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Normal log canonical del Pezzo surfaces  
of rank one  
(j.w.w. Takeshi Takahashi)

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# 1 Introduction

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Minimal model theory for normal surfaces

- smooth case
- with only l.t. singular points
- $(/\mathbb{C})$  normal Moishezon surfaces (Sakai '85)
- with only  $\mathbb{Q}$ -factorial singular points (Fujino '12, Tanaka '14)
- with only l.c. singular points (Fujino '12, Tanaka '14)

(l.t. = log terminal, l.c. = log canonical)

$/\mathbb{C}$

$V$ : a normal projective surface with only l.c. singular points

$f : V \rightarrow W$ : a minimal model program for  $V$

$f$  is constructed by contracting curves  $C$  with  $C^2 < 0$  and  $CK_V < 0$  successively.

**Theorem 1.1.** (Fujino '12, Tanaka '14)

- (1)  *$W$  is a normal projective surface with only l.c. singular points.*
- (2) *One of the followings holds.*
  - (i)  *$K_W$  is nef. ( $W$  is a minimal model.)*
  - (ii) *There exists a fibration  $\pi : W \rightarrow T$  onto a smooth projective curve  $T$  whose general fiber  $\cong \mathbb{P}^1$ .*
  - (iii)  *$K_W^2 > 0$ ,  $(-K_W)C > 0$  for any irreducible curve  $C$  on  $W$ , and  $\rho(W) = 1$ .*

We call the surface  $W$  in Theorem 1.1 (2) (iii) a normal l.c. del Pezzo surface of rank one. If  $W$  has only l.t. singular points, then it is called a log del Pezzo surface of rank one.

*Remark 1.* Sakai's results on ruled fibrations on normal surfaces ('87)

$\implies$  Theorem 1.1 (2) (ii)

## 2 Some results on normal del Pezzo surfaces

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$X$  : a normal del Pezzo surface/ $\mathbb{C}$

i.e.,

- $X$ : a normal complete algebraic surface
- $K_X^2 > 0$ ,  $(-K_X)C > 0$  for  $\forall C$ : irreducible curve on  $X$

$X$  is said to be of rank one if  $\rho(X) = 1$ .

*Remark 2.*  $h^1(X, \mathcal{O}_X) = h^2(X, \mathcal{O}_X) = 0$ .

**Proposition 2.1.** (Brenton '77, Chel'tsov '97 etc.)

(1)  $X$  is projective.

(2)  $X$  is birationally ruled.

(3)  $X$  is a rational surface.

$\iff \forall$  singular point on  $X$  is rational.

$\iff X$  is  $\mathbb{Q}$ -factorial.



## Some results

- Normal del Pezzo surfaces with only Gorenstein singular points (Demazure '77, Hidaka–Watanabe '81, etc.)
- Chel'tsov ('97) classified the normal del Pezzo surfaces of rank one with non-rational singular points.

From now on, we consider normal del Pezzo surfaces with only rational singular points.

## Some results on log del Pezzo surfaces

- Boundedness of log del Pezzo surfaces (Nikulin '89, '90)

**Theorem 2.2.** (Gurjar-Zhang '94, '95, Fujiki-Kobayashi-Lu '93) *The fundamental group of the smooth part of a log del Pezzo surface is finite.*

**Theorem 2.3.** (Keel-McKernan '99) *The smooth part of a log del Pezzo surface is log uniruled.*

- Low indices (classification)

Index two: Alexeev–Nikulin '89, Nakayama '07

Index three: Fujita–Yasutake '17

**Theorem 2.4.** (Belousov '08) *The number of the singular points on a log del Pezzo surface of rank one  $\leq 4$ .*

- D.-S. Hwang ('14 (?)) announced a classification of the log del Pezzo surfaces of rank one with 4 singular points.

# 3 Normal log canonical del Pezzo surfaces of rank one

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Setting:

$X$ : a normal del Pezzo surface of rank one with only rational l.c. singular points

$\pi : V \rightarrow X$ : the minimal resolution of  $X$

$D$ : the reduced exceptional div. w.r.t.  $\pi$

**Theorem 3.1.** (K.-T. '12)  $\# \text{Sing } X \leq 5$ .

**Theorem 3.2.** (K. '13) *Assume  $\# \text{Sing } X = 5$ .*

- (1) *The weighted dual graph of  $D$  is given in Fig. 1.*
- (2) *There exists a  $\mathbb{P}^1$ -fibration  $\Phi : V \rightarrow \mathbb{P}^1$  in such a way that the configuration of  $D$  as well as all singular fibers of  $\Phi$  can be described in Fig. 2, where a dotted line (resp. a solid line) stands for a  $(-1)$ -curve (resp. a component of  $D$ ).*

**Proposition 3.3.** (K.-T. in preparation)  $X$  has at most one non l.t. singular point.

**Theorem 3.4.** (K.-T. in preparation) Assume that  $\# \text{Sing } X = 4$  and  $X$  has a non l.t. singular point. Then there exists a  $\mathbb{P}^1$ -fibration  $\Phi : V \rightarrow \mathbb{P}^1$  such that  $FD = 1$  for a fiber  $F$  of  $\Phi$ .

$\implies$  Classification

## Minimal compactifications of $\mathbb{C}^2$

$X$ : a minimal compactification of  $\mathbb{C}^2$

- $X$  is a normal compact complex surface,
- $\exists \Gamma$ : an irreducible closed subvariety on  $X$  s.t.  
 $X \setminus \Gamma$  is biholomorphic to  $\mathbb{C}^2$

$\pi : V \rightarrow X$ : the minimal resolution of  $X$

$D$ : the reduced exceptional divisor on  $V$

$C$  : the proper transform of  $\Gamma$  on  $V$

**Theorem 3.5.** (K. '00,, K.-T. '09) *Assume that  $X$  has only l.c. singular points*

- (1)  $X$  is a normal del Pezzo surface of rank one and the compactification  $(X, \Gamma)$  of  $\mathbb{C}^2$  is algebraic.*
- (2) The dual graph of  $D$  is given in Fig. 3.*



**Theorem 3.6.** (K.-T. '09, in preparation)

*X: a normal del Pezzo surface of rank one with only rational l.c. singular points.*

*Assume:*

- X has a non l.t. singular point or a non-cyclic quotient singular point.*
- The singularity type of X is one of the list of Fig. 3.*

*Then X contains  $\mathbb{C}^2$  as a Zariski open subset.*

# 4 On proofs

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Setting:

$X$ : a normal del Pezzo surface of rank one with only rational singular points

$\pi : V \rightarrow X$ : the minimal resolution of  $X$

$D$ : the reduced exceptional div. w.r.t.  $\pi$

$$D^\# := \pi^*(K_X) - K_V$$

$MV(X)$ : the set of all irreducible curves  $C'$  s.t.  $C'(-K_X)$  attains the smallest value.

$$MV(V, D) := \{\pi'(C') \mid C' \in MV(X)\}$$

**Definition 1.** (1)  $X$  (or  $(V, D)$ ) is of the first kind  $\iff \exists C \in MV(V, D)$  s.t.  
 $|C + D + K_V| \neq \emptyset$ .

(2)  $X$  (or  $(V, D)$ ) is of the second kind  $\iff$   
it is not of the first kind, i.e.,  $\forall C \in MV(V, D)$ ,  
 $|C + D + K_V| = \emptyset$ .

*Remark 3.* If  $|C + D + K_V| = \emptyset$ , then  $C + D$  is an SNC-div. and every connected component of  $C + D$  is a tree of  $\mathbb{P}^1$ 's.

Lemmas 4.1~4.4, 4.6 are obtained by Miyanishi and Zhang when  $X$  has only l.t. singular points.

**Lemma 4.1.** *With the same notations and assumptions as above, we have:*

- (1)  $-(D^\# + K_V)$  is nef and big  $\mathbb{Q}$ -Cartier divisor.
- (2)  $F$ : an irreducible curve  
 $-F(D^\# + K_V) = 0$  if and only if  $F$  is a component of  $D$ .
- (3) Any  $(-n)$ -curve with  $n \geq 2$  is a component of  $D$ .

**Lemma 4.2.** *If  $X$  is of the first kind, then there exists uniquely a decomposition of  $D$  as a sum of effective integral divisors  $D = D' + D''$  s.t.*

- (i)  $C D_i = D'' D_i = K_V D_i = 0$  for any irreducible component  $D_i$  of  $D'$ .
- (ii)  $C + D'' + K_V \sim 0$ .

In particular,  $X$  has only l.t. singular points.

We assume that  $X$  is of the second kind and  $\rho(V) \geq 3$ .

**Lemma 4.3.** *Every curve  $C \in \text{MV}(V, D)$  is a  $(-1)$ -curve.*

**Lemma 4.4.** *Let  $C \in \text{MV}(V, D)$  and let  $D_1, \dots, D_r$  be the components of  $D$  meeting  $C$ . Then  $\{-D_1^2, \dots, -D_r^2\}$  is one of the following:  $\{2_a, n\}$ ,  $\{2_a, 3, 3\}$ ,  $\{2_a, 3, 4\}$ ,  $\{2_a, 3, 5\}$ , where  $2_a$  signifies that 2 is repeated  $a$ -times.*

We also use the following:

**Lemma 4.5.**  $C' \in \text{MV}(X)$ .

$\implies X \setminus C'$  is a  $\mathbb{Q}$ -homology plane.

- Palka ('13) classified the  $\mathbb{Q}$ -homology planes containing at least one non l.t. singular points.

$C \in MV(V, D)$

$D_1, \dots, D_r$ : the components of  $D$  meeting  $C$ .

Case (II-1)  $r \geq 2$  and  $D_1^2 = D_2^2 = -2$ . (Type II-1)

Case (II-2)  $r = 1$  ( $\implies D_1^2 = -2$ ). (Type II-2)

Case (II-3)  $r = 3$  and

$\{D_1^2, D_2^2, D_3^2\} = \{-2, -3, -3\}, \{-2, -3, -4\}$  or  $\{-2, -3, -5\}$ . (Type II-3)

Case (II-4)  $r = 2$  and  $D_1^2 \leq -3$ . (Type II-4)



We assume further that:

- $X$  has only rational l.c. singular points.
- $X$  has at least one non l.t. singular point.

( $\implies X$  is of the second kind.)

Case (II-1) (K.-T. '12)

$D_1, D_2$ : two  $(-2)$ -curves  $\subset \text{Supp } D$  meeting  $C$ .

$|C + D + K_V| = \emptyset \implies CD_1 = CD_2 = 1,$

$D_1D_2 = 0$

$\implies |D_1 + D_2 + 2C|$  gives rise to a  $\mathbb{P}^1$ -fibration

$\implies$  Classification of the pairs  $(V, D)$ .

Case (II-2) (K.-T. In preparation)

$D^{(1)}$ : the connected component of  $D$  meeting  $C$

By case by case study on the shape of the dual graph of  $D^{(1)}$  and by using the result of Palka, we can determine the pairs  $(V, D)$ .

However, we do not have to use this classification in proofs of the results of §3.

Case (II-3) (K.-T. In preparation)

$$C \in \text{MV}(V, D)$$

$D_1, D_2, D_3$ : the three irreducible components of  $D$  meeting  $C$

$$G := 2C + D_0 + D_1 + D_2 + K_V$$

**Lemma 4.6.** *Either  $G \sim 0$  or there exists a  $(-1)$ -curve  $\Gamma$  such that  $G \sim \Gamma$  and  $\Gamma C = \Gamma D_i = 0$  for  $i = 0, 1, 2$ .*

By using Lemma 4.6 and the result of Palka, we can determine the pair  $(V, D)$ .

Case (II-4) ???

We can prove the results of §3 by using some results on  $\mathbb{Q}$ -homology planes.

## On the proof of Theorem 3.4

$D^{(1)}$ : the connected component of  $D$  that is contracted to a non l.t. singular point

$D_0$ : a branch comp. of  $D^{(1)}$ .

**Lemma 4.7.**  *$(V, D - D_0)$  is almost minimal and  $\bar{\kappa}(V - (D - D_0)) = -\infty$ .*