Asymptotic density of $A \subset \mathbb{N}$ and density of the ratio set R(A)

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Dedicated to the memory of Professor Paul Erdős

1. Introduction. Denote by \mathbb{N} the set of all positive integers and if a subset $A \subset \mathbb{N}$ is given, define the *ratio set* by

$$R(A) = \{a/b : a, b \in A\}.$$

The *lower* and *upper asymptotic density* of A, denoted by $\underline{d}(A)$ and $\overline{d}(A)$ respectively, are defined as

$$\underline{d}(A) = \liminf_{x \to \infty} \frac{A(x)}{x}, \quad \overline{d}(A) = \limsup_{x \to \infty} \frac{A(x)}{x},$$

where $A(x) = \#\{a \le x : a \in A\}.$

In the present paper we are concerned with certain relations between the asymptotic densities of a set A as well as with density of R(A) in $[0, \infty)$. T. Šalát [6] showed that $\underline{d}(A) = \overline{d}(A) > 0$ or $\overline{d}(A) = 1$ implies that R(A) is everywhere dense in $[0, \infty)$ and for every sufficiently small $\varepsilon > 0$ there exists a subset $A \subset \mathbb{N}$ such that $\overline{d}(A) = 1 - \varepsilon$ and R(A) is not everywhere dense in $[0, \infty)$. He gave an example of $A \subset \mathbb{N}$ for which $\underline{d}(A) = 1/4$ and $R(A) \cap (5/4, 8/5) = \emptyset$.

We prove that 1/2 is the lower bound of γ 's for which $\underline{d}(A) \geq \gamma$ implies that R(A) is dense in $[0, \infty)$ (Theorem 1). The proof is based on the estimate

$$\underline{d}(A) \le \frac{\alpha}{\beta} \min(1 - \overline{d}(A), \overline{d}(A))$$

where the interval $(\alpha, \beta) \subset [0, \infty)$ is disjoint from R(A) (Theorem 2). To complete our proof we construct an $A \subset \mathbb{N}$ for which the complement of the closure of R(A) is formed by infinitely many pairwise disjoint open intervals

¹⁹⁹¹ Mathematics Subject Classification: Primary 11B05.

Key words and phrases: positive integers, subset, ratio set, asymptotic density.

This research was supported by the Slovak Academy of Sciences Grant 5123.

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 (α_n, β_n) and $\underline{d}(A) \to 1/2 - 0$ as a limit over some parameters (Example 1). On the other hand, we prove that for every given upper and lower asymptotic density there exists an $A \subset \mathbb{N}$ possessing these densities and having R(A)everywhere dense (Theorem 3). As an application we give a new class of sets $A \subset \mathbb{N}$ having dense ratio set R(A) (Theorem 4). We also prove that the complement of the set $R(A)^l$ of all limit points of R(A) is either empty or contains infinitely many open intervals assuming $\underline{d}(A) > 0$ (Theorem 5). We generalize our results for any open set X disjoint from the set $R(A)^d$ of all accumulation points of R(A) (Theorem 6). The paper concludes with some remarks.

Throughout the paper, without loss of generality, we will use only intervals (α, β) contained in [0, 1].

2. Main results

THEOREM 1. For every $A \subset \mathbb{N}$, if the lower asymptotic density $\underline{d}(A) \geq 1/2$ then the ratio set R(A) is everywhere dense in $[0, \infty)$. Conversely, if $0 \leq \gamma < 1/2$ then there exists an $A \subset \mathbb{N}$ such that $\underline{d}(A) = \gamma$ and R(A) is not everywhere dense in $[0, \infty)$.

The proof immediately follows from the following theorem and example.

THEOREM 2. Let $A \subset \mathbb{N}$ and the interval $(\alpha, \beta), 0 \leq \alpha < \beta \leq 1$, be such that $(\alpha, \beta) \cap R(A) = \emptyset$. Then

(1)
$$\underline{d}(A) \le \frac{\alpha}{\beta} \min(1 - \overline{d}(A), \overline{d}(A))$$

and

(2)
$$\overline{d}(A) \le 1 - (\beta - \alpha)$$

Proof of (1). Let $A \subset \mathbb{N}$ be listed in strictly increasing order as $a_1 < a_2 < \ldots < a_n < \ldots$ If $(\alpha, \beta) \cap R(A) = \emptyset$, then the intervals

$$(\alpha a_n, \beta a_n), \quad n = 1, 2, \dots$$

cannot intersect A but they may have mutually nonempty intersections. We can select pairwise disjoint subintervals

(3)
$$(\alpha a_{[\theta n]}, \alpha a_{[\theta n]} + \alpha), (\alpha a_{[\theta n]+1}, \alpha a_{[\theta n]+1} + \alpha), \dots, (\alpha a_{n-1}, \alpha a_{n-1} + \alpha), (\alpha a_n, \beta a_n)$$

for some $0 \le \theta \le 1$ (here we put $a_{[\theta n]} = 0$ if $[\theta n] = 0$). Define $B = \mathbb{N} - A$ and $B(x) = \#\{b \le x : b \in B\}$. Counting the number of integer points belonging to (3) we obtain

$$B(\beta a_n) \ge (n - [\theta n])(\alpha - 1) + ((\beta - \alpha)a_n - 1) + B(\alpha a_{[\theta n]})$$

for all sufficiently large n. To eliminate 1 in $\alpha - 1$ we replace n with nk and α with $k\alpha$. Then (3) transforms into pairwise disjoint subintervals of the

form

(4)
$$(\alpha a_{[\theta n]k}, \alpha a_{[\theta n]k} + k\alpha), (\alpha a_{([\theta n]+1)k}, \alpha a_{([\theta n]+1)k} + k\alpha), \dots, \\ (\alpha a_{(n-1)k}, \alpha a_{(n-1)k} + k\alpha), (\alpha a_{nk}, \beta a_{nk}).$$

Thus, we have

$$\frac{B(\beta a_{nk})}{\beta a_{nk}} \geq \frac{(n - [\theta n])(k\alpha - 1)}{\beta a_{nk}} + \frac{((\beta - \alpha)a_{nk} - 1)}{\beta a_{nk}} + \frac{B(\alpha a_{[\theta n]k})}{\alpha a_{[\theta n]k}} \cdot \frac{\alpha}{\beta} \cdot \frac{a_{[\theta n]k}}{a_{nk}}$$

To compute the lim sup of the left and right hand sides, respectively, use the fact that

(i) $\limsup_{n \to \infty} B(\beta a_{nk})/(\beta a_{nk}) \le \overline{d}(B) = 1 - \underline{d}(A),$

(ii) $\limsup_{n \to \infty} nk/a_{nk} = \overline{d}(A),$

(iii) $\liminf_{n\to\infty} B(\alpha a_{[\theta n]k})/(\alpha a_{[\theta n]k}) \geq \underline{d}(B) = 1 - \overline{d}(A)$, and

(iv) by selecting indices n for which $\lim_{n\to\infty} nk/a_{nk} = \overline{d}(A)$ we have (assuming $\overline{d}(A) > 0$)

$$\liminf_{n \to \infty} \frac{a_{[\theta n]k}}{a_{nk}} = \liminf_{n \to \infty} \frac{a_{[\theta n]k}}{[\theta n]k} \lim_{n \to \infty} \frac{[\theta n]k}{a_{nk}} \ge \frac{1}{\overline{d}(A)} \overline{d}(A)\theta.$$

Thus, letting $k \to \infty$ we get

$$1 - \underline{d}(A) \ge (1 - \theta)\frac{\alpha}{\beta}\overline{d}(A) + \frac{\beta - \alpha}{\beta} + (1 - \overline{d}(A))\frac{\alpha}{\beta}\theta.$$

Computing the maximum of the right hand side for $0 \le \theta \le 1$ yields

$$1 - \underline{d}(A) \ge \frac{\beta - \alpha}{\beta} + \frac{\alpha}{\beta} \max(\overline{d}(A), 1 - \overline{d}(A)),$$

which justifies (1).

Proof of (2). Every infinite set $A \subset \mathbb{N}$ with infinite complement $\mathbb{N} - A$ can be expressed as the set of the integer points lying in the intervals

(5)
$$[b_1, c_1], [b_2, c_2], \dots, [b_n, c_n], \dots$$

whose endpoints form two integer sequences ordered as

$$b_1 \le c_1 < b_2 \le c_2 < \ldots < b_n \le c_n < \ldots$$

Clearly

(6)
$$\underline{d}(A) = \liminf_{n \to \infty} \frac{1}{b_n} \sum_{i=1}^{n-1} (c_i - b_i + 1),$$

(7)
$$\overline{d}(A) = \limsup_{n \to \infty} \frac{1}{c_n} \sum_{i=1}^n (c_i - b_i + 1).$$

The points of $A \cap [1, c_n]$ divided by $i, i \in [b_n, c_n]$, form a subset $R_n \subset R(A)$; we obtain the intervals

$$\left[\frac{b_1}{i}, \frac{c_1}{i}\right], \left[\frac{b_2}{i}, \frac{c_2}{i}\right], \dots, \left[\frac{b_{n-1}}{i}, \frac{c_{n-1}}{i}\right], \left[\frac{b_n}{i}, \frac{c_n}{i}\right]$$

which have the following property: the distance of any two neighbouring points of R_n lying in $[b_{n-k}/i, c_{n-k}/i]$ is less than $1/b_n$ and the same holds for the union

$$\bigcup_{i=b_n}^{c_n} \left[\frac{b_{n-k}}{i}, \frac{c_{n-k}}{i} \right] = \left[\frac{b_{n-k}}{c_n}, \frac{c_{n-k}}{b_n} \right].$$

Thus, for sufficiently large n, every interval $(\alpha, \beta) \subset [0, 1]$ satisfying $(\alpha, \beta) \cap R(A) = \emptyset$ must lie in the complement of $[b_{n-k}/c_n, c_{n-k}/b_n]$, $k = 0, 1, \ldots, n-1$, which is formed by the pairwise disjoint intervals

(8)
$$\left(\frac{c_{n-k}}{b_n}, \frac{b_{n-k+1}}{c_n}\right), \quad k = 1, \dots, n-1,$$

some of which may be empty. Hence, a necessary condition for $(\alpha, \beta) \cap R(A) = \emptyset$ is the existence of an integer sequence $k_n, k_n < n$, such that

(9)
$$(\alpha,\beta) \subset \left(\frac{c_{n-k_n}}{b_n}, \frac{b_{n-k_n+1}}{c_n}\right)$$

for all sufficiently large n. This also gives

$$\frac{b_{n-k_n+1}}{c_n} - \frac{c_{n-k_n}}{c_n} \ge \beta - \alpha.$$

Now we can express the upper asymptotic density as

(10)
$$\overline{d}(A) = \limsup_{n \to \infty} \left(\frac{c_n - b_1}{c_n} + \frac{n}{c_n} - \left(\frac{b_2 - c_1}{c_n} + \frac{b_3 - c_2}{c_n} + \dots + \frac{b_n - c_{n-1}}{c_n} \right) \right)$$

whence

(11)
$$\overline{d}(A) - \overline{d}(C) \le 1 - (\beta - \alpha),$$

where C is the range of c_n .

For sufficiency of (9) we need the set $R(A)^l$ of all limit points of R(A)(cf. Section 4). By the above reasoning we see that $(\alpha, \beta) \cap R(A)^l = \emptyset$ if and only if there exists $k_n < n$ satisfying (9) for all sufficiently large n. Thus, inequality (11) holds for (α, β) satisfying $(\alpha, \beta) \cap R(A)^l = \emptyset$ as well.

Now, for a positive integer k, transform

$$[b_n, c_n] \to [kb_n, kc_n + k - 1]$$

and denote by A_k the set of all integer points lying in $[kb_n, kc_n + k - 1]$, n = 1, 2, ... Similarly, C_k is the set of all $kc_n + k - 1$. Evidently

$$\overline{d}(A_k) = \overline{d}(A), \quad \overline{d}(C_k) = \overline{d}(C)/k, \quad R(A_k)^l = R(A)^l,$$

which gives $\overline{d}(A) - \overline{d}(C)/k \leq 1 - (\beta - \alpha)$ and (2) follows.

Using (2) and the part $\underline{d}(A) \leq (\alpha/\beta)(1 - \overline{d}(A))$ of (1) we have

COROLLARY. For every subset $A \subset \mathbb{N}$, if $\underline{d}(A) + \overline{d}(A) \geq 1$ then R(A) is everywhere dense in $[0, \infty)$.

To complete our proof of Theorem 1 consider

EXAMPLE 1. Let γ , δ and a be given positive real numbers satisfying $\gamma < \delta$ and a > 1. Let A be the set of all integer points lying in the intervals

$$(\gamma, \delta), (\gamma a, \delta a), (\gamma a^2, \delta a^2), \dots, (\gamma a^n, \delta a^n), \dots$$

For this A we see from (5) of A that $b_n = [\gamma a^n] + 1$, $c_n = [\delta a^n]$ and in order that $c_n < b_{n+1}$ we need $\delta/\gamma < a$. In this case, for the intervals in (8) we have

$$\left(\frac{\delta}{\gamma a^k}, \frac{\gamma}{\delta a^{k-1}}\right) \subset \left(\frac{c_{n-k}}{b_n}, \frac{b_{n-k+1}}{c_n}\right), \quad k = 1, \dots, n-1;$$

further, $c_{n-k}/b_n \to \delta/(\gamma a^k)$, $b_{n-k+1}/c_n \to \gamma/(\delta a^{k-1})$ as $n \to \infty$. Consequently, the closure of R(A) is $R(A)^l$. Thus, $[0,1] - \overline{R(A)} = \bigcup_{i=1}^{\infty} (\alpha_i, \beta_i)$, where $(\alpha_i, \beta_i) = (\alpha_1/a^{i-1}, \beta_1/a^{i-1})$ and

$$(\alpha_1, \beta_1) = \left(\frac{\delta}{\gamma a}, \frac{\gamma}{\delta}\right).$$

This implies that

$$[0,1] - \overline{R(A)} \neq \emptyset \Leftrightarrow \delta/\gamma < \sqrt{a}.$$

By (6) and (7) we have

$$\underline{d}(A) = \frac{\delta - \gamma}{\gamma} \cdot \frac{1}{a - 1}, \quad \overline{d}(A) = \frac{\delta - \gamma}{\delta} \cdot \frac{a}{a - 1}$$

We can also see that for such A the ratio set R(A) is everywhere dense in $[0, \infty)$ if and only if $\underline{d}(A) + \overline{d}(A) \ge 1$.

Now, if $\delta/\gamma \to \sqrt{a}$ then $\underline{d}(A) \to 1/(\sqrt{a}+1)$ and if $\sqrt{a} \to 1+0$ then $\underline{d}(A) \to 1/2 - 0$. This completes the proof of Theorem 1.

Note that since $\underline{d}(A)/((\alpha_1/\beta_1)\overline{d}(A)) \to 1$ as $\gamma/\delta \to 1$ and $\overline{d}(A)/(1 - (\beta_1 - \alpha_1)) \to 1$ as $a \to \infty$, we cannot extend (1) and (2) to

$$\underline{d}(A) \le c(\alpha/\beta)\min(1 - \overline{d}(A), \overline{d}(A)) \text{ and } \overline{d}(A) \le c(1 - (\beta - \alpha))$$

for some positive constant c < 1.

In the sequel we demonstrate that (1) and (2) are necessary but not sufficient conditions for $(\alpha, \beta) \cap R(A) = \emptyset$.

THEOREM 3. For every pair (γ, γ') satisfying $0 \leq \gamma \leq \gamma' \leq 1$ there exists an $A \subset \mathbb{N}$ such that $\underline{d}(A) = \gamma$, $\overline{d}(A) = \gamma'$ and the ratio set R(A) is everywhere dense in $[0, \infty)$.

Proof. For any infinite set $B \subset \mathbb{N}$ and $\lambda \geq 1$ define $[\lambda B]$ as

$$[\lambda B] = \{ [\lambda a] : a \in B \}.$$

Clearly,

(i) either both R(B) and $R([\lambda B])$ are everywhere dense in $[0,\infty)$ or neither is;

(ii) $\underline{d}([\lambda B]) = \underline{d}(B)/\lambda, \ \overline{d}([\lambda B]) = \overline{d}(B)/\lambda.$

If $\gamma' > 0$, put $\lambda = 1/\gamma'$ and then use the well-known fact that for every pair (δ, δ') satisfying $0 \leq \delta \leq \delta' \leq 1$ there exists $B \subset \mathbb{N}$ such that $\underline{d}(B) = \delta$ and $\overline{d}(B) = \delta'$. Applying this for $(\delta, \delta') = (\lambda \gamma, \lambda \gamma')$, bearing in mind that $\lambda \gamma' = 1$ and using [6, Th. 1] we find that R(B) is dense in $[0, \infty)$. Accordingly, $A = [\lambda B]$ is the desired set.

If $\gamma' = 0$ we can put $A = \mathbb{P}$, the set of all primes, since by A. Schinzel (cf. [7, p. 155]) $R(\mathbb{P})$ is everywhere dense in $[0, \infty)$.

3. Applications. Applying Theorem 1 we give some new classes of $A \subset \mathbb{N}$ having dense R(A).

THEOREM 4. Let $f(t), t \ge 1$, be a strictly increasing continuous function with inverse function $f^{-1}(t)$. Assume that

(i) $\lim_{t\to\infty} f(t) = \infty$,

(i) $\lim_{t \to \infty} f(t) = \infty$, (ii) $\lim_{n \to \infty} (f^{-1}(n+1) - f^{-1}(n)) = \infty$, (iii) $\lim_{n \to \infty} \frac{f^{-1}(n+x) - f^{-1}(n)}{f^{-1}(n+1) - f^{-1}(n)} = \psi(x)$ exists for every $x \in [0, 1]$,

and for $x \in [0,1]$ put

(iv) $\liminf_{n\to\infty}f^{-1}(n)/f^{-1}(n+x)=\chi(x),$

(v) $A_x = \{n \in \mathbb{N} : \{f(n)\} \in [0, x)\}, \text{ where } \{f(n)\} \text{ is the fractional part}$ of f(n).

If $\psi(x) + 1 - \chi(x)(1 - \psi(x)) \ge 1$, then $R(A_x)$ is everywhere dense in $[0,\infty).$

Proof. Observe that $\underline{d}(A_x)$ and $\overline{d}(A_x)$ have the same meaning as the lower and upper distribution functions of $f(n) \mod 1$ (cf. [5, Def. 7.1, p. 53]), hence the theorem follows from [5, Th. 7.7, p. 58] and our Corollary.

Applying Theorem 4 to $f(t) = \log t$ we deduce that $x \ge 1/2$ implies the density of $R(A_x)$. Since in this case the set A_x has the form described in Example 1 with $\gamma = 1$, $\delta = e^x$ and a = e, it follows that $x \ge 1/2$ is also necessary for the density of $R(A_x)$ to hold.

For another application of Theorem 1 we make use of [4]. Let a > 1 be an integer and \mathcal{A} consist of all $A \subset \mathbb{N}$ containing no 3-term progressions of the form k, kq, kq^2 , where $k \in \mathbb{N}$ and $q \in \{a, a^2, a^3, a^4\}$. It is proved in [4, Ex. 2] that $\sup_{A \in \mathcal{A}} \underline{d}(A) \ge (1 - a^{-1})(1 + a^{-1} + a^{-3} + a^{-4})(a^9/(a^9 - 1)),$ which, together with our Theorem 1, implies that R(A) is everywhere dense in $[0, \infty)$ for some $A \in \mathcal{A}$.

4. Complement of the limit points of the ratio set. As before, assume that $A \subset \mathbb{N}$ is ordered into the sequence $a_1 < a_2 < \ldots$ and consider the ratio set R(A) as a double sequence a_m/a_n , $m, n = 1, 2, \ldots$ We introduce two further sets:

(i) $R(A)^l$ is the set of all limit points $x = \lim_{i \to \infty} a_{m_i}/a_{n_i}$ of R(A).

(ii) $R(A)^d$ is the set of all accumulation points of R(A), i.e. the points x which can be expressed as a limit $x = \lim_{i \to \infty} a_{m_i}/a_{n_i}$ of a one-to-one sequence a_{m_i}/a_{n_i} .

Clearly, $R(A)^l$ and $R(A)^d$ are closed. It is shown in [1] that for every system of pairwise disjoint open intervals $(\alpha_i, \beta_i), i \in \mathcal{I}$, there exists $A \subset \mathbb{N}$ such that $[0, 1] - R(A)^d = \bigcup_{i \in \mathcal{I}} (\alpha_i, \beta_i)$ and the same proof applies to $R(A)^l$. To extend the above result of [1] we prove

THEOREM 5. If $\underline{d}(A) > 0$ and $[0,1] - R(A)^l \neq \emptyset$, then

$$[0,1] - R(A)^l = \bigcup_{i=1}^{\infty} (\alpha_i, \beta_i),$$

where $\alpha_i < \beta_i$ and $(\alpha_i, \beta_i) \cap (\alpha_j, \beta_j) = \emptyset$ for $i \neq j$.

Proof. We divide the proof into three steps.

1. Let $\gamma > 0$ be a limit point of the form

(12)
$$\gamma = \lim_{n \to \infty} a_{g(n)}/a_n,$$

where g(n) is a suitable integer sequence. Then

(13) $(\alpha,\beta) \cap R(A)^l = \emptyset \Rightarrow (\gamma\alpha,\gamma\beta) \cap R(A)^l = \emptyset = (\alpha/\gamma,\beta/\gamma) \cap R(A)^l.$

Indeed, assuming $\gamma \alpha < \delta < \gamma \beta$ and

$$\delta = \lim_{i \to \infty} a_{m_i} / a_{n_i}$$

we have

$$\frac{\delta}{\gamma} = \frac{\lim_{i \to \infty} a_{m_i} / a_{n_i}}{\lim_{i \to \infty} a_{g(n_i)} / a_{n_i}} = \lim_{i \to \infty} \frac{a_{m_i}}{a_{g(n_i)}},$$

which is a contradiction. Repeating (13) yields $(\gamma^k \alpha, \gamma^k \beta) \cap R(A)^l = \emptyset$ for all $k \in \mathbb{Z}$.

2. Using all points $\gamma, \delta, \eta, \ldots$ of the form (12) we can define a group

$$G(A) = \{\gamma^i \delta^j \eta^k \dots : i, j, k, \dots \in \mathbb{Z}\}.$$

Let $[0,1] - R(A)^l = \bigcup_{i \in \mathcal{I}} (\alpha_i, \beta_i)$. Applying (13) for $t \in G(A) \cap [0,1]$ and $i \in \mathcal{I}$, we get some $j, k \in \mathcal{I}$ such that $(t\alpha_i, t\beta_i) \subset (\alpha_j, \beta_j)$ and $(t^{-1}\alpha_j, t^{-1}\beta_j) \subset \mathcal{I}$

 (α_k, β_k) . This implies i = k and

$$(t\alpha_i, t\beta_i) = (\alpha_j, \beta_j).$$

For a fixed $(\alpha_{i_0}, \beta_{i_0})$, the intervals $(t\alpha_{i_0}, t\beta_{i_0}), t \in G(A) \cap (0, 1)$, are nonoverlapping, which implies that \mathcal{I} is infinite. Moreover, G(A) must be discrete and thus cyclic.

3. Assuming $\underline{d}(A) > 0$, we prove that $G(A) \cap (0,1)$ is nonempty. Let $n/a_n > \theta > 0$ for all sufficiently large n. For any u, v satisfying $0 < u < v < \theta$ we have

$$\frac{a_{[un]}}{a_n} \ge \frac{[un]}{a_n} > u\theta, \qquad \frac{a_{[vn]}}{a_n} \le \frac{a_{[vn]}}{n} = \frac{a_{[vn]}}{vn}v < \frac{v}{\theta}$$

for all sufficiently large n. Thus, we obtain

$$\frac{a_i}{a_n} \in \left(u\theta, \frac{v}{\theta}\right) \quad \text{for } i \in [[un], [vn]],$$

which implies the existence of $t \in G(A)$ satisfying $t \in [u\theta, v/\theta] \subset (0, 1)$.

Note that as the proof of (2) shows, $t \in G(A) \cap [0,1]$ if and only if there exists $k_n < n$ such that $t \in [b_{n-k_n}/b_n, c_{n-k_n}/c_n]$ for all sufficiently large n.

In Example 1 the group G(A) is generated by 1/a and the complement of $R(A)^l$ has a simple structure. For general A the complement of $R(A)^l$ may be more complicated.

EXAMPLE 2. In this example we abbreviate $(\gamma a, \delta a)$ as $(\gamma, \delta)a$. Assume that

$$0 < \gamma_1 < \delta_1 < \gamma_2 < \delta_2 < a\gamma_1 < a\delta_1$$
 and $a > 1$

and let A be the set of all integer points lying in the pairwise disjoint open intervals

$$(\gamma_1, \delta_1), (\gamma_2, \delta_2), (\gamma_1, \delta_1)a, (\gamma_2, \delta_2)a, \dots, (\gamma_1, \delta_1)a^n, (\gamma_2, \delta_2)a^n, (\gamma_1, \delta_1)a^{n+1}, \dots$$

Then, in (5) for this A we get two types of intervals $[b_n, c_n]$, which give (asymptotically) two types of intervals in (8) and which form two sequences of pairwise disjoint open intervals

$$I_2 a^{i-1}, I_1 a^{i-1}, i = 1, 2, \dots, \text{ and } J_2 a^{i-1}, J_1 a^{i-1}, i = 1, 2, \dots,$$

where

$$I_2 = \left(\frac{\delta_2}{\gamma_2 a}, \frac{\gamma_1}{\delta_2}\right), \quad I_1 = \left(\frac{\delta_1}{\gamma_2}, \frac{\gamma_2}{\delta_2}\right), \quad J_2 = \left(\frac{\delta_1}{\gamma_1 a}, \frac{\gamma_2}{\delta_1 a}\right), \quad J_1 = \left(\frac{\delta_2}{\gamma_1 a}, \frac{\gamma_1}{\delta_1}\right).$$

Moreover, there are inclusions between the intervals in (8) and the above intervals, respectively. This guarantees $\overline{R(A)} = R(A)^l$ as well as

$$[0,1] - \overline{R(A)} = \bigcup_{i=1}^{\infty} ((I_2 \cup I_1) \cap (J_2 \cup J_1))a^{i-1}$$

The intersection $(I_2 \cup I_1) \cap (J_2 \cup J_1)$ consists of at most three pairwise disjoint open intervals $(\alpha_1, \beta_1), (\alpha'_1, \beta'_1)$ and (α''_1, β''_1) .

In all cases the group G(A) is cyclic with generator 1/a or $1/\sqrt{a}$ depending on $(\gamma_2, \delta_2) = (\gamma_1, \delta_1)\sqrt{a}$.

Applying (6) and (7) we have

$$\underline{d}(A) = \min\left(\frac{(\delta_1 - \gamma_1) + (\delta_2 - \gamma_2)}{\gamma_1} \cdot \frac{1}{a - 1}, \\ \frac{1}{\gamma_2} \left((\delta_1 - \gamma_1) \frac{a}{a - 1} + (\delta_2 - \gamma_2) \frac{1}{a - 1} \right) \right), \\ \overline{d}(A) = \max\left(\frac{(\delta_1 - \gamma_1) + (\delta_2 - \gamma_2)}{\delta_2} \cdot \frac{a}{a - 1}, \\ \frac{1}{\delta_1} \left((\delta_1 - \gamma_1) \frac{a}{a - 1} + (\delta_2 - \gamma_2) \frac{1}{a - 1} \right) \right).$$

For example, putting $\gamma_1 = 1$, $\delta_1 = 2$, $\gamma_2 = 5$ and a = 40, we have

 $(\alpha_1, \beta_1) = (2/5, 1/2), \quad (\alpha'_1, \beta'_1) = (6/40, 1/6), \quad (\alpha''_1, \beta''_1) = (2/40, 5/80).$ Further, $\underline{d}(A) = 2/39, \ \overline{d}(A) = 41/78, \ |[0, 1] - \overline{R(A)}| = 31/234 \text{ and } G(A) \text{ is generated by } 1/40.$

5. Extension of Theorem 2. In this part we extend (1) and (2) to intervals $(\alpha, \beta) \subset [0, 1]$ satisfying

$$(\alpha,\beta) \cap R(A)^d = \emptyset,$$

which does not follow from Theorem 2 directly. Clearly, if $(\alpha, \beta) \cap R(A)^d = \emptyset$ then for every $\varepsilon > 0$ there exist finitely many pairwise disjoint open intervals $(\alpha_i, \beta_i), i = 1, \ldots, s$, such that

(i)
$$\bigcup_{i=1}^{s} (\alpha_{i}, \beta_{i}) \subset (\alpha, \beta),$$

(ii)
$$\beta - \alpha - \sum_{i=1}^{s} (\beta_{i} - \alpha_{i}) < \varepsilon,$$

(iii)
$$\forall (1 \le i \le s) (\alpha_{i}, \beta_{i}) \cap R(A) = \emptyset.$$

So, Theorem 2 only implies

(14)
$$\underline{d}(A) \le \min_{1 \le i \le s} \frac{\alpha_i}{\beta_i} \min(1 - \overline{d}(A), \overline{d}(A))$$

and

(15)
$$\overline{d}(A) \le \min_{1 \le i \le s} (1 - (\beta_i - \alpha_i)).$$

Finally, in what follows we will replace the open interval (α, β) with an open set $X \subset [0, 1]$ and prove estimates better than (14) and (15). Here |X| denotes the Lebesgue measure of X.

THEOREM 6. Let X be an open set in [0,1] and write $g(x) = |X \cap [0,x)|$. If $X \cap R(A)^d = \emptyset$, then

(16)
$$\underline{d}(A) \le \frac{x}{y} \min(1 - \overline{d}(A), \overline{d}(A)) + \frac{(y - g(y)) - (x - g(x))}{y}$$

for every x, y satisfying

(i) $0 \le x < y \le 1$,

(ii) there exist two sequences x_k and $\delta_k > 0$ such that $(x_k, x_k + \delta_k) \cap R(A)^d = \emptyset$ for every k and $x_k \to x$ as $k \to \infty$.

Moreover

(17)

$$\overline{d}(A) \le 1 - |X|.$$

Proof. The proof is similar to the proof of Theorem 2. Instead of (3) we start with the following pairwise disjoint intervals:

(18)
$$(xa_{[\theta n]}, xa_{[\theta n]} + x), (xa_{[\theta n]+1}, xa_{[\theta n]+1} + x), \dots, (xa_{n-1}, xa_{n-1} + x), (xa_n, ya_n).$$

First assume that

(ii)' $(x, x + \delta) \cap R(A) = \emptyset$ for some $\delta > 0$.

Then for sufficiently large *i*, the interval $(xa_i, xa_i + x)$ cannot intersect *A*, since $(xa_i, xa_i + \delta a_i) \cap A = \emptyset$. Moreover, for all sufficiently small $\varepsilon > 0$, the set $X \cap (x, y)$ can be approximated by a finite sequence of pairwise disjoint open intervals $(\alpha_i, \beta_i), i = 1, \ldots, s$, such that $\bigcup_{i=1}^{s} (\alpha_i, \beta_i) \subset X \cap (x, y), |X \cap (x, y) - \bigcup_{i=1}^{s} (\alpha_i, \beta_i)| < \varepsilon$ and $\bigcup_{i=1}^{s} (\alpha_i, \beta_i) \cap R(A) = \emptyset$. Hence, the number of terms of $B = \mathbb{N} - A$ lying in (xa_n, ya_n) is greater than $a_n(g(y) - g(x) - \varepsilon) - s$ and we have

$$B(ya_n) \ge (n - [\theta n])(x - 1) + (a_n(g(y) - g(x) - \varepsilon) - s) + B(xa_{[\theta n]}).$$

Replacing n by nk and x by xk and letting $k \to \infty$ we find (16).

In the general case, since g(x) is continuous, (ii)' can be replaced by (ii). To prove (17) note only that (10) can be replaced by

$$\frac{b_2 - c_1}{c_n} + \frac{b_3 - c_2}{c_n} + \ldots + \frac{b_n - c_{n-1}}{c_n} \ge \sum_{i=1}^s (\beta_i - \alpha_i). \bullet$$

Observe that in Example 1 we have $\alpha_i/\beta_i = \delta^2/(\gamma^2 a)$ and the minimum of the right hand side of (16) is the same as in (14) and (1). In Example 2,

for $x = \alpha''$ and $y = \beta'$, the right hand side of (16) equals 0.229...; further, the right hand side of (14) is 0.379...

6. Concluding remarks

1. The results of T. Šalát mentioned in the introduction can be proved directly by using (1) and (2):

(i) Assume $(\alpha, \beta) \cap R(A) = \emptyset$. If $0 < d(A) = \underline{d}(A) = \overline{d}(A) < 1/2$ then by (1) we have $d(A) \leq \frac{\alpha}{\beta}d(A)$ which is a contradiction. If $d(A) \geq 1/2$, then in view of (1) we have $d(A) \leq \frac{\alpha}{\beta}(1 - d(A)) \leq \frac{\alpha}{\beta}\frac{1}{2} < \frac{1}{2}$ which also gives a contradiction. Thus (cf. [6, Th. 4]) d(A) > 0 implies that R(A) is everywhere dense.

(ii) Assuming $\overline{d}(A) = 1$, (2) implies a contradiction $1 \le 1 - (\beta - \alpha)$; thus (cf. [6, Th. 1]) $\overline{d}(A) = 1$ implies that R(A) is everywhere dense.

2. It is proved in [3, Th. 2] that if $\mathbb{N} = A \cup B$, then at least one of R(A) or R(B) is everywhere dense in $[0, \infty)$. This can also be proved by using our basic relations (1) and (2).

Assume that $\mathbb{N} = A \cup B$, $A \cap B = \emptyset$, $(\alpha, \beta) \cap R(A) = \emptyset$ and $(\alpha', \beta') \cap R(B) = \emptyset$. Since $\underline{d}(A) = 1 - \overline{d}(B)$ and $\overline{d}(A) = 1 - \underline{d}(B)$, applying (1) and (2) we get

(i) $(\beta - \alpha) \leq \underline{d}(B),$ (ii) $\underline{d}(B) \leq \frac{\alpha'}{\beta'}(1 - \overline{d}(B)),$ (iii) $1 - \overline{d}(B) \leq \frac{\alpha}{\beta} \underline{d}(B).$

Starting with (i) and then repeatedly applying (ii) and (iii) we get $\beta - \alpha = 0$.

3. A related question is studied in [2].

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Received on 27.1.1998 and in revised form on 31.7.1998

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