

## Differentiability of the $g$ -Drazin inverse

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**Abstract.** If  $A(z)$  is a function of a real or complex variable with values in the space  $B(X)$  of all bounded linear operators on a Banach space  $X$  with each  $A(z)$   $g$ -Drazin invertible, we study conditions under which the  $g$ -Drazin inverse  $A^D(z)$  is differentiable. From our results we recover a theorem due to Campbell on the differentiability of the Drazin inverse of a matrix-valued function and a result on differentiation of the Moore–Penrose inverse in Hilbert spaces.

**1. Introduction and preliminaries.** The Drazin inverse defined originally for semigroups in [4] in 1958 is an important theoretical and practical tool in algebra and analysis. When  $\mathcal{A}$  is an algebra and  $a \in \mathcal{A}$ , then  $b \in \mathcal{A}$  is the *Drazin inverse* of  $a$  if

$$(1.1) \quad ab = ba, \quad bab = b, \quad aba = a + u \quad \text{where } u \text{ is nilpotent.}$$

It was observed by Harte [7, 8] and by the first author in [11] that in Banach algebras it is more natural to replace the nilpotent element  $u$  in (1.1) by a quasinilpotent element. If  $u$  in (1.1) is allowed to be quasinilpotent, we call  $b$  the  *$g$ -Drazin inverse* of  $a$ .

The  $g$ -Drazin inverse introduced in [11] is a useful construct that finds its applications in a number of areas. In the present paper we concentrate on the  $g$ -Drazin inverse in the Banach algebra  $B(X)$  of bounded linear operators, and continue the investigation of the continuity of the  $g$ -Drazin inverse [14] by studying its differentiability. For matrices, this was studied by Campbell [1] and Hartwig and Shoaf [9]. Drazin [5] considered differentiation of the conventional Drazin inverse in associative rings, using a general derivation in the ring.

We can briefly describe the contents of this paper as follows: If  $A(z)$  is a function of a real or complex variable with values in the space of all bounded linear operators on a Banach space with each  $A(z)$   $g$ -Drazin invertible, we study the conditions under which the  $g$ -Drazin inverse  $A^D(z)$  is

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differentiable. From our results we recover a theorem due to Campbell on the differentiability of the Drazin inverse of a matrix-valued function and a result on differentiation of the Moore–Penrose inverse in Hilbert spaces.

By  $B(X)$  we denote the Banach algebra of all bounded linear operators acting on the complex Banach space  $X$  with the usual operator norm. By  $\varrho(T)$ ,  $\sigma(T)$  and  $r(T)$  we denote the resolvent set, the spectrum and the spectral radius of  $T \in B(X)$ , respectively. We also write  $\sigma_0(T)$  for  $\sigma(T) \setminus \{0\}$ . The sets of all isolated and accumulation spectral points of  $T$  are denoted by  $\text{iso } \sigma(T)$  and  $\text{acc } \sigma(T)$ . If  $\lambda \in \varrho(T)$ , then  $R(\lambda; T) = (\lambda I - T)^{-1}$  is the resolvent of  $T$ . We recall [12] that  $0 \in \text{iso } \sigma(T)$  if and only if there exists a nonzero projection  $P \in B(X)$  such that

$$AP = PA \text{ is quasinilpotent and } A + P \text{ is invertible;}$$

$P$  is the *spectral projection* of  $T$  at 0, and is denoted by  $A^\pi$  [12, Theorem 1.2].

DEFINITION 1.1 (Koliha [11, Definition 2.3]). An operator  $A \in B(X)$  is *g-Drazin invertible* if there exists  $B \in B(X)$  such that

$$(1.2) \quad AB = BA, \quad BAB = B, \quad ABA = A + U, \quad \text{where } r(U) = 0.$$

The operator  $B$  is called the *g-Drazin inverse* of  $A$ , denoted by  $A^D$ . The *Drazin index*  $i(A)$  of  $A$  is 0 if  $A$  is invertible,  $k$  if  $A$  is not invertible and  $U$  is nilpotent of index  $k$ , and  $\infty$  otherwise. Definition 1.1 with  $i(A)$  finite coincides with the definition of the conventional Drazin inverse (see [3, 4, 10]). An operator  $A$  has a conventional Drazin inverse if and only if 0 is at most a pole of the resolvent of  $A$ ;  $A$  has the *g-Drazin inverse* if and only if  $0 \notin \text{acc } \sigma(A)$  ([11, Theorem 4.2], [12, Theorem 1.2]).

We need a representation of  $A^D$  in terms of the holomorphic calculus for  $A$ . A *cycle* is a formal linear combination  $\Gamma$  of loops with integral coefficients;  $\Gamma$  is a *Cauchy cycle* relative to the pair  $(\Omega, K)$ , where  $K$  is a compact subset of a nonempty open set  $\Omega \subset \mathbb{C}$ , if  $\Gamma \subset \Omega \setminus K$ ,  $\text{ind}(\Gamma, \lambda) = 0$  for all  $\lambda \notin \Omega$  and  $\text{ind}(\Gamma, \mu) = 1$  for all  $\mu \in K$ . The existence of a Cauchy cycle relative to any such pair  $(\Omega, K)$  is proved in [16, Theorem 13.5]. By [11, Theorem 4.4],

$$(1.3) \quad A^D = \frac{1}{2\pi i} \int_{\Gamma} \lambda^{-1} R(\lambda; A) d\lambda,$$

where  $\Gamma$  is a Cauchy cycle relative to  $(\mathbb{C} \setminus \{0\}, \sigma_0(A))$ . (In the case that  $A$  is quasinilpotent, the formula is interpreted in the following way: As  $\sigma_0(A) = \emptyset$ ,  $\Gamma$  can be any cycle in  $\mathbb{C} \setminus \{0\}$  with  $\text{ind}(\Gamma, 0) = 0$ . The integral in (1.3) is zero, which agrees with  $A^D = 0$ .)

Below we will use the following perturbation result involving operator resolvents which follows from [6, Lemma VII.6.3].

LEMMA 1.2. *Let  $A, A(z) \in B(X)$  for all  $z$  in some neighborhood  $U$  of  $z_0$ , and let  $\|A(z) - A\| \rightarrow 0$  as  $z \rightarrow z_0$ . If  $K$  is a compact subset of the complex plane contained in the resolvent sets of  $A$  and  $A(z)$  for all  $z \in U$ , then*

$$(1.4) \quad \lim_{z \rightarrow z_0} R(\lambda; A(z)) = R(\lambda; A) \quad \text{uniformly for } \lambda \in K.$$

**2. Differentiability properties of the  $g$ -Drazin inverse.** In this section,  $U$  denotes an open interval in  $\mathbb{R}$  or an open subset of  $\mathbb{C}$ ,  $z_0$  a point in  $U$ , and  $A : U \rightarrow B(X)$  an operator-valued function. By  $A'(z)$  we denote the derivative of  $A(z)$  at  $z$ , and by  $A^D(z)$  the  $g$ -Drazin inverse  $A(z)^D$ . Our main result on the differentiability of the  $g$ -Drazin inverse is given in the following theorem:

THEOREM 2.1. *Let  $A$  be a  $B(X)$ -valued function defined on  $U$  such that  $A(z)$  is  $g$ -Drazin invertible for all  $z \in U$ , and differentiable at  $z_0 \in U$ . Then  $A^D(z)$  is differentiable at  $z_0$  if and only if  $A^D(z)$  is continuous at  $z_0$ . In this case the derivative  $(A^D)'(z_0)$  is given by*

$$(2.1) \quad (A^D)'(z_0) = \frac{1}{2\pi i} \int_{\Gamma} \lambda^{-1} R(\lambda; A(z_0)) A'(z_0) R(\lambda; A(z_0)) d\lambda,$$

where  $\Gamma$  is a Cauchy cycle relative to  $(\mathbb{C} \setminus \{0\}, \sigma_0(A(z_0)))$ .

*Proof.* Assume that  $A^D(z)$  is continuous at  $z_0$ . From [14, Theorem 4.1] (see equation (2.5) below) it follows that there exist  $r > 0$  and  $\delta_1 > 0$  such that

$$(2.2) \quad 0 < |\lambda| < r \Rightarrow \lambda \in \rho(A(z)) \text{ whenever } |z - z_0| < \delta_1.$$

Let  $\Omega = \{\lambda : |\lambda| > r\}$ , and let  $\Omega_1$  be a bounded open set with  $\sigma_0(A(z_0)) \subset \Omega_1 \subset \bar{\Omega}_1 \subset \Omega$ . From the upper semicontinuity of the spectrum it follows that there exists  $\delta \in (0, \delta_1)$  such that the sets  $\sigma_0(A(z))$  are contained in  $\Omega_1$  whenever  $|z - z_0| < \delta$ . (The cases  $\sigma_0(A(z)) = \emptyset$  or  $\sigma_0(A(z_0)) = \emptyset$  are not excluded.) There exists a Cauchy cycle  $\Gamma$  relative to  $(\Omega, \bar{\Omega}_1)$ , and

$$(2.3) \quad A^D(z) = \frac{1}{2\pi i} \int_{\Gamma} \lambda^{-1} R(\lambda; A(z)) d\lambda, \quad |z - z_0| < \delta,$$

by (1.3). Consider the existence of the limit

$$\lim_{z \rightarrow z_0} \frac{A^D(z) - A^D(z_0)}{z - z_0}.$$

Using the second resolvent equation, we get

$$\begin{aligned} \frac{A^D(z) - A^D(z_0)}{z - z_0} &= \frac{1}{z - z_0} \frac{1}{2\pi i} \int_{\Gamma} \lambda^{-1} [R(\lambda; A(z)) - R(\lambda; A(z_0))] d\lambda \\ &= \frac{1}{2\pi i} \int_{\Gamma} \lambda^{-1} R(\lambda; A(z)) \frac{A(z) - A(z_0)}{z - z_0} R(\lambda; A(z_0)) d\lambda. \end{aligned}$$

In view of Lemma 1.2,

$$\lim_{z \rightarrow z_0} R(\lambda; A(z)) \frac{A(z) - A(z_0)}{z - z_0} = R(\lambda; A(z_0))A'(z_0)$$

uniformly for  $\lambda \in \Gamma$ . Hence (2.1) follows.

The converse is clear. ■

We note that Theorem 4.1 of [14] holds when sequences are replaced by functions of  $z$ ; that theorem gives twelve conditions equivalent to the continuity of  $A^D(z)$  at  $z_0$ . For the sake of completeness we restate four of these conditions relevant to the present investigation. Under the hypotheses of Theorem 2.1,  $A^D(z) \rightarrow A^D(z_0)$  as  $z \rightarrow z_0$  if and only if any of the following conditions holds:

(2.4)  $\sup\{\|A^D(z)\| : |z - z_0| < \delta\} < \infty$  for some  $\delta > 0$ ,

(2.5)  $\sup\{r(A^D(z)) : |z - z_0| < \delta\} < \infty$  for some  $\delta > 0$ ,

(2.6)  $A^D(z)A(z) \rightarrow A^D(z_0)A(z_0)$  as  $z \rightarrow z_0$ ,

(2.7)  $A^\pi(z) \rightarrow A^\pi(z_0)$  as  $z \rightarrow z_0$ .

We take this opportunity to correct a mistake in [14, Theorem 4.1]: Conditions (4.14) and (4.15) of that theorem should be

$$C_n \rightarrow C \quad \text{and} \quad \gamma(C_n) \rightarrow \gamma(C)$$

and

$$C_n \rightarrow C \quad \text{and} \quad \inf_n \gamma(C_n) > 0,$$

respectively, where  $\gamma(A)$  denotes the reduced minimum modulus of an operator  $A \in B(X)$ .

NOTE 2.2. The preceding argument works with appropriate interpretation in the case that  $r(A(z_0)) = 0$ .

NOTE 2.3. Hartwig and Shoaf [9, (3.10)] used holomorphic calculus to give a formula for the derivative of the Drazin inverse of a complex matrix in terms of the spectral components of  $A(z)$ .

In the case that the operators  $A(z)$  have the conventional Drazin inverse and the indices of  $A(z)$  are uniformly bounded, we are able to obtain a stronger result.

**THEOREM 2.4.** *Let  $A$  be a  $B(X)$ -valued function defined on  $U$  such that  $A(z)$  is  $g$ -Drazin invertible for all  $z \in U$  and differentiable at  $z_0 \in U$ . If the indices  $i(A(z))$  are uniformly bounded and the spectral projections  $A^\pi(z)$  are of finite rank, then  $A^D(z)$  is differentiable at  $z_0$  if and only if there exists  $\delta > 0$  such that*

$$\text{rank } A^\pi(z) = \text{rank } A^\pi(z_0) \quad \text{whenever} \quad |z - z_0| < \delta.$$

*Proof.* This follows from Theorem 2.1 and [14, Theorem 5.1]. ■

From the preceding theorem we recover the main result of [1] on the differentiability of the matrix Drazin inverse. The *core part*  $C(z)$  of  $A(z)$  is defined by  $C(z) = A(z)(I - A^\pi(z))$ ; the *core rank* of  $A(z)$  is the rank of  $C(z)$ .

**COROLLARY 2.5** (Campbell [1, Theorem 4]). *Let  $A$  be a  $p \times p$  matrix-valued function defined on  $U$  and differentiable at  $z_0 \in U$ . Then  $A^D(z)$  is differentiable at  $z_0$  if and only if the core rank of  $A(z)$  is constant in some neighborhood of  $z_0$ .*

*Proof.* This follows from Theorem 2.4 and the result for the core rank of  $A(z)$  which states that  $\text{rank } C(z) = p - \text{rank } A^\pi(z)$ . ■

Let us remark that our approach differs from the one adopted by Campbell in [1], who derived his theorem from the known differentiation result for the Moore–Penrose inverse and from the relation between the Drazin inverse  $A^D$  of a  $p \times p$  matrix  $A$  and the Moore–Penrose inverse  $A^\dagger$  of  $A$ :

$$A^D = A^p(A^{2p+1})^\dagger A^p.$$

**3. Series expansion for  $(A^D)'$ .** Let  $U$  be an open interval in  $\mathbb{R}$  or an open set in  $\mathbb{C}$ , and  $A(z)$  an operator-valued function on  $U$  satisfying the hypotheses of Theorem 2.1 such that  $A^D(z)$  is continuous at  $z_0$ . To simplify notation, we write  $A, A^D, A', A^\pi$  for  $A(z_0), A^D(z_0), A'(z_0), A^\pi(z_0)$ . Then (2.2) holds, and we pick  $R > \max\{r, r(A)\}$ . In formula (2.1) we choose  $\Gamma = \omega_R - \omega_r$ , where  $\omega_\varrho(s) = \varrho \exp(is)$  for any  $\varrho > 0, s \in [0, 2\pi]$ . It can be verified that  $\Gamma$  is a Cauchy cycle relative to the pair  $(\mathbb{C} \setminus \{0\}, \sigma_0(A))$ .

According to (2.1),

$$(3.1) \quad (A^D)' = \frac{1}{2\pi i} \int_{\omega_R} \lambda^{-1} R(\lambda; A) A' R(\lambda; A) d\lambda - \frac{1}{2\pi i} \int_{\omega_r} \lambda^{-1} R(\lambda; A) A' R(\lambda; A) d\lambda.$$

Since  $R(\lambda; A) = O(|\lambda|^{-1})$  as  $|\lambda| \rightarrow \infty$ ,  $\|\int_{\omega_R} \lambda^{-1} R(\lambda; A) A' R(\lambda; A) d\lambda\| = O(R^{-2})$  as  $R \rightarrow \infty$ . This shows that

$$\frac{1}{2\pi i} \int_{\omega_R} \lambda^{-1} R(\lambda; A) A' R(\lambda; A) d\lambda = 0.$$

By assumption,  $0 \notin \text{acc } \sigma(A)$ ; in view of [11, Theorem 5.1] there exists  $r_0 > 0$  such that

$$R(\lambda; A) = \sum_{n=0}^{\infty} \lambda^{-n-1} A^n A^\pi - \sum_{n=0}^{\infty} \lambda^n (A^D)^{n+1} =: U_\lambda - V_\lambda$$

for  $0 < |\lambda| < r_0$ . If  $0 < \varrho < \min(r, r_0)$ , then

$$\begin{aligned}
 \frac{1}{2\pi i} \int_{\omega_r} \lambda^{-1} R(\lambda; A) A' R(\lambda; A) d\lambda &= \frac{1}{2\pi i} \int_{\omega_\rho} \lambda^{-1} (U_\lambda - V_\lambda) A' (U_\lambda - V_\lambda) d\lambda \\
 &= \frac{1}{2\pi i} \int_{\omega_\rho} \lambda^{-1} U_\lambda A' U_\lambda d\lambda + \frac{1}{2\pi i} \int_{\omega_\rho} \lambda^{-1} V_\lambda A' V_\lambda d\lambda \\
 &\quad - \frac{1}{2\pi i} \int_{\omega_\rho} \lambda^{-1} U_\lambda A' V_\lambda d\lambda - \frac{1}{2\pi i} \int_{\omega_\rho} \lambda^{-1} V_\lambda A' U_\lambda d\lambda \\
 &= \sum_{m,n=0}^{\infty} A^\pi A^m A' A^n A^\pi \frac{1}{2\pi i} \int_{\omega_\rho} \lambda^{-m-n-3} d\lambda \\
 &\quad + \sum_{m,n=0}^{\infty} (A^D)^{m+1} A' (A^D)^{n+1} \frac{1}{2\pi i} \int_{\omega_r} \lambda^{m+n-1} d\lambda \\
 &\quad - \sum_{m,n=0}^{\infty} A^\pi A^m A' (A^D)^{n+1} \frac{1}{2\pi i} \int_{\omega_r} \lambda^{-m+n-2} d\lambda \\
 &\quad - \sum_{m,n=0}^{\infty} (A^D)^{n+1} A' A^m A^\pi \frac{1}{2\pi i} \int_{\omega_r} \lambda^{-m+n-2} d\lambda \\
 &= A^D A' A^D - \sum_{n=0}^{\infty} A^\pi A^n A' (A^D)^{n+2} - \sum_{n=0}^{\infty} (A^D)^{n+2} A' A^n A^\pi
 \end{aligned}$$

as  $\int_{\omega_\rho} \lambda^k d\lambda$  is equal to  $2\pi i$  if  $k = -1$  and to 0 otherwise. Substituting this into (3.1) we get the following result.

**THEOREM 3.1.** *Let  $A$  be a  $B(X)$ -valued function defined on  $U$  such that  $A(z)$  is  $g$ -Drazin invertible for all  $z \in U$  and differentiable at  $z_0 \in U$ . If  $A^D$  is continuous at  $z_0$ , then*

$$(3.2) \quad (A^D)' = -A^D A' A^D + \sum_{n=0}^{\infty} A^\pi A^n A' (A^D)^{n+2} + \sum_{n=0}^{\infty} (A^D)^{n+2} A' A^n A^\pi,$$

where  $A, A^D, A', A^\pi$  stand for  $A(z_0), A^D(z_0), A'(z_0), A^\pi(z_0)$ , respectively.

In the case that the Drazin indices  $i(A(z))$  are finite and uniformly bounded, the preceding theorem subsumes the differentiation formula of Campbell [1, Theorem 2]; the summation then becomes finite. Let us observe that Campbell's proof is based on the differentiation of the defining equations in the case that  $A$  has the Drazin index 1, that is, on the differentiation of the equations

$$AA^D A = A, \quad A^D A A^D = A^D, \quad A A^D = A^D A.$$

Hartwig and Shoaf obtained Campbell's formula from a difference relation [9, (4.16)]. Under the assumption of finite and uniformly bounded indices,

formula (3.2) formally agrees with Drazin's result [5, Theorem 2], which is derived for the conventional Drazin inverse in associative rings.

We note that if  $i(A) \leq 1$ , formula (3.2) reduces to

$$(3.3) \quad (A^D)' = -A^D A' A^D + A^\pi A' (A^D)^2 + (A^D)^2 A' A^\pi.$$

For matrices this yields [1, Theorem 1].

If  $A$  satisfies the hypotheses of Theorem 2.1 and  $A^D$  is continuous at  $z_0$ , equation (3.3) can be used to describe  $(A^D)'$  in terms of the derivative  $C'$  of the core part of  $A$ , bearing in mind that  $C$  has Drazin index not exceeding one:

$$\begin{aligned} (A^D)' &= (C^D)' = -C^D C' C^D + C^\pi C' (C^D)^2 + (C^D)^2 C' C^\pi \\ &= -A^D C' A^D + A^\pi C' (A^D)^2 + (A^D)^2 C' A^\pi; \end{aligned}$$

it is known that  $A^D = C^D$  and  $A^\pi = C^\pi$ .

**4. The Moore–Penrose inverse of Hilbert space operators.** For  $H$  a complex Hilbert space and  $A \in B(H)$  it is well known that

$$\begin{aligned} A \text{ has closed range} &\Leftrightarrow A^* A \text{ has closed range} \Leftrightarrow AA^* \text{ has closed range} \\ &\Leftrightarrow 0 \notin \text{acc } \sigma(A^* A) \Leftrightarrow 0 \notin \text{acc } \sigma(AA^*). \end{aligned}$$

For a closed range operator  $A \in B(H)$  we can give a definition of the Moore–Penrose inverse  $A^\dagger$  of  $A$  in terms of the Drazin inverse (see [13, Theorem 2.5]):

$$(4.1) \quad A^\dagger = (A^* A)^D A^* = A^* (AA^*)^D.$$

This equation enables us to obtain results on the continuity and differentiability of the Moore–Penrose inverse using our results on the  $g$ -Drazin inverse. (For the continuity of the Moore–Penrose inverse see, for instance, [15].)

**THEOREM 4.1.** *Let  $A$  be a  $B(X)$ -valued function defined on a real interval  $J$  differentiable at  $t_0 \in J$  with  $A(t)$  closed range operators for all  $t \in J$ . Write  $B(t) = A^*(t)A(t)$  and  $E(t) = A(t)A^*(t)$  for all  $t \in J$ . Then the following conditions are equivalent:*

- (i)  $B^D(t)$  is continuous at  $t_0$ .
- (ii)  $E^D(t)$  is continuous at  $t_0$ .
- (iii)  $B^D(t)$  is differentiable at  $t_0$ .
- (iv)  $E^D(t)$  is differentiable at  $t_0$ .
- (v)  $A^\dagger(t)$  is differentiable at  $t_0$ .
- (vi)  $A^\dagger(t)$  is continuous at  $t_0$ .
- (vii)  $A^\dagger(t)A(t)$  is continuous at  $t_0$ .
- (viii)  $A(t)A^\dagger(t)$  is continuous at  $t_0$ .
- (ix)  $\|A^\dagger(t)\|$  is bounded in some neighborhood of  $t_0$ .

*Proof.* (i) $\Rightarrow$ (iii) $\Rightarrow$ (v) $\Rightarrow$ (vi) $\Rightarrow$ (vii) $\Rightarrow$ (i). The first four implications are justified by Theorem 2.1, by the product rule for differentiation applied to  $A^\dagger(t) = B^D(t)A^*(t)$ , by the relation between differentiability and continuity, and by the continuity of the multiplication in  $B(X)$ , respectively. The last implication follows when we observe that if (vii) holds, then  $A^\dagger(t)A(t) = (A^*(t)A(t))^D(A^*(t)A(t)) = I - A^\pi(t)$  is continuous at  $t_0$ . Then (i) is true by Theorem 2.1 (equivalent condition (2.7)).

(ii) $\Rightarrow$ (iv) $\Rightarrow$ (v) $\Rightarrow$ (vi) $\Rightarrow$ (viii) $\Rightarrow$ (ii) is proved by a symmetrical argument.

Condition (ix) is equivalent to (vi) when we use the inequality

$$\|A^\dagger(t) - A^\dagger(t_0)\| \leq 3 \max\{\|A^\dagger(t)\|^2, \|A^\dagger(t_0)\|^2\} \|A(t) - A(t_0)\|$$

(see [2, Theorem 10.4.5]). ■

NOTE 4.2. We note that in the proof of the implication (iii) $\Rightarrow$ (v) the differentiability of  $A^*(t)$  follows from the differentiability of  $A(t)$  via the identity

$$\frac{dA^*(t)}{dt} = \left( \frac{dA(t)}{dt} \right)^*$$

which holds only when  $t$  is real. The preceding theorem, unlike Theorem 2.1, does not hold for complex differentiation.

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