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 $\{y\} \times B(y, p_{n+1}(y)) \subset U$  and every map  $\partial I^{i+1} \to B(y, p_i(y))$  can be extended to a map  $I^{i+1} \to B(y, p_{i+1}(y))$ ,  $y \in Y$ , i = 0, ..., n. Put  $V = \bigcup_{y \in Y} \{y\} \times B(y, p_0(y))$  and  $F_i(x) = B(f(x), p_i(f(x)))$ ,  $x \in X$ , i = 0, ..., n+1, and use Theorem (1.1).

The next fact is a substitute of uniform local contractibility for LC<sup>n</sup> spaces,

(3.2) THEOREM. Let Y be a metrizable  $LC^n$  space. Then for every  $nbhd\ U \subset Y \times Y$  of the diagonal there is a  $nbhd\ V \subset U$  of the diagonal such that, whenever X is metrizable, A closed in X,  $\dim(X-A) \leq n$ ,  $f,g\colon X \to Y$  are continuous,  $f \mid A = g \mid A$  and  $(f(x),g(x)) \in V$  for every  $x \in X$ , then  $f \sim g$  rel A by a homotopy  $\{h_t\}$  such that  $(f(x),h_t(x)) \in U$  for every  $x \in X$  and  $t \in I$ .

Proof. Let V be taken from the previous theorem. Define  $F: X \times I \to Y$  and  $G: A \times I \cup X \times \{0, 1\} \to Y$  by F(x, t) = f(x), G(a, t) = f(a), G(x, 0) = f(x), G(x, 1) = g(x),  $x \in X$ ,  $a \in A$ ,  $t \in I$ . From 3.1 it follows that G can be extended to a homotopy  $h: X \times I \to Y$ .

#### References

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# When a subset of $E^n$ locally lies on a sphere

by

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Abstract. Let X be a continuum in  $E^n$ , and let G be a collection of pairwise congruent double cones whose cone angles measure  $2\theta$  and whose interiors miss X. If each point of X is the vertex of a cone in G, n=2, and  $2\theta > 60^\circ$ , then X lies on a 1-sphere because it must be an arc or a simple closed curve. Conditions on  $2\theta$  and X sufficient to insure that X locally lies on a 2-sphere are also given for the case n=3.

Consider a subset X of Euclidean n-space  $E^n$  such that X is touched from its complement at each of its points by an element of some geometric family of solids. What conditions on the touching objects are sufficient to imply that X locally lies on an (n-1)-sphere? For example, for n=3 X will locally lie on a 2-sphere if it can be touched by congruent double tangent balls  $[L_2]$ ; that is, if there exists  $\delta > 0$  such that for each  $p \in X$  there exist two 3-balls B and B', each with radius  $\delta$ , such that  $\{p\} = B \cap B'$  and  $X \cap \text{Int}(B \cup B') = \emptyset$ . In this paper related theorems are proven where the double balls are replaced by double cones. For n=2 the double cones become double triangles and a complete analysis is given. The more difficult problems in  $E^3$  are only partially resolved.

Generalizations of the congruent double tangent ball result  $[L_2]$  mentioned above could take several directions. However, the weaker hypothesis that there be just congruent single touching balls will not allow the conclusion that X locally lies on a 2-sphere. An example is given in  $[L_2]$ . Clear also is the fact that the uniform radii (pairwise congruence) of the double balls is essential to the theorem. Thus it appears that in a generalization one should retain the dual nature of the touching objects while changing their geometry. This leads one to consider double cones in place of the double tangent balls. The needed uniform size on the touching balls could be captured by requiring that the double cones be pairwise congruent. However a ball has the property that given a point where it touches X there is a unique ball of a given size tangent to the first and not intersecting its interior. This property is partially captured in a double cone by insisting that its two nappes have coincident axes. These considerations lead to the definitions below for double cones in  $E^n$ .

A single cone in  $E^n$  (n > 1) is obtained by coning over a standard (n-1)-ball B from a point v where the line (axis) through v and the center o of B is orthogonal to



the hyperplane containing B. A double cone in  $E^n$  is the union of two single cones with the same vertex v about which it is symmetric. The cone height 2h is twice the distance between v and o, and the cone angle  $2\theta$  is twice the angle between the axis and a lateral edge (a line from v through a point of Bd B). In an abuse of notation  $2\theta$  is most often used instead for the degree measure of this angle and sometimes for its radian measure. The context makes it clear. A cone is degenerate if  $2\theta = 0^{\circ}$ . In  $E^3$  a double cone with cone angle  $2\left[\operatorname{Tan}^{-1}\frac{1}{a}\right]$  can also be described as a set isometric

to  $\{(x, y, z) | (ax)^2 + (ay)^2 - z^2 \le 0, a > 0, \text{ and } -h \le z \le h\}$ . Here 2h is the cone height. In  $E^2$  a double cone is the union of two congruent solid triangles intersecting only at a vertex and symmetric about this vertex. The two *nappes* of a double cone C in  $E^n$  are the closures of the two components of  $C - \{v\}$  where v is the vertex of C.

A subset X of  $E^n$  is touched by congruent double cones if there exist a positive number 2h, a nonnegative number  $2\theta$ , and a family F of pairwise congruent double cones of height 2h and cone angle  $2\theta$  such that, for each p of X, there is a cone  $C_x$  in F whose vertex is p such that  $X \cap \text{Int } C_x = \emptyset$ . A continuum is a compact, connected metric space containing more than one point.

Even with all the restrictions imposed on the double cones to capture what might be viewed as the essential properties of the double tangent balls, it is easy to see that they fail to produce an analogue to Theorem 3.1 of  $[L_2]$ . An easy example is to take  $2\theta=0$  and note that every subset of  $E^n$  can be touched by congruent double cones that degenerate to straight line segments. Other examples of continua in  $E^2$  and  $E^3$  that do not locally lie on codimension one spheres yet are touched by congruent double cones with  $2\theta>0^\circ$  are given in Sections 2 and 3. To make the cones more like a ball, one increases the cone angle. The extreme case where  $2\theta=0^\circ$  was mentioned above. In the opposite direction, the limiting case where  $2\theta=180^\circ$ , the double cones converge to objects containing double cubes  $I^n$  sharing a face. Any object touched by these "cones" would locally lie on an (n-1)-sphere. Thus the problem of determining when X locally lies on an (n-1)-sphere becomes one focusing on the size of the cone angle  $2\theta$ .

The critical cone angle for a continuum X in  $E^2$  is proven to be  $60^\circ$ ; in fact, a continuum touched by double cones (triangles) with  $20 > 60^\circ$  must either be an arc or a simple closed curve (see Section 2). The critical cone angle for continua in  $E^3$  remains to be found, but partial results are given in Section 3.

A subset X of  $E^n$  is said to locally lie on an (n-1)-sphere at a point  $p \in X$  if there exist a neighborhood N of p in  $E^n$  and an (n-1)-sphere  $\Sigma$  such that  $N \cap X$  lies in  $\Sigma$ . If this condition holds for each p in X, then X is said to locally lie on an (n-1)-sphere. To show that X locally lies on an (n-1)-sphere it suffices to show that X locally lies in the interior of an (n-1)-cell because open (n-1)-cells must locally lie on (n-1)-spheres. For n=2 this is easy to see, and for n=3 it follows from Theorem 5 of  $[B_1]$ . That the result also holds for n>3 was communicated to me by Ric Ancel's theorem, which generalizes Theorem 5B.10 of [D], was

proved using [AC] for n > 4 and [A] for n = 4. Since this paper focuses mostly on n = 2 and n = 3 there is no need to detail Ancel's proof here.

The fact that open (n-1)-cells locally lie on (n-1)-spheres provides a convenient way to identify the two sides of (n-1)-cells. If J is an (n-1)-manifold in  $E^n$  and C is a double cone touching J at a point  $p \in J$ , then C is said to pierce J at p if there exist an (n-1)-cell D in J and an (n-1)-sphere  $\Sigma$  containing D such that  $p \in \operatorname{Int} D$  and the axis of C pierces  $\Sigma$  at p.

A collection F of cones is said to be closed if F contains the limiting set of each convergent sequence of cones in F. If F is a collection of congruent cones, each touching a compact set in  $E^n$ , then each sequence from F contains a convergent subsequence [HY, Theorem 2–102], and, because the cones in F are congruent, the limiting set of the convergent subsequence is also congruent to each element in F. If, in addition, F is closed, then this limiting cone will itself belong to F.

The history of double ball and double cone embeddings goes back to Bing  $[B_2]$  and Fort [F] who asked questions about the tameness of 2-spheres in  $E^3$  when they were touched by balls and cones. Griffith [G], Bothe  $[B_0]$ , Loveland  $[L_1]$ ,  $[L_4]$ , Cannon [C], Daverman and Loveland  $[DL_1]$ ,  $[DL_2]$ , Loveland and Wright [LW], and Burgess and Loveland [BL], for example, all gave conditions in terms of touching balls or cones (single or double, sometimes pairwise congruent) under which an (n-1)-sphere  $\Sigma$  in  $E^n$  (usually n=3) would be flat. Summaries of some of this history can be found in  $[L_3]$ . This paper does not depend on any in the references because its examples and proofs are quite elementary and because the flatness of the continuum X is not of concern except briefly in Section 4.

1. Sets in  $E^n$  touched by cones. Let X be a compact subset of  $E^n$  that can be touched by a nondegenerate double cone at each of its points, and let W be the set of all points of X where X does not locally lie on an (n-1)-sphere in  $E^n$ . From Theorem 1.2 it follows that W cannot contain an (n-1)-cell. Notice that the double cones in the hypothesis of Theorem 1.2 are not required to be pairwise congruent nor is there a common height or cone angle, but each double cone has a cone angle with positive measure. Lemma 1.1 is used in the proof of Theorem 1.2 and is referred to several times in the sequel.

LEMMA 1.1. If F is a closed collection of nondegenerate, congruent double cones, X is a subset of  $E^n$  that contains an (n-1)-cell J, and X can be touched by cones in F at each point of J, then J is pierced by a cone in F at each point of Int J.

Proof. Let P be the set of all points p in J such that J is pierced at p by some cone in F. The strategy is to prove that P is dense in IntJ, because then the conclusion follows from the hypothesis that  $\tilde{F}$  is a closed collection of congruent double cones. By way of contradiction, suppose there exists an (n-1)-cell  $D_1$  in IntJ such that  $D_1 \cap P = \emptyset$ . To deal with the definition of a cone piercing  $D_1$ , let  $\Sigma$  be an (n-1)-sphere and  $D_2$  an (n-1)-cell in  $D_1$  such that  $D_2 \subset \Sigma$ . For n=3 the existence of  $\Sigma$  and  $D_2$  follows Theorem 5 of  $[B_1]$ , and Ancel has generalized Bing's theorem to n > 3 (see the comments in the introduction). Choose an (n-1)-cell  $D_3$  such that

 $D_3 \subset \operatorname{Int} D_2$ , and, for notational convenience, uniformly decrease the height of all cones in F to length less than  $d(\Sigma - \operatorname{Int} D_2, D_3)$  without changing cone angles or cone axes. Let this collection of shortened, congruent cones be F', let  $p \in \operatorname{Int} D_3$ , and let  $C_p$  be a cone in F' touching J at p. Since  $D_3 \cap P = \emptyset$ ,  $C_p$  does not pierce  $D_3$  at p, which, because of the height of  $C_p$ , means  $\Sigma \cap \operatorname{Int} C_p = \emptyset$ . Let  $I = \{p \in D_3 | \text{there exists a cone } C_p \text{ in } F' \text{ touching } J \text{ at } p \text{ such that } \operatorname{Int} C_p \subset \operatorname{Int} \Sigma \}$ , and let  $E = D_3 - I$ . Since  $D_3 \cap P = \emptyset$ , it follows that for each  $p \in E$  there exists a cone  $C_p \in F'$  such that  $\operatorname{Int} C_p \subset \operatorname{Ext} \Sigma$ . Because F, and hence F', is closed and the cones are congruent, it is clear that I is closed. Therefore E is an open subset of  $D_3$ .

Suppose  $E \neq \emptyset$ , and let  $D_4$  be an (n-1)-cell in  $D_3 \cap E$ . Let  $x \in \operatorname{Int} D_4$ , and choose a point q of  $\operatorname{Int} \Sigma$  such that

$$d(q, x) < d(q, \Sigma - \operatorname{Int} D_4)$$
.

Then the ball  $B_q$  centered at q with radius d(q, x) intersects  $\Sigma$  only in Int  $D_q$ . Shrink the radius of  $B_q$  to obtain a ball B centered at q such that Int  $B \subset \text{Int } \Sigma$  and Bd B contains a point y of  $D_q$ . Since  $y \in E$  there exists a cone  $C_y$  in F' touching J at y such that Int  $C_y \subset \text{Ext } \Sigma$ . Then the axis of  $C_y$  is tangent to B at y. However the cone  $C_y$  is nondegenerate, and (Int  $C_y \cap \text{Int } B = \emptyset$ . This contradiction shows that  $E = \emptyset$ .

Since  $E=\emptyset$ ,  $I=D_3$ . This time choose a point q in  $\operatorname{Ext}\Sigma$  and a ball B with center at q such that  $\operatorname{Int}B \subset \operatorname{Ext}\Sigma$  and there is a point y in  $(\operatorname{Bd}B) \cap (\operatorname{Int}D_3)$ , as in the previous paragraph. There must exist a nondegenerate cone  $C_y$  in F' touching  $D_3$  at y such that  $\operatorname{Int}C_y \subset \operatorname{Int}\Sigma$  while B touches  $\Sigma$  from  $\operatorname{Ext}\Sigma$ , so the same contradiction results. This means  $D_1$  must intersect P, so P is dense in  $\operatorname{Int}J$ .

THEOREM 1.2. If the compact subset X of  $E^n$  can be touched at each of its points by a nondegenerate double cone, then the set W of points at which X fails to locally lie on an (n-1)-sphere contains no (n-1)-cell.

Proof. Suppose the closed set W contains an (n-1)-cell D, and, for each integer i > 1, let  $W_i$  be the set of all points x of D such that there exists a double cone  $C_i$ touching X at x with cone angle  $\pi/i$  radians and height 1/i. The uniform size of these cones insures that each W, is closed. Because the hypothesized cones are nondegenerate W is the union of the compact sets  $W_i$ . A Baire category theorem yields the existence of an (n-1)-cell U in D and an integer N such that  $U \subset W_N$ . Let F be the collection of all double cones of height 1/N and cone angle  $\pi/N$  that touch X at a point of U, let S be a unit sphere at the origin, and let Q be a countable set of points  $\{r_1, r_2, ...\}$  which is dense in S. Each  $r_i$  is thought of as a direction or line through the origin. Because F is a closed collection of cones over the compact set U, the set of cone axes of cones in F can be thought of as a compact subset of S. Adjust each cone in the family F to form a new family F' of congruent double cones touching X at each point of U so that each cone in F' has its axis parallel to some direction  $r_i$ . In accomplishing this adjustment the cone angles might have to be uniformly reduced in size to some constant radian measure less than  $\pi/N$ , but, by adding limiting sets of convergent sequences of cones in F' to F' if necessary, the collection F' can be constructed to also be a closed collection.

Let  $D_i$  be the set of all points of U for which there is a touching cone in F' with axis in the direction  $r_i$ . Again  $U = \bigcup_{1}^{\infty} D_i$  and each  $D_i$  is closed, so a Baire theorem yields an (n-1)-cell U' lying in U and an integer M such that  $U' \subset D_M$ . This means X can be touched at each point of U' by a cone from a pairwise congruent, closed family F'' of nondegenerate double cones whose axes are parallel. From Lemma 1.1 it may be assumed that each cone in F'' intersects both sides of U', so that the union of the cones of F'' clearly contains an open n-cell V which intersects U'. Because the interiors of the cones in F'' do not intersect X,  $Y \cap X$  must lie in the (n-1)-cell U'. However, because  $U' \in W$ , this contradicts the fact that X cannot locally lie on an (n-1)-sphere at any point of U'.

The hypothesis that there be double touching cones rather than just single cones is essential in Theorem 1.2. It is easy to construct an example of a continuum X in  $E^2$  that can be touched by single cones such that X contains an arc of points where X does not locally lie on a 1-sphere.

2. Continua in  $E^2$  touched by cones. A triod T is a set homeomorphic to  $[(-1,0),(1,0)] \cup [(0,0),(0,1)]$  in the xy-coordinate plane. Its vertex v is the image of the origin, and the closures of the images of the three components of  $T-\{v\}$  are called its legs. The most obvious examples of continua that can be touched with congruent double cones but do not locally lie on a 1-manifold are certain embeddings of the triod in  $E^2$ .

Example 2.1. Let T be the troid in  $E^2$  with vertex v at (0,0) whose legs are the three straight line segments [v,(0,1)],  $[v,(-\sqrt{3}/2,-1/2]]$ , and  $[v,(\sqrt{3}/2,-1/2)]$ . Each pair of legs forms an angle of  $120^\circ$ . It is easy to see that T can be touched by congruent double cones having cone angles as large as  $60^\circ$ .

Example 2.1 is the best possible example in the sense that any continuum touched by congruent double cones with cone angles larger than 60° must be a 1-manifold, as stated in Theorem 2.4. This section is devoted to proving this result and some other theorems about the nature of the non-manifold set in a continuum having double touching cones.

Lemma 2.2. If a continuum X in  $E^2$  is touched by congruent nondegenerate double cones, then X is locally connected.

Proof. A continuum that is not locally connected must contain a continuum of convergence [W, p. 209] which can be shown to contradict the hypothesis.

LEMMA 2.3. If T is a triod in  $E^2$  having vertex  $v_0$ , h > 0,  $\theta > 0$ , G is the set of all double cones of height 2h and cone angle 2 $\theta$  whose interiors fail to intersect T, and for each point x of T there exists a cone in G with vertex x, then G contains three cones with vertex  $v_0$  whose interiors are pairwise disjoint.

Proof. Let D be a round disk centered a  $v_0$  with radius h. By reducing the radius of D or shortening the legs  $L_1$ ,  $L_2$ , and  $L_3$  of T one may assume  $T \subset D$  and



that D-T is the union of three pairwise disjoint open disks  $D_{12}$ ,  $D_{13}$ , and  $D_{23}$  where the two subscripts refer to the subscripts of the two legs  $L_i$  and  $L_j$  of T lying in  $\operatorname{Bd} D_{ij}$ .

Let  $\{v_i\}$  be a sequence of points of  $L_1$  converging to  $v_0$  such that, for each i, there exists a cone in G touching T at  $v_i$  and intersecting both sides of  $L_1$  (see Lemma 1.1). Passing to subsequences if necessary, assume there is a sequence  $\{C_i\}$  of cones of G converging to a cone  $C_1'$  such that  $v_0$  is the vertex of  $C_1'$  and  $v_i$  is the vertex of  $C_1$  for i=1,2,... For each i the nappes of  $C_i$  fail to intersect  $D_{23}$ , so the same is true for  $C_1'$ . In a similar manner, using legs  $L_2$  and  $L_3$ , one obtains cones  $C_2'$  and  $C_3'$  in G with vertices at  $v_0$  such that  $C_2'$  and  $C_3'$  fail to intersect  $D_{13}$  and  $D_{12}$ , respectively. From this it is not difficult to see that the interiors of the cones  $C_1'$ ,  $C_2'$  and  $C_3'$  are disjoint.

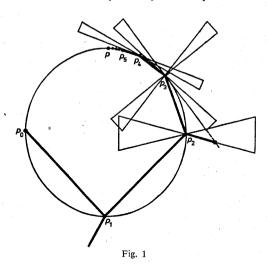
THEOREM 2.4. If X is a continuum in  $E^2$ , h > 0, G is the collection of all double cones of height 2h and cone angle greater than 60° whose interiors fail to intersect X, and each point of X is the vertex of a cone in G, then X is either an arc or a simple closed curve.

Proof. By Lemma 2.2, X is locally connected. This means X is either an arc, a simple closed curve, or X contains a triod [M]. Suppose X contains a triod T with vertex v, and conclude from Lemma 2.3 that there exist three cones of G with disjoint interiors, all with vertex v. The cones of G are double cones and each nappe has an angle greater than  $60^{\circ}$ . But six times  $60^{\circ}$  is  $360^{\circ}$ , the most allowed at v.

Theorem 2.4 identifies the critical cone angle as  $60^{\circ}$  for continua in  $E^{2}$ . It provides a nice model to attempt duplicating in higher dimensional Euclidean spaces. Those wishing to see partial analogues in  $E^{3}$  should skip to Section 3.

Suppose X is a continuum in  $E^2$  that can be touched by congruent double cones of height 2h and cone angle  $2\theta$ . If  $2\theta > 60^\circ$ , the set W of points where X fails to locally lie on a 1-sphere is empty (Theorem 2.4). If  $2\theta = 0^\circ$ , W could be 2-dimensional as when X is a 2-cell. These are the two extremes. What is the nature of W when  $0 < 2\theta \le 60^\circ$ ? Theorem 1.2 shows W cannot contain a 1-cell as long as the touching double cones are nondegenerate. The next example shows that W can be an infinite set in the case where X is touched by double cones with the same height and with positive cone angles.

Example 2.5. In Figure 1 a continuum X is pictured that contains an infinite sequence  $\{p_i\}$  of nonmanifold points, yet X can be touched by nondegenerate double cones of uniform height. The continuum X is the union of chords of circle S from  $p_{i-1}$  to  $p_i$ , together with the point p to which  $\{p_i\}$  converges, and infinitely many straight line segments  $S_i$ , one at each  $p_i$ , sticking toward ExtS. Some of the touching double cones are pictured. Notice that, as in the proof of Lemma 2.3, there must exist for each i a cone  $C_i$  that locally separates  $S_i$  from the two chords meeting at  $p_i$ . This sequence  $\{C_i\}$  of cones can be chosen to converge to a line segment tangent to  $S_i$  at p. The cone  $C_i$  is constructed with small enough cone angle to allow  $S_{i-1}$  to exist in its complement.



Of course if the cone angles are bounded away from 0 this is the same as having all the cone angles positive and pairwise congruent. With this condition on  $2\theta$  and with uniform height, Theorem 2.7 says that W must be finite.

LEMMA 2.6. Let T be a triod in  $E^2$  and G be a set of double cones satisfying all the hypotheses of Lemma 2.3. If L, R, and F are the legs of T, then there exists a cone C in G with vertex  $v_0$  such that the cone axis of C separates  $F - \{v_0\}$  from  $L \cup R - \{v_0\}$  in  $E^2$ .

Proof. The proof of Lemma 2.3 applies here as well.

THEOREM 2.7. If  $\theta > 0$ , h > 0, and X is a continuum in  $E^2$  that is touched by congruent double cones of height 2h and cone angle  $2\theta$ , then X contains a finite set W such that X locally lies on a 1-sphere at each point of X-W.

Proof. Let G be the collection of all double cones of height 2h and cone angle  $2\theta$  whose interiors miss X and whose vertices lie in X, and let W be the set of all points of X where X fails to locally lie on a 1-sphere. Suppose W is infinite, and let p be a limit point of the compact set W. Let V be an open set containing p such that diam V < h and  $V \cap X$  is arcwise connected (see Lemma 2.2 and [W, 31.2]), let  $\{p_i\}$  be a sequence of points in  $V \cap W$  converging to p, and let A be an arc in  $V \cap X$  with one endpoint p. The next paragraph shows that A may be chosen to contain infinitely many  $p_i$ .

For each i let  $C_i$  be a double cone in G having  $p_i$  as its vertex. By taking subsequences if necessary it may be assumed that  $\{C_i\}$  converges to a cone C in G having p as its vertex [HY, p. 102]. By again taking subsequences if necessary and possibly renaming it may be assumed that all the points  $p_i$  lie in the same component of  $E^2 - \{axis of C\}$ . Now choose A in  $V \cap X$  with endpoints p and  $p_1$ . Uniformly shrink



the cone angles slightly so that  $\{C_i\}$  still converges to C,  $C \cap X = \{p\}$ , and  $C_i \cap X = \{p_i\}$ . Since diam V < h,  $C_i \cap X = \{p_i\}$ ,  $C \cap X = \{p\}$ , and  $\{C_i\}$  converges to C there must exist infinitely many integers k such that  $C_k$  separates  $p_1$  from p in V. For each such k,  $p_k$  must belong to A since  $C_k \cap X = \{p_k\}$ . For the remainder of the proof assume the sequence  $\{p_i\}$  has been renamed so that A contains every  $p_i$ .

Since  $p_i \in W$  and  $V \cap X$  is locally arcwise connected, there must exist, for each i, a triod  $T_i$  in  $V \cap X$  with vertex  $q_i$  and with legs  $L_i$ ,  $R_i$ , and  $F_i$  such that  $L_i \cup R_i \subset A$ ,  $F_i \cap A = \{q_i\}$ , and  $T_i$  lies in a 1/i-neighborhood of  $p_i$ . From Lemma 2.6 there exists for each i, a cone  $C(F_i)$  in G with vertex  $q_i$  such that the cone axis  $Z_i$  of  $C(F_i)$  separates  $F_i - \{q_i\}$  from  $L_i \cup R_i - \{q_i\}$  in V. By passing to subsequences if necessary, it may be assumed that  $\{C(F_i)\}$  converges to a cone C(F) which must also lie in G. Of course C(F) has p as its vertex, and the lines  $\{Z_i\}$  converge to the cone axis of C(F). Since P does not belong to any P there is an integer P such that P separates P from P since P and P such that P does not cross P at P from P from P and P and P and P and P such that P does not cross P at P such that P and P and P and P such that P on opposite sides of P such that P and P such that P contains points P and P on opposite sides of P. This contradiction shows P cannot be infinite.

Used often in the proof of Theorem 2.7, the uniform height requirement on the double cones is essential. It is easy to construct an example of a graph X satisfying all the other hypotheses of Theorem 2.7 but having infinitely many points in W.

Notice that Theorem 1.2 applies to show that W contains no 1-cell even when no uniform size condition is imposed on the cones except that the cones do not degenerate to straight line segments.

3. Continua in  $E^3$  that are touched by cones. Consider a continuum X in  $E^3$  that is touched by congruent double cones with cone angle  $2\theta$ , and let W denote the set of all points of X at which X does not locally lie on a 2-sphere. If  $\theta=0^\circ$  W can contain a 3-cell. In the other limiting case where  $2\theta=180^\circ$  one may interpret the "touching cones" to be two 3-dimensional half spaces sharing a plane in their boundaries. Whatever the interpretation X would have congruent touching ball pairs so that W would be empty  $[L_2$ , Theorem 3.1]. If  $2\theta>0$ , Theorem 1.2 states that W contains no 2-cell. An example where  $2\theta>0$  and W contains a 1-cell is easily constructed.

EXAMPLE 3.1 A 3-page book B is a set homeomorphic to the product of an interval I with a triod T. If T has legs  $L_1$ ,  $L_2$ , and  $L_3$ , then the three pages of B are the 2-cells  $L_i \times I$ . The desired example is a 3-page book X whose pages are planar and form dihedral angles of measure 120°. Then X is easily seen to be touched by congruent double cones whose cone angles measure 60°. From Theorem 3.3 it follows that X cannot be touched by such cones with larger angles.

Taken with the lower dimensional result of Theorem 2.6, Example 3.1 suggests that a continuum in  $E^3$  should also locally lie on a 2-sphere if it can be touched by congruent double cones with cone angles satisfying  $2\theta > 60^{\circ}$ . Perhaps a counter

example can be produced by constructing an unusual topological embedding of the umbrella space in the next example, but none has been found.

EXAMPLE 3.2. Let M be the union of the two straight line segments [(0,0,0),(0,0,1)] and  $[(0,0,0),(0,\sqrt{3}/2,-1/2)]$  in  $E^3$ . Rotate M about the z-axis to obtain the umbrella X. The verification that X is touched by congruent double cones with  $2\theta = 60^\circ$  is left to the reader. It is also true that no such cones touch X if  $2\theta > 60^\circ$ .

THEOREM 3.3. If X is a finite polyhedron in  $E^3$  with no local cut points, and X is touched by congruent double cones with  $2\theta > 60^\circ$ , then X locally lies on a 2-sphere.

Proof. The touching cone hypothesis rules out 3-simplices lying in X. It also implies that X contains no 3-page book, for suppose X contains three 2-simplices  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  and a 1-simplex  $\sigma$  such that  $\sigma_i \cap \sigma_j = \sigma$  for  $i \neq j$ . For i = 1, 2 and 3, let  $\sigma_i'$  be the reflection of  $\sigma_i$  in  $\sigma$ , and let  $P_i$  be the plane containing  $\sigma_i \cup \sigma_i'$ . One of these three planes, say  $P_1$ , is distinct from the other two. An argument is now given to show  $P_2 \neq P_3$ . Let  $\{p_n\}$  be a sequence of distinct points of  $\sigma_1$  converging to a point p in Int $\sigma$ , and let  $C_n$  be a double cone as described in the hypothesis so that  $p_n$  is the vertex of  $C_n$  for each n. By taking subsequences of  $\{p_n\}$  if necessary, one may assume  $\{C_n\}$  converges to a double cone  $C^1$  with vertex p. Let  $P_n$  be a ball centered at  $P_n$  such that  $P_n$  separates  $P_n$  for each  $P_n$ , and note that  $P_n$  lies in the closure  $P_n$  of the  $P_n$ -side of  $P_n$  in  $P_n$  for each  $P_n$ . This means  $P_n$  must also lie in  $P_n$ , and it shows that  $P_n$  and  $P_n$  form a dihedral angle with positive measure so that  $P_n$ .

The argument above produced a cone  $C^1$  at p where  $C^1$  was between the planes  $P_2$  and  $P_3$ . Similar arguments using sequences in  $\sigma_2$  and  $\sigma_3$  produce double cones  $C^2$  and  $C^3$  between  $P_1$  and  $P_3$  and between  $P_1$  and  $P_2$ , respectively. In fact each of the six components of  $B - \{P_1 \cup P_2 \cup P_3\}$  contains a nappe of one of the double cones  $C^1$ ,  $C^2$ , and  $C^3$ , and each such nappe is a cone whose angle measures more than  $60^\circ$ .

Consider now two planes P and Q intersecting in a line L, and a single cone K with vertex at  $p \in L$  and cone angle  $2\theta$  such that  $\mathrm{Int}K$  does not intersect  $P \cup Q$ . Fix K, but squeeze P and Q closer together by rotating them about L until they both contain a lateral edge of C. The claim is that the dihedral angle  $\beta$  made by  $P \cup Q$  is greater than or equal to  $2\theta$  and that  $\beta = 2\theta$  only if the axis of C is orthogonal to L. One sees this clearly by thinking of rotating the cone axis from its orthogonal position toward the line L. As the cone moves it must spread the planes apart because it remains tangent to each plane.

Thus each of the six dihedral angles between the planar boundaries of the individual components of  $B - \bigcup \{P_1, P_2, P_3\}$  must be equal to or larger than  $2\theta$ . Since  $2\theta > 60^\circ$  this is impossible, and it follows that X contains no 3-page book.

Let p be an arbitrary point of X. If p lies in the interior of a 2-simplex, the conclusion follows. If p lies in the interior of a 1-simplex  $\sigma$ , then, because X contains no 3-page book,  $\sigma$  lies in the boundary of at most two 2-simplices and again the conclusion follows. The last case is where p is a vertex of X, and the only interesting

situation is when p is not an isolated point of X. Let L(p) denote the link of p in X. The fact that p does not locally separate X implies that L(p) is connected. Because X contains no 3-page book, L(p) contains no triod. Then from [M] it follows that the locally connected, compact set L(p) is either an arc, a simple closed curve, or a point. In any case it is clear that X locally lies on a 2-sphere at p because the cone from p to L(p) contains a neighborhood of p in X.

Theorem 3.4. If X is a finite polyhedron with a triangulation T consisting of closed 2-simplices, no two simplices of T intersect at a point, and X is touched by congruent double cones with  $20 > 60^{\circ}$ , then each component of X is either a 2-cell or a tetrahedron.

Proof. Let M be a component of X. Theorem 3.3 applies to show that M is a compact 2-manifold. From the hypothesis that no two 2-simplices intersect at a point it is easy to see that M is the union of one, two, three, or four 2-simplices in T, that M is a 2-cell if it contains three or fewer 2-simplices, and that M is a tetrahedron if it contains four.

THEOREM 3.5. If a subset X of  $E^3$  is touched by congruent double cones with cone angles  $2\theta$ ,  $2\theta > 90^\circ$ , and D is an open 2-cell lying in X, then no point of D is a limit point of X - D.

Proof. Suppose p is a point of D that is a limit point of X-D, and let  $\Sigma$  be a 2-sphere containing a disk D' such that  $p \in \operatorname{Int} D' \subset D$ . If D is locally polyhedral at p the sphere  $\Sigma$  is easily obtained, but, in any case,  $\Sigma$  can be obtained from Theorem 5 of  $[B_1]$ . The sphere  $\Sigma$  is used to identify the two sides of D near p. Let  $U = \operatorname{Int}\Sigma$ , and let G be the collection of all double cones congruent to the hypothesized ones whose interiors miss X. From Lemma 1.1 G contains a double cone C with nappes  $C_1$  and  $C_2$  such that p is the vertex of C, a neighborhood of p in  $C_1$  lies C, and a neighborhood of C in C i

Since p is a limit point of X-D, there must exist a sequence  $\{q_i\}$  of points of X-D converging to p. For convenience assume  $q_i$  belongs to U for each i. For each i there exists a cone  $C_i$  in G with vertex  $q_i$ , and, by passing to subsequences if necessary, the sequence  $\{C_i\}$  may be assumed to converge to a cone C' at p. Because each  $C_i$  locally lies in U at  $q_i$  and because  $p \in \text{Int } D' \subset X \cap \Sigma$ , it follows that, near its vertex p, C' lies in the closure of U. Then Int C and Int C' are disjoint, which yields a contradiction because X cannot have two disjoint double cones at p, each with a cone angle larger than  $90^\circ$ .

The following are among the questions left unanswered.

QUESTION 3.6. Does a subset X of  $E^3$  locally lie on a 2-sphere if it is touched by congruent double cones with  $2\theta > 60^{\circ}$ ?

QUESTION 3.7. Can Theorem 3.5 be strengthened by replacing 90° by a smaller number?

QUESTION 3.8. Is it possible for a continuum X in  $E^3$  to contain either a topological 3-page book or a topological umbrella space and to be touched by congruent double cones whose angles measure more than  $60^{\circ}$ ?

4. Sets locally lying on flat spheres. Since questions about touchings sets with cones and balls originally focused on obtaining conditions under which the set would be locally flat it seems appropriate to conclude this paper with a brief section on this subject. The paper has dealt with cone conditions sufficient to make X locally lie on an (n-1)-sphere. Of course every 1-sphere in  $E^2$  is flat, but for n>2 this is not the case. The Fox-Artin wild arc [FA] can be embedded in the 3-page book of Example 3.1 (see  $[L_4]$ ) to provide an example of a subset X of a 2-sphere in  $E^3$  that is touched by double congruent cones with  $2\theta = 60^\circ$  yet does not locally lie on a flat 2-sphere. Theorem 2.1 of  $[L_4]$  says that a subset X of a 2-sphere in  $E^3$  must locally lie on a flat 2-sphere except at a finite number of points if X is touched by congruent single cones with  $2\theta > 2\tan^{-1} 3$ .

In Theorem 3.5 X is seen to locally lie on a 2-sphere at a point p of X if X is touched by congruent double cones with  $2\theta > 90^{\circ}$  and if some 2-cell D lies in X and has p in the interior. Under these hypotheses it follows from Lemma 1.1 and [C] that D locally lies on a flat 2-sphere at each of its interior points. This means that Int D can be pushed a little to one side to obtain another disk D' such that  $D \cup D'$  is a 2-sphere. This establishes the following generalization of Theorem 3.5.

THEOREM 4.1. If D is a 2-cell in a subset X of  $E^3$ , and X is touched by congruent double cones with  $2\theta > 90^\circ$ , then D lies on a 2-sphere that is locally flat modulo Bd D.

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112 L.D. Loveland

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# The existence of universal invariant measures on large sets

by

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Abstract. We consider countably additive, nonnegative, extended real-valued measures which vanish on singletons. Such a measure is *universal* on a set X iff it is defined on all subsets of X. We prove, in particular, that there exists a universal  $\sigma$ -finite measure on X which is invariant with respect to a given group G of bijections of X iff there exists a universal  $\sigma$ -finite measure on X such that for every subgroup H of G of cardinality  $\omega_1$  the set of all points of X with uncountable H-orbits has measure zero.

**0.** Terminology. Our set-theoretic notation and terminology are standard. Ordinals are identified with the sets of their predecessors and cardinals are defined as initial ordinals. If A is a set, then P(A) denotes the family of all subsets of A, and |A| is the cardinality of A. If  $f: X \to Y$  is a function and  $A \subset X$ , then f[A] denotes the image of A.

All measures considered in this paper are assumed to be:

- nonnegative extended real-valued;
- countably additive;
- vanishing on singletons;
- assuming at least one positive finite value.

A measure is called *universal* on a set X iff it is defined on P(X). We adopt the convention that the phrase "measure on X" always means "universal measure on X".

Let  $\varkappa$ ,  $\lambda$  be infinite cardinals. A measure  $\mu$  on X is called:

- κ-additive iff every union of less than κ sets of measure 0 has measure 0;
- finite iff  $\mu(X) < +\infty$ ;
- $\lambda$ -finite iff every set of positive measure is the union of less than  $\lambda$  pairwise disjoint subsets of positive finite measure.

Notice that if  $\varkappa > \lambda$  and  $\mu$  is  $\varkappa$ -additive, then it is  $\lambda$ -finite iff X is the union of less than  $\lambda$  sets of positive finite measure. Following traditional terminology, we write " $\sigma$ -finite" instead of " $\omega_{\lambda}$ -finite".

By an ideal on a set X we mean here a family  $I \subset P(X)$  which contains all single-

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