|$ on X so that for every n there is $0 < \tau_n < 1$ with

$$||x||_{n+1} \le ||x||_n^{\tau_n} ||x||^{1-\tau_n}$$

for all $x \in X_n$, then X has property (DN_{φ}) for every φ with $\lim_{r\to\infty} r^{-\varepsilon}\varphi(r) = 0$ for all $\varepsilon > 0$. Moreover || || is a φ -dominating norm.

Proof. We set $A = \{x \in X \mid ||x|| \le 1\}$. We choose a neighborhood B of zero in X, which may be assumed to be of the form

$$B := \sum_{\nu=1}^{\infty} \beta_{\nu} B_{\nu} := \bigcup_{n \in \mathbb{N}} \sum_{\nu=1}^{n} \beta_{\nu} B_{\nu},$$

where $(\beta_{\nu})_{\nu \in \mathbb{N}} \in (0,1)^{\mathbb{N}}$ is a decreasing null sequence, and B_{ν} is the closed unit ball of X_{ν} . It is enough to show the existence of $C, r_0 \geq 1$, $(\gamma_{\nu})_{\nu \in \mathbb{N}} \in (0,1)^{\mathbb{N}}$ and $l : \mathbb{N} \to \mathbb{N}$ with $\lim_{n \to \infty} l(n) = \infty$ such that

$$\varphi(r)D \cap \frac{1}{2r}A \subset 2CB \quad \text{ for all } r \ge r_0,$$

where

$$D := \sum_{\nu=1}^{\infty} \gamma_{\nu} B_{l(\nu)}.$$

To start we choose an increasing function θ : $[1,\infty) \to (0,1)$ with $\lim_{r\to\infty} \theta(r) = 1$ and

$$\lim_{r \to \infty} \varphi(r) (1/r)^{1-\theta(r)} = 0.$$

Then we define an increasing function $n : [1, \infty) \to \mathbb{N}$ with $n(r) \leq r$, $\lim_{r\to\infty} n(r) = \infty$, and $C \geq 1$ such that

$$\varphi(r)(1/r)^{1-\theta(r)} \le C\beta_{n(r)+1}$$
 for all $r \ge 1$.

We construct an increasing function $l : \mathbb{N} \to \mathbb{N}$ with $l(n) \leq n$ and $\lim_{n\to\infty} l(n) = \infty$ such that

$$d(n) := \tau_{l(n)} \le \theta(n)$$
 for all n .

This implies that there is $r_0 \ge 1$ such that $\varphi(r_0) \ge 1$ and

(2)
$$\varphi(r)(1/r)^{1-d(n(r))} \le C\beta_{n(r)+1} \quad \text{for all } r \ge r_0$$

and, by assumption, we have

(3)
$$||x||_{l(n)+1} \le ||x||_{l(n)}^{d(n)} ||x||^{1-d(n)}$$
 for all n .

For every n we have $c_n \ge 1$ so that $\| \| \le c_n \| \|_n$. We set

$$\gamma_n := \frac{\beta_n}{c_{l(n)}2^{n+1}} \inf\left\{\frac{1}{r\varphi(r)} : n(r) \le n\right\}, \quad n \in \mathbb{N}.$$

Then

$$\varphi(r) \sum_{\nu=n(r)+1}^{\infty} \gamma_{\nu} B_{l(\nu)} \subset \left(\sum_{\nu=n(r)+1}^{\infty} \beta_{\nu} B_{\nu}\right) \cap \frac{1}{2r} A \quad \text{for every } r \ge 1.$$

Let now

$$D := \sum_{\nu=1}^{\infty} \gamma_{\nu} B_{l(\nu)}.$$

If $r \geq r_0$ and $f \in \varphi(r)D \cap \frac{1}{2r}A$ we may write $f = f_1 + f_2$, where $f_1 \in \varphi(r) \sum_{\nu=1}^{n(r)} \gamma_{\nu} B_{l(\nu)}$ and $f_2 \in \varphi(r) \sum_{\nu=n(r)+1}^{\infty} \gamma_{\nu} B_{l(\nu)}$. We obtain

$$f_2 \in \sum_{\nu=n(r)+1}^{\infty} \beta_{\nu} B_{\nu}, \quad f_1 \in \varphi(r) B_{l(n(r))} \cap r^{-1} A.$$

To prove that $f \in 2CB$ it is enough to show that $f_1 \in C\beta_{n(r)+1}B_{n(r)+1}$. We apply (2) and (3) to obtain, for $r \geq r_0$,

$$||f_1||_{n(r)+1} \le ||f_1||_{l(n(r))+1} \le ||f_1||_{l(n(r))}^{d(n(r))} ||f_1||^{1-d(n(r))} \le \varphi(r)^{d(n(r))} (1/r)^{1-d(n(r))} \le C\beta_{n(r)+1}.$$

This completes the proof of the lemma. \blacksquare

The following lemma is probably well known. We give a proof for the sake of completeness. We set $||f||_M = \sup_{x \in M} |f(x)|$ for any function f on the set M. An open bounded subset $\Omega \subseteq \mathbb{C}^d$ is called *hyperconvex* whenever it is connected and there is a continuous plurisubharmonic negative function

 ρ on Ω such that the sets $\{z \in \Omega \mid \rho(z) < c\}$ are relatively compact in Ω for every negative c (see [14, p. 80]).

LEMMA 4.5. Let $U \subset \mathbb{C}^d$ be open, hyperconvex and connected, and $\omega \subset \subset U \cap \mathbb{R}^d$ open in \mathbb{R}^d and nonempty. Then for every open connected set V with $\omega \subset \subset V \subset \subset U$ there is $0 < \tau < 1$ so that

$$||f||_V \le ||f||_U^\tau ||f||_\omega^{1-\tau}$$

for all bounded holomorphic functions f on U.

Proof. We choose a small ball $E \subset \omega \subset \mathbb{R}^d$. We denote by $v_{E,U}$ the relative extremal function, i.e.

 $v_{E,U}(z) = \sup\{v(z) \mid v \text{ plurisubharmonic on } U, v \mid_E \le -1, v \le 0\}.$

As U is hyperconvex we have $\lim_{z\to w} v_{E,U}(z) = 0$ for all $w \in \partial U$ (see [14, Proposition 4.5.2]). We wish to show that $v_{E,U}$ is continuous.

Let V_E be the pluricomplex Green function of E (see [14, pp. 184 ff.]), which is continuous on \mathbb{C}^d ([14, Theorem 5.4.6]). Therefore $2\varepsilon := \inf\{V_E(z) \mid z \in \partial U\} > 0$. This implies that $u = \max(\varepsilon(v_{E,U}+1), V_E) \in \mathcal{L}(\mathbb{C}^d)$ (the class of plurisubharmonic functions of minimal growth, see [14, p. 184]) and $u \leq 0$ on E. Therefore $u \leq V_E$, which implies $v_{E,U} \leq \varepsilon^{-1}V_E - 1$ on U. Because of the continuity of V_E , the upper semicontinuous regularization satisfies $v_{E,U}^* = -1$ on E. Therefore $v_{E,U}$ is continuous (see [14, Proposition 4.5.3]).

We set $\tau = \sup \{ v_{E,U}(z) + 1 \mid z \in V \}$. Then $0 < \tau < 1$.

Let f be holomorphic, bounded and nonconstant on U. We put

$$v(z) = \frac{\log |f(z)| - \log ||f||_U}{\log ||f||_U - \log ||f||_E}.$$

Then $v \leq v_{E,U}$ on U, hence $v(z) \leq \tau - 1$ for $z \in V$, which means

$$\frac{\log \|f\|_V - \log \|f\|_U}{\log \|f\|_U - \log \|f\|_E} \le \tau - 1$$

and therefore

$$||f||_V \le ||f||_U^\tau ||f||_E^{1-\tau} \le ||f||_U^\tau ||f||_\omega^{1-\tau}.$$

PROPOSITION 4.6. If $\Omega \subset \mathbb{R}^d$ is open and connected and $\lim_{r\to\infty} r^{-\varepsilon}\varphi(r) = 0$, then $\mathscr{A}(\Omega)$ has property (DN_{φ}) .

Proof. We choose $\omega \subset \subset \Omega$ open. If p is a continuous seminorm on $\mathscr{A}(\Omega)$, then there is a compact $K \subset \Omega$ so that p extends to a continuous seminorm on H(K). We may assume that $\omega \subset K$. We choose a basis $U_1 \supset \supset U_2 \supset \supset \ldots$ of open connected neighborhoods of K. Then Lemma 4.5 and the fact that every open connected subset in \mathbb{R}^d has a basis of hyperconvex neighborhoods in \mathbb{C}^d (this is definitely well known, see [4, proof of Prop. 1] or [12, proof of Props. 6 and 7]; explicitly it follows from [10, Lemma 1.1]) provide the assumption of Lemma 4.4. So H(K) has property (DN_{φ}) and $\| \|_{\omega}$ is a φ -dominating norm. But then we find a continuous seminorm q on H(K) according to (DN_{φ}) . The restriction of q to $\mathscr{A}(\Omega)$ gives the result.

PROPOSITION 4.7. If E is a Fréchet space with property $(\overline{\Omega})$ then there is a nondecreasing positive function φ such that $\lim_{r\to\infty} r^{-\varepsilon}\varphi(r) = 0$ for all $\varepsilon > 0$ and every nuclear Fréchet space F having (DN_{φ}) satisfies $Ext^1(F, E) = 0$.

Proof. We proceed in a similar way to [17, Lemma 3]. First we choose, by use of Lemma 4.2, a function ψ so that E has property (Ω_{ψ}) and $\lim_{r\to\infty} r^{-\varepsilon}\psi(r) = 0$ for all $\varepsilon > 0$. We set $\varphi(r) = \psi(r^2)$. Then φ dominates ψ , i.e. for every R there is D_R with $\psi(Rr) \leq D_R \varphi(r)$, and $\lim_{r\to\infty} r^{-\varepsilon}\varphi(r) = 0$ for all $\varepsilon > 0$.

Let p_0 be a φ -dominating norm in F. If a seminorm $p \ge p_0$ is given, then we choose $q \ge p$ according to (DN_{φ}) , i.e. we have

(4)
$$p \le D\left(rp_0 + \frac{1}{\varphi(r)}q\right).$$

For $x \neq 0$ and R > 0 we put $r = R \frac{p(x)}{p_0(x)}$ in (1) to obtain, for any $y \in E'$,

$$\|y\|_{l}^{*} \leq C\psi\left(R\frac{p(x)}{p_{0}(x)}\right)\|y\|_{n}^{*} + \frac{1}{R}\frac{p_{0}(x)}{p(x)}\|y\|_{k}^{*},$$

hence

$$\|y\|_{l}^{*}p(x) \leq C\psi\left(R\frac{p(x)}{p_{0}(x)}\right)p(x)\|y\|_{n}^{*} + \frac{1}{R}\|y\|_{k}^{*}p_{0}(x).$$

Now we put $r = \frac{1}{2D} \frac{p(x)}{p_0(x)}$ in (4) to obtain

$$\varphi\left(\frac{1}{2D}\,\frac{p(x)}{p_0(x)}\right) \le 2D\,\frac{q(x)}{p(x)}$$

and therefore

$$\psi\left(R\frac{p(x)}{p_0(x)}\right) \le D_{2DR}\varphi\left(\frac{1}{2D}\frac{p(x)}{p_0(x)}\right) \le 2DD_{2DR}\frac{q(x)}{p(x)}$$

We have shown that

 $\exists p_0 \ \forall k \ \exists l \ \forall n, p, R \ \exists q, S \ \forall x, y: \quad \|y\|_l^* p(x) \le S \|y\|_n^* q(x) + \frac{1}{R} \|y\|_k^* p_0(x).$

This is condition $(S_1^*)_0$ in [24]. As F is nuclear, [24, Theorem 3.8] yields the result (cf. also [26, Th. 5.2.6], [11, Th. 3.1]).

The proof of Theorem 2.3 is now completed by:

PROPOSITION 4.8. If E is a Fréchet space with property $(\overline{\Omega})$ and F is a nuclear locally convex space which has (DN_{φ}) for every φ satisfying $\lim_{r\to\infty} r^{-\varepsilon}\varphi(r) = 0$ for all $\varepsilon > 0$, then $Ext^1(F, E) = 0$. In particular, this holds for any closed subspace F of $\mathscr{A}(\Omega)^d$.

Proof. Consider an arbitrary short topologically exact sequence

$$0 \to E \to X \to F \to 0.$$

Weakening the topology of X and F in a suitable way, we easily obtain the commutative diagram

$$0 \longrightarrow E \longrightarrow X_1 \xrightarrow{q_1} F_1 \longrightarrow 0$$

$$\downarrow id \qquad \uparrow \qquad J \qquad J \qquad 0 \longrightarrow E \longrightarrow X \xrightarrow{q} F \longrightarrow 0$$

where, by assumption, one can assume that F_1 has (DN_{φ}) for φ chosen according to Proposition 4.7. Thus J lifts with respect to q_1 and the lower row splits [6, Prop. 1.7(c)].

5. Sufficiency of conditions in Theorem 2.1. We will use a result from [9]. For this we need some notation. Let $\omega : [0, \infty] \to [0, \infty]$ be a continuous increasing function. We call ω a quasi-analytic weight function if it has the following properties:

- (α) $\omega(2t) = O(\omega(t))$ as $t \to \infty$.
- $\begin{array}{l} (\beta) \ \int_0^\infty \frac{\omega(t)}{1+t^2} \, dt = \infty. \\ (\gamma) \ \log t = o(\omega(t)) \ \text{as } t \to \infty. \end{array}$
- (δ) $\varphi_{\omega}: t \mapsto \omega(e^t)$ is convex.

(
$$\varepsilon$$
) $\omega(t) = o(t)$ as $t \to \infty$.

Let ω be a weight function and $\Omega \subset \mathbb{R}^d$ an open set. We define (cf. [3])

$$\mathscr{E}_{(\omega)}(\Omega) = \left\{ f \in C^{\infty}(\Omega) \middle| \text{ for every } K \subset \Omega \text{ and every } m \in \mathbb{N} \text{ we have} \right.$$
$$q_{K,m}(f) = \sup_{j \in \mathbb{N}^d} \sup_{x \in K} |f^{(j)}(x)| \exp\left(-m \sum_{\nu=1}^d \varphi_{\omega}^*\left(\frac{j_{\nu}}{m}\right)\right) < \infty \right\},$$

where $\varphi_{\omega}^*: [0, \infty[\to [0, \infty[$ is the Young conjugate of φ_{ω} , i.e.,

$$\varphi_{\omega}^{*}(y) := \sup\{xy - \varphi_{\omega}(x) : x \ge 0\}.$$

It is easily seen that φ_{ω}^* is a convex increasing function. Then $\mathscr{E}_{(\omega)}(\Omega)$ is a nuclear Fréchet space which contains $\mathscr{A}(\Omega)$ continuously.

We denote by $\Lambda_s(\alpha)$ the finite type (for $s < \infty$) and infinite type (for $s = \infty$) power series space generated by the exponent sequence $\alpha = (\alpha_n)$ (cf. [18, §29]). If α is a stable exponent sequence $(\sup_n \alpha_{2n}/\alpha_n < \infty)$ then $\Lambda_s(\alpha) \simeq \Lambda_s(\alpha)^2$, in particular, we then have $\Lambda_s(\alpha, \mathbb{Z}) \cong \Lambda_s(\alpha)$, where

$$\Lambda_s(\alpha, \mathbb{Z}) = \Big\{ \xi \in \mathbb{C}^{\mathbb{Z}} \ \Big| \ |x|_t := \sum_{k \in \mathbb{Z}} |\xi_k| e^{t\alpha_{|k|}} < \infty \text{ for all } t < s \Big\}.$$

The following result is Lemma 3 of [9].

LEMMA 5.1. If $\alpha = (\alpha_n)_n$ is a stable exponent sequence such that

$$\lim_{n \to \infty} n/\alpha_n = 0$$

then there is a quasi-analytic weight function ω and an exact sequence

$$0 \to \Lambda_s(\alpha, \mathbb{Z}) \xrightarrow{J} \mathscr{E}_{(\omega)}(I) \xrightarrow{L} \mathscr{E}_{(\omega)}(I) \to 0,$$

where s = 1 for I = (-1, 1), $s = \infty$ for $I = \mathbb{R}$ and $\operatorname{im} J \subset \mathscr{A}(I)$. The operator J is given by

$$J(\xi) = \sum_{k \in \mathbb{Z}} \xi_k \, e^{\operatorname{sgn}(k)\alpha_{|k|}z},$$

and L is an infinite order differential operator which maps $\mathscr{A}(I)$ into $\mathscr{A}(I)$.

To extend this lemma to open cubes $Q = I_1 \times \ldots \times I_d \subset \mathbb{R}^d$, where the I_{ν} are open intervals, we need some preparation. Since the exponentials $e^{\xi z} = \prod_{\nu=1}^d e^{\xi_{\nu} z_{\nu}}$ are total in $\mathscr{E}_{(\omega)}(Q)$ it is easily seen that $\mathscr{E}_{(\omega)}(Q) \cong \mathscr{E}_{(\omega)}(I_1) \otimes \ldots \otimes \mathscr{E}_{(\omega)}(I_d)$. We will need to decompose a power series space into a tensor product of such spaces.

LEMMA 5.2. Let α be a stable exponent sequence with $\lim_{n\to\infty} n^{1/d}/\alpha_n = 0$ and $s \in \{0,\infty\}$. Then there exists a stable exponent sequence β with $\lim_{n\to\infty} n/\beta_n = 0$ so that $\Lambda_s(\alpha) \cong \Lambda_s(\beta)^{\hat{\otimes}d}$.

Proof. We set $\beta_n = \alpha_{n^d}$. This sequence satisfies the assertions on β . To establish the isomorphism we fix an enumeration $\mathbb{N}^d \ni j \mapsto n = n(j) \in \mathbb{N}$ of \mathbb{N}^d so that $m = m(j) := \max_{\nu} j_{\nu}$ is increasing and set $\gamma_n = \beta_{j_1} + \ldots + \beta_{j_d}$ for n = n(j). Then clearly $(m - 1)^d < n \leq m^d$ and $\beta_m \leq \gamma_n \leq d\beta_m$. So using the stability of β we obtain, with suitable D,

$$\frac{1}{D}\alpha_n \le \beta_{[n^{1/d}]} \le \beta_m \le \gamma_n \le d\beta_m \le d\beta_{[n^{1/d}]+1} \le dD\alpha_n.$$

Since

$$\Lambda_s(\beta)^{\hat{\otimes}d} \cong \Big\{ \xi = (\xi_j)_{j \in \mathbb{N}^d} \, \Big| \, |x|_t = \sum_j |\xi_j| e^{t(\beta_{j_1} + \ldots + \beta_{j_d})} < \infty \text{ for all } t < s \Big\},$$

the map $\xi = (\xi_j)_{j \in \mathbb{N}^d} \mapsto x = (\xi_{j(n)})_{n \in \mathbb{N}}$ establishes an isomorphism onto $\Lambda_s(\alpha)$.

We will use the following lemma (we omit the proof).

LEMMA 5.3. Let

$$0 \to X_k \xrightarrow{j_k} Y_k \xrightarrow{l_k} Z_k \to 0, \quad k = 1, 2,$$

be exact sequences of nuclear Fréchet spaces. Then

$$0 \to X_1 \,\hat{\otimes}\, X_2 \xrightarrow{J} Y_1 \,\hat{\otimes}\, Y_2 \xrightarrow{L} (Z_1 \,\hat{\otimes}\, Y_2) \oplus (Y_1 \,\hat{\otimes}\, Z_2) \xrightarrow{K} Z_1 \,\hat{\otimes}\, Z_2 \to 0$$

is an exact sequence, where $J = j_1 \otimes j_2$, $L = (l_1 \otimes id) \oplus (id \otimes l_2)$ and $K(u_1 \oplus u_2) = (\mathrm{id} \otimes l_2)u_1 - (l_1 \otimes \mathrm{id})u_2.$

Now we are in a position to give a d-dimensional analogue to Lemma 5.1.

LEMMA 5.4. If α is a stable exponent sequence with $\lim_{n\to\infty} n/\alpha_n = 0$, then there is a quasi-analytic weight function ω and an exact sequence

$$0 \to \Lambda_s(\alpha, \mathbb{Z})^{\hat{\otimes} d} \xrightarrow{J} \mathscr{E}_{(\omega)}(Q) \xrightarrow{L} X \to 0,$$

where s = 1 for $Q = (-1, 1)^d$, $s = \infty$ for $Q = \mathbb{R}^d$, im $J \subset \mathscr{A}(Q)$ and X is a closed topological subspace of $\mathscr{E}_{(\omega)}(Q)^d$. The operator J is given by

$$J(\xi) = \sum_{j \in \mathbb{Z}^d} \xi_j \exp\left(\sum_{\nu=1}^d \operatorname{sgn}(j_\nu) \alpha_{|j_\nu|} z_\nu\right)$$

and $Lf = (L_1f, \ldots, L_df), L_{\nu}$ being the operator from Lemma 5.1 acting on the ν th variable. Moreover

 $L(\mathscr{E}_{(\omega)}(Q)) = X := \{ (f_1, \dots, f_d) \in \mathscr{E}_{(\omega)}(Q)^d \mid L_\nu f_\mu = L_\mu f_\nu \text{ for all } \nu, \mu \},\$

and

$$L(\mathscr{A}(Q)) \subseteq Y := \{ (f_1, \dots, f_d) \in \mathscr{A}(Q)^d \mid L_\nu f_\mu = L_\mu f_\nu \text{ for all } \nu, \mu \},$$

where Y is a closed topological subspace of $\mathscr{A}(Q)^d$.

Proof. This follows by induction on dimension by applying Lemma 5.3 to the (d-1)-dimensional exact sequence

$$0 \to \Lambda_s(\alpha, \mathbb{Z})^{\hat{\otimes}(d-1)} \xrightarrow{J} \mathscr{E}_{(\omega)}(Q) \xrightarrow{L} \operatorname{im} L \to 0,$$

and the 1-dimensional exact sequence of Lemma 5.1.

We are now ready to prove Theorem 2.1 for cubes, hence for all Cartesian product sets in \mathbb{R}^d . To do it for all open sets we need some geometrical preparation.

LEMMA 5.5. Every open set $\Omega \subset \mathbb{R}^d$ is real-analytically diffeomorphic to an open set $\Omega' \subset \mathbb{R}^d$ so that $(-1,1)^d \subset \Omega' \subset (-\infty,1)^d$.

Proof. For $\Omega = \mathbb{R}^d$ this is clear, so assume $\Omega \neq \mathbb{R}^d$. We choose $y \in \Omega$ and then a point $w \in \partial B \cap \partial \Omega$ where B is the largest open ball with center y which is contained in Ω . By an affine transformation we may assume that w = 0 and $\{x \mid x_{\nu} > 0$ for all $\nu, |x| < \varepsilon\} \subset \Omega$ for some $\varepsilon > 0$.

The reflection $x \mapsto |x|^{-2}x$ maps Ω onto Ω_1 with $\{x \mid x_{\nu} > 0 \text{ for all } \nu,$ $|x| > r \} \subset \Omega_1$ for some r > 0.

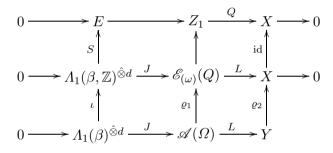
Finally $x \mapsto \left(\frac{2s}{\pi} \arctan x_1 + 1 - s, \dots, \frac{2s}{\pi} \arctan x_d + 1 - s\right)$ for s > 0 large enough maps Ω_1 onto a set as claimed.

The proof of 2.1 is now completed by:

PROPOSITION 5.6. If E is an $n^{1/d}$ -nuclear Fréchet space with property $(\overline{\overline{\Omega}})$ then E is isomorphic to a quotient space of $\mathscr{A}(\Omega)$.

Proof. We may assume that Ω is of the form described in Lemma 5.5. We set $Q = (-1,1)^d$. According to Lemmas 3.3 and 3.5 there is a stable exponent sequence α with $\lim_n n^{1/d} / \alpha_n = 0$ so that E is α -nuclear. By use of Lemma 5.2 we find a stable exponent sequence β with $\lim_n n/\beta_n = 0$ so that $\Lambda_0(\alpha) \cong \Lambda_0(\beta)^{\hat{\otimes}d}$. Since E has property $(\overline{\Omega})$, hence $(\overline{\Omega})$ (see [18]), it is isomorphic to a quotient space of $\Lambda_0(\alpha) \cong \Lambda_0(\beta)^{\hat{\otimes}d} \cong \Lambda_1(\beta)^{\hat{\otimes}d}$ by [21]. Let $q : \Lambda_1(\beta)^{\hat{\otimes}d} \to E$ be a quotient map.

For β we find ω according to Lemma 5.4 and obtain from that lemma the middle row of the following diagram:



Here ι is the natural imbedding, i.e. for $\xi = (\xi_j)_{j \in \mathbb{N}^d}$ we set $(\iota\xi)_j = \xi_j$ for $j \in \mathbb{N}^d$ and $(\iota\xi)_j = 0$ otherwise. This is an imbedding onto a complemented subspace so the quotient map q yields the surjective map S. Moreover, X (resp. Y) is the set of elements in $\mathscr{E}_{(\omega)}(Q)^d$ (resp. $\mathscr{A}(\Omega)^d$) satisfying the compatibility conditions. We denote by ϱ_1 the restriction map $\mathscr{A}(\Omega) \to \mathscr{A}(Q) \hookrightarrow \mathscr{E}_{(\omega)}(Q)$ and analogously ϱ_2 in the last column. The upper row is obtained via the standard procedure as in [6, Prop. 1.7(a)]. The diagram is commutative, the upper and middle rows are topologically exact.

We have to show that the map J in the lower row, which is the restriction to $\Lambda_0(\beta)^{\hat{\otimes}d}$ of the map J described in Lemma 5.4, has values in $\mathscr{A}(\Omega)$. The reason is that for $\xi \in \Lambda_1(\beta)^{\hat{\otimes}d}$, we have

$$J(\xi) = \sum_{j \in \mathbb{N}^d} \xi_j \exp\left(\sum_{\nu=1}^d j_\nu \beta_{j_\nu} z_\nu\right).$$

This series converges uniformly on compact subset of $\{z \in \mathbb{C}^d \mid \text{Re } z_{\nu} < 1 \text{ for all } \nu\}$, hence defines a holomorphic function on this set. Therefore it defines a real-analytic function on the set $\{x \in \mathbb{R}^d \mid x_{\nu} < 1 \text{ for all } \nu\}$ which contains Ω .

By Proposition 4.8 we have $\text{Ext}^1(Y, E) = 0$ and therefore ρ_2 lifts to Z_1 with respect to Q. A standard proof (cf. [6, Prop. 1.7(c)]) shows that this

implies existence of a map $B : \mathscr{A}(\Omega) \to E$ such that $B \circ J = S \circ \iota$. Since $B \circ J = S \circ \iota = q$ is surjective and open, so is B.

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