

Hence $\Phi \in L^{(p+\delta)',1}(0, \infty)$.

To prove (ii) and (iii) we observe that it is enough to show $\Phi(2^N) \leq C 2^{-N/p}/|N|$ and $\Phi(2^N) \leq C 2^{-N/p}/|N|^2$ respectively. For $N > 0$, we use Lemma 4 to derive the estimates. For $N < 0$ we use Lemma 3.

References

- [1] H. M. Chung, R. A. Hunt and D. S. Kurtz, *The Hardy-Littlewood maximal function on $L(p, q)$ -spaces with weights*, Indiana Univ. Math. J. 31 (1982), 109-120.
- [2] M. de Guzmán, *Differentiation of Integrals in \mathbb{R}^n* , Lecture Notes in Math. 481, Springer, 1975.
- [3] R. A. Hunt, *On $L(p, q)$ spaces*, Enseign. Math. (2) 12 (1966), 247-275.
- [4] M. A. Leckband and C. J. Neugebauer, *A general maximal operator and the A_p -condition*, Trans. Amer. Math. Soc. 275 (1983), 821-831.
- [5] —, —, *Weighted iterates and variants of the Hardy-Littlewood maximal operator*, ibid. 279 (1983), 51-61.
- [6] B. Muckenhoupt, *Weighted norm inequalities for the Hardy maximal function*, ibid. 165 (1972), 207-226.
- [7] B. Muckenhoupt and R. Wheeden, *Two weight function norm inequalities for the Hardy-Littlewood maximal function and the Hilbert transform*, Studia Math. 55 (1976), 279-294.
- [8] E. T. Sawyer, *Two weight norm inequalities for certain maximal and integral operators*, in: Lecture Notes in Math. 908, Springer, 1982, 102-127.

DEPARTMENT OF MATHEMATICS, RUTGERS UNIVERSITY
New Brunswick, New Jersey 08903, U.S.A.

Received May 5, 1986

(2166)

On Δ -uniform convexity and drop property

by

S. ROLEWICZ (Warszawa)

Abstract. Let $(X, \|\cdot\|)$ be a real Banach space. The norm $\|\cdot\|$ is called Δ -uniformly convex if for each $\varepsilon > 0$ there is a $\delta > 0$ such that for each convex set E contained in the unit ball B with measure of noncompactness greater than ε , $\inf\{\|x\| : x \in E\} < 1 - \delta$. It is shown that the norm $\|\cdot\|$ is Δ -uniformly convex if and only if it satisfies uniformly a certain condition (α) equivalent to the drop property. The paper contains an example of a reflexive space in which there is no Δ -uniformly convex norm equivalent to the given one.

Let $(X, \|\cdot\|)$ be a real Banach space. The norm $\|\cdot\|$ is called *uniformly convex* [2] if for each $\varepsilon > 0$ there is a $\delta > 0$ such that for $x, y \in X$ such that $\|x\| = \|y\| = 1$ and

$$(1) \quad \|x - y\| > \varepsilon,$$

we have

$$(2) \quad \|\tfrac{1}{2}(x + y)\| < 1 - \delta.$$

Of course, in this definition we can replace condition (2) by

$$(3) \quad \inf\{\|z\| : z \in \text{conv}(\{x, y\})\} < 1 - \delta$$

where $\text{conv}(A)$ denotes the convex hull of a set A .

Indeed, (2) trivially implies (3). On the other hand, if (3) holds then there is $z \in \text{conv}(\{x, y\})$ such that

$$(4) \quad \|z\| < 1 - \delta.$$

We have two possibilities: either

$$\tfrac{1}{2}(x + y) = (1 - t)x + tz \quad \text{for some } t, 0 \leq t \leq 1,$$

or

$$\tfrac{1}{2}(x + y) = (1 - t)y + tz \quad \text{for some } t, 0 \leq t \leq 1.$$

In both cases $t > \tfrac{1}{2}$ and the norm of $\tfrac{1}{2}(x + y)$ can be estimated as follows:

$$(5) \quad \|\tfrac{1}{2}(x + y)\| \leq (1 - t) + t(1 - \delta) = 1 - t\delta < 1 - \tfrac{1}{2}\delta,$$

and we obtain (2) with δ replaced by $\tfrac{1}{2}\delta$.

Goebel and Sękowski [8] extend the definition of uniform convexity replacing condition (1) by a condition involving the Kuratowski measure of noncompactness.

Let A be a set in a Banach space X . The Kuratowski measure of noncompactness of A is the infimum $\alpha(A)$ of those $\varepsilon > 0$ for which there is a covering of A by a finite number of sets A_i such that $\text{diam}(A_i) = \sup \{\|x - y\| : x, y \in A_i\} < \varepsilon$. It has the following properties (see for example [1]):

- (a) $\alpha(A) = 0$ if and only if the closure \bar{A} of A is compact.
- (b) $\alpha(A) = \alpha(\bar{A})$.
- (c) $\alpha(\text{conv}(A)) = \alpha(A)$.
- (d) $\alpha(A + B) = \alpha(A) + \alpha(B)$.
- (e) $\alpha(\lambda A) = |\lambda| \alpha(A)$.

A norm $\|\cdot\|$ in a Banach space X is Δ -uniformly convex [8] if for each $\varepsilon > 0$ there is a $\delta > 0$ such that for each convex set E contained in the closed unit ball $B = \{x \in X : \|x\| \leq 1\}$ such that

$$(6) \quad \alpha(E) > \varepsilon,$$

we have

$$(7) \quad \inf \{\|x\| : x \in E\} < 1 - \delta.$$

Goebel and Sıkowski [8] have shown that if $\|\cdot\|$ is Δ -uniformly convex, then each nonexpansive mapping T of a closed convex set $C \subset X$ into itself has a fixed point.

We say that a Banach space X is *superreflexive* (Δ -uniformly convexifiable) if there is a norm $\|\cdot\|$ which is equivalent to the given one and uniformly convex (Δ -uniformly convex).

Let $(X, \|\cdot\|)$ be a Banach space. We say that the norm has the *drop property* [10] if for any closed set C disjoint with the unit ball there is a point $a \in C$ such that

$$(8) \quad D(a, B) \cap C = \{a\}$$

where for brevity we have put

$$(9) \quad D(a, B) = \text{conv}(\{a\} \cup B);$$

we call $D(a, B)$ a *drop* [3].

It was shown by Rolewicz [10] and Montesinos [9] that a Banach space is reflexive if and only if there is a norm $\|\cdot\|$ equivalent to the given one such that $\|\cdot\|$ has the drop property.

In the present paper we shall discuss the relations between the drop property, the Δ -uniform convexity and uniform convexity of norms, as well as the relations between reflexivity, Δ -uniform convexifiability and superreflexivity.

Let $(X, \|\cdot\|)$ be a Banach space. We say that the norm $\|\cdot\|$ satisfies *condition (a)* if for each continuous linear functional f of norm one

$$(10) \quad \lim_{\varepsilon \rightarrow 0} \alpha(S(f, \varepsilon)) = 0,$$

where $S(f, \varepsilon)$ denotes the "slice"

$$(11) \quad S(f, \varepsilon) = \{x \in X : \|x\| \leq 1, f(x) \geq 1 - \varepsilon\}.$$

THEOREM 1 ([9], [10]). *The norm $\|\cdot\|$ has the drop property if and only if it satisfies condition (a).*

COROLLARY 1 ([9]). *Let $(X, \|\cdot\|)$ be a Banach space. Let $(Y, \|\cdot\|)$ be a subspace of X . If the norm $\|\cdot\|$ has the drop property then*

(i) *The norm $\|\cdot\|$ restricted to Y has the drop property.*

(ii) *The norm $\|[\cdot]\|_Q = \inf \{\|x + y\| : y \in Y\}$ in the quotient space X/Y also has the drop property.*

Proof. (i) Let f be an arbitrary functional of norm 1 defined on Y . Let \tilde{f} be a norm one extension of f to X . Then $S(f, \varepsilon) \subset Y \cap S(\tilde{f}, \varepsilon)$ and $\alpha(S(f, \varepsilon)) \leq \alpha(S(\tilde{f}, \varepsilon))$ which tends to zero, because the norm $\|\cdot\|$ on X has the drop property.

(ii) Let f be a functional of norm one defined on the quotient space X/Y . It induces a functional \tilde{f} of norm one on X by the formula $\tilde{f}(x) = f([x])$. Observe that for each ε

$$S(f, \varepsilon) = \{[x] : x \in S(\tilde{f}, \varepsilon)\}.$$

Since $\text{diam} \{[x] : x \in A\} \leq \text{diam } A$, we have

$$\alpha(S(f, \varepsilon)) \leq \alpha(S(\tilde{f}, \varepsilon))$$

and the drop property of the norm $\|\cdot\|$ implies the drop property of the quotient norm.

THEOREM 2. *Let $(X, \|\cdot\|)$ be a Banach space. Let x_0 be a point of norm greater than 1. Let*

$$(12) \quad B_0 = \text{conv}(\{x_0, -x_0\} \cup B).$$

The set B_0 induces a new norm $\|\cdot\|_0$ equivalent to the given one.

If the norm $\|\cdot\|$ has the drop property, then so does the norm $\|\cdot\|_0$.

The proof is based on some propositions.

Let f be a continuous linear functional on X of norm 1. We write

$$g^f(\varepsilon) = \alpha(S(f, \varepsilon)).$$

PROPOSITION 1. *For $0 < \lambda < 1$ and $0 < \varepsilon < 1$,*

$$(13) \quad g^f(\lambda\varepsilon) \geq \lambda g^f(\varepsilon).$$

Proof. Let δ be such that $0 < \delta < \varepsilon$. Let x_δ^f be an element of norm 1 such that

$$(14) \quad f(x_\delta^f) = 1 - \delta.$$

By the convexity of the unit ball

$$(15) \quad x_\delta^f + \lambda \frac{\varepsilon - \delta}{\varepsilon} (S(f, \varepsilon) - x_\delta^f) \subset S(f, \lambda \varepsilon).$$

Thus

$$\lambda \frac{\varepsilon - \delta}{\varepsilon} \alpha(S(f, \varepsilon)) \leq \alpha(S(f, \lambda \varepsilon)).$$

Letting δ tend to 0, we get (13).

We do not know whether the function g^f is always concave.

PROPOSITION 2. Let $(X, \|\cdot\|)$ be a Banach space. Let $X_1 = X \times \mathbb{R}$, where the norm $\|\cdot\|_1$ in X_1 is defined by

$$(16) \quad \|(x, t)\|_1 = \|x\| + |t|.$$

If the norm $\|\cdot\|$ has the drop property, then so does the norm $\|\cdot\|_1$.

PROOF. Let f be an arbitrary linear functional of norm one in X_1 , $\|f\|_1 = 1$. Let f_0 denote the restriction of f to X , $f_0 = f|_X$. Of course, $\|f_0\| \leq 1$. We write

$$(17) \quad S(f_0, \varepsilon) = \{x \in X: \|x\| \leq 1, f_0(x) \geq 1 - \varepsilon\}.$$

Of course, if $\|f_0\| < 1$ the set $S(f_0, \varepsilon)$ is void for sufficiently small ε . Now we have two possibilities:

- (i) Neither $(0, 1)$ nor $(0, -1)$ is a point of support of the functional f .
- (ii) Either $(0, 1)$ or $(0, -1)$ is a point of support of f .

In case (i) it is easy to observe that for sufficiently small ε

$$(18) \quad S(f, \varepsilon) \subset \text{conv}(\{(0, 1), (0, -1)\} \cup S(f_0, \varepsilon))$$

and by property (b) of the measure of noncompactness

$$(19) \quad \alpha(S(f, \varepsilon)) \leq \alpha(S(f_0, \varepsilon)).$$

Now we consider case (ii). Without loss of generality we may assume that $f(0, 1) = 1$. Let t be an arbitrary number, $-1 \leq t \leq 1$. Let $A_t = \{x \in X: (x, t) \in S(f, \varepsilon)\}$. Of course

$$(20) \quad S(f, \varepsilon) \subset \bigcup_{-1 \leq t \leq 1} A_t \times \{t\}.$$

Using a compactness argument we can easily show that (20) implies

$$(21) \quad \alpha(S(f, \varepsilon)) = \max_{-1 \leq t \leq 1} \alpha(A_t).$$

Now we shall estimate $\alpha(A_t)$. We divide the interval $[-1, 1]$ into three sections: $[-1, 0]$, $[0, 1 - \varepsilon]$, $[1 - \varepsilon, 1]$. In $[-1, 0]$

$$(22) \quad A_t \times \{t\} \subset \text{conv}(\{(0, -1)\} \cup (S(f_0, \varepsilon) \times \{0\}))$$

and so

$$(23) \quad \alpha(A_t) \leq \alpha(S(f_0, \varepsilon)).$$

In $[1 - \varepsilon, 1]$, $A_t = (1 - t)B$, where B denotes the closed unit ball in X . Thus

$$(24) \quad \alpha(A_t) \leq 2\varepsilon.$$

The most complicated case is the interval $[0, 1 - \varepsilon]$. In this section we obtain A_t by cutting off a piece of the ball $(1 - t)B$ by a hyperplane with distance from the center not smaller than ε . In other words,

$$(25) \quad A_t \subset (1 - t)S\left(f_0, \frac{\varepsilon}{1 - t}\right)$$

and by (b)

$$(26) \quad \alpha(A_t) \leq (1 - t)g^{f_0}\left(\frac{\varepsilon}{1 - t}\right).$$

By Proposition 1

$$(27) \quad \sup_{0 \leq t \leq 1 - \varepsilon} \alpha(A_t) \leq g^{f_0}(\varepsilon).$$

Therefore by (23), (24), (27) and (21)

$$(28) \quad \alpha(S(f, \varepsilon)) \leq \max(2\varepsilon, g^{f_0}(\varepsilon)).$$

Thus the norm $\|\cdot\|_1$ satisfies condition (α), which finishes the proof of Proposition 2.

PROOF OF THEOREM 2. We embed X into the space X_1 described in Proposition 2. Let T be a projection of X_1 onto X such that $T(0, 1) = x_0$. It is easy to see that

$$TB_1 = \text{conv}(\{x_0, -x_0\} \cup B), \quad B_1 = \{(x, t): \|(x, t)\|_1 \leq 1\},$$

and by Corollary 1 the norm $\|\cdot\|_0$ in X has the drop property.

If the convergence in formula (10) is uniform with respect to all f , $\|f\| = 1$, then we say the norm $\|\cdot\|$ satisfies the *uniform condition* (α).

More precisely, we say that a norm $\|\cdot\|$ in a Banach space $(X, \|\cdot\|)$ satisfies the uniform condition (α) if for each $\varepsilon > 0$ there is a $\delta > 0$ such that for each continuous linear functional f of norm one

$$(29) \quad \alpha(S(f, \delta)) \leq \varepsilon.$$

THEOREM 3. Let $(X, \|\cdot\|)$ be a Banach space. The norm $\|\cdot\|$ is Δ -uniformly convex if and only if it satisfies the uniform condition (α).

PROOF. Observe that the norm is Δ -uniformly convex if and only if for each $\varepsilon > 0$ there is a $\delta > 0$ such that for each convex subset E of the unit

ball B

$$(30) \quad \inf \{\|x\|: x \in E\} \geq 1 - \delta$$

implies

$$(31) \quad \alpha(E) < \varepsilon.$$

Let E be an arbitrary convex subset of the unit ball satisfying (30). Then by the separation theorem there is a continuous linear functional f of norm one such that

$$(32) \quad E \subset S(f, \delta).$$

Thus the uniform condition (α) implies (31). On the other hand, $S(f, \delta)$ is a convex subset of the unit ball satisfying (30). Thus the Δ -uniform convexity implies the uniform condition (α) .

In a similar way as in Corollary 1 we obtain

PROPOSITION 3. Let $(X, \|\cdot\|)$ be a Banach space. Let $(Y, \|\cdot\|)$ be a subspace of X . If the norm $\|\cdot\|$ is Δ -uniformly convex then:

- (i) The norm $\|\cdot\|$ restricted to Y is also Δ -uniformly convex.
- (ii) The quotient norm $\|[x]\|_Q = \inf \{\|x+y\|: y \in Y\}$ is Δ -uniformly convex in the quotient space X/Y .

PROPOSITION 4. Let $(X, \|\cdot\|)$ be a Banach space. Let $X_1 = X \times \mathbf{R}$ with the norm $\|(x, t)\|_1 = \|x\| + |t|$. If the norm $\|\cdot\|$ is Δ -uniformly convex, then so is the norm $\|\cdot\|_1$.

Proof. The proof is a slight modification of the proof of Proposition 2. Let ε be a small positive number. Let f be an arbitrary continuous linear functional of norm one defined on X_1 .

Without loss of generality we may assume that $f(0, 1) \geq 0$. We shall consider two cases:

- (i) $f(0, 1) \leq 1 - \varepsilon$.
- (ii) $f(0, 1) > 1 - \varepsilon$.

As previously, we denote by f_0 the restriction of f to X .

In case (i)

$$(33) \quad S(f, \delta) \subset \text{conv}(\{(0, 1), (0, -1)\} \cup S(f_0, \delta))$$

for $\delta < \varepsilon$ and by property (b) of the Kuratowski measure of noncompactness

$$(34) \quad g^f(\delta) \leq g^{f_0}(\delta) \quad \text{for } \delta < \varepsilon.$$

In the second case we also introduce the sets

$$A_\delta = \{x \in X: (x, t) \in S(f, \delta)\}.$$

We divide the interval $[-1, 1]$ into three sections $[-1, 0]$, $[0, 1 - \varepsilon - \delta]$, $[1 - \varepsilon - \delta, 1]$.

In the first section the estimation is the same as in the proof of Proposition 2. The proofs for $[0, 1 - \varepsilon - \delta]$, $[1 - \varepsilon - \delta, 1]$ are also more or less the same, except that we replace ε by $\varepsilon + \delta$. Hence we have finally

$$\alpha(S(f, \varepsilon)) \leq \alpha(S(f_0, 2\varepsilon)),$$

which finishes the proof.

By Propositions 3 and 4 in the same way as in Theorem 2 we get

THEOREM 4. Let $(X, \|\cdot\|)$ be a Banach space. Let B denote the unit ball in X . Let $x_0 \notin B$ and let

$$B_1 = \text{conv}(\{x_0, -x_0\} \cup B).$$

The norm induced by B_1 will be denoted by $\|\cdot\|_1$. If the norm $\|\cdot\|$ is Δ -uniformly convex, then so is $\|\cdot\|_1$.

Of course, each superreflexive space is Δ -uniformly convexifiable, and each Δ -uniformly convexifiable space is reflexive. The converse implications are not true in general as follows from the following theorems.

THEOREM 5. Let $(X_n, \|\cdot\|_n)$ be a sequence of finite-dimensional Banach spaces. Let $X = (X_1 \times X_2 \times \dots)_p$, $1 < p < +\infty$, be the space of all sequences $x = \{x_n\}$, $x_n \in X_n$, such that

$$(35) \quad \|x\| = \left(\sum_{n=1}^{\infty} \|x_n\|^p \right)^{1/p} < +\infty.$$

Then the norm $\|\cdot\|$ is Δ -uniformly convex.

Proof. Let f be an arbitrary continuous linear functional on X of norm one and let ε be an arbitrary number such that $0 < \varepsilon < \frac{1}{2}$.

By the construction of the space we can find an index N such that the restriction of f to the space

$$Z = X_{N+1} \times X_{N+2} \times \dots$$

has norm smaller than ε :

$$(36) \quad \|f|_Z\| < \varepsilon.$$

Let

$$Y = X_1 \times \dots \times X_N.$$

In this way we obtain a decomposition of the space X into the direct sum of two spaces Y and Z , $X = Y + Z$, such that (36) holds, Y is finite-dimensional and for $y \in Y$, $z \in Z$ and $x = y + z$

$$(37) \quad \|x\|^p = \|y + z\|^p = \|y\|^p + \|z\|^p.$$

Let as before $S(f, \varepsilon) = \{x \in X: \|x\| \leq 1, f(x) \geq 1 - \varepsilon\}$. Let $x \in S(f, \varepsilon)$. We represent x as the sum $x = y + z$, $y \in Y$, $z \in Z$. By (36) and (37), $|f(z)| < \varepsilon$ and

$$(38) \quad f(y) = f(x - z) \geq f(x) - |f(z)| > 1 - \varepsilon - \varepsilon = 1 - 2\varepsilon.$$

The functional f has norm one. Thus (38) implies

$$(39) \quad \|y\| \geq 1 - 2\varepsilon.$$

By (37) and (39),

$$(40) \quad \|z\|^p = \|x\|^p - \|y\|^p \leq 1 - (1 - 2\varepsilon)^p < 2p\varepsilon,$$

and so

$$(41) \quad \|z\| < \sqrt[p]{2p\varepsilon}.$$

Thus by (37), (38), (41),

$$S(f, \varepsilon) \subset (S(f, 2\varepsilon) \cap Y) + \{z \in Z: \|z\| \leq \sqrt[p]{2p\varepsilon}\}.$$

The set $S(f, 2\varepsilon) \cap Y$ is compact since Y is finite-dimensional. Thus by properties (a) and (d) of the Kuratowski measure of noncompactness

$$\alpha(S(f, \varepsilon)) = \alpha(\{z \in Z: \|z\| \leq \sqrt[p]{2p\varepsilon}\}) = 2\sqrt[p]{2p\varepsilon}.$$

COROLLARY 2. *There is a Δ -uniformly convexifiable space which is not superreflexive.*

Proof. Taking for X_n n -dimensional spaces either with the c_0 norm, i.e.

$$\|x\|_n = \sup_{1 \leq i \leq n} |\xi_i|, \quad x = (\xi_1, \dots, \xi_n),$$

or with the l^{p_n} norm, i.e.

$$\|x\|_n = \left(\sum_{i=1}^n |\xi_i|^{p_n} \right)^{1/p_n},$$

with $p_n \rightarrow \infty$, we obtain the classical examples of Day [5] of nonsuperreflexive spaces. By Theorem 5, those spaces are Δ -uniformly convexifiable.

THEOREM 6. *Let $X_n = l^{p_n}$ with the standard norm. Assume that $p_n \rightarrow \infty$. Then the space*

$$X = (X_1 \times X_2 \times \dots)_{1/p}, \quad 1 < p < +\infty,$$

is not Δ -uniformly convexifiable.

Proof. We shall denote the standard norm by $\|\cdot\|$. Suppose that there is a Δ -uniformly convex norm $\|\cdot\|$ in X equivalent to $\|\cdot\|$. This means that there are two positive numbers m, M such that $\|x\| \leq m\|x\| \leq M\|x\|$. Replacing $\|\cdot\|$ by $m\|\cdot\|$ we may assume without loss of generality that

$$(43) \quad \|x\| \leq \|x\| \leq M\|x\|.$$

This means that the unit ball in the standard norm contains the unit ball in the new norm $\|\cdot\|$ and that the unit ball in the new norm contains the ball of radius $\alpha = 1/M$ in the standard norm.

We shall denote by the same symbols $\|\cdot\|$ and $\|\cdot\|$ the restrictions of the norms $\|\cdot\|$ and $\|\cdot\|$ to each component $X_n = l^{p_n}$. The calculation will be done

in one space $X_n = l^{p_n}$ with p_n sufficiently large. The choice of p_n will follow from the construction. For brevity we put

$$p_n = \bar{p}, \quad X_n = \bar{X}.$$

We decompose \bar{X} into two infinite-dimensional subspaces by decomposing the set of natural numbers into two disjoint infinite sets N_1, N_2 and putting

$$Y = \{x \in X: x_i = 0, i \in N_2\}, \quad Z = \{x \in X: x_i = 0, i \in N_1\}.$$

Of course, $\bar{X} = Y + Z$ and for $y \in Y, z \in Z$

$$\|y + z\|^{\bar{p}} = \|y\|^{\bar{p}} + \|z\|^{\bar{p}}.$$

Now let $\varepsilon = \frac{1}{2}\alpha$. Since we have assumed that the norm $\|\cdot\|$ is Δ -uniformly convex there is $\delta > 0$ such that for each convex set $E \subset \{x: \|x\| \leq 1\}$ such that $\alpha(E) < \varepsilon$ we have

$$(44) \quad \inf\{\|x\|: x \in E\} < 1 - \delta.$$

Let y be an arbitrary element of Y such that

$$(45) \quad \|y\| \leq \alpha(1 - 1/2^{\bar{p}})^{1/\bar{p}}.$$

Then, of course, for an arbitrary $z \in Z$ such that $\|z\| \leq \frac{1}{2}\alpha$

$$(46) \quad \|y + z\| \leq \alpha.$$

Thus

$$y + \frac{1}{2}\alpha \{z \in Z: \|z\| \leq 1\} \subset \{x \in \bar{X}: \|x\| \leq \alpha\} \subset \{x \in \bar{X}: \|x\| \leq 1\}.$$

The set $y + \frac{1}{2}\alpha \{z \in Z: \|z\| \leq 1\}$ has the Kuratowski measure of noncompactness in the standard norm not smaller than $\varepsilon = \frac{1}{2}\alpha$. By (43) the same is true in the new norm. Thus there is $z \in Z, \|z\| < \frac{1}{2}\alpha$, such that $\|y + z\| < 1 - \delta$. Of course $\|y - z\| \leq 1$ and finally

$$\|y\| \leq \frac{1}{2}(\|y + z\| + \|y - z\|) < 1 - \frac{1}{2}\delta.$$

Thus $\|y\|/(1 - \frac{1}{2}\delta) < 1$ and we have shown that for each $y \in Y$ such that

$$\|y\| \leq \alpha \frac{(1 - 1/2^{\bar{p}})^{1/\bar{p}}}{1 - \frac{1}{2}\delta}$$

we have $\|y\| \leq 1$.

Now, \bar{p} ought to be chosen so that

$$(47) \quad \frac{(1 - 1/2^{\bar{p}})^{1/\bar{p}}}{1 - \frac{1}{2}\delta} > 1.$$

This is possible since $p_n \rightarrow \infty$. Now repeating the decomposition procedure n times we deduce that there is an infinite-dimensional space Y_n such that for

all $y \in Y_n$ such that

$$(48) \quad \|y\| \leq \alpha \left[\frac{(1-1/2^p)^{1/p}}{1-1/2} \right]^n$$

we have

$$(49) \quad |y| \leq 1.$$

By (47) this contradicts (43).

COROLLARY 3. *There are reflexive spaces which are not Δ -uniformly convexifiable.*

Proof. The space X described in Theorem 6 is reflexive [5].

Now we shall distinguish a property lying between uniform convexity and Δ -uniform convexity. The starting point is the following.

PROPOSITION 5 [10]. *Let $(X, \|\cdot\|)$ be a Banach space. Let x not to belong to the unit ball. Let*

$$(50) \quad R(x) = D(x, B) \setminus B.$$

The norm $\|\cdot\|$ is uniformly convex if and only if for each $\varepsilon > 0$ there is a $\delta > 0$ such that

$$(51) \quad \|x\| < 1 + \delta$$

implies

$$(52) \quad \text{diam}(R(x)) < \varepsilon.$$

Proposition 5 suggests the investigation of the following condition on the norm:

(β) For each $\varepsilon > 0$ there is a $\delta > 0$ such that $\|x\| < 1 + \delta$ implies

$$(53) \quad \alpha(R(x)) < \varepsilon.$$

PROPOSITION 6. *If a norm $\|\cdot\|$ satisfies condition (β) then it is Δ -uniformly convex.*

Proof. Suppose that the norm is not Δ -uniformly convex. Then by Theorem 3 it does not satisfy condition (α). This means that there is an $\varepsilon_0 > 0$ and sequences of continuous linear functionals of norm one $\{f_n\}$ and positive numbers $\delta_n > 0$ such that

$$(54) \quad \alpha(S(f_n, \delta_n)) \geq \varepsilon_0.$$

Let x_n be an element such that $1 + 2\delta_n \leq \|x_n\| \leq 1 + 3\delta_n$ and

$$(55) \quad f_n(x_n) = 1 + 2\delta_n.$$

By (55) for each element of the form $\frac{1}{2}(x_n + y)$, $y \in S(f_n, \delta_n)$, we have

$$f\left(\frac{1}{2}(x_n + y)\right) > 1$$

and $\frac{1}{2}(x_n + y) \notin B$. On the other hand, $\frac{1}{2}(x_n + y) \in D(x_n, B)$. Thus $\frac{1}{2}(x_n + y) \in R(x_n)$. Observe that the set $\{\frac{1}{2}(x_n + y) : y \in S(f_n, \delta_n)\}$ is homothetic to the set $S(f_n, \delta_n)$ with coefficient $1/2$. Thus, by (54) and property (e) of the Kuratowski measure of noncompactness

$$\alpha(R(x_n)) \geq \varepsilon_0/2,$$

which completes the proof.

Observe that Δ -uniform convexity does not imply condition (β). Indeed, in Proposition 4 we have constructed a Δ -uniformly convex space which is the l^1 -product of a Δ -uniformly convex space $(X, \|\cdot\|)$ by \mathbb{R} . It is easy to see that for $x_\delta = (0, 1 + \delta)$ the closure of $R(x_\delta) = D(x_\delta, B) \setminus B$ contains the unit sphere in X , thus $\alpha(R(x_\delta)) \geq 1$ independently of $\delta > 0$.

We shall say that a Banach space $(X, \|\cdot\|)$ is a (β)-space if there is a norm $\|\cdot\|_1$ equivalent to $\|\cdot\|$ such that $\|\cdot\|_1$ satisfies condition (β).

We have shown that every superreflexive space is a (β)-space and every (β)-space is Δ -uniformly convexifiable. We do not know anything about the converse implications.

References

- [1] J. Banaś and K. Goebel, *Measures of Noncompactness in Banach Spaces*, Dekker, Basel 1980.
- [2] J. A. Clarkson, *Uniformly convex spaces*, Trans. Amer. Math. Soc. 40 (1936), 396–414.
- [3] J. Daneš, *A geometric theorem useful in nonlinear functional analysis*, Boll. Un. Mat. Ital. 6 (1972), 369–372.
- [4] —, *Equivalence of some geometric and related results of nonlinear functional analysis*, Comm. Math. Univ. Carolinae 26 (1985), 443–454.
- [5] M. M. Day, *Reflexive Banach spaces not isomorphic to uniformly convex spaces*, Bull. Amer. Math. Soc. 47 (1941), 313–317.
- [6] —, *Some more uniformly convex spaces*, ibid. 47 (1941), 504–507.
- [7] —, *Normed Linear Spaces*, Springer, Berlin–Göttingen–Heidelberg 1958.
- [8] K. Goebel and T. Sękowski, *The modulus of noncompact convexity*, Ann. Univ. Mariae Curie-Skłodowska, to appear.
- [9] V. Montesinos, *Drop property equals reflexivity*, this volume, 93–100.
- [10] S. Rolewicz, *On drop property*, Studia Math. 85 (1987), 27–35.

INSTYTUT MATEMATYCZNY POLSKIEJ AKADEMII NAUK
INSTITUTE OF MATHEMATICS, POLISH ACADEMY OF SCIENCES
Śniadeckich 8, 00-950 Warszawa, Poland

Received June 9, 1986
Revised version July 9, 1986

(2178)