

*ENDOMORPHISM ALGEBRAS OF EXCEPTIONAL SEQUENCES
OVER PATH ALGEBRAS OF TYPE $\tilde{\mathbb{A}}_n$*

BY

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The notion of exceptional sequences originates from the study of vector bundles (see, for instance, [GR, B]) and was carried over to modules over hereditary artin algebras (see [CB, R2]). In this paper, we consider the following situation: let k be a commutative field, Q be a finite connected quiver without oriented cycles; then the path algebra $A = kQ$ is hereditary and we may study the exceptional sequences in the category $\text{mod } A$ of finitely generated right A -modules. We recall that an indecomposable object E in $\text{mod } A$ is called *exceptional* if $\text{Ext}_A^1(E, E) = 0$. A sequence $\mathcal{E} = (E_1, \dots, E_t)$ of exceptional objects in $\text{mod } A$ is called an exceptional sequence if $\text{Hom}_A(E_j, E_i) = 0$ and $\text{Ext}_A^1(E_j, E_i) = 0$ for $j > i$. An exceptional sequence $\mathcal{E} = (E_1, \dots, E_t)$ is called *complete* if t equals the number of isomorphism classes of simple A -modules, and *connected* if $\text{End}(\bigoplus_{i=1}^t E_i)$ (which we denote briefly by $\text{End } \mathcal{E}$) is a connected algebra. Ringel has asked whether, if \mathcal{E} is a complete exceptional sequence in the module category over a representation-finite hereditary artin algebra, then $\text{End } \mathcal{E}$ is also representation-finite. This question was answered affirmatively in case $A = kQ$, where Q is of type $\tilde{\mathbb{A}}_n$, first by H. Yao [Y] in case Q has a linear orientation, then by H. Meltzer [M] in case Q has an arbitrary orientation. It is reasonable to generalise Ringel's question as follows: let \mathcal{E} be a complete exceptional sequence in the module category over a tame path algebra; is it then true that $\text{End } \mathcal{E}$ is also tame? The objective of this paper is to answer this latter question affirmatively whenever $A = kQ$, where Q is of type $\tilde{\mathbb{A}}_n$. More precisely, we prove the following theorem.

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THEOREM. *Let k be a commutative field, Q be a quiver with underlying graph $\tilde{\mathbb{A}}_n$, and $A = kQ$ be its path algebra. Let \mathcal{E} be a complete exceptional sequence in $\text{mod } A$. Then $\text{End } \mathcal{E}$ is either a direct product of one tilted algebra of type $\tilde{\mathbb{A}}_m$ (with $m \leq n$) and tilted algebras of type \mathbb{A}_l (with $l \leq n - m$), or a direct product of tilted algebras of type \mathbb{A}_l (with $l \leq n + 1$). Each connected subsequence of \mathcal{E} is a partial tilting module.*

We use essentially the description of the module category of a path algebra of type $\tilde{\mathbb{A}}_n$, as in [DR, R1], and the structure of its indecomposable modules, as in [BR]. Notice that, if (E_1, \dots, E_t) is an exceptional sequence in $\text{mod } A$, where $A = kQ$, then, in particular, each E_i is exceptional, hence $\text{End } E_i = k$ (see, for instance, [K], (11.9)). If Q is an Euclidean quiver, this implies that E_i is postprojective, preinjective or regular lying in an exceptional tube of rank m (> 1), say, and, in this case, is of quasi-length at most $m - 1$.

We use without further reference properties of the Auslander–Reiten translations $\tau = \text{DTr}$ and $\tau^{-1} = \text{TrD}$, and the Auslander–Reiten quiver $\Gamma(\text{mod } A)$ of A as in [ARS, R1]. In particular, we frequently use the Auslander–Reiten formulae

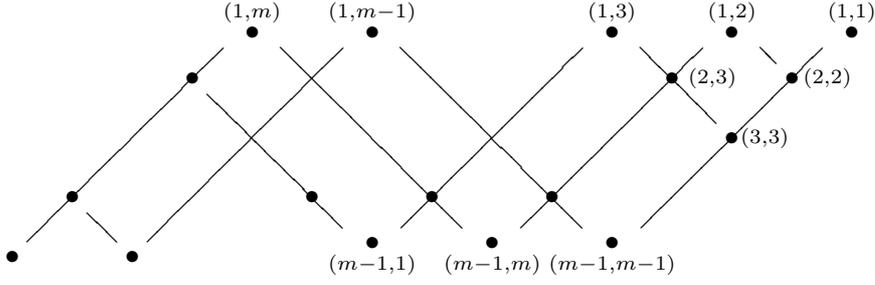
$$\text{Ext}_A^1(M, N) \cong \text{DHom}_A(N, \tau M) \cong \text{DHom}_A(\tau^{-1}N, M).$$

For the classification results of tilted and iterated tilted algebras of type \mathbb{A}_n and $\tilde{\mathbb{A}}_n$, we refer to [A1, AH, AS, R, H].

1. Regular exceptional modules. The aim of this section is to show that, if Γ is an exceptional tube of rank m , say, in the Auslander–Reiten quiver of the path algebra A of an Euclidean quiver, and \mathcal{E} is a connected exceptional sequence all of whose terms lie in Γ , then $\text{End } \mathcal{E}$ is a tilted algebra of type \mathbb{A}_t .

In this situation, the tube Γ is standard, thus we may identify the points in Γ with the corresponding indecomposable A -modules. Each point in Γ will be given by two coordinates: the first is the quasi-length of the corresponding indecomposable A -module (thus is a positive integer), and the second represents its regular socle (and is chosen from \mathbb{Z}_m). The modules E_i being exceptional, they have quasi-length at most $m - 1$. The figure on the next page shows the full translation subquiver Γ' of Γ consisting of all modules of quasi-length at most $m - 1$. Associated to each point $M = (i, j)$ in Γ' are four sectional paths in Γ' , these are:

- (i) $(M \nearrow)$, the portion of coray from M to the mouth (that is, the sectional path from (i, j) to $(1, j - i + 1)$),
- (ii) $(M \searrow)$, the portion of ray from M to infinity in Γ' (that is, the sectional path from (i, j) to $(m - 1, j)$),



(iii) $(\searrow M)$, the portion of ray from the mouth to M (that is, the sectional path from $(1, j)$ to (i, j)), and

(iv) $(\nearrow M)$, the portion of coray from infinity to M in Γ' (that is, the sectional path from $(m - 1, m - 1 + j - i)$ to (i, j)).

It also follows from the standardness of Γ that, if $M = (i, j)$ is in Γ' , then the support $\text{Supp Hom}_A(M, -)|_{\Gamma'}$ of the restriction to Γ' of the functor $\text{Hom}_A(M, -)$ is a trapezoid with corners (i, j) , $(1, j - i + 1)$, $(m - 1, j - i + 1)$ and $(m - 1, j)$, bounded by the sectional paths $(M \nearrow)$, $(M \searrow)$ and $((1, j - i + 1) \searrow)$. Similarly, $\text{Supp Hom}_A(-, M)|_{\Gamma'}$ is a trapezoid with corners (i, j) , $(m - 1, m - 1 + j - i)$, $(1, j)$ and $(m - 1, m - 2 + j)$, bounded by the sectional paths $(\searrow M)$, $(\nearrow M)$ and $(\nearrow(1, j))$.

LEMMA 1.1. *Let $M \in \mathcal{E}$, and M, N, L lie in Γ .*

(a) *Let $N \in \mathcal{E}$. Then $\text{Hom}_A(M, N) \neq 0$ if and only if $N \in (M \nearrow) \cup (M \searrow)$.*

(b) *Let $L \in \mathcal{E}$. Then $\text{Hom}_A(L, M) \neq 0$ if and only if $L \in (\searrow M) \cup (\nearrow M)$.*

PROOF. We only show (a), since the proof of (b) is similar.

For $M, N \in \mathcal{E}$, $\text{Hom}_A(M, N) \neq 0$ implies that (M, N) is a subsequence of \mathcal{E} so that $\text{Hom}_A(\tau^{-1}M, N) = 0$, that is, $N \in \text{Supp Hom}_A(M, -)|_{\Gamma'}$ but $N \notin \text{Supp Hom}_A(\tau^{-1}M, -)|_{\Gamma'}$. Therefore $N \in (M \nearrow) \cup (M \searrow)$. The converse is trivial. ■

LEMMA 1.2. *There exists no path $M \rightarrow N \rightarrow L$ in Γ with $M = (i, j)$, $N = (i - l, j - l)$, $l \geq 1$, $L = (k, j - l)$, $k > i - l$, and $M, N, L \in \mathcal{E}$.*

PROOF. Assume the contrary. Since $N \in (M \nearrow) \cup (M \searrow)$ by Lemma 1.1, we have in fact $N \in (M \nearrow)$. Similarly, $L \in (N \searrow)$. But then we obtain $L \in \text{Supp Hom}_A(\tau^{-1}M, -)|_{\Gamma'}$ so that $\text{Ext}_A^1(L, M) \neq 0$, a contradiction to the fact that (M, N, L) is a subsequence of \mathcal{E} . ■

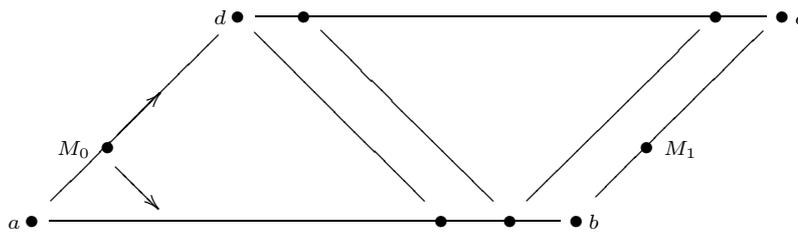
LEMMA 1.3. *Assume there exists a path $M \xrightarrow{f} N \xrightarrow{g} L$ in Γ with $M = (i, j)$, $N = (k, j)$, $k > i$, $L = (k - l, j - l)$, $1 \leq l < k$ and $M, N, L \in \mathcal{E}$. Then $gf = 0$.*

PROOF. By Lemma 1.1 and the hypothesis, we have $N \in (M \searrow \downarrow)$. Also, since (M, N, L) is a subsequence of \mathcal{E} , we have $L \notin \text{Supp Hom}_A(\tau^{-1}M, -)|_{\Gamma'}$, hence $L \notin \text{Supp Hom}_A(M, -)|_{\Gamma'}$. That is, $\text{Hom}_A(M, L) = 0$. ■

LEMMA 1.4. *Let \mathcal{E} be a connected exceptional sequence lying in Γ . Then the quiver of $\text{End } \mathcal{E}$ is a tree.*

PROOF. Assume the contrary; then the quiver of $\text{End } \mathcal{E}$ contains a cycle, which, by [Y], Proposition 3.2, is not an oriented cycle. Let thus \mathcal{F} be a subsequence of \mathcal{E} such that the quiver of $\text{End } \mathcal{F}$ is a cycle. We agree to say that \mathcal{F} passes through two neighbouring corays $(\nearrow(1, j))$ and $(\nearrow(1, j - 1))$ if there is an arrow α of the quiver of $\text{End } \mathcal{F}$ representing a sectional path $\alpha_1 \dots \alpha_r$ where $\alpha_1, \dots, \alpha_r$ are arrows in Γ , and some $1 \leq l \leq r$ such that α_l is the arrow in Γ from $(i, j + i - 1)$ to $(i + 1, j + i - 1)$. We also denote by $\tilde{\Gamma}'$ the universal covering of the full translation subquiver Γ' of Γ of all modules of quasi-length at most $m - 1$ (thus $\tilde{\Gamma}' \cong \mathbb{Z}\mathbb{A}_{m-1}$). We consider two cases:

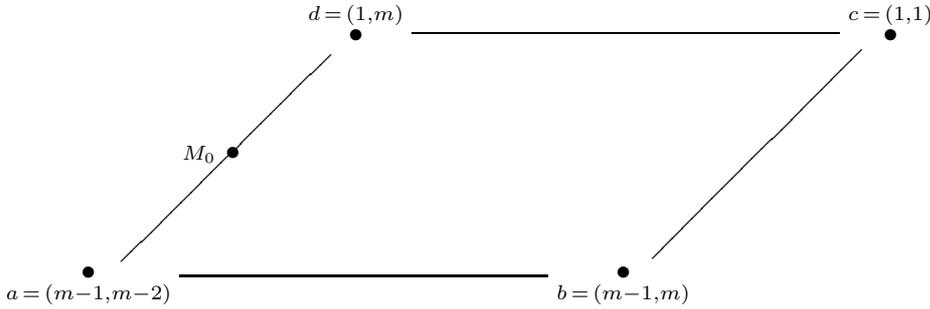
(a) Assume that \mathcal{F} passes through all pairs of neighbouring corays $(\nearrow(1, j))$ and $(\nearrow(1, j - 1))$, where j ranges over \mathbb{Z}_m . Let $M \in \mathcal{F}$; then there exist two points M_0, M_1 in $\tilde{\Gamma}'$ lifting M , and a path of length $m + 1$ from M_0 to M_1 . The corays passing through M_0 and M_1 determine a parallelogram $abcd$ in $\tilde{\Gamma}'$ as shown:



There exists a walk $\tilde{\mathcal{F}}$ inside $abcd$ lifting the non-oriented path \mathcal{F} . Since the horizontal size of $abcd$ is $m + 1$, while its vertical size is $m - 1$, the walk $\tilde{\mathcal{F}}$ must necessarily contain a subpath as in Lemma 1.2. We thus obtain a contradiction.

(b) Assume that \mathcal{F} does not pass through all pairs of neighbouring corays. Without loss of generality, we may suppose that \mathcal{F} does not pass through the pair $(\nearrow(1, 1)), (\nearrow(1, m))$ and that there exists a point M of \mathcal{F} on the coray $(\nearrow(1, m))$. We may further assume that M is the point of \mathcal{F} on $(\nearrow(1, m))$ having the largest first coordinate (that is, quasi-length). We construct as in (a) a point M_0 of $\tilde{\Gamma}'$ lifting M , we consider the coray from $a = (m - 1, m - 2)$ to $d = (1, m)$ passing through M_0 , then construct

a parallelogram $abcd$, where $b = (m - 1, m)$ and $c = (1, 1)$. The hypothesis (b) says that there exists a lifting $\tilde{\mathcal{F}}$ of \mathcal{F} which is entirely contained inside $abcd$.

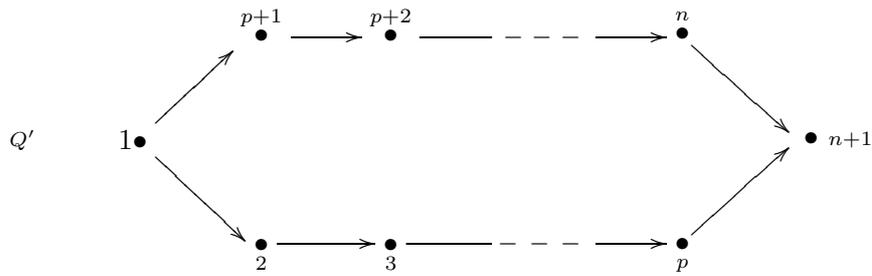


We claim that $M_0 \neq (1, m)$, $M_0 \neq (m - 1, 1)$ and that M_0 is a source in $\tilde{\mathcal{F}}$. Indeed, if $M_0 = (1, m)$, then there is a single ray $(M_0 \searrow)$ starting at M_0 , no other paths in $abcd$ starting or ending at M_0 , so that we cannot form a cycle. If $M_0 = (m - 1, 1)$, then there is a single coray $(M_0 \nearrow)$ starting at M_0 , no other paths starting or ending at M_0 , so that we cannot form a cycle. Finally, let $M_0 \neq (1, m), (m - 1, m - 2)$. Then, by the choice of M , the only walks through M_0 which may lie in $\tilde{\mathcal{F}}$ start with arrows from $(M_0 \nearrow) \cup (M_0 \searrow)$, that is, M_0 is a source in $\tilde{\mathcal{F}}$. But then $\tilde{\mathcal{F}}$ must contain a subpath as in Lemma 1.2, a contradiction. ■

THEOREM 1.5. *Let Γ be an exceptional tube in the Auslander–Reiten quiver of the path algebra of a Euclidean quiver, and $\mathcal{E} = (E_1, \dots, E_t)$ be a connected exceptional sequence whose terms lie in Γ . Then $\text{End } \mathcal{E}$ is a tilted algebra of type \mathbb{A}_t .*

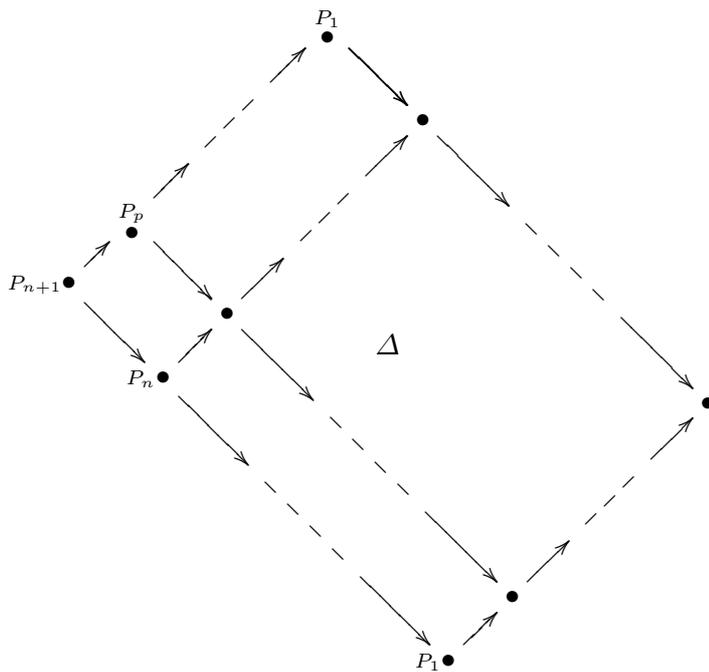
Proof. By [A1, H], we must show that the bound quiver of $\text{End } \mathcal{E}$ is a gentle tree without double zeros. By Lemma 1.4, this quiver is a tree. It follows from Lemma 1.1 that the number of arrows entering or leaving a given point is at most two. By Lemmata 1.2 and 1.3, the bound quiver of $\text{End } \mathcal{E}$ is gentle. Finally, Lemma 1.2 also implies that it has no double zeros. ■

2. Postprojective components. Let $A = kQ$ be the path algebra of a quiver Q of type $\tilde{\mathbb{A}}_n$, with an arbitrary orientation. Assume that Q has p arrows in the counterclockwise sense, and q in the clockwise sense (thus $p + q = n + 1$). We may clearly assume that $p \geq q$. Let Q' be the quiver of type $\tilde{\mathbb{A}}_n$ having just one source 1, and one sink $n + 1$, and having p arrows in the counterclockwise sense, and q in the clockwise sense, and let $B = kQ'$.

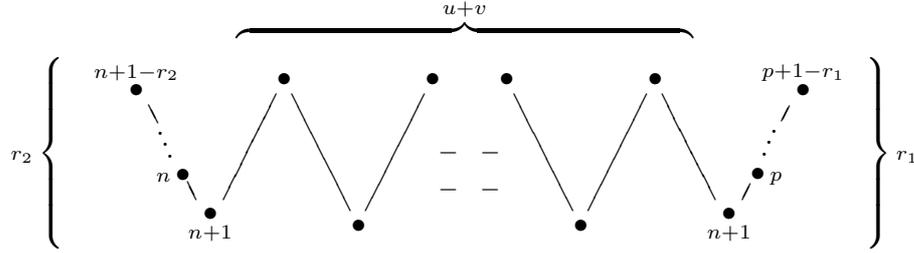


For a point i , we denote by P_i (or I_i) the corresponding indecomposable projective (or injective, respectively) module. There exists a tilting B -module T_B , which is the slice module of a complete slice in the postprojective component \mathcal{P} of $\Gamma(\text{mod } B)$, having as summand P_1 , such that $A = \text{End } T_B$. The tilting module T_B determines a torsion pair in each of $\text{mod } B$ and $\text{mod } A$ such that the full subcategory of $\text{mod } A$ consisting of the postprojective A -modules is equivalent to the full subcategory of $\text{mod } B$ consisting of the torsion postprojective B -modules [A2].

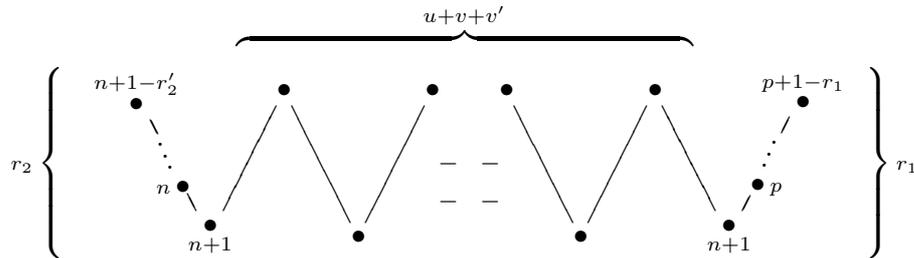
The postprojective component \mathcal{P} contains two types of sectional paths, those parallel to the path from P_{n+1} to P_1 via P_p , which we call (q) -paths, and those parallel to the path from P_{n+1} to P_1 via P_n , which we call (p) -paths. We denote by Δ the full translation subquiver of \mathcal{P} bounded by the two paths from P_{n+1} to P_1 , and the (q) -path, and the (p) -path starting at P_1 .



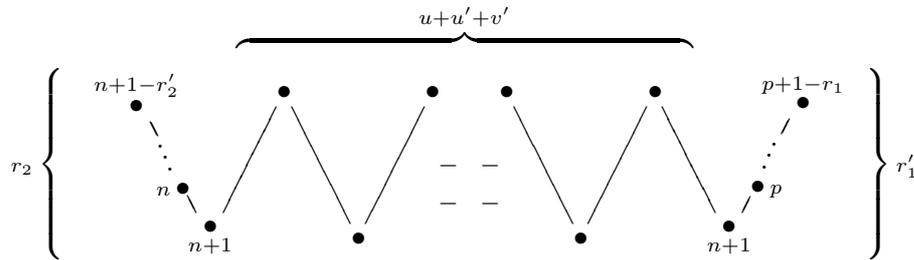
The indecomposable modules in \mathcal{P} are described by lines in \tilde{Q}' , the universal covering of Q' (see [BR]). Thus, for any $r \geq 0$, $\tau^{-r}P_{n+1}$ is given by the line



where the integers u, v, r_1, r_2 are defined by $r = pu + r_1 = qv + r_2$, $u, v \geq 0$, $0 \leq r_1 < p$, $0 \leq r_2 < q$. For $p < k \leq n + 1$ and $r \geq 0$, $\tau^{-r}P_k$ is given by the line



where u, v, r_1, r_2 are as above, and v', r'_2 are defined by $r_2 + (n + 1 - k) = v'q + r'_2$, $v' \geq 0$, $0 \leq r'_2 < q$. Finally, for $1 \leq l \leq p$ and $r \geq 0$, $\tau^{-r}P_l$ is given by the line



where u, v, r_1, r_2 are as above and u', r'_1 are defined by $r_1 + (p + 1 - l) = u'p + r'_1$, $u' \geq 0$, $0 \leq r'_1 < p$.

We call $n + 1 - r_2$ (or $n + 1 - r'_2$) the *left endpoint* and $p + 1 - r_1$ (or $p + 1 - r'_1$) the *right endpoint* of the module.

LEMMA 2.1. *In \mathcal{P} , the modules lying on a (p) -path have the same right endpoint, and those lying on a (q) -path have the same left endpoint. Moreover, each path in the postprojective (or preinjective component) of $\Gamma(\text{mod } A)$ is a monomorphism (or epimorphism, respectively).*

PROOF. The first statement follows from the above description of the modules in \mathcal{P} , the second from this description and the tilting functor $\text{Hom}_B(T, -): \text{mod } B \rightarrow \text{mod } A$. ■

Let now $\mathcal{E} = (E_1, \dots, E_t)$ be an exceptional sequence in the postprojective component \mathcal{P} of $\Gamma(\text{mod } B)$. Applying the functor $\tau = \text{DExt}_B^1(-, B)$, we may assume that one of the modules of \mathcal{E} is projective. But now, if M, N are two modules in \mathcal{P} , we have $\text{Hom}_B(M, N) \neq 0$ if and only if there exists a path from M to N in \mathcal{P} . Thus, (M, N) is a subsequence of \mathcal{E} if and only if there exists a path from M to N in \mathcal{P} , but no path from M to τN . Since, for any indecomposable projective B -module P , and indecomposable module X which is not in Δ , there exists a path from P to τX , we deduce that \mathcal{E} lies entirely in Δ .

LEMMA 2.2. *Let $\mathcal{E} = (E_1, \dots, E_t)$ be an exceptional sequence in \mathcal{P} . Then there exists a complete slice \mathcal{S} of \mathcal{P} such that all terms of \mathcal{E} lie on \mathcal{S} .*

PROOF. Assume that E_i, E_j are two terms in \mathcal{E} . We claim that E_i, E_j belong to different τ -orbits in \mathcal{P} . Indeed, if this is not the case, then there exist an indecomposable projective module P_B and integers $r < s$ such that $E_i = \tau^{-r}P, E_j = \tau^{-s}P$. But then $\text{Hom}_B(E_i, E_j) \neq 0$ implies that (E_i, E_j) is a subsequence of \mathcal{E} , and this contradicts $\text{Ext}_B^1(E_j, E_i) \cong \text{DHom}_B(\tau^{-1}E_i, E_j) \neq 0$.

Let again E_i, E_j be two terms of \mathcal{E} . We may assume without loss of generality that (E_i, E_j) is a subsequence of \mathcal{E} and such that the τ -orbits of E_i and E_j are neighbours among the orbits of the terms of \mathcal{E} in the orbit graph of \mathcal{P} . Now $\text{Hom}_B(\tau^{-1}E_i, E_j) = 0$ implies that E_j is not a successor of $\tau^{-1}E_i$ in Δ and $\text{Hom}_B(E_j, E_i) = 0$ implies that E_j is not a predecessor of E_i . This shows that, if there exists a path from E_i to E_j , then this path is sectional. Consequently, E_i and E_j lie on a complete slice \mathcal{S} of \mathcal{P} , and hence so do all terms in \mathcal{E} . ■

COROLLARY 2.3. *Let $\mathcal{E} = (E_1, \dots, E_t)$ be an exceptional sequence in the postprojective component of $\Gamma(\text{mod } A)$. Then $\text{End } \mathcal{E}$ is a direct product of path algebras of type \mathbb{A}_m (with $m \leq t$), or is a connected path algebra of type $\tilde{\mathbb{A}}_{t-1}$. ■*

LEMMA 2.4. *If (M, N) is an exceptional sequence in $\text{mod } A$, with M postprojective and N preinjective, then $\text{Hom}_A(M, N) = 0$.*

PROOF. Applying the functor τ^{-1} , we may assume that N is injective. By Lemma 2.1, there exists a monomorphism $f : M \rightarrow \tau^{-1}M$. Assume that $g : M \rightarrow N$ is non-zero. The injectivity of N implies the existence of $g' : \tau^{-1}M \rightarrow N$ such that $g = g'f$. Thus $g' \neq 0$. Hence $\text{Ext}_A^1(N, M) \cong \text{DHom}_A(\tau^{-1}M, N) \neq 0$, a contradiction to the fact that (M, N) is an exceptional sequence. ■

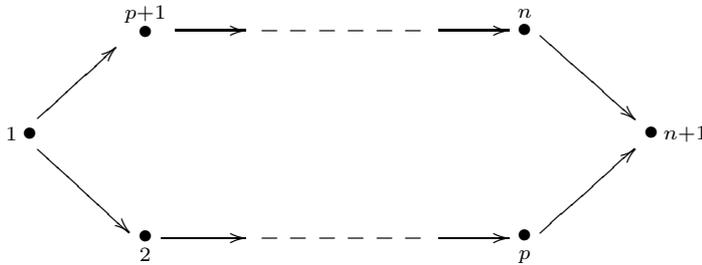
LEMMA 2.5. Let $\mathcal{E} = (E_1, \dots, E_t, F_1, \dots, F_s)$ be an exceptional sequence in $\text{mod } A$, with the E_i postprojective and the F_j preinjective, and $t, s \geq 1$. Then $\text{End}(\bigoplus_{i=1}^t E_i)$ is not the path algebra of a quiver of type $\tilde{\mathbb{A}}_{t-1}$.

PROOF. We assume that $\text{End}(\bigoplus_{i=1}^t E_i)$ is the path algebra of a quiver of type $\tilde{\mathbb{A}}_{t-1}$ and show that \mathcal{E} cannot contain any preinjective term.

Let the quiver Q of A have sources i_1, \dots, i_r and sinks j_1, \dots, j_r such that we have paths from i_k to j_{k-1} and j_k , for each $1 < k \leq r$, and paths from i_1 to j_r and j_1 . Then, for each j lying on the reduced walk from j_{k-1} to j_k containing i_k , we have $\text{Hom}_A(P_{i_k}, I_j) \neq 0$. Let $m > 0$ be an arbitrary integer. By Lemma 2.1, there exists an epimorphism $\tau^m I_j \rightarrow I_j$, hence an epimorphism $\text{Hom}_A(P_{i_k}, \tau^m I_j) \rightarrow \text{Hom}_A(P_{i_k}, I_j)$ so that $\text{Hom}_A(P_{i_k}, \tau^m I_j) \neq 0$. Furthermore, for any monomorphism $f : P_{i_k} \rightarrow X$ with X postprojective and morphism $g : P_{i_k} \rightarrow \tau^m I_j$, there exists a morphism $g' : X \rightarrow \tau^m I_j$ such that $g'f = g$, because we may apply the functor τ^{-m} to these modules. Thus $\text{Hom}_A(X, \tau^m I_j) \neq 0$.

It follows from the proof of Lemma 2.2 that $\text{End}(\bigoplus_{i=1}^t E_i)$ is hereditary of type $\tilde{\mathbb{A}}_{t-1}$ if and only if the terms E_i lie on a complete slice \mathcal{S} , of which all the sources and sinks are themselves terms of the sequence. If all of P_{i_1}, \dots, P_{i_r} are terms of \mathcal{E} , we are done. If P_{i_k} is not a term of \mathcal{E} , there exists a sink X of \mathcal{S} that is a term of \mathcal{E} , and such that P_{i_k} is a submodule of X . Therefore \mathcal{E} cannot contain any preinjective term. ■

3. The arrows from postprojective to regular. In this section, we assume that A is a hereditary algebra of type $\tilde{\mathbb{A}}_n$, and that \mathcal{E} is an exceptional sequence in $\text{mod } A$ such that some terms of \mathcal{E} are postprojective, and some are regular. It follows from the considerations at the beginning of Section 2 that we may assume A to be given by the following quiver:



Then $\Gamma(\text{mod } A)$ has two exceptional tubes Γ_p and Γ_q , of respective ranks p and q . We denote, as in Section 1, by Γ'_p and Γ'_q the full translation subquiver of Γ_p and Γ_q , respectively, consisting of the exceptional modules. We need one more notation: let M be a mouth module in an exceptional tube; the mitre \widehat{M} of M is the full translation subquiver consisting of those exceptional

modules N in the tube such that there exist sectional paths $X \rightarrow \dots \rightarrow N$ for some X in $(\nearrow M)$ and $N \rightarrow \dots \rightarrow Y$ for some Y in $(M \searrow)$.

LEMMA 3.1. *Let (M, N) be an exceptional sequence with M postprojective and N regular. Assume the left endpoint of M is k (with $p+1 \leq k \leq n+1$) and its right endpoint is l (with $2 \leq l \leq p$ or $l = n+1$). Then $\text{Hom}_A(M, N) \neq 0$ if and only if one of the following conditions is satisfied:*

- (a) $N \in (\nearrow(1, i))$ in Γ_q , where $i = 1$ whenever $k = n+1$ and $i = k-p+1$ whenever $p+1 \leq k \leq n$, or
- (b) $N \in (\nearrow(1, i))$ in Γ_p , where $i = 1$ whenever $l = n+1$ and $i = l$ whenever $2 \leq l \leq p$.

PROOF. By the description [DR] of the indecomposable regular A -modules, $\text{Hom}_A(M, N) \neq 0$ implies $N \in (\widehat{1, i})$ and, since (M, N) is an exceptional sequence, we have $\text{Hom}_A(\tau^{-1}M, N) = 0$ so that $N \notin (1, \widehat{i-1})$. ■

We shall need the dual of Lemma 3.1, which we state here for future reference.

LEMMA 3.2. *Let (M, N) be an exceptional sequence with M regular and N preinjective. Assume the right endpoint of N is k (with $k = 1$ or $p+1 \leq k \leq n+1$) and the left endpoint of N is l (with $1 \leq l \leq p$). Then $\text{Hom}_A(M, N) \neq 0$ if and only if one of the following conditions is satisfied:*

- (a) $M \in ((1, k) \searrow)$ in Γ_q , or
- (b) $M \in ((1, l) \searrow)$ in Γ_p . ■

LEMMA 3.3. *Let (M, N) be an exceptional sequence with M postprojective and N regular. If $\text{Hom}_A(M, N) \neq 0$, there exists no $L \in (N \searrow)$ such that (M, N, L) is an exceptional sequence.*

PROOF. Indeed, if this is the case, then $L \in (1, \widehat{i-1})$ so that we have $\text{Hom}_A(\tau^{-1}M, L) \neq 0$, a contradiction. ■

We shall again need the dual.

LEMMA 3.4. *Let (M, N) be an exceptional sequence with M regular and N preinjective. If $\text{Hom}_A(M, N) \neq 0$, there exists no $L \in (\nearrow M)$ such that (L, M, N) is an exceptional sequence. ■*

LEMMA 3.5. *Let $\mathcal{E} = (E_1, \dots, E_r, F_1, \dots, F_s)$ be an exceptional sequence with the E_i postprojective, the F_j regular, and (F_1, \dots, F_s) connected. Then there exist a unique E_i and a unique F_j such that $\text{Hom}_A(E_i, F_j) \neq 0$, and the non-zero morphisms from E_i to F_j factor through no other module in \mathcal{E} .*

PROOF. Since (F_1, \dots, F_s) is connected, we may assume without loss of generality that the F_j lie in Γ_p . By Lemma 3.1, we must consider the right endpoint of any postprojective term of \mathcal{E} which maps non-trivially to them.

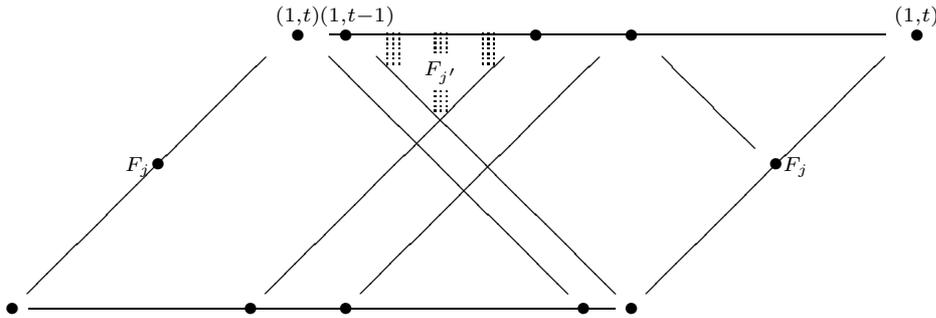
Assume that $E_{i_1} \rightarrow \dots \rightarrow E_{i_u}$ in \mathcal{E} , where all these modules have the same right endpoint l ; then, by Lemma 2.1, these modules are linearly ordered by inclusion. If these modules map non-trivially to some regular term in \mathcal{E} , then these regular terms $F_{j_1} \rightarrow \dots \rightarrow F_{j_v}$ belong to $(\nearrow(1, t))$, where $t = l$ if $2 \leq l \leq p$, or $t = 1$ if $l = n + 1$, and hence are linearly ordered by the quotient relation. Since

$$\begin{aligned} \text{End } \mathcal{E} = & \left[\bigoplus \text{Hom}_A(E_{i_f}, E_{i_g}) \right] \oplus \left[\bigoplus \text{Hom}_A(E_{i_g}, F_{j_h}) \right] \\ & \oplus \left[\bigoplus \text{Hom}_A(F_{j_h}, F_{j_k}) \right] \end{aligned}$$

we choose $E_i = E_{i_u}$ and $F_j = F_{j_1}$. By construction, $\text{Hom}_A(E_i, F_j) \neq 0$ and the non-zero morphisms from E_i to F_j factor through no other module in \mathcal{E} .

It remains to prove the uniqueness of the pair (E_i, F_j) . Since, clearly, any pair satisfying the conditions of the statement is constructed in the above way, assume that there exist $E_{i'}$ with right endpoint $l' \neq l$, and $F_{j'}$, on the line $(\nearrow(1, t'))$ where $t' = l'$ if $2 \leq l' \leq p$ and $t' = 1$ if $l' = n + 1$.

Since $\text{Hom}_A(\tau^{-1}E_i, F_{j'}) = 0$, we have $F_{j'} \notin (1, \widehat{t-1})$. Also, notice that $F_{j'} \notin (\nearrow(1, t))$ by construction of F_j . Since $\text{Hom}_A(\tau^{-1}E_{i'}, F_j) = 0$, we have similarly $F_j \in (1, \widehat{t'-1})$. Therefore, $F_{j'}$ belongs to the shaded area in the figure below.



By Lemma 3.3, there is no $L \in \mathcal{E}$ such that $L \in (\searrow F_j)$ or $L \in (\searrow F_{j'})$. By Lemma 1.2, there is no path $F_j \rightarrow L \rightarrow L'$ (or $F_{j'} \rightarrow L \rightarrow L'$) with $L \in (F_j \nearrow)$ (or $L \in (F_{j'} \nearrow)$, respectively) and $L' \in (L \searrow)$. Therefore, F_j and $F_{j'}$ are disconnected in Γ_p , a contradiction. ■

LEMMA 3.6. *With the assumptions and notation of Lemma 3.3, we have:*

- (a) *If $\text{End}(\bigoplus_{l=1}^r E_l)$ is representation-infinite, then E_i is a sink of \mathcal{S} .*
- (b) *If we have two morphisms $f : E_l \rightarrow E_i$, $g : E_{l'} \rightarrow E_i$, where f is induced by a (q) -path, and g is induced by a (p) -path, and if $h : E_i \rightarrow F_j$ is a non-zero morphism, then $hf = 0$ whenever $F_j \in \Gamma_p$ and $hg = 0$ whenever $F_j \in \Gamma_q$.*

PROOF. (a) This follows from the choice of E_i in Lemma 3.5, and the structure of the complete slice \mathcal{S} (see Lemma 2.2).

(b) This follows from the description of the indecomposable A -modules. ■

4. Proof of the main result. Assume now that A is a tame hereditary algebra of type \tilde{A}_n (with any orientation), and that $\mathcal{E} = (E_1, \dots, E_{n+1})$ is a complete exceptional sequence in $\text{mod } A$. It follows easily from the considerations of Sections 2 and 3 that it suffices to consider the case where there exist t, s such that (E_1, \dots, E_t) are postprojective, (E_{t+1}, \dots, E_s) are regular and $(E_{s+1}, \dots, E_{n+1})$ are preinjective.

We first recall the classification results from [AS, R, H] that will be needed. A triangular algebra is called *gentle* if it is isomorphic to a bound quiver algebra kQ/I , where (Q, I) satisfies:

- (a) The number of arrows in Q with a given source or target is at most two.
- (b) For any $\alpha \in Q_1$, there is at most one $\beta \in Q_1$ and one $\gamma \in Q_1$ such that $\alpha\beta, \gamma\alpha \notin I$.
- (c) For any $\alpha \in Q_1$, there is at most one $\xi \in Q_1$ and one $\zeta \in Q_1$ such that $\alpha\xi, \zeta\alpha \in I$.
- (d) I is generated by a set of paths of length two.

Then we have:

THEOREM 4.1 [AS]. *An algebra is iterated tilted of type \tilde{A}_n if and only if it is gentle and its quiver contains a unique (non-oriented) cycle on which the number of clockwise oriented relations equals the number of counterclockwise oriented relations.* ■

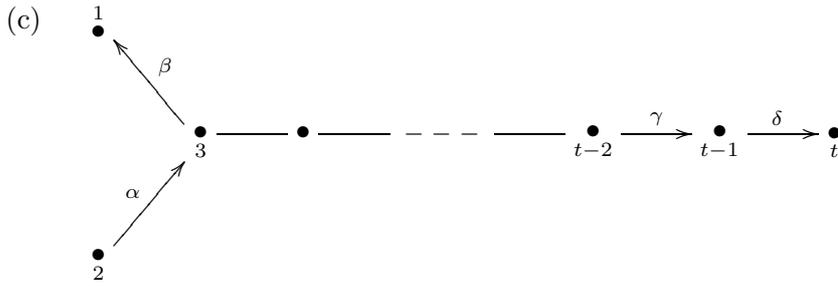
THEOREM 4.2 [R, H]. *An iterated tilted algebra of type \tilde{A}_n is tilted if and only if it contains no full subcategory of one of the following forms or their duals:*

$$(a) \quad \bullet_1 \xrightarrow{\alpha} \bullet_2 \xrightarrow{\beta} \bullet_3 \text{ --- } \bullet_{t-2} \xrightarrow{\gamma} \bullet_{t-1} \xrightarrow{\delta} \bullet_t$$

with $t \geq 4, \alpha\beta = 0, \gamma\delta = 0$.

$$(b) \quad \bullet_1 \xleftarrow{\alpha} \bullet_2 \xleftarrow{\beta} \bullet_3 \text{ --- } \bullet_{t-2} \xrightarrow{\gamma} \bullet_{t-1} \xrightarrow{\delta} \bullet_t$$

with $t \geq 4, \beta\alpha = 0, \gamma\delta = 0, 1$ and 2 lie on the cycle while $t-1$ and t do not.



with $t \geq 6$, $\alpha\beta = 0$, $\gamma\delta = 0$, 1, 2 and 3 lie on the cycle while $t - 2$, $t - 1$ and t do not.



or



with $t \geq 5$, $\alpha\beta = 0$, $\gamma\delta = 0$, all points i with $2 \leq i \leq t - 1$ lie on the cycle while 1 and t do not.

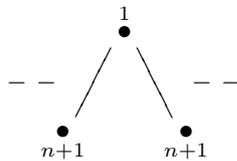
In each case, there are no other relations than the specified ones, and the arrows between 3 and $t - 2$ are oriented arbitrarily. ■

LEMMA 4.3. If (E, F) is an exceptional sequence in $\text{mod } A$ with E post-projective and F preinjective, then:

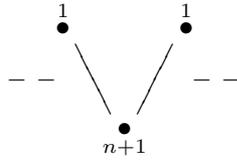
(a) E belongs to the rectangle in the postprojective component \mathcal{P} consisting of the (p) -paths starting at P_{n+1} and P_3 , and the (q) -paths starting at P_{n+1} and P_{p+2} .

(b) F belongs to the rectangle in the preinjective component \mathcal{Q} consisting of the (p) -paths ending at I_{p-1} and I_1 , and the (q) -paths ending at I_{n-1} and I_1 .

PROOF. This follows from the fact that, if E is a module of the form



and F is any preinjective module, then $\text{Hom}_A(E, F) \neq 0$. Dually, if E is any postprojective module, while F is a module of the form

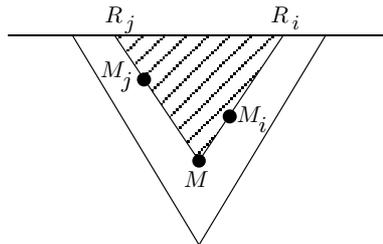


then $\text{Hom}_A(E, F) \neq 0$. ■

LEMMA 4.4. *Let (E, M_1, \dots, M_s, F) be a connected shortest walk in the exceptional sequence \mathcal{E} , with E postprojective, F preinjective and all the M_l regular lying in the same exceptional tube. Then $s = 1$.*

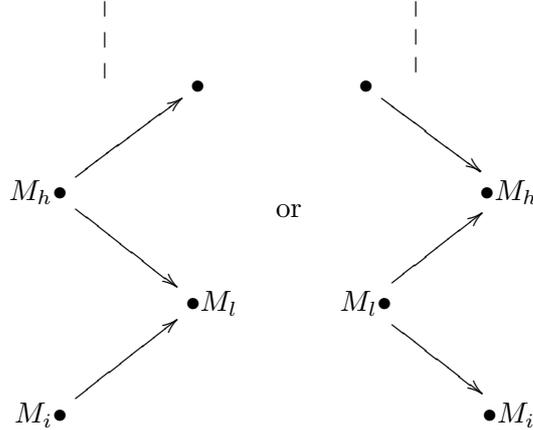
PROOF. We may assume that all the M_l belong to Γ_p . The connectedness of the given walk implies that $\text{Hom}_A(E, M_i) \neq 0$ and $\text{Hom}_A(M_j, F) \neq 0$ for some $1 \leq i, j \leq s$. Let l be the right endpoint of E . Since (E, M_i) is an exceptional sequence with $\text{Hom}_A(E, M_i) \neq 0$, we see, by Lemma 3.1, that $M_i \in (\nearrow(1, l))$ whenever $2 \leq l \leq p$, and $M_i \in (\nearrow(1, 1))$ whenever $l = n + 1$. Dually, if k is the left endpoint of F , then, by Lemma 3.2, we have $M_j \in ((1, k)\searrow)$. Denote by R_i the point $(1, l)$ if $2 \leq l \leq p$, or $(1, 1)$ if $l = n + 1$, and by R_j the point $(1, k)$. By Lemma 3.5, we may assume that E is a sink (among the terms of \mathcal{E}) in a (p) -path, M_i is a source in $(\nearrow R_i)$, M_j is a sink in $(\searrow R_j)$, and F is a source in a (p) -path.

Since $\text{Hom}_A(\tau^{-1}E, M_j) \cong \text{DExt}_A^1(M_j, E) = 0$, it follows that $M_j \in (1, \widehat{l-1})$ when $2 \leq l \leq p$, and $M_j \notin (1, \widehat{p})$ when $l = n + 1$. Dually, since $\text{Hom}_A(M_i, \tau F) \cong \text{DExt}_A^1(F, M_i) = 0$, we have $M_i \notin (1, \widehat{k+1})$ when $k \neq p$, and $M_i \in (1, \widehat{p})$ when $k = p$. Letting M be the module of least quasi-length in the intersection of $(\nearrow R_i)$ and $(\searrow R_j)$, we find that M_i, M_j lie on the sides of the triangle R_iMR_j . Similarly, if $1 \leq l \leq s$, then M_l belongs neither to $(1, \widehat{l-1})$ when $2 \leq l \leq p$, to $(1, \widehat{p})$ when $l = p + 1$, nor to $(1, \widehat{k+1})$ when $k \neq p$, to $(1, \widehat{p})$ when $k = p$. The connectedness of the given walk then implies that M_l belongs to the triangle R_iMR_j .



We claim that $M_i = M_j$. Assume that $M_i \neq M_j$ and that $\text{Hom}_A(M_j, M_i) \neq 0$. Then $M_i \in \text{Supp Hom}_A(M_j, -)$ but $M_i \notin \text{Supp Hom}_A(\tau^{-1}M_j, -)$.

Hence $M_i = M$, and this contradicts the assumption that M_j is a sink in $(\searrow R_j)$. On the other hand, if $M_i \neq M_j$ and $\text{Hom}_A(M_j, M_i) = 0$, then, by Lemma 1.1, there exists M_l inside the triangle $R_i M R_j$ such that $\text{Hom}_A(M_i, M_l) \neq 0$ or $\text{Hom}_A(M_l, M_i) \neq 0$, that is, $M_l \in (M_i \nearrow)$ or $M_l \in (\searrow M_i)$, since M_i is a source in $(\nearrow R_i)$. By the connectedness of the given sequence, there exists M_h such that $\text{Hom}_A(M_l, M_h) \neq 0$ or $\text{Hom}_A(M_h, M_l) \neq 0$, that is, $M_h \in (M_l \nearrow) \cup (M_l \searrow)$ or $M_h \in (\searrow M_l) \cup (\nearrow M_l)$. By induction and Lemma 1.2, we obtain a walk of the form



Thus we cannot reach M_j , a contradiction. This shows that $M_i = M_j$. Hence $s = 1$. ■

LEMMA 4.5. *Let (E, M, F) be a connected subsequence of \mathcal{E} , with E postprojective, M regular and F preinjective. Then the simple module S_{n+1} is a direct summand of the socle of M .*

PROOF. We observe that S_{n+1} is a direct summand of $\text{soc } M$ if and only if

$$M \neq \begin{matrix} i \\ i+1 \\ \vdots \\ i+k \end{matrix}$$

(with $2 \leq i \leq p$, $i+k < p+1$ if $M \in \Gamma_p$ or $p+1 \leq i \leq n$, $i+k < n+1$ if $M \in \Gamma_q$), or, equivalently, if and only if $M \in \widehat{R}$ (where

$$R = \begin{matrix} 1 \\ p+1 \\ \vdots \\ n \\ n+1 \end{matrix}$$

if $M \in \Gamma_p$, or

$$R = \begin{matrix} 1 \\ 2 \\ \vdots \\ p \\ n+1 \end{matrix}$$

if $M \in \Gamma_q$). If S_{n+1} is not a direct summand of $\text{soc } M$, and $M \in \Gamma_p$, then the right endpoint of E is i , and the left endpoint of F is $i+k$. Therefore the left endpoint of τF is $i+k+1$. Hence $\text{Ext}_A^1(F, E) = \text{D Hom}_A(E, \tau F) \neq 0$, a contradiction. The proof is similar if $M \in \Gamma_q$. ■

LEMMA 4.6. *Let (E_1, M_1, F_1) and (E_2, M_2, F_2) be two connected subsequences of \mathcal{E} , with E_1, E_2 postprojective, M_1, M_2 regular and F_1, F_2 preinjective. If $M_1 \neq M_2$, then M_1 and M_2 lie in two different tubes.*

Proof. Assume that this is not the case, and that both M_1 and M_2 lie in Γ_p (say). Suppose the right endpoint of E_1 , and therefore of M_1 , is l_1 where $3 \leq l_1 \leq p$, or $l_1 = n+1$, by Lemma 4.3, and similarly that the right endpoint of E_2 , and therefore of M_2 , is l_2 , where $3 \leq l_2 \leq p$, or $l_2 = n+1$.

(a) Assume $l_1 = l_2 = l$, say; then $M_1, M_2 \in (\nearrow(1, l))$ when $l \leq p$, or $(\nearrow(1, 1))$ whenever $l = n+1$. Without loss of generality, we may assume that $\text{Hom}_A(M_1, M_2) \neq 0$. Now, $\text{Hom}_A(M_2, F_2) \neq 0$, therefore $\text{Hom}_A(F_2, M_1) = 0$ (or, equivalently, (M_1, F_2) is a subsequence of \mathcal{E}). Letting k_2 denote the left endpoint of M_2 and F_2 , where $k_2 = 1, 2, \dots, p-1$, we get $M_1 \notin (\widehat{1, k_2})$ and this contradicts the fact that $M_1 \in (\nearrow M_2)$.

(b) If $l_1 < l_2$, then $M_1 \in (\widehat{1, l_2})$ when $l_2 \leq p$, or $M_1 \in (\widehat{1, 1})$ when $l_2 = n+1$. Hence $\text{Hom}_A(E_2, M_1) \neq 0$. On the other hand, $M_1 \in (\widehat{1, l_2 - 1})$ when $l_2 \neq n+1$, and $M_1 \in (\widehat{1, p})$ when $l_2 = n+1$, thus $\text{Hom}_A(\tau^{-1}E_2, M_1) \neq 0$, that is, $\text{Ext}_A^1(M_1, E_2) \neq 0$. This is impossible, since E_2, M_1 belong to the same exceptional sequence \mathcal{E} . ■

LEMMA 4.7. *Let (E, M) be a connected subsequence of \mathcal{E} , with E postprojective and a sink on a (p) -path (among the terms of \mathcal{E}), and $M \in \Gamma_p$. Then*

(a) $\text{Hom}_A(E', E) \neq 0$, with E' postprojective and in \mathcal{E} , implies that the path from E' to E is a (p) -path.

(b) $\text{Hom}_A(E, E'') \neq 0$, with E'' postprojective and in \mathcal{E} , implies that the path from E to E'' is a (q) -path.

Furthermore, there cannot exist at the same time in \mathcal{E} terms such as E' and E'' above.

PROOF. To show (a), assume that the path from E' to E is a (q) -path. The right endpoint of E' is larger than the right endpoint of E , and $M \in \widehat{R}$, where R is regular having the same right endpoint as that of $\tau^{-1}E'$, a contradiction. (b) is proven similarly. The last statement follows from the fact that, if E' and E'' both occur, then the points E', E, E'' cannot lie on a complete slice, a contradiction to Lemma 2.2. ■

We shall also need the dual statement.

LEMMA 4.8. *Let (M, F) be a connected subsequence of \mathcal{E} , with F preinjective and a source on a (q) -path (among the terms of \mathcal{E}), and $M \in \Gamma_q$. Then*

(a) $\text{Hom}_A(F, F') \neq 0$, with F' preinjective and in \mathcal{E} , implies that the path from F to F' is a (q) -path.

(b) $\text{Hom}_A(F'', F) \neq 0$, with F'' preinjective and in \mathcal{E} , implies that the path from F'' to F is a (p) -path.

Furthermore, there cannot exist at the same time in \mathcal{E} terms such as F' and F'' above. ■

LEMMA 4.9. *If (E, M, F) is a connected subsequence of \mathcal{E} , with E postprojective, M regular and F preinjective, then $\text{Hom}_A(E, F) = 0$. Further, if M_1, M_2 are regular and $\text{Hom}_A(M_1, M) \neq 0, \text{Hom}_A(M, M_2) \neq 0$, then $M_1 \in (\searrow M), M_2 \in (M \nearrow)$ and $\text{Hom}_A(M_1, M_2) = 0$.*

PROOF. The first statement is clear by Lemma 2.4. The second statement follows from Lemmata 1.1, 3.3, 3.4 and 1.3. ■

PROPOSITION 4.10. *Let $A = kQ$ be a path algebra of type \widetilde{A}_n , and \mathcal{E} be an exceptional sequence in $\text{mod } A$. Assume that \mathcal{E} contains a cycle \mathcal{C} consisting of postprojective, regular and preinjective terms. Then the connected component of $\text{End } \mathcal{E}$ containing the cycle corresponding to \mathcal{C} is a representation-finite tilted algebra of type \widetilde{A}_l , with $l \leq n$.*

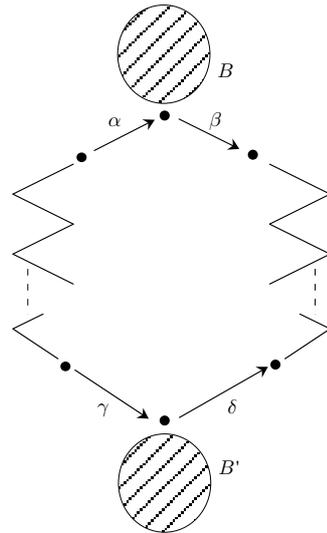
PROOF. It follows from Lemmata 4.6, 4.4, 4.7, 4.8 and Theorem 1.5 that, if E belongs to \mathcal{C} , and E' belongs to $\mathcal{E} \setminus \mathcal{C}$, and both are postprojective, then

$$\text{Hom}_A(E, E') = 0 \quad \text{and} \quad \text{Hom}_A(E', E) = 0,$$

and, dually, if F belongs to \mathcal{C} , and F' belongs to $\mathcal{E} \setminus \mathcal{C}$, and both are preinjective, then

$$\text{Hom}_A(F, F') = 0 \quad \text{and} \quad \text{Hom}_A(F', F) = 0;$$

consequently, the quiver of the connected component of $\text{End } \mathcal{E}$ containing the points corresponding to the cycle \mathcal{C} is as follows:

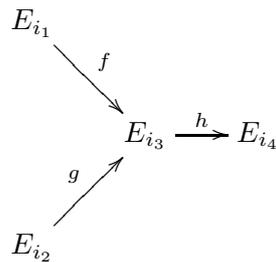


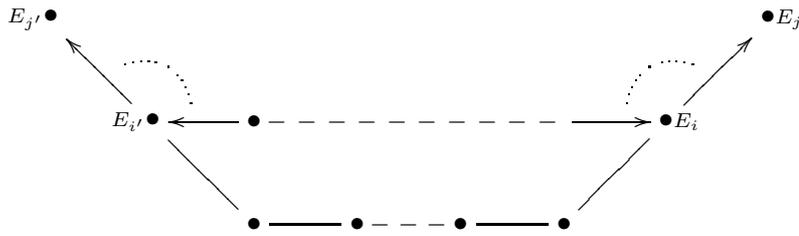
where $\alpha\beta = 0$, $\gamma\delta = 0$, all unoriented edges on the cycle may be oriented arbitrarily, and B, B' are tilted algebras of type \mathbb{A}_m . The statement then follows from Theorem 4.2. ■

We may thus assume that, if a cycle occurs in the bound quiver of $\text{End } \mathcal{E}$, then all the points of this cycle are postprojective (or, dually, preinjective). The main theorem follows from the next two lemmata.

LEMMA 4.11. *With the above notation, $\text{End } \mathcal{E}$ is either a direct product of one representation-infinite iterated tilted algebra of type $\tilde{\mathbb{A}}_n$ (with $m \leq n$) and iterated tilted algebras of type \mathbb{A}_l (with $l \leq n - m$), or else a direct product of iterated tilted algebras of type \mathbb{A}_l (with $l \leq n + 1$).*

Proof. By Theorem 1.5, Corollary 2.3 and Lemmata 2.5, 3.5, the ordinary quiver of $\text{End } \mathcal{E}$ contains at most one cycle and, if it does, then this cycle is not bound by any relation. We thus only need to show that $\text{End } \mathcal{E}$ is a gentle algebra. Assume that $\mathcal{F} = (F_1, \dots, F_t)$ is a connected subsequence of \mathcal{E} . If \mathcal{F} lies entirely in the regular part, then, by Theorem 1.5, $\text{End } \mathcal{F}$ is gentle. If \mathcal{F} lies in the postprojective (or the preinjective) component then, by Corollary 2.3, $\text{End } \mathcal{F}$ is also gentle. Assume that we have non-zero morphisms



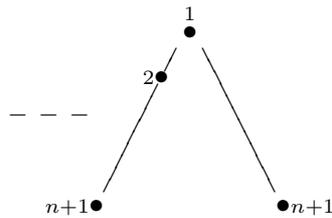


where the dotted lines indicate zero-relations. Therefore (b) and (d) do not occur. This completes the proof in case the bound quiver of $\text{End } \mathcal{E}$ contains a cycle.

If the bound quiver of $\text{End } \mathcal{E}$ contains no cycle we need to show that it contains no walk of the form

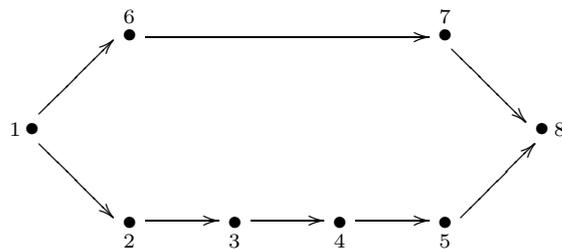
$$\bullet_1 \xrightarrow{\alpha} \bullet_2 \xrightarrow{\beta} \bullet_3 \text{ --- } \bullet_{t-2} \xrightarrow{\gamma} \bullet_{t-1} \xrightarrow{\delta} \bullet_t$$

with $\alpha\beta = 0, \gamma\delta = 0$ and $t \geq 4$. If there is no path from \mathcal{P} to the preinjective component \mathcal{I} in \mathcal{E} , we are done by the argument above. If there exists such a path, then we have a subsequence (E, F, G) of \mathcal{E} with $E \in \mathcal{P}, F \in \Gamma_p \vee \Gamma_q, G \in \mathcal{I}$ and $\text{Hom}_A(E, F) \neq 0, \text{Hom}_A(F, G) \neq 0$ by Lemmata 3.3, 3.4. Assume that $F \in \Gamma_p$ (the other case is similar) with right endpoint l , and $2 \leq l \leq p$, then E has right endpoint l , by Lemma 3.1, and G has left endpoint l , by Lemma 3.2. Then, if $l \neq 2, \tau^{-1}E$ has right endpoint $l - 1$ or $\tau^{-1}E$ is given by a line of the form



(see [BR]). Since G has l as left endpoint, we have $\text{Hom}_A(\tau^{-1}E, G) \neq 0$, a contradiction. ■

REMARK 4.13. (a) With the above notation $\bigoplus_{i=1}^{n+1} E_i$ is generally not a tilting module; for instance, if A is given by the quiver



then the sequence (S_2, S_3) consisting of the simple modules corresponding to the points 2, 3 is clearly exceptional, but $\text{Ext}_A^1(S_2, S_3) \neq 0$ shows that $S_2 \oplus S_3$ is not a partial tilting module.

(b) The methods of Section 1 can be used with only slight modifications to prove the following theorem:

THEOREM. *Let k be a commutative field, Q be a quiver with underlying graph \mathbb{A}_n , and $A = kQ$ be its path algebra. Let \mathcal{E} be an exceptional sequence in $\text{mod } A$. Then $\text{End } \mathcal{E}$ is a direct product of tilted algebras of type \mathbb{A}_l (with $l \leq n$). Each connected subsequence of \mathcal{E} is a partial tilting module. ■*

This strengthens the result of [Y]. We omit the proof, since we learned later that it was proved independently by Meltzer [M], using the derived category. In the same paper, Meltzer gives an example showing that a similar statement does not hold for other Dynkin diagrams.

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