## Markov inequality on sets with polynomial parametrization

by Mirosław Baran (Kraków)

**Abstract.** The main result of this paper is the following: if a compact subset E of  $\mathbb{R}^n$  is UPC in the direction of a vector  $v \in S^{n-1}$  then E has the Markov property in the direction of v. We present a method which permits us to generalize as well as to improve an earlier result of Pawłucki and Pleśniak [PP1].

- 1. Introduction. Let E be a compact subset of  $\mathbb{R}^n$  with nonempty interior. Consider the following two classical problems for polynomials:
- (Bernstein's problem) Estimate the derivatives of polynomials at interior points of E;
- ( $Markov's\ problem$ ) Estimate the derivatives of polynomials at all points of E.

For Markov's problem, the most interesting situation is when E has the Markov property.

A set E is said to have the Markov property if there exist positive constants M and r such that the following Markov inequality holds:

$$|\operatorname{grad} p(x)| \le M(\operatorname{deg} p)^r ||p||_E,$$

for every  $x \in E$  and every polynomial  $p : \mathbb{R}^n \to \mathbb{R}$ . (Here  $||p||_E$  stands for  $\sup |p|(E)$  and  $|\cdot|$  denotes the Euclidean norm in  $\mathbb{R}^n$ .)

Markov's inequality plays an important role in the constructive theory of functions. Pawłucki and Pleśniak have shown connections between the Markov property and the construction of a continuous linear extension operator  $L: C^{\infty}(E) \to C^{\infty}(\mathbb{R}^n)$  (see [PP2]). Pleśniak [P] has proved that if E is a  $C^{\infty}$  determining compact set in  $\mathbb{R}^n$  then the existence of such an operator is equivalent to the Markov property. Pawłucki and Pleśniak [PP1]

 $<sup>1991\</sup> Mathematics\ Subject\ Classification \colon 32F05,\ 41A17.$ 

 $Key\ words\ and\ phrases:$  extremal function, Markov inequality.

Research partially supported by the KBN Grant 2 1077 91 01 (Poland) and by the Postdoctoral Grant CRM Bellaterra (Spain).

showed that the closure of a fat subanalytic subset of  $\mathbb{R}^n$  has the Markov property. They introduced a class of uniformly polynomially cuspidal subsets of  $\mathbb{R}^n$  (briefly, UPC) and proved Markov's inequality for them. There are several classes of sets which are UPC. In particular, compact convex subsets of  $\mathbb{R}^n$  with nonempty interior, fat subanalytic subsets of  $\mathbb{R}^n$  and sets in Goetgheluck's paper [G] (where a first example of Markov's inequality on sets with cusps was proved) belong to this class.

The UPC sets are compact sets which have a polynomial parametrization satisfying some additional (geometrical) conditions. These conditions imply Markov's inequality.

In this paper we present a new approach to the notion of UPC sets. Observe that

$$|\operatorname{grad} p(x)| = \sup\{|D_v p(x)| : v \in S^{n-1}\},\$$

where  $S^{n-1}$  is the unit Euclidean sphere in  $\mathbb{R}^n$ , and  $D_v p$  denotes the derivative of p in the direction of the vector v. We shall say that a compact set E has the Markov property in the direction of  $v \in S^{n-1}$  if there exist positive constants M and r such that

$$||D_v p(x)||_E \le Mk^r ||p||_E$$

for all polynomials of degree  $\leq k$ . It is clear that having the Markov property is equivalent to the Markov property in n linearly independent directions. It can happen that a set E has the Markov property only in k,  $1 \leq k < n$ , linearly independent directions (see Example 4.1). Hence the new notion is indeed more general.

In our investigations a crucial role is played by the following result which is strictly connected with Bernstein's problem.

1.1. PROPOSITION ([B1], [B4], see also [B2]). Let E be a compact subset of  $\mathbb{R}^n$ . Then for all  $x \in E$ , all  $v \in S^{n-1}$  and all polynomials p of degree  $\leq k$ ,

$$|D_v p(x)| \le k D_{v+} V_E(x) \begin{cases} (\|p\|_E^2 - p(x)^2)^{1/2} & \text{if } p \in \mathbb{R}[x_1, \dots, x_n], \\ \|p\|_E & \text{if } p \in \mathbb{C}[x_1, \dots, x_n]. \end{cases}$$

Here  $V_E$  is the extremal function defined by

$$V_E(z) = \sup\{u(z) : u \in \mathcal{L}, u_{|E} \le 0\}$$
 for  $z \in \mathbb{C}^n$ ,

where  $\mathcal{L}$  is the Lelong class of all plurisubharmonic functions in  $\mathbb{C}^n$  with logarithmic growth:  $u(z) \leq \text{const.} + \log(1+|z|)$  (see [S]), and

$$D_{v+}V_E(x) = \liminf_{\varepsilon \to 0+} \frac{1}{\varepsilon}V_E(x+i\varepsilon v)$$

(see [B1], [B4]). The above Dini derivatives of the extremal function play an important role in applications to Markov's problem. In the classical situation of E = [-1, 1], Proposition 1.1 reduces to the Bernstein (if p is a real

polynomial) and Markov–Bernstein (if p is a complex polynomial) inequalities

The paper is organized as follows: in Section 2 we prove the Bernstein and Markov inequalities on a polynomial curve; in Section 3 we define UPC sets in the direction of a vector v and give a Markov type inequality in the direction of v—this is the main result of this paper. In the special case of a convex symmetric subset with nonempty interior we obtain another proof of a sharp result which was earlier obtained in [B4]. In Section 4 we give some examples where we apply the results of Sections 2 and 3.

**2.** Bernstein and Markov inequalities on a polynomial curve. Fix  $v \in S^{n-1}$ . For a given subset E of  $\mathbb{R}^n$  and  $x \in E$ , we define the distance of x from  $\mathbb{R}^n \setminus E$  in the direction of v by

$$\varrho_v(x) = \operatorname{dist}_v(x, \mathbb{R}^n \setminus E) := \sup\{t \ge 0 : [x - tv, x + tv] \subset E\}.$$

One can easily verify that if E is compact then  $\varrho_v$  is upper semicontinuous on E. Moreover,

$$\varrho_v(x) \ge \varrho(x) := \operatorname{dist}(x, \mathbb{R}^n \setminus E) \quad \text{and} \quad \varrho(x) = \inf\{\varrho_v(x) : v \in S^{n-1}\}.$$

The following result plays a crucial role in this section.

2.1. PROPOSITION. Let E be a compact subset of  $\mathbb{R}^n$  and let  $\phi : \mathbb{R} \to \mathbb{R}^n$  be a polynomial mapping such that  $\phi([0,1]) \subset E$ . Put  $d = \max(1, \deg \phi)$ . Then

$$D_{v+}V_E(\phi(t)) \le 2d \sup_{0 \le r \le 1} \frac{\sqrt{r(1-r)}}{\varrho_v(\phi(rt))}$$

for  $0 \le t < 1$  and  $v \in S^{n-1}$ .

Proof. Fix  $t \in [0,1)$ ,  $\varepsilon > 0$  and R > 1. Assume that the right hand side of the inequality is finite. Denote by  $\widetilde{\phi}$  the natural extension of  $\phi$  to the whole plane  $\mathbb{C}$ . Define

$$f(\zeta) = \widetilde{\phi}\left(\frac{1}{2}at(g(\zeta)+1)\right) + \frac{i}{2}(\zeta-\zeta^{-1})b\varepsilon v$$

for  $|\zeta| \ge 1$ , where  $g(\zeta) = \frac{1}{2}(\zeta + \zeta^{-1})$  is the Joukowski function and  $a = 2/(g(R)+1), b = 2/(R-R^{-1}).$ 

Assume for the moment that

$$f(S^1) \subset E$$
.

Then, by the maximum principle for subharmonic functions and by the definition of  $V_E$ , we obtain  $V_E(f(\zeta)) \leq d \log |\zeta|$  for  $|\zeta| \geq 1$ . In particular,

$$V_E(\phi(t) + i\varepsilon v) \le d\log R.$$

Now notice that

$$f(e^{i\theta}) = \phi\left(\frac{1}{2}at(\cos\theta + 1)\right) - \sin\theta b\varepsilon v$$

and the condition  $f(S^1) \subset E$  is equivalent to

$$\phi(atr) \pm 2\sqrt{r(1-r)}b\varepsilon v \in E$$
 for each  $0 \le r \le 1$ .

This condition will be satisfied if

$$2\sqrt{r(1-r)}b\varepsilon \leq \rho_v(\phi(atr)),$$

or equivalently,

$$b \sup_{0 \le r \le 1} \frac{2\sqrt{r(1-r)}}{\varrho_v(\phi(atr))} \le \frac{1}{\varepsilon}.$$

We have

$$\begin{split} b \sup_{0 \leq r \leq 1} \frac{2\sqrt{r(1-r)}}{\varrho_v(\phi(atr))} & \leq \frac{b}{\sqrt{a}} \sup_{0 \leq r \leq 1} \frac{2\sqrt{ar(1-ar)}}{\varrho_v(\phi(atr))} \\ & \leq \frac{b}{\sqrt{a}} \sup_{0 \leq r \leq 1} \frac{2\sqrt{r(1-r)}}{\varrho_v(\phi(tr))}. \end{split}$$

Since the right-hand side tends to 0 as  $R \to \infty$ , and to  $\infty$  as  $R \to 1+$ , we may choose  $R = R(\varepsilon) > 1$  such that

$$\sup_{0 \le r \le 1} \frac{2\sqrt{r(1-r)}}{\varrho_{\nu}(\phi(tr))} = \frac{\sqrt{a}}{2\varepsilon} (R - R^{-1}).$$

It is clear that the condition  $f(S^1) \subset E$  is satisfied, and  $R \to 1$  as  $\varepsilon \to 0+$ . Now, observe that

$$\lim_{R \to 1+} 2(R - R^{-1})^{-1} \log R = 1.$$

By the definition of  $D_{v+}V_E$  we have

$$D_{v+}V_{E}(\phi(t)) \leq d \lim_{\varepsilon \to 0+} \frac{1}{\varepsilon} \log R(\varepsilon) = d \lim_{\varepsilon \to 0+} \frac{\sqrt{a}}{2\varepsilon} (R(\varepsilon) - R(\varepsilon)^{-1})$$
$$= d \sup_{0 \leq r \leq 1} \frac{2\sqrt{r(1-r)}}{\varrho_{v}(\phi(rt))}.$$

This completes the proof.

2.2. COROLLARY. If  $x \in \text{int}(E)$ , then

$$D_{v+}V_E(x) \leq 1/\varrho_v(x)$$
.

Using a similar argument to that of the proof of Proposition 2.1 one can also prove the following

2.3. PROPOSITION. Let  $\Omega$  be a bounded, star-shaped (with respect to the origin) and symmetric domain in  $\mathbb{R}^n$  and let  $E = \overline{\Omega}$ . Then

$$D_{v+}V_E(x) \le \sup_{0 \le r \le 1} \frac{\sqrt{1-r^2}}{\varrho_v(rx)}$$
 for  $x \in \text{int}(E)$ ,

with equality in the case where E is convex.

Proof. A star-shaped symmetric set has a natural parametrization  $t \rightarrow tx$ ,  $t \in [-1,1]$ ,  $x \in E$ . The inequality in Proposition 2.3 is obtained by a similar argument to that of Proposition 2.1 applied to the mapping

$$f(\zeta) = ag(\zeta)x + \frac{i}{2}(\zeta - \zeta^{-1})b\varepsilon v,$$

where  $g(\zeta)$  and b have been defined in the proof of Proposition 2.1 and a = 1/g(R).

Now consider the case where E is convex. Then

$$E = \{ x \in \mathbb{R}^n : x \cdot w \le 1, \forall w \in E^* \},$$

where  $E^*$  denotes the polar of E. It is easy to see that

$$\varrho_v(rx) = \inf \left\{ \frac{1 - |r||x \cdot w|}{|v \cdot w|} : w \in E^* \right\}.$$

Hence

$$\sup_{0 \le r \le 1} \frac{\sqrt{1 - r^2}}{\varrho_v(rx)} \le \sup \left\{ \frac{|v \cdot w|}{(1 - (x \cdot w)^2)^{1/2}} : w \in E^* \right\}.$$

It was proved by the author (see [B1], [B4]) that the right-hand side of this inequality is equal to  $D_{v+}V_E(x)$ . This completes the proof.

We need the following lemma, which is a generalization of the well-known lemma of Pólya and Szegö (see [C]).

2.4. Lemma. Let p be a polynomial in one variable of degree  $\leq k-1$ . If

$$|p(t)| \le (1-t^2)^{-\alpha}$$
 for  $t \in (-1,1)$ ,

where  $\alpha \geq 1/2$  is fixed, then

$$||p||_{[-1,1]} \le k^{2\alpha}.$$

Proof. For  $\alpha=1/2$  we obtain the Pólya–Szegö lemma. The general case reduces to the case  $\alpha=1/2$  in the following way. Let  $X_k=\{p\in\mathbb{C}[t]:\deg p\leq k-1\}$ . For  $\alpha\geq 0$  we define a norm  $\|\cdot\|_{\alpha}$  in  $X_k$  by

$$||p||_{\alpha} := \sup\{(1-t^2)^{\alpha}|p(t)| : t \in [-1,1]\}.$$

For  $\alpha > 1/2$ , we have  $||p||_{\alpha} \le ||p||_{1/2} \le ||p||_0 = ||p||_{[-1,1]}$ . Observe that the Pólya–Szegő lemma is equivalent to the inequality  $||p||_0 \le k||p||_{1/2}$ . Since  $(X_k, ||\cdot||_{1/2})$  is an interpolation space between  $(X_k, ||\cdot||_{\alpha})$  and  $(X_k, ||\cdot||_0)$  of

exact exponent  $\theta=1-1/(2\alpha)$ , i.e.  $\|p\|_{1/2}\leq \|p\|_{\alpha}^{1-\theta}\|p\|_{0}^{\theta}$ , by the Pólya–Szegö lemma we obtain  $\|p\|_{0}^{1-\theta}\leq k\|p\|_{\alpha}^{1-\theta}$ , which completes the proof.

Now we can formulate the main result of this section.

2.5. PROPOSITION. Let E be a compact subset of  $\mathbb{R}^n$  and let  $\phi: \mathbb{R} \to \mathbb{R}^n$  be a polynomial mapping of degree  $d \geq 1$  such that  $\phi([0,1]) \subset E$ . Fix  $v \in S^{n-1}$  and assume that  $\operatorname{dist}_v(\phi(t),\mathbb{R}^n \setminus E) \geq M(1-t)^m$  for  $0 \leq t \leq 1$ , where M>0 and  $m \geq 1$  are constants. If  $p \in \mathbb{C}[x_1,\ldots,x_n]$  and  $\deg p \leq k$ , then

$$|D_v p(\phi(t))| \le \frac{1}{M} (2dk)^{2m} ||p||_E \quad \text{for } 0 \le t \le 1.$$

Proof. By Proposition 2.1 we obtain

$$D_{v+}V_E(\phi(t)) \le \frac{2d}{M} \sup_{0 \le r \le 1} \sqrt{r(1-r)} (1-rt)^{-m}$$
  
 
$$\le \frac{2d}{M} (1-t)^{-(m-1/2)} \quad \text{for } 0 \le t < 1.$$

It follows from Proposition 1.1 that

$$|D_v p(\phi(t^2))| \le \frac{2dk}{M} (1 - t^2)^{-(m-1/2)} ||p||_E$$

for |t| < 1. Since  $D_v p(\phi(t^2))$  is a polynomial of degree  $\leq 2d(k-1)$ , combining the last inequality with Lemma 2.4 gives our assertion.

**3.** Markov inequality on UPC sets. Our considerations suggest a modification of the notion of a UPC set introduced in [PP1].

Let E be a compact subset of  $\mathbb{R}^n$  and let  $m \geq 1$ . Given  $v \in S^{n-1}$ , we shall say that E is m-UPC in the direction of v if there exist  $E_0 \subset E$ , a positive constant M and a positive integer d such that for each  $x \in E_0$  one can choose a polynomial map  $\phi_x : \mathbb{R} \to \mathbb{R}^n$  of degree at most d satisfying

$$\phi_x([0,1]) \subset E \quad \text{and} \quad \phi_x(1) = x,$$

$$\varrho_v(\phi_x(t)) \ge M(1-t)^m \quad \text{for all } x \in E_0 \text{ and } t \in [0,1],$$

$$\bigcup_{x \in E_0} \phi_x([0,1]) = E.$$

Applying Propositions 2.1, 2.5 and 1.1 we obtain the following

3.1. THEOREM. Let E be an m-UPC subset of  $\mathbb{R}^n$  in the direction of v. Then for every  $p \in \mathbb{C}[x_1, \ldots, x_n]$  with deg  $p \leq k$  we have

$$||D_v p||_E \le Ck^{2m} ||p||_E,$$

where  $C = \frac{1}{M} (2d)^{2m}$ .

- 3.2. Remark. In the special case where  $E = \{(x, y) \in \mathbb{R}^2 : 0 \le x \le 1, 0 \le y \le x^p\}$  with  $p \ge 1$ , Theorem 3.1 was proved by Goetgheluck [G].
- 3.3. COROLLARY. Assume that there exist n linearly independent vectors  $v_i \in S^{n-1}$  such that E is UPC in the direction of each  $v_i$  (with a constant  $m_i$ ). Then there exists a constant C = C(E) such that for each  $p \in \mathbb{C}[x_1, \ldots, x_n]$  with deg  $p \leq k$  the following Markov inequality holds:

$$|\operatorname{grad} p(x)| \le Ck^{2m} ||p||_E \quad \text{for all } x \in E,$$

where  $m = \max_{i=1,\dots,n} m_i$ .

3.4. Remark. If E is a UPC set in the direction of each  $v \in S^{n-1}$  with  $E_0 = E$ , with the same family of polynomial mappings  $\phi_x$  and with the same constants M and m, for each v, then

$$\operatorname{dist}(\phi_x(t), \mathbb{R}^n \setminus E) \ge M(1-t)^m$$
 for all  $t \in [0, 1], \ x \in E$ .

This is equivalent to the fact that E is UPC. In this case, by Theorem 3.1 we obtain

3.5. COROLLARY. If E is an m-UPC subset of  $\mathbb{R}^n$ , then

$$|\operatorname{grad} p(x)| \le Ck^{2m} ||p||_E$$

for all  $p \in \mathbb{C}[x_1, \ldots, x_n]$  with  $\deg p \leq k$ , where  $C = \frac{\sqrt{2}}{M}(2d)^{2m}$ .

This corollary improves Pawłucki and Pleśniak's result from [PP1] where the Markov inequality for UPC sets was proved with constant 2m + 2.

We finish this section by proving a version of the Markov inequality for star-shaped sets.

3.6. THEOREM. Let  $\Omega$  be a bounded, star-shaped (with respect to the origin) and symmetric domain in  $\mathbb{R}^n$  and let  $E = \overline{\Omega}$ . Assume that

$$\varrho_v(tx) \ge M(1-|t|)^m \quad \text{for } t \in [-1,1], \ x \in \partial E,$$

where M > 0 and  $m \ge 1$  are constants. If  $p \in \mathbb{C}[x_1, \ldots, x_n]$  and  $\deg p \le k$ ,

$$|D_v p(x)| \le \sqrt{2} M^{-1/(2m)} k \varrho_v(x)^{-(1-1/(2m))} ||p||_E \quad \text{for } x \in \text{int}(E)$$

and

$$||D_v p||_E \le \left(2 - \frac{1}{m}\right)^{m-1/2} \frac{m^{-1/2}}{M} k^{2m} ||p||_E.$$

Proof. If  $x \in \text{int}(E)$ , then  $x = t_0 x_0$ , where  $t_0 \in [0, 1)$  and  $x_0 \in \partial E$ . Thus we get  $\varrho_v(tx) \geq M(1 - |t|t_0)^m \geq M2^{-m}(\sqrt{1 - t^2})^{2m}$ , which implies

$$\sup_{0 \le r \le 1} \sqrt{1 - t^2} \, \varrho_v(rx)^{-1} \le \sqrt{2} \, M^{-1/m} \varrho_v(x)^{-(1 - 1/(2m))}.$$

Applying Propositions 1.1 and 2.3 we obtain the first assertion of the theorem. We also have

$$\sup_{0 \le r \le 1} \sqrt{1 - r^2} \left( 1 - r |t| \right)^m \le \left( 2 - \frac{1}{m} \right)^{m - 1/2} m^{-1/2} (1 - t^2)^{-(m - 1/2)}$$

for  $t \in (-1,1)$ . Hence we obtain, for all polynomials p with  $\deg p \leq k$ ,

$$|D_v p(tx)| \le k \frac{m^{-1/2}}{M} \left(2 - \frac{1}{m}\right)^{m-1/2} (1 - t^2)^{-(m-1/2)} ||p||_E.$$

Applying Lemma 2.4 completes the proof.

3.7. COROLLARY. Let  $E = \{x \in \mathbb{R}^n : f(x) \le 1\}$ , where f is a norm in  $\mathbb{R}^n$ . If  $v \in S^{n-1}$  and p is a polynomial of degree  $\le k$ , then

$$||D_v p||_E \le f(v)k^2||p||_E.$$

Proof. Let  $x \in \partial E$ ,  $t \in [-1,1]$  and  $\tau \in \mathbb{R}$ . If  $|t| + f(v)|\tau| \le 1$ , i.e.

$$|\tau| \le \frac{1 - |t|}{f(v)},$$

then  $f(tx + \tau v) \leq 1$ . So we have

$$\varrho_v(tx) \ge \frac{1}{f(v)}(1 - |t|)$$

and we can apply Theorem 3.6.

3.8. Remark. It follows from the proof of Theorem 3.6 that the following implication holds: if there exist constants M>0 and  $m\geq 1$  such that  $\varrho_v(tx)\geq M(1-|t|)^m$  for  $t\in [-1,1]$  and  $x\in \partial E$ , then there exist constants C>0 and  $1/2\leq \alpha<1$  such that  $\sup_{0\leq r\leq 1}\sqrt{1-t^2}\varrho_v(rx)^{-1}\leq C\varrho_v(x)^{-\alpha}$  for  $x\in \mathrm{int}(E)$ .

The converse implication is also true.

3.9. Proposition. Let E be a compact, fat  $(\overline{\operatorname{int}(E)} = E)$ , star-shaped and symmetric (with respect to the origin) subset of  $\mathbb{R}^n$ . Assume that

$$\sup_{0 \le r \le 1} \sqrt{1 - r^2} \varrho_v(rx)^{-1} \le C \varrho_v(x)^{-\alpha} \quad \text{for } x \in \text{int}(E),$$

where C > 0 and  $1/2 \le \alpha < 1$  are constants. Then

$$\varrho_v(tx) \ge C^{-2m} 2^{-2m^2} (1-|t|)^m$$
 for  $t \in [-1,1], \ x \in \partial E,$  with  $m = 1/(2(1-\alpha)).$ 

Proof. Fix  $x \in \text{int}(E)$ . By the assumptions,

$$\varrho_v(t^2x) \ge \frac{1}{C}\sqrt{1-t^2}\varrho_v(tx)^{\alpha} \ge \frac{1}{C}\sqrt{1-t^2}\left[\frac{1}{C}\sqrt{1-t^2}\varrho_v(x)^{\alpha}\right]^{\alpha},$$

which implies

$$\varrho_v(tx) \ge C^{-(1+\alpha)} 2^{-(1+\alpha)/2} (\sqrt{1-t^2})^{1+\alpha} \varrho_v(x)^{\alpha^2},$$

and, by recurrence,

$$\varrho_v(tx) \ge 2^{-(1+2\alpha+3\alpha^2+\ldots+k\alpha^{k-1}+k\alpha^k)/2} \left(\frac{\sqrt{1-t^2}}{C}\right)^{1+\alpha+\ldots+\alpha^k} \varrho_v(x)^{\alpha^{k+1}}.$$

Letting  $k \to \infty$  gives

$$\varrho_v(tx) \ge C^{-2m} 2^{-2m^2} (1-t^2)^m \ge C^{-2m} 2^{-2m^2} (1-|t|)^m$$

for  $x \in \text{int}(E)$  and  $t \in [-1, 1]$ . Since  $\varrho_v$  is upper semicontinuous, this inequality also holds for  $x \in \partial E$ . The proof is complete.

## 4. Examples

4.1. Example. Let  $E = \{(x,y) \in \mathbb{R}^2 : |x| < 1, |y| \le e^{-(1-|x|)^{-1}}\} \cup \{(-1,0),(1,0)\}$ . If  $v = (1,0),(x,y) \in \partial E$  and  $\phi(t) = t(x,y)$ , then easy calculations show that

$$1 - |t| \ge \varrho_v(\phi(t)) \ge \frac{1}{2}(1 - |t|).$$

By Theorem 3.6 we obtain

$$||D_1p||_E \le 2k^2||p||_E$$

where p is a polynomial of degree  $\leq k$ . However, applying a similar argument to that for Zerner's example [Z] one can prove that Markov's inequality on E does not hold for any positive constant m.

4.2. EXAMPLE. Let 
$$\alpha = (\alpha_1, \dots, \alpha_n)$$
 where  $\alpha_i \ge 1$ ,  $i = 1, \dots, n$ . Define  $E_{\alpha} = \{x \in \mathbb{R}^n : |x_1|^{1/\alpha_1} + \dots + |x_n|^{1/\alpha_n} \le 1\}$ .

Let  $e_1, \ldots, e_n$  be the standard orthonormal basis in  $\mathbb{R}^n$ . Then

$$\varrho_{e_i}(x) = \left(1 - \sum_{j=1, j \neq i}^{n} |x_j|^{1/\alpha_j}\right)^{\alpha_i} - |x_i|.$$

Let  $\beta_i = \max_{j \neq i} \alpha_j, i = 1, \dots, n$ . We have

$$\varrho_{e_i}(tx) = \left(1 - \sum_{j=1, j \neq i}^{n} |x_j|^{1/\alpha_j} |t|^{1/\alpha_j}\right)^{\alpha_i} - |t||x_i|$$

$$\geq \left(1 - |t|^{1/\beta_i} \sum_{j=1, j \neq i}^{n} |x_j|^{1/\alpha_j}\right)^{\alpha_i} - |t|^{1/\beta_i} \left(1 - \sum_{j=1, j \neq i}^{n} |x_j|^{1/\alpha_j}\right)^{\alpha_i}$$

$$\geq (1 - |t|^{1/\beta_i})^{\alpha_i} \geq A_i (1 - |t|)^{\alpha_i},$$

with  $A_i = (\max_{j \neq i} \alpha_j)^{-\alpha_i}, i = 1, \dots, n$ , for  $t \in [-1, 1]$  and  $x \in E_{\alpha}$ . By Theorem 3.6 we obtain

$$||D_i p||_{E_{\alpha}} \le \left(2 - \frac{1}{\alpha_i}\right)^{\alpha_i - 1/2} \alpha_i^{-1/2} (\max_{j \ne i} \alpha_j)^{\alpha_i} k^{2\alpha_i} ||p||_{E_{\alpha}}, \quad i = 1, \dots, n,$$

for all polynomials p of degree  $\leq k$ .

This inequality is sharp in the case where  $\alpha_1 = \ldots = \alpha_n = 1$  and generalizes the classical Markov inequality (see [B4]).

An easy calculation shows that we also have

$$\sup_{0 \le r \le 1} \sqrt{1 - r^2} \varrho_{e_i}(rx)^{-1} \le \max\left(1, \left(\frac{\beta_i}{\alpha_i}\right)^{1/2}\right) \varrho_{e_i}(x)^{-(1 - 1/(2\alpha_i))}$$

for  $x \in \text{int}(E_{\alpha})$ , i = 1, ..., n. Thus, we obtain the following Bernstein-Markov inequality:

$$|D_i p(x)| \le \max\left(1, \left(\frac{1}{\alpha_i} \max_{j \ne i} \alpha_j\right)^{1/2}\right) k \varrho_{e_i}(x)^{-(1-1/(2\alpha_i))} ||p||_{E_\alpha}$$

for  $i = 1, ..., n, x \in \text{int}(E_{\alpha})$ , and  $p \in \mathbb{C}[x_1, ..., x_n]$  with deg  $p \leq k$ .

4.3. Example. Let

$$E = \left\{ (x, y) \in \mathbb{R}^2 : |x| \le 1, |y| \le (1 - |x|) \left[ 1 + \log \frac{1}{1 - |x|} \right]^{-1} \right\}.$$

Let  $e_1 = (1,0), e_2 = (0,1)$ . One can check the following estimates:

$$\varrho_{e_1}(t(x,y)) \ge \frac{1}{2}(1-|t|)$$

and

$$\varrho_{e_2}(t(x,y)) \ge (1-|t|) \left[ 1 + \log \frac{1}{1-|t|} \right]^{-1},$$

for  $t \in [-1, 1]$  and  $(x, y) \in \partial E$ . The first inequality implies

$$||D_1p||_E \leq 2k^2||p||_E$$

for any polynomial p of degree  $\leq k$ . By the second inequality, we obtain

$$D_{e_2+}V_E(t(x,y)) \le \sup_{0 \le r \le 1} \sqrt{1 - r^2} (1 - r|t|)^{-1} \left[ 1 + \log \frac{1}{1 - r|t|} \right]$$

$$\le (1 - t^2)^{-1/2} \left[ 1 + \log 2 + \log \frac{1}{1 - t^2} \right]$$

$$\le (1 - t^2)^{-1/2} \left[ 1 + \sqrt{5} + \log \frac{1}{1 - t^2} \right]$$

$$\le (1 - t^2)^{-1/2} (1 + \sqrt{5})(1 - t^2)^{-1/(1 + \sqrt{5})},$$

for  $t \in (-1,1)$  and  $(x,y) \in \partial E$ . We now have, for every polynomial p with  $\deg p \leq k$ ,

$$|D_2 p(t(x,y))| \le (1+\sqrt{5})k^{2+2/(1+\sqrt{5})} ||p||_E$$
 for  $t \in (-1,1)$  and  $(x,y) \in \partial E$ , and 
$$|D_2 p(t(x,y))|$$
 
$$\le k(1-t^2)^{-1/2}$$
 
$$\times \min\left(1+\sqrt{5}+\log\frac{1}{1-t^2},(1+\sqrt{5})k^{1+2/(1+\sqrt{5})}(1-t^2)^{1/2}\right) ||p||_E$$
 
$$\le k(1-t^2)^{-1/2}(1+\sqrt{5})(1+\log k)||p||_E.$$

Thus, we obtain  $||D_2p||_E \le (1+\sqrt{5})k^2(1+\log k)||p||_E$ .

## References

- [B1] M. Baran, Bernstein type theorems for compact sets in  $\mathbb{R}^n$ , J. Approx. Theory 69 (1992), 156–166.
- [B2] —, Complex equilibrium measure and Bernstein type theorems for compact sets in  $\mathbb{R}^n$ , Proc. Amer. Math. Soc., to appear.
- [B3] —, Plurisubharmonic extremal function and complex foliation for a complement of a convex subset of  $\mathbb{R}^n$ , Michigan Math. J. 39 (1992), 395–404.
- [B4] —, Bernstein type theorems for compact sets in  $\mathbb{R}^n$  revisited, J. Approx. Theory, to appear.
- [C] E. W. Cheney, Introduction to Approximation Theory, New York, 1966.
- [G] P. Goetgheluck, Inégalité de Markov dans les ensembles effilés, J. Approx. Theory 30 (1980), 149–154.
- [PP1] W. Pawłucki and W. Pleśniak, Markov's inequality and  $C^{\infty}$  functions with polynomial cusps, Math. Ann. 275 (1986), 467–480.
- [PP2] —, —, Extension of  $C^{\infty}$  functions from sets with polynomial cusps, Studia Math. 88 (1989), 279–287.
  - [P] W. Pleśniak, Markov's inequality and the existence of an extension operator for C<sup>∞</sup> functions, J. Approx. Theory 61 (1990), 106–117.
  - [S] J. Siciak, Extremal plurisubharmonic functions in  $\mathbb{C}^n$ , Ann. Polon. Math. 39 (1981), 175–211.
  - [Z] M. Zerner, Développement en série de polynômes orthonormaux des fonctions indéfiniment différentiables, C. R. Acad. Sci. Paris 268 (1969), 218-220.

INSTITUTE OF MATHEMATICS UNIVERSITY OF MINING AND METALLURGY AL. MICKIEWICZA 30 30-059 KRAKÓW, POLAND Current address:
INSTITUTE OF MATHEMATICS
JAGIELLONIAN UNIVERSITY
REYMONTA 4
30-059 KRAKÓW, POLAND
E: mail: BARAN@IM.UJ.EDU.PL