

to a subspace of  $l_p$  and  $Y$  is isomorphic to a subspace of  $l_q$ . Moreover, we can assume that  $X \cap l_q = \{0\}$  and  $Y \cap l_p = \{0\}$ . One can easily prove (cf. [6] and [13]) that  $P_{l_p}|_X$  and  $P_{l_q}|_Y$  are isomorphisms. Let  $P_X$  (resp.  $P_Y$ ) denote the projection from  $E^{(a)}$  onto  $X$  (resp.  $Y$ ) annihilating  $Y$  (resp.  $X$ ). Then  $\alpha_i u_i = P_{l_p}(z_i) = P_{l_p}P_X(z_i) + P_{l_p}P_Y(z_i)$ . Since  $P_{l_p}P_Y$  is a compact operator,  $\|P_{l_p}P_Y(z_i)\| \rightarrow 0$  and so  $\|P_{l_p}P_X(z_i)\| \rightarrow 0$ , which implies  $\|P_X(z_i)\| \rightarrow 0$ . But this contradicts Remark 4.5.

The spaces  $E^{(a)}$  for  $p = 2$  and  $2 < q$  were studied by H. P. Rosenthal in [14]. In this case the results of this remark can be derived from the theory of  $\mathcal{L}_p$ -spaces.

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#### On the inductive limit of $\bigcup l_p$ , $0 < p < 1$

by

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**Abstract.** For each  $p$ ,  $0 < p < 1$ , let  $l_p$  be the linear space of all scalar sequences  $x = (x_n)$  such that  $\|x\|_p = \sum |x_n|^p < \infty$ . We define the  $q$ -topology on  $\bigcup l_p$  to be the strongest linear topology on  $\bigcup l_p$  such that each injection  $i_p: l_p \rightarrow \bigcup l_p$  is continuous. This paper contains results about  $\bigcup l_p$  with the  $q$ -topology.

**0. Introduction.** For each  $p$ ,  $0 < p < 1$ , let  $l_p$  be the linear space of all scalar sequences  $x = (x_n)$  such that  $\|x\|_p = \sum |x_n|^p < \infty$ . We define the  $q$ -topology on  $\bigcup l_p$  to be the strongest linear topology on  $\bigcup l_p$ , such that each injection  $i_p: l_p \rightarrow \bigcup l_p$  is continuous.

To investigate the properties of this topology, we will find it useful to use the following notation. The set  $R$  is the set of all sequences of positive numbers increasing to one and  $R_p$ ,  $0 < p < 1$ , is the set of all sequences  $(a_n)$  in  $R$  such that  $p \leq a_1$ . The set  $Q$  is the set of all sequences of positive numbers less than one decreasing to zero, and  $Q_p$  is the set of all sequences of positive numbers  $(a_n)$  in  $Q$  such that  $a_1 \leq p$ . The space  $l_{(r_n)}$  is the set of all scalar sequences  $x = (x_n)$  such that  $\|x\|_{(r_n)} = \sum |x_n|^{r_n} < \infty$ . The vector  $e_n$  is the vector  $(0, \dots, 0, 1, 0, \dots)$ , where the non-zero entry is in  $n$ th position. The projection  $P_n$  is the mapping which maps  $(x_1, x_2, \dots)$  onto  $(x_1, x_2, \dots, x_n, 0, \dots)$ . The set  $S_p$  is the set  $\{x \in l_p: \|x\|_p \leq 1\}$ . The symbol  $\text{card}(A)$  represents the cardinality of the set  $A$ , and  $\text{supp}(x)$  is the support of the vector  $x = (x_1, x_2, \dots)$ , i.e., the set of all integers  $n$  such that  $x_n \neq 0$ . The space  $\Phi$  is the linear space of all scalar sequences with at most finitely many non-zero entries, and  $\tau$  is the strongest linear topology on  $\Phi$ . A block basic sequence  $\{z_n\}$  is a sequence of non-zero vectors of the form  $z_n = \sum_{i=n_k-1+1}^{n_k} a_i e_i$ , where  $\{n_k\}$  is a strictly increasing sequence of non-negative integers. A space has a block basis if it has a Schauder basis consisting of a block basic sequence. The symbol  $\bigcup l_p$  denotes the space  $\bigcup_{0 < p < 1} l_p$ , and  $[x_n]_q$  indicates the  $q$ -closed linear subspace of  $\bigcup l_p$  generated by the set  $\{x_n\}$ . Finally,  $\text{co}^q A$  is the  $q$ -convex balanced hull of the set  $A$ , i.e., the set of all vectors of the form  $\sum_{i=1}^n a_i a_i$ .

where  $a_i$  is in  $A$  and the scalars  $\alpha_i$  satisfy  $\sum_{i=1}^{\infty} |\alpha_i|^q \leq 1$ , and a balanced set  $B$  is  $q$ -convex if and only if  $\text{co}^q\{B\} = B$ .

We show that  $\{e_n\}$  is a "symmetric" Schauder basis for  $\bigcup l_p$ , and that  $\bigcup l_p$  is a complete separable non-locally convex linear topological space with the  $q$ -topology. We also show the following: A sequence converges in  $\bigcup l_p$  if and only if the sequence is contained in and converges in some  $l_p$ ; a set is compact in  $\bigcup l_p$  if and only if the set is contained in and compact in some  $l_p$ ; no closed infinite-dimensional subspace of  $\bigcup l_p$  is contained in any  $l_p$ ; each closed infinite-dimensional subspace of  $\bigcup l_p$  contains an isomorphic copy of  $\Phi$ ; and no infinite-dimensional subspace of  $\bigcup l_p$  is metrizable.

### 1. Main results.

**THEOREM 1.**  $\{e_j\}$  forms a Schauder basis for  $\bigcup l_p$  with the  $q$ -topology.

**Proof.** Suppose  $x = (x_1, x_2, \dots)$  is in  $\bigcup l_p$ ; then  $x$  is in  $l_p$  for some  $p \in (0, 1)$ . Since  $\sum_{k=1}^n x_k e_k$  converges to  $x$  in  $l_p$ , and the  $l_p$ -topology is weaker than the  $q$ -topology on  $l_p$ ,  $\sum_{k=1}^n x_k e_k$  converges to  $x$  in the  $q$ -topology. Since the  $q$ -topology is stronger than the  $l_1$ -topology, the representation is unique and the coefficient functionals are continuous.

**LEMMA 2.** Let  $A$  and  $B$  be balanced  $q$ -convex sets; then

$$\text{co}^q(A \cup B) = \{\lambda x + \mu y : |\lambda|^q + |\mu|^q \leq 1, x \in A, y \in B\}.$$

**Proof.** Let  $z = \lambda_1 x_1 + \dots + \lambda_m x_m + \mu_1 y_1 + \dots + \mu_n y_n$  be an element of  $\text{co}^q(A \cup B)$ , where  $x_i$  is in  $A$ ,  $y_i$  is in  $B$  and  $\sum |\lambda_i|^q + \sum |\mu_i|^q \leq 1$ . Let  $\lambda = (\sum |\lambda_i|^q)^{1/q}$  and  $\mu = (\sum |\mu_i|^q)^{1/q}$ . Then  $z = \lambda w + \mu y$ , where  $\frac{\lambda_1}{\lambda} x_1 + \dots + \frac{\lambda_m}{\lambda} x_m$  is in  $A$ ,  $y = \frac{\mu_1}{\mu} y_1 + \dots + \frac{\mu_n}{\mu} y_n$  is in  $B$ , and  $|\lambda|^q + |\mu|^q \leq 1$ .

**Remark.** Lemma 2 generalizes easily to the case when there are finitely many sets. This fact will be useful.

**THEOREM 3.** In  $\bigcup l_p$ , the  $q$ -topology restricted to each  $l_p$  is strictly weaker than the  $l_p$ -topology.

**Proof.** Let  $N$  be a  $q$ -neighborhood of zero. Choose  $p'$  such that  $p < p' < 1$ . Then there exists  $a > 0$  such that  $aS_{p'} \subset N$ . Since  $(aS_{p'}) \cap l_p$  is not contained in any multiple of  $S_p$ ,  $N$  restricted to  $l_p$  is not contained in any multiple of the  $S_p$ .

The following theorem will show that, in order to define the  $q$ -topology, it suffices to choose any sequence  $(p_k)$  in  $\mathbb{R}$  and define the topology on  $\bigcup l_p$  to be the strongest linear topology for which each injection  $i_k: l_{p_k} \rightarrow \bigcup l_p$

$= \bigcup_{k=1}^{\infty} l_{p_k}$  is continuous. This fact will prove useful in our later development, and we will use it frequently without any discussion.

**THEOREM 4.** Let  $(p_k)$  be any sequence of positive numbers increasing to one. Then the  $q$ -topology is the strongest vector topology on  $\bigcup l_p$  which is weaker than the  $l_{p_k}$ -topology on each  $l_{p_k}$ .

**Proof.** Let  $\mathcal{T}$  denote the strongest linear topology on  $\bigcup l_p$  such that each injection from  $l_{p_k}$  is continuous. Since each injection from  $l_{p_k}$  is continuous in the  $q$ -topology, the  $q$ -topology is weaker than  $\mathcal{T}$ . Also, if  $0 < p < 1$ , there exists  $p_k$  such that  $p < p_k < 1$ . Since the  $l_{p_k}$ -topology on  $l_p$  is weaker than the  $l_p$ -topology on  $l_p$ , the injection from  $l_p$  must be  $\mathcal{T}$ -continuous. Hence  $\mathcal{T}$  is weaker than the  $q$ -topology.

**THEOREM 5.** The linear topology on  $\Phi$  generated by the collection of paranorms,  $\{\| \cdot \|_{(q_n)} : (q_n) \in Q\}$ , is the strongest linear topology,  $\tau$ .

**Proof.** Let  $\mathcal{T}$  be the linear topology generated by the collection  $\{\| \cdot \|_{(q_n)} : (q_n) \in Q\}$ . Clearly,  $\mathcal{T} \subset \tau$ . The topology  $\tau$  is known to be locally convex (cf. [2]). Let  $N$  be a convex balanced  $\tau$ -neighborhood of 0. Choose  $t_n$ ,  $0 < t_n < 1$  such that  $t_n e_n \in N$ . For some  $\varepsilon$ ,  $0 < \varepsilon < 1$ , choose  $(q_n)$  in  $Q$  such that  $\sum_{n=1}^{\infty} \frac{1/q_n}{t_n} < 1$ . Then  $\| \lambda \|_{(q_n)} < \varepsilon$  implies  $\lambda$  is in  $N$ . Hence  $\tau \subset \mathcal{T}$ .

**THEOREM 6.** Let  $N$  be a  $q$ -neighborhood of 0 in  $\bigcup l_p$ , let  $(p_k)$  be a sequence of positive numbers increasing to one, and let

$$A_N = \{\lambda = (\lambda_1, \dots, \lambda_n, 0, \dots) \in \Phi : \lambda_1 x_1 + \dots + \lambda_n x_n \in N \text{ for every } x_k \in S_{p_k}\}.$$

Then  $A_N$  is a neighborhood of 0 in  $\Phi$ .

**Proof.** Consider the collection  $\mathcal{A} = \{A_N : N \text{ a balanced } q\text{-neighborhood of } 0\}$ . If  $A_N \in \mathcal{A}$ , then  $A_N$  is balanced because  $N$  is balanced. Also  $A_M + A_M \subset A_N$  if  $M + M \subset N$ . Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n, 0, \dots)$  be any element in  $\Phi$ . If  $t$  is a scalar, then  $t \sum_{j=1}^n \lambda_j S_{p_j} \subset t \sum_{j=1}^n \lambda_j S_{p_j}$ . Thus  $t \sum_{j=1}^n \lambda_j S_{p_j}$  is contained in  $N$  for all sufficiently small  $t$ . This implies that  $A_N$  is absorbing.

Hence  $\mathcal{A}$  is a local base at zero for a linear topology (cf. e.g. [1]). Thus  $A_N$  is a  $\tau$ -neighborhood of 0.

**THEOREM 7.** Let  $N$  and  $A_N$  be as above and let  $\hat{N} = \{\lambda_1 x_1 + \dots + \lambda_n x_n : \lambda \in A_N \text{ and } x_k \in S_{p_k}\}$ . Then  $\{\hat{N} : N \in \mathcal{B}\}$ , where  $\mathcal{B}$  is a local base for the  $q$ -topology, is a local base for the  $q$ -topology in  $\bigcup l_p$ .

**Proof.** Clearly,  $\hat{N} \subset N$ . Also if  $\hat{M}$  is in  $\{\hat{N} : N \in \mathcal{B}\}$ , then  $\hat{M}$  is absorbing and also  $\hat{M}$  is balanced because  $S_{p_k}$  is balanced. Furthermore, if  $\hat{M}$  is in  $\{\hat{N} : N \in \mathcal{B}\}$  we will construct a  $\hat{G}$  in  $\{\hat{N} : N \in \mathcal{B}\}$  such that  $\hat{G} + \hat{G} \subset \hat{M}$ . There exists  $k > 0$  such that  $(|\lambda|^q + |\mu|^q)^{1/q} \leq K(|\lambda| + |\mu|)$  for all  $q \geq p$ ,

and for all  $\lambda$  and  $\mu$ . Choose  $G$  in  $\mathcal{B}$  such that  $KG + KG \subset M$ . Let  $Z$  be in  $\hat{G} + \hat{G}$ . Then there exists  $\lambda = (\lambda_1, \dots, \lambda_n, 0, \dots)$  and  $\mu = (\mu_1, \dots, \mu_n, 0, \dots)$  in  $A_G$  such that  $Z = (\lambda_1 x_1 + \dots + \lambda_n x_n) + (\mu_1 y_1 + \dots + \mu_n y_n)$ , where  $x_i$  and  $y_i$  are in  $S_{p_i}$ . Then

$$Z = (|\lambda_1|^{p_1} + |\mu_1|^{p_1})^{1/p_1} \left( \frac{\lambda_1}{(|\lambda_1|^{p_1} + |\mu_1|^{p_1})^{1/p_1}} x_1 + \frac{\mu_1}{(|\lambda_1|^{p_1} + |\mu_1|^{p_1})^{1/p_1}} y_1 \right) + \dots$$

$$+ \dots + (|\lambda_n|^{p_n} + |\mu_n|^{p_n})^{1/p_n} \left( \frac{\lambda_n}{(|\lambda_n|^{p_n} + |\mu_n|^{p_n})^{1/p_n}} x_n + \frac{\mu_n}{(|\lambda_n|^{p_n} + |\mu_n|^{p_n})^{1/p_n}} y_n \right).$$

Since

$$\left\| \frac{\lambda_j x_j + \mu_j y_j}{(|\lambda_j|^{p_j} + |\mu_j|^{p_j})^{1/p_j}} \right\|_{p_j} \leq 1,$$

we need only show that

$$((|\lambda_1|^{p_1} + |\mu_1|^{p_1})^{1/p_1}, \dots, (|\lambda_n|^{p_n} + |\mu_n|^{p_n})^{1/p_n}, 0, \dots)$$

is in  $A_M$ . To see this, let  $z_k$  be in  $S_{p_k}$ . Then

$$(|\lambda_1|^{p_1} + |\mu_1|^{p_1})^{1/p_1} z_1 + \dots + (|\lambda_n|^{p_n} + |\mu_n|^{p_n})^{1/p_n} z_n$$

$$= (|\lambda_1| + |\mu_1|) \frac{(|\lambda_1|^{p_1} + |\mu_1|^{p_1})^{1/p_1}}{|\lambda_1| + |\mu_1|} z_1 + \dots + (|\lambda_n| + |\mu_n|) \frac{(|\lambda_n|^{p_n} + |\mu_n|^{p_n})^{1/p_n}}{|\lambda_n| + |\mu_n|} z_n$$

$$\in KG + KG \subset M.$$

From the above considerations we see that the collection  $\{\hat{N} : N \in \mathcal{B}\}$  is a local base at zero for a linear topology which is stronger than the  $q$ -topology. Since the injections,  $i_n$ , are continuous in this topology, the topology must equal the  $q$ -topology.

**PROPOSITION 8.** If  $(p_n)$  is a sequence of positive numbers increasing to one, then the collection of all sets  $\{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq 1, (\lambda_i) \in \Phi, \text{ and } x_i \in a_i S_{p_i}\}$ , where  $(a_i)$  and  $(q_i)$  are in  $Q_{p_1}$  form a local base at zero for a linear topology in  $\bigcup l_p$ . This topology also has a local base at zero given by the collection of all sets  $\{\sum_{i=1}^n x_i : x_i \in a_i S_{p_i}, n \text{ is a natural number}\}$ , where  $(a_i)$  is an  $Q_{p_1}$ .

**Proof.** The set  $N = \{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq 1, (\lambda_i) \in \Phi, \text{ and } x_i \in a_i S_{p_i}\}$  is clearly balanced and absorbing. Also if  $G = \{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq 1, (\lambda_i) \in \Phi, \text{ and } x_i \in \beta_i S_{p_i}\}$ , where  $q_i \leq p_i$ , and  $\beta_i \leq a_i 2^{1/q_i}$ , then  $G + G \subset N$ . Hence the collection of sets  $\{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq 1, (\lambda_i) \in \Phi, \text{ and } x_i \in a_i S_{p_i}\}$  forms a local base for a linear topology.

The collection of sets  $\{\sum_{i=1}^n x_i : x_i \in a_i S_i\}$  obviously forms a local base for a linear topology which is weaker than the topology given above.

Given  $N$  as above, choose  $(\gamma_i) \in Q_1$  such that  $\sum_{i=1}^{\infty} (\gamma_i/a_i)^{1/q_i} < 1$ , and let  $M = \{\sum_{i=1}^n x_i : x_i \in \gamma_i S_{p_i}\}$ . Then  $M \subset N$ . Hence the two topologies agree.

**THEOREM 9.** The  $q$ -topology has each of the following as a local base at zero

- (i)  $\{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq 1, (\lambda_i) \in \Phi, \text{ and } x_i \in a_i S_{p_i}\}$ , where  $(a_i) \in Q$  and  $(q_n) \in Q$ .
- (ii)  $\{\sum x_i : x_i \in a_i S_{p_i}\}$ , where  $(a_i)$  is in  $Q$ .
- (iii)  $\{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq 1, (\lambda_i) \in \Phi, \text{ and } x_i \in a_i S_{p_i}\}$ , where  $(a_i)$  is in  $Q$  and  $q$  is any (fixed) number between 0 and  $p_1$ .

**Proof.** The collection of sets given in (iii) forms a local base at zero for a linear topology on  $\bigcup l_p$  by a proof similar to the proof of the previous theorem. It is clear that the topologies generated by the sets in (i), (ii), and (iii) are the same. Since the injections  $i_n$  are continuous in these topologies, we need only show that they are stronger than the  $q$ -topology. By Theorem 7,  $\{\hat{N} : N \in \mathcal{B}\}$  is a local base at zero for the  $q$ -topology. Let  $\hat{N}$  be in  $\{N : N \in \mathcal{B}\}$ . By Theorem 6,  $A_N$  is a  $\tau$ -neighborhood of 0 in  $\Phi$ . By Theorem 5, there exist  $(q_n) \in Q_{p_1}$  and  $\varepsilon$ ,  $0 < \varepsilon < 1$ , such that  $\{\lambda \in \Phi : \|\lambda\|_{(q_n)} \leq \varepsilon\} \subset A_N$ . Hence

$$\hat{N} \supset \{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq \varepsilon, (\lambda_i) \in \Phi, \text{ and } x_i \in S_{p_i}\}$$

$$\supset \{\sum \lambda_i x_i : \sum |\lambda_i|^{q_i} \leq 1, (\lambda_i) \in \Phi, \text{ and } x_i \in \varepsilon^{1/q_i} S_{p_i}\}.$$

**Remark.** Sets of the form  $\bigcup a_n S_{p_n}$ , where  $(a_n) \in Q$  cannot be  $q$ -neighborhoods of 0 since for any  $(a_n)$  and  $(\beta_n)$  in  $Q$  there exist an  $x$  and  $y$  in  $\bigcup \beta_n S_{p_n}$  such that  $x + y \notin \bigcup a_n S_{p_n}$ .

**COROLLARY 10.** There exists a local base,  $B$ , at zero for the  $q$ -topology on  $\bigcup l_p$  such that for every  $N$  in  $B$  if  $\sum_{i=1}^m a_k e_k$  is in  $N$ ,  $\pi(n)$  is a permutation of the natural numbers, and  $|\eta_k| \leq 1$ , then  $\sum_{i=1}^m \eta_k a_k e_{\pi(k)}$  is in  $N$ .

**Proof.** Let  $B$  be the local base at zero given in (ii) of Theorem 9. Let  $x = \sum_{i=1}^m a_k e_k$  be in  $N$  and  $N$  in  $B$ . Then  $x = \sum_{i=1}^n x_j$ , where  $x_j$  is in  $a_j S_{p_j}$ . We can assume that  $x_j = \sum_{k=1}^n X_{j_k} e_{k_j}$ . Since  $x_j$  is in  $a_j S_{p_j}$ ,  $y_j = \sum_{k=1}^m \eta_k X_{j_k} e_{\pi(k)}$  is in  $a_j S_{p_j}$ . Hence  $\sum_{k=1}^m \eta_k a_k e_{\pi(k)} = \sum_{j=1}^n y_j$  is in  $N$ .

**Remark.** Let  $(e_n)$  be any bounded sequence of numbers which is bounded away from zero, and let  $\pi(n)$  be any permutation of the natural numbers. If  $T$  is the linear mapping of  $\bigcup l_p$  onto itself such that  $T(e_n) = e_n e_{\pi(n)}$ , then  $T$  is a  $q$ -isomorphism. Moreover, if  $T_\pi$  is the linear mapping of  $\bigcup l_p$  onto itself such that  $T_\pi(e_n) = e_{\pi(n)}$ , then the family  $\{T_\pi : \pi \text{ a per-}$

mutation of the natural numbers} is equi-continuous. These facts follow from Corollary 10, and they show the "symmetry" of the basis  $\{e_n\}$  with respect to the  $q$ -topology.

**THEOREM 11.** *Let  $B$  be a subset of  $\bigcup l_p$  such that  $P_n B \subset B$  for  $n = 1, 2, 3, \dots$ ; then if  $B$  is  $q$ -closed,  $B$  is  $l_1$ -closed (in  $\bigcup l_p$ ).*

*Proof.* Let  $x$  be any point in  $\bigcup l_p$  which is in the  $l_1$ -closure of  $B$ , and let  $N$  be any open  $q$ -neighborhood of  $x$ . Choose a sequence  $\{y_n\}$  such that  $y_n$  is in  $B$  and converges to  $x$  in the  $l_1$ -topology. By Theorem 1,  $P_n x$  converges to  $x$  in the  $q$ -topology. Hence there exists an  $n_0$  such that  $P_{n_0} x$  is in  $N$ . Clearly,  $P_{n_0} y_n$  converges to  $P_{n_0} x$  in the  $q$ -topology. Hence  $P_{n_0} y_n$  is eventually in  $N$ . Since  $P_{n_0} y_n$  is also in  $B$ , this implies that  $x$  is in the  $q$ -closure of  $B$  and hence in  $B$ .

**COROLLARY 12.** *The  $q$ -topology has a local base at zero whose members are  $l_1$ -closed.*

*Proof.* The  $q$ -closure of any of the sets given in (i), (ii), or (iii) of Theorem 9 satisfy the conditions of the previous theorem.

*Remark.* It is not necessarily true that the sets given in (i), (ii), or (iii) of Theorem 9 are closed. For example, if  $\sum_i |a_i|^{p_i} < \infty$ , then  $x = (a_1, a_2, \dots)$  is in the closure of  $B = \{\sum_i x_i: x_i \in a_i S_{p_i}\}$  but  $x$  is not in  $B$ .

**DEFINITION.** The  $r$ -topology is defined to be the linear topology on  $\bigcup l_p$  generated by the sets  $\{x: \|x\|_{(r_n)} < \varepsilon\}$ , where  $\varepsilon > 0$ ,  $\|x\|_{(r_n)} = \sum |x_n|^{r_n}$ , and  $(r_n)$  is any sequence of numbers increasing to one.

**THEOREM 13.** *The set  $\bigcup l_p$  equals the set  $\bigcap \{l_{(r_n)}: (r_n) \in R\}$ .*

*Proof.* It is clear that the set  $\bigcup l_p$  is contained in the set  $\bigcap \{l_{(r_n)}: (r_n) \in R\}$ . Conversely, if  $x$  is not in  $\bigcup l_p$ , choose a sequence  $(n_k)$  of positive integers such that  $n_1 < n_2 < \dots$  and  $\|P_{n_k}(x) - P_{n_{k-1}}(x)\|_{p_k} \geq 1$ , where  $P_{n_0}(x) = 0$ . If  $(r_n) = (p_1, \dots, p_1, p_2, \dots, p_2, p_3, \dots)$ , where  $p_k$  appears  $n_k - n_{k-1}$  times, then  $x$  is not in  $l_{(r_n)}$ .

**THEOREM 14.** *The space  $\bigcup l_p$  is complete for the  $q$ -topology.*

*Proof.* To see the space is complete for the  $q$ -topology, let  $\{y_a: a \in D\}$  be a  $q$ -Cauchy net in  $\bigcup l_p$ . Since the net is  $q$ -Cauchy, it is  $r$ -Cauchy, and hence  $r$ -converges to some point  $y$  in  $\bigcup l_p$  (cf. [1]). Since  $\lim y_a = y$  in the  $r$ -topology,  $\lim y_a = y$  in the  $l_1$ -topology.

By Corollary 12, the  $q$ -topology has a local base consisting of  $l_1$ -closed sets, and this implies that  $\lim y_a = y$  in the  $q$ -topology.

**THEOREM 15.** *The  $q$ -topology is not locally convex.*

*Proof.* The topology is strictly stronger than the  $l_1$ -topology. Furthermore, it is weaker than the  $l_p$ -topology on each  $l_p$ ,  $0 < p < 1$ . Hence any locally convex topology weaker than the  $q$ -topology must be weaker than the  $l_1$ -topology. This implies that the topology is not locally convex.

**PROPOSITION 16.** *Suppose  $\{x_n\}$  is a sequence which is  $l_p$ -bounded in  $l_p$  for some  $p$ ,  $0 < p < 1$ . If  $1 \geq q > p$  and if  $\lim_{n \rightarrow \infty} \|x_n\|_\infty = 0$ , then  $\lim_{n \rightarrow \infty} \|x_n\|_q = 0$ .*

*Proof.* Suppose that the result is not true. Then there are positive numbers  $A$  and  $B$  and a subsequence  $\{y_n\}$  of  $\{x_n\}$  such that  $A > B$ ,  $\|y_n\|_p < A$  and  $B < \|y_n\|_q$ . By applying the mean value theorem to  $f(s) = t^s$  for  $0 < t \leq 1$ , we find that  $\sum_{k=1}^{\infty} |z_k|^p - \sum_{k=1}^{\infty} |z_k|^q \geq \sum_{k=1}^{\infty} (p-q) \ln(\|z\|_\infty) |z_k|^q$  for every sequence of scalars  $z = (z_k)$  such that  $\|z\|_\infty \leq 1$ . If we replace  $(z_k)$  by  $\{y_n\}$  in this last inequality, we obtain  $(p-q) \ln(\|y_n\|_\infty) \|y_n\|_q \leq \|y_n\|_p - \|y_n\|_q$ . Hence  $A - B \geq (p-q) \ln(\|y_n\|_\infty) B$  holds for  $n = 1, 2, \dots$ . Since  $\lim_{n \rightarrow \infty} \|y_n\|_\infty = 0$ , this is impossible.

**COROLLARY 17.** *If  $0 < p < q$  and  $0 < \|x\|_\infty < 1$ , then  $(p-q) \|x\|_q \ln(\|x\|_\infty) \leq \|x\|_p - \|x\|_q$ .*

*Proof.* The proof follows immediately from the proof of the preceding proposition.

**THEOREM 18.** *A sequence  $\{x_n\}$  in  $\bigcup l_p$  converges in the  $q$ -topology if and only if there exists a  $p$ ,  $0 < p < 1$ , such that  $\{x_n\} \subset l_p$  and  $\{x_n\}$  converges in the  $l_p$ -topology.*

*Proof.* We first prove that an  $r$ -convergent sequence  $l_p$ -converges in some  $l_p$ . In so doing, we can assume that the sequence  $\{x_n\}$   $r$ -converges to zero. First of all  $\{x_n\}$  must be  $l_p$ -bounded in some  $l_p$ ,  $0 < p < 1$ , for otherwise one can find a subsequence  $\{x_{n_k}\}$  and a strictly increasing sequence  $\{m_k\}$  of non-negative integers such that  $\|(P_{m_k} - P_{m_{k-1}+1})x_{n_k}\|_{p_k} \geq k$ , and this contradicts the fact that  $\{x_n\}$   $r$ -converges to zero. Since  $\{x_n\}$   $r$ -converges to zero,  $\{x_n\}$  converges to zero in the  $l_\infty$ -topology. Thus, by Proposition 16,  $\{x_n\}$  converges to zero in any  $l_q$  when  $q > p$ . This completes the proof for the  $r$ -topology.

If a sequence converges in the  $q$ -topology, then the sequence must converge in the  $r$ -topology. Hence it must converge in some  $l_p$ ,  $0 < p < 1$ .

**COROLLARY 19.** *A set  $B$  is  $q$ -bounded if and only if there exists a  $p$ ,  $0 < p < 1$ , such that  $B$  is contained in  $l_p$  and  $B$  is bounded in the  $l_p$ -topology.*

*Proof.* This corollary follows from the preceding theorem and the fact that a set  $B$  is bounded in a linear topological space if and only if for every sequence  $\{b_n\}$  contained in  $B$  and every sequence  $(a_n)$  of scalars,  $\lim a_n b_n = 0$ .

**THEOREM 20.** *Let  $B$  be a subset of  $\bigcup l_p$ . Then  $B$  is  $q$ -totally bounded if and only if  $B$  is  $l_p$ -totally bounded in some  $l_p$ ,  $0 < p < 1$ .*

*Proof.* Since  $B$  is totally bounded,  $B$  is bounded. Hence by Theorem 18  $B$  is contained in  $l_{p_0}$  for some  $p_0$ ,  $0 < p_0 < 1$ . We will show that  $B$  is  $l_p$ -totally bounded for every  $p$ ,  $p_0 < p < 1$ . If  $B$  is not totally bounded



in  $l_p$ , then by [4] there exist a sequence  $\{x_n\}$  in  $l_p$ , an  $\varepsilon > 0$ , and a strictly increasing sequence  $(N_k)$  of positive integers such that  $\sum_{k=N_p}^{\infty} |x_{n,k}|^p \geq \varepsilon$ , where  $x_n = (x_{n,1}, x_{n,2}, \dots)$ . Since  $B$  is totally bounded,  $B$  is totally bounded in  $l_1$ . Hence  $\lim_{n \rightarrow \infty} \sum_{k=N_p}^{\infty} |x_{n,k}| = 0$ . Proposition 16 then implies that  $\lim_{n \rightarrow \infty} \sum_{k=N_p}^{\infty} |x_{n,k}|^p = 0$ , and this is a contradiction.

**THEOREM 21.** *Let  $B$  be a subset of  $\bigcup l_p$ . Then  $B$  is  $q$ -compact if and only if  $B$  is  $l_p$ -compact in some  $l_p$ ,  $0 < p < 1$ .*

**Proof.** Since  $B$  is compact,  $B$  is totally bounded. Thus Theorem 20 implies that  $B$  is totally bounded in some  $l_p$ ,  $0 < p < 1$ . Since  $B$  is closed,  $B$  is closed in  $l_p$ . Hence  $B$  is  $l_p$ -compact.

**THEOREM 22.** *Any subset of  $\bigcup l_p$  is separable in the  $q$ -topology.*

**Proof.** Let  $X$  be any subset of  $\bigcup l_p$ , and let  $X_n = X \cap l_{p_n}$ . Select an  $l_{p_n}$ -dense countable subset,  $Y_n$ , of  $X_n$ . Then  $Y = \bigcup Y_n$  is a countable dense subset of  $X$ .

**Remark.** It is not necessarily true that a subset of a separable linear topological space is separable — even when the subset is a closed subspace. An example of such a space is given in [3].

**THEOREM 23.** *Let  $X$  be an infinite-dimensional subspace of  $\bigcup l_p$  which is closed in the  $q$ -topology. If  $(p_k)$  is in  $R$ , there exists a sequence  $\{x_k\}$  such that  $x_k$  is in  $(l_{p_k} \cap X) \setminus l_{p_{k-1}}$ .*

**Proof.** Suppose the theorem is not true. Then there exists a  $p$ ,  $0 < p < 1$ , such that  $X$  lies entirely in  $l_p$ . Since the  $l_p$ -topology is stronger than the  $q$ -topology,  $X$  is  $l_p$ -closed. Also  $X$  is  $l_q$ -closed in  $l_q$  for any  $q$ ,  $p < q \leq 1$  for the same reason. The identity mapping of  $X$  onto itself is  $l_p$ -to- $l_q$  continuous, and therefore the identity mapping of  $X$  onto itself is an  $l_p$ -to- $l_q$  isomorphism by the open mapping theorem. But  $l_p$  and  $l_q$  contain no infinite-dimensional isomorphic subspaces (cf. [5]).

**LEMMA 24.** *Let  $\{x_1, \dots, x_m\}$  be linearly independent elements of  $l_p$  and let  $\{y_1, \dots, y_n\}$  be linearly independent elements of  $l_q \setminus l_p$ , where  $0 < p < q \leq 1$ . Assume that  $(\text{span}\{y_1, \dots, y_n\}) \cap l_p = \{0\}$ . Then given any  $\varepsilon > 0$  there exists a positive integer  $k$  such that  $\|P_k(\lambda_1 x_1 + \dots + \lambda_m x_m + \mu_1 y_1 + \dots + \mu_n y_n)\|_p < 1$  implies that  $|\mu_i| < \varepsilon$  for  $i = 1, \dots, n$ .*

**Proof.** Suppose the statement is not true. Then there exist an  $\varepsilon > 0$  and sequences  $\{\lambda_{i,k}\}_{k=1}^{\infty}$ ,  $i = 1, \dots, m$ , and  $\{\mu_{j,k}\}_{k=1}^{\infty}$ ,  $j = 1, \dots, n$ , such that  $\max(|\mu_{1,k}|, \dots, |\mu_{n,k}|) \geq \varepsilon$  and  $\|P_k(\lambda_{1,k} x_1 + \dots + \lambda_{m,k} x_m + \mu_{1,k} y_1 + \dots + \mu_{n,k} y_n)\|_p < 1$ . By dividing by suitable constants if necessary, we may assume that  $\varepsilon = \max(|\mu_{1,k}|, \dots, |\mu_{n,k}|)$ , and by selecting subsequences if necessary, we may assume that  $\lim_{k \rightarrow \infty} \mu_{i,k} = \mu_i$  for  $i = 1, \dots, n$  and  $\varepsilon = \max(|\mu_1|, \dots, |\mu_n|)$ .

**Case 1.** The sequence  $\{(\lambda_{1,k}, \dots, \lambda_{n,k})\}_{k=1}^{\infty}$  is bounded (in  $l_n^{\infty}$ ).

Since the  $y_j$ 's are linearly independent,  $\varepsilon = \max(|\mu_1|, \dots, |\mu_n|)$ , and  $(\text{span}\{y_1, \dots, y_n\}) \cap l_p = \{0\}$ , it follows that  $\lim_{k \rightarrow \infty} \|P_k(\mu_1 y_1 + \dots + \mu_n y_n)\|_p = \infty$ . Hence there exists a positive integer  $k$  such that

$$\|P_k(\lambda_{1,k} x_1 + \dots + \lambda_{m,k} x_m + \mu_{1,k} y_1 + \dots + \mu_{n,k} y_n)\|_p > 1.$$

**Case 2.** The sequence  $\{(\lambda_{1,k}, \dots, \lambda_{n,k})\}_{k=1}^{\infty}$  is unbounded (in  $l_n^{\infty}$ ). Fix  $k_0$  such that the vectors  $P_{k_0} x_1, \dots, P_{k_0} x_m$  are linearly independent. Then  $\{\|P_{k_0}(\lambda_{1,k} x_1 + \dots + \lambda_{m,k} x_m)\|_p\}_{k=1}^{\infty}$  is unbounded. Since  $\max(|\mu_1|, \dots, |\mu_n|) = \varepsilon$  it follows that there exists an integer  $k \geq k_0$  such that

$$\begin{aligned} \|P_k(\lambda_{1,k} x_1 + \dots + \lambda_{m,k} x_m + \mu_{1,k} y_1 + \dots + \mu_{n,k} y_n)\|_p \\ > \|P_{k_0}(\lambda_{1,k} x_1 + \dots + \lambda_{m,k} x_m + \mu_{1,k} y_1 + \dots + \mu_{n,k} y_n)\|_p \\ > 1. \end{aligned}$$

**THEOREM 25.** *If  $X$  is an infinite-dimensional subspace of  $\bigcup l_p$  and if  $X \cap l_{p_k}$  is finite dimensional for every  $k$  (or equivalently,  $X \cap l_p$  is finite dimensional for each  $p$ ,  $0 < p < 1$ ), then  $X$  is  $r$ -isomorphic to  $\Phi$ .*

**Proof.** Choose  $p_0$  in the interval  $(0, 1)$  and let  $\{x_1, x_2, \dots, x_{k_1}\}$  be a basis for  $X \cap l_{p_0}$ . By induction choose  $(p_n)$  in  $R$  such that  $\dim(X \cap l_{p_n}) > \dim(X \cap l_{p_{n-1}})$ , choose a strictly increasing sequence  $(k_n)$  of positive integers and choose a sequence of vectors  $\{x_j\}_{j=k_{n-1}+1}^{\infty}$  such that the set  $\{x_1, \dots, x_{k_n}\}$  forms a basis for  $X \cap l_{p_n}$ .

Define a linear map  $T$  from the span of  $\{x_n\}$  onto  $\Phi$  by letting  $T(x_n) = e_n$  and then extending by linearity. Since  $T$  is one-to-one and onto  $\Phi$ , and since  $T^{-1}$  is continuous, we need only show that  $T$  is continuous. To show this, let  $N$  be any balanced convex neighborhood of 0 in  $\Phi$ . Choose a sequence  $(\alpha_n)$  such that  $\alpha_n > 0$  and  $\alpha_n e_n \in N$ , and let  $\beta_n = \frac{1}{2n} \alpha_n$ . We will construct a sequence  $(r_n)$  in  $R$  and find a  $\delta > 0$  such that if  $x = \sum_{j=1}^{\infty} \lambda_j x_j$  and  $\|x\|_{(r_n)} < \delta$ , then  $|\lambda_j| < \beta_j$  for  $j = 1, \dots, n$ . This will show that  $T$  is continuous.

There exist a positive integer  $n_1$  and a positive number  $\varepsilon$  such that  $\|P_{n_1}(\lambda_1 x_1 + \dots + \lambda_{k_1} x_{k_1})\|_{p_0} < \varepsilon$  implies  $|\lambda_i| \leq \beta_i$ ,  $i = 1, \dots, k$ . There exists a sequence  $(\gamma_{1i})_{i=k_1+1}^{\infty}$  of positive numbers such that  $|\lambda_i| < \gamma_{1i}$  implies

$$\|P_{n_1}(\lambda_{k_1+1} x_{k_1+1} + \lambda_{k_1+2} x_{k_1+2} + \dots)\|_{p_0} < \frac{\varepsilon}{2}.$$

By Lemma 14 we can select a positive integer  $n_2 > n_1$  such that  $\|(P_{n_2} - P_{n_1})(\lambda_1 x_1 + \dots + \lambda_{k_2} x_{k_2})\|_{p_1} < 1$  implies  $|\lambda_j| < \beta_j$ ,  $\gamma_{1j}$  for  $j = k_1 + 1, \dots, k_2$ . There exists a sequence  $(\gamma_{2i})_{i=k_2+1}^{\infty}$  of positive numbers such that  $|\lambda_i| < \gamma_{2i}$  implies  $\|(P_{n_2} - P_{n_1})(\lambda_{k_2+1} x_{k_2+1} + \lambda_{k_2+2} x_{k_2+2} + \dots)\|_{p_1} < \frac{1}{2}$ . By Lem-

ma 24 we can select a positive integer  $n_3 > n_2$  such that

$$\|(P_{n_3} - P_{n_2})(\lambda_1 x_1 + \dots + \lambda_{k_3} x_{k_3})\|_{p_2} < 1 \text{ implies } |\lambda_j| < \beta_j, \gamma_{1j}, \gamma_{2j}$$

for  $j = k_2 + 1, \dots, k_3$ . There exists a sequence  $(\gamma_{3i})_{i=k_3+1}^\infty$  of positive numbers such that  $|\lambda_i| < \gamma_{3i}$  implies  $\|(P_{n_3} - P_{n_2})(\lambda_{k_3+1} x_{k_3+1} + \lambda_{k_3+2} x_{k_3+2} + \dots)\|_{p_2} < \frac{1}{2}$ . Continue this process inductively and obtain sequences  $(n_k)_{k=1}^\infty$  and  $(\gamma_{ij})_{j=k_i+1}^\infty$ ,  $i = 1, 2, \dots$ . Choose a sequence  $(r_n)$  in  $R$  such that  $r_n = p_{k-1}$  for  $n_{k-1} < n < n_k$  ( $n_0 = 0$ ),  $k = 1, 2, \dots$ , and let  $\delta = \min(\frac{1}{2}, \frac{1}{2}\epsilon)$ .

To complete the proof, suppose that  $x = \sum_{j=1}^{k_m} \lambda_j x_j$  and  $\|x\|_{(r_n)} < \delta$ . By the choice of  $(r_n)$ , we have

$$\|P_{n_1} x\|_{p_0} + \|(P_{n_2} - P_{n_1})x\|_{p_1} + \dots + \|(P_{n_m} - P_{n_{m-1}})x\|_{p_{m-1}} < \delta;$$

hence  $\|(P_{n_j} - P_{n_{j-1}})x\|_{p_{j-1}} < \frac{1}{2}$  for  $j = 2, \dots, m$ , and  $\|P_{n_1} x\|_{p_0} < \frac{1}{2}\epsilon$ . It follows from the above that  $|\lambda_j| \leq \beta_j$  for  $j = 1, 2, \dots, k_m$ .

**THEOREM 26.** *Let  $X$  be an infinite-dimensional subspace of  $\bigcup_l l_p$  which is closed in the  $q$ -topology. Then  $X$  contains a subspace which is isomorphic to  $\Phi$ .*

**Proof.** By Theorem 23 there exists a sequence  $\{x_k\}$  in  $X$  such that  $x_k \in l_{p_k} \setminus l_{p_{k-1}}$ . Let  $Y$  be the subspace spanned by  $\{x_k\}$ . Since  $\dim(Y \cap l_{p_k}) = k$ , Theorem 25 implies that  $Y$  is  $r$ -isomorphic to  $\Phi$ . Since the  $q$ -topology, stronger than the  $r$ -topology,  $Y$ , is  $q$ -isomorphic to  $\Phi$  also.

**THEOREM 27.**  *$\bigcup_l l_p$  contains no infinite-dimensional metrizable subspaces in the  $q$ -topology.*

**Proof.** Suppose  $X$  is a metrizable subspace of  $\bigcup_l l_p$ . Then,  $Y$ , the closure of  $X$ , is also metrizable. By Theorem 26,  $Y$  contains a copy of  $\Phi$ . Since  $\Phi$  is not metrizable, this is contradiction.

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