## Zero density estimates of *L*-functions associated with cusp forms

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**1.** Introduction. Let k be a positive even integer, and  $f(z) = \sum_{n=1}^{\infty} a(n)e^{2\pi i n z}$  a holomorphic cusp form of weight k with respect to  $\Gamma = SL_2(Z)$ . We denote by  $S_k(\Gamma)$  the space of those functions. Let q be a positive integer, and  $\chi$  a Dirichlet character mod q. Let  $s = \sigma + it$  be a complex variable. We define the L-function by

$$L_f(s,\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)a(n)}{n^s}$$

for  $\sigma > (k+1)/2$ . Denote by  $\chi^*$  the primitive character mod  $q_1$  inducing  $\chi$ . It is known that the function  $L_f(s, \chi^*)$  has an analytic continuation to the whole complex plane and satisfies the functional equation (see [5])

$$\left(\frac{2\pi}{q_1}\right)^{-s} \Gamma(s) L_f(s,\chi^*) = i^k \left(\frac{W(\chi^*)}{|W(\chi^*)|}\right)^2 \left(\frac{2\pi}{q_1}\right)^{s-k} \Gamma(k-s) L_f(k-s,\overline{\chi^*}),$$

where  $W(\chi^*)$  is Gaussian sum and  $\Gamma(s)$  is the gamma function. Moreover, if the cusp form f is the normalized eigenform, that is, the eigenfunction of all Hecke operators with a(1) = 1, then a(n)'s are real numbers and  $L_f(s, \chi)$ has the Euler product expansion

$$L_f(s,\chi) = \prod_p (1 - \chi(p)a(p)p^{-s} + \chi(p)^2 p^{k-1-2s})^{-1}$$

for  $\sigma > (k+1)/2$ , where the product runs over all prime numbers. Therefore,  $L_f(s,\chi)$  has the representation

(1) 
$$L_f(s,\chi) = L_f(s,\chi^*) \prod_{p|q} (1-\chi^*(p)a(p)p^{-s}+\chi^*(p)^2p^{k-1-2s}),$$

and (1) gives the analytic continuation of  $L_f(s, \chi)$  to the whole complex plane for every  $\chi$ . We can also see that  $L_f(s, \chi)$  has no zeros for  $\sigma > (k+1)/2$ ,

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has simple zeros at non-positive integers, and has no zeros for  $\sigma < (k-1)/2$ except non-positive integers. We call zeros at non-positive integers *trivial*, and those lying in  $(k-1)/2 \le \sigma \le (k+1)/2$  non-trivial. Since a(n)'s are real, we have the relation  $\overline{L_f(\overline{s}, \overline{\chi})} = L_f(s, \chi)$  for any s. If  $\chi$  is a primitive character, from this relation and the functional equation, non-trivial zeros of  $L_f(s, \chi)$  are distributed symmetrically with respect to the line  $\sigma = k/2$ . In case  $\chi$  is an imprimitive character, non-trivial zeros of  $L_f(s, \chi)$  are those of  $L_f(s, \chi^*)$  and infinite zeros on  $\sigma = (k-1)/2$  which are coming from the finite products in (1).

For the purpose of counting the number of non-trivial zeros, we define

 $N_f(T,\chi) = \sharp\{\varrho = \beta + i\gamma \mid L_f(\varrho,\chi) = 0, (k-1)/2 \le \beta \le (k+1)/2, -T \le \gamma \le T\},$   $N_f(\sigma_0, T,\chi) = \sharp\{\varrho = \beta + i\gamma \mid L_f(\varrho,\chi) = 0, \sigma_0 \le \beta \le (k+1)/2, -T \le \gamma \le T\}$ for  $\sigma_0 \ge k/2$ . We can show the following results by modifying the proof for the case of Dirichlet *L*-functions in an obvious way (see [1]). We have

(2) 
$$N_f(T+1,\chi) - N_f(T-1,\chi) \le C \log(q(T+2))$$

for any  $T \ge 1$  and some positive constant C. We also have

$$N_f(T,\chi) = \frac{2T}{\pi} \log \frac{T}{2\pi} + O(T\log(q+1)), \quad T \to \infty,$$

uniformly in q. In particular, for a primitive character  $\chi$ ,

$$N_f(T,\chi) = \frac{2T}{\pi} \log \frac{qT}{2\pi} - \frac{2T}{\pi} + O(\log(qT)), \quad T \to \infty,$$

uniformly in q.

The purpose of this paper is to show the following theorem.

THEOREM 1. Let  $f \in S_k(\Gamma)$  be the normalized eigenform and  $\chi$  a Dirichlet character mod q. If  $q \ll T$ , then

(3) 
$$\sum_{\chi} N_f(\sigma_0, T, \chi) \ll (qT)^{\frac{k+1-2\sigma_0}{k/2+1-\sigma_0}} (\log(qT))^{69}, \quad T \to \infty,$$

uniformly in  $\sigma_0$  and q for  $k/2 + 1/\log(qT) \le \sigma_0 \le k/2 + 1/3$ , and

(4) 
$$\sum_{\chi} N_f(\sigma_0, T, \chi) \ll (qT)^{3(k+1-2\sigma_0)/2} (\log(qT))^{100}, \quad T \to \infty,$$

uniformly in  $\sigma_0$  and q for  $k/2 + 1/3 \leq \sigma_0 \leq (k+1)/2$ , where  $\sum_{\chi}$  means a sum running over all Dirichlet characters mod q.

Specialising q = 1 in Theorem 1, we have

$$N_f(\sigma_0, T, \chi_0) \ll T^{\frac{k+1-2\sigma_0}{k/2+1-\sigma_0}} (\log T)^{69}, \quad T \to \infty,$$

uniformly for  $k/2 + 1/\log T \le \sigma_0 \le k/2 + 1/3$ ,

$$N_f(\sigma_0, T, \chi_0) \ll T^{3(k+1-2\sigma_0)/2} (\log T)^{100}, \quad T \to \infty$$

uniformly for  $k/2 + 1/3 \le \sigma_0 \le (k+1)/2$ , where  $\chi_0$  is the trivial character. As regards the estimate of  $N_f(\sigma_0, T, \chi_0)$ , Ivić has shown in [4] that

$$N_f(\sigma_0, T, \chi_0) \ll T^{\frac{k+1-2\sigma_0}{k/2+1-\sigma_0}+\varepsilon}, \quad T \to \infty,$$

for  $k/2 \le \sigma_0 \le k/2 + 1/4$ ,

$$N_f(\sigma_0, T, \chi_0) \ll T^{\frac{k+1-2\sigma_0}{\sigma_0-(k-1)/2}+\varepsilon}, \quad T \to \infty$$

for  $k/2 + 1/4 \le \sigma_0 \le (k+1)/2$ , and also has shown sharper bounds when  $\sigma_0$  is near (k+1)/2. Therefore, Theorem 1 is a natural extension of Ivić's results for  $k/2 + 1/\log T \le \sigma_0 \le k/2 + 1/4$ .

Theorem 1 is an analogue of zero density estimates of Dirichlet L-functions by Montgomery [6]. Montgomery used the estimate of the mean fourth power of Dirichlet L-functions on the critical line for this problem. Since the corresponding fourth power result is not known in our case, we shall use the mean square of  $L_f(s, \chi)$  to prove Theorem 1 (see Theorem 2 in Section 3). To estimate the mean square of  $L_f(s, \chi)$ , we reduce the problem to the study of the mean square of the Dirichlet polynomial by using the approximate functional equation of  $L_f(s, \chi)$ , which is proved by applying the method of Good [3].

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2. The approximate functional equation. Throughout this section, we suppose f is in  $S_k(\Gamma)$  and  $\chi$  is a primitive character mod q. We shall prove the approximate functional equation of  $L_f(s, \chi)$  whose implied constant is uniform in q, following the method of Good [3].

Rankin has shown in [7] that

$$\sum_{n \le x} |a(n)|^2 = Cx^k + O(x^{k-2/5}), \quad x \to \infty$$

where C is a positive constant depending on k. By Cauchy's inequality,

$$\sum_{n\leq x} |a(n)| \ll x^{(k+1)/2}, \quad x\to\infty,$$

hence we obtain the following lemma by partial summation.

LEMMA 1. Let  $\sigma$  be a real number. Then

(5) 
$$\sum_{n \le x} |a(n)| n^{-\sigma} \ll x^{(k+1)/2-\sigma}, \quad x \to \infty,$$

uniformly for  $\sigma \leq \sigma_1 < (k+1)/2$ , and

(6) 
$$\sum_{n \le x} |a(n)|^2 n^{-2\sigma} \\ \ll \begin{cases} x^{k-2\sigma} & \text{uniformly for } \sigma \le \sigma_2 < k/2, \\ \log x & \text{uniformly for } k/2 - 1/\log x \le \sigma \le k/2 + 1/\log x, \end{cases}$$

where  $\sigma_1$  and  $\sigma_2$  are constants.

Following the notation in [3], let  $\varphi(\varrho)$  be a real-valued function in  $[0, \infty)$  which is infinitely differentiable and satisfies  $\varphi(\varrho) = 1$  for  $0 \le \varrho \le 1/2$  and  $\varphi(\varrho) = 0$  for  $\varrho \ge 2$ . We denote by  $\Phi$  the set of those functions. The function  $\varphi_0(\varrho) = 1 - \varphi(1/\varrho)$  is also an element of  $\Phi$ . For  $\varphi$  in  $\Phi$  and for a complex variable w = u + iv with u > 0, let

$$K_{\varphi}(w) = w \int_{0}^{\infty} \varphi(\varrho) \varrho^{w-1} \, d\varrho.$$

The function  $K_{\varphi}(w)$  has an analytic continuation to the whole complex *w*-plane, because the relation

$$K_{\varphi}(w) = -\int_{1/2}^{2} \varphi'(\varrho) \varrho^{w} \, d\varrho$$

can be verified by integration by parts. Let  $\varphi^{(j)}$  denote the *j*th derivative of  $\varphi$  and define

$$\|\varphi^{(j)}\|_1 = \int_0^\infty |\varphi^{(j)}(\varrho)| \, d\varrho.$$

For  $\tau > 0, t \neq 0$ , and j = 0, 1, ..., let

$$\gamma_j(s,\tau) = \frac{1}{2\pi i \Gamma(s)} \int_{\mathcal{F}} \Gamma(s+w) \frac{\left(\tau \exp\left(-i\frac{\pi}{2}\operatorname{sgn}(t)\right)\right)^w}{w(w+1)\dots(w+j)} \, dw,$$

where  $\operatorname{sgn}(t) = t/|t|$  and  $\int_{\mathcal{F}}$  means that integration is taken over the curve  $\mathcal{F}$  which encircles  $w = 0, -1, \ldots, -j$ . If j = 0, it is easy to see that  $\gamma_0(s, \tau) = 1$  for any s. In case  $j \neq 0$ , it was shown in [3] that

(7) 
$$\gamma_j(s, |t|^{-1}) \ll \begin{cases} |t|^{-(j+1)/2} & \text{for odd } j, \\ |t|^{-j/2} & \text{for even } j, \end{cases}$$

uniformly for  $\sigma$  which is in a fixed strip. For x > 0 and  $\varphi$  in  $\Phi$ , let

$$G_f(s, x; \varphi, \chi) = \frac{1}{2\pi i \Gamma(s)} \int_{(k/2+1-\sigma)} \Gamma(s+w) L_f(s+w, \chi) \frac{K_{\varphi}(w)}{w} \times \left(\frac{qx}{2\pi} \exp\left(-i\frac{\pi}{2}\operatorname{sgn}(t)\right)\right)^w dw,$$

where  $\int_{(k/2+1-\sigma)}$  means that integration is taken over the vertical line  $u = k/2 + 1 - \sigma$ .

We can derive the following lemma by modifying Satz of [3].

LEMMA 2. Let x > 0,  $\varphi \in \Phi$ ,  $f \in S_k(\Gamma)$ , and  $\chi$  a primitive character mod q. Then the following properties hold.

(a) For 
$$(k-1)/2 \le \sigma \le (k+1)/2$$
,  
 $\left(\frac{2\pi}{q}\right)^{-s} \Gamma(s) L_f(s,\chi) = \left(\frac{2\pi}{q}\right)^{-s} \Gamma(s) G_f(s,x;\varphi,\chi)$   
 $+ i^k \left(\frac{W(\chi)}{|W(\chi)|}\right)^2 \left(\frac{2\pi}{q}\right)^{s-k} \Gamma(k-s)$   
 $\times G_f(k-s,x^{-1};\varphi_0,\overline{\chi}).$ 

(b) Let  $y = qx|t|/(2\pi)$  and l an integer with l > (k+1)/2. For  $|t| > l^2$ ,

$$G_f(s, x; \varphi, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)a(n)}{n^s} \sum_{j=0}^{l} \varphi^{(j)} \left(\frac{n}{y}\right) \left(-\frac{n}{y}\right)^j \gamma_j(s, |t|^{-1}) + O(\|\varphi^{(l+1)}\|_1 y^{(k+1)/2-\sigma} |t|^{-l/2}),$$

where the implied constant is uniform in  $\sigma$ ,  $\varphi$ , and q for  $(k-1)/2 \leq \sigma \leq (k+1)/2$ .

Put x = 1 and  $y = q|t|/(2\pi)$  in Lemma 2. Then we have

$$L_f(s,\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)a(n)}{n^s} \varphi\left(\frac{n}{y}\right) + i^k \left(\frac{W(\chi)}{|W(\chi)|}\right)^2 \left(\frac{2\pi}{q}\right)^{2s-k} \frac{\Gamma(k-s)}{\Gamma(s)} \sum_{n=1}^{\infty} \frac{\overline{\chi}(n)a(n)}{n^{k-s}} \varphi_0\left(\frac{n}{y}\right) + R(s),$$

where

$$\begin{split} R(s) &= \sum_{n=1}^{\infty} \frac{\chi(n)a(n)}{n^s} \sum_{j=1}^{l} \varphi^{(j)} \left(\frac{n}{y}\right) \left(-\frac{n}{y}\right)^j \gamma_j(s, |t|^{-1}) \\ &+ i^k \left(\frac{W(\chi)}{|W(\chi)|}\right)^2 \left(\frac{2\pi}{q}\right)^{2s-k} \frac{\Gamma(k-s)}{\Gamma(s)} \\ &\times \sum_{n=1}^{\infty} \frac{\overline{\chi}(n)a(n)}{n^{k-s}} \sum_{j=1}^{l} \varphi_0^{(j)} \left(\frac{n}{y}\right) \left(-\frac{n}{y}\right)^j \gamma_j(k-s, |t|^{-1}) \\ &+ O(\|\varphi^{(l+1)}\|_1 y^{(k+1)/2-\sigma} |t|^{-l/2}) + O(\|\varphi_0^{(l+1)}\|_1 y^{(k+1)/2-\sigma} |t|^{-l/2}). \end{split}$$

Now we fix a  $\varphi$ . By (5) and (7), we have

$$\begin{split} R(s) \ll \sum_{j=1}^{l} |\gamma_j(s, |t|^{-1})| \sum_{n \le 2y} \frac{|a(n)|}{n^{\sigma}} \left(\frac{n}{q|t|}\right)^j \\ &+ \left| \left(\frac{2\pi}{q}\right)^{2s-k} \frac{\Gamma(k-s)}{\Gamma(s)} \right| \sum_{j=1}^{l} |\gamma_j(k-s, |t|^{-1})| \sum_{n \le 2y} \frac{|a(n)|}{n^{k-\sigma}} \left(\frac{n}{q|t|}\right)^j \\ &+ (q|t|)^{(k+1)/2-\sigma} |t|^{-l/2} \\ \ll (q|t|)^{(k+1)/2-\sigma} |t|^{-1}. \end{split}$$

Therefore we have

LEMMA 3. Let  $\varphi \in \Phi$ ,  $f \in S_k(\Gamma)$ ,  $\chi$  a primitive character mod q, and  $\kappa = 2\pi/q$ . Then

$$L_f(s,\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)a(n)}{n^s} \varphi\left(\frac{\kappa n}{|t|}\right) + i^k \left(\frac{W(\chi)}{|W(\chi)|}\right)^2 \kappa^{2s-k} \frac{\Gamma(k-s)}{\Gamma(s)} \sum_{n=1}^{\infty} \frac{\overline{\chi}(n)a(n)}{n^{k-s}} \varphi_0\left(\frac{\kappa n}{|t|}\right) + O((q|t|)^{(k+1)/2-\sigma} |t|^{-1}),$$

where the implied constant is uniform in  $\sigma$  and q for  $(k-1)/2 \leq \sigma \leq (k+1)/2$ .

**3. The mean square of**  $L_f(s, \chi)$ **.** Throughout this section, we suppose f is in  $S_k(\Gamma)$  and  $\chi$  is a Dirichlet character mod q. The aim of this section is to estimate the mean square

$$\sum_{\chi} \int_{-T}^{*} \int_{-T}^{T} |L_f(\sigma + it, \chi)|^2 dt$$

uniformly in  $\sigma$  and q for  $k/2 - 1/\log(qT) \le \sigma \le k/2 + 1/\log(qT)$ , where  $\sum_{\chi}^{*}$  means a sum running over all primitive characters mod q.

We need the following lemmas.

LEMMA 4. Let  $0 < \delta < \delta_1$ , and let  $\varphi(\varrho)$  be a real-valued function in  $[0,\infty)$  which is twice continuously differentiable and satisfies  $\varphi(\varrho) = 1$  for  $0 \leq \varrho \leq \delta$  and  $\varphi(\varrho) = 0$  for  $\varrho \geq \delta_1$ . Let m and n be positive integers,  $\kappa$  and T positive real numbers, and  $\beta$  a real number which satisfies  $-1 < A \leq \beta \leq B < 1$  for some constants A, B. Then

$$\int_{0}^{T} \varphi\left(\frac{\kappa n}{t}\right) \varphi\left(\frac{\kappa m}{t}\right) t^{-\beta} \cos\left(t \log \frac{n}{m}\right) dt$$

$$= \begin{cases} 0 & \text{for } m \ge T\delta_1/\kappa \text{ or } n \ge T\delta_1/\kappa, \\ O(T^{1-\beta}) & \text{for } m = n < T\delta/\kappa, \\ O((\kappa n)^{1-\beta}) & \text{for } m = n \ge T\delta/\kappa, \\ \frac{1}{\log \frac{n}{m}} \sin\left(T \log \frac{n}{m}\right) \varphi\left(\frac{\kappa n}{T}\right) \varphi\left(\frac{\kappa m}{T}\right) T^{-\beta} + O\left(\frac{(\kappa \max(n,m))^{-\beta-1}}{(\log(n/m))^2}\right) \\ & \text{for } m \neq n \end{cases}$$

where the implied constants are uniform in  $m, n, \kappa$ , and  $\beta$ .

It is easy to prove Lemma 4 by modifying the proof of Lemma 7 of [3].

LEMMA 5. Let  $f \in S_k(\Gamma)$  and  $\chi$  a Dirichlet character mod q. Let  $\varepsilon$  be a positive real number and assume  $(k - \varepsilon)/2 < \sigma < (k + \varepsilon)/2$ . If  $|t| \leq C$  for some positive constant C, then

$$\sum_{\chi}^{*} |L_f(s,\chi)|^2 \ll_{\varepsilon,C} \phi(q) q^{k-2\sigma+2\varepsilon} \Big( \int_{1}^{\infty} u^{2\sigma-k-1-\varepsilon} \, du + \int_{1}^{\infty} u^{k-2\sigma-1-\varepsilon} \, du \Big)$$

uniformly in  $\sigma$  and q, where  $\phi$  is the Euler function.

Proof. By the automorphic property of  $\sum_{n=1}^{\infty} \chi(n) a(n) e^{2\pi i n z}$ , which is the twist of f by the primitive character  $\chi$ ,

$$\left(\frac{2\pi}{q}\right)^{-s} \Gamma(s) L_f(s,\chi)$$

$$= \int_0^\infty u^{s-1} \sum_{n=1}^\infty \chi(n) a(n) e^{-2\pi n u/q} du$$

$$= \int_1^\infty u^{s-1} \sum_{n=1}^\infty \chi(n) a(n) e^{-2\pi n u/q} du$$

$$+ i^k \left(\frac{W(\chi)}{|W(\chi)|}\right)^2 \int_1^\infty u^{k-s-1} \sum_{n=1}^\infty \overline{\chi}(n) a(n) e^{-2\pi n u/q} du.$$

Hence

$$\left(\frac{2\pi}{q}\right)^{-\sigma} |\Gamma(s)| \cdot |L_f(s,\chi)| \le \int_1^\infty u^{\sigma-1} \left| \sum_{n=1}^\infty \chi(n) a(n) e^{-2\pi n u/q} \right| du + \int_1^\infty u^{k-\sigma-1} \left| \sum_{n=1}^\infty \overline{\chi}(n) a(n) e^{-2\pi n u/q} \right| du$$

By squaring both sides above and taking  $\sum_{\chi}^{*}$ , we have

$$(8) \quad \frac{1}{2} \left(\frac{2\pi}{q}\right)^{-2\sigma} |\Gamma(s)|^2 \sum_{\chi}^* |L_f(s,\chi)|^2$$
$$\leq \sum_{\chi}^* \left(\int_1^\infty u^{\sigma-1} \Big| \sum_{n=1}^\infty \chi(n)a(n)e^{-2\pi nu/q} \Big| du \right)^2$$
$$+ \sum_{\chi}^* \left(\int_1^\infty u^{k-\sigma-1} \Big| \sum_{n=1}^\infty \overline{\chi}(n)a(n)e^{-2\pi nu/q} \Big| du \right)^2.$$

Let  $\alpha$  be real. By Cauchy's inequality,

$$\begin{split} \sum_{\chi}^{*} \left( \int_{1}^{\infty} u^{\alpha-1} \Big| \sum_{n=1}^{\infty} \chi(n) a(n) e^{-2\pi n u/q} \Big| \, du \right)^{2} \\ &\leq \sum_{\chi}^{*} \int_{1}^{\infty} u^{2\alpha-1+\varepsilon} \Big| \sum_{n=1}^{\infty} \chi(n) a(n) e^{-2\pi n u/q} \Big|^{2} \, du \int_{1}^{\infty} u^{-1-\varepsilon} \, du \\ &\ll_{\varepsilon} \int_{1}^{\infty} u^{2\alpha-1+\varepsilon} \sum_{\chi} \Big| \sum_{n=1}^{\infty} \chi(n) a(n) e^{-2\pi n u/q} \Big|^{2} \, du. \end{split}$$

Here,

$$\begin{split} \sum_{\chi} \Big| \sum_{n=1}^{\infty} \chi(n) a(n) e^{-2\pi n u/q} \Big|^2 \\ &= \phi(q) \sum_{\substack{n=1\\(n,q)=1\\n\equiv m}}^{\infty} \sum_{\substack{m=1\\(m,q)=1\\n\equiv m}}^{\infty} \overline{a}(n) a(m) e^{-2\pi (n+m)u/q} \\ &\leq \frac{\phi(q)}{2} \sum_{\substack{n=1\\n\equiv m}}^{\infty} \sum_{\substack{m=1\\m\equiv m}}^{\infty} (|a(n)|^2 + |a(m)|^2) e^{-2\pi (n+m)u/q} \\ &\leq \phi(q) \sum_{n=1}^{\infty} |a(n)|^2 e^{-2\pi n u/q} \sum_{r=0}^{\infty} e^{-2\pi r u} \\ &\ll \phi(q) \sum_{n=1}^{\infty} |a(n)|^2 e^{-2\pi n u/q}. \end{split}$$

By using partial summation, the right-hand side is

$$\ll \phi(q) \frac{u}{q} \int_{1}^{\infty} x^{k} e^{-2\pi x u/q} dx \ll_{\varepsilon} \phi(q) \frac{u}{q} \int_{1}^{\infty} x^{k} \left(\frac{x u}{q}\right)^{-k-1-2\varepsilon} dx$$
$$\ll_{\varepsilon} \phi(q) \left(\frac{u}{q}\right)^{-k-2\varepsilon}.$$

Hence we have

$$\sum_{\chi}^{*} \left( \int_{1}^{\infty} u^{\alpha-1} \Big| \sum_{n=1}^{\infty} \chi(n) a(n) e^{-2\pi n u/q} \Big| \, du \right)^{2} \ll_{\varepsilon} \phi(q) q^{k+2\varepsilon} \int_{1}^{\infty} u^{2\alpha-k-1-\varepsilon} \, du.$$

Substituting this into (8), we obtain the assertion of Lemma 5.

THEOREM 2. Let  $f \in S_k(\Gamma)$  and  $\chi$  a Dirichlet character mod q. If  $q \ll T$ , then

$$\sum_{\chi} \int_{-T}^{*} \int_{-T}^{T} |L_f(\sigma + it, \chi)|^2 dt \ll \phi(q)T \log(qT), \quad T \to \infty,$$

uniformly in  $\sigma$  and q for  $k/2 - 1/\log(qT) \le \sigma \le k/2 + 1/\log(qT)$ .

Proof. Denote the right-hand side of the formula in the statement of Lemma 3 by  $f_1 + f_2 + f_3$ , say. Let  $C_0$  be a positive constant for which

(9) 
$$f_3(\sigma + it) \ll (q|t|)^{(k+1)/2-\sigma}|t|^{-1}$$

for  $|t| \geq C_0$ . Put

$$\Lambda_{\mu\nu}(\sigma, C_0) = \int_{[-T,T]-[-C_0, C_0]} \overline{f_{\mu}(\sigma + it)} f_{\nu}(\sigma + it) dt, \quad \mu, \nu = 1, 2, 3.$$

By Cauchy's inequality,

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$$\left|\sum_{\chi}^{*} \Lambda_{\mu\nu}(\sigma, C_{0})\right| \leq \left(\sum_{\chi}^{*} \Lambda_{\mu\mu}(\sigma, C_{0})\right)^{1/2} \left(\sum_{\chi}^{*} \Lambda_{\nu\nu}(\sigma, C_{0})\right)^{1/2}$$
$$\leq \frac{1}{2} \sum_{\chi}^{*} \Lambda_{\mu\mu}(\sigma, C_{0}) + \frac{1}{2} \sum_{\chi}^{*} \Lambda_{\nu\nu}(\sigma, C_{0}).$$

Hence we have

(10) 
$$\sum_{\chi} \int_{-T}^{*} \int_{-T}^{1} |L_{f}(\sigma + it, \chi)|^{2} dt$$
$$= \left| \sum_{\mu,\nu=1}^{3} \sum_{\chi} \int_{-\infty}^{*} \Lambda_{\mu\nu}(\sigma, C_{0}) \right| + \sum_{\chi} \int_{-C_{0}}^{C_{0}} |L_{f}(\sigma + it, \chi)|^{2} dt$$
$$\ll \sum_{\nu=1}^{3} \sum_{\chi} \int_{-\infty}^{*} \Lambda_{\nu\nu}(\sigma, C_{0}) + \sum_{\chi} \int_{-C_{0}}^{C_{0}} |L_{f}(\sigma + it, \chi)|^{2} dt.$$

We use Lemma 5 with  $\varepsilon = 1/2$  for  $k/2 - 1/\log(qT) \le \sigma \le k/2 + 1/\log(qT)$ and  $|t| \le C_0$  to obtain

(11) 
$$\sum_{\chi} \int_{-C_0}^{C_0} |L_f(\sigma + it, \chi)|^2 dt \ll_{C_0} \phi(q)q.$$

By (9), we have

(12) 
$$\sum_{\chi}^{*} \Lambda_{33}(\sigma, C_0) \ll \phi(q) q^{2/\log(qT)+1} \int_{C_0}^{T} t^{2/\log(qT)-1} dt \\ \ll \phi(q) q \log T.$$

Substituting (11) and (12) into (10), gives

(13) 
$$\sum_{\chi} \int_{-T}^{*} \int_{-T}^{T} |L_f(\sigma + it, \chi)|^2 dt \ll \sum_{\chi} \Lambda_{11}(\sigma) + \sum_{\chi}^{*} \Lambda_{22}(\sigma) + \phi(q)q \log T,$$

where

$$\Lambda_{\nu\nu}(\sigma) = \int_{-T}^{T} |f_{\nu}(\sigma + it)|^2 dt, \quad \nu = 1, 2.$$

First, we estimate  $\sum_{\chi}^{*} \Lambda_{11}(\sigma)$ . We have

$$\begin{split} \sum_{\chi}^{*} \Lambda_{11}(\sigma) &\leq \sum_{\chi} \int_{-T}^{T} |f_{1}(\sigma + it)|^{2} dt \\ &= 2\phi(q) \sum_{\substack{n < 2T/\kappa \\ (n,q)=1 \\ n \equiv m \ (q)}} \sum_{\substack{n < 2T/\kappa \\ (n,q)=1 \\ n \equiv m \ (q)}} \frac{\overline{a}(n)a(m)}{(nm)^{\sigma}} \\ &\times \int_{0}^{T} \varphi\left(\frac{\kappa n}{t}\right) \varphi\left(\frac{\kappa m}{t}\right) \cos\left(t \log \frac{n}{m}\right) dt \\ &= 2\phi(q) \bigg\{ \sum_{\substack{n < T/(2\kappa) \\ (n,q)=1}} \frac{|a(n)|^{2}}{n^{2\sigma}} \int_{0}^{T} \varphi\left(\frac{\kappa n}{t}\right)^{2} dt \\ &+ \sum_{\substack{T/(2\kappa) \leq n < 2T/\kappa \\ (n,q)=1}} \frac{|a(n)|^{2}}{n^{2\sigma}} \int_{0}^{T} \varphi\left(\frac{\kappa n}{t}\right)^{2} dt \\ &+ \sum_{\substack{n < T/(2\kappa) \leq n < 2T/\kappa \\ (n,q)=1}} \frac{|a(n)|^{2}}{n^{2\sigma}} \int_{0}^{T} \varphi\left(\frac{\kappa n}{t}\right) \cos\left(t \log \frac{n}{m}\right) dt \bigg\}, \end{split}$$

where we set

$$\sum\nolimits_0 = \sum\limits_{\substack{n < 2T/\kappa \ m < 2T/\kappa \\ (n,q)=1 \ (m,q)=1 \\ n \equiv m \ (q) \\ n \neq m}} \sum_{\substack{n < 2T/\kappa \\ m < 2T/\kappa \\ n = m \ (q) \\ n \neq m}}.$$

Applying Lemma 4, we have

(14) 
$$\sum_{\chi}^{*} \Lambda_{11}(\sigma) \ll \phi(q) \bigg\{ T \sum_{n < T/(2\kappa)} \frac{|a(n)|^2}{n^{2\sigma}} + \frac{1}{q} \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma-1}} + \sum_{0} \frac{|a(n)a(m)|}{(nm)^{\sigma} \left|\log \frac{n}{m}\right|} + q \sum_{0} \frac{|a(n)a(m)|}{(nm)^{\sigma} \max(n,m) \left(\log \frac{n}{m}\right)^2} \bigg\}.$$

The third sum on the right-hand side is

$$\leq \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma}} \sum_{\substack{m < 2T/\kappa \\ m \equiv n \ (q) \\ m \neq n}} \frac{1}{|\log \frac{n}{m}|} \\ = \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma}} \sum_{\substack{m < n \\ m \equiv n \ (q)}} \frac{1}{|\log \frac{n}{m}|} + \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma}} \sum_{\substack{n < m < 2T/\kappa \\ m \equiv n \ (q)}} \frac{1}{|\log \frac{n}{m}|}.$$

In the first term we put m = n - qr to get

$$\sum_{\substack{m < n \\ m \equiv n \ (q)}} \frac{1}{\left| \log \frac{n}{m} \right|} < \frac{n}{q} \sum_{1 \le r < 2T/(q\kappa)} \frac{1}{r} \ll \frac{n}{q} \log T,$$

and in the second term we put m = n + qr to get

$$\sum_{\substack{n < m < 2T/\kappa \\ m \equiv n \ (q)}} \frac{1}{\left|\log \frac{n}{m}\right|} < \sum_{1 \le r < 2T/(q\kappa)} \frac{n + qr}{qr} \ll T + \frac{n}{q} \log T.$$

Therefore we have

(15) 
$$\sum_{0} \frac{|a(n)a(m)|}{(nm)^{\sigma} \left|\log \frac{n}{m}\right|} \ll T \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma}} + \frac{\log T}{q} \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma-1}}.$$

Next, the fourth sum on the right-hand side of (14) is

$$\leq \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma}} \sum_{\substack{m < 2T/\kappa \\ m \equiv n \ (q) \\ m \neq n}} \frac{1}{\max(n,m) \left(\log \frac{n}{m}\right)^2} \\ = \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma+1}} \sum_{\substack{m < n \\ m \equiv n \ (q)}} \frac{1}{\left(\log \frac{n}{m}\right)^2} + \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma}} \sum_{\substack{n < m < 2T/\kappa \\ m \equiv n \ (q)}} \frac{1}{m \left(\log \frac{n}{m}\right)^2}.$$

In the first term we put m = n - qr to get

$$\sum_{\substack{m < n \\ m \equiv n \, (q)}} \frac{1}{\left(\log \frac{n}{m}\right)^2} < \frac{n^2}{q^2} \sum_{1 \le r < n/q} \frac{1}{r^2} \ll \frac{n^2}{q^2},$$

and in the second term we put m = n + qr to get

$$\sum_{\substack{n < m < 2T/\kappa \\ m \equiv n \, (q)}} \frac{1}{m \left(\log \frac{n}{m}\right)^2} < \sum_{1 \le r < 2T/(q\kappa)} \frac{1}{n + qr} \left(\frac{n + qr}{qr}\right)^2 \ll \frac{n}{q^2} + \frac{1}{q} \log T.$$

Therefore we have

(16) 
$$q \sum_{0} \frac{|a(n)a(m)|}{(nm)^{\sigma} \max(n,m) \left(\log \frac{n}{m}\right)^{2}} \ll (\log T) \sum_{n < 2T/\kappa} \frac{|a(n)|^{2}}{n^{2\sigma}} + \frac{1}{q} \sum_{n < 2T/\kappa} \frac{|a(n)|^{2}}{n^{2\sigma-1}}.$$

Substituting (15) and (16) to (14), we obtain

$$\sum_{\chi}^{*} \Lambda_{11}(\sigma) \ll \phi(q) \bigg( T \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma}} + \frac{\log T}{q} \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2\sigma-1}} \bigg).$$

Combining this estimate with (6), we obtain

(17) 
$$\sum_{\chi}^{*} \Lambda_{11}(\sigma) \ll \phi(q) T \log(qT), \quad T \to \infty,$$

uniformly in  $\sigma$  and q for  $k/2 - 1/\log(qT) \le \sigma \le k/2 + 1/\log(qT)$ . Second, we estimate  $\sum_{\chi}^* \Lambda_{22}(\sigma)$ . We have

$$\sum_{\chi}^{*} \Lambda_{22}(\sigma) \leq \sum_{\chi} \int_{-T}^{T} |f_{2}(\sigma + it)|^{2} dt$$
  
$$= 2\phi(q) \kappa^{2(2\sigma-k)} \sum_{\substack{n < 2T/\kappa \\ (n,q)=1 \\ n \equiv m \ (q)}} \sum_{\substack{m < 2T/\kappa \\ (n,q)=1 \\ n \equiv m \ (q)}} \frac{\overline{a}(n)a(m)}{(nm)^{k-\sigma}}$$
  
$$\times \int_{0}^{T} \varphi_{0}\left(\frac{\kappa n}{t}\right) \varphi_{0}\left(\frac{\kappa m}{t}\right) \left|\frac{\Gamma(k-s)}{\Gamma(s)}\right|^{2} \cos\left(t \log \frac{n}{m}\right) dt.$$

Note that the interval [0,T] of integration can be replaced by an interval  $[(\kappa/2)\max(n,m),T]$ , because  $\varphi_0(\kappa n/t)\varphi_0(\kappa m/t) = 0$  for  $0 \leq t \leq$  $(\kappa/2) \max(n,m)$ . By Stirling's formula, we have

$$\left|\frac{\Gamma(k-s)}{\Gamma(s)}\right|^2 = |t|^{2(k-2\sigma)} \left(1 + O\left(\frac{1}{t^2}\right)\right)$$

for  $0 < \sigma < k$  and  $|t| \ge C_1$ , where  $C_1$  is some positive constant. In case n and m satisfy  $C_1 \le (\kappa/2) \max(n, m)$ , we have

$$\int_{(\kappa/2)\max(n,m)}^{T} \varphi_0\left(\frac{\kappa n}{t}\right) \varphi_0\left(\frac{\kappa m}{t}\right) \left|\frac{\Gamma(k-s)}{\Gamma(s)}\right|^2 \cos\left(t\log\frac{n}{m}\right) dt$$
$$= \int_{(\kappa/2)\max(n,m)}^{T} \varphi_0\left(\frac{\kappa n}{t}\right) \varphi_0\left(\frac{\kappa m}{t}\right) t^{2(k-2\sigma)} \cos\left(t\log\frac{n}{m}\right) dt + O(1)$$

uniformly in  $\sigma$  and q for  $k/2 - 1/\log(qT) \le \sigma \le k/2 + 1/\log(qT)$ . The same result also holds in case  $C_1 > (\kappa/2) \max(n, m)$ , because in this case

$$\int_{(\kappa/2)\max(n,m)}^{C_1} \varphi_0\left(\frac{\kappa n}{t}\right) \varphi_0\left(\frac{\kappa m}{t}\right) \left|\frac{\Gamma(k-s)}{\Gamma(s)}\right|^2 \cos\left(t\log\frac{n}{m}\right) dt = O(1)$$

and

$$\int_{(\kappa/2)\max(n,m)}^{C_1} \varphi_0\left(\frac{\kappa n}{t}\right) \varphi_0\left(\frac{\kappa m}{t}\right) t^{2(k-2\sigma)} \cos\left(t\log\frac{n}{m}\right) dt = O(1).$$

Let us denote

$$\sum\nolimits_1 = \sum\limits_{\substack{n < 2T/\kappa \quad m < 2T/\kappa \\ (n,q) = 1 \quad (m,q) = 1 \\ n \equiv m \, (q)}} \sum\limits_{\substack{m < 2T/\kappa \\ m < q)}}$$

and  $\sum_0$  is as before. From the above result, it follows that

$$\begin{split} \sum_{1} \frac{\overline{a}(n)a(m)}{(nm)^{k-\sigma}} \\ & \times \int_{(\kappa/2)\max(n,m)}^{T} \varphi_0\left(\frac{\kappa n}{t}\right) \varphi_0\left(\frac{\kappa m}{t}\right) \left|\frac{\Gamma(k-s)}{\Gamma(s)}\right|^2 \cos\left(t\log\frac{n}{m}\right) dt \\ &= \sum_{1} \frac{\overline{a}(n)a(m)}{(nm)^{k-\sigma}} \\ & \times \int_{(\kappa/2)\max(n,m)}^{T} \varphi_0\left(\frac{\kappa n}{t}\right) \varphi_0\left(\frac{\kappa m}{t}\right) t^{2(k-2\sigma)} \cos\left(t\log\frac{n}{m}\right) dt \\ &+ O\left(\sum_{1} \frac{|a(n)a(m)|}{(nm)^{k-\sigma}}\right) \\ &= \sum_{\substack{n < T/(2\kappa) \\ (n,q) = 1}} \frac{|a(n)|^2}{n^{2(k-\sigma)}} \int_{0}^{T} \varphi_0\left(\frac{\kappa n}{t}\right)^2 t^{2(k-2\sigma)} dt \end{split}$$

$$+ \sum_{\substack{T/(2\kappa) \le n < 2T/\kappa \\ (n,q)=1}} \frac{|a(n)|^2}{n^{2(k-\sigma)}} \int_0^T \varphi_0\left(\frac{\kappa n}{t}\right)^2 t^{2(k-2\sigma)} dt$$
$$+ \sum_0 \frac{\overline{a}(n)a(m)}{(nm)^{k-\sigma}} \int_0^T \varphi_0\left(\frac{\kappa n}{t}\right) \varphi_0\left(\frac{\kappa m}{t}\right) t^{2(k-2\sigma)} \cos\left(t\log\frac{n}{m}\right) dt$$
$$+ O\left(\sum_1 \frac{|a(n)a(m)|}{(nm)^{k-\sigma}}\right).$$

Since  $-4/\log(qT) \le -2(k-2\sigma) \le 4/\log(qT)$ , by using Lemma 4, we see that the right-hand side of the above is

$$\ll T \sum_{n < T/(2\kappa)} \frac{|a(n)|^2}{n^{2(k-\sigma)}} + \frac{1}{q} \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2(k-\sigma)-1}} + \sum_0 \frac{|a(n)a(m)|}{(nm)^{k-\sigma} |\log \frac{n}{m}|} + q \sum_0 \frac{|a(n)a(m)|}{(nm)^{k-\sigma} \max(n,m) \left(\log \frac{n}{m}\right)^2} + \sum_1 \frac{|a(n)a(m)|}{(nm)^{k-\sigma}}$$

uniformly in  $\sigma$  and q for  $k/2 - 1/\log(qT) \le \sigma \le k/2 + 1/\log(qT)$ . By (15), (16), and the estimate

$$\sum_{1} \frac{|a(n)a(m)|}{(nm)^{k-\sigma}} \le \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2(k-\sigma)}} \sum_{\substack{m < 2T/\kappa \\ m \equiv n \, (q)}} 1 \ll T \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2(k-\sigma)}},$$

we have

$$\sum_{\chi}^{*} \Lambda_{22}(\sigma) \ll \phi(q) \bigg( T \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2(k-\sigma)}} + \frac{\log T}{q} \sum_{n < 2T/\kappa} \frac{|a(n)|^2}{n^{2(k-\sigma)-1}} \bigg),$$

hence, by (6), we obtain

(18) 
$$\sum_{\chi}^{*} \Lambda_{22}(\sigma) \ll \phi(q) T \log(qT), \quad T \to \infty,$$

uniformly in  $\sigma$  and q for  $k/2 - 1/\log(qT) \le \sigma \le k/2 + 1/\log(qT)$ .

Combining (13), (17), (18), and the assumption  $q \ll T$ , we obtain the assertion of Theorem 2.

COROLLARY 1. Under the same notation as in Theorem 2, we have

$$\sum_{\chi} \int_{-T}^{T} |L'_{f}(k/2 + it, \chi)|^{2} dt \ll \phi(q)T(\log(qT))^{3}, \quad T \to \infty,$$

uniformly in q.

Proof. Put  $r = (\log(qT))^{-1}$ . Since

$$|L'_f(k/2 + it, \chi)|^2 \ll r^{-3} \int_{|z-k/2 - it| = r} |L_f(z, \chi)|^2 |dz|,$$

we have

$$\sum_{\chi} \int_{-T}^{*} \int_{-T}^{T} |L'_{f}(k/2 + it, \chi)|^{2} dt \\ \ll r^{-3} \sum_{\chi} \int_{-T}^{*} \int_{|z-k/2 - it| = r}^{T} |L_{f}(z, \chi)|^{2} |dz| dt.$$

From Theorem 2, it follows that

$$\begin{split} \sum_{\chi}^{*} \int_{-T}^{T} \int_{|z-k/2-it|=r} |L_{f}(z,\chi)|^{2} |dz| dt \\ &\leq 2 \int_{k/2-r}^{k/2+r} \sum_{\chi}^{*} \int_{-T-1}^{T+1} |L_{f}(\sigma+it,\chi)|^{2} dt \left(1 - \left(\frac{\sigma-k/2}{r}\right)^{2}\right)^{-1/2} d\sigma \\ &\leq 2 \left\{ \int_{k/2-r}^{k/2+r} \left(\sum_{\chi}^{*} \int_{-T-1}^{T+1} |L_{f}(\sigma+it,\chi)|^{2} dt\right)^{3} d\sigma \right\}^{1/3} \\ &\quad \times \left\{ \int_{k/2-r}^{k/2+r} \left(1 - \left(\frac{\sigma-k/2}{r}\right)^{2}\right)^{-3/4} d\sigma \right\}^{2/3} \\ &\ll r\phi(q)T \log(qT) \ll \phi(q)T. \end{split}$$

This proves the corollary.

COROLLARY 2. Let  $\chi$  be a Dirichlet character mod q, and  $\chi^*$  the primitive character inducing  $\chi$ . Let  $\delta$  be a positive real number such that  $\delta \ll T$ , and  $\mathcal{T}_{\chi^*}$  a finite subset of [-T,T] with  $|t-t'| \ge \delta$  for any distinct t and t' in  $\mathcal{T}_{\chi^*}$ . If  $q \ll T$ , then

$$\sum_{\chi} \sum_{t \in \mathcal{T}_{\chi^*}} |L_f(k/2 + it, \chi^*)|^2 \ll \left(\frac{1}{\delta} + \log(qT)\right) qT \log(qT), \quad T \to \infty,$$

uniformly in q.

Corollary 2 can be derived from Theorem 2 and Corollary 1 by the same argument as the proof of Corollary 10.4 of [6].

4. Proof of Theorem 1. Our argument is a modification of the proof of the zero density estimates of Dirichlet L-functions in [6], so we give only a sketch.

Let 
$$L_f(s) = \sum_{n=1}^{\infty} a(n)n^{-s}$$
 for  $\sigma > (k+1)/2$ . We define  $\mu_f(n)$  by  
$$\frac{1}{L_f(s)} = \sum_{n=1}^{\infty} \frac{\mu_f(n)}{n^s}$$

for  $\sigma > (k+1)/2$ . By the Euler product expansion of  $L_f(s)$  and the estimate  $|a(n)| \leq n^{(k-1)/2} d(n)$  (see [2]), where d(n) is the divisor function, it is easy to see that the following properties hold:

$$|\mu_f(n)| \le n^{(k-1)/2} d(n),$$

$$\sum_{\substack{d|n\\d>0}} \mu_f(d) a\left(\frac{n}{d}\right) = \begin{cases} 1 & \text{if } n = 1, \\ 0 & \text{otherwise} \end{cases}$$

Since  $L_f(s,\chi)$  and  $L_f(s,\chi^*)$  have the same zeros for  $\sigma \geq k/2$ , it is enough to consider  $N_f(\sigma_0, T, \chi^*)$  instead of  $N_f(\sigma_0, T, \chi)$ . Let  $A_1$  be a positive real number, and let X and Y be parameters satisfying  $2 \leq X \leq Y \leq (qT)^{A_1}$ . We define

$$M(s,\chi^*) = \sum_{n \le X} \frac{\mu_f(n)\chi^*(n)}{n^s}.$$

Then it follows that, for  $\sigma > (k+1)/2$ ,

$$L_f(s,\chi^*)M(s,\chi^*) = \sum_{n=1}^{\infty} \frac{h(n)\chi^*(n)}{n^s},$$

where  $h(n) = \sum_{d|n,0| < d \le X} \mu_f(d) a(n/d)$  has the following properties: h(1) = 1, h(n) = 0 for  $2 \le n \le X$ , and  $|h(n)| \le n^{(k-1)/2} d(n)^3$  for n > X. By using the Mellin integral formula, we have

$$e^{-1/Y} + \sum_{n > X} h(n)\chi^*(n)n^{-s}e^{-n/Y}$$
  
=  $\frac{1}{2\pi i} \int_{(k+1)/2+1-i\infty}^{(k+1)/2+1+i\infty} L_f(s+w,\chi^*)M(s+w,\chi^*)Y^w\Gamma(w) dw$ 

for  $\sigma > -1$ . Let  $\varrho = \beta + i\gamma$  be a zero of  $L_f(s, \chi^*)$  such that  $\sigma_0 \leq \beta \leq (k+1)/2$  and  $-T \leq \gamma \leq T$ , and take  $s = \varrho$  in the equation above. Since  $L_f(\varrho + w, \chi^*)M(\varrho + w, \chi^*)Y^w\Gamma(w)$  is holomorphic for  $-1/2 \leq \Re w$ , the path of integration in the above can be moved to the line  $\Re w = k/2 - \beta$ . Therefore, if Y is large, every  $\varrho$  counted by  $N_f(\sigma_0, T, \chi^*)$  has at least one of the following properties:

(a) 
$$\left| \sum_{X < n \le Y^2} h(n) \chi^*(n) n^{-\varrho} e^{-n/Y} \right| \ge \frac{1}{5},$$

(b) 
$$\left| \int_{k/2-\beta-iz}^{k/2-\beta+iz} L_f(\varrho+w,\chi^*) M(\varrho+w,\chi^*) Y^w \Gamma(w) \, dw \right| \ge \frac{2\pi}{5},$$

where  $z = A_2 \log(qT)$  for a large absolute constant  $A_2$ . Let  $\mathcal{R}(\chi^*)$  be a set of  $\rho$ 's which are well-spaced, that is,  $3z \leq |\gamma - \gamma'|$  for any distinct  $\rho = \beta + i\gamma$ and  $\rho' = \beta' + i\gamma'$ . We denote by  $R(\chi^*)$  the number of elements of  $\mathcal{R}(\chi^*)$ . From (2) and the definition of  $\mathcal{R}(\chi^*)$ , it follows that

$$N_f(\sigma_0, T, \chi^*) \ll R(\chi^*)(\log(qT))^2,$$

hence

(19) 
$$\sum_{\chi} N_f(\sigma_0, T, \chi) = \sum_{\chi} N_f(\sigma_0, T, \chi^*) \ll R(\log(qT))^2,$$

where  $R = \sum_{\chi} R(\chi^*)$ . The sets  $\mathcal{R}_1(\chi^*)$  and  $\mathcal{R}_2(\chi^*)$  are defined to be the subsets of  $\mathcal{R}(\chi^*)$  such that every element of  $\mathcal{R}_1(\chi^*)$  satisfies the condition (a), and every element of  $\mathcal{R}_2(\chi^*)$  satisfies the condition (b). Denote by  $R_j(\chi^*)$  the number of elements of  $\mathcal{R}_j(\chi^*)$ , j = 1, 2. Put

$$\mathcal{R}_j = \bigcup_{\chi} \mathcal{R}_j(\chi^*)$$
 and  $R_j = \sum_{\chi} R_j(\chi^*), \quad j = 1, 2,$ 

and we shall estimate  $R_1$  and  $R_2$ .

First, we estimate  $R_1$ . For every  $\rho$  in  $\mathcal{R}_1$ ,

$$\max_{\substack{1 \le l \le l_0 + 1 \\ n \le Y^2}} \left\{ \left| \sum_{\substack{2^{l-1} X < n \le 2^l X \\ n \le Y^2}} h(n) \chi^*(n) n^{-\varrho} e^{-n/Y} \right| \right\} \ge \frac{1}{15 \log Y}$$

for large Y, where  $l_0 = [(\log 2)^{-1} \log(X^{-1}Y^2)]$ . Hence, there exists U such that  $X < U \leq Y^2$  and the inequality

$$\Big| \sum_{\substack{U < n \le 2U \\ n \le Y^2}} h(n) \chi^*(n) n^{-\varrho} e^{-n/Y} \Big| \ge \frac{1}{15 \log Y}$$

holds for more than  $R_1/(4 \log Y)$  zeros of  $\mathcal{R}_1$ . Therefore, by Theorem 7.6 of [6],

(20) 
$$R_{1} \ll (\log Y)^{3} \sum_{\chi} \sum_{\varrho \in \mathcal{R}_{1}(\chi^{*})} \left| \sum_{\substack{U < n \leq 2U \\ n \leq Y^{2}}} h(n)\chi^{*}(n)n^{-\varrho}e^{-n/Y} \right|^{2} \\ \ll (qTX^{k-2\sigma_{0}} + Y^{k+1-2\sigma_{0}})(\log(qT))^{67}.$$

Second, we estimate  $R_2$ . For every  $\rho$  in  $\mathcal{R}_2$ ,

$$\int_{-z}^{z} |L_{f}(k/2 + i(\gamma + v), \chi^{*})M(k/2 + i(\gamma + v), \chi^{*})| \times Y^{k/2-\beta} |\Gamma(k/2 - \beta + iv)| \, dv \ge \frac{2\pi}{5}.$$

Let  $t_{\varrho} = \gamma + v$  be a value for which  $|L_f(k/2 + i(\gamma + v), \chi^*)M(k/2 + i(\gamma + v), \chi^*)|$  is maximal. Since

$$\int_{-z}^{z} |\Gamma(k/2 - \beta + iv)| \, dv \ll \int_{-1}^{1} \frac{1}{\beta - k/2} \, dv \ll \log(qT),$$

we have

$$|L_f(k/2 + it_{\varrho}, \chi^*)M(k/2 + it_{\varrho}, \chi^*)| \gg Y^{\sigma_0 - k/2}(\log(qT))^{-1}$$

Hence,

$$Y^{\sigma_0 - k/2} (\log(qT))^{-1} R_2 \ll \sum_{\chi} \sum_{\varrho \in \mathcal{R}_2(\chi^*)} |L_f(k/2 + it_\varrho, \chi^*) M(k/2 + it_\varrho, \chi^*)|$$
  
$$\leq \left(\sum_{\chi} \sum_{\varrho \in \mathcal{R}_2(\chi^*)} |L_f(k/2 + it_\varrho, \chi^*)|^2\right)^{1/2}$$
  
$$\times \left(\sum_{\chi} \sum_{\varrho \in \mathcal{R}_2(\chi^*)} |M(k/2 + it_\varrho, \chi^*)|^2\right)^{1/2}.$$

Since  $|t_{\varrho} - t_{\varrho'}| \ge z$ , we can use Corollary 2 under the assumption  $q \ll T$ :

$$\sum_{\chi} \sum_{\varrho \in \mathcal{R}_2(\chi^*)} |L_f(k/2 + it_\varrho, \chi^*)|^2 \ll qT(\log(qT))^2.$$

From Theorem 7.6 of [6], if  $X \leq qT$ , then

$$\sum_{\chi} \sum_{\varrho \in \mathcal{R}_2(\chi^*)} |M(k/2 + it_{\varrho}, \chi^*)|^2 \ll qT(\log(qT))^6.$$

Therefore, if  $q \ll T$  and  $X \leq qT$ , we obtain

(21) 
$$R_2 \ll Y^{k/2 - \sigma_0} qT (\log(qT))^5.$$

Substituting (20) and (21) into (19) gives

$$\sum_{\chi} N_f(\sigma_0, T, \chi) \ll (qTX^{k-2\sigma_0} + Y^{k+1-2\sigma_0} + qTY^{k/2-\sigma_0})(\log(qT))^{69},$$

and putting X = qT,  $Y = (qT)^{1/(k/2+1-\sigma_0)}$ , we now obtain (3) uniformly in  $\sigma_0$  and q for  $k/2 + 1/\log(qT) \le \sigma_0 \le (k+1)/2$ .

Finally, the estimate (4) can be derived by a different treatment of  $R_1$  and  $R_2$ . This is almost identical to the proof of Theorem 12.1 of [6], so we omit the details.

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