

## On the average of character sums for a group of characters

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**1. Introduction.** Let  $r, k, h$  be positive integers. For any Dirichlet character  $\chi$  modulo  $k$  write

$$W_r(\chi) = \sum_{x=1}^k \left| \sum_{m=1}^h \chi(x+m) \right|^{2r}.$$

In [2] it was shown that, if  $\chi$  is a primitive character, then

$$(1) \quad W_2(\chi) \ll kh^2 + k^{1/2+\varepsilon}h^4,$$

which implies that

$$(2) \quad W_2(\chi) \ll k^{1+\varepsilon}h^2$$

for  $h \leq k^{1/4}$ . In [6] it was shown that

$$(3) \quad \sum_{\text{primitive } \chi \pmod{k}} W_2(\chi) \ll k^{2+\varepsilon}h^2,$$

so that (2) holds for all  $h$ , on average for all primitive characters modulo  $k$ . Thus it is reasonable to conjecture that (2) might hold for some  $h > k^{1/4}$ , on average for the primitive characters of a large subgroup of the characters modulo  $k$ . In [8] such a result was obtained, it being shown that, for any prime  $p$ ,

$$(4) \quad \sum_{\substack{\chi \pmod{p^3} \\ \chi^{p^2} = \chi_0}} W_2(\chi) \ll p^5h^2 + p^3h^4,$$

where  $\chi_0$  is the principal character, and thus (2) holds for  $h \leq k^{1/3}$ , on average for the characters modulo  $k = p^3$  in the group of order  $p^2$ .

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In this paper the argument is strengthened to show in the following theorem that, for the non-principal characters of this group, (2) remains true for  $h \leq k^{1/2}$ , on average.

**THEOREM 1.** *Let  $p$  be an odd prime number. Let*

$$S = \sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2} = \chi_0 \\ \chi \neq \chi_0}} \sum_{x=1}^{p^3} \left| \sum_{m=1}^h \chi(x+m) \right|^{2r}.$$

*Then in the case  $r = 2$  we have*

$$S \ll p^2 h^4 + p^5 h^2.$$

From [1] it follows that if  $k$  is prime then

$$(5) \quad W_3(\chi) \ll kh^3 + k^{1/2}h^6$$

for all positive  $h$ . By the methods of this paper it is shown that (5) can be improved for  $h > p^{3/4}$ , on average for the non-principal characters modulo  $k = p^3$  in the group of order  $p^2$ .

**THEOREM 2.** *Let  $p$  be an odd prime number. Let*

$$S = \sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2} = \chi_0 \\ \chi \neq \chi_0}} \sum_{x=1}^{p^3} \left| \sum_{m=1}^h \chi(x+m) \right|^{2r}.$$

*Then in the case  $r = 3$  we have*

$$S \ll p^2 h^6 + \min(h, p)^3 p^5 h + \min(h, p)^2 p^6.$$

In Section 8 we shall describe some corollaries of these theorems.

**2. Preliminary transformation of the problem.** For  $S$  as in the statement of both theorems, we have

$$(6) \quad S = \sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2} = \chi_0}} \sum_{x=1}^{p^3} \left| \sum_{m=1}^h \chi(x+m) \right|^{2r} - \sum_{x=1}^{p^3} \left( \sum_{m=1}^h \chi_0(x+m) \right)^{2r} = S_1 - S_2,$$

say.

Now

$$S_2 = \sum_{\mathbf{m}} \sum_{x=1}^{p^3} \chi_0(f_1(x)) \chi_0(f_2(x)),$$

where  $\mathbf{m} \in \mathbb{Z}^{2r}$  satisfies  $0 < m_i \leq h$  for  $1 \leq i \leq 2r$ , and

$$f_1(x) = (x + m_1) \dots (x + m_r), \quad f_2(x) = (x + m_{r+1}) \dots (x + m_{2r}).$$

Thus

$$(7) \quad S_2 = \sum_m \sum_{\substack{x=1 \\ x \not\equiv -m_i \pmod{p}}}^{p^3} 1 = \sum_m p^2 \#\{x : 1 \leq x \leq p, p \nmid f_1(x)f_2(x)\}.$$

We also have

$$\begin{aligned} S_1 &= \sum_m \sum_{x=1}^{p^3} \sum_{\chi^{p^2}=\chi_0} \chi(f_1(x))\bar{\chi}(f_2(x)) = \sum_m \sum_{\substack{x=1 \\ p \nmid f_1(x)f_2(x)}}^{p^3} \sum_{\chi^{p^2}=\chi_0} \chi\left(\frac{f_1(x)}{f_2(x)}\right) \\ &= p^2 \sum_m \#\{1 \leq x \leq p^3, 1 \leq z < p : p \nmid f_1(x)f_2(x), \\ &\quad f_1(x) - z^{p^2} f_2(x) \equiv 0 \pmod{p^3}\}. \end{aligned}$$

Thus, writing

$$(8) \quad f_{(z)}(x) = f_1(x) - z^{p^2} f_2(x),$$

we have

$$(9) \quad S_1 = S_3 + S_4,$$

where

$$(10) \quad S_3 = p^2 \sum_m \sum_{z=1}^{p-1} \#\{1 \leq x \leq p^3 : x \not\equiv -m_i \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^3}, f'_{(z)}(x) \not\equiv 0 \pmod{p}\}$$

and

$$(11) \quad S_4 = p^2 \sum_m \sum_{z=1}^{p-1} \#\{1 \leq x \leq p^3 : x \not\equiv -m_i \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^3}, f'_{(z)}(x) \equiv 0 \pmod{p}\}.$$

We consider the non-singular roots of  $f_{(z)}$ . Since the numbers of non-singular roots modulo  $p^3$  and  $p$  are the same we have from (10) that

$$\begin{aligned} S_3 &\leq p^2 \sum_m \sum_{z=1}^{p-1} \#\{1 \leq x \leq p : p \nmid f_1(x)f_2(x), f_{(z)}(x) \equiv 0 \pmod{p}\} \\ &= p^2 \sum_m \sum_{\substack{x=1 \\ p \nmid f_1(x)f_2(x)}}^p \#\{1 \leq z < p : f_1(x) - z f_2(x) \equiv 0 \pmod{p}\} \\ &= p^2 \sum_m \#\{1 \leq x \leq p : p \nmid f_1(x)f_2(x)\} = S_2 \end{aligned}$$

from (7). Now from (6) and (9) we have

$$(12) \quad S \leq S_4.$$

**3. Estimates for solution sets of polynomials.** In the proof of our theorems we shall require some lemmas concerning the number of solutions of congruences to a prime power modulus. We shall use the following generalisation of the well known estimate for the number of non-singular roots of a congruence.

LEMMA 1. *Let  $F$  be a polynomial of degree  $n$  having integer coefficients. Let  $p$  be a prime,  $d$  a positive integer, and  $\alpha, \beta$  and  $\gamma$  be non-negative integers satisfying  $\gamma = \lceil \alpha/d \rceil$ . Then*

$$\#\{1 \leq x \leq p^\gamma : p^{\alpha+\beta} \mid F(x), p^\beta \parallel F^{(d)}(x)\} \leq n.$$

Proof. This is Proposition 1 of [7].

We shall require an estimate for the number of solutions of a congruence in many variables to a prime modulus. The following will suffice.

LEMMA 2. *Let  $G$  be a polynomial in  $x_1, \dots, x_t$  which is not identically zero modulo the prime  $p$ . Let  $0 < h_i \leq p$  for all  $i$ . Then the number of  $\mathbf{x}$ , satisfying  $0 < x_i \leq h_i$  for all  $i$ , for which  $G(\mathbf{x}) \equiv 0 \pmod{p}$  is  $O(\prod h_i / \min h_i)$ .*

Proof. This is an easy modification of Lemma 5 of [4].

We shall also use the following estimate which, under favourable conditions, can provide an optimal estimate for the average number of singular roots of a set of polynomials.

LEMMA 3. *Let  $F_i(\mathbf{y})$  ( $0 < i \leq n$ ) be polynomials in  $\nu$  variables  $y_k$  ( $0 < k \leq \nu$ ). Let  $p$  be a prime and  $2\beta \geq \alpha_1 \geq \dots \geq \alpha_m > \beta \geq \alpha_{m+1} \geq \dots \geq \alpha_n$  be positive integers. Let  $\mathbf{H} \in \mathbb{N}^\nu$ . Write*

$$N = \#\{\mathbf{y} : \forall k \leq \nu \ 0 < y_k \leq H_k, \forall i \ F_i(\mathbf{y}) \equiv 0 \pmod{p^{\alpha_i}}\}.$$

Put  $\lambda_k = \lceil H_k/p^\beta \rceil$  for all  $k \leq \nu$ . Then

$$N \ll \sum_{\substack{\mathbf{B} \\ \forall k \leq \nu \ |B_k| < \lambda_k}} \#\left\{ \mathbf{y} : \forall k \leq \nu \ 0 < y_k \leq p^\beta, \forall i \leq m \ F_i(\mathbf{y}) \equiv 0 \pmod{p^\beta}, \right. \\ \left. \forall i > m \ F_i(\mathbf{y}) \equiv 0 \pmod{p^{\alpha_i}}, \forall i \leq m \ \sum_{k=1}^\nu B_k \frac{\partial F_i}{\partial y_k}(\mathbf{y}) \equiv 0 \pmod{p^{\alpha_i-\beta}} \right\}.$$

Proof. Clearly

$$N \leq \#\{\mathbf{y} : \forall k \leq \nu \ 0 < y_k \leq \lambda_k p^\beta, \forall i \ F_i(\mathbf{y}) \equiv 0 \pmod{p^{\alpha_i}}\}.$$

For  $1 \leq k \leq \nu$  write  $y_k = a_k + p^\beta b_k$ , where  $0 < a_k \leq p^\beta$ ,  $0 \leq b_k < \lambda_k$ . Then, for  $m < i \leq n$ ,  $F_i(\mathbf{y}) \equiv 0 \pmod{p^{\alpha_i}}$  becomes

$$F_i(\mathbf{a}) \equiv 0 \pmod{p^{\alpha_i}},$$

while for  $i \leq m$ ,  $F_i(\mathbf{y}) \equiv 0 \pmod{p^{\alpha_i}}$  becomes

$$F_i(\mathbf{a}) + \sum_{k=1}^{\nu} \frac{\partial F_i}{\partial y_k}(\mathbf{a}) b_k p^\beta \equiv 0 \pmod{p^{\alpha_i}}.$$

The latter congruences imply, for  $i \leq m$ ,

$$F_i(\mathbf{a}) \equiv 0 \pmod{p^\beta}$$

so that, say,

$$\forall i \leq m \quad F_i(\mathbf{a}) = c_i(\mathbf{a}) p^\beta.$$

Thus we have

$$N \leq \sum_{\substack{\mathbf{a} \\ \forall i \leq m \ F_i(\mathbf{a}) \equiv 0 \pmod{p^\beta} \\ \forall i > m \ F_i(\mathbf{a}) \equiv 0 \pmod{p^{\alpha_i}}}} \# \left\{ \mathbf{b} : \forall k \leq \nu \ 0 \leq b_k < \lambda_k, \right. \\ \left. \forall i \leq m \ \sum_{k=1}^{\nu} \frac{\partial F_i}{\partial y_k}(\mathbf{a}) b_k \equiv -c_k(\mathbf{a}) \pmod{p^{\alpha_i - \beta}} \right\}.$$

Now the number of solutions of the inhomogeneous congruences

$$\forall i \leq m \quad \sum_{k=1}^{\nu} \frac{\partial F_i}{\partial y_k}(\mathbf{a}) b_k \equiv -c_k(\mathbf{a}) \pmod{p^{\alpha_i - \beta}}$$

in the variables  $\mathbf{b}$  satisfying  $0 \leq b_k < \lambda_k$  for all  $k \leq \nu$  is at most the number of solutions of the homogeneous congruences

$$\forall i \leq m \quad \sum_{k=1}^{\nu} \frac{\partial F_i}{\partial y_k}(\mathbf{a}) B_k \equiv 0 \pmod{p^{\alpha_i - \beta}}$$

in the variables  $\mathbf{B}$  satisfying  $|B_k| < \lambda_k$  for all  $k \leq \nu$ . Thus we have

$$N \leq \sum_{\substack{\mathbf{a} \\ \forall i \leq m \ F_i(\mathbf{a}) \equiv 0 \pmod{p^\beta} \\ \forall i > m \ F_i(\mathbf{a}) \equiv 0 \pmod{p^{\alpha_i}}}} \# \left\{ \mathbf{B} : \forall k \leq \nu \ |B_k| < \lambda_k, \right. \\ \left. \forall i \leq m \ \sum_{k=1}^{\nu} \frac{\partial F_i}{\partial y_k}(\mathbf{a}) B_k \equiv 0 \pmod{p^{\alpha_i - \beta}} \right\} \\ \leq \sum_{\substack{\mathbf{B} \\ \forall k \leq \nu \ |B_k| < \lambda_k}} \# \left\{ \mathbf{a} : \forall k \leq \nu \ 0 < a_k \leq p^\beta, \forall i \leq m \ F_i(\mathbf{a}) \equiv 0 \pmod{p^\beta}, \right. \\ \left. \forall i > m \ F_i(\mathbf{a}) \equiv 0 \pmod{p^{\alpha_i}}, \forall i \leq m \ \sum_{k=1}^{\nu} B_k \frac{\partial F_i}{\partial y_k}(\mathbf{a}) \equiv 0 \pmod{p^{\alpha_i - \beta}} \right\}.$$

**4. Proof of Theorem 1.** Clearly in proving the theorem we may suppose that  $p > 2$ . We consider here the case  $r = 2$ .

It remains to consider the singular roots. Noting (11) we write

$$(13) \quad S_4 = S_5 + S_6,$$

where

$$S_5 = p^2 \sum_{\mathbf{m}} \#\{1 \leq x \leq p^3, 1 \leq z < p : x \not\equiv -m_i \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^3}, f'_{(z)}(x) \equiv 0 \pmod{p}, f''_{(z)}(x) \equiv 0 \pmod{p}\},$$

and

$$S_6 = p^2 \sum_{\mathbf{m}} \sum_{z=2}^{p-1} \#\{1 \leq x \leq p^3 : x \not\equiv -m_i \pmod{p}, f_{(z)}(x) \equiv 0 \pmod{p^3}, \\ f'_{(z)}(x) \equiv 0 \pmod{p}, f''_{(z)}(x) \not\equiv 0 \pmod{p}\}.$$

Clearly we have

$$S_5 \leq p^2 \sum_{\mathbf{m}} \#\{1 \leq x \leq p^3 : \\ (m_1 + m_2 - m_3 - m_4)x + (m_1m_2 - m_3m_4) \equiv 0 \pmod{p^3}, \\ (m_1 + m_2 - m_3 - m_4) \equiv 0 \pmod{p}\}.$$

Write

$$(14) \quad p^\delta = \text{highest common factor}(p^3, m_1 + m_2 - m_3 - m_4),$$

where  $1 \leq \delta \leq 3$ . For solubility of the congruence

$$(m_1 + m_2 - m_3 - m_4)x + (m_1m_2 - m_3m_4) \equiv 0 \pmod{p^3}$$

we require also

$$(15) \quad p^\delta \mid (m_1m_2 - m_3m_4).$$

The congruence then has at most  $p^\delta$  solutions satisfying  $1 \leq x \leq p^3$ . (14) and (15) imply

$$(m_1 - m_3)(m_2 - m_3) \equiv 0 \pmod{p^\delta}.$$

Suppose that  $p^\varepsilon \mid (m_1 - m_3)$  and  $p^{\delta-\varepsilon} \mid (m_2 - m_3)$ . Then the number of such  $\mathbf{m}$  is

$$O\left(h\left(1 + \frac{h}{p^\varepsilon}\right)\left(1 + \frac{h}{p^{\delta-\varepsilon}}\right)\left(1 + \frac{h}{p^\delta}\right)\right) = O\left(\frac{h^4}{p^{2\delta}} + h^2\right).$$

Thus

$$(16) \quad S_5 \ll p^2 \sum_{\delta, \varepsilon} p^\delta \left(\frac{h^4}{p^{2\delta}} + h^2\right) \ll ph^4 + p^5h^2.$$

On the other hand, we have

$$S_6 \ll p^2 \sum_{\mathbf{m}} \sum_{z=2}^{p-1} \#\{1 \leq x \leq p^3 : f_{(z)}(x) \equiv 0 \pmod{p^3}, \\ f'_{(z)}(x) \equiv 0 \pmod{p}, f''_{(z)}(x) \not\equiv 0 \pmod{p}\}.$$

Thus, by Lemma 1,

$$S_6 \ll p^3 \#\{\mathbf{m}, 1 < z < p : \exists x f_{(z)}(x) \equiv 0 \pmod{p^2}, f'_{(z)}(x) \equiv 0 \pmod{p}\}.$$

Thus

$$(17) \quad S_6 \ll p^3 \#\{\mathbf{m}, z, x : \forall i \ 0 < m_i \leq h, \ 0 < x \leq p, \ 0 < z < p, \\ f_{(z)}(x) \equiv 0 \pmod{p^2}, f'_{(z)}(x) \equiv 0 \pmod{p}\}.$$

Put

$$(18) \quad \lambda = \left\lceil \frac{h}{p} \right\rceil, \quad \mu = \begin{cases} p & \text{if } \lambda > 1, \\ h & \text{if } \lambda = 1. \end{cases}$$

Now we apply Lemma 3, treating  $x, z$  as constants and the  $m_i$  as our variables, to obtain

$$(19) \quad S_6 \ll p^3 \sum_{\substack{\mathbf{B} \\ \forall k \leq 4 |B_k| < \lambda}} \#\left\{ \mathbf{a}, x, z : \forall k \leq 4 \ 0 < a_k \leq \mu, \ 0 < x \leq p, \right. \\ \left. \begin{aligned} &0 < z < p, \ f_{(z)}(x) \equiv 0 \pmod{p}, \\ &f'_{(z)}(x) \equiv 0 \pmod{p}, \ \sum_{k=1}^4 B_k \frac{\partial f_{(z)}}{\partial m_k}(x) \equiv 0 \pmod{p} \end{aligned} \right\}$$

if  $\lambda > 1$ , while if  $\lambda = 1$  this follows immediately from (17).

Given  $\mathbf{B}, x, z, a_3, a_4$  we have, from (19),

$$f'_{(z)}(x) \equiv 2(1-z)x + (a_1 + a_2 - za_3 - za_4) \equiv 0 \pmod{p},$$

from which  $a_1 + a_2$  is determined modulo  $p$ . Then also from (19) we have

$$f_{(z)}(x) \equiv (1-z)x^2 + (a_1 + a_2 - za_3 - za_4)x + (a_1a_2 - za_3a_4) \equiv 0 \pmod{p},$$

and so  $a_1a_2$  is also determined modulo  $p$ . Thus there are at most two choices for  $a_1, a_2$ . Use these congruences to eliminate  $a_1, a_2$ . We have on writing

$$\pi_1 = a_1 + a_2, \quad \pi_2 = a_1a_2, \quad \varrho_1 = a_3 + a_4, \quad \varrho_2 = a_3a_4,$$

the identity

$$(\pi_1^2 - 4\pi_2)(B_1 - B_2)^2 - (2B_2a_1 + 2B_1a_2 - \pi_1(B_1 + B_2))^2 = 0.$$

Now from (19) we have

$$\begin{aligned} \sum_{k=1}^4 B_k \frac{\partial f_{(z)}(x)}{\partial m_k} &\equiv (B_1 + B_2 - zB_3 - zB_4)x \\ &\quad + (a_1B_2 + a_2B_1 - za_3B_4 - za_4B_3) \\ &\equiv 0 \pmod{p}. \end{aligned}$$

Thus eliminating  $a_1, a_2$  we have

$$(20) \quad (z^2 \varrho_1^2 - 4z\varrho_1(1-z)x - 4x^2z(1-z) - 4z\varrho_2)(B_1 - B_2)^2 - z^2(2(B_3a_4 + B_4a_3) + 2x(B_3 + B_4) - (\varrho_1 + 2x)(B_1 + B_2))^2 \equiv 0 \pmod{p}.$$

Thus from (19),

$$(21) \quad S_6 \ll p^3 \sum_{\mathbf{B}} \#\{x, z, a_3, a_4 : (z^2 \varrho_1^2 - 4z\varrho_1(1-z)x - 4x^2z(1-z) - 4z\varrho_2)(B_1 - B_2)^2 - z^2(2(B_3a_4 + B_4a_3) + 2x(B_3 + B_4) - (\varrho_1 + 2x)(B_1 + B_2))^2 \equiv 0 \pmod{p}\}.$$

By Lemma 2, for a given choice of  $\mathbf{B}$ , (20) has at most  $O(p^2\mu)$  solutions in  $x, z, a_3, a_4$ , unless this polynomial is identically zero modulo  $p$ . If the coefficient of  $za_3a_4$  is zero we have

$$-4(B_1 - B_2)^2 \equiv 0 \pmod{p},$$

and thus  $B_1 \equiv B_2 \pmod{p}$ . Under this condition if the coefficient of  $z^2a_3^2$  is zero we have

$$-(2B_4 - B_1 - B_2)^2 \equiv 0 \pmod{p},$$

and if the coefficient of  $z^2a_4^2$  is zero we have

$$-(2B_3 - B_1 - B_2)^2 \equiv 0 \pmod{p}.$$

Thus if the polynomial is identically zero modulo  $p$  we have

$$B_1 \equiv B_2 \equiv B_3 \equiv B_4 \pmod{p}.$$

Hence the number of such cases is  $O(\lambda(1 + \lambda/p)^3)$ .

Consequently, from (21) we have

$$S_6 \ll p^3 \left( \lambda^4 p^2 \mu + \left( \lambda + \frac{\lambda^4}{p^3} \right) p^2 \mu^2 \right) \ll p^2 h^4 + p^5 h^2.$$

The theorem follows from (12), (13) and (16).

**5. Introduction to proof of Theorem 2.** We may suppose that  $p > 2$ . We consider here the case  $r = 3$ . Noting (11) we write

$$(22) \quad S_7 = \sum_{\mathbf{m}} \#\{x, z : 0 < x \leq p^3, 0 < z < p, f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^3}, f'_{(z)}(x) \equiv 0 \pmod{p}, \\ \text{either } f'_{(z)} \not\equiv 0 \pmod{p^2} \text{ or } f''_{(z)}(x) \not\equiv 0 \pmod{p}\}$$

and

$$(23) \quad S_8 = \sum_{\mathbf{m}} \#\{x, z : 0 < x \leq p^3, 0 < z < p, f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^3}, f'_{(z)}(x) \equiv 0 \pmod{p^2}, f''_{(z)}(x) \equiv 0 \pmod{p}\}$$

so that

$$(24) \quad S_4 = p^2 S_7 + p^2 S_8.$$

We estimate  $S_7$  and  $S_8$  in Section 7.

We shall use also the polynomials  $g_i(x)$  given by

$$\forall i \leq 3 \quad g_i(x) = f_1(x)/(x + m_i), \quad \forall i \geq 4 \quad g_i(x) = f_2(x)/(x + m_i).$$

Thus we have, from (8),

$$f_{(z)}(x) = g_1(x)(x + m_1) - z^{p^2} g_4(x)(x + m_4)$$

and

$$f'_{(z)}(x) = g_1(x) + g_2(x) + g_3(x) - z^{p^2} g_4(x) - z^{p^2} g_5(x) - z^{p^2} g_6(x).$$

Write

$$C_1(\mathbf{m}) = g_1(x)(x + m_1) - z g_4(x)(x + m_4),$$

$$C_2(\mathbf{m}) = (2x + m_2 + m_3)(x + m_1) - z(2x + m_5 + m_6)(x + m_4) \\ + (g_1(x) - z g_4(x)),$$

$$C_3(\mathbf{m}) = 2(x + m_1) - 2z(x + m_4) \\ + ((4x + 2m_2 + 2m_3) - z(4x + 2m_5 + 2m_6)),$$

$$C_4(\mathbf{m}) = b_1 g_1(x) + b_2 g_2(x) + b_3 g_3(x) - b_4 z g_4(x) - b_5 z g_5(x) - b_6 z g_6(x) \\ = (b_2(x + m_3) + b_3(x + m_2))(x + m_1) \\ - z(b_5(x + m_6) + b_6(x + m_5))(x + m_4) + (b_1 g_1(x) - b_4 z g_4(x))$$

and

$$C_5(\mathbf{m}) = b_1(2x + m_2 + m_3) + b_2(2x + m_1 + m_3) + b_3(2x + m_1 + m_2) \\ - b_4 z(2x + m_5 + m_6) - b_5 z(2x + m_4 + m_6) \\ - b_6 z(2x + m_4 + m_5) \\ = (b_2 + b_3)(x + m_1) - z(b_5 + b_6)(x + m_4) \\ + (b_1(2x + m_2 + m_3) + b_2(x + m_3) + b_3(x + m_2) \\ - z b_4(2x + m_5 + m_6) - z b_5(x + m_6) - z b_6(x + m_5)).$$

We define  $\lambda$  and  $\mu$  by (18).

**6. Minor lemmas**

LEMMA 4. Write

$$(25) \quad D_1 = \begin{vmatrix} g_1(x) & -zg_4(x) \\ 2x + m_2 + m_3 & -z(2x + m_5 + m_6) \end{vmatrix}.$$

Then

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m}, x, z : 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p, \\ f_1(x)f_2(x) \not\equiv 0 \pmod{p}, C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv 0 \pmod{p}, D_1 \equiv 0 \pmod{p}\} \\ \ll p\mu^4\lambda^6.$$

PROOF. From  $C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv D_1 \equiv 0 \pmod{p}$  it follows that

$$g_1(x) \equiv zg_4(x) \pmod{p},$$

from which  $z$  is uniquely determined. Then from  $C_1(\mathbf{m}) \equiv 0 \pmod{p}$  it follows that  $m_1 = m_4$ . Finally,

$$D_1 = z((m_5 + m_6 - m_2 - m_3)x^2 + 2(m_5m_6 - m_2m_3)x + m_5m_6(m_2 + m_3) - m_2m_3(m_5 + m_6)),$$

so that, by Lemma 2,  $D_1/z \equiv 0 \pmod{p}$  has  $O(p\mu^3)$  solutions as a function of  $m_2, m_3, m_5, m_6, x$ . Thus the required estimate follows trivially.

LEMMA 5. Write

$$D_2 = \begin{vmatrix} m_2m_3 & -zm_5m_6 & 0 \\ m_2 + m_3 & -z(m_5 + m_6) & m_2m_3 - zm_5m_6 \\ b_2m_3 + b_3m_2 & -zb_5m_6 - zb_6m_5 & b_1m_2m_3 - b_4zm_5m_6 \end{vmatrix}.$$

Then

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda \\ D_2 \text{ identically } 0 \pmod{p}}} \#\{m_2, m_3, m_5, m_6, x, z : \\ 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p\} \\ \ll p^2\mu^4\lambda \left(\frac{\lambda}{p} + 1\right)^5.$$

PROOF. We have

$$D_2 = (b_6 - b_1)zm_2^2m_3^2m_5 + (b_5 - b_1)zm_2^2m_3^2m_6 + (b_1 - b_3)zm_2^2m_3m_5m_6 \\ + (b_1 - b_2)zm_2m_3^2m_5m_6 + (b_4 - b_6)z^2m_2m_3m_5^2m_6 \\ + (b_4 - b_5)z^2m_2m_3m_5m_6^2 + (b_3 - b_4)z^2m_2m_5^2m_6^2 \\ + (b_2 - b_4)z^2m_3m_5^2m_6^2.$$

This is identically  $0 \pmod{p}$  only if

$$b_1 \equiv b_2 \equiv b_3 \equiv b_4 \equiv b_5 \equiv b_6 \pmod{p}.$$

The required estimate follows trivially.

LEMMA 6. We have

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m}, x, z : 0 < m_i \leq \mu, 0 < x \leq p, \\ 0 < z < p, f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_3(\mathbf{m}) \equiv 0 \pmod{p}, D_1 \equiv 0 \pmod{p}\} \\ \ll p\mu^3\lambda^6,$$

where  $D_1$  is defined by (25).

PROOF. From  $C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv D_1 \equiv 0 \pmod{p}$  it follows that

$$g_1(x) \equiv zg_4(x) \pmod{p},$$

from which  $z$  is uniquely determined. Then from  $C_1(\mathbf{m}) \equiv 0 \pmod{p}$  it follows that  $m_1 = m_4$  and, since  $f_1(x)f_2(x) \not\equiv 0 \pmod{p}$ , from  $C_2(\mathbf{m}) \equiv 0 \pmod{p}$  that

$$(2x + m_2 + m_3) - z(2x + m_5 + m_6) \equiv 0 \pmod{p}.$$

Now substituting into  $C_3(\mathbf{m}) \equiv 0 \pmod{p}$  we obtain  $z = 1$  and so also  $m_2 + m_3 \equiv m_5 + m_6 \pmod{p}$ . But also we have  $g_1(x) \equiv g_4(x) \pmod{p}$  and so  $m_2m_3 \equiv m_5m_6 \pmod{p}$ . Thus  $m_2, m_3$  is a permutation of  $m_5, m_6$ . The required estimate follows trivially.

LEMMA 7. Write

$$D_3 = \begin{vmatrix} g_1(x) & g_4(x) & 0 \\ 2x + m_2 + m_3 & 2x + m_5 + m_6 & g_1(x) \\ 1 & 1 & 2x + m_2 + m_3 \end{vmatrix}$$

and

$$D_4 = \begin{vmatrix} g_1(x) & g_4(x) & 0 \\ 2x + m_2 + m_3 & 2x + m_5 + m_6 & g_4(x) \\ 1 & 1 & 2x + m_5 + m_6 \end{vmatrix}.$$

Then

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{m_2, m_3, m_5, m_6, x : \\ 0 < m_i \leq \mu, 0 < x \leq p, D_3 \equiv D_4 \equiv 0 \pmod{p}\} \\ \ll p\mu^2\lambda^6.$$

PROOF. The conditions  $D_3 \equiv D_4 \equiv 0 \pmod{p}$  expand to give

$$(g_1(x) - g_4(x))((2x + m_5 + m_6)(2x + m_2 + m_3) - g_1(x)) \\ - g_4(x)(m_2 + m_3 - m_5 - m_6)(2x + m_2 + m_3) \\ \equiv (g_1(x) - g_4(x))((2x + m_5 + m_6)^2 - g_4(x)) \\ - g_4(x)(m_2 + m_3 - m_5 - m_6)(2x + m_5 + m_6) \equiv 0 \pmod{p}.$$

These will have only  $O(1)$  solutions  $x$  unless both polynomials are identically 0 (mod  $p$ ). But in the first of these the coefficient of  $x^3$  is  $m_2 + m_3 - m_5 - m_6$ , and if this is 0 (mod  $p$ ) then the coefficient of  $x^2$  is  $3(m_2m_3 - m_5m_6)$ . Thus if both polynomials in  $x$  are identically 0 (mod  $p$ ) then the pair  $m_2, m_3$  is a permutation of  $m_5, m_6$ . This contributes

$$(26) \qquad \qquad \qquad \ll \lambda^6 p \mu^2$$

to our estimate.

Now consider the other case in which at least one of  $D_3$  and  $D_4$  is not identically 0 (mod  $p$ ). Then there are only  $O(1)$  values for  $x$ . The two polynomial congruences  $D_3 \equiv D_4 \equiv 0 \pmod{p}$  are cubics. The difference between these polynomials is

$$\begin{vmatrix} g_1(x) & g_4(x) & 0 \\ 2x + m_2 + m_3 & 2x + m_5 + m_6 & g_1(x) - g_4(x) \\ 1 & 1 & m_2 + m_3 - m_5 - m_6 \end{vmatrix}.$$

By row and column operations this simplifies to

$$\begin{vmatrix} m_2m_3 & m_5m_6 & 0 \\ m_2 + m_3 & m_5 + m_6 & m_2m_3 - m_5m_6 \\ 1 & 1 & m_2 + m_3 - m_5 - m_6 \end{vmatrix},$$

which is a polynomial in  $m_2, m_3, m_5, m_6$ . This polynomial is  $-1$  when  $m_2 = m_3 = 1, m_5 = m_6 = 0$ . Thus it is not identically 0 (mod  $p$ ) and so has  $O(\mu^3)$  solutions in  $m_2, m_3, m_5, m_6$ . Thus this contributes

$$(27) \qquad \qquad \qquad \ll \lambda^6 \mu^3$$

to our estimate. The lemma follows from (26) and (27).

LEMMA 8. Write

$$\begin{aligned} D_5 = & \begin{vmatrix} m_2m_3 & -m_5m_6 & 0 \\ m_2 + m_3 & -(m_5 + m_6) & m_2m_3 \\ b_2m_3 + b_3m_2 & -b_5m_6 - b_6m_5 & b_1m_2m_3 \end{vmatrix} \\ & \times \begin{vmatrix} m_2m_3 - m_5m_6 & m_5m_6 & 0 \\ m_2 + m_3 - m_5 - m_6 & m_5 + m_6 & m_5m_6 \\ 0 & 1 & m_5 + m_6 \end{vmatrix} \\ & - \begin{vmatrix} m_2m_3 & -m_5m_6 & 0 \\ m_2 + m_3 & -(m_5 + m_6) & m_5m_6 \\ b_2m_3 + b_3m_2 & -b_5m_6 - b_6m_5 & b_4m_5m_6 \end{vmatrix} \\ & \times \begin{vmatrix} m_2m_3 - m_5m_6 & m_5m_6 & 0 \\ m_2 + m_3 - m_5 - m_6 & m_5 + m_6 & m_2m_3 \\ 0 & 1 & m_2 + m_3 \end{vmatrix}. \end{aligned}$$

Then

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda \\ D_5 \text{ identically } 0 \pmod{p}}} \#\{m_2, m_3, m_5, m_6 : 0 < m_i \leq \mu\} \ll \mu^4 \lambda \left(\frac{\lambda}{p} + 1\right)^5.$$

Proof. Substitute  $m_6 = 0$  in  $D_5$  to obtain  $(b_6 - b_1)m_2^3 m_3^3 m_5^3$ . Thus if  $D_5$  is identically  $0 \pmod{p}$  then  $b_6 \equiv b_1 \pmod{p}$ . Similar arguments give

$$b_1 \equiv b_5 \equiv b_6, \quad b_2 \equiv b_3 \equiv b_4 \pmod{p}.$$

Substituting this in  $D_5$ , and putting  $m_2 = m_3 = m_5 = 1$  we obtain

$$-(b_4 - b_1)(1 - m_6)^2 m_6$$

also. The required estimate follows trivially.

### 7. Proof of Theorem 2

LEMMA 9. We have

$$S_7 \ll h^6 + p^3 h \mu^3,$$

where  $\mu$  is defined by (18).

Proof. From Lemma 1 applied to (22) it follows that

$$S_7 \ll p \sum_{\mathbf{m}} \#\{z : 0 < z < p, \exists x f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^2}, f'_{(z)}(x) \equiv 0 \pmod{p}\}.$$

We can rewrite this as

$$(28) \quad S_7 \ll p \sum_{x=1}^p \sum_{z=1}^{p-1} \#\{\mathbf{m} : f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^2}, f'_{(z)}(x) \equiv 0 \pmod{p}\},$$

say. Write

$$(29) \quad N = \#\{\mathbf{m} : f_1(x)f_2(x) \not\equiv 0 \pmod{p}, f_{(z)}(x) \equiv 0 \pmod{p^2}, \\ f'_{(z)}(x) \equiv 0 \pmod{p}\}.$$

Thus by Lemma 3,

$$(30) \quad N \ll \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m} : \forall i 0 < m_i \leq \mu, f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ 0 \equiv C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_4(\mathbf{m}) \pmod{p}\}$$

if  $\lambda > 1$ , and follows immediately from (29) if  $\lambda = 1$ . Thus from (28) we have

$$S_7 \ll p \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m}, x, z : 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p, \\ f_1(x)f_2(x) \not\equiv 0 \pmod{p}, 0 \equiv C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_4(\mathbf{m}) \pmod{p}\}.$$

But by Lemma 4,

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m}, x, z : 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p, \\ f_1(x)f_2(x) \not\equiv 0 \pmod{p}, 0 \equiv C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv D_1 \pmod{p}\} \\ \ll p\mu^4\lambda^6 \ll \frac{h^6}{p} + ph^4.$$

Thus

$$(31) \quad S_7 \ll \left( p \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#W_1 \right) + h^6 + p^2h^4,$$

where

$$W_1 = \{\mathbf{m}, x, z : 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p, \\ f_1(x)f_2(x) \not\equiv 0 \pmod{p}, 0 \equiv C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_4(\mathbf{m}) \not\equiv D_1 \pmod{p}\}.$$

Consider  $(\mathbf{m}, x, z) \in W_1$ . Given  $m_2, m_3, m_5, m_6, z, x$ , the values of  $m_1, m_4$  are uniquely determined by  $C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv 0 \pmod{p}$  since  $D_1 \not\equiv 0 \pmod{p}$ . Eliminating  $m_1, m_4$  from  $C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_4(\mathbf{m}) \equiv 0 \pmod{p}$  we obtain

$$D(x) = \begin{vmatrix} g_1(x) & -zg_4(x) & 0 \\ 2x + m_2 + m_3 & -z(2x + m_5 + m_6) & g_1(x) - zg_4(x) \\ b_2(x + m_3) + b_3(x + m_2) & -zb_5(x + m_6) - zb_6(x + m_5) & b_1g_1(x) - b_4zg_4(x) \end{vmatrix} \\ \equiv 0 \pmod{p}.$$

By Lemma 2 this has  $O(p^2\mu^3)$  solutions in  $m_2, m_3, m_5, m_6, z, x$  unless it is identically 0 (mod  $p$ ) as a polynomial in these variables. In the latter case  $D(0)$  will also be identically 0 (mod  $p$ ). However, we have

$$D(0) = \begin{vmatrix} m_2m_3 & -zm_5m_6 & 0 \\ m_2 + m_3 & -z(m_5 + m_6) & m_2m_3 - zm_5m_6 \\ b_2m_3 + b_3m_2 & -zb_5m_6 - zb_6m_5 & b_1m_2m_3 - b_4zm_5m_6 \end{vmatrix},$$

and by Lemma 5,

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m}_2, m_3, m_5, m_6, x, z : \\ D(0) \text{ identically } 0 \pmod{p} \quad 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p\} \\ \ll p^2\mu^4\lambda \left( \frac{\lambda}{p} + 1 \right)^5.$$

Thus, by (31),

$$S_7 \ll p \left( \lambda^6 p^2 \mu^3 + \lambda \left( \frac{\lambda}{p} + 1 \right)^5 p^2 \mu^4 \right) + h^6 + p^2 h^4 \ll h^6 + p^3 h \mu^3,$$

which completes the proof of the lemma.

LEMMA 10. *We have*

$$S_8 \ll h^6 + p^3 h \mu^3 + p^4 \mu^2.$$

Proof. We have, from (23),

$$S_8 = p^2 \sum_{\mathbf{m}} \#\{x, z : 0 < x \leq p, 0 < z < p, f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^3}, f'_{(z)}(x) \equiv 0 \pmod{p^2}, f''_{(z)}(x) \equiv 0 \pmod{p}\}.$$

Rewrite this as

$$(32) \quad S_8 \ll p^2 \sum_{x=1}^p \sum_{z=1}^{p-1} \#\{\mathbf{m} : f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ f_{(z)}(x) \equiv 0 \pmod{p^3}, f'_{(z)}(x) \equiv 0 \pmod{p^2}, f''_{(z)}(x) \equiv 0 \pmod{p}\}.$$

Write

$$N = \#\{\mathbf{m} : f_1(x)f_2(x) \not\equiv 0 \pmod{p}, f_{(z)}(x) \equiv 0 \pmod{p^2}, \\ f'_{(z)}(x) \equiv 0 \pmod{p^2}, f''_{(z)}(x) \equiv 0 \pmod{p}\}.$$

Define  $\lambda$  and  $\mu$  by (18). Thus by Lemma 3 we have

$$N \ll \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m} : \forall i 0 < m_i \leq \mu, f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ 0 \equiv C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_3(\mathbf{m}) \equiv C_4(\mathbf{m}) \equiv C_5(\mathbf{m}) \pmod{p}\}.$$

By Lemma 6,

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{\mathbf{m}, x, z : 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p, \\ f_1(x)f_2(x) \not\equiv 0 \pmod{p}, C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_3(\mathbf{m}) \equiv D_1 \equiv 0 \pmod{p}\} \\ \ll p\mu^3 \lambda^6 \ll \frac{h^6}{p^2} + ph^3.$$

Thus by (32) we have

$$(33) \quad S_8 \ll \left( p^2 \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#W_2 \right) + h^6 + p^3 \mu^3,$$

where

$$W_2 = \{\mathbf{m}, x, z : 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p, \\ f_1(x)f_2(x) \not\equiv 0 \pmod{p}, \\ 0 \equiv C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_3(\mathbf{m}) \equiv C_4(\mathbf{m}) \equiv C_5(\mathbf{m}) \not\equiv D_1 \pmod{p}\}.$$

Consider  $(\mathbf{m}, x, z) \in W_2$ . Given  $m_2, m_3, m_5, m_6, z, x$ , the values of  $m_1, m_4$  are uniquely determined by  $C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv 0 \pmod{p}$  since  $D_1 \not\equiv 0$

(mod  $p$ ). Eliminating  $m_1, m_4$  from  $C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_4(\mathbf{m}) \equiv 0 \pmod{p}$  we obtain

(34)

$$E_1 = \begin{vmatrix} g_1(x) & -g_4(x) & 0 \\ 2x + m_2 + m_3 & -(2x + m_5 + m_6) & g_1(x) - zg_4(x) \\ b_2(x + m_3) + b_3(x + m_2) & -b_5(x + m_6) - b_6(x + m_5) & b_1g_1(x) - b_4zg_4(x) \end{vmatrix} \equiv 0 \pmod{p}.$$

Also eliminating  $m_1, m_4$  from  $C_1(\mathbf{m}) \equiv C_2(\mathbf{m}) \equiv C_3(\mathbf{m}) \equiv 0 \pmod{p}$  we obtain

(35)

$$E_2 = \begin{vmatrix} g_1(x) & -g_4(x) & 0 \\ 2x + m_2 + m_3 & -(2x + m_5 + m_6) & g_1(x) - zg_4(x) \\ 2 & -2 & 2((2x + m_2 + m_3) - z(2x + m_5 + m_6)) \end{vmatrix} \equiv 0 \pmod{p},$$

which can be rewritten as

$$(36) \quad D_3 \equiv zD_4 \pmod{p}.$$

But by Lemma 7,

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{m_2, m_3, m_5, m_6, x : 0 < m_i \leq \mu, 0 < x \leq p, D_3 \equiv D_4 \equiv 0 \pmod{p}\} \ll p\mu^2\lambda^6 \ll \frac{h^6}{p^3} + p\mu^2.$$

Thus, by (33),

$$(37) \quad S_8 \ll p^2 \left( \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#W_3 \right) + h^6 + p^4\mu^2,$$

where

$$W_3 = \{m_2, m_3, m_5, m_6, x, z : 0 < m_i \leq \mu, 0 < x \leq p, 0 < z < p, E_1 \equiv 0 \pmod{p}, D_3 \equiv zD_4 \pmod{p}, D_3, D_4 \text{ not both } 0 \pmod{p}\}.$$

Now, for  $(m_2, m_3, m_5, m_6, x, z) \in W_3$ ,  $z$  is uniquely determined by (36). Also (34) can be rewritten as

$$D_6 \equiv zD_7 \pmod{p}$$

where

$$D_6 = \begin{vmatrix} g_1(x) & -g_4(x) & 0 \\ 2x + m_2 + m_3 & -(2x + m_5 + m_6) & g_1(x) \\ b_2(x + m_3) + b_3(x + m_2) & -b_5(x + m_6) - b_6(x + m_5) & b_1g_1(x) \end{vmatrix}$$

and

$$D_7 = \begin{vmatrix} g_1(x) & -g_4(x) & 0 \\ 2x + m_2 + m_3 & -(2x + m_5 + m_6) & g_4(x) \\ b_2(x + m_3) + b_3(x + m_2) & -b_5(x + m_6) - b_6(x + m_5) & b_4g_4(x) \end{vmatrix}.$$

Thus, by (37), we have

$$(38) \quad S_8 \ll p^2 \left( \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#W_4 \right) + h^6 + p^4\mu^2,$$

where

$$W_4 = \{m_2, m_3, m_5, m_6, x : 0 < m_i \leq \mu, 0 < x \leq p, D_3D_7 \equiv D_4D_6 \pmod{p}\}.$$

Write

$$H(x) = D_3D_7 - D_4D_6.$$

Then  $H(0) = D_5$  and so  $H(x)$  can be identically 0 (mod  $p$ ) only if  $D_5$  is. But by Lemma 8,

$$\sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda}} \#\{m_2, m_3, m_5, m_6 : 0 < m_i \leq \mu, H(x) \text{ is identically } 0 \pmod{p}\} \ll \mu^4 \lambda \left(\frac{\lambda}{p} + 1\right)^5 \ll \frac{h^6}{p^7} + h\mu^3.$$

Thus by (38)

$$(39) \quad S_8 \ll p^2 \left( \sum_{\substack{\mathbf{b} \\ \forall i |b_i| < \lambda \\ H(x) \text{ not identically } 0 \pmod{p}}} \#W_5 \right) + h^6 + p^3h\mu^3 + p^4\mu^2,$$

where

$$W_5 = \{m_2, m_3, m_5, m_6, x : 0 < m_i \leq \mu, 0 < x \leq p, H(x) \equiv 0 \pmod{p}\}.$$

By Lemma 2,

$$\#W_5 \ll p\mu^3,$$

and thus by (39),

$$S_8 \ll p^3\mu^3\lambda^6 + p^4\mu^2 + h^6 + p^3h\mu^3 \ll h^6 + p^3h\mu^3 + p^4\mu^2,$$

which completes the proof of the lemma.

Proof of Theorem 2. Follows from Lemmas 9 and 10.

**8. Corollaries.** In [3] it was shown that from (1) it follows that

$$\left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll H^{1/2}k^{3/16+\epsilon}.$$

More generally, in [3] it was shown that if  $k$  is cubefree and  $r \geq 2$  then

$$\left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll H^{1-1/r} k^{(r+1)/(4r^2)+\varepsilon}.$$

It would follow from (3) that

$$\sum_{\text{primitive } \chi} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll k k^{1/4+\varepsilon} H^{1/4}.$$

Similarly from (4) it would follow that

$$\sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2}=\chi_0 \\ \chi \neq \chi_0}} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll p^2 p^{1/2+\varepsilon} H^{1/2}.$$

This estimate is improved by the following corollaries.

**COROLLARY 1.** *If  $H \leq p^{3/2}$  then*

$$\sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2}=\chi_0 \\ \chi \neq \chi_0}} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll p^2 p^{3/4} H^{1/4}.$$

**Proof.** From the proof of Lemma 2 of [5] we see that if  $2^\nu < p^3$  then

$$\begin{aligned} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| &\leq 2 + H^{3/4} 2^{-\nu} \left( \sum_{m=1}^{p^3} \left| \sum_{x=m+1}^{x+2^\nu} \chi(x) \right|^4 \right)^{1/4} \\ &\quad + \sum_{\mu=0}^{\nu-1} 2^{-\mu/4} \left( \sum_{m=1}^{p^3} \left| \sum_{x=m+1}^{x+2^\mu} \chi(x) \right|^4 \right)^{1/4}. \end{aligned}$$

Choose  $H/2 < 2^\nu < H$ . Then we have

$$\begin{aligned} \sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2}=\chi_0 \\ \chi \neq \chi_0}} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| &\ll p^2 + H^{3/4} 2^{-\nu} \sum_{\chi} \left( \sum_{m=1}^{p^3} \left| \sum_{x=m+1}^{x+2^\nu} \chi(x) \right|^4 \right)^{1/4} \\ &\quad + \sum_{\mu=0}^{\nu-1} 2^{-\mu/4} \sum_{\chi} \left( \sum_{m=1}^{p^3} \left| \sum_{x=m+1}^{x+2^\mu} \chi(x) \right|^4 \right)^{1/4} \end{aligned}$$

$$\begin{aligned} &\ll p^2 + H^{3/4}2^{-\nu}p^{3/2} \left( \sum_{\chi} \sum_{m=1}^{p^3} \left| \sum_{x=m+1}^{x+2^\nu} \chi(x) \right|^4 \right)^{1/4} \\ &\quad + \sum_{\mu=0}^{\nu-1} 2^{-\mu/4} p^{3/2} \left( \sum_{\chi} \sum_{m=1}^{p^3} \left| \sum_{x=m+1}^{x+2^\mu} \chi(x) \right|^4 \right)^{1/4} \\ &\ll p^2 + H^{3/4}2^{-\nu}p^{3/2} (p^2 2^{4\nu} + p^5 2^{2\nu})^{1/4} \\ &\quad + \sum_{\mu=0}^{\nu-1} 2^{-\mu/4} p^{3/2} (p^2 2^{4\mu} + p^5 2^{2\mu})^{1/4} \\ &\ll H^{1/4} p^{11/4}. \end{aligned}$$

COROLLARY 2. If  $H \geq p^{3/2}$  then

$$\sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2} = \chi_0 \\ \chi \neq \chi_0}} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll p^2 p^{3/8+\delta} H^{1/2}.$$

Proof. From Lemma 6 of [3], with  $p^{3/2} < 2^\nu \leq 2p^{3/2}$ , it follows that for  $p^{3/2+\delta} \leq H \leq p^{9/4-\delta}$  there is an  $h$  satisfying  $1 \leq h \leq 2^\nu$  for which

$$\begin{aligned} &\left| \sum_{x=N+1}^{N+H} \chi(x) \right| \\ &\ll \max \left( H^{1/2} p^{-3/4} h^{-1/4} (\log p) \left( \sum_{x=1}^{p^3} \left| \sum_{m=1}^h \chi(x+m) \right|^4 \right)^{1/4}, H p^{-3/2} \right). \end{aligned}$$

From this it follows that

$$\begin{aligned} &\sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2} = \chi_0 \\ \chi \neq \chi_0}} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| \\ &\ll \sum_{\mu=0}^{\nu} H^{1/2} p^{-3/4} 2^{-\mu/4} (\log p) p^{3/2} (p^2 2^{4\mu} + p^5 2^{2\mu})^{1/4} + H p^{1/2} \\ &\ll p^2 p^{3/8} H^{1/2} \log p. \end{aligned}$$

COROLLARY 3. If  $p < H < p^{6/5}$  then

$$\sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2} = \chi_0 \\ \chi \neq \chi_0}} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll p^2 p^{1+\epsilon}.$$

PROOF. Choose  $H/2 < 2^\nu \leq H$  and apply Theorem 2 in the proof of Corollary 1.

COROLLARY 4. *If  $H \geq p^{6/5}$  then*

$$\sum_{\substack{\chi \bmod p^3 \\ \chi^{p^2} = \chi_0 \\ \chi \neq \chi_0}} \left| \sum_{x=N+1}^{N+H} \chi(x) \right| \ll p^2 H^{2/3} p^{1/5+\varepsilon}.$$

PROOF. Choose  $p^{6/5} < 2^\nu \leq 2p^{6/5}$  and apply Theorem 2 in the proof of Corollary 2.

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