

Estimates for singular integrals and extrapolation

by

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Abstract. We study singular integrals with rough kernels, which belong to a class of singular Radon transforms. We prove certain estimates for the singular integrals that are useful in an extrapolation argument. As an application, we prove L^p boundedness of the singular integrals under a certain sharp size condition on their kernels.

1. Introduction. Let Ω be a function in $L^1(S^{n-1})$ satisfying

$$(1.1) \quad \int_{S^{n-1}} \Omega(\theta) d\sigma(\theta) = 0,$$

where $d\sigma$ denotes the Lebesgue surface measure on the unit sphere S^{n-1} in \mathbb{R}^n . In this note we assume $n \geq 2$. For $s \geq 1$, let Δ_s denote the collection of measurable functions h on $\mathbb{R}_+ = \{t \in \mathbb{R} : t > 0\}$ satisfying

$$\|h\|_{\Delta_s} = \sup_{j \in \mathbb{Z}} \left(\int_{2^j}^{2^{j+1}} |h(t)|^s dt/t \right)^{1/s} < \infty,$$

where \mathbb{Z} denotes the set of integers. We note that $\Delta_s \subset \Delta_t$ if $s > t$. In this note we always assume $h \in \Delta_1$. Let $P(y) = (P_1(y), \dots, P_d(y))$ be a polynomial mapping, where each P_j is a real-valued polynomial on \mathbb{R}^n . We consider a singular integral operator of the form

$$(1.2) \quad \begin{aligned} T(f)(x) &= \text{p.v.} \int_{\mathbb{R}^n} f(x - P(y))K(y) dy \\ &= \lim_{\varepsilon \rightarrow 0} \int_{|y| > \varepsilon} f(x - P(y))K(y) dy, \end{aligned}$$

for an appropriate function f on \mathbb{R}^d , where $K(y) = h(|y|)\Omega(y')|y|^{-n}$, $y' = |y|^{-1}y$. Then $T(f)$ belongs to a class of singular Radon transforms. See Stein [20], Fan–Pan [8] and Al-Salman–Pan [1] for this singular integral.

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When $h = 1$ (a constant function), $n = d$ and $P(y) = y$, we also write $T(f) = S(f)$. Let $\hat{f}(\xi) = \int_{\mathbb{R}^d} f(x)e^{-2\pi i\langle x, \xi \rangle} dx$ be the Fourier transform of f , where $\langle \cdot, \cdot \rangle$ denotes the inner product in \mathbb{R}^d . Then it is known that $(Sf)^\wedge(\xi) = m(\xi')\hat{f}(\xi)$, where

$$m(\xi') = - \int_{S^{n-1}} \Omega(\theta) \left[i \frac{\pi}{2} \operatorname{sgn}(\langle \xi', \theta \rangle) + \log |\langle \xi', \theta \rangle| \right] d\sigma(\theta).$$

Using this, we can show that S extends to a bounded operator on L^2 if $\Omega \in L \log L(S^{n-1})$, where $L \log L(S^{n-1})$ denotes the Zygmund class of all those functions Ω on S^{n-1} which satisfy

$$\int_{S^{n-1}} |\Omega(\theta)| \log(2 + |\Omega(\theta)|) d\sigma(\theta) < \infty.$$

Furthermore, if $\Omega \in L \log L(S^{n-1})$, by the method of rotations of Calderón–Zygmund (see [2]) it can be shown that S extends to a bounded operator on L^p for all $p \in (1, \infty)$.

When $n = d$ and $P(y) = y$, R. Fefferman [10] proved that if h is bounded and Ω satisfies a Lipschitz condition of positive order on S^{n-1} , then the singular integral operator T in (1.2) is bounded on L^p for $1 < p < \infty$. Namazi [13] improved this result by replacing the Lipschitz condition by the condition that $\Omega \in L^q(S^{n-1})$ for some $q > 1$. In [7], Duoandikoetxea and Rubio de Francia developed methods which can be used to study mapping properties of several kinds of operators in harmonic analysis including the singular integrals considered in [13]. Also, see [6, 25] for weighted L^p boundedness of singular integrals, and [21, 22] for background materials.

For the rest of this note we assume that the polynomial mapping P in (1.2) satisfies $P(-y) = -P(y)$ and $P \neq 0$. We shall prove the following result:

THEOREM 1. *Let $\Omega \in L^q(S^{n-1})$, $q \in (1, 2]$ and $h \in \Delta_s$, $s \in (1, 2]$. Suppose Ω satisfies (1.1). Let T be as in (1.2). Then*

$$\|T(f)\|_{L^p(\mathbb{R}^d)} \leq C_p(q-1)^{-1}(s-1)^{-1}\|\Omega\|_{L^q(S^{n-1})}\|h\|_{\Delta_s}\|f\|_{L^p(\mathbb{R}^d)}$$

for all $p \in (1, \infty)$, where the constant C_p is independent of q, s, Ω and h . Also, the constant C_p is independent of the polynomials P_j if we fix $\deg(P_j)$ ($j = 1, \dots, d$).

See [15, 16, 17] for relevant results. In Al-Salman–Pan [1], L^p boundedness of T was proved under the condition that Ω is a function in $L \log L(S^{n-1})$ satisfying (1.1) and $h \in \Delta_s$ for some $s > 1$ ([1, Theorem 1.3]). Also it is noted there that estimates like those in Theorem 1 (with s being fixed) can be used to prove the same result by applying an extrapolation method, but such estimates are yet to be proved (see [1, p. 156]). In [1],

the authors also considered singular integrals defined by certain polynomial mappings P which do not satisfy the condition $P(-y) = -P(y)$.

As a consequence of Theorem 1 we can give a different proof of [1, Theorem 1.3] via an extrapolation method; in fact, we can get an improved result. For a positive number a and a function h on \mathbb{R}_+ , let

$$L_a(h) = \sup_{j \in \mathbb{Z}} \int_{2^j}^{2^{j+1}} |h(r)| (\log(2 + |h(r)|))^a dr/r.$$

We define a class \mathcal{L}_a to be the space of all those measurable functions h on \mathbb{R}_+ which satisfy $L_a(h) < \infty$. Also, let

$$N_a(h) = \sum_{m \geq 1} m^a 2^m d_m(h),$$

where $d_m(h) = \sup_{k \in \mathbb{Z}} 2^{-k} |E(k, m)|$ with $E(k, m) = \{r \in (2^k, 2^{k+1}] : 2^{m-1} < |h(r)| \leq 2^m\}$ for $m \geq 2$, $E(k, 1) = \{r \in (2^k, 2^{k+1}] : 0 < |h(r)| \leq 2\}$. We denote by \mathcal{N}_a the class of all those measurable functions h on \mathbb{R}_+ such that $N_a(h) < \infty$. Then we readily see that $N_a(h) < \infty$ implies $L_a(h) < \infty$. Conversely, if $L_{a+b}(h) < \infty$ for some $b > 1$, then $N_a(h) < \infty$. To see this, note that

$$2^m m^{a+b} 2^{-k} |E(k, m)| \leq C \int_{E(k,m)} |h(r)| (\log(2 + |h(r)|))^{a+b} dr/r \leq CL_{a+b}(h)$$

for $m \geq 2$; thus $N_a(h) \leq 2d_1(h) + CL_{a+b}(h) \sum_{m \geq 2} m^{-b} < \infty$. By Theorem 1 and an extrapolation method we have the following:

THEOREM 2. *Suppose Ω is a function in $L \log L(S^{n-1})$ satisfying (1.1) and $h \in \mathcal{N}_1$. Let T be as in (1.2). Then*

$$\|T(f)\|_{L^p(\mathbb{R}^d)} \leq C_p \|f\|_{L^p(\mathbb{R}^d)}$$

for all $p \in (1, \infty)$, where C_p is independent of the polynomials P_j if the polynomials are of fixed degree.

By Theorem 2 and the remark preceding it we see that T is bounded on L^p for all $p \in (1, \infty)$ if Ω is as in Theorem 2 and $h \in \mathcal{L}_a$ for some $a > 2$. When $n = d$, $P(y) = y$, Ω is as in Theorem 2 and h is a constant function, it is known that T is of weak type $(1, 1)$; see [5, 18, 4, 9, 11, 12, 19, 23, 24].

In Section 2, we shall prove Theorem 1. Applying the methods of [7] involving the Littlewood–Paley theory and using results of [8, 14], we shall prove L^p estimates for certain maximal and singular integral operators related to the operator T in Theorem 1 (Lemmas 1 and 2). Lemma 1 is used to prove Lemma 2. By Lemma 2 we can easily prove Theorem 1. A key idea of the proof of Theorem 1 is to apply a Littlewood–Paley decomposition adapted to a suitable lacunary sequence depending on q and s for which

$\Omega \in L^q(S^{n-1})$ and $h \in \Delta_s$. The method of appropriately choosing a lacunary sequence was inspired by [1], where, in a somewhat different way from ours, a similar method was used to study several classes of singular integrals.

We shall prove Theorem 2 in Section 3. Finally, in Section 4, we consider the maximal operator

$$(1.3) \quad T^*(f)(x) = \sup_{N, \varepsilon > 0} \left| \int_{\varepsilon < |y| < N} f(x - P(y))K(y) dy \right|,$$

where P and K are as in (1.2). We shall prove analogs of Theorems 1 and 2 for the operator T^* .

Throughout this note, the letter C will be used to denote non-negative constants which may be different in different occurrences.

2. Proof of Theorem 1. Let Ω, h be as in Theorem 1. We consider the singular integral $T(f)$ defined in (1.2). Let $\varrho \geq 2$ and $E_k = \{x \in \mathbb{R}^n : \varrho^k < |x| \leq \varrho^{k+1}\}$. Then $T(f)(x) = \sum_{-\infty}^{\infty} \sigma_k * f(x)$, where $\{\sigma_k\}$ is a sequence of Borel measures on \mathbb{R}^d such that

$$(2.1) \quad \sigma_k * f(x) = \int_{E_k} f(x - P(y))K(y) dy.$$

We note that

$$(\sigma_k * f)^\wedge(\xi) = \hat{f}(\xi) \int_{E_k} e^{-2\pi i \langle P(y), \xi \rangle} K(y) dy.$$

We write

$$P(y) = \sum_{j=1}^{\ell} Q_j(y), \quad Q_j(y) = \sum_{|\gamma|=N(j)} a_\gamma y^\gamma \quad (a_\gamma \in \mathbb{R}^d),$$

where $Q_j \neq 0$, $1 \leq N(1) < \dots < N(\ell)$, $\gamma = (\gamma_1, \dots, \gamma_n)$ is a multi-index, $y^\gamma = y_1^{\gamma_1} \dots y_n^{\gamma_n}$ and $|\gamma| = \gamma_1 + \dots + \gamma_n$. We set $\beta_m = \varrho^{N(m)}$ and $\alpha_m = (q-1)(s-1)/(2qsN(m))$ for $1 \leq m \leq \ell$. Put $P^{(m)}(y) = \sum_{j=1}^m Q_j(y)$ and define a sequence $\mu^{(m)} = \{\mu_k^{(m)}\}$ of positive measures on \mathbb{R}^d by

$$\mu_k^{(m)} * f(x) = \int_{E_k} f(x - P^{(m)}(y))|K(y)| dy$$

for $m = 1, \dots, \ell$. Also, define $\mu^{(0)} = \{\mu_k^{(0)}\}$ by $\mu_k^{(0)} = (\int_{E_k} |K(y)| dy)\delta$, where δ is Dirac's delta function on \mathbb{R}^d . For a sequence $\nu = \{\nu_k\}$ of finite Borel measures on \mathbb{R}^d , we define the maximal operator ν^* by $\nu^*(f)(x) = \sup_k |\nu_k| * f(x)$, where $|\nu_k|$ denotes the total variation. We consider the maximal operators $(\mu^{(m)})^*$ ($0 \leq m \leq \ell$). We also write $(\mu^{(\ell)})^* = \mu_\varrho^*$.

Let

$$L_j(\xi) = (\langle a_{\gamma(j,1)}, \xi \rangle, \dots, \langle a_{\gamma(j,r_j)}, \xi \rangle),$$

where $\{\gamma(j, k)\}_{k=1}^{r_j}$ is an enumeration of $\{\gamma\}_{|\gamma|=N(j)}$ for $1 \leq j \leq \ell$. Then L_j is a linear mapping from \mathbb{R}^d to \mathbb{R}^{r_j} . Let $s_j = \text{rank } L_j$. There exist non-singular linear transformations $R_j : \mathbb{R}^d \rightarrow \mathbb{R}^d$ and $H_j : \mathbb{R}^{s_j} \rightarrow \mathbb{R}^{s_j}$ such that

$$|H_j \pi_{s_j}^d R_j(\xi)| \leq |L_j(\xi)| \leq C |H_j \pi_{s_j}^d R_j(\xi)|,$$

where $\pi_{s_j}^d(\xi) = (\xi_1, \dots, \xi_{s_j})$ is the projection and C depends only on r_j (a proof can be found in [8]). Let $\{\sigma_k^{(m)}\}$ ($0 \leq m \leq \ell$) be a sequence of Borel measures on \mathbb{R}^d such that

$$\sigma_k^{(m)} * f(x) = \int_{E_k} f(x - P^{(m)}(y)) K(y) dy$$

for $m = 1, \dots, \ell$, while $\sigma_k^{(0)} = 0$. Let $\varphi \in C_0^\infty(\mathbb{R})$ be supported in $\{|r| \leq 1\}$ and $\varphi(r) = 1$ for $|r| < 1/2$. Define a sequence $\tau^{(m)} = \{\tau_k^{(m)}\}$ of Borel measures by

$$(2.2) \quad \hat{\tau}_k^{(m)}(\xi) = \hat{\sigma}_k^{(m)}(\xi) \Phi_{k,m}(\xi) - \hat{\sigma}_k^{(m-1)}(\xi) \Phi_{k,m-1}(\xi)$$

for $m = 1, \dots, \ell$, where

$$\Phi_{k,m}(\xi) = \prod_{j=m+1}^{\ell} \varphi(\beta_j^k |H_j \pi_{s_j}^d R_j(\xi)|)$$

if $0 \leq m \leq \ell - 1$ and $\Phi_{k,\ell} = 1$. Then $\sigma_k = \sigma_k^{(\ell)} = \sum_{m=1}^{\ell} \tau_k^{(m)}$. We note that

$$\Phi_{k,m}(\xi) \varphi(\beta_m^k |H_m \pi_{s_m}^d R_m(\xi)|) = \Phi_{k,m-1}(\xi) \quad (1 \leq m \leq \ell).$$

For $1 \leq m \leq \ell$, let $T_\varrho^{(m)}(f) = \sum_k \tau_k^{(m)} * f$. Then $T = \sum_{m=1}^{\ell} T_\varrho^{(m)}$.

For $p \in (1, \infty)$ we put $p' = p/(p - 1)$ and $\delta(p) = |1/p - 1/p'|$. Let $\theta \in (0, 1)$. Then we have the following L^p estimates for $(\mu^{(m)})^*$ and $T_\varrho^{(m)}$.

LEMMA 1. For $p > 1 + \theta$ and $0 \leq j \leq \ell$, we have

$$(2.3) \quad \|(\mu^{(j)})^*(f)\|_{L^p(\mathbb{R}^d)} \leq C(\log \varrho) \|\Omega\|_{L^q(S^{n-1})} \|h\|_{\Delta_s} (1 - \varrho^{-\theta/(2q's')})^{-2/p} \|f\|_{L^p(\mathbb{R}^d)}.$$

LEMMA 2. For $p \in (1 + \theta, (1 + \theta)/\theta)$ and $1 \leq m \leq \ell$, we have

$$\|T_\varrho^{(m)}(f)\|_{L^p(\mathbb{R}^d)} \leq C(\log \varrho) \|\Omega\|_{L^q(S^{n-1})} \|h\|_{\Delta_s} (1 - \varrho^{-\theta/(2q's')})^{-1-\delta(p)} \|f\|_{L^p(\mathbb{R}^d)}.$$

The constants C in Lemmas 1 and 2 are independent of $q, s \in (1, 2]$, $\Omega \in L^q(S^{n-1})$, $h \in \Delta_s$, ϱ and the coefficients of the polynomials P_k ($1 \leq k \leq d$).

We prove Lemma 2 first, taking Lemma 1 for granted for the moment. Let $A = (\log \varrho) \|\Omega\|_{L^q(S^{n-1})} \|h\|_{\Delta_s}$ and $B = (1 - \beta_m^{-\theta \alpha_m})^{-1} = (1 - \varrho^{-\theta/(2q's')})^{-1}$.

Then we have the following estimates:

$$(2.4) \quad \|\tau_k^{(m)}\| \leq c_1 A \quad (\|\tau_k^{(m)}\| = |\tau_k^{(m)}|(\mathbb{R}^d)),$$

$$(2.5) \quad |\hat{\tau}_k^{(m)}(\xi)| \leq c_2 A (\beta_m^k |L_m(\xi)|)^{-\alpha_m},$$

$$(2.6) \quad |\hat{\tau}_k^{(m)}(\xi)| \leq c_3 A (\beta_m^{k+1} |L_m(\xi)|)^{\alpha_m},$$

$$(2.7) \quad \|(\tau^{(m)})^*(f)\|_p \leq C_p A B^{2/p} \|f\|_p \quad \text{for } p > 1 + \theta,$$

for some constants c_i ($1 \leq i \leq 3$) and C_p , where we simply write $\|f\|_{L^p(\mathbb{R}^d)} = \|f\|_p$.

Now we prove the estimates (2.4)–(2.7). First we see that

$$(2.8) \quad \begin{aligned} \|\tau_k^{(m)}\| &\leq C(\|\sigma_k^{(m)}\| + \|\sigma_k^{(m-1)}\|) \\ &\leq C \int_{\varrho^k}^{\varrho^{k+1}} |h(r)| dr/r \leq C(\log \varrho) \|\Omega\|_1 \|h\|_{\Delta_1}. \end{aligned}$$

From this (2.4) follows. To prove (2.5), define

$$F(r, \xi) = \int_{S^{n-1}} \Omega(\theta) \exp(-2\pi i \langle \xi, P^{(m)}(r\theta) \rangle) d\sigma(\theta).$$

Then, via Hölder’s inequality, for $s \in (1, 2]$ we see that

$$(2.9) \quad \begin{aligned} |\hat{\sigma}_k^{(m)}(\xi)| &= \left| \int_{\varrho^k}^{\varrho^{k+1}} h(r) F(r, \xi) dr/r \right| \\ &\leq \left(\int_{\varrho^k}^{\varrho^{k+1}} |h(r)|^s dr/r \right)^{1/s} \left(\int_{\varrho^k}^{\varrho^{k+1}} |F(r, \xi)|^{s'} dr/r \right)^{1/s'} \\ &\leq C(\log \varrho)^{1/s} \|h\|_{\Delta_s} \|\Omega\|_1^{(s'-2)/s'} \left(\int_{\varrho^k}^{\varrho^{k+1}} |F(r, \xi)|^2 dr/r \right)^{1/s'}. \end{aligned}$$

We need the following estimates for the last integral:

LEMMA 3. *Let $1 < q \leq 2$ and $\Omega \in L^q(S^{n-1})$. Then there exists a constant $C > 0$ independent of q, ϱ, Ω and the coefficients of the polynomial components of $P^{(m)}$ such that*

$$\int_{\varrho^k}^{\varrho^{k+1}} |F(r, \xi)|^2 dr/r \leq C(\log \varrho) (\beta_m^k |L_m(\xi)|)^{-1/(2q'N(m))} \|\Omega\|_q^2.$$

Proof. Take an integer ν such that $2^\nu < \varrho \leq 2^{\nu+1}$. By the proof of Proposition 5.1 of [8] we have

$$\begin{aligned}
 \int_{\varrho^k}^{\varrho^{k+1}} |F(r, \xi)|^2 dr/r &= \int_1^{\varrho} |F(\varrho^k r, \xi)|^2 dr/r \leq \sum_{j=0}^{\nu} \int_{2^j}^{2^{j+1}} |F(\varrho^k r, \xi)|^2 dr/r \\
 &\leq \sum_{j=0}^{\nu} C \left(\int_1^2 |F(2^j \varrho^k r, \xi)|^{q'} dr/r \right)^{2/q'} \\
 &\leq \sum_{j=0}^{\nu} C (2^{jN(m)} \varrho^{kN(m)} |L_m(\xi)|)^{-1/(2N(m)q')} \|\Omega\|_q^2 \\
 &\leq C(\log \varrho) (\varrho^{kN(m)} |L_m(\xi)|)^{-1/(2N(m)q')} \|\Omega\|_q^2.
 \end{aligned}$$

This completes the proof of Lemma 3. ■

By (2.9) and Lemma 3 we have $|\hat{\sigma}_k^{(m)}(\xi)| \leq CA(\beta_m^k |L_m(\xi)|)^{-\alpha_m}$. Also, we have $\|\sigma_k^{(m-1)}\| \leq CA$ by (2.8). We can prove the estimate (2.5) by using these estimates in the definition of $\tau_k^{(m)}$ in (2.2) and by noting that φ is compactly supported. Next, to prove (2.6), using (1.1) when $m = 1$, we see that

$$\begin{aligned}
 |\hat{\tau}_k^{(m)}(\xi)| &\leq |(\hat{\sigma}_k^{(m)}(\xi) - \hat{\sigma}_k^{(m-1)}(\xi))\Phi_{k,m}(\xi)| \\
 &\quad + |(\Phi_{k,m}(\xi) - \Phi_{k,m-1}(\xi))\hat{\sigma}_k^{(m-1)}(\xi)| \\
 &\leq C\|\Omega\|_1 \beta_m^{k+1} |L_m(\xi)| \int_{\varrho^k}^{\varrho^{k+1}} |h(r)| dr/r + C\|\sigma_k^{(m-1)}\| \beta_m^k |L_m(\xi)| \\
 &\leq C(\log \varrho) \|\Omega\|_1 \|h\|_{\Delta_1} \beta_m^{k+1} |L_m(\xi)|,
 \end{aligned}$$

where to get the last inequality we have used (2.8). By this and (2.8), we have

$$|\hat{\tau}_k^{(m)}(\xi)| \leq C(\log \varrho) \|\Omega\|_1 \|h\|_{\Delta_1} (\beta_m^{k+1} |L_m(\xi)|)^c$$

for all $c \in (0, 1]$, which implies (2.6). Finally, the estimate (2.7) follows from Lemma 1 since

$$\|(\tau^{(m)})^*(f)\|_p \leq C\|(\mu^{(m)})^*(|f|)\|_p + C\|(\mu^{(m-1)})^*(|f|)\|_p \leq CAB^{2/p} \|f\|_p$$

for $p > 1 + \theta$, where the first inequality can be seen by a change of variables and a well-known result on maximal functions (see [8]).

Let $\{\psi_k\}_{k=-\infty}^{\infty}$ be a sequence of non-negative functions in $C^\infty((0, \infty))$ such that $\text{supp}(\psi_k) \subset [\beta_m^{-k-1}, \beta_m^{-k+1}]$,

$$\sum_k \psi_k(t)^2 = 1, \quad |(d/dt)^j \psi_k(t)| \leq c_j/t^j \quad (j = 1, 2, \dots) \quad \text{for all } t > 0,$$

where the constants c_j are independent of β_m (this is feasible since $\beta_m \geq 2$).

Define an operator S_k by $(S_k(f))^\wedge(\xi) = \psi_k(|H_m \pi_{s_m}^d R_m(\xi)|) \hat{f}(\xi)$ and let

$$V_j^{(m)}(f) = \sum_{k=-\infty}^{\infty} S_{j+k}(\tau_k^{(m)} * S_{j+k}(f)).$$

Then by Plancherel’s theorem and the estimates (2.4)–(2.6) we have

$$\begin{aligned} (2.10) \quad \|V_j^{(m)}(f)\|_2^2 &\leq \sum_k C \int_{D(j+k)} |\hat{\tau}_k^{(m)}(\xi)|^2 |\hat{f}(\xi)|^2 d\xi \\ &\leq CA^2 \min(1, \beta_m^{-2\alpha_m(|j|-2)}) \sum_k \int_{D(j+k)} |\hat{f}(\xi)|^2 d\xi \\ &\leq CA^2 \min(1, \beta_m^{-2\alpha_m(|j|-2)}) \|f\|_2^2, \end{aligned}$$

where $D(k) = \{\beta_m^{-k-1} \leq |H_m \pi_{s_m}^d R_m(\xi)| \leq \beta_m^{-k+1}\}$.

Applying the proof of Lemma on [7, p. 544] and using the estimates (2.4) and (2.7), we can prove the following.

LEMMA 4. *Let $u \in (1 + \theta, 2]$. Define a number v by $1/v - 1/2 = 1/(2u)$. Then we have the vector-valued inequality*

$$(2.11) \quad \left\| \left(\sum |\tau_k^{(m)} * g_k|^2 \right)^{1/2} \right\|_v \leq (c_1 C_u)^{1/2} AB^{1/u} \left\| \left(\sum |g_k|^2 \right)^{1/2} \right\|_v,$$

where the constants c_1 and C_u are as in (2.4) and (2.7), respectively.

By the Littlewood–Paley theory we have

$$(2.12) \quad \|V_j^{(m)}(f)\|_p \leq c_p \left\| \left(\sum_k |\tau_k^{(m)} * S_{j+k}(f)|^2 \right)^{1/2} \right\|_p,$$

$$(2.13) \quad \left\| \left(\sum_k |S_k(f)|^2 \right)^{1/2} \right\|_p \leq c_p \|f\|_p,$$

where $1 < p < \infty$ and c_p is independent of β_m and the linear transformations R_m, H_m . Suppose that $1 + \theta < p \leq 4/(3 - \theta)$. Then we can find $u \in (1 + \theta, 2]$ such that $1/p = 1/2 + (1 - \theta)/(2u)$. Let v be defined by u as in Lemma 4. Then by (2.11)–(2.13) we have

$$(2.14) \quad \|V_j^{(m)}(f)\|_v \leq CAB^{1/u} \|f\|_v.$$

Since $1/p = \theta/2 + (1 - \theta)/v$, interpolating between (2.10) and (2.14), we have

$$\|V_j^{(m)}(f)\|_p \leq CAB^{(1-\theta)/u} \min(1, \beta_m^{-\theta\alpha_m(|j|-2)}) \|f\|_p.$$

It follows that

$$\begin{aligned} (2.15) \quad \|T_\varrho^{(m)}(f)\|_p &\leq \sum_j \|V_j^{(m)}(f)\|_p \leq CAB^{(1-\theta)/u} (1 - \beta_m^{-\theta\alpha_m})^{-1} \|f\|_p \\ &\leq CAB^{2/p} \|f\|_p, \end{aligned}$$

where we have used the inequality $\sum \min(1, \beta_m^{-\theta\alpha_m(|j|-2)}) \leq 5(1 - \beta_m^{-\theta\alpha_m})^{-1}$. We also have $\|T_\varrho^{(m)}(f)\|_2 \leq \sum \|V_j^{(m)}(f)\|_2 \leq CAB\|f\|_2$ by (2.10), since $B \geq (1 - \beta_m^{-\alpha_m})^{-1}$. By duality and interpolation, we can now get the conclusion of Lemma 2.

Next, we give a proof of Lemma 1. We argue by induction on j . So assuming (2.3) holds for $j = m - 1, 1 \leq m \leq \ell$, we will prove it for $j = m$. Let $\varphi \in C_0^\infty(\mathbb{R})$ be as above. Define a sequence $\eta^{(m)} = \{\eta_k^{(m)}\}$ of Borel measures on \mathbb{R}^d by

$$\hat{\eta}_k^{(m)}(\xi) = \varphi(\beta_m^k |H_m \pi_{s_m}^d R_m(\xi)|) \hat{\mu}_k^{(m-1)}(\xi).$$

Then by (2.3) with $j = m - 1$, we have

$$(2.16) \quad \|(\eta^{(m)})^*(f)\|_p \leq C\|(\mu^{(m-1)})^*(f)\|_p \leq CAB^{2/p}\|f\|_p$$

for $p > 1 + \theta$. Furthermore, we have the following:

$$(2.17) \quad \|\eta_k^{(m)}\| + \|\mu_k^{(m)}\| \leq C\|\mu_k^{(m-1)}\| + \|\mu_k^{(m)}\| \leq C\|\Omega\|_1 \int_{\varrho^k}^{\varrho^{k+1}} |h(r)| dr/r \leq C(\log \varrho)\|\Omega\|_1 \|h\|_{\Delta_1} \leq CA,$$

$$(2.18) \quad |\hat{\mu}_k^{(m)}(\xi) - \hat{\eta}_k^{(m)}(\xi)| \leq C(\log \varrho)\|\Omega\|_1 \|h\|_{\Delta_1} (\beta_m^{k+1} |L_m(\xi)|)^{\alpha_m} \leq CA(\beta_m^{k+1} |L_m(\xi)|)^{\alpha_m},$$

$$(2.19) \quad |\hat{\mu}_k^{(m)}(\xi)| \leq CA(\beta_m^k |L_m(\xi)|)^{-\alpha_m},$$

$$(2.20) \quad |\hat{\eta}_k^{(m)}(\xi)| \leq C(\log \varrho)\|h\|_{\Delta_1}\|\Omega\|_1 (\beta_m^k |L_m(\xi)|)^{-\alpha_m} \leq CA(\beta_m^k |L_m(\xi)|)^{-\alpha_m}.$$

To see (2.18) we note that

$$\begin{aligned} & |\hat{\mu}_k^{(m)}(\xi) - \hat{\eta}_k^{(m)}(\xi)| \\ & \leq |\hat{\mu}_k^{(m)}(\xi) - \hat{\mu}_k^{(m-1)}(\xi)| + |(\varphi(\beta_m^k |H_m \pi_{s_m}^d R_m(\xi)|) - 1)\hat{\mu}_k^{(m-1)}(\xi)|. \end{aligned}$$

Thus arguing as in the proof of (2.6), we have the first inequality of (2.18). The estimate (2.19) follows from the arguments used to prove (2.5). Also, the first inequality of (2.20) is a consequence of the definition of $\eta_k^{(m)}$ and (2.17).

Since $\|(\mu^{(m)})^*(f)\|_\infty \leq CA\|f\|_\infty$, by interpolation, it suffices to prove (2.3) with $j = m$ for $p \in (1 + \theta, 2]$. Define a sequence $\nu^{(m)} = \{\nu_k^{(m)}\}$ of Borel measures by $\nu_k^{(m)} = \mu_k^{(m)} - \eta_k^{(m)}$. Let

$$g_m(f)(x) = \left(\sum |\nu_k^{(m)} * f(x)|^2 \right)^{1/2}.$$

Then

$$(2.21) \quad (\mu^{(m)})^*(f) \leq g_m(f) + (\eta^{(m)})^*(|f|).$$

Thus, by (2.16), to get (2.3) with $j = m$ it suffices to prove $\|g_m(f)\|_p \leq CAB^{2/p}\|f\|_p$ for $p \in (1 + \theta, 2]$ with an appropriate constant C . By a well-known property of Rademacher's functions, this follows from

$$(2.22) \quad \|U_\varepsilon^{(m)}(f)\|_p \leq CAB^{2/p}\|f\|_p$$

for $p \in (1 + \theta, 2]$, where $U_\varepsilon^{(m)}(f) = \sum_k \varepsilon_k \nu_k^{(m)} * f$ with $\varepsilon = \{\varepsilon_k\}$, $\varepsilon_k = 1$ or -1 , and the constant C is independent of ε .

The estimate (2.22) is a consequence of the following:

LEMMA 5. Define a sequence $\{p_j\}_{j=1}^\infty$ by $p_1 = 2$ and $1/p_{j+1} = 1/2 + (1-\theta)/(2p_j)$ for $j \geq 1$. (Note that $1/p_j = (1-a^j)/(1+\theta)$, where $a = (1-\theta)/2$, so $\{p_j\}$ is decreasing and converges to $1 + \theta$.) Then, for $j \geq 1$,

$$\|U_\varepsilon^{(m)}(f)\|_{p_j} \leq C_j AB^{2/p_j}\|f\|_{p_j}.$$

Proof. Let

$$U_j^{(m)}(f) = \sum_{k=-\infty}^\infty \varepsilon_k S_{j+k}(\nu_k^{(m)} * S_{j+k}(f)).$$

Then by Plancherel's theorem and the estimates (2.17)–(2.20), as in (2.10) we have

$$(2.23) \quad \|U_j^{(m)}(f)\|_2 \leq CA \min(1, \beta_m^{-\alpha_m(|j|-2)})\|f\|_2.$$

It follows that $\|U_\varepsilon^{(m)}(f)\|_2 \leq \sum_j \|U_j^{(m)}(f)\|_2 \leq CAB\|f\|_2$. If we denote by $A(s)$ the assertion of Lemma 5 for $j = s$, this proves $A(1)$.

Now we derive $A(s + 1)$ from $A(s)$, which will complete the proof of Lemma 5 by induction. Using (2.21), we see that

$$(\nu^{(m)})^*(f) \leq (\mu^{(m)})^*(|f|) + (\eta^{(m)})^*(|f|) \leq g_m(|f|) + 2(\eta^{(m)})^*(|f|).$$

Note that $A(s)$ implies $\|g_m(f)\|_{p_s} \leq CAB^{2/p_s}\|f\|_{p_s}$. From this and (2.16) we have

$$(2.24) \quad \|(\nu^{(m)})^*(f)\|_{p_s} \leq \|g_m(|f|)\|_{p_s} + 2\|(\eta^{(m)})^*(|f|)\|_{p_s} \leq CAB^{2/p_s}\|f\|_{p_s}.$$

By (2.17), (2.23) and (2.24), we can now apply the arguments used in the proof of (2.15) to get $A(s + 1)$. This completes the proof of Lemma 5. ■

Now we prove (2.22) for $p \in (1 + \theta, 2]$. Let $\{p_j\}_{j=1}^\infty$ be as in Lemma 5. Then we have $p_{N+1} < p \leq p_N$ for some N . Thus, interpolating between the estimates of Lemma 5 for $j = N$ and $j = N + 1$, we have (2.22). This proves (2.3) for $j = m$.

Finally, we can easily see that $(\mu^{(0)})^*(f) \leq C(\log \varrho)\|\Omega\|_1\|h\|_{\Delta_1}|f|$ (see (2.17)), which implies the estimate (2.3) for $j = 0$. Therefore, by induction we have (2.3) for all $0 \leq j \leq \ell$. This completes the proof of Lemma 1.

Now we can prove Theorem 1. Since $\theta \in (0, 1)$ is arbitrary, by taking $\varrho = 2^{q's'}$ in Lemma 2 we have

$$\|T_{2^{q's'}}^{(m)}(f)\|_p \leq C_p(q-1)^{-1}(s-1)^{-1}\|\Omega\|_q\|h\|_{\Delta_s}\|f\|_p$$

for all $p \in (1, \infty)$. This completes the proof of Theorem 1, since $T = \sum_{m=1}^{\ell} T_{\varrho}^{(m)}$.

3. Proof of Theorem 2. Theorem 2 can be proved by Theorem 1 and an extrapolation argument. Let $T(f)$ be the singular integral in (1.2). We also write $T(f) = T_{h,\Omega}(f)$. We fix $q \in (1, 2]$, $\Omega \in L^q(S^{n-1})$, $p \in (1, \infty)$ and a function f with $\|f\|_p \leq 1$ and put $S(h) = \|T_{h,\Omega}(f)\|_p$. Then we have the subadditivity:

$$(3.1) \quad S(h+k) \leq S(h) + S(k).$$

Set $E_1 = \{r \in \mathbb{R}_+ : 0 < |h(r)| \leq 2\}$ and $E_m = \{r \in \mathbb{R}_+ : 2^{m-1} < |h(r)| \leq 2^m\}$ for $m \geq 2$. Then, applying Theorem 1, we see that

$$(3.2) \quad S(h\chi_{E_m}) \leq C(q-1)^{-1}(s-1)^{-1}\|\Omega\|_q\|h\chi_{E_m}\|_{\Delta_s}$$

for $s \in (1, 2]$, where χ_E denotes the characteristic function of a set E . Now we follow the extrapolation argument of Zygmund [26, Chap. XII, pp. 119–120]. First, note that

$$\|h\chi_{E_m}\|_{\Delta_{1+1/m}} \leq 2^m d_m^{m/(m+1)}(h)$$

for $m \geq 1$, where $d_m(h)$ is as in Section 1. Using this and (3.2) we see that

$$\begin{aligned} \sum_{m \geq 1} S(h\chi_{E_m}) &\leq C(q-1)^{-1}\|\Omega\|_q \sum_{m \geq 1} m\|h\chi_{E_m}\|_{\Delta_{1+1/m}} \\ &\leq C(q-1)^{-1}\|\Omega\|_q \sum_{m \geq 1} m2^m d_m^{m/(m+1)}(h). \end{aligned}$$

Recalling the definition of $N_a(h)$, we have

$$\begin{aligned} &\sum_{m \geq 1} m2^m d_m^{m/(m+1)}(h) \\ &= \sum_{d_m(h) < 3^{-m}} m2^m d_m^{m/(m+1)}(h) + \sum_{d_m(h) \geq 3^{-m}} m2^m d_m^{m/(m+1)}(h) \\ &\leq \sum_{m \geq 1} m2^m 3^{-m^2/(m+1)} + \sum_{m \geq 1} m2^m d_m(h) 3^{m/(m+1)} \leq C(1 + N_1(h)). \end{aligned}$$

Therefore, by (3.1) we see that

$$(3.3) \quad S(h) \leq \sum_{m \geq 1} S(h\chi_{E_m}) \leq C(q-1)^{-1}\|\Omega\|_q(1 + N_1(h)).$$

Next, fix $h \in \mathcal{N}_1$, $p \in (1, \infty)$ and f with $\|f\|_p \leq 1$ and let $R(\Omega) = \|T_{h,\Omega}(f)\|_p$. Put $e_m = \sigma(F_m)$ for $m \geq 1$, where $F_m = \{\theta \in S^{n-1} : 2^{m-1} <$

$|\Omega(\theta)| \leq 2^m$ for $m \geq 2$ and $F_1 = \{\theta \in S^{n-1} : |\Omega(\theta)| \leq 2\}$. We decompose Ω as $\Omega = \sum_{m=1}^\infty \Omega_m$, where $\Omega_m = \Omega \chi_{F_m} - \sigma(S^{n-1})^{-1} \int_{F_m} \Omega d\sigma$. We note that $\int \Omega_m d\sigma = 0$, $\|\Omega_m\|_r \leq C2^m e_m^{1/r}$ for $1 < r < \infty$. Now, by (3.3) and the subadditivity of $R(\Omega)$ we see that

$$\begin{aligned} R(\Omega) &\leq \sum_{m \geq 1} R(\Omega_m) \leq C(1 + N_1(h)) \sum_{m \geq 1} m \|\Omega_m\|_{1+1/m} \\ &\leq C(1 + N_1(h)) \sum_{m \geq 1} m 2^m e_m^{m/(m+1)} \\ &= C(1 + N_1(h)) \left(\sum_{e_m < 3^{-m}} + \sum_{e_m \geq 3^{-m}} \right) \\ &\leq C(1 + N_1(h)) \left(\sum_{m \geq 1} m 2^m 3^{-m^2/(m+1)} + \sum_{m \geq 1} m 2^m e_m 3^{m/(m+1)} \right) \\ &\leq C(1 + N_1(h)) \left(1 + \int_{S^{n-1}} |\Omega(\theta)| \log(2 + |\Omega(\theta)|) d\sigma(\theta) \right). \end{aligned}$$

This completes the proof of Theorem 2.

4. Estimates for maximal functions. For the maximal operator T^* in (1.3) we have a result similar to Theorem 1.

THEOREM 3. *Let $q \in (1, 2]$, $s \in (1, 2]$ and $\Omega \in L^q(S^{n-1})$, $h \in \Delta_s$. Suppose Ω satisfies (1.1). Then*

$$\|T^*(f)\|_{L^p(\mathbb{R}^d)} \leq C_p (q-1)^{-1} (s-1)^{-1} \|\Omega\|_{L^q(S^{n-1})} \|h\|_{\Delta_s} \|f\|_{L^p(\mathbb{R}^d)}$$

for all $p \in (1, \infty)$, where C_p is independent of q, s, Ω and h .

As Theorem 1 implies Theorem 2, we have the following theorem as a consequence of Theorem 3.

THEOREM 4. *Let Ω be a function in $L \log L(S^{n-1})$ satisfying (1.1) and $h \in \mathcal{N}_1$. Then*

$$\|T^*(f)\|_{L^p(\mathbb{R}^d)} \leq C_p \|f\|_{L^p(\mathbb{R}^d)}$$

for all $p \in (1, \infty)$.

As in the cases of Theorems 1 and 2, the constants C_p of Theorems 3 and 4 are also independent of the polynomials P_j if we fix $\deg(P_j)$ ($j = 1, \dots, d$). When Ω is as in Theorem 4 and $h \in \Delta_s$ for some $s > 1$, L^p boundedness of T^* was proved in [1]. When $n = d$, $P(y) = y$, $\Omega \in L^q$ for some $q > 1$ and h is bounded, L^p boundedness of T^* is due to [3].

We use the following result to prove Theorem 3.

LEMMA 6. *Let $\tau^{(m)} = \{\tau_k^{(m)}\}$ ($1 \leq m \leq \ell$), where the measures $\tau_k^{(m)}$ are as in (2.2). Let $\theta \in (0, 1)$ and let positive numbers $A = (\log \varrho) \|\Omega\|_{L^q(S^{n-1})} \|h\|_{\Delta_s}$,*

$B = (1 - \beta_m^{-\theta\alpha_m})^{-1}$ be as above. Define

$$(4.1) \quad T_{\varrho,m}^*(f)(x) = \sup_{k \in \mathbb{Z}} \left| \sum_{j=k}^{\infty} \tau_j^{(m)} * f(x) \right|.$$

Then for $p \in (2(1 + \theta)/(\theta^2 - \theta + 2), (1 + \theta)/\theta) =: I_\theta$ we have

$$\|T_{\varrho,m}^*(f)\|_p \leq CA(B^{1+\delta(p)} + B^{2/p+1-\theta/2})\|f\|_p,$$

where C is independent of $q, s \in (1, 2], \Omega \in L^q(S^{n-1}), h \in \Delta_s, \varrho$ and the coefficients of the polynomials P_j ($1 \leq j \leq d$).

Proof. Let $T_\varrho^{(m)}(f) = \sum_k \tau_k^{(m)} * f$ be as in Lemma 2. Let a function φ be as in the definition of $\tau_k^{(m)}$ in (2.2). Define φ_k by $\hat{\varphi}_k(\xi) = \varphi(\beta_m^k |H_m \pi_{s_m}^d R_m(\xi)|)$. Let δ be the delta function as above. Following [8], we decompose

$$\sum_{j=k}^{\infty} \tau_j^{(m)} * f = \varphi_k * T_\varrho^{(m)}(f) - \varphi_k * \left(\sum_{j=-\infty}^{k-1} \tau_j^{(m)} * f \right) + (\delta - \varphi_k) * \left(\sum_{j=k}^{\infty} \tau_j^{(m)} * f \right).$$

It follows that

$$(4.2) \quad T_{\varrho,m}^*(f) \leq \sup_k |\varphi_k * T_\varrho^{(m)}(f)| + \sum_{j=0}^{\infty} N_j^{(m)}(f),$$

where $N_j^{(m)}(f) = \sup_k |\varphi_k * (\tau_{k-j-1}^{(m)} * f)| + \sup_k |(\delta - \varphi_k) * (\tau_{j+k}^{(m)} * f)|$. By Lemma 2 we have

$$(4.3) \quad \|\sup_k |\varphi_k * T_\varrho^{(m)}(f)|\|_p \leq CAB^{1+\delta(p)}\|f\|_p \quad \text{for } p \in (1 + \theta, (1 + \theta)/\theta).$$

Also, by (2.7) we see that

$$(4.4) \quad \|N_j^{(m)}(f)\|_r \leq CAB^{2/r}\|f\|_r \quad \text{for } r > 1 + \theta.$$

On the other hand, we have

$$N_j^{(m)}(f) \leq \left(\sum_k |(\delta - \varphi_k) * (\tau_{j+k}^{(m)} * f)|^2 \right)^{1/2} + \left(\sum_k |\varphi_k * (\tau_{k-j-1}^{(m)} * f)|^2 \right)^{1/2}.$$

Therefore, by the estimates (2.5), (2.6) and Plancherel's theorem, we get

$$(4.5) \quad \|N_j^{(m)}(f)\|_2 \leq CA\beta_m^{-\alpha_m j} (1 - \beta_m^{-2\alpha_m})^{-1/2} \|f\|_2$$

(see [8, p. 820]). For $p \in I_\theta$ we can find $r \in (1 + \theta, 2(1 + \theta)/\theta)$ such that $1/p = (1 - \theta)/r + \theta/2$, so interpolation between (4.4) and (4.5) implies that

$$(4.6) \quad \|N_j^{(m)}(f)\|_p \leq CAB^{2(1-\theta)/r} (1 - \beta_m^{-2\alpha_m})^{-\theta/2} \beta_m^{-\alpha_m \theta j} \|f\|_p.$$

Therefore, by (4.2), (4.3) and (4.6), for $p \in I_\theta$ we have

$$\|T_{\varrho,m}^*(f)\|_p \leq CA(B^{1+\delta(p)} + B^{2(1-\theta)/r+1} (1 - \beta_m^{-2\alpha_m})^{-\theta/2})\|f\|_p.$$

This implies the conclusion of Lemma 6, since $(1 - \beta_m^{-2\alpha_m})^{-1} \leq B$ and $2(1 - \theta)/r + \theta/2 + 1 = 2/p + 1 - \theta/2$. ■

Proof of Theorem 3. Note that $T^*(f) \leq 2T_0^*(f) + 2\mu_\varrho^*(|f|)$, where $T_0^*(f)$ is defined by the formula in (4.1) with $\{\tau_j^{(m)}\}$ replaced by the sequence $\{\sigma_j\}$ of measures in (2.1), and $\mu_\varrho^* = (\mu^{(\ell)})^*$ is as in Lemma 1. We note that $T_0^*(f) \leq \sum_{m=1}^\ell T_{\varrho,m}^*(f)$. Now, Lemma 6 implies that

$$\|T_{\varrho,m}^*(f)\|_p \leq C(\log \varrho)(1 - \varrho^{-\theta/(2q's')})^{-3} \|\Omega\|_q \|h\|_{\Delta_s} \|f\|_p$$

for $p \in I_\theta$. By using this with $\varrho = 2^{q's'}$, since $\theta \in (0, 1)$ is arbitrary, we can conclude that

$$\|T_{2^{q's'},m}^*(f)\|_p \leq C_p(q - 1)^{-1}(s - 1)^{-1} \|\Omega\|_q \|h\|_{\Delta_s} \|f\|_p$$

for $p \in (1, \infty)$. Also, by Lemma 1, μ_ϱ^* satisfies a similar estimate when $\varrho = 2^{q's'}$. Collecting results, we have Theorem 3. ■

REMARK. Let

$$M(f)(x) = \sup_{t>0} t^{-n} \int_{|y|<t} |f(x - P(y))| |\Omega(y')| |h(|y|)| dy.$$

It is easy to see that $M(f) \leq C\mu_\varrho^*(f)$, where C is independent of $\varrho \geq 2$. Therefore, by Lemma 1 we can prove results similar to Theorems 1 and 2 for the maximal operator M . In [1], L^p boundedness of M was proved under the condition that $\Omega \in L \log L(S^{n-1})$ and $h \in \Delta_s$ for some $s > 1$. When $n = d$, $P(y) = y$, it is known that M is of weak type $(1, 1)$ if $\Omega \in L \log L(S^{n-1})$ and h is bounded (see [5, 4]).

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