

$$m(\bar{\Omega}) \leq \sum_{k=k_0+1}^N \sum_{i \geq 1} \left(\frac{3}{2}\right)^{k-k_0} |Q_i^k|$$

$$\leq \sum_{k \geq k_0} 2^{-(k-k_0)} \left(\frac{3}{2}\right)^{k-k_0} 2^{-k_0} \|f\|_{WH^1} \leq \frac{C}{\alpha} \|f\|_{WH^1},$$

the theorem is proven.

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On regular generators of Z^2 -actions in exhaustive partitions

by

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Abstract. It is shown that for every totally ergodic Z^2 -action with finite entropy there exists a regular generator in a given exhaustive partition and the set of regular generators is dense in the set of all generators.

1. Introduction. Let (X, \mathcal{B}, μ) be a Lebesgue probability space, \mathcal{M} the set of all measurable partitions of X and \mathcal{E} the subset of \mathcal{M} consisting of partitions with finite entropy.

All relations between measurable partitions are to be taken mod 0.

Let ϱ be the metric on \mathcal{E} defined by the formula

$$\varrho(P, Q) = H(P|Q) + H(Q|P), \quad P, Q \in \mathcal{E}.$$

We denote by ε the measurable partition of X into single points and by ν the measurable trivial partition whose only element is X .

Let T be an automorphism of (X, \mathcal{B}, μ) . For $P \in \mathcal{M}$ we define

$$P_T^- = \bigvee_{n=1}^{\infty} T^{-n} P, \quad P_T = \bigvee_{n=-\infty}^{+\infty} T^n P.$$

If $P_T = \varepsilon$ we say that P is a *generator* of (X, T) .

A partition $\zeta \in \mathcal{M}$ is said to be *T-perfect* if

$$T^{-1} \zeta \leq \zeta, \quad \zeta_T = \varepsilon, \quad \bigwedge_{n=0}^{\infty} T^{-n} \zeta = \pi(T) \quad \text{and} \quad h(\zeta, T) = h(T)$$

where $\pi(T)$ and $h(T)$ denote the Pinsker partition and the entropy of T respectively.

Rokhlin and Sinai showed in [9] that for every automorphism T there exists a T -perfect partition. If T is aperiodic with $h(T) < \infty$ then for every generator P of (X, T) the partition $\zeta = P \vee P_T^-$ is T -perfect. Rokhlin [7] proved that if $h(T) < \infty$ and ζ is T -perfect then there exists a generator P such that $\zeta = P \vee P_T^-$, i.e. ζ is a past of the process (P, T) .

Now, let G be an abelian free group of rank 2 of automorphisms of (X, \mathcal{B}, μ) . We denote by $b(G)$ the set of $\bigwedge_{\alpha \in G} \alpha$ all ordered pairs of independent generators of G .

The quadruple (X, \mathcal{B}, μ, G) is said to be a *two-dimensional dynamical system* (\mathbb{Z}^2 -action) and is shortly denoted by (X, G) .

The entropy theory for such systems has been developed by Conze [1], Katznelson and Weiss [5], and the theory of invariant partitions by the author [3].

Let \mathbb{Z}^2 denote the two-dimensional integers and $<$ the lexicographical order in \mathbb{Z}^2 .

We put $\Pi = \{(i, j) \in \mathbb{Z}^2; (i, j) < (0, 0)\}$. Let $(T, S) \in b(G)$. For $P \in \mathcal{M}$ we define

$$P_G^- = \bigvee_{(k, l) \in \Pi} T^k S^l P, \quad P_G = \bigvee_{(k, l) \in \mathbb{Z}^2} T^k S^l P.$$

A partition $P \in \mathcal{M}$ is said to be a *generator* for (X, G) if $P_G = \mathcal{C}$.

Now, let G be aperiodic and $h(G) < \infty$. Following Conze [1] we denote by Γ_G the set of all $P \in \mathcal{Z}$ with $h(P, G) = h(G)$ and by B_G the set of all generators of (X, G) with finite entropy. It is proved in [1] that $B_G \neq \emptyset$ and B_G is a dense subset of Γ_G .

In [3] the following two-dimensional analogue of the notion of perfect partition mentioned above is introduced. A partition $\zeta \in \mathcal{M}$ is said to be (T, S) -*exhaustive* if

- (i) $T^k S^l \zeta \leq \zeta$ for $(k, l) \in \Pi$,
- (ii) $\zeta_G = \mathcal{C}$,
- (iii) $\bigwedge_{n=0}^{\infty} S^{-n} \zeta = T^{-1} \zeta_S$.

If ζ also satisfies

- (iv) $\bigwedge_{(k, l) \in \mathbb{Z}^2} T^k S^l \zeta = \pi(G)$,
- (v) $h(G) = H(\zeta | \zeta_G^-) = H(\zeta | S^{-1} \zeta)$,

where $\pi(G)$ and $h(G)$ mean the Pinsker partition and the entropy of G respectively, then it is called (T, S) -*perfect*.

It is clear that conditions (i) and (iv) are equivalent to the following:

- (i') $S^{-1} \zeta \leq \zeta$, $T^{-1} \zeta_S \leq \zeta$,
- (iv') $\bigwedge_{n=0}^{\infty} T^{-n} \zeta_S = \pi(G)$.

It is shown in [3] that for every $(T, S) \in b(G)$ there exists a (T, S) -perfect partition.

For $P \in B_G$ we define $\zeta_P = P \vee P_G^-$. In [4] we investigated the following question: is the partition ζ_P (T, S) -perfect for any $P \in B_G$? As we have seen above, the analogue of this question for single automorphisms has the positive answer. Our question is equivalent to the following: is the equality

$$\bigwedge_{n=0}^{\infty} (S^{-n} P_S^- \vee (P_S)_T^-) = (P_S)_T^-$$

satisfied for any $P \in B_G$? It turned out [4] that in general the answer to this question is negative. A generator satisfying the above equality was called in [4] (T, S) -*regular*. We denote the set of all (T, S) -regular generators of (X, G) by $B_{T,S}$.

It is worth noting that Weizsäcker [11] considered a more general problem in probability theory.

Using the relative version of the Kolmogorov zero-one law one can show that the zero time partition in the two-dimensional Bernoulli dynamical system is regular with respect to the pair of the shifts. A more general example is given in [4].

In this paper, using relative versions of some results of the ergodic theory of single automorphisms, we show that if G is totally ergodic then for any $(T, S) \in b(G)$ and for any (T, S) -exhaustive partition ζ there exists $P \in B_{T,S}$ with $P \leq \zeta$. Moreover, the set $B_{T,S}$ is dense in B_G . It appears that by the use of regular generators it is possible to characterize the groups with zero entropy in a manner similar to that for single automorphisms.

I am grateful to J. P. Thouvenot for suggesting the possibility of using relative generator theorems for a solution of the question stated above.

2. Some results of the relative ergodic theory. In the sequel we denote by \mathbb{Z} the set of integers and by \mathbb{N} the set of positive integers.

Let T be an automorphism of (X, \mathcal{B}, μ) and let $\sigma \in \mathcal{M}$ be such that $T\sigma = \sigma$. For $P \in \mathcal{Z}$ we put

$$h(P, T | \sigma) = H(P | P_T^- \vee \sigma).$$

We define the σ -relative entropy $h(T | \sigma)$ of T by the formula

$$h(T | \sigma) = \sup h(P, T | \sigma)$$

where the supremum is taken over all $P \in \mathcal{Z}$.

It is clear that $h(T | \sigma) \leq h(T)$. There is a simple formula connecting $h(T)$ with $h(T | \sigma)$.

PROPOSITION 1. $h(T) = h(T | \sigma) + h(T_\sigma)$

where T_σ denotes the factor automorphism of T on X/σ .

Proof. Let $P_k, Q_l \in \mathcal{Z}$, $k, l \in \mathbb{N}$ be such that $P_k \nearrow \sigma$ and $Q_l \nearrow \varepsilon$. From the Pinsker formula and simple properties of the conditional entropy easily follow the inequalities:

$$h(P_k \vee Q_l, T) \geq h(P_k, T) + h(Q_l, T | \sigma),$$

$$h(Q_l, T) \leq h(P_k, T) + H(Q_l | (Q_l)_T^- \vee (P_k)_T^-), \quad k, l \in \mathbb{N}.$$

Applying to both the inequalities the well-known limit properties of entropy we obtain the desired equality.

We shall use in the sequel the following result given in [8] (lemma 10.2).

LEMMA 1. For all $P, Q \in \mathcal{M}$ such that $P \geq Q$ and $H(P|Q) < \infty$ there exists $R \in \mathcal{Z}$ with $P = Q \vee R$ and $H(R) < H(P|Q) + 3\sqrt{H(P|Q)}$.

The main tool to obtain our main result is a relative version of the well-known Rokhlin generator theorem (cf. [6]). Since the proof runs in a similar way to that of Rokhlin we give only a sketch of it below.

For $n \in \mathbb{N}$, $B \in \mathcal{B}$ and a partition $P = (P_i, i \in \mathbb{N})$ we define the following partitions:

$$P_T^n = \bigvee_{k=-n+1}^{n-1} T^k P, \quad P \cap B = (P_i \cap B, X \setminus B; i \in \mathbb{N}).$$

Let $\sigma, \tau \in \mathcal{M}$ be such that $T\sigma = \sigma$, $T\tau = \tau$ and $\sigma \leq \tau$.

LEMMA 2. If T is aperiodic with $h(T|\sigma) < \infty$ then for all $P, Q \in \mathcal{Z}$ and $\delta > 0$ there exists a partition $R \in \mathcal{Z}$ such that $R_T \geq P_T$ and $H(R|TQ_T \vee \sigma) \leq h(T|\sigma) - h(Q, T|\sigma) + \delta$.

Sketch of proof. Let $\delta > 0$ be arbitrary and $n \in \mathbb{N}$ be such that

$$\frac{1}{2n-1} H((P \vee Q)_T^n | \sigma) - h(P \vee Q, T|\sigma) < \frac{\delta}{3}.$$

We choose $\lambda > 0$ satisfying the condition

$$H(P \cap B) < \frac{\delta}{3} \quad \text{for } \mu(B) < \lambda.$$

The Rokhlin tower theorem implies there exists a set $C \in \mathcal{B}$ such that the sets $C, TC, \dots, T^{n-1}C$ are pairwise disjoint and $\mu(D) < \lambda$ where $D = X \setminus (C \cup TC \cup \dots \cup T^{n-1}C)$.

There exists $0 \leq k \leq n-1$ with

$$H(P_T^n \cap T^k C | TQ_T \vee \sigma) \leq h(T|\sigma) - h(Q, T|\sigma) + \frac{2}{3}\delta.$$

The partition $R = P_T^n \cap T^k C \vee P \cap D$ satisfies the desired properties: $R_T \geq P_T$ and

$$\begin{aligned} H(R|TQ_T \vee \sigma) &\leq H(P_T^n \cap T^k C | TQ_T \vee \sigma) + H(P \cap D) \\ &\leq h(T|\sigma) - h(Q, T|\sigma) + \delta. \end{aligned}$$

RELATIVE GENERATOR THEOREM. If $\tau \in \mathcal{M}$ is such that the factor automorphism T_τ is aperiodic with $h(T_\tau|\sigma) < \infty$ then there exists $P \in \mathcal{Z}$ such that $P \leq \tau$ and $P_T \vee \sigma = \tau$. Moreover, the set of $P \in \mathcal{Z}$, $P \leq \tau$, $P_T \vee \sigma = \tau$ is dense in the set of $P \in \mathcal{Z}$, $P \leq \tau$ and $h(P, T|\sigma) = h(T_\tau|\sigma)$.

Sketch of proof. We may suppose $\tau = \varepsilon$. Let $\delta > 0$ be arbitrary and $Q \in \mathcal{Z}$ be such that

$$h(T|\sigma) - h(Q, T|\sigma) < \frac{\delta^2}{2}.$$

We take a sequence (Q_n) of partitions in \mathcal{Z} with $Q_0 = Q$, $Q_n \nearrow \varepsilon$ and

$$h(T|\sigma) - h(Q_k, T|\sigma) < \frac{\delta^2}{2^{2k+7}}, \quad k \in \mathbb{N} \cup \{0\}.$$

Using Lemma 2 we may choose a sequence (R_k) in \mathcal{Z} with $(R_k)_T \geq (Q_k)_T$ and

$$H(R_k | (Q_{k-1})_T \vee \sigma) = H((Q_{k-1})_T \vee R_k \vee \sigma | (Q_{k-1})_T \vee \sigma) < \frac{\delta^2}{2^{2k+4}}, \quad k \in \mathbb{N}.$$

Now Lemma 1 implies there exists a sequence (P_k) in \mathcal{Z} such that

$$(Q_{k-1})_T \vee R_k \vee \sigma = (Q_{k-1})_T \vee P_k \vee \sigma, \quad H(P_k) < \frac{\delta}{2^k}, \quad k \in \mathbb{N}.$$

This equality gives

$$(Q \vee \bigvee_{k=1}^n P_k)_T \vee \sigma \geq (Q_n)_T \vee \sigma, \quad n \in \mathbb{N}.$$

Therefore putting $P = Q \vee \bigvee_{k=1}^{\infty} P_k$ we have $P_T \vee \sigma = \varepsilon$,

$$H(P) \leq H(Q) + \sum_{k=1}^{\infty} H(P_k) < H(Q) + \delta < \infty$$

and $q(P, Q) < \delta$, which completes the proof.

We denote by $\pi(T, \tau|\sigma)$ the join of all $P \in \mathcal{Z}$ with $P \leq \tau$, $h(P, T|\sigma) = 0$ and call it the σ -relative Pinsker partition of T_τ . We shall write $\pi(T|\sigma)$ instead of $\pi(T, \varepsilon|\sigma)$. The concept of σ -relative Pinsker partition was introduced in [2] in the case $\tau = \varepsilon$ and called there the Pinsker closure of σ .

It is clear that $\pi(T, \tau|\sigma) \geq \sigma$. If $\pi(T, \tau|\sigma) = \sigma$ then we say that T_τ is a K -automorphism relative to σ . Let us remark that T is a K -automorphism relative to σ iff for any $\zeta \in \mathcal{M}$ with $T_\zeta = \zeta$, $\zeta \geq \sigma$, $h(T_\zeta|\sigma) = 0$ we have $\zeta = \sigma$. Thouvenot also defined (cf. [10]) a concept of relative K -automorphism. Using Proposition 1 and the above remark one can easily check that in the case $h(T) < \infty$ both concepts coincide.

Some properties of relative Pinsker partitions:

- (a) $T\pi(T, \tau|\sigma) = \pi(T, \tau|\sigma)$.
- (b) $h(T_{\pi(T, \tau|\sigma)}|\sigma) = 0$.
- (c) If S is an automorphism of (X, \mathcal{B}, μ) commuting with T then

$$S\pi(T, \tau|\sigma) = \pi(T, S\tau|S\sigma).$$

- (d) If $\tau_i, \sigma_i \in \mathcal{M}$, $T\tau_i = \tau_i$, $T\sigma_i = \sigma_i$, $i = 1, 2$, $\sigma_1 \leq \tau_1 \leq \tau_2$, $\sigma_1 \leq \sigma_2 \leq \tau_2$ then

$$\pi(T, \tau_1|\sigma_1) \leq \pi(T, \tau_2|\sigma_2).$$

(e) T_τ is a K -automorphism relative to $\pi(T, \tau|\sigma)$.

(f) If $\sigma \in \mathcal{M}$, $T\sigma = \sigma$ and $\zeta \in \mathcal{M}$ is such that $\sigma \leq T^{-1}\zeta \leq \zeta$ then

$$\bigwedge_{n=0}^{\infty} T^{-n}\zeta \geq \pi(T, \zeta_T|\sigma).$$

(g) If $\sigma \in \mathcal{M}$, $T\sigma = \sigma$ then for every $P \in \mathcal{Z}$

$$\bigwedge_{n=0}^{\infty} (T^{-n}P_T^- \vee \sigma) = \pi(T, P_T \vee \sigma|\sigma).$$

(h) If $\tau_n \in \mathcal{M}$, $n \in \mathbb{N}$, are such that $T\tau_n = \tau_n$, $\sigma \leq \tau_n \leq \tau_{n+1}$ and T_{τ_n} is a K -automorphism relative to σ , $n \in \mathbb{N}$, then T_τ is a K -automorphism relative to σ , where $\tau = \bigvee_{n=1}^{\infty} \tau_n$.

Proof. Properties (a)–(d) are easy consequences of the definition. The proofs of (f) and (h) are similar to the proofs of Theorems 12.1 and 13.4 of [9] respectively and we omit them.

To prove (e) let us suppose $P \in \mathcal{Z}$, $P \leq \tau$ and

$$h(P, T|\pi(T, \tau|\sigma)) = 0.$$

Let $Q_n \in \mathcal{Z}$, $n \geq 1$, and $Q_n \nearrow \pi(T, \tau|\sigma)$. Using the relative version of the Pinsker formula (cf. [1]) and simple properties of the conditional entropy we have

$$\begin{aligned} h(P \vee Q_n, T|\sigma) &= h(P, T|\sigma) + H(Q_n| (Q_n)_T^- \vee P_T \vee \sigma) \\ &= H(P|P_T^- \vee (Q_n)_T^- \vee \sigma) + H(Q_n| (Q_n)_T^- \vee P \vee P_T^- \vee \sigma), \quad n \in \mathbb{N}. \end{aligned}$$

Therefore the choice of P and Q_n implies

$$h(P, T|\sigma) = H(P|P_T^- \vee (Q_n)_T^- \vee \sigma), \quad n \in \mathbb{N}.$$

Taking the limit as $n \rightarrow \infty$ we have

$$h(P, T|\sigma) = H(P|P_T^- \vee \pi(T, \tau|\sigma)) = 0,$$

i.e. $P \leq \pi(T, \tau|\sigma)$ and (e) is proved.

In order to check (g) let us observe that the inequality

$$\bigwedge_{n=0}^{\infty} (T^{-n}P_T^- \vee \sigma) \geq \pi(T, P_T \vee \sigma|\sigma)$$

is an easy consequence of (f). To prove the converse inequality we take $Q \in \mathcal{Z}$ and $Q \leq \bigwedge_{n=0}^{\infty} (T^{-n}P_T^- \vee \sigma)$. Hence $Q \leq P_T \vee \sigma$ and

$$H(Q|Q_T^- \vee T^{-n}P_T^- \vee \sigma) = 0, \quad n \in \mathbb{N}.$$

Taking the limit as $n \rightarrow \infty$ we obtain $H(Q|Q_T^- \vee \sigma) = 0$. This means that $Q \leq \pi(T, P_T \vee \sigma|\sigma)$ which proves (g).

3. Existence of regular generators. Let (X, G) be a two-dimensional dynamical system and let $(T, S) \in b(G)$. In order to prove our result we shall need the following.

LEMMA 2. If $\zeta \in \mathcal{M}$ is (T, S) -exhaustive then S is a K -automorphism relative to $T^m\zeta_S$, $m \in \mathbb{Z}$.

Proof. Let $k \in \mathbb{N}$ and $P, Q \in \mathcal{Z}$ satisfy the following conditions:

$$P \leq T^k S^l \zeta \quad \text{for some } l \in \mathbb{N} \quad \text{and} \quad Q \leq \pi(S, T^k \zeta_S | T^{-1} \zeta_S).$$

Hence

$$(1) \quad Q \leq T^k \zeta_S, \quad h(Q, S | T^{-1} \zeta_S) = 0.$$

Let $m \in \mathbb{N}$ be arbitrary. The relative Pinsker formula implies

$$\begin{aligned} h(P \vee Q, S^m | T^{-1} \zeta_S) &= h(P, S^m | T^{-1} \zeta_S) + H(Q | Q_{S^m}^- \vee P_{S^m} \vee T^{-1} \zeta_S) \\ &= h(Q, S^m | T^{-1} \zeta_S) + H(P | P_{S^m}^- \vee Q_{S^m} \vee T^{-1} \zeta_S). \end{aligned}$$

Hence by (1) we have

$$h(P, S^m | T^{-1} \zeta_S) = H(P | P_{S^m}^- \vee Q_{S^m} \vee T^{-1} \zeta_S).$$

Therefore

$$\begin{aligned} (2) \quad H(P | Q \vee T^{-1} \zeta_S) &\geq H(P | P_{S^m}^- \vee Q_{S^m} \vee T^{-1} \zeta_S) \\ &= H(P | P_{S^m}^- \vee T^{-1} \zeta_S) \geq H(P | S^{-m+1} P_S^- \vee T^{-1} \zeta_S) \\ &\geq H(P | S^{-m+1+1} T^k \zeta). \end{aligned}$$

Taking the limit in (2) as $m \rightarrow \infty$ and using (iii) we obtain

$$(3) \quad H(P | Q \vee T^{-1} \zeta_S) \geq H(P | T^{k-1} \zeta_S).$$

Since P runs through a dense subset of the set $\{R \in \mathcal{Z}; R \leq T^k \zeta_S\}$ we conclude that (3) is valid for any $P \in \mathcal{Z}$ with $P \leq T^k \zeta_S$. Assuming $P = Q$ we get $Q \leq T^{k-1} \zeta_S$ and so

$$\pi(S, T^k \zeta_S | T^{-1} \zeta_S) \leq T^{k-1} \zeta_S.$$

Therefore

$$\pi(S, T^k \zeta_S | T^{-1} \zeta_S) \leq \pi(S, T^{k-1} \zeta_S | T^{-1} \zeta_S)$$

and thus

$$\pi(S, T^k \zeta_S | T^{-1} \zeta_S) = T^{-1} \zeta_S, \quad k \in \mathbb{N}.$$

Now (ii) and (h) give $\pi(S | T^{-1} \zeta_S) = T^{-1} \zeta_S$ and so by (c) we obtain the result.

Now, let G be aperiodic with $h(G) < \infty$.

COROLLARY 1. A generator $P \in B_{T,S}$ iff S is a K -automorphism relative to $(P_S)_T^-$.

Proof. If $P \in B_{T,S}$ then the partition $\zeta = P \vee P_G^-$ is (T, S) -exhaustive and so, by Lemma 2, S is a K -automorphism relative to $T^{-1}\zeta_S = (P_S)\bar{T}$.

Now, let S be a K -automorphism relative to $(P_S)\bar{T}$. From this and (g) it follows that

$$\bigwedge_{n=0}^{\infty} (S^{-n}P_S^- \vee (P_S)\bar{T}) = \pi(S, T(P_S)\bar{T} | (P_S)\bar{T}) \leq \pi(S | (P_S)\bar{T}) = (P_S)\bar{T},$$

i.e. $P \in B_{T,S}$.

From Corollary 1 and (e) we obtain at once

COROLLARY 2. If $P \in B_G$ and $Q \in \mathcal{L}$ is such that $(Q_S)\bar{T} = \pi(S | (P_S)\bar{T})$ then $Q \in B_{T,S}$.

In the theorem below we prove that for a wide class of groups G and for any generator P of (X, G) such a generator Q exists.

DEFINITION. The group G is said to be *totally ergodic* if every automorphism $\varphi \in G$ different from the identity transformation of X is ergodic.

THEOREM. If G is totally ergodic with $h(G) < \infty$, $P \in B_G$ and $(T, S) \in b(G)$ then for every $\varepsilon > 0$ there exists $Q \in B_{T,S}$ such that $P \leq Q$ and $\varrho(P, Q) < \varepsilon$.

Proof. Let us suppose G is totally ergodic, $(T, S) \in b(G)$, $P \in B_G$ and $\varepsilon > 0$ is arbitrary.

By our assumption, the factor automorphism $S_{\pi(S|(P_S)\bar{T})}$ is ergodic and since $P \in B_G$, the factor measure induced by μ on $X/\pi(S|(P_S)\bar{T})$ is continuous. Therefore the above factor automorphism is aperiodic. Now property (b) implies

$$h(T^{-1}P, S|(P_S)\bar{T}) = h(S_{\pi(S|(P_S)\bar{T})} | (P_S)\bar{T}) = 0.$$

It follows from the Relative Generator Theorem that there exists $R \in \mathcal{L}$ such that

$$R \leq \pi(S | (P_S)\bar{T}), \quad R_S \vee (P_S)\bar{T} = \pi(S | (P_S)\bar{T}) \quad \text{and} \quad \varrho(T^{-1}P, R) < \varepsilon.$$

Putting $Q = P \vee TR$ we have

$$P \leq Q, \quad (Q_S)\bar{T} = \pi(S | (P_S)\bar{T}) \quad \text{and} \quad \varrho(P, Q) \leq \varrho(T^{-1}P, R) < \varepsilon.$$

By Corollary 2 we see that Q satisfies all desired properties.

Since B_G is a dense subset of Γ_G the theorem above implies at once

COROLLARY 1. If G is totally ergodic with $h(G) < \infty$ then for every $(T, S) \in b(G)$ the set $B_{T,S}$ is dense in Γ_G .

Now, let ζ be (T, S) -exhaustive.

COROLLARY 2. If G is totally ergodic with $h(G) < \infty$ then for every $(T, S) \in b(G)$ there exists $P \in B_{T,S}$ with $P \leq \zeta$.

Proof. Let $Q \in B_G$ with $Q \leq \zeta$. The existence of such a generator Q may be proved by the same method as that used by Rokhlin in [7]. Let $\bar{Q} \in B_G$ be

such that $(\bar{Q}_S)\bar{T} = \pi(S | (Q_S)\bar{T})$. As we already know, $\bar{Q} \in B_{T,S}$. It follows from Lemma 2 that

$$T^{-1}\bar{Q} \leq (\bar{Q}_S)\bar{T} = \pi(S | (Q_S)\bar{T}) \leq \pi(S | T^{-1}\zeta_S) = T^{-1}\zeta_S.$$

Hence $P = T^{-1}\bar{Q} \in B_{T,S}$ and $P \leq \zeta$.

In the ergodic theory of single automorphisms the following characterization of automorphisms with zero entropy is well known. Namely, an automorphism T has zero entropy iff any generator P of (X, T) is strong, i.e. $P_T^- = \varepsilon$.

It appears that it is possible to obtain a two-dimensional analogue of this result by the use of regular generators. First we define the concept of two-dimensional strong generator with respect to the lexicographical order.

DEFINITION. A partition $P \in \mathcal{L}$ is said to be a (T, S) -strong generator of (X, G) if $\bigvee_{(k,l) \in \Pi} T^k S^l P = \varepsilon$.

PROPOSITION 2. A totally ergodic group G has zero entropy iff every generator $P \in B_{T,S}$ is (T, S) -strong, $(T, S) \in b(G)$.

Proof. The sufficiency is obvious. Let us suppose $h(G) = 0$, $(T, S) \in b(G)$ and $P \in B_{T,S}$. Since $H(P | P_S^- \vee (P_S)\bar{T}) = 0$ we have $P \leq S^{-n}P_S^- \vee (P_S)\bar{T}$, $n \in \mathbb{N}$, and so $P \leq (P_S)\bar{T}$ by the regularity of P . Therefore $P_G = (P_S)\bar{T}$ and thus P is (T, S) -strong.

Remark. The conditions $h(G) = 0$, $P \in B_G$ do not imply that P is (T, S) -strong, $(T, S) \in b(G)$.

EXAMPLE. Let $(Y, \mathcal{F}, \lambda)$ be a Lebesgue probability space and S_0 an aperiodic automorphism of Y with $h(S_0) = 0$. We denote by (X, \mathcal{B}, μ) the product space $\prod_{i=-\infty}^{+\infty} (Y_i, \mathcal{F}_i, \lambda_i)$, where $Y_i = Y$, $\mathcal{F}_i = \mathcal{F}$, $\lambda_i = \lambda$. Let T, S be automorphisms of (X, \mathcal{B}, μ) defined by the formulas

$$(Tx)(n) = x(n+1), \quad (Sx)(n) = S_0 x(n), \quad n \in \mathbb{Z},$$

and let G be the automorphism group generated by T and S . It is clear that G is totally ergodic. It is shown in [1] that $h(G) = h(S_0) = 0$. Let $\alpha = \{A_0, A_1\}$ be a generator of (Y, S_0) . The partition $P = \{C(0, A_0), C(0, A_1)\}$ where $C(0, A_i) = \{x \in X; x(0) \in A_i\}$, $i = 1, 2$, is a generator of (X, G) . We shall check that P is not (T, S) -strong. Let us suppose $P_S^- \vee (P_S)\bar{T} = \varepsilon$. Since μ is a product measure the partitions P and $P_S^- \vee (P_S)\bar{T}$ are independent. Hence P and α are trivial partitions, which is impossible.

Remark. Let G be totally ergodic, $(T, S) \in b(G)$ and let ζ be a (T, S) -perfect partition. It would be interesting to know whether ζ may be represented as the past of a certain two-dimensional process (P, G) , i.e. ζ

$= P \vee P_G^-, P \in B(G)$. This question has a positive answer if $h(G) = 0$, because in this case every perfect partition is the partition into points and it is sufficient to use Corollary 2 and Proposition 2. We have been unable to decide whether this question has a positive answer in the general case.

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On drop property

by

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Abstract. Let $(X, \|\cdot\|)$ be a Banach space. We say that the norm $\|\cdot\|$ has the drop property if for each closed set C disjoint with the closed unit ball $B = \{x: \|x\| \leq 1\}$, there is a point $a \in C$ such that $\text{conv}(a \cup B) \cap C = \{a\}$.

We say that a Banach space $(X, \|\cdot\|)$ has the drop property if there is a norm $\|\cdot\|_1$ equivalent to the given one such that $\|\cdot\|_1$ has the drop property.

In the paper it is shown that each superreflexive space has the drop property and each space X which has the drop property is reflexive.

Let $(X, \|\cdot\|)$ be a Banach space. Let B denote the unit ball in X . By a drop induced by a point $a \notin B$ we mean the set

$$(1) \quad D(a, B) = \text{conv}(a, B).$$

Daneš [3] proved the following

THEOREM 1. (Drop theorem). *Let C be a closed set such that*

$$(2) \quad \inf \{\|x\|: x \in C\} = R > 1.$$

Then there is a point $a \in C$ such that

$$(3) \quad D(a, B) \cap C = \{a\}.$$

The drop theorem was used in various situations (see [1], [2], [4], [5], [10]).

Recently Penot [9] discussed the relations between the drop theorem and Ekeland's variational principle [7].

It is a natural question to ask when we can replace in the drop theorem assumption (2) by the weaker assumption that C is disjoint with B .

We shall say that the norm $\|\cdot\|$ has the drop property if the drop theorem holds under this weaker assumption. If there is a norm $\|\cdot\|_1$ equivalent to the norm $\|\cdot\|$ and having the drop property, then we say that the space X has the drop property.

In this paper we shall show that the uniformly convex norms have the drop property and that the spaces X with the drop property are reflexive.

Let $(X, \|\cdot\|)$ be a Banach space. We recall that the space $(X, \|\cdot\|)$ is called uniformly convex if there is an increasing positive function $\delta(\varepsilon)$ defined