

Complete algebraic vector fields on affine surfaces

Shulim Kaliman, Frank Kutzschebauch, and
Matthias Leuenberger

May 30, 2018

Notations.

In this talk X is always a **normal complex affine algebraic surface** :

\bar{X} is an SNC-completion of X and $D = \bar{X} \setminus X$ is the boundary divisor ;

Γ is the weighted dual graph of D , i.e.

the vertices of $\Gamma =$ the irreducible components of D

the edges of $\Gamma =$ the double points of D ;

the weight of each vertex is the selfintersection of the corresponding component of D in \bar{X} .

Definition.

(1) a holomorphic field ν on X is complete if there is a holomorphic map $\Phi : \mathbb{C} \times X \rightarrow X$, $(t, x) \rightarrow \Phi(t, x)$ such that $\frac{\partial}{\partial t} \Phi(t, x) = \nu(\Phi(t, x))$. Such Φ is called the flow of ν .

(2) A complete field ν is locally nilpotent if its flow is a morphism.

In this case the flow is a G_a -action.

(3) A complete field ν is semi-simple if its flow factors through a morphism $\mathbb{C}^* \times X \rightarrow X$.

In this case the flow induces a G_m -action.

Brunella classified complete algebraic vector fields on \mathbb{C}^2 up to polynomial automorphisms

Example. The field $\nu = ay \frac{\partial}{\partial y} + A(x^m y^n) [nx \frac{\partial}{\partial x} - my \frac{\partial}{\partial y}]$ where $A(t)$ is a polynomial is a complete algebraic vector field on $\mathbb{C}_{x,y}^2$.

Motivation

Ambitious Aim : classify affine surfaces on which the group generated by flows of complete holomorphic vector fields acts homogeneously.

Definition. Let a group G act on a normal surface X .

We say that X is G -quasi-homogeneous if G has an open orbit whose complement is at most finite.

Modest Aim. Classify surfaces quasi-homogeneous with respect to reasonable groups

$\text{Aut}_{\text{alg}}(X)$ -Quasi-homogeneous surfaces X (Gizatullin)

Let X be different from $\mathbb{C}^* \times \mathbb{C}^*$ and $\mathbb{C} \times \mathbb{C}^*$

Then X admits an SNC-completion \bar{X} such that the dual graph Γ of its boundary $\bar{X} \setminus X$ is a linear rational graph which can be always chosen in the following standard form

$$\begin{array}{ccccccc} C_0 & & C_1 & & C_2 & & \dots & & C_n \\ \circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \dots & \text{---} & \circ \\ 0 & & 0 & & w_2 & & & & w_n \end{array}$$

where $n \geq 0$ and $w_i \leq -2$ for $i = 2, \dots, n$.

Gizatullin surfaces **are Not necessarily homogeneous**
(Danilov, Gizatullin and Kovalenko).

Remark. \forall Gizatullin surface is $\text{SAut}(X)$ -quasi-homogeneous where $\text{SAut}(X) \subset \text{Aut}_{\text{alg}}(X)$ generated by all elements of G_a -actions on X i.e. by the elements of the flows of locally nilpotent vector fields.

Basic Example. Let $\delta = x\partial/\partial x + cy\partial/\partial y$ be a vector field on \mathbb{C}^2 where c is an **irrational** number. The the flow of δ is given by

$$\Phi_t(x, y) = (e^t x, e^{ct} y).$$

Note that Φ is neither G_a -action nor G_m -action and each general integral curve of δ is everywhere dense in \mathbb{C}^2 .

Definition.

An element of a flow of a complete algebraic vector field will be called an algebraically generated holomorphic automorphism.

Let $\mathbb{A}\text{Aut}_{\text{hol}}(X)$ be the subgroup of $\text{Aut}_{\text{hol}}(X)$ generated by all algebraically generated holomorphic automorphisms.

If X is $\mathbb{A}\text{Aut}_{\text{hol}}(X)$ -quasi-homogeneous we call it
generalized Gizatullin surface.

Main Theorem

X is a generalized Gizatullin surface if and only if for some SNC-completion \bar{X} the divisor $\bar{X} \setminus X$ consists of rational curves, and has a dual graph Γ that belongs to one of the following types

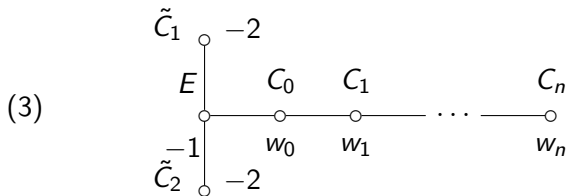
- (1) a standard zigzag or a linear chain of three 0-vertices
(i.e. Gizatullin surfaces and $\mathbb{C} \times \mathbb{C}^*$),
- (2) circular graph with the following possibilities for weights

(2a) $((0, 0, w_1, \dots, w_n))$ where $n \geq 0$ and $w_i \leq -2$,

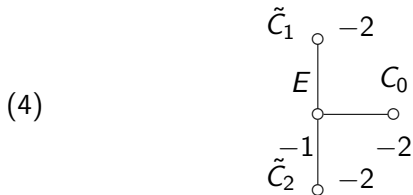
and in the case of $n \geq 5$ this cycle is a subgraph of a graph $\tilde{\Gamma}$ contractible to a cycle $((0, 0, 0, 0))$ with all vertices being the proper transforms of the zero vertices in Γ and their neighbors

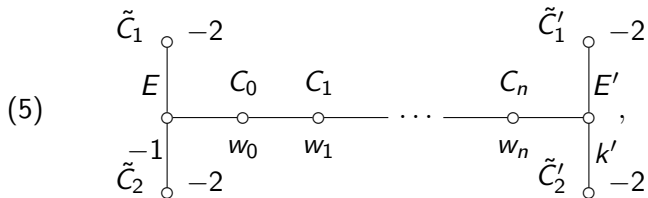
(2b) $((0, 0, w))$ with $-1 \leq w \leq 0$ or $((0, 0, 0, w))$
with $w \leq 0$,

(2c) $((0, 0, -1, -1))$;

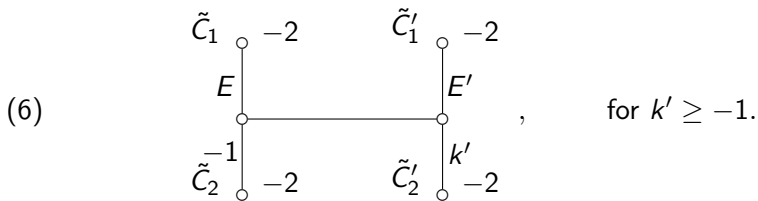


where $n \geq 0$, $w_0 \geq 0$ and $w_i \leq -2$ for $i \geq 1$,





where $n \geq 0$, $w_0 \geq 0$ and $w_i \leq -2$ for $i \geq 1$;
 moreover $k' \leq -1$ if $n = 0$ or $k' \leq -2$ if $n > 0$,



Examples-1 (1) Let $X \subset \mathbb{C}^3$ be a hypersurface given by

$$x + y + xyz = 1.$$

This surface admits an SNC-completion \bar{X} such that the dual graph of $\bar{X} \setminus X$ is a cycle $((0, 0, -1, -1))$

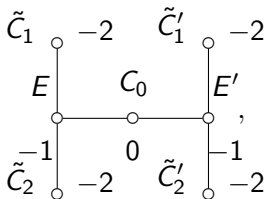
but it has no nontrivial G_a or G_m -actions

(2) Let $X \subset \mathbb{C}^3$ be a hypersurface given by

$$xp(x) + yq(y) + xyz = 1$$

where the polynomials $1 - xp(x)$ and $1 - yq(y)$ have simple roots only. It is a generalized Gizatullin surface.

Example.



The Gizatllin surface corresponding to this graph is a twisted \mathbb{C}^* -bundle over \mathbb{C}^* , i.e. it is a complexification of the Klein bottle.

Definition. \forall vector field ν on X a rational map $f : X \dashrightarrow B$ with ν tangent to the fibers of f is a rational first integral of ν .

Theorem B. Let X admit a nonzero complete algebraic vector field. Then either (α) all complete algebraic fields share the same rational first integral, or

(β) X is rational with an open $\text{AAut}_{\text{hol}}(X)$ -orbit and \forall complete algebraic vector field ν on $X \exists$ a regular function $f : X \rightarrow B \simeq \mathbb{C}$

with general fibers \mathbb{C} or \mathbb{C}^* and a complete vector field μ on B for which $f_*(\nu) = \mu$.

Remark. For $X = \mathbb{C}^2$ the function f in Theorem B was discovered by Brunella; f in (β) yields a Riccati fibration.

Example. Let $\delta = x\partial/\partial x + cy\partial/\partial y$ be a vector field on \mathbb{C}^2 where c is irrational. Then $f(x, y) = x$ (resp. $f(x, y) = y$) yields a Riccati fibration.

Theorem. (Guillot, Rebelo)

Let X admits a nontrivial complete algebraic vector field ν and $\bar{\nu}$ be its extension to \bar{X} . Then up to a birational transformation of \bar{X} one of the following is true

- (1) $\bar{\nu}$ has a rational first integral ;
- (2) the field $\bar{\nu}$ is holomorphic ;
- (3) \exists a morphism $\bar{f} : \bar{X} \rightarrow B$ into a complete rational or elliptic curve B with rational or elliptic general fibers such that \exists a vector field μ on B for which $\bar{f}_*(\bar{\nu}) = \mu$.

Step 1. If (2) holds then either (1) or (3) is true.

Step 2. In (3) using Brunella's technique one can exclude Turbulent fibrations \bar{f} (i.e. elliptic fibers of \bar{f}) and show that general fibers of $\bar{f}|_X$ is either \mathbb{C} or \mathbb{C}^* .

Step 3. If $\bar{f}(X) = \mathbb{P}^1$ in (3) then X is a toric surface

Step 4. When X is toric for \forall complete algebraic field \exists \bar{f} as in (3) with $B \simeq \mathbb{C}$.

Theorem. Let X be a generalized Gizatullin surface such that for a complete algebraic vector field ν on X \exists a surjective rational first integral $f : X \dashrightarrow B$ into a complete curve B . Then

(1) either X is toric (and, in particular, a Gizatullin surface) or X is isomorphic to the hypersurface $S \subset \mathbb{C}_{x,y,z}^3$ given by

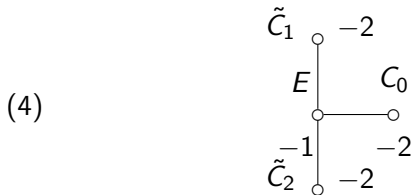
$$y(x^2 + y^2) + z^2 = 0;$$

(2) up to a constant nonzero factor ν is semi-simple.

Remark. The singularity of S is of type $-D_4$ (Recall that type $-D_{n+1}$ locally isomorphic to the hypersurface $yx^2 + y^n + z^2 = 0$ in $\mathbb{C}_{x,y,z}^3$).

The elliptic \mathbb{C}^* -action on S is given by $(x, y, z) \rightarrow (\lambda^2 x, \lambda^2 y, \lambda^3 z)$

induced by the field $2x \frac{\partial}{\partial x} + 2y \frac{\partial}{\partial y} + 3z \frac{\partial}{\partial z}$ and Γ is



Three \mathbb{C}^* -fibrations associated with the three different strings $[[-2, -1, -2]]$ in the graph of \hat{D} are given by the functions

$$y, x + \sqrt{-1}y, \text{ and } x - \sqrt{-1}y.$$

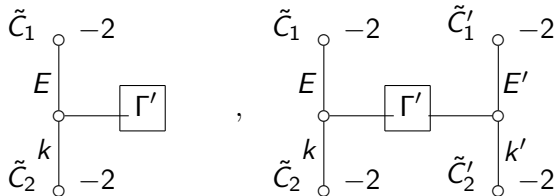
For each of these fibrations \exists a complete vector field tangent to its fibers. Say, for y it is $z \frac{\partial}{\partial x} - xy \frac{\partial}{\partial z}$.

For $n \geq 4$ the hypersurface $\{yx^2 + y^n + z^2 = 0\} \subset \mathbb{C}_{x,y,z}^3$ has an open $\text{AAut}_{\text{hol}}(X)$ -orbit but it is not generalized Gizatullin.

Scheme of the proof in the presence of a Riccati fibration.

1. Let \bar{X} be such that f extends to $\bar{f} : \bar{X} \rightarrow \mathbb{P}^1 \implies$
 \exists (i) one or (ii) two horizontal components in $D = \bar{X} \setminus X$ and
they are sections, or in (i) it is a double cover of \mathbb{P}^1 .
2. Let Γ be pseudo-minimal \implies either the fibers of \bar{f}
contained in D are 0-vertices or their graph is $[[-2, -1, -2]]$.

3. If \exists another complete vector field then \exists at most two fibers of \bar{f} are contained in $D \implies \Gamma$ with a branch vertex is of the form



4. \implies the desired form.

Concluding Remark. All Kovalenko's examples of non-homogeneous Gizatullin surfaces X are homogeneous with respect to $\mathbb{A}\text{Aut}_{\text{hol}}(X)$.