

## Spaces with increment of dimension $n$

by

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**Abstract.** Results include (i) a generalization to arbitrary uniform spaces of a result of Smirnov characterizing intrinsically the covering dimension of the increment of a space satisfying the bicomact axiom of countability (ii) a new intrinsic characterization of the covering dimension of the increment of a uniform space complete in the sense of Čech, and (iii) the first example of a semicompact space every increment of which is a normal space of covering dimension  $\geq n$ .

**1. Introduction.** It was first established by Freudenthal [5] that a separable metric space  $X$  has a compactification with increment of covering dimension ( $\dim$ )  $\leq 0$  if and only if  $X$  is semicompact, i.e., whenever  $x \in G$ , where  $G$  is open in  $X$ , there is an open set  $H$  of  $X$  with  $x \in H \subset \bar{H} \subset G$  and  $\bar{H} - H$  compact. It is now known [8, 11] that this result is valid for all spaces satisfying the bicomact axiom of countability, i.e., those spaces one (and hence every) increment of which is Lindelöf. Skljarenko [8] gives an example of a semicompact space every increment of which is non-normal, and hence has  $\dim > 0$ . As the Čech increment of this space is of  $\dim^* = 0$ , where  $\dim^*$  is defined the same way as  $\dim$  except that we replace "open set" by "cozero set", Skljarenko remarks that the question of extending Freudenthal's result still further is open. In Example 2, Section 3, we construct a space  $X_n$ , every increment of which is normal with  $\dim \geq n$ ,  $n = 1, 2, 3, \dots, \infty$ . In the opposite direction, Smirnov [9] gave an example of non-semicompact Tychonoff space whose Čech increment has  $\text{ind} = 0$  (but  $\dim > 0$ ). To the best of my knowledge, we have no example of a non-semicompact Tychonoff space some increment of which has  $\dim = 0$  <sup>(1)</sup>.

A brief history of the problem of generalizing Freudenthal's result to higher dimensions can be found in [11, 12]. We are mainly interested in the following result of Smirnov's. Let  $Y$  be the compactification of a Tychonoff space  $X$  corresponding to a precompact uniformity  $\mathcal{U}$  on  $X$ . If  $X$  is normally adjoined to its increment  $Y - X$ , i.e., every two disjoint closed sets of  $Y - X$  are separated by disjoint open sets of  $Y$ , then  $\dim(Y - X) = \dim^\infty X$ . The definition of  $\dim^\infty$  is as follows. A finite collection  $\{G_1, G_2, \dots, G_k\}$  of open sets is called an extendable fringe of  $(X, \mathcal{U})$  if  $X - \bigcup_{i=1}^k G_i$  is compact, and for every open neighbourhood  $G_0$

<sup>(1)</sup> Added in proof. For such a space see Y. R. Isbell, *Uniform Space*, p. 132.

of  $X - \bigcup_{i=1}^k G_i \{G_0, G_1, \dots, G_k\}$  is a uniform cover of  $(X, \mathcal{U})$ .  $\dim^\infty X \leq n$  if and only if every extendable fringe of  $X$  is refined by an extendable fringe of order  $\leq n$ . We introduce a dimension function  $\mathcal{U}\text{-dim}^\infty$ , and show that  $\mathcal{U}\text{-dim}^\infty X = \mathcal{U}\text{-dim}(Y-X)$ , where  $\mathcal{U}\text{-dim}$  is the dimension function studied in [1]. For spaces satisfying the bicomact axiom of countability, and are hence normally adjoined to their increments, [11],  $\mathcal{U}\text{-dim}^\infty = \dim^\infty$ , and as  $\dim = \mathcal{U}\text{-dim}$  for Lindelöf spaces [1], Smirnov's result and ours coincide. Our Proposition 4 gives another intrinsic characterization of  $\dim(Y-X)$ . In the final section, we establish that if  $Y$  is the Freudenthal compactification of  $X$ , then  $\dim Y \leq \dim X + 1$ .

**2. Dimension of increments.** A subset of a uniform space  $(X, \mathcal{U})$  is called  $\mathcal{U}$ -open ( $\mathcal{U}$ -closed) [1] if it is the inverse image of an open (closed) set of  $R$ , the space of real numbers, under a bounded, uniformly continuous function. In hyphenated words where  $\mathcal{U}_Z$  the relativisation of  $\mathcal{U}$  to a subset  $Z$  of  $X$ , occurs, the suffix "Z" is dropped. Thus, for example, " $\mathcal{U}_Z$ -open" becomes " $\mathcal{U}$ -open in  $Z$ ". The collection of all  $\mathcal{U}$ -open sets of  $X$  is closed with respect to countable unions and finite intersections, and a set is  $\mathcal{U}$ -open in  $Z$  if and only if it is of the form  $Z \cap G$  with  $G$   $\mathcal{U}$ -open in  $X$ .  $\mathcal{U}\text{-dim} X = -1$ ,  $\mathcal{U}\text{-Ind} X = -1$ , or  $\mathcal{U}\text{-ind} X = -1$  if and only if  $X$  is empty.  $\mathcal{U}\text{-dim} X \leq n$  if every finite  $\mathcal{U}$ -cover of  $X$ , i.e., cover of  $X$  consisting of  $\mathcal{U}$ -open sets, is refined by a finite  $\mathcal{U}$ -cover of order  $\leq n$ .  $\mathcal{U}\text{-Ind} X \leq n$  if whenever  $E_1, E_2$  are disjoint  $\mathcal{U}$ -closed sets of  $X$ , there are disjoint  $\mathcal{U}$ -open sets  $G_1, G_2$  of  $X$  with  $E_1 \subset G_1$ ,  $E_2 \subset G_2$  and  $\mathcal{U}\text{-Ind}(X - G_1 \cup G_2) \leq n-1$ .  $\mathcal{U}\text{-ind} X \leq n$  if whenever  $x \in G$  with  $G$  open in  $X$ , there is a  $\mathcal{U}$ -open set  $H$  and a  $\mathcal{U}$ -closed set  $F$  of  $X$  with  $x \in H \subset F \subset G$  and  $\mathcal{U}\text{-ind}(F-H) \leq n-1$ .

**LEMMA 1.** If  $H_1, H_2$  are disjoint  $\mathcal{U}$ -closed sets of a subset of  $X$ , there are disjoint  $\mathcal{U}$ -open sets  $G_1, G_2$  of  $X$  with  $H_1 \subset G_1$  and  $H_2 \subset G_2$ .

**Proof.** This is Lemma 7 of [1]. If  $H_1, H_2$  are taken to be disjoint  $\mathcal{U}$ -closed sets of  $X$ , it merely expresses the normality of the lattice of all  $\mathcal{U}$ -open sets of  $X$ .

**LEMMA 2.** Let  $\{G_1, \dots, G_k\}$  be a  $\mathcal{U}$ -cover of  $X$ . Then there are  $\mathcal{U}$ -closed sets  $F_i$ ,  $i = 1, \dots, k$ , of  $X$  with  $F_i \subset G_i$  and  $\bigcup_{i=1}^k F_i = X$ .

**Proof.** Let  $G = \bigcup_{i=1}^{k-1} G_i$ . Then there is a  $\mathcal{U}$ -closed set  $F_k$  and a  $\mathcal{U}$ -open set  $H$  of  $X$  with  $X - G \subset H \subset F_k \subset G_k$  (Lemma 1). By an obvious induction hypothesis, there are  $\mathcal{U}$ -closed sets  $E_i$ ,  $i = 1, \dots, k-1$ , of  $G$  with  $E_i \subset G_i$  and  $\bigcup_{i=1}^{k-1} E_i = G$ .  $F_i = E_i - H$ ,  $i = 1, \dots, k-1$ , is  $\mathcal{U}$ -closed in  $X - H$  (which is  $\mathcal{U}$ -closed in  $X$ ) and hence in  $X$ . Clearly  $F_i \subset G_i$  for each  $i$  and  $\bigcup_{i=1}^k F_i = X$ .

$\{B_s\}_{s \in S}$  is called a *swelling* of  $\{A_s\}_{s \in S}$  if  $A_s \subset B_s$  for each  $s$ , and if  $\bigcap_{s \in T} B_s = \emptyset$  if and only if  $\bigcap_{s \in T} A_s = \emptyset$  for each finite subset  $T$  of  $S$  [4].

**LEMMA 3.** A  $\mathcal{U}$ -cover  $\{H_1, \dots, H_k\}$  of a subset of  $X$  has a *swelling*  $\{G_1, \dots, G_k\}$  consisting of  $\mathcal{U}$ -open sets of  $X$ .

**Proof.** We may suppose  $H_1 \cap \dots \cap H_k = \emptyset$ . Then there are disjoint  $\mathcal{U}$ -open sets  $Q, G_{kk}$  of  $X$  with  $H_1 \cap \dots \cap H_{k-1} \subset Q$  and  $H_k \subset G_{kk}$  (Lemma 1). Assuming that the result holds for dimension  $k-1$ , there are swellings  $\{P_1, \dots, P_{k-1}\}$  of  $\{H_1 - Q, \dots, H_{k-1} - Q\}$ , and  $\{G_{ij}\}_{j \neq i}$  of  $\{H_j\}_{j \neq i}$ ,  $i = 1, \dots, k$ , consisting of  $\mathcal{U}$ -open sets of  $X$ . Let  $G_{ii} = P_i \cup Q$ ,  $i = 1, \dots, k-1$ , and  $G_i = \bigcup_{j=1}^k G_{ji}$ ,  $i = 1, \dots, k$ .

The same argument yields

**LEMMA 4.** A finite collection of  $\mathcal{U}$ -closed sets of a subset of  $X$  has a *swelling* consisting of  $\mathcal{U}$ -open sets of  $X$ .

In the sequel all spaces will be assumed to be Tychonoff.

**LEMMA 5.** Let  $F_1, F_2, \dots$  be zero sets of  $X$ . Then the following statements are equivalent.

(i)  $\beta X - X \subset \bigcap_{n=1}^\infty \bar{F}_n$ , where  $\beta X$  is the Stone-Čech compactification of  $X$ .

(ii) Whenever  $\{E_\alpha\}$  is a collection of zero sets of  $X$  with the finite intersection property, and such that for each  $i$  there is an  $\alpha$  with  $E_\alpha \cap F_i = \emptyset$  then  $\bigcap E_\alpha \neq \emptyset$ .

**Proof.** (i)  $\rightarrow$  (ii): For zero sets  $E, F$  of  $X$ ,  $E \cap F = \emptyset$  implies  $\bar{E} \cap \bar{F} = \emptyset$ .

Hence  $\bar{F}_n \cap \bigcap E_\alpha = \emptyset$ , and since  $\beta X - X \subset \bigcup \bar{F}_n$ ,  $\bigcap E_\alpha \subset X$ . Hence  $\bigcap E_\alpha = \bigcap \bar{E}_\alpha$ . Since  $\{E_\alpha\}$  has the finite intersection property, so does  $\{\bar{E}_\alpha\}$ , and hence  $\bigcap E_\alpha = \bigcap \bar{E}_\alpha \neq \emptyset$ .

(ii)  $\rightarrow$  (i): Suppose  $x \in \beta X - X$ . For each closed set  $A_\alpha$  of  $\beta X$  with  $x \notin A_\alpha$ , let  $P_\alpha$  be a zero set and  $Q_\alpha$  a zero set of  $\beta X$  with  $x \in P_\alpha \subset Q_\alpha \subset \beta X - A_\alpha$ . If  $x \notin \bigcup \bar{F}_n$ , then  $\{Q_\alpha \cap X\}$  satisfies (ii) but  $\bigcap Q_\alpha \cap X = \emptyset$ .

A compact subset  $F$  of  $X$  will be called *accessible* if there are zero sets  $F_1, F_2, \dots$  of  $X$  satisfying (ii) of Lemma 5 and  $F_n \cap F = \emptyset$ ,  $n = 1, 2, \dots$

**LEMMA 6.** Let  $Y$  be a compactification of  $X$ . Then a compact subset  $F$  of  $X$  is accessible if and only if  $Y - X$  is contained in a  $\sigma$ -compact subset of  $Y$  disjoint from  $F$ .

**Proof.** Let  $f: \beta X \rightarrow Y$  be the extension of the inclusion  $X \rightarrow Y$ . If  $F$  is accessible, by Lemma 5, there are closed sets  $F_n$ ,  $n = 1, 2, \dots$ , of  $X$  with  $F \cap F_n = F \cap \bar{F}_n = \emptyset$  and  $\beta X - X \subset \bigcup \bar{F}_n$ . Then  $Y - X \subset \bigcup f(\bar{F}_n)$ , and each  $f(\bar{F}_n)$  is compact and disjoint from  $F$ . Conversely, suppose  $Y - X \subset \bigcup E_n$  where  $E_n$  is compact and  $E_n \cap F = \emptyset$  for each  $n$ . Then  $F_n = f^{-1}(E_n)$  is closed in  $\beta X$ ,  $F_n \cap F = \emptyset$  and  $\beta X - X \subset \bigcup F_n$ . Let  $P_n$  be a cozero set and  $Q_n$  a zero set of  $\beta X$  with  $F_n \subset P_n \subset Q_n \subset \beta X - F$ . Then  $F_n \subset Q_n \cap X$ , and Lemma 5 implies that  $F$  is accessible.

**COROLLARY 1.** A compact subset  $F$  of a space  $X$  satisfying the bicomact axiom of countability is accessible.

**Proof.**  $\beta X - X$  is Lindelöf, and hence there are open sets  $G_n$  and closed sets  $F_n$  of  $\beta X$ ,  $n = 1, 2, \dots$ , with  $G_n \subset F_n$ ,  $F \cap F_n = \emptyset$ , and  $\beta X - X \subset \bigcup_{n=1}^\infty G_n$ .

In the sequel,  $Y$  will invariably denote the compactification of a space  $X$  corresponding to a pre-compact uniformity  $\mathcal{U}$  on  $Y$ . A  $\mathcal{U}$ -cover  $\{G_1, \dots, G_k\}$  of  $X$  will be called a  $\mathcal{U}$ -fringe if  $F = X - \bigcup_{i=1}^k G_i$  is accessible and for every open neighbourhood  $G_0$  of  $F$ ,  $\{G_0, G_1, \dots, G_k\}$  is a uniform cover of  $X$ . Every  $\mathcal{U}$ -fringe is extendable, but not conversely (Example 1).  $\mathcal{U}\text{-dim}^\infty X \leq n$  if and only if every  $\mathcal{U}$ -fringe of  $X$  is refined by a  $\mathcal{U}$ -fringe of order  $\leq n$ . For an open set  $G$  of  $X$ ,  $\text{Ex} G$  will denote the complement in  $Y$  of the closure of  $X - G$  in  $Y$ .  $\text{Ex} G$  is the largest open set of  $Y$  whose intersection with  $X$  is  $G$ ,  $\text{Ex} G_1 \cup \text{Ex} G_2 \subset \text{Ex}(G_1 \cup G_2)$ ,  $\text{Ex}(G_1 \cap G_2) = \text{Ex} G_1 \cap \text{Ex} G_2$ , and hence  $\{\text{Ex} G_1, \dots, \text{Ex} G_k\}$  is a swelling of  $\{G_1, \dots, G_k\}$  [4, 8, 11, 12].

LEMMA 7. A collection  $\{G_1, \dots, G_k\}$  of open sets of  $X$  is an extendable fringe of  $X$  if and only if  $Y - X \subset \bigcup_{i=1}^k \text{Ex} G_i$ .

Proof. This is Lemma 1 of [11].

EXAMPLE 1. Let  $Z = R \cup \{\infty\}$  be the one-point compactification of the set of real numbers  $R$  with the discrete topology,  $I$  the unit interval with the usual topology,  $Y = I \times Z$ ,  $Q$  the set of rationals,  $F = \{1\} \times (Q \cup \{\infty\})$ ,  $G = [0, 1) \times Z$ , and  $X = F \cup G$ . Then  $G$  is  $\mathcal{U}$ -open with  $Y - X \subset Y - F = \text{Ex} G$ , and hence  $\{G\}$  is an extendable fringe of  $X$ . If  $F_n$  is a compact set of  $Y$  disjoint from  $F$ , its complement contains  $\{1\} \times \{\infty\}$ , and hence  $F_n$  contains only a finite number of points of the uncountable set  $Y - X$ . It follows that  $F$  is not accessible and  $\{G\}$  is not a  $\mathcal{U}$ -fringe.

Lemmas 6 and 7 imply

COROLLARY 2. If  $H_1, \dots, H_k$  are cozero (and hence  $\mathcal{U}$ -open) sets of  $Y$  with  $Y - X \subset \bigcup_{i=1}^k H_i$ , then  $\{H_1 \cap X, \dots, H_k \cap X\}$  is a  $\mathcal{U}$ -fringe of  $X$ .

LEMMA 8. Let  $\{G_1, \dots, G_k\}$  be an extendable fringe of  $X$  with  $X - \bigcup_{i=1}^k G_i$  accessible. Then there are  $\mathcal{U}$ -open sets  $H_1, \dots, H_k$  of  $Y$  with  $Y - X \subset \bigcup_{i=1}^k H_i$  and  $H_i \cap X \subset G_i$ .

Proof. By Lemmas 6 and 7, there is a  $\sigma$ -compact set  $Z$  with  $Y - X \subset Z \subset \bigcup_{i=1}^k \text{Ex} G_i$ . Then there are cozero sets  $P_n$ ,  $n = 1, 2, \dots$ , of  $Y$  with  $Z \subset \bigcup_{n=1}^\infty P_n$  and  $P_n \subset \text{Ex} G_{i(n)}$ . Let  $H_i = \bigcup \{P_n : P_n \subset \text{Ex} G_i\}$ .

PROPOSITION 1. Let  $X$  satisfy the bicomact axiom of countability. Then  $\mathcal{U}\text{-dim}^\infty X = \dim^\infty X$ .

Proof. Suppose  $\dim^\infty X \leq n$ . Then a  $\mathcal{U}$ -fringe  $\{G_i\}$  of  $X$  is refined by an extendable fringe  $\{H_j\}$  of order  $\leq n$ . By Corollary 1 and Lemma 8, there are  $\mathcal{U}$ -open sets  $H_j$  of  $Y$  with  $Y - X \subset \bigcup H_j$  and  $H_j \cap X \subset H'_j$ .  $\{H_j \cap X\}$  is a  $\mathcal{U}$ -fringe of order  $\leq n$  refining  $\{G_i\}$  (Corollary 2). Conversely, suppose  $\mathcal{U}\text{-dim}^\infty X \leq n$ , and

let  $\{P_i\}$  be an extendable fringe of  $X$ . By Lemma 8 and Corollaries 1 and 2, there is a  $\mathcal{U}$ -fringe  $\{Q_i\}$  of  $X$  with  $Q_i \subset P_i$ . Then  $\{Q_i\}$ , and hence  $\{P_i\}$ , is refined by a  $\mathcal{U}$ -fringe of order  $\leq n$ .

PROPOSITION 1 may fail even if  $X$  is normally adjoined to its increment (Example 2).

PROPOSITION 2.  $\mathcal{U}\text{-dim}^\infty X = \mathcal{U}\text{-dim}(Y - X)$ .

Proof. Suppose  $\mathcal{U}\text{-dim}^\infty X \leq n$ , and let  $\{G_i - X\}$  be a  $\mathcal{U}$ -cover of  $Y - X$  with  $G_i$   $\mathcal{U}$ -open in  $Y$ . Let  $E_i, T_i$  be  $\mathcal{U}$ -closed and  $S_i$   $\mathcal{U}$ -open sets of  $\bigcup G_i$  with  $E_i \subset S_i \subset T_i \subset G_i$  and  $\bigcup E_i = \bigcup G_i$  (Lemmas 1 and 2). By Corollary 2,  $\{S_i \cap X\}$  is a  $\mathcal{U}$ -fringe of  $X$ , and hence it is refined by a  $\mathcal{U}$ -fringe  $\{H_j\}$  of order  $\leq n$ . By Lemma 8, there are  $\mathcal{U}$ -open sets  $P_j$  of  $\bigcup G_i$  with  $Y - X \subset \bigcup P_j$ ,  $P_j \cap X \subset H_j$  and hence order  $\{P_j\} \leq n$ . If  $P_j - G_i \neq \emptyset$ , then  $P_j - T_i \neq \emptyset$ , and hence  $(P_j - T_i) \cap X \neq \emptyset$  and  $(H_j - S_i) \cap X \neq \emptyset$ . It follows that  $\{P_j\}$  refines  $\{G_i\}$ , and  $\{P_j - X\}$  is a  $\mathcal{U}$ -cover of  $Y - X$  of order  $\leq n$  refining  $\{G_i - X\}$ . Thus  $\mathcal{U}\text{-dim} Y - X \leq n$ .

Conversely, suppose  $\mathcal{U}\text{-dim} Y - X \leq n$ , and let  $\{G_i\}$  be a  $\mathcal{U}$ -fringe of  $X$ . By Lemma 8, there are  $\mathcal{U}$ -open sets  $H_i$  of  $Y$  with  $H_i \cap X \subset G_i$  and  $Y - X \subset \bigcup H_i$ . Since  $\mathcal{U}\text{-dim}(Y - X) \leq n$ , there is  $\mathcal{U}$ -cover  $\{S_i\}$  of  $Y - X$  of order  $\leq n$  with  $S_i \subset H_i$ . Let  $\{T_i\}$  be a swelling of  $\{S_i\}$  consisting of  $\mathcal{U}$ -open sets of  $Y$  (Lemma 3). Then  $\{T_i \cap H_i \cap X\}$  is a  $\mathcal{U}$ -fringe of order  $\leq n$ , refining  $\{G_i\}$  (Corollary 2). Hence  $\mathcal{U}\text{-dim}^\infty X \leq n$ .

Consider the following conditions on  $X$ .

$A_n$ : Whenever  $E_i, F_i$ ,  $i = 1, \dots, n+1$ , are pairs of distant sets of  $X$ , there are pairs of disjoint  $\mathcal{U}$ -open sets  $G_i, H_i$  of  $X$  such that  $E_i \subset G_i$ ,  $F_i \subset H_i$  and  $\{G_i, H_i\}$  is a  $\mathcal{U}$ -fringe of  $X$ .

$B_n$ : Whenever  $E_i, F_i$ ,  $i = 1, \dots, n+1$ , are pairs of distant sets of  $Y$ , there are pairs of disjoint  $\mathcal{U}$ -open sets  $G_i, H_i$  of  $Y$  such that  $E_i \subset G_i$ ,  $F_i \subset H_i$  and  $Y - X \subset \bigcup G_i \cup H_i$ .

PROPOSITION 3.  $A_n$  and  $B_n$  are equivalent.

Proof. Suppose  $A_n$  holds, and let  $E_i, F_i$ ,  $i = 1, \dots, n+1$ , be distant sets of  $Y$ . Let  $P_i, S_i$  be  $\mathcal{U}$ -open and  $Q_i, T_i$   $\mathcal{U}$ -closed sets of  $Y$  with  $E_i \subset P_i \subset Q_i$ ,  $F_i \subset S_i \subset T_i$  and  $Q_i \cap T_i = \emptyset$ . By  $A_n$ , there are disjoint  $\mathcal{U}$ -open sets  $U_i, V_i$  of  $X$  such that  $Q_i \cap X \subset U_i$ ,  $T_i \cap X \subset V_i$  and  $\{U_i, V_i\}$  is a  $\mathcal{U}$ -fringe of  $X$ . By Lemma 8, there are  $\mathcal{U}$ -open sets  $L_i, M_i$  of  $Y$  with  $L_i \cap X \subset U_i$ ,  $M_i \cap X \subset V_i$  and  $Y - X \subset \bigcup L_i \cup \bigcup M_i$ . Then  $G_i = P_i \cup L_i$ ,  $H_i = S_i \cup M_i$  satisfy  $B_n$ .

That  $B_n$  implies  $A_n$  follows from Corollary 2.

LEMMA 9.  $\mathcal{U}\text{-dim}(Y - X) \leq n$  implies  $B_n$ .

Proof. Let  $E_i, F_i$ ,  $i = 1, \dots, n+1$ , be distant sets of  $Y$ . Take  $\mathcal{U}$ -open sets  $P_i, S_i$  and  $\mathcal{U}$ -closed sets  $Q_i, T_i$  with  $E_i \subset P_i \subset Q_i$ ,  $F_i \subset S_i \subset T_i$  and  $Q_i \cap T_i = \emptyset$ . If  $\mathcal{U}\text{-dim}(Y - X) \leq n$ , by Proposition 5 of [1], there are disjoint  $\mathcal{U}$ -open sets  $U_i, V_i$  of  $Y - X$  with  $Q_i - X \subset U_i$ ,  $T_i - X \subset V_i$  and  $Y - X \subset \bigcup U_i \cup \bigcup V_i$ . In view of Lemma 3, we may suppose  $U_i, V_i$  are  $\mathcal{U}$ -open in  $Y$ . Then  $G_i = P_i \cup (U_i - T_i)$ ,  $H_i = S_i \cup (V_i - Q_i)$  satisfy  $B_n$ .

PROPOSITION 4. Let  $X$  be complete in the sense of Čech. Then  $\dim(Y-X) \leq n$  if and only if  $A_n$ .

Proof.  $Y-X = \bigcup_{m=1}^{\infty} Z_m$  where each  $Z_m$  is compact, and  $\dim Y-X = \mathcal{U}\text{-dim}(Y-X)$  [1]. That  $\dim(Y-X) \leq n$  implies  $A_n$  follows from Lemma 9 and Proposition 3. If  $A_n$  holds, then  $B_n$  and hence the following weaker statement holds.

Whenever  $E_i, F_i, i = 1, \dots, n+1$ , are disjoint closed sets of  $Z_m$ , there are disjoint  $\mathcal{U}$ -open sets  $G_i, H_i$  of  $Z_m$  with  $E_i \subset G_i, F_i \subset H_i$  and  $Z_m = \bigcup G_i \cup \bigcup H_i$ .

This implies  $\dim Z_m \leq n$  and hence, by the countable sum theorem for  $\dim$ ,  $\dim Y-X \leq n$  [6].

COROLLARY 3. If  $X$  is complete in the sense of Čech, then  $\dim \beta X - X \leq n$  if and only if whenever  $E_i, F_i, i = 1, \dots, n+1$ , are disjoint zero sets of  $X$ , there are disjoint cozero sets  $G_i, H_i$  of  $X$  with  $E_i \subset G_i, F_i \subset H_i$  and  $X - \bigcup G_i \cup H_i$  compact.

Proof. If  $\{P_i\}$  is a finite cover of  $X$  by cozero sets, then  $\beta X = \text{Ex}(\bigcup P_i) = \bigcup \text{Ex} P_i$  [e.g. 4], and hence  $\{P_i\}$  is a uniform cover of  $X$  with respect to the uniformity  $\mathcal{U}$  induced by  $\beta X$  on  $X$ . It now follows from Corollary 1 that if  $X$  is complete in the sense of Čech and  $Q_1, \dots, Q_k$  are cozero sets of  $X$  with  $X - \bigcup Q_i$  compact then  $\{Q_i\}$  is a  $\mathcal{U}$ -fringe of  $X$ .

LEMMA 10.  $\mathcal{U}\text{-Ind} X \leq n$  if and only if

$C_n$ : Whenever  $E, F$  are distant sets of  $X$ , there are disjoint  $\mathcal{U}$ -open sets  $G, H$  of  $X$  with  $E \subset G, F \subset H$  and  $\mathcal{U}\text{-Ind}(X-G \cup H) \leq n-1$ .

Proof. That  $\mathcal{U}\text{-dim} X \leq n$  implies  $C_n$  follows from the easily established fact that two distant sets can be separated by disjoint  $\mathcal{U}$ -closed sets. It is also easily established (from the fact that this holds in  $R$ ) that if  $D$  is a  $\mathcal{U}$ -closed set then there are  $\mathcal{U}$ -closed sets  $D_k, k = 1, 2, \dots$ , such that  $D = \bigcap D_k$  and  $D_{k+1}$  is distant from  $X-D_k$ .

Suppose  $C_n$  holds, and let  $E, F$  be disjoint  $\mathcal{U}$ -closed sets of  $X$ . Choose  $\mathcal{U}$ -closed sets  $E_k, F_k, k = 1, 2, \dots$ , of  $X$  such that  $E = \bigcap E_k, F = \bigcap F_k$ , and  $E_{k+1}, X-E_k$  and  $F_{k+1}, X-F_k$  are distant. Then  $E-F_k, F \cup (X-E_k)$  are distant, and hence there are disjoint  $\mathcal{U}$ -open sets  $G_k, H_k$  of  $X$  with  $E-F_k \subset G_k, F \cup (X-E_k) \subset H_k$  and  $\mathcal{U}\text{-Ind} X-G_k \cup H_k \leq n-1$ . Let  $G = \bigcup G_k$  and  $H = \bigcap H_k$ . Then  $G$  is  $\mathcal{U}$ -open,  $G \cap H = \emptyset, E \subset G, F \subset H$  and for each  $k$ ,

$$H \subset \bigcup_i (X-E_i) \cap H \subset \bigcup_i (X-E_i) \bigcap_{j < i} H_j \subset H_k.$$

Hence  $H = \bigcup_i (X-E_i) \bigcap_{j < i} H_j$  is  $\mathcal{U}$ -open. Also  $X-G \cup H \subset Z = \bigcup X - G_k \cup H_k$  and by the subset and countable sum theorem for  $\mathcal{U}\text{-Ind}$  [2],  $\mathcal{U}\text{-Ind} X-G \cup H \leq \mathcal{U}\text{-Ind} Z \leq n-1$ . It follows that  $\mathcal{U}\text{-Ind} X \leq n$ .

COROLLARY 4. For a metric space  $X$ ,  $\text{Ind} X \leq n$  if and only if whenever  $E, F$  are distant sets of  $X$  there are disjoint open sets  $G, H$  with  $E \subset G, F \subset H$  and  $\text{Ind} X-G \cup H \leq n-1$ .

Proof. If  $\mathcal{U}$  is induced by a metric, " $\mathcal{U}$ -open" means "open" and  $\mathcal{U}\text{-Ind} = \text{Ind}$  [2].

PROPOSITION 5.  $\mathcal{U}\text{-dim} Y-X \leq 0$  if and only if  $A_0$ .

Proof. In view of Lemma 9 and Proposition 3, we need only prove  $A_0$  implies  $\mathcal{U}\text{-dim} Y-X \leq 0$ . It follows from Proposition 3 and Lemma 10 that  $A_0$  implies  $\mathcal{U}\text{-Ind} Y-X \leq 0$ . Finally,  $\mathcal{U}\text{-Ind} \leq 0$  and  $\mathcal{U}\text{-dim} \leq 0$  are equivalent [2].

COROLLARY 5. If  $\mathcal{U}\text{-dim}(Y-X) \leq 0$ , then  $X$  is semicompact.

The converse is false (Example 3).

COROLLARY 6. If  $\beta X - X$  is  $C^*$ -imbedded in  $\beta X$ , then  $\dim^* \beta X - X \leq 0$  if and only if whenever  $E, F$  are disjoint zero sets of  $X$ , there are disjoint cozero sets  $G, H$  of  $X$  with  $E \subset G, F \subset H$  and  $X-G \cup H$  accessible.

Proof. If  $\beta X - X$  is  $C^*$ -imbedded in  $\beta X$ , then  $\dim^* \beta X - X = \mathcal{U}\text{-dim}(\beta X - X)$  [1], where  $\mathcal{U}$  denotes the uniformity induced by  $\beta X$  on  $\beta X - X$ .

COROLLARY 7. If  $Y-X$  has the monotonicity property relative to  $\dim$  [12], then  $A_0$  implies  $\dim(Y-X) \leq 0$ .

Proof. There is a compactification  $Z$  of  $Y-X$  with  $\dim Z = \mathcal{U}\text{-dim}(Y-X)$  [1, Proposition 8].

COROLLARY 8. If  $X$  satisfies the bicomcompact axiom of countability, then  $\dim Y-X \leq 0$  if and only if  $X$  satisfies  $A_0$ .

COROLLARY 9. If  $X$  satisfies the bicomcompact axiom of countability, then  $\dim \beta X - X \leq 0$  if and only if whenever  $E, F$  are disjoint zero sets of  $X$ , there are disjoint cozero sets  $G, H$  of  $X$  with  $E \subset G, F \subset H$  and  $X-G \cup H$  compact.

Smirnov [11] calls  $X$  proximally semibicompact if whenever  $E, F$  are distant sets of  $X$ , there are disjoint open sets  $G, H$  of  $X$  such that  $E \subset G, F \subset H, X-G \cup H$  is compact and for every open neighbourhood  $P$  of  $X-G \cup H, G-P$  is distant from  $H-P$ . The last condition simply means that  $\{G, H\}$  is an extendable fringe [11, Lemma 1]. Thus  $A_0$  implies proximal semibicompactness, and for spaces satisfying the bicomcompact axiom of countability the two conditions are equivalent since if  $X$  is also proximally semibicompact, then  $\dim Y-X = \dim^* X = 0$  [11, Theorem 3]. For arbitrary spaces, however, proximal semibicompactness does not imply  $A_0$  (Example 3). Corollaries 8 and 9 are equivalent to Smirnov's [11] Theorem 3 and its Corollary 2, respectively.

### 3. Examples.

EXAMPLE 2. For each ordinal  $\alpha \leq \omega_1$ , the first uncountable ordinal, let  $I_\alpha^n$  be a subset of  $I^n, n = 1, 2, \dots, \infty$ , such that  $\dim I_\alpha^n = 0, I_\alpha^n \subset I_\beta^n$  for  $\alpha \leq \beta$ , and  $I^n = I_{\omega_1}^n = \bigcup I_\alpha^n$  [7, Theorem 13-15].  $M_n = \bigcup \{\alpha\} \times I_\alpha^n$  and  $K_n = \bigcup \{\alpha\} \times I_\alpha^n$  are given the

subspace topology induced by  $[0, \omega_1] \times I^n$ , and  $N_n$  is obtained from  $K_n$  by identifying all the points of  $\{\omega_1\} \times I^n$ . Then  $M_n, K_n, N_n$  are normal with  $\text{ind } M_n = \text{ind } K_n = \text{ind } N_n = \dim N_n = 0$  and  $\dim M_n = \dim K_n = n$ . These spaces are due to Smirnov [10], who generalizes an example of Dowker's [3].

Let  $\omega$  be an ordinal greater than the weight of  $Z_n = \beta N_n \times \{0, 1, 1/2, \dots, 1/m, \dots\}$ . Let  $Y_n = [0, \omega] \times Z_n$ ,  $\mathcal{U}$  the unique uniformity on the compact space  $Y_n$ , and  $X_n = Y_n - \{\omega\} \times M_n \times \{1, 1/2, \dots, 1/m, \dots\}$ . Then since  $\dim \beta N_n = \dim N_n = 0$ ,  $\mathcal{U}\text{-dim } Y_n = \dim Y_n = \dim X_n = 0$ , and by the subset theorem for  $\mathcal{U}\text{-dim}$  [1]  $\mathcal{U}\text{-dim}(Y_n - X_n) = 0$ . Hence  $X_n$  is semicompact (Corollary 5). The choice of  $\omega$  ensures that every real valued continuous function of  $X_n$  can be extended to  $Y_n$ , and hence  $\beta X_n = Y_n$ .

LEMMA 11. Let  $f: Y_n \rightarrow S$  be the extension of the inclusion  $X_n \rightarrow S$ , where  $S$  is a compactification of  $X_n$ , and suppose  $E_1, E_2$  are disjoint closed sets of  $M_n$ . Let  $E_{i,m} = \{\omega\} \times E_i \times \{1/m\}$ ,  $i = 1, 2$ ,  $m = 1, 2, \dots$ . Then there is an integer  $k$  such that  $f(E_{1,m}) \cap f(E_{2,m}) = \emptyset$  whenever  $m > k$ .

Proof. Let  $p_m = (\omega, \alpha_m, x_m, 1/m) \in E_{1,m}$ , and  $q_m = (\omega, \beta_m, y_m, 1/m) \in E_{2,m}$ . Then there is an ordinal  $\alpha$  with  $\alpha_m, \beta_m < \alpha < \omega_1$  for each  $m$ . For each  $j$ , the image of  $\{\omega\} \times \beta N_n \times \{1/j\}$  under  $f$  is a closed set of  $S$  containing only a finite number of points of  $\{f(p_m), f(q_m)\}$ . If  $F_i$  is the closure of  $E_i \cap ([0, \alpha] \times I^n)$  in  $\beta N_n$ , it follows that the limit points of  $\{f(p_m)\}$ ,  $\{f(q_m)\}$  belong to  $\{\omega\} \times F_1 \times \{0\}$ ,  $\{\omega\} \times F_2 \times \{0\}$ , respectively. Finally,  $F_1 \cap F_2 = \emptyset$ , and if  $f(p_m) = f(q_m)$  for infinitely many  $m$ , then since  $S$  is compact  $\{f(p_m)\}$ ,  $\{f(q_m)\}$  have a common limit point belonging to  $\{\omega\} \times (F_1 \cap F_2) \times \{0\} = \emptyset$ .

If  $\dim(S - X_n) = r - 1 < n$ , let  $E_i, F_i$ ,  $i = 1, 2, \dots, r$ , be disjoint closed sets of  $M_n$ . Since the restriction of  $f$  to  $Y_n - X_n$  is closed, then for some integer  $m$   $f(E_{i,m}), f(F_{i,m})$ ,  $i = 1, 2, \dots, r$ , are disjoint closed sets of  $S - X_n$ , and hence there are disjoint open sets  $G_i, H_i$  of  $S - X_n$  with  $f(E_{i,m}) \subset G_i, f(F_{i,m}) \subset H_i$  and  $S - X_n = \bigcup G_i \cup H_i$ . Then  $f^{-1}(G_i), f^{-1}(H_i)$  are disjoint open sets of  $Y_n - X_n$  with  $E_{i,m} \subset f^{-1}(G_i), F_{i,m} \subset f^{-1}(H_i)$  and  $\{\omega\} \times M_n \times \{1/m\} \subset \bigcup f^{-1}(G_i) \cup f^{-1}(H_i)$ . This is readily seen to imply  $\dim M_n \leq r - 1 < n$ . Hence  $\dim(S - X_n) \geq n$ . Moreover, the countable sum theorem for dim [6] implies  $\dim(Y_n - X_n) = n$ .

Let  $G_1, G_2$  be disjoint open sets of  $Y_n - X_n$ . Since  $Y_n - X_n$  and hence  $G_1, G_2$  are open in  $\{\omega\} \times N_n \times \{0, 1, 1/2, \dots, 1/m, \dots\}$ , then, in  $\{\omega\} \times Z_n$ ,  $\text{Ex } G_1, \text{Ex } G_2$  are open and disjoint with  $G_1 \subset \text{Ex } G_1$  and  $G_2 \subset \text{Ex } G_2$ . It follows from this observation that  $X_n$  is normally adjoined to  $Y_n - X_n$ , and hence to every one of its increments. Thus  $X$  is semicompact, every increment of  $X$  is normal with  $\dim \geq n$ ,  $\dim^\circ X_n = \dim(Y_n - X_n) = n$  [11], while  $\mathcal{U}\text{-dim}^\circ X_n = \mathcal{U}\text{-dim } Y_n - X_n = 0$  (Proposition 2). A space with  $\dim^\circ < \mathcal{U}\text{-dim}^\circ$  is given in Example 4.

EXAMPLE 3. A semicompact space every increment of which has  $\mathcal{U}\text{-dim} > 0$  and  $\mathcal{U}\text{-ind} > 0$ .

Let  $L$  be the space obtained from  $[0, \omega_1]$  by inserting a copy of  $\mathcal{Q}$ , the rationals in  $[0, 1]$ , between any two consecutive ordinals  $\alpha_1, \alpha_2$ , and identifying 0 with  $\alpha_1$

and 1 with  $\alpha_2$ . Let  $X_n = L \times I_n$  where  $I_n = I^n - \{0\}$ . It follows from the fact that  $\dim L = 0$  and  $I_n$  is semicompact that  $X_n$  is semicompact. Hence  $X_n$  is proximally semibicompact in the proximity induced by its Freudenthal compactification.

A  $G_\delta$  set of  $X_n$  intersecting  $\{\omega_1\} \times I_n$  contains a closed subset homeomorphic with  $\mathcal{Q}$ , and is not therefore compact. Let  $\mathcal{U}$  be the uniformity on  $X_n$  induced by a compactification  $Y$ . Let  $E_i, F_i$ ,  $i = 1, \dots, n$  be disjoint closed sets of a compact subset of  $\{\omega_1\} \times I_n$ , and suppose  $G_i, H_i$  are disjoint  $\mathcal{U}$ -open sets of  $X$  with  $E_i \subset G_i, F_i \subset H_i$  and  $F = X - \bigcup G_i \cup H_i$  compact. Since  $F$  is also a  $G_\delta$  set of  $X$ ,  $\{\omega_1\} \times I_n \subset \bigcup G_i \cup H_i$ . This implies that every compact subset of  $I_n$  has  $\dim \leq n - 1$ . It follows that  $X_n$  does not satisfy  $A_{n-1}$ , and  $\mathcal{U}\text{-dim}(Y - X_n) \geq n$  (Lemma 9 and Proposition 3).

Let  $\infty$  be a limit point of  $\{\omega_1\} \times I_n$  in  $Y$ , and  $E$  a non-empty compact subset of  $\{\omega_1\} \times I_n$ . Choose a  $\mathcal{U}$ -open set  $H$  and  $\mathcal{U}$ -closed set  $F$  of  $Y$  with  $E \subset H \subset F \subset Y - \{\infty\}$ . If  $\mathcal{U}\text{-ind}(Y - X_n) \leq 0$ , in view of Lemma 1, there are disjoint  $\mathcal{U}$ -open sets  $G_1, G_2$  of  $Y$  with  $\infty \in G_1, F - X_n \subset G_2$  and  $Y - X_n \subset G_1 \cup G_2$ . Then  $P_1 = G_1 - F, P_2 = G_2 \cup H$  are disjoint  $\mathcal{U}$ -open sets of  $Y$  intersecting  $\{\omega_1\} \times I_n$  with  $Y - X_n \subset P_1 \cup P_2$ . Then  $X_n - P_1 \cup P_2$  is a compact  $G_\delta$  set of  $X_n$  and  $\{\omega_1\} \times I_n \subset P_1 \cup P_2$ . Since this implies that  $I_n$  is disconnected,  $\mathcal{U}\text{-ind}(Y - X_n) > 0$ . We recall that if  $Y$  is the Freudenthal compactification of  $X$ , then  $\text{ind}(Y - X) \leq 0$  [8, 11].

EXAMPLE 4. In Example 2 of [2], we give a space  $(R^n, \mathcal{E})$  with  $\mathcal{E}\text{-dim } R^n = n - 1$  and  $\dim R^n = 0$ . Let  $Z_n$  be a compactification of  $R^n$  (as a topological space) such that  $\mathcal{U}\text{-dim } R^n = \mathcal{E}\text{-dim } R^n$  [1, Proposition 8], where  $\mathcal{U}$  is the unique uniformity on  $Z_n$ . Let  $Y_n = [0, \omega_1] \times Z_n$  and  $X_n = Y_n - \{\omega_1\} \times R^n$ . Then as in Example 2,  $X_n$  is normally adjoined to its increment  $Y_n - X_n$ , and hence  $\dim^\circ X_n = \dim(Y_n - X_n) = \dim R^n = 0$  [11], while  $\mathcal{U}\text{-dim}^\circ X_n = \mathcal{U}\text{-dim } R^n = n - 1$  (Proposition 2).

**4. Dimension of compactifications.** Answering the question of Alexandroff whether a semicompact space has a compactification of the same dimension with zero-dimensional increment, Skljarenko [8] gives a semicompact metric separable space with  $\dim = 1$  every compactification with zero-dimensional increment of which is of  $\dim \geq 2$ . We show that, in fact, any compactification with zero-dimensional increment of a semicompact space  $X$  satisfying the bicompat axiom of countability is of  $\dim \leq \dim X + 1$ . The definition of a  $\pi$ -compactification of a (semicompact) space can be found in [8]. Here we only use the following consequence of Skljarenko's Lemmas 8 and 9: If  $E, F$  are disjoint closed sets of a  $\pi$ -compactification  $Y$  of  $X$ , there are disjoint open sets  $G, H$  of  $Y$  with  $E \subset G, F \subset H$  and  $Y - G \cup H \subset X$ .

PROPOSITION 6. Let  $Y$  be a  $\pi$ -compactification of  $X$  with  $\dim F \leq m$  for every compact set  $F$  of  $X$ . Then  $\dim Y \leq m + 1$ .

Proof. Let  $E_i, F_i$ ,  $i = 1, \dots, m + 2$ , be disjoint  $\mathcal{U}$ -closed sets of  $Y$ . Let  $P_i, S_i$  be  $\mathcal{U}$ -open and  $Q_i, T_i$  be  $\mathcal{U}$ -closed sets of  $Y$  with  $E_i \subset P_i \subset Q_i, F_i \subset S_i \subset T_i$  and  $Q_i \cap T_i = \emptyset$ . Take disjoint open sets  $G_{m+2}, H_{m+2}$  with  $Q_{m+2} \subset G_{m+2}, T_{m+2}$



$\subset H_{m+2}$  and  $F = Y - G_{m+2} \cup H_{m+2} \subset X$  ( $Y$  is a  $\pi$ -compactification). By hypothesis  $\mathcal{U}\text{-dim} F = \dim F \leq m$ , and hence there are disjoint  $\mathcal{U}$ -open sets  $U_i, V_i$  of  $F$ ,  $i = 1, \dots, m+1$ , with  $Q_i \cap F \subset U_i$ ,  $T_i \cap F \subset V_i$  and  $F \subset \bigcup U_i \cup V_i$  [1]. In view of Lemma 1,  $U_i, V_i$  may be assumed to be  $\mathcal{U}$ -open in  $Y$ . For  $i \leq m+1$ , let  $G_i = P_i \cup (U_i - T_i)$ ,  $H_i = S_i \cup (V_i - Q_i)$ . Then  $G_i, H_i$ ,  $i = 1, \dots, m+2$ , are disjoint open sets of  $Y$  with  $E_i \subset G_i$ ,  $F_i \subset H_i$  and  $Y = \bigcup G_i \cup H_i$ . This implies  $\dim Y \leq m+1$  [6].

Since the Freudenthal compactification of a semicompact space, and any compactification with zero-dimensional increment of a space satisfying the bicomact axiom of countability is a  $\pi$ -compactification [8].

**COROLLARY 10.** *If  $Y$  is the Freudenthal compactification of a semicompact space  $X$ , then  $\dim Y \leq \dim X + 1$ .*

**COROLLARY 11.** *If  $Y$  is a compactification of a space  $X$  satisfying the bicomact axiom of countability and  $\text{ind } Y - X \leq 0$ , then  $\dim Y \leq \dim X + 1$ .*

In Proposition 6 and its corollaries,  $\dim$  may be replaced by  $\text{ind}$  or  $\text{Ind}$ . The proofs of the following results are the obvious modifications of the proof of Proposition 6.

**PROPOSITION 7.** *If  $\dim F \leq m$  for every accessible  $G_\delta$  set  $F$  of  $X$ , then  $\dim Y \leq \mathcal{U}\text{-dim}(T - X) + m + 1$ .*

**COROLLARY 12.** *If  $\dim F \leq m$  for every accessible  $G_\delta$  set  $F$  of  $X$ , then  $\dim Y \leq \mathcal{U}\text{-dim}^\infty X + m + 1$ .*

**COROLLARY 13.** *If  $\dim F \leq m$  for every compact  $G_\delta$  set  $F$  of a space  $X$  satisfying the bicomact axiom of countability, then  $\dim Y \leq \dim^\infty X + m + 1$ .*

**PROPOSITION 8.** *If  $\dim F \leq m$  for every accessible  $G_\delta$  subset  $F$  of  $Y - X$ , then  $\dim Y \leq \mathcal{U}\text{-dim } X + m + 1$ .*

**PROPOSITION 9.** *If  $\dim F \leq m$  for every compact subset  $F$  of  $X$ , and  $Y - X$  is normal, then  $\dim Y \leq \dim(Y - X) + m + 1$ .*

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Accepté par la Rédaction le 9. 10. 1974