

## STUDIA MATHEMATICA, T. L. (1974)

## On Fourier coefficients and transforms of functions of two variables

bv

A. ZYGMUND (Chicago, Ill.)

To Marceli Stark

**Abstract.** Let  $f(x_1, x_2)$  be a function of two variables, of period 1 in each, and let  $c_{\mu} = c_{m,n}$  be the Fourier coefficients of f. Then, if  $1 and <math>q = \frac{1}{2}p' = \frac{1}{2}p/(p-1)$ , we have

$$\left\{ \sum_{|\mu|=p} |c_{\mu}|^{q} \right\}^{1/q} \leqslant A_{p} ||f||_{p} \quad (A_{p} = 5^{1/p'})$$

for all r>0. There is a corresponding result for Fourier transforms of functions  $f \in L^p(\mathbf{R}^2)$ ,  $1 , but the previous <math>q = \frac{1}{2} p'$  has to be replaced by  $q = \frac{1}{3} p'$ . Moreover, the result fails in the extreme case  $p = \frac{4}{3}$ . The results are strictly two-dimensional.

1. Let  $\xi = (x_1, x_2)$  denote points on the two-dimensional torus

$$0 \leqslant x_1 < 1, \quad 0 \leqslant x_2 < 1,$$

and  $\mu=(m_1,m_2)$  -lattice points in  $R^2$  ( $m_j$  -integers). Given any integrable function  $f(\xi)$  on Q consider its Fourier series

$$\sum c_{\mu} e^{2\pi i (\mu \cdot \xi)}$$
,

where

$$c_{\mu} = \int\limits_{Q} f(\xi) e^{-2\pi i (\mu \cdot \xi)} d\xi,$$

with  $\mu \cdot \xi = m_1 x_1 + m_2 x_2$ ,  $d\xi = dx_1 dx_2$ .

The origin of this Note is the following question which Charles Fefferman proposed some time ago. Does there exist a positive number p strictly less than 2 such that

$$\Big(\sum_{|\mu|=r}|c_{\mu}|^2\Big)^{rac{1}{4}}\leqslant A\,\|f\|_p\,,$$

where A is independent of r. The following theorem gives an answer to the problem.

THEOREM 1. For any r > 0, we have

$$\left( \sum_{|\mu|=r} |c_{\mu}|^2 \right)^{\frac{1}{4}} \leqslant A \, ||f||_{4/3} \,,$$

where  $A = 5^{1/4}$ .

Proof. Let us consider the set  $S=S_r$  of lattice points  $\mu=(m_1,m_2)$  with  $|\mu|=r$  (we assume that S is not empty, since otherwise there is nothing to prove). We then have, for a suitable sequence  $\{\gamma_n\}$  with

$$\sum_{\mu \in S} |\gamma_{\mu}|^2 = 1,$$

the equation

so that, by Hölder's inequality with exponents 4/3 and 4,

$$(1.2) \qquad \left( \sum_{|\mu|=r} |o_{\mu}|^2 \right)^{\frac{1}{4}} \leqslant \|f\|_{4/3} \|\sum_{\mu \in \mathcal{B}} \gamma_{\mu} e^{-2\pi i (\mu \cdot \xi)}\|_4,$$

and it is enough to show that the last factor is  $\leq A$ .
Write

$$(1.3) J = \int\limits_{Q} \Big| \sum_{\gamma_{\mu}} \gamma_{\mu} e^{-2\pi i (\mu \cdot \xi)} \Big|^4 d\xi = \int\limits_{Q} \Big| \sum_{\mu, \nu \in S} \gamma_{\mu} \overline{\gamma}_{\nu} e^{2\pi i (\nu - \mu) \cdot \xi} \Big|^2 d\xi.$$

We have

$$\sum \gamma_{\mu} \overline{\gamma}_{\nu} e^{2\pi i (\nu - \mu) \cdot \xi} = \sum \Gamma_{\varrho} e^{2\pi i (\varrho \cdot \xi)}$$

with

(1.4) 
$$\Gamma_\varrho = \sum_{\nu} \gamma_\mu \overline{\gamma}_\nu .$$

Here  $\mu$  and  $\nu$  are in S and  $\varrho$  takes all admissible values. Thus  $\varrho$  designates lattice points that are differences of two lattice points on S. By Parseval's formula,

$$J = \sum_{\varrho} |T_{\varrho}|^2$$
.

It is immediate that

$$\Gamma_0 = \sum_{\mu} |\gamma_{\mu}|^2 = 1$$
 .

If  $\rho \neq 0$ , the sum (1.4) consists of one or two terms (the former if  $\nu = -\mu$ ) and in any case, in view of the inequality  $(a+b)^2 \leq 2a^2 + 2b^2$ .

$$|\Gamma_{\varrho}|^2 \leqslant 2 \sum_{\nu-\mu=\varrho} |\gamma_{\mu}|^2 |\gamma_{\nu}|^2 \quad (\varrho \neq 0).$$

Hence

$$\sum_{\varrho \neq 0} |\varGamma_{\varrho}|^2 \leqslant 2 \sum_{\varrho \neq 0} \sum_{\nu - \mu = \varrho} |\gamma_{\mu}|^2 |\gamma_{\nu}|^2.$$

A moment's consideration shows that the part of the right-hand side that contains a given  $|\gamma_{\mu}|^2$   $(\mu-{\rm fixed})$  is

$$\sum_{\varrho \neq 0} 2 \, |\gamma_{\mu}|^2 \sum_{\nu = \mu = \pm \varrho} \, |\gamma_{\nu}|^2 = 4 \, |\gamma_{\mu}|^2 \sum_{\nu \neq \mu} |\gamma_{\nu}|^2 = 4 \, |\gamma_{\mu}|^2 (1 - |\gamma_{\mu}|^2) \leqslant 4 \, |\gamma_{\mu}|^2,$$

so that

$$\sum_{\varrho\neq 0} |\varGamma_{\varrho}|^2 \leqslant 4 \sum_{\mu} |\gamma_{\mu}|^2 = 4 \,. \label{eq:continuity}$$

This together with  $|\Gamma_0|^2 = 1$  gives  $J \le 5$  and so also (1.1) with  $A = 5^{1/4}$ .

2. THEOREM 2. Suppose that

$$f\epsilon L^p, \quad f\sim \sum c_\mu e^{2\pi i (\mu\cdot\xi)},$$

where 1 so that <math display="inline">p' = p/(p-1) > 4. Then, for  $q = \frac{1}{2}p'$  (thus  $2 < q < \infty$ ) we have

$$\left(\sum_{|a|=r}|c_{\mu}|^{q}\right)^{1/q}\leqslant A_{\mathcal{D}}\|f\|_{\mathcal{D}}$$

with  $A_n = 5^{1/p'}$ .

This is a corollary of Theorem 1 and M. Riesz' theorem on the interpolation of linear operations (see, e.g.  $[2_{11}]$ , p. 95). For the inequality (2.1) holds for  $p=\frac{4}{3}$ , q=2,  $A_{4/3}=5^{1/4}$ , and also clearly if p=1,  $q=\infty$ ,  $A_1=1$ . Hence given p, 1 , if first we determine <math>t from the equation

$$1/p = (1-t) \cdot \frac{3}{4} + t \cdot 1$$

(thus t = (4/p) - 3, 1 - t = 4/p') and then q from the equation

$$1/q = (1-t) \cdot \frac{1}{2} + t \cdot 0$$

(so that  $q = 2/(1-t) = \frac{1}{2}p'$ ), we obtain (2.1) with

$$A_p \leqslant (5^{1/4})^{1-t} \cdot 1^t = 5^{1/p'}$$
.

3. Remarks. a) In Theorems 1 and 2 we consider lattice points situated on a circle. But the only property we used of the circle was that it has no more than two chords of identical length and direction, and it is clear that if S is any curve (or merely a point set in the plane) with

icm<sup>©</sup>

the property that it has no more than k chords of identical length and direction, then

$$\left(\sum_{\mu \in S} |c_{\mu}|^2\right)^{\frac{1}{2}} \leqslant A_k ||f||_{4/3},$$

where  $A_k$  depends only on k (as the proof of Theorem 1 shows we may take  $A_k = (2k+1)^i$ ). This is an extension of (1.1) and it leads to an obvious extension of (2.1). In this form the theorem is valid for any number of dimensions  $n=1,2,3,\ldots$  However, already for n=3 the sphere does not have the required property and the problem of analogues of (1.1) and (2.1) in this case remains open.

b) Perhaps a simple example pertaining to the case n=1 deserves mention.

Let S be the set of non-negative integers whose ternary developments contain only the digits 0 and 1. It is easy to see that any integer  $v \neq 0$  can be represented at most once as a difference of two numbers from S. For such a difference is a number  $\sum \varepsilon_j 3^j$  where all the  $\varepsilon_j$  are 0,  $\pm 1$ , and if we had  $\sum \varepsilon_j' 3^j = \sum \varepsilon_j 3^j$ , i.e.  $\sum \eta_j 3^j = 0$ , where  $\eta_j = \varepsilon_j - \varepsilon_j'$ , then all the  $\eta_j$  must be equal to 0. For otherwise, assuming  $\eta_k \neq 0$  and  $\eta_j = 0$  for j > k, we would have the inequality

$$1 \cdot 3^k - 2(1 + 3 + \dots + 3^{k-1}) \le 0$$
.

which is impossible. (The same property has the set of non-negative integers  $\sum \varepsilon_j n_j$ ,  $\varepsilon_j = \pm 1$ , provided  $n_{j+1}/n_j \geqslant 3$ .)

It follows by the argument that gave Theorem 1 that if f(x),  $0 \le x < 1$ , is in  $L^{4/3}$  and c, are the Fourier coefficients of f, then

$$\left(\sum_{v \in S} |c_v|^2\right)^{\frac{1}{2}} \leqslant A \, ||f||_{4/3},$$

 $A=3^{1/4}$ . The same argument and conclusion hold if S is replaced by the set S' of non-negative integers whose ternary development contains only digits 0 and 2. The set S' has some formal resemblance to Cantor's set of numbers  $x=\sum_{i=0}^{\infty} \varepsilon_i 3^{-i}$  ( $\varepsilon_i=0$ , 2).

c) Since the right-hand side of (1.1) can be made arbitrarily small by subtracting from f a polynomial, it follows that if  $f \in L^{4/3}$ , then

$$\lim_{r\to\infty}\sum_{|\mu|=r}|c_{\mu}|^2=0.$$

Theorem 2 admits of a similar corollary.

d) The proof of Theorem 1 was based on the dual result: If

$$g = \sum_{|\mu|=r} \gamma_{\mu} e^{2\pi i (\mu \cdot \xi)},$$

then  $||g||_4 \le 5^{1/4} ||\gamma||_2$ . Since  $||g||_{\infty} \le ||\gamma||_1$ , interpolation of operations shows that if  $1 \le p \le 2$ , then

$$||g||_q \leqslant 5^{1/2p'} ||\gamma||_p \quad (q = 2p').$$

A similar conclusion holds for functions  $\sum \gamma_{\nu} e^{2\pi i \nu x}$  of a single variable, where  $\nu$  belongs to sets S or S' considered in b) above.

4. We shall now consider analogues of Theorems 1 and 2 for Fourier transforms. Though the arguments are modelled on those for Fourier series they are somewhat less simple. It is also curious that quantitively the results are somewhat different.

Let  $x \in \mathbb{R}^2$  and let

$$\hat{f}(x) = \int_{\mathbf{R}^2} f(y) e^{-2\pi i (x \cdot y)} dy$$

be the Fourier transform of f. We would like to estimate

$$\Big(\int\limits_{|x|=a}|\hat{f}(x)|^{\alpha}d\sigma\Big)^{1/\alpha},$$

 $d\sigma$  denoting the element of length, in terms of

$$||f||_p = \left\{ \int_{\mathbf{R}^2} |\hat{f}(x)|^p dx \right\}^{1/p},$$

for suitable p and q. The main result here is as follows.

THEOREM 3. If  $f \in L^p(\mathbf{R}^2)$ , where

$$1 \leqslant p < 4/3$$

then, for each  $\varrho > 0$ ,  $\hat{f}(x)$  exists almost everywhere on  $|x| = \varrho$ , and for

$$q = \frac{1}{3} p' = \frac{1}{3} \frac{p}{p-1}$$

we have

$$(4.1) \qquad \left( \int\limits_{|x|=q} |\hat{f}(x)|^q \, d\sigma \right)^{1/q} \leqslant A_p e^{1/p'} \, ||f||_p,$$

where  $A_p$  is a constant depending on p only.



The result being obvious for p = 1, we may assume that 1 . This implies that

$$4/3 < q < \infty$$
.

Since, in any case,  $1 \le p \le 2$ , the existence of  $\hat{f}(x)$  almost everywhere is a classical result; the novelty here is that if p < 4/3 the transform  $\hat{f}$  exists almost everywhere on every circle  $|x| = \varrho$ .

Also observe that Theorem 3 is an analogue of Theorem 2. The latter was obtained from the limiting case p=2 (Theorem 1) by interpolating operations. We cannot follow this path here since Theorem 3 is false in the limiting case p=4/3 and we must prove the general case directly, which complicates the proof (see Section 7 below).

We shall initially argue purely formally, and also assume for the sake of simplicity that  $\varrho=1$ .

5. The left-hand side of (4.1) is then  $\int\limits_{|x|=1}\hat{f}(x)\varphi(x)d\sigma$  for a suitable  $\varphi$  with

$$\int_{|x|=1} |\varphi(x)|^{q'} d\sigma = 1,$$

and

(5.1) 
$$\left\{ \int_{|x|=1} |\hat{f}(x)|^q d\sigma \right\}^{1/q} = \int_{|x|=1} \varphi(x) \left\{ \int_{\tilde{\mathbf{R}}^2} f(u) e^{-2\pi i (u \cdot x)} du \right\} d\sigma$$

$$= \int_{\mathbf{R}^2} f(u) \left\{ \int_{|x|=1} \varphi(x) e^{-2\pi i (u \cdot x)} d\sigma \right\} du$$

$$\leq ||f||_p \left\{ \int_{\tilde{\mathbf{R}}^2} \left| \int_{|x|=1} \varphi(x) e^{-2\pi i (u \cdot x)} d\sigma \right|^{p'} du \right\}^{1/p'}.$$

Thus the problem reduces to estimating the last integral. We shall denote it by  $I^{1p}$ , and it is enough to show that  $I \leq A_n$ .

We can then write (the dot "' denoting, as before, scalar multiplication of vectors)

$$\begin{split} I^{\frac{1}{2}p'} &= \int\limits_{\mathbb{R}^2} du \, \Big| \int\limits_0^{2\pi} \varphi(e^{i\lambda}) \, e^{-2\pi i (e^{i\lambda} \cdot u)} \, d\lambda \int\limits_0^{2\pi} \overline{\varphi}(e^{i\mu}) \, e^{2\pi i (e^{i\mu} \cdot u)} \, d\mu \Big|^{\frac{1}{2}p'} \\ &= \int\limits_{\mathbb{R}^2} du \, \Big| \int\limits_0^{2\pi} \int\limits_0^{2\pi} \varphi(e^{i\lambda}) \overline{\varphi}(e^{i\mu}) \, e^{-2\pi i (e^{i\lambda} - e^{i\mu}) \cdot u} \, d\lambda \, d\mu \Big|^{\frac{1}{2}p'} \end{split}$$

or, with  $u = \xi + i\eta$ ,

$$(5.2) \qquad I^{\stackrel{1}{z}p'} = \iint\limits_{\mathbf{R}^2} d\xi \, d\eta \, \Big| \int\limits_0^{2\pi} \int\limits_0^{2\pi} \varphi(e^{i\lambda}) \overline{\varphi}(e^{i\mu}) \, e^{-2\pi i [(\cos\lambda - \cos\mu)\xi + (\sin\lambda - \sin\mu)\eta]} \, d\lambda \, d\mu \Big|^{\stackrel{1}{z}p'}.$$

Let us introduce new variables

$$\cos \lambda - \cos \mu = v$$
,  $\sin \lambda - \sin \mu = w$ ,

and consider the Jacobian of the transformation. We have

(5.3) 
$$\Delta = \left| \frac{\partial(v, w)}{\partial(\lambda, \mu)} \right| = \left| \sin(\lambda - \mu) \right|.$$

Since the complex numbers  $e^{i\lambda}-e^{i\mu}$  can take admissible values distinct from 0 at most twice, we can split the domain of integration  $0 \le \lambda \le 2\pi$ ,  $0 \le \mu \le 2\pi$  into two disjoint sets  $D_1$  and  $D_2$  in whose interiors the mapping is one-one (take, e.g. for  $D_1$  the set  $0 \le \lambda < 2\pi$ ,  $0 \le \mu - \lambda < \pi$  (mod  $2\pi$ ) and for  $D_2$  the set  $0 \le \lambda < 2\pi$ ,  $-\pi \le \mu - \lambda < 0$  (mod  $2\pi$ ). Correspondingly, the inner integral in (5.2) is split into two integrals, and, by the triangle inequality (observe that the hypothesis  $p \le 2$  implies  $\frac{1}{2}p' \ge 1$ )

$$(5.4) I \leqslant I_1 + I_2,$$

where, for j = 1, 2,

$$I_j = \Bigl\{ \int \int \int d\xi \, d\eta \, \Bigl| \int \int \varphi(e^{i\lambda}) \overline{\varphi}(e^{i\mu}) \, e^{-2\pi i [(\cos\lambda - \cos\mu)\xi + (\sin\lambda - \sin\mu)\eta]} \, d\lambda \, d\mu \Bigr|^{\frac{1}{2D'}} \Bigr\}^{2/p'}.$$

Let  $\overline{D}_i$  be the image of  $D_i$  in the plane of the variables v, w. Then

$$I_{j}^{\frac{1}{2}p'} = \left\{ \iint\limits_{\mathbf{R}^{2}} d\xi \, d\eta \, \Big| \iint\limits_{\widetilde{D}_{j}} \omega \left(v,\, w\right) e^{-2\pi i \left(v\xi + w\eta\right)} \, dv \, dw \Big|^{\frac{1}{2}p'} \right\},$$

where (see (5.2))

$$\omega(v,w) = rac{1}{arDelta} \varphi(e^{i\lambda}) \overline{\varphi}(e^{i\mu}).$$

The inner integral being the Fourier transform of the function equal to  $\omega(v,w)$  in  $\overline{D}_j$  and to 0 elsewhere, we may apply the Hausdorff-Young inequality, provided  $\frac{1}{2}p'\geqslant 2$ , i.e.,  $p'\geqslant 4$ , or

$$(5.5) 1$$

and since the exponent conjugate to  $\frac{1}{2}p'$  is p/(2-p), we have

$$\begin{split} I_{j} &\leqslant \left\{ \int_{\mathbf{R}^{2}}^{2} |\omega\left(u\,,\,v\right)|^{p/(2-p)} du\, dv \right\}^{(2-p)/p} \\ &\leqslant \left\{ \int_{0}^{2\pi} \int_{0}^{2\pi} |\varphi\left(e^{i\lambda}\right)\overline{\varphi}\left(e^{i\mu}\right)|^{p/(2-p)} \, \varDelta d\lambda \, d\mu \right\}^{(2-p)/p} \\ &= \left\{ \int_{0}^{2\pi} \int_{0}^{2\pi} \frac{|\varphi\left(e^{i\lambda}\right)\overline{\varphi}\left(e^{i\mu}\right)|^{p/(2-p)}}{|\sin\left(\lambda-\mu\right)|^{2(p-1)/(2-p)}} \, d\lambda \, d\mu \right\}^{(2-p)/p}. \end{split}$$

The exponent in the last denominator is positive. It is also strictly less than 1 provided  $p < \frac{4}{5}$  (see (5.5)).

Let us set

$$|\varphi(e^{i\lambda})|^{p/(2-p)} = \psi(\lambda), \qquad \chi(\lambda) = \int\limits_0^{2\pi} \frac{\psi(\mu)}{|\sin(\lambda-\mu)|^{2(p-1)/(p-2)}} d\mu.$$

Then

$$(5.6) I_{j} \leqslant \left[\int_{0}^{2\pi} \psi(\lambda) \chi(\lambda) d\lambda\right]^{(2-p)/p}.$$

By hypothesis,

$$\|\psi\|_{g'(2-p)/p} = 1,$$

and since  $\chi$  is, effectively, a fractional (Riemann–Liouville) integral of  $\psi$  of order

(5.8) 
$$1 - \frac{2(p-1)}{2-p} = \frac{4-3p}{2-p},$$

 $\chi$  belongs to  $L^r$  where r is defined by the equation

(5.9) 
$$\frac{1}{q'} \cdot \frac{p}{2-p} - \frac{1}{r} = \frac{4-3p}{2-p}.$$

More precisely,

$$\|\chi\|_r \leqslant A_{n,n} \|\psi\|_{q'(2-m)/n} = A_{n,n}.$$

The exponent q has so far been indetermined. If we select it in such a way that r is conjugate to q'(2-p)/p (see (5.6), (5.7), and (5.10)), Hölder's inequality applied to the integral in (5.6) will show that

$$(5.11) I_{j} \leqslant A_{n} (j = 1, 2).$$

Thus we must have

(5.12) 
$$\frac{1}{q'} \cdot \frac{p}{2-p} + \frac{1}{r} = 1,$$

together with (5.9). Adding (5.9) and (5.12) we obtain successively

$$\frac{2}{q'} \cdot \frac{p}{2-p} = \frac{6-4p}{2-p}, \quad q' = \frac{p}{3-2p}, \ q = \frac{p}{3(p-1)} = \frac{1}{3}p'.$$

Hence we have (5.11) and so also  $I \leq I_1 + I_2 \leq A_n$ .

This completes the proof of Theorem 3, though we still have to dispose of the assumption  $\varrho=1$  and justify the formal character of the proof

Begin with the latter. The proof is rigorous if  $\varrho = 1$  and if f is, say, bounded and has bounded support, in which case  $\hat{f}$  is continuous. If  $\{f_n\}$  is a sequence of such functions with  $||f-f_n||_p \to 0$ , then  $||f_m-f_n||_p \to 0$  and so also  $\int_{|x|=1}^n |f_m-f_n|^q d\sigma \to 0$ . Hence  $\{\hat{f_n}\}$  converges to a limit, call it  $\hat{f}$ , on |x|=1, in the metric  $L^q$ , and  $\hat{f}$  satisfies the required inequality.

Let now  $\varrho$  be any positive number. If we set  $g(x) = f(x/\varrho)$  then  $\hat{g}(x) = \varrho^2 \hat{f}(\varrho x)$ , so that

$$\begin{split} \left( \int\limits_{|x|=\varrho} |\hat{f}(x)|^q d\sigma \right)^{1/q} &= \left( \int\limits_{|x|=1} |\hat{f}(\varrho x)|^q \varrho d\sigma \right)^{1/q} = \left( \int\limits_{|x|=1} \left( \varrho^{-2} |\hat{g}(x)| \right)^q \varrho d\sigma \right)^{1/q} \\ &= \varrho^{\frac{1}{q}-2} \left( \int\limits_{|x|=1} |\hat{g}(x)|^q d\sigma \right)^{1/q} \\ &\leqslant A_p \varrho^{\frac{1}{q}-2} \left( \int\limits_{|x|=1} |g(x)|^q dx \right)^{1/p} = A_p \varrho^{\frac{1}{q}-2} \varrho^{\frac{2}{p}} \left( \int\limits_{|x|=1} \left| f\left(\frac{x}{\varrho}\right) \right|^p \frac{dx}{\varrho^2} \right)^{1/p} \\ &= A_p \varrho^{\frac{1}{q}-\frac{2}{p'}} ||f||_p, \end{split}$$

which for  $q = \frac{1}{3}p'$  gives (4.1).

**6.** Let a denote points and v lattice points in  $\mathbf{R}^2$ . Let  $a = \{a_v\} \epsilon l^p$ , i.e.,

$$||a||_p = \left(\sum_{\nu} |a_{\nu}|^p\right)^{1/p} < \infty.$$

We shall now prove the following THEOREM 4. If  $\{a_r\} \in l^p$ ,  $1 \le p < 4/3$  and

$$f(x) \sim \sum a_{\nu} e^{i(\nu \cdot x)},$$

then for  $q = \frac{1}{3}p'$  and any  $0 < \varrho \leqslant \pi$  we have

(6.1) 
$$\left( \int_{|x|=p} |f(x)|^q d\sigma \right)^{1/q} \leqslant A_p \, e^{1/p'} ||a||_p.$$

This is an analogue of Theorem 3 though neither is deducible from the other in a simple way. The proof in both cases follows the same pattern but the fact that now, for obvious reasons, we cannot reduce the general case to that of  $\varrho=1$  makes the argument somewhat more cumbersome. It is again enough to argue purely formally and, as a matter of

fact, it would be enough to consider only the case of  $\{a_r\}$  finite. The restriction  $\varrho \leqslant \pi$  could be relaxed but the point is without much importance. Of course the circle  $|x|=\varrho$  in (6.1) can be replaced by  $|x-x_0|=\varrho$  for any  $x_n$ .

Let  $C_{\varrho}$  denote the circle  $|x|=\varrho$  and let us systematically denote the left-hand side of (6.1) by  $||f||_{q,\varrho}$ . Then for a suitable  $\varphi(x)$  with  $||\varphi||_{q',\varrho}=1$  we have

$$\|f\|_{q,\varrho} = \int\limits_{C_\varrho} f\varphi \, d\sigma = \int\limits_{C_\varrho} \sum a_\nu e^{i(\nu \cdot x)} \varphi d\sigma = \sum a_\nu \gamma_\nu,$$

where

$$\gamma_{\nu} = \int\limits_{C_{\rho}} \varphi(x) e^{i(\nu \cdot x)} d\sigma,$$

and it is enough to show that

$$\left(\sum |\gamma_{r}|^{p'}\right)^{1/p'} \leqslant A_{p} \varrho^{1/p'}.$$

We shall write  $\sum |\gamma_{\nu}|^{p'} = \sum |\gamma_{\nu}|^{2 \cdot \frac{1}{2}p'}$  and represent  $|\gamma_{\nu}|^2$  as the Fourier coefficient of a function to which we can apply the Hausdorff-Young inequality (since  $\frac{1}{2}p' \ge 2$ ). We have

$$|\gamma_r|^2 = \varrho^2 \int\limits_0^{2\pi} \int\limits_0^{2\pi} \varphi(\varrho e^{i\lambda}) \overline{\varphi}(\varrho e^{i\mu}) \exp\{\varrho v \cdot (e^{i\lambda} - e^{i\mu})\} d\lambda d\mu = \varrho^2 J_v,$$

say. Thus

(6.3) 
$$\left( \sum |\gamma_{\nu}|^{p'} \right)^{1/p'} = \varrho \left( \sum |J_{\nu}|^{\frac{1}{2}p'} \right)^{1/p'} = \varrho \left\{ \left( \sum |J_{\nu}|^{\frac{1}{2}p'} \right)^{\frac{2}{p'}} \right\}^{\frac{1}{2}}.$$

We set

$$\varrho(\cos\lambda - \cos\mu) = v, \quad \varrho(\sin\lambda - \sin\mu) = w,$$

$$\left|\frac{\partial(v,w)}{\partial(\lambda,\mu)}\right|=\varrho^2|\sin(\lambda-\mu)|=\Delta,$$

and split the domain of integration in the last integral into two subdomains,  $D_1$  and  $D_2$ , in the interior of which the mapping  $(\lambda, \mu) \rightarrow (v, w)$  is 1-1; thus  $\lambda = \lambda(v, w)$ ,  $\mu = \mu(v, w)$ . The image of  $D_j$  will be denoted by  $\overline{D}_j$ . Correspondingly,  $J_v = J_{1,v} + J_{2,v}$  and, by (6.3),

$$(6.4) \qquad \Big(\sum |\gamma_{\nu}|^{p'}\Big)^{1/p'} \leqslant \varrho \, \Big\{ \Big(\sum |J_{1,\nu}|^{\frac{1}{2}p'}\Big)^{2/p'} + \Big(\sum |J_{2,\nu}|^{\frac{1}{2}p'}\Big)^{2/p'}\Big\}^{\frac{1}{2}}.$$

Fix j. The projections of  $\overline{D}_j$  onto the coordinate axes have length

 $2\varrho \leqslant 2\pi$  and so there is a square Q with sides parallel to the coordinate axes and length  $2\pi$  which comprises  $\overline{D}_i$ . We can write, with  $\nu=(m,n)$ ,

$$egin{aligned} J_{j,v} &= \int \int \limits_{\overline{D}_j} \varphi\left(arrho^{i\lambda}
ight) \overline{arphi}\left(arrho^{i\mu}
ight) rac{e^{i(mv+nw)}}{arrho^2 \left|\sin\left(\lambda-\mu
ight)
ight|} \, dv \, dw \ &= rac{1}{4\pi^2} \int \int \limits_{\Omega} \omega\left(v, \ w
ight) e^{i(mv+nw)} \, dv \, dw \, , \end{aligned}$$

where  $\omega(v, w)$  equals

$$4\pi^2 \frac{\varphi(\varrho e^{i\lambda})\overline{\varphi}(\varrho e^{i\mu})}{\varrho^2 |\sin(\lambda-\mu)|}$$

in  $\overline{D}_j$  and is 0 in  $Q-\overline{D}_j$ . The numbers  $J_{j,r}$  are then the Fourier coefficients of  $\omega(r,w)$ , and since the exponent conjugate to  $\frac{1}{2}p'$  is p/(2-p), the Hausdorff-Young inequality gives

$$(6.5) \qquad \Big(\sum |J_{j,v}|^{\frac{1}{2}p'}\Big)^{2/p'} \leqslant \left\{\frac{1}{4\pi^{2}}\int_{Q} |\omega(v,w)|^{p/(2-p)} dv dw\right\}^{(2-p)/p}$$

$$\leqslant \left\{\frac{1}{4\pi^{2}}\int_{0}^{2\pi}\int_{0}^{2\pi} \left(4\pi^{2}\frac{|\varphi(\varrho e^{i\lambda})\varphi(\varrho e^{i\mu})|}{\varrho^{2}|\sin(\lambda-\mu)|}\right)^{p/(2-p)} \Delta d\lambda d\mu\right\}^{(2-p)/p}$$

$$\leqslant \varrho^{-4/p'}\left(\int_{0}^{2\pi} \psi(\lambda)\chi(\lambda) d\lambda\right)^{(2-p)/p},$$

where

$$\psi(\lambda) = |\varphi(\varrho e^{i\lambda})|^{p/(2-p)}, \qquad \chi(\lambda) = \int\limits_{0}^{2\pi} \frac{\psi(\mu)}{|\sin(\lambda-\mu)|^{2(p-1)/(2-p)}} \ d\mu.$$

The condition  $\|\varphi\|_{q'(2-p)/p} = 1$  imposed on  $\varphi$  can be written

(6.6) 
$$\|\psi\|_{q'(2-p)/p} = \varrho^{-p/q'(2-p)}.$$

On the other hand, as in the proof of Theorem 3,  $\chi$  is in  $L^r$  with r defined by (5.9). Moreover, by the first inequality (5.10),

$$\|\chi\|_r \leqslant A_{p,q} \|\psi\|_{q'(2-p)/p} = A_{p,q} \varrho^{-p/q'(2-p)}.$$

If we choose q in such a way that r is conjugate to q'(2-p)/p, which, as we know, leads to  $q=\frac{1}{3}p'$ , the right-hand side of (6.5) is majorized by

$$\begin{split} A \, \varrho^{-4/p'} (\|\psi\|_{q'(2-p)/p} \|\chi\|_r)^{(2-p)/p} & \leq A \, \varrho^{-4/p'} (\varrho^{-p/q'(2-p)} \cdot A_p \, \varrho^{-p/q'(2-p)})^{(2-p)/p} \\ & = A \, \varrho^{-4/p' - 2/q'}. \end{split}$$

In view of (6.4)

$$\left(\sum |\gamma_r|^{p'}\right)^{1/p'} \leqslant A\varrho \cdot \varrho^{-2/p'-1/q'} = A\varrho^{1/q-2/p'} = A\varrho^{1/p'},$$

since  $q = \frac{1}{3}p'$ . This gives (6.2) and so also (6.1).

7. The following example (which I owe to Charles Fefferman) shows that Theorem 3 is false in the extreme case  $p = \frac{4}{3}$ .

Let f(x) be a radial function: f(x) = f(|x|). Then the Fourier transform

$$\hat{f}(x) = \int_{\mathbf{R}^2} f(y) e^{-2\pi i (x \cdot y)} dy$$

(assuming it exists) is also radial. We shall show that there is a radial  $f(x) \in L^{4/3}(\mathbb{R}^2)$  such that

(7.1) 
$$\hat{f}(1) = \int_{0}^{2\pi} \int_{0}^{\infty} f(\varrho) e^{-2\pi i \varrho \cos \varphi} \varrho d\varrho d\varphi = 2\pi \int_{0}^{\infty} f(\varrho) J_0(2\pi \varrho) \varrho d\varrho$$

is  $+\infty$ . This, of course, precludes the possibility of (4.1) for  $\varrho=1$ . We shall show that

$$f(x) = \frac{\sin 2\pi |x|}{|x|^{3/2}} \cdot \frac{1}{\log(2+|x|)}$$

has the required properties.

First of all,

$$\|f\|_{4/3}^{4/3} = 2\pi \int\limits_0^\infty \biggl[ \frac{\sin 2\pi \varrho}{\varrho^{3/2}} \, \frac{1}{\log (2+\varrho)} \biggr]^{4/3} \, \varrho \, d\varrho < \, \infty,$$

since the integrand is O(1) for  $0 < \varrho \leqslant 1$  and is  $O\left(\varrho^{-1}\log^{-4/3}(2+\varrho)\right)$  for  $\varrho > 1$ .

Next, (see (7.1))

$$\hat{f}(1) = 2\pi \int_{0}^{\infty} \frac{\sin 2\pi \varrho}{e^{1/2}} \frac{J_{0}(2\pi \varrho)}{\log(2+\varrho)} d\varrho = \int_{0}^{1} + \int_{0}^{\infty} = A + B,$$

say. Since  $J_0(\varrho)=O(1),$  the integrand of A is bounded, and the classical formula

$$J_0(\varrho) = (2/\pi)^{1/2} \varrho^{-1/2} \cos\left(\varrho - \frac{1}{4}\pi\right) + O(\varrho^{-3/2}) \quad (\varrho \to +\infty)$$

shows that

$$B \, = \, O \, (1) + 2^{1/2} \int\limits_0^\infty rac{\sin 2\pi arrho}{arrho} \, [\sin 2\pi arrho + \cos 2\pi arrho] darrho \, = \, O \, (1) + 2^{1/2} \int\limits_0^\infty rac{\sin^2 \! 2\pi arrho}{arrho} \, ,$$

so that  $B=+\infty$ . Hence  $\hat{f}(1)=+\infty$  and the assertion is established

8. Remarks. Problems analogous to those discussed here are also considered in Fefferman [1].

Theorem 3 was generalized by P. Sjölin (unpublished) to more general curves.

## References

- Charles L. Fefferman, Inequalities for strongly singular convolution operators, Acta Math. 124 (1970), pp. 9-36.
- [2] A. Zygmund, Trigonometric Series, Vols. I, II, pp. 383+364, 1959.

Received April 4, 1973 (679)