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Normal log canonical del Pezzo surfaces
of rank one
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Contents

- §1 Introduction
- §2 Some results on normal del Pezzo surfaces
- §3 Normal l.c. del Pezzo surfaces of rank one
(Results of this talk)
- §4 On proofs

1 Introduction

Minimal model theory for normal surfaces

- smooth case
 - with only l.t. singular points
 - $(/\mathbb{C})$ normal Moishezon surfaces (Sakai '85)
 - with only \mathbb{Q} -factorial singular points (Fujino '12, Tanaka '14)
 - with only l.c. singular points (Fujino '12, Tanaka '14)
- (l.t. = log terminal, l.c. = log canonical)

$/\mathbb{C}$

V : a normal projective surface with only l.c. singular points

$f : V \rightarrow W$: a minimal model program for V

f is constructed by contracting curves C with $C^2 < 0$ and $CK_V < 0$ successively.

Theorem 1.1. (Fujino '12, Tanaka '14)

- (1) *W is a normal projective surface with only l.c. singular points.*
- (2) *One of the followings holds.*
 - (i) *K_W is nef. (W is a minimal model.)*
 - (ii) *There exists a fibration $\pi : W \rightarrow T$ onto a smooth projective curve T whose general fiber $\cong \mathbb{P}^1$.*
 - (iii) *$K_W^2 > 0$, $(-K_W)C > 0$ for any irreducible curve C on W , and $\rho(W) = 1$.*

We call the surface W in Theorem 1.1 (2) (iii) a normal l.c. del Pezzo surface of rank one. If W has only l.t. singular points, then it is called a log del Pezzo surface of rank one.

Remark 1. Sakai's results on ruled fibrations on normal surfaces ('87)

\implies Theorem 1.1 (2) (ii)

2 Some results on normal del Pezzo surfaces

X : a normal del Pezzo surface/ \mathbb{C}

i.e.,

- X : a normal complete algebraic surface
- $K_X^2 > 0$, $(-K_X)C > 0$ for $\forall C$: irreducible curve on X

X is said to be of rank one if $\rho(X) = 1$.

Remark 2. $h^1(X, \mathcal{O}_X) = h^2(X, \mathcal{O}_X) = 0$.

Proposition 2.1. (Brenton '77, Chel'tsov '97 etc.)

(1) X is projective.

(2) X is birationally ruled.

(3) X is a rational surface.

$\iff \forall$ singular point on X is rational.

$\iff X$ is \mathbb{Q} -factorial.

Some results

- Normal del Pezzo surfaces with only Gorenstein singular points (Demazure '77, Hidaka–Watanabe '81, etc.)
- Chel'tsov ('97) classified the normal del Pezzo surfaces of rank one with non-rational singular points.

From now on, we consider normal del Pezzo surfaces with only rational singular points.

Some results on log del Pezzo surfaces

- Boundedness of log del Pezzo surfaces (Nikulin '89, '90)

Theorem 2.2. (Gurjar-Zhang '94, '95, Fujiki-Kobayashi-Lu '93) *The fundamental group of the smooth part of a log del Pezzo surface is finite.*

Theorem 2.3. (Keel-McKernan '99) *The smooth part of a log del Pezzo surface is log uniruled.*

- Low indices (classification)

Index two: Alexeev–Nikulin '89, Nakayama '07

Index three: Fujita–Yasutake '17

Theorem 2.4. (Belousov '08) *The number of the singular points on a log del Pezzo surface of rank one ≤ 4 .*

- D.-S. Hwang ('14 (?)) announced a classification of the log del Pezzo surfaces of rank one with 4 singular points.

3 Normal log canonical del Pezzo surfaces of rank one

Setting:

X : a normal del Pezzo surface of rank one with only rational l.c. singular points

$\pi : V \rightarrow X$: the minimal resolution of X

D : the reduced exceptional div. w.r.t. π

Theorem 3.1. (K.-T. '12) $\# \text{Sing } X \leq 5$.

Theorem 3.2. (K. '13) *Assume $\# \text{Sing } X = 5$.*

- (1) *The weighted dual graph of D is given in Fig. 1.*
- (2) *There exists a \mathbb{P}^1 -fibration $\Phi : V \rightarrow \mathbb{P}^1$ in such a way that the configuration of D as well as all singular fibers of Φ can be described in Fig. 2, where a dotted line (resp. a solid line) stands for a (-1) -curve (resp. a component of D).*

Proposition 3.3. (K.-T. in preparation) X has at most one non l.t. singular point.

Theorem 3.4. (K.-T. in preparation) Assume that $\# \text{Sing } X = 4$ and X has a non l.t. singular point. Then there exists a \mathbb{P}^1 -fibration $\Phi : V \rightarrow \mathbb{P}^1$ such that $FD = 1$ for a fiber F of Φ .

\implies Classification

Minimal compactifications of \mathbb{C}^2

X : a minimal compactification of \mathbb{C}^2

- X is a normal compact complex surface,
- $\exists \Gamma$: an irreducible closed subvariety on X s.t.
 $X \setminus \Gamma$ is biholomorphic to \mathbb{C}^2

$\pi : V \rightarrow X$: the minimal resolution of X

D : the reduced exceptional divisor on V

C : the proper transform of Γ on V

Theorem 3.5. (K. '00,, K.-T. '09) *Assume that X has only l.c. singular points*

- (1) X is a normal del Pezzo surface of rank one and the compactification (X, Γ) of \mathbb{C}^2 is algebraic.*
- (2) The dual graph of D is given in Fig. 3.*

Theorem 3.6. (K.-T. '09, in preparation)

X: a normal del Pezzo surface of rank one with only rational l.c. singular points.

Assume:

- X has a non l.t. singular point or a non-cyclic quotient singular point.*
- The singularity type of X is one of the list of Fig. 3.*

Then X contains \mathbb{C}^2 as a Zariski open subset.

4 On proofs

Setting:

X : a normal del Pezzo surface of rank one with only rational singular points

$\pi : V \rightarrow X$: the minimal resolution of X

D : the reduced exceptional div. w.r.t. π

$$D^\# := \pi^*(K_X) - K_V$$

$MV(X)$: the set of all irreducible curves C' s.t. $C'(-K_X)$ attains the smallest value.

$$MV(V, D) := \{\pi'(C') \mid C' \in MV(X)\}$$

Definition 1. (1) X (or (V, D)) is of the first kind $\iff \exists C \in MV(V, D)$ s.t.

$$|C + D + K_V| \neq \emptyset.$$

(2) X (or (V, D)) is of the second kind \iff it is not of the first kind, i.e., $\forall C \in MV(V, D)$,
 $|C + D + K_V| = \emptyset.$

Remark 3. If $|C + D + K_V| = \emptyset$, then $C + D$ is an SNC-div. and every connected component of $C + D$ is a tree of \mathbb{P}^1 's.

Lemmas 4.1~4.4, 4.6 are obtained by Miyanishi and Zhang when X has only l.t. singular points.

Lemma 4.1. *With the same notations and assumptions as above, we have:*

- (1) $-(D^\# + K_V)$ is nef and big \mathbb{Q} -Cartier divisor.
- (2) F : an irreducible curve
 $-F(D^\# + K_V) = 0$ if and only if F is a component of D .
- (3) Any $(-n)$ -curve with $n \geq 2$ is a component of D .

Lemma 4.2. *If X is of the first kind, then there exists uniquely a decomposition of D as a sum of effective integral divisors $D = D' + D''$ s.t.*

- (i) $C D_i = D'' D_i = K_V D_i = 0$ for any irreducible component D_i of D' .
- (ii) $C + D'' + K_V \sim 0$.

In particular, X has only l.t. singular points.

We assume that X is of the second kind and $\rho(V) \geq 3$.

Lemma 4.3. *Every curve $C \in \text{MV}(V, D)$ is a (-1) -curve.*

Lemma 4.4. *Let $C \in \text{MV}(V, D)$ and let D_1, \dots, D_r be the components of D meeting C . Then $\{-D_1^2, \dots, -D_r^2\}$ is one of the following: $\{2_a, n\}$, $\{2_a, 3, 3\}$, $\{2_a, 3, 4\}$, $\{2_a, 3, 5\}$, where 2_a signifies that 2 is repeated a -times.*

We also use the following:

Lemma 4.5. $C' \in \text{MV}(X)$.

$\implies X \setminus C'$ is a \mathbb{Q} -homology plane.

- Palka ('13) classified the \mathbb{Q} -homology planes containing at least one non l.t. singular points.

$C \in \text{MV}(V, D)$

D_1, \dots, D_r : the components of D meeting C .

Case (II-1) $r \geq 2$ and $D_1^2 = D_2^2 = -2$. (Type II-1)

Case (II-2) $r = 1$ ($\implies D_1^2 = -2$). (Type II-2)

Case (II-3) $r = 3$ and

$\{D_1^2, D_2^2, D_3^2\} = \{-2, -3, -3\}, \{-2, -3, -4\}$ or $\{-2, -3, -5\}$. (Type II-3)

Case (II-4) $r = 2$ and $D_1^2 \leq -3$. (Type II-4)

We assume further that:

- X has only rational l.c. singular points.
- X has at least one non l.t. singular point.

($\implies X$ is of the second kind.)

Case (II-1) (K.-T. '12)

D_1, D_2 : two (-2) -curves $\subset \text{Supp } D$ meeting C .

$$|C + D + K_V| = \emptyset \implies CD_1 = CD_2 = 1,$$

$$D_1 D_2 = 0$$

$\implies |D_1 + D_2 + 2C|$ gives rise to a \mathbb{P}^1 -fibration

\implies Classification of the pairs (V, D) .

Case (II-2) (K.-T. In preparation)

$D^{(1)}$: the connected component of D meeting C

By case by case study on the shape of the dual graph of $D^{(1)}$ and by using the result of Palka, we can determine the pairs (V, D) .

However, we do not have to use this classification in proofs of the results of §3.

Case (II-3) (K.-T. In preparation)

$$C \in \text{MV}(V, D)$$

D_1, D_2, D_3 : the three irreducible components of D meeting C

$$G := 2C + D_0 + D_1 + D_2 + K_V$$

Lemma 4.6. *Either $G \sim 0$ or there exists a (-1) -curve Γ such that $G \sim \Gamma$ and $\Gamma C = \Gamma D_i = 0$ for $i = 0, 1, 2$.*

By using Lemma 4.6 and the result of Palka, we can determine the pair (V, D) .

Case (II-4) ???

We can prove the results of §3 by using some results on \mathbb{Q} -homology planes.

On the proof of Theorem 3.4

$D^{(1)}$: the connected component of D that is contracted to a non l.t. singular point

D_0 : a branch comp. of $D^{(1)}$.

Lemma 4.7. *$(V, D - D_0)$ is almost minimal and $\bar{\kappa}(V - (D - D_0)) = -\infty$.*