

# Analytic symmetries of parabolic and elliptic elements

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joint work with Artur Avila and Alexander Eliad

Consider an orientation preserving circle diffeomorphism

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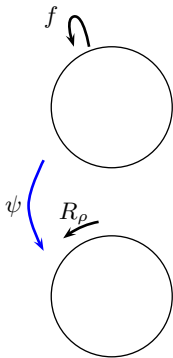
Poincare: the rotation number of  $f$ ,

$$\rho(f),$$

is the average rate of rotation around  $\mathbb{T}$  by an iterate of  $f$ .

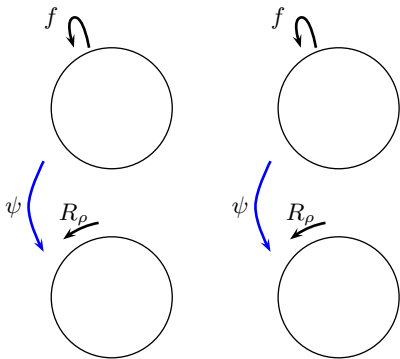
## Theorem (Denjoy 1932)

If  $f \in \text{Dif}_+^2(\mathbb{T})$  with  $\rho = \rho(f) \in \mathbb{R} \setminus \mathbb{Q}$ ,  $f$  is topologically conjugate to the rotation  $R_\rho$ .



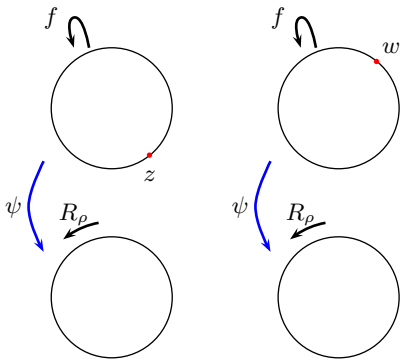
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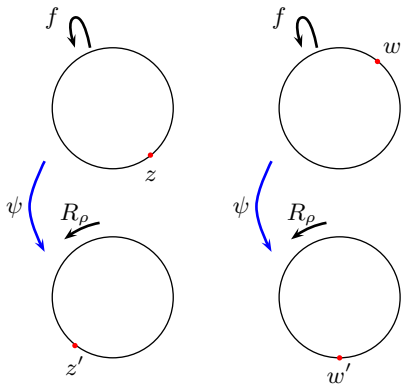
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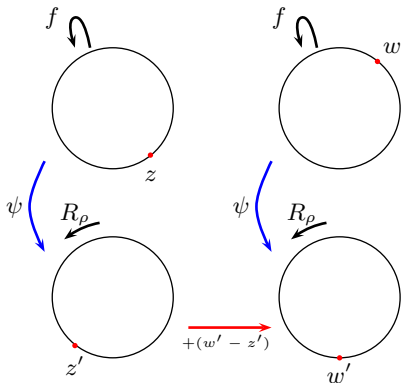
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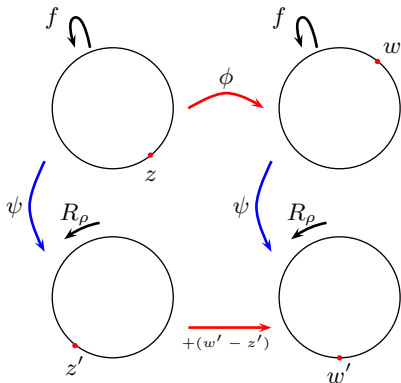
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At topological level, there is total symmetry in the dynamics of  $f$  on  $\mathbb{T}$ .

## Theorem (Herman 1979)

*Every  $f \in \text{Dif}_+^\infty(\mathbb{T})$  with  $\rho(f)$  of Diophantine type is  $C^\infty$  conjugate to the rigid rotation  $R_{\rho(f)}$ .*

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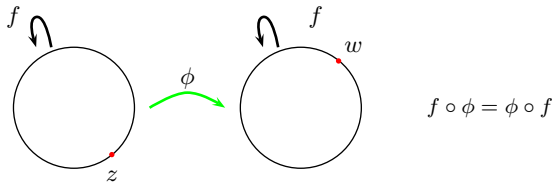
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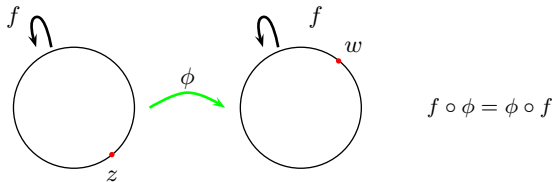
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Are there symmetries when  $f$  is not linearisable?

A measure of linearisability/nonlinearisability: the centraliser

$$\text{Cent}^s(f) = \{g \in \text{Dif}_+^s(\mathbb{T}) \mid f \circ g = g \circ f\}, \quad s = \infty, \omega.$$

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- Evidently,  $f^{\circ n} \in \text{Cent}(f)$ .
- if  $f \in \text{Dif}^s(\mathbb{T})$  is  $C^s$ -linearisable,  $\mathcal{G}^s(f) = \mathbb{R}/\mathbb{Z}$ ,

Lemma: if  $f \circ g = g \circ f$ , and  $g$  is linearisable, then  $f$  is also linearisable.

This implies that

- If  $f \in C^\omega$  is not linearisable,  $\mathcal{G}^\omega(f) \subset (\mathbb{R} \setminus \mathcal{H})/\mathbb{Z}$ .

and

- if  $f \in C^\infty$  is not linearisable,  $\mathcal{G}^\infty(f) \subset (\mathbb{R} \setminus D.C.)/\mathbb{Z}$

Q: How large can  $\mathcal{G}(f)$  be for a non-linearisable map  $f$ ?

Q: Are there maps with trivial centralisers?

In  $C^\infty$  category:

- There exists  $f \in \text{Dif}_+^\infty(\mathbb{T})$  such that  $\mathcal{G}^\infty(f)$  is uncountable (Herman 79).
- There exists  $f \in \text{Dif}_+^\infty(\mathbb{T})$  such that  $\text{Cent}^\infty(f)$  is trivial (Yoccoz 84).

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Theorem (Avila-C-Eliad 2020)

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$$f_{a,b} = x + a + b \sin(2\pi x) : \mathbb{R} \rightarrow \mathbb{R}.$$

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*For all  $b \in (0, 1/(2\pi))$ , there is  $a \in \mathbb{R}$  such that  $\text{Cent}(f_{a,b})$  is trivial and  $\rho(f_{a,b}) \in \mathbb{R} \setminus \mathbb{Q}$ .*



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This is obtained from the following theorem, and a successive perturbation argument.

### Theorem (A-C-E, 2020)

*If  $f_{a,b}$  has a parabolic cycle on  $\mathbb{T}$  for some  $a \in \mathbb{R}$  and  $b \in (0, 1/(2\pi))$ ,  $\text{Cent}(f_{a,b})$  is trivial.*

For

$$f(z) = e^{2\pi i\alpha} z + O(z^2),$$

$\text{Cent}^\omega(f) = \{g \mid g : (\mathbb{C}, 0) \rightarrow \mathbb{C}, 0) \text{ is a non-constant germ with } f \circ g = g \circ f\}$ .

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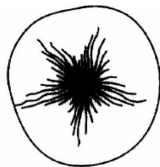
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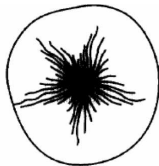
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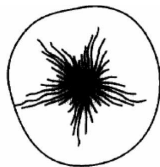
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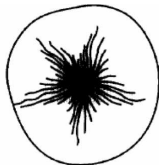
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is  $h$  holomorphic?



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Alexander Eliad is studying the centraliser problem for more general classes of polynomials and rational functions.

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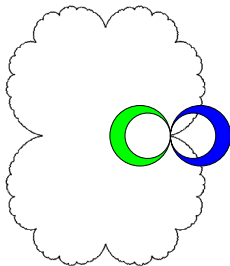
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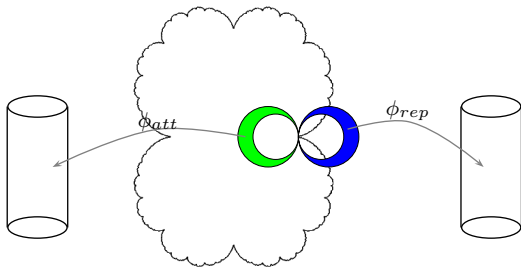
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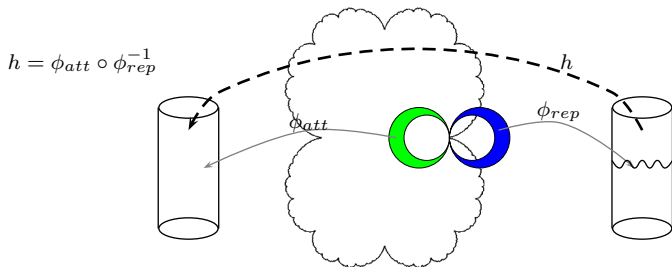
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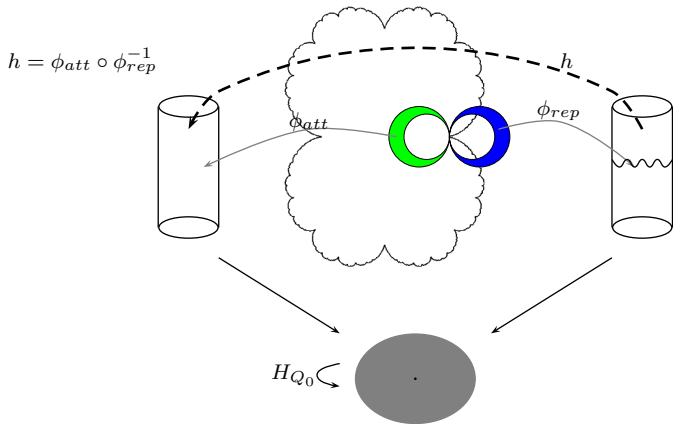




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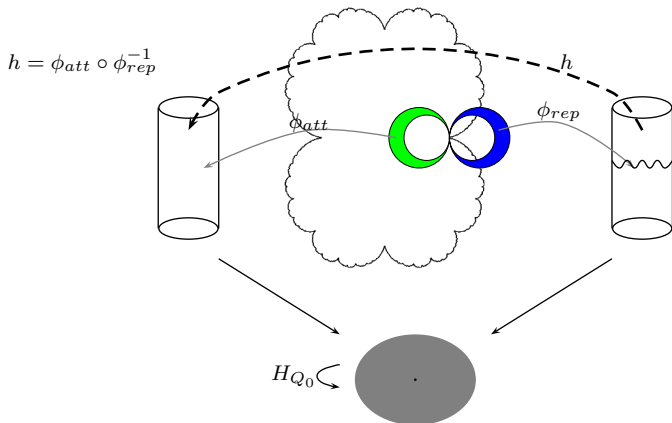
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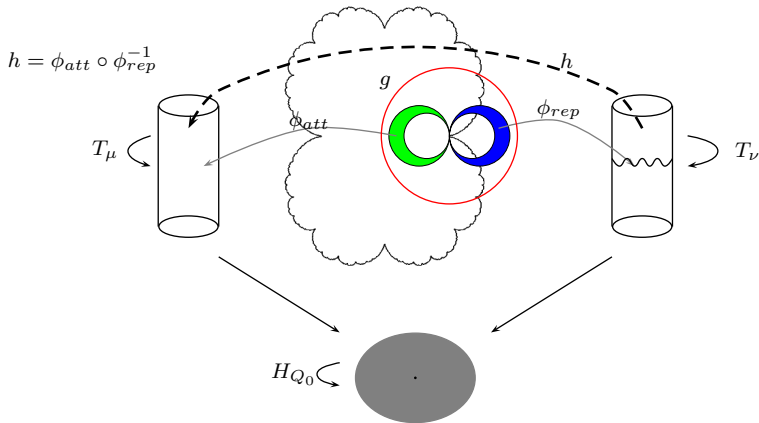
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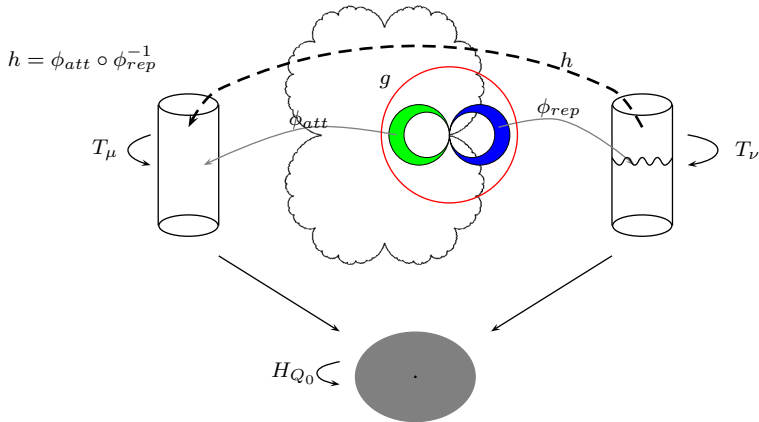
**Prop.**  $H_{Q_0}$  has infinitely many critical points, all mapped to the same value.

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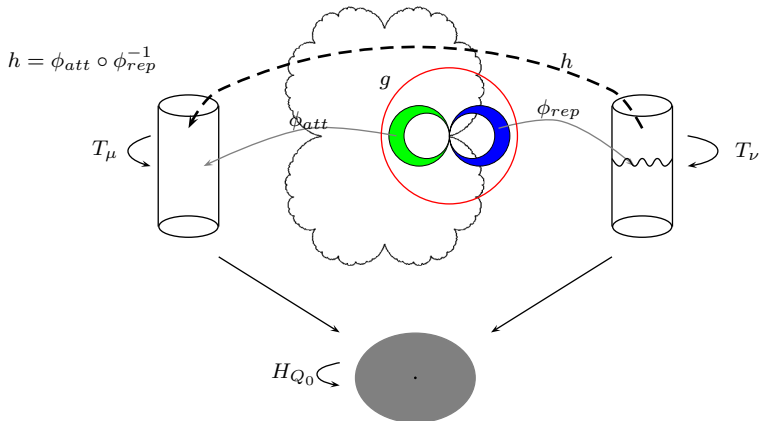


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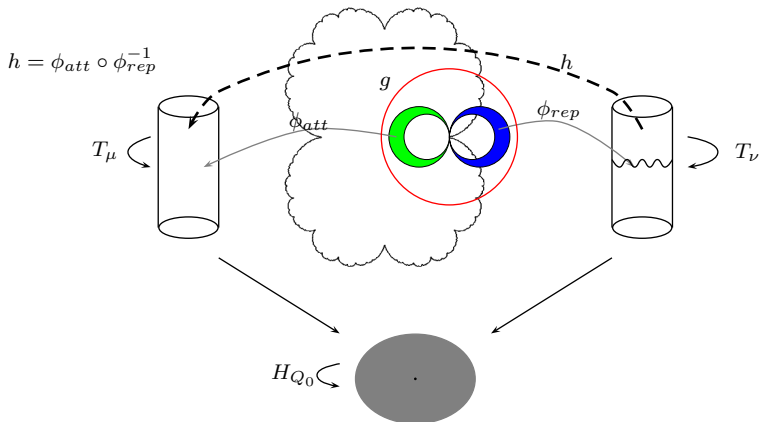
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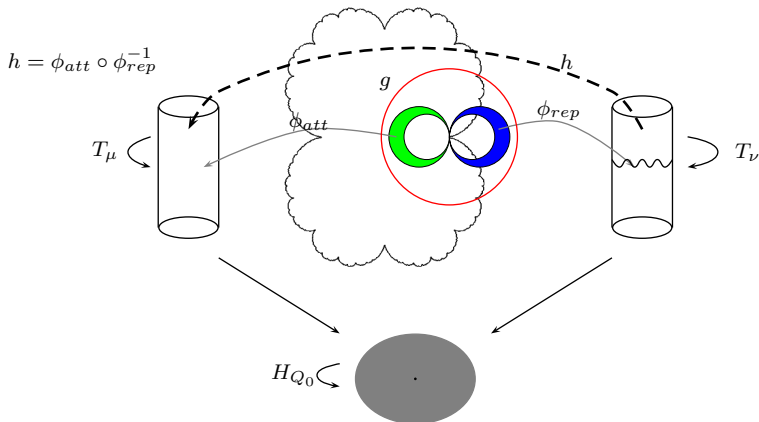


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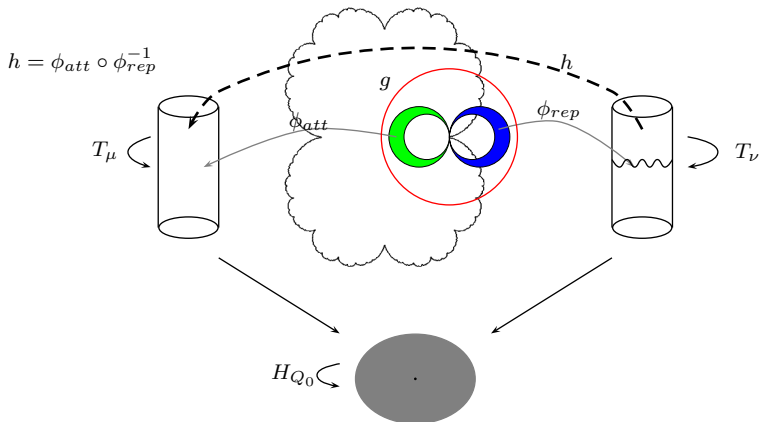
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$$\implies \mu \in \mathbb{Z} \implies g = Q_0^{\circ \mu}.$$

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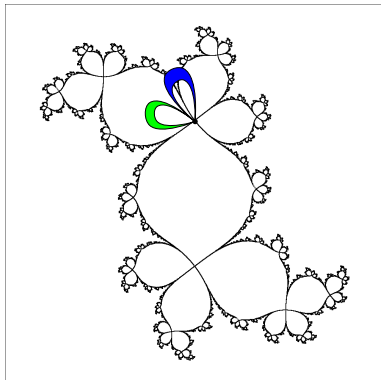
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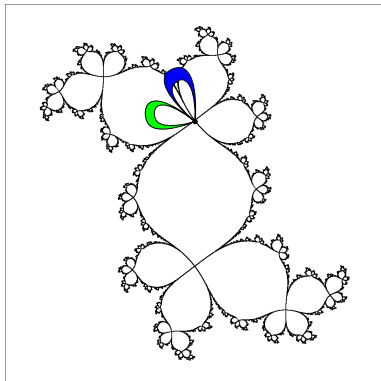
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Similarly,  $\mu = \nu = b_{q+1}/a_{q+1}, \dots$ ,  $H_{Q_{p/q}}$  commutes with  $w \mapsto e^{2\pi i \mu} w$ .

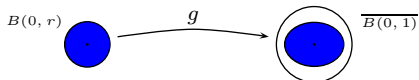
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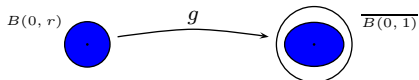




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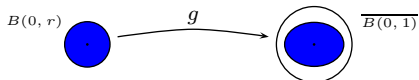


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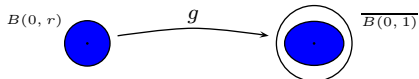
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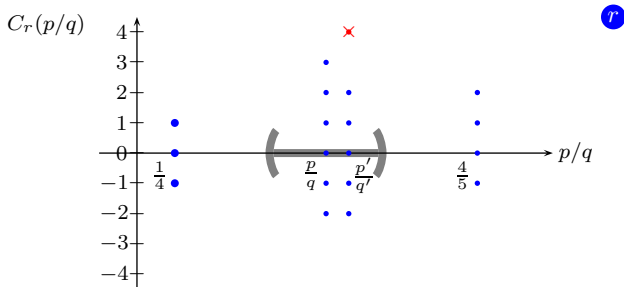
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P1: for all  $p/q \in \mathbb{Q}$ ,  $C_r(p/q)$  is a finite set.

P2: for all  $p/q \in \mathbb{Q}$ , there is  $\delta_r(p/q) > 0$  such that for all  $p'/q' \in \mathbb{Q}$  satisfying  $|p/q - p'/q'| \leq \delta_r(p/q)$ ,

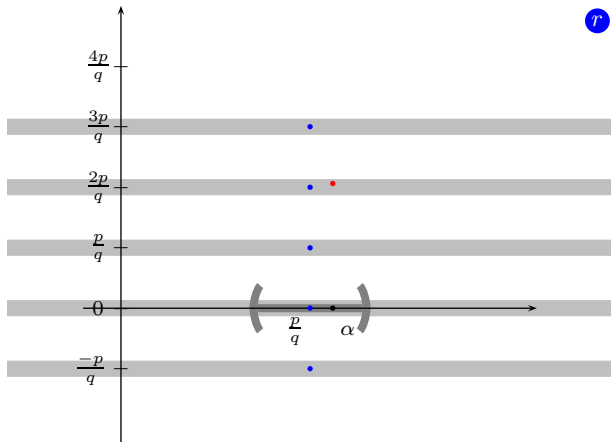
$$C_r(p'/q') \subseteq C_r(p/q).$$



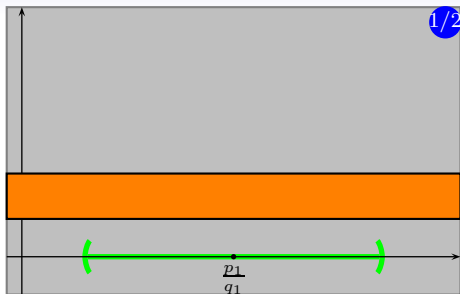
P3: for every  $p/q \in \mathbb{Q}$  and every  $\epsilon > 0$ , there is  $\kappa_r(p/q, \epsilon) > 0$  satisfying the following:

For every  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  with  $|\alpha - p/q| \leq \kappa_r(p/q, \epsilon)$ , if  $g(z) = e^{2\pi i \beta} z + O(z^2)$  commutes with  $Q_\alpha$  and is  $r$ -good,

$$|\beta - kp/q| \leq \epsilon, \quad \text{for some } k \in C_r(p/q)$$

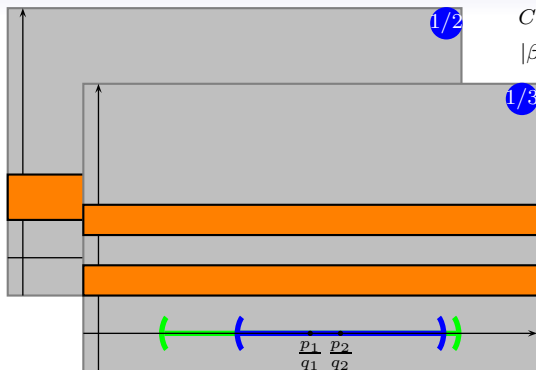


The recursive construction:



$$C_{\frac{1}{2}}(p/q) \subseteq C_{\frac{1}{2}}(p_1/q_1)$$
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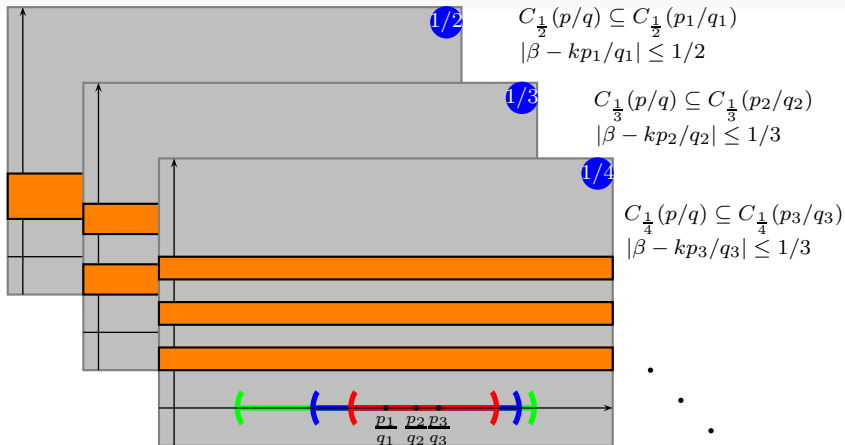
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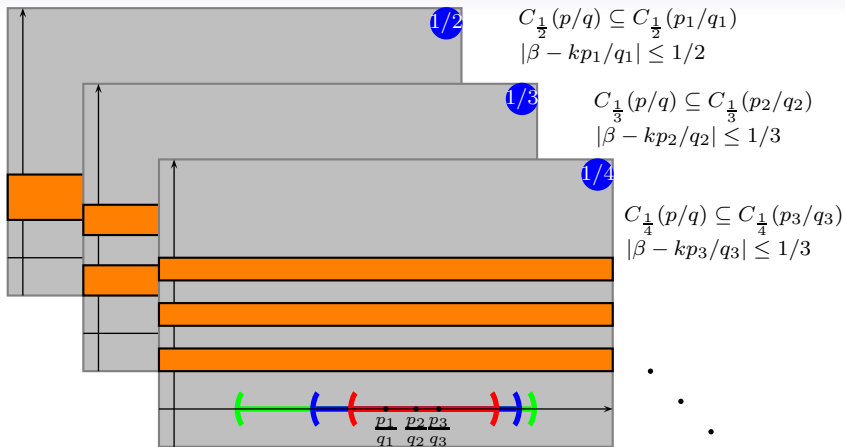
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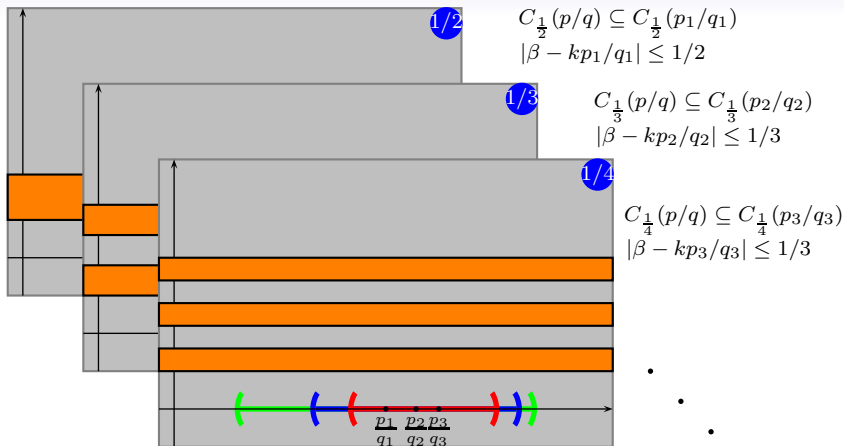


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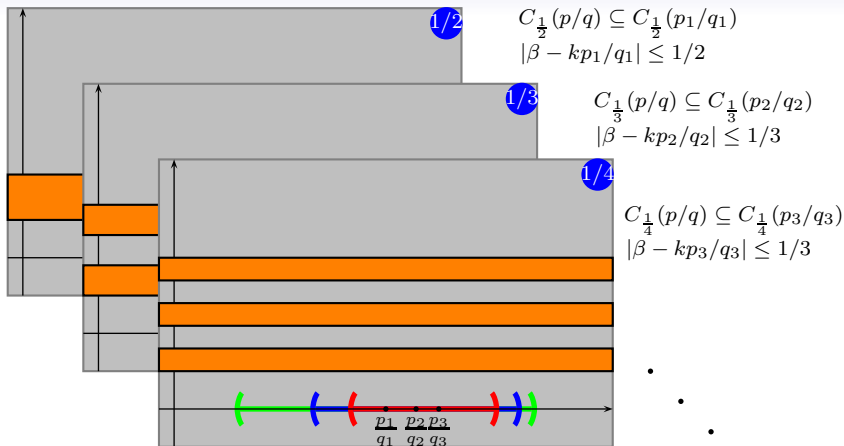
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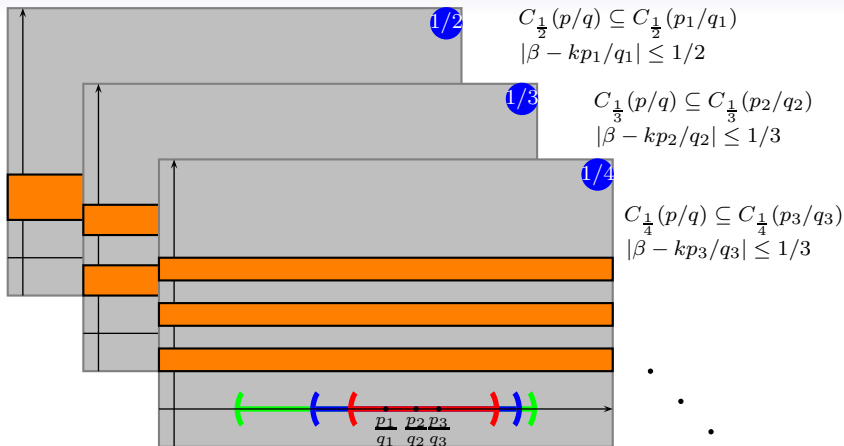
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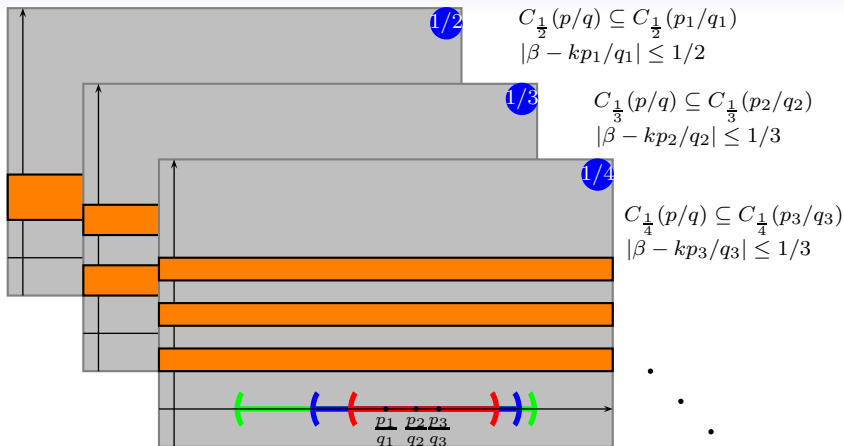
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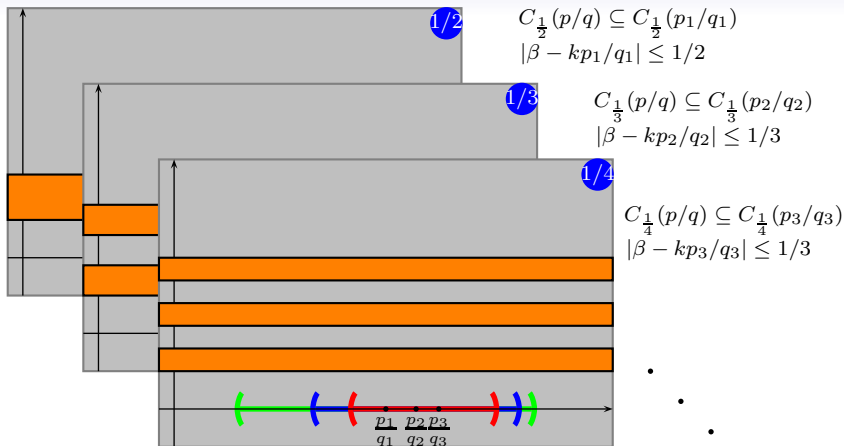
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The rest is the same, modulo, Arnold tongues.