

# Characteristic-free approach to unfoldings (and other results)

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In the 40's Whitney studied maps of  $C^\infty$  manifolds. When a map is not an immersion/submersion, one tries to deform it locally, in hope to make it 'generic'. This approach has led to the rich theory of stable maps, developed by Thom, Mather and many others.

The main 'engine' was vector field integration. This chained the whole theory to the  $C^\infty$ , or  $\mathbb{R}/\mathbb{C}$ -analytic setting.

I will present a purely algebraic approach, studying maps of germs of Noetherian schemes, in any characteristic. The relevant groups of equivalence admit 'good' tangent spaces. One has the theory of unfoldings (triviality and versality). Then I will discuss the new results on stable maps and theorems of Mather-Yau/Gaffney-Hauser.

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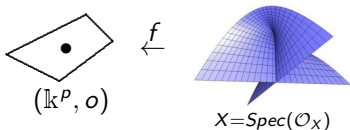


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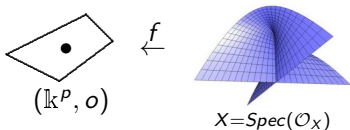


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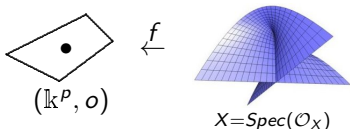


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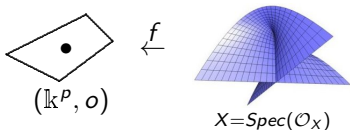
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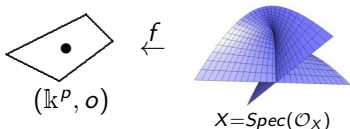


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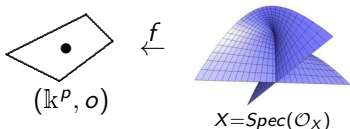


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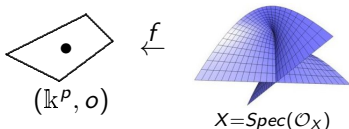
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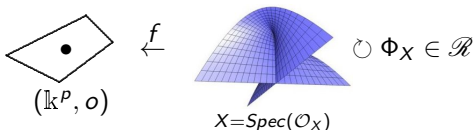
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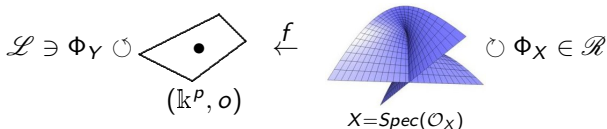
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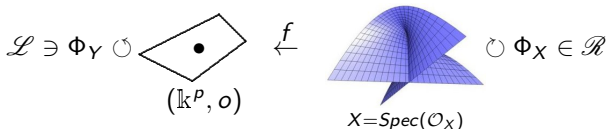
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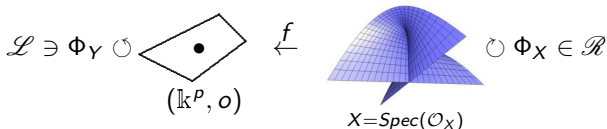
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$\mathcal{A} := \mathcal{L} \times \mathcal{R}$ ,  $f \rightsquigarrow \Phi_Y \circ f \circ \Phi_X^{-1}$ . The contact equivalence ( $\mathcal{K}$ ) ...

An *unfolding* of  $X \xrightarrow{f} (\mathbb{k}^p, \mathfrak{o})$  is the map  $X \times (\mathbb{k}_t^r, \mathfrak{o}) \xrightarrow{F=(f_t(x), t)} (\mathbb{k}^p, \mathfrak{o}) \times (\mathbb{k}_t^r, \mathfrak{o})$ .

The group  $\mathcal{G} \in \mathcal{R}, \mathcal{K}, \mathcal{A}$  acts on unfoldings:  $(f_t(x), t) \rightsquigarrow (g_t f_t(x), t)$ .

Here  $g_t \in \mathcal{G}_t$  is an unfolding of identity.

E.g.  $(\mathcal{R}_t) \quad x \rightarrow x + t \cdot (\dots)$

## (Definitions) Maps, equivalences and unfoldings

Consider  $\text{Maps}(X, (\mathbb{k}^p, \mathfrak{o}))$  (formal/analytic/algebraic map-germs).

Namely:

- $\mathbb{k}$  is a(ny) field, e.g.  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$ , a finite field, p-adic, ...
- $\mathcal{O}_X$  is one of:  $\mathbb{k}[[x]]/J$ ,  $\mathbb{k}\{x\}/J$  (for  $\mathbb{k}$ -normed and complete),  $\mathbb{k}\langle x \rangle/J$  (algebraic power series).
- Accordingly  $X = \text{Spec}(\mathcal{O}_X)$  is the (formal/analytic/algebraic) germ of a scheme. E.g. for  $J = 0$  get  $\text{Maps}((\mathbb{k}^n, \mathfrak{o}), (\mathbb{k}^p, \mathfrak{o}))$
- An assumption through the talk: if  $\text{char}(\mathbb{k}) > 0$  then  $J = 0$ , i.e.  $X \cong (\mathbb{k}^n, \mathfrak{o})$ .

Fix a group  $\mathcal{G} \in \mathcal{R}, \mathcal{A}, \mathcal{K}$ , where:

$\mathcal{R}$ -equivalence.  $\text{Aut}(X) := \text{Aut}_{\mathbb{k}}(\mathcal{O}_X) \circlearrowleft \text{Maps}(X, (\mathbb{k}^p, \mathfrak{o}))$  by  $f \rightsquigarrow f \circ \Phi_X^{-1}$ .

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Triviality of unfoldings ( $\mathcal{O}_X \in \mathbb{k}[[x]]/J, \mathbb{k}\{x\}/J, \mathbb{k}\langle x \rangle/J$ .  $\mathcal{G} \in \mathcal{R}, \mathcal{A}, \mathcal{H}$ .)

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**Example:**  $(\mathbb{k}^n, o) \xrightarrow{f_o} (\mathbb{k}^1, o), f_t(x) = f_o(x) + t \cdot h(x)$ . Then the  $\mathcal{R}$ -triviality transforms into the linear algebra:  $h(x) = \partial_t f_t(x) \stackrel{?}{\in} T_{\mathcal{R}^e} f_t = \text{Jac}_x(f_t(x))$ .



## Triviality of unfoldings ( $\mathcal{O}_X \in \mathbb{k}[[x]]/J, \mathbb{k}\{x\}/J, \mathbb{k}\langle x \rangle/J$ . $\mathcal{G} \in \mathcal{R}, \mathcal{A}, \mathcal{H}$ .)

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**Def.**  $F$  is *inseparable* if  $f_t(x) \stackrel{\mathcal{G}}{\sim} f_o(x) + t^d \cdot f_d(x) + (t)^{d+1}$ ,  
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- Lemma (2022) (any  $\mathbb{k}$ ).** 1. If  $F$  is trivial then  $F$  is infinitesimally trivial.  
 2. Suppose  $F$  is infinitesimally trivial and  $\mathcal{G}$ -separable. Then  $F$  is trivial.

Versality of unfoldings ( $\mathcal{O}_X \in \mathbb{k}[[x]]/J, \mathbb{k}\{x\}/J, \mathbb{k}\langle x \rangle/J$ .  $\mathcal{G} \in \mathcal{R}, \mathcal{A}, \mathcal{H}$ .)

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$$\text{Span}(\partial_{t_1} f_t, \dots, \partial_{t_r} f_t)|_{t=0} + T_{\mathcal{G}ef} = T_{\text{Maps}(X, (\mathbb{k}^P, 0))}$$



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**Theorem** (Classics,  $\mathbb{k} \in \mathbb{R}, \mathbb{C}$ ): 1.  $F$  is  $\mathcal{G}$ -versal iff it is infinitesimally  $\mathcal{G}$ -versal.

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**Example.** Let  $f : (\mathbb{k}^1, 0) \rightarrow (\mathbb{k}^1, 0), x \rightarrow x^{d+1}$ . Then  $T_{\mathcal{R}ef} = T_{\mathcal{H}ef} = (x)^d \subset \mathcal{O}_X$ .

# Versality of unfoldings ( $\mathcal{O}_X \in \mathbb{k}[[x]]/J, \mathbb{k}\{x\}/J, \mathbb{k}\langle x \rangle/J. \quad \mathcal{G} \in \mathcal{R}, \mathcal{A}, \mathcal{K}. \quad )$

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**Theorem (2022,  $\mathbb{k}$  is infinite)** Suppose  $F, \tilde{F}$  are stable. Then  $F \stackrel{\mathcal{A}}{\sim} \tilde{F}$  iff  $f \stackrel{\mathcal{H}}{\sim} \tilde{f}$ .

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**Theorem (2022, any  $\mathbb{k}$ ).**  $f$  is stable iff  $f$  is infinitesimally stable.  
i.e.  $T_{\mathcal{A}^e}^1 f = 0$ , i.e.  $\text{codim}_{\mathcal{A}^e}(f) = 0$ .

(For  $\text{char}(\mathbb{k}) = 0$ :  $X$  can have arbitrary singularities.)

How to produce stable maps? Mather: "Stable maps are unfoldings of their genotypes."

**Theorem (2022,  $\mathbb{k}$  is infinite).**  $F$  is stable iff  $F$  is  $\mathcal{A}$ -equivalent to the unfolding  $(f(x) + \sum t_j v_j, t)$ , where  $f(x) \in (x)^2$  is  $\mathcal{H}$ -finite, and  $\text{Span}_{\mathbb{k}}\{v_j\} = (x) \cdot T_{\mathcal{H}^e}^1 f$ .

Here  $f$  is called *the genotype* of  $F$ . For each genotype we get a stable map.  
One gets lots of stable maps for various germs  $X$ . How to distinguish these maps?

**Theorem (2022,  $\mathbb{k}$  is infinite)** Suppose  $F, \tilde{F}$  are stable. Then  $F \stackrel{\mathcal{A}}{\sim} \tilde{F}$  iff  $f \stackrel{\mathcal{H}}{\sim} \tilde{f}$ .

Mather-Yau/Gaffney-Hauser results  $(\mathcal{O}_X \in \mathbb{k}[[x]]/J, \mathbb{k}\{x\}/J, \mathbb{k}\langle x \rangle/J)$

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*Thanks for your attention!*