Ideals in rings of continuous functions *

by

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1. Introduction. Let X be a completely regular Hausdorff space. In this paper, we study several problems about ideals in the ring C(X) of all continuous real-valued functions on X, and in the ring $C^*(X)$ of all bounded continuous real-valued functions on X.

Familiarity with the main results of [3], [5] and [8] will be assumed. However, a brief review of some of the concepts needed is given in section 2. We also make use of the results of the preceding paper [13], which will be referred to throughout as [K].

In sections 3 and 4, we are concerned with certain ideals of $C^*(X)$, namely, the ring of functions "vanishing at ∞ ", the subring of functions with compact supports, and the ideals of $C^*(X)$ which are contained in the first ring and contain the second. In section 4, we obtain an algebraic characterization of a certain subclass of this collection. In these sections, we usually assume that X is a locally compact Hausdorff space.

Section 5 is devoted to an investigation of the ideals contained in a given maximal ideal, and the quotient rings obtained from some of these ideals, under the hypothesis that the prime ideals in this family intersect in a prime ideal. The study of rings of functions satisfying this requirement was initiated in [4].

The last section contains miscellaneous results connected with some algebraic questions raised in [K], and with the concept of P-space introduced in [3].

2. Preliminary remarks. Throughout this paper, X denotes a completely regular Hausdorff space. The letter R is reserved for the field of real numbers. We are primarily concerned with the following rings: C(X), the ring of all continuous real-valued functions on X; $C^*(X)$, the subring of all bounded functions of C(X); $C_s(X)$, the subring of all

functions of C(X) with compact supports; and $C_{\infty}(X)$, the subring of all functions of C(X) which "vanish at ∞ ": $f \in C_{\infty}(X)$ if and only if $f \in C(X)$ and for each $\varepsilon > 0$, the set $\{x \in X : |f(x)| \ge \varepsilon\}$ is compact. This last concept can be generalized. We define the subring of C(X) of functions which "approach a limit at ∞ " to be all functions of the form $f + r \cdot 1$, where $f \in C_{\infty}(X)$, $r \in R$ and 1 is the identity of C(X).

As is well known, with each space X there is associated a compact Hausdorff space βX , the Čech compactification of X, having the properties: (1) X is (homeomorphic to) a dense subspace of βX ; (2) every $f \in C^*(X)$ has a continuous extension f^{β} over βX . The space βX is unique (up to homeomorphism). The closure in X of any set $A \subseteq X$ will be written as \overline{A} ; and in βX , as A^{β} . The space vX is the largest subspace of βX over which every function in C(X) (whether bounded or not) has a continuous extension. Furthermore, if $f \in C(X)$ is regarded as a function from X to the one-point compactification of R, designated by $R \cup \{\infty\}$, then f may be extended to a continuous function \widehat{f} from βX to $R \cup \{\infty\}$. As observed in [5], this follows from a theorem of Stone. (See [5] for further discussion of the function \widehat{f}).

For every $f \in C(X)$, the set $Z(f) = \{x \in X : f(x) = 0\}$ is called the zero-set of f. For any subset I of C(X), we let $\mathcal{Z}(I) = \{Z(f) : f \in I\}$.

Let A be a commutative ring. The set of primitive (i. e., prime maximal) ideals of A is denoted by $\mathfrak{M}(A)$. If this set is given the Stone topology (cf. [K], § 2), it will be written $\mathfrak{M}_s(A)$; and if it is given some other topology T, this will be indicated as $\mathfrak{M}_T(A)$. When A is a subring of B, and the mapping γ defined by $\gamma(M) = M \cap A$, $M \in \mathfrak{M}_s(B)$, is into $\mathfrak{M}_s(A)$, then it is continuous. This statement follows from the discussion in [9], § 3.

It is well known that $\mathfrak{M}_s(C^*(X))$ and $\mathfrak{M}_s(C(X))$ are both homeomorphic to βX . In the first case, $M \in \mathfrak{M}_s(C^*(X))$ if and only if $M = M^{*p} = \{f \in C^*(X): f^{\beta}(p) = 0\}$ for some $p \in \beta X$. The correspondence in the second case is given explicitly in:

LEMMA 2.1 (Gelfand-Kolmogoroff). For every point p in βX , the set $M^p = \{f \in C(X): p \in Z(f)^\beta\}$ is a maximal ideal of C(X). Conversely, for every maximal ideal M of C(X) there is a unique $p \in \beta X$ such that $M = M^p$.

For a proof, see [5].

Furthermore, in either ring, the subspace of all fixed ideals (i. e., such that $p \in X$) is homeomorphic to X.

For any ideal I of C(X), we define $\Delta(I) = \bigcap_{f \in I} Z(f)^{\beta} = \bigcap_{Z \in Z(I)} Z^{\beta}$. Equivalently, $\Delta(I) = \{p \in \beta X : M^p \supseteq I\}$. As noted in [5], p. 453, the equivalence is a consequence of the Gelfand-Kolmogoroff lemma. It is evident that $\Delta(I)$ is a closed subset of βX .

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An ideal of C(X) of particular interest to us, which was introduced in [3], is N^p $(p \in \beta X)$. This is defined to be all $f \in C(X)$ such that Z(f) contains the intersection of X with a neighborhood of p in βX .

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In the terminology of [K], for any commutative ring A, and any $a \in A$, the set $\mathfrak{M}(a) = \{M \in \mathfrak{M}(A) : a \in M\}$ is called the \mathfrak{M} -set of a. Let I be an ideal of A. We shall say that I is a 3-ideal if whenever $\mathfrak{M}(a) = \mathfrak{M}(b)$ and $b \in I$, then $a \in I$. It is useful to examine this definition for the rings $C^*(X)$ and C(X). If $f \in C^*(X)$, then $\mathfrak{M}(f)$ is the zero-set of f^{β} , regarded as an element of $C(\beta X)$. Thus, an ideal I of $C^*(X)$ is a 3-ideal if whenever $Z(f^{\beta}) = Z(g^{\beta})$ (in βX), and $g \in I$, then $f \in I$. On the other hand, if $f \in C(X)$, then $\mathfrak{M}(f)$ is the set $Z(f)^{\beta}$. Now it is easily shown that $Z(f)^{\beta} = Z(g)^{\beta}$ if and only if Z(f) = Z(g). Thus an ideal I of C(X) is a 3-ideal if whenever Z(f) = Z(g) and $g \in I$, then $f \in I$.

LEMMA 2.2. Every \Im -ideal of C(X) is an intersection of prime ideals. The proof is almost identical with the first part of [4], Theorem 1.4.

It was shown in [8], that if $C(X)/M^p$ is not isomorphic to R, then it is isomorphic to a non-Archimedean ordered field containing R. In section 5, we obtain a similar result for other quotient rings.

We conclude these remarks with a lemma of McKnight [16] about topologies on $\mathfrak{M}(A)$:

Lemma 2.3. If T is a topology on $\mathfrak{M}(A)$ such that each $a \in A$ is a continuous function from $\mathfrak{M}(A)$ to $a(T_1)$ topological ring, then T is at least as strong as the Stone topology.

Proof. The inverse images of zero by elements of A are closed; these are precisely the \mathfrak{M} -sets. But the \mathfrak{M} -sets form a base for the closed sets of the Stone topology on $\mathfrak{M}(A)$ (see [K], § 2).

3. $C_s(X)$, $C_{\infty}(X)$ and related ideals of $C^*(X)$. As is well known, if X is compact, the space $\mathfrak{M}_s(C(X))$ is homeomorphic to X. The first part of the section is devoted to a study of a generalization of this statement.

The following lemma and proof are taken from [16], with some minor expository modifications, and a slight generalization.

LEMMA 3.1 (McKnight). Let X be a completely regular Hausdorff space. Let Δ be any closed subset of βX . Then the set I consisting of all $f \in C(X)$ for which $Z(f)^{\beta}$ contains a neighborhood of Δ , is the smallest ideal of C(X) such that $\Delta(I) = \Delta$.

Proof. If $f, g \in I$, then there exist open subsets V, W of βX such that $\Delta \subseteq V \subseteq Z(f)^{\beta}$, $\Delta \subseteq W \subseteq Z(g)^{\beta}$; thus

$$\Delta \subset V \cap W \subset Z(f)^{\beta} \cap Z(g)^{\beta} \subset Z(f-g)^{\beta}$$
.

And for any $h \in C(X)$, we have $\Delta \subset V \subset Z(hf)^{\beta}$. Thus, I is an ideal.



Obviously, $\Delta(I) \supseteq \Delta$. For any $p \notin \Delta$, there is an $f \in C^*(X)$ such that $f^{\theta}(p) = 1$, $f^{\theta}(\Delta) = -1$. Let $g = \max\{f, 0\}$. Then $g \in I$, and $p \notin Z(g)^{\theta}$. It follows that $\Delta(I) = \Delta$.

Finally, let J be any ideal satisfying $\Delta(J)=\Delta$. Given $f \in I$, there is an open subset U of βX such that $\Delta \subseteq U \subseteq Z(f)^{\beta}$. For each point $p \in \beta X = U$, there is a non-negative function $g_p \in J \cap C^*(X)$ such that $g_p^p(p) > 1$. The open sets $U_p = \{q: g_p^p(q) > 1\}$ cover $\beta X = U$; by compactness, there is a finite subcover, say $\{U_1, \ldots, U_n\}$. The sum $g = g_1 + \ldots + g_n$, where g_i is the defining function of U_i , is in $J \cap C^*(X)$; and $g^p(p) > 1$ for all $p \in \beta X = U$. Since βX is normal, there is an $h \in C^*(X)$ such that $h^p(\Delta) = 0$, $h^p(\beta X = U) = 1$. Define $m \in C(X)$ by: m(x) = h(x)/g(x) if g(x) > 1, and m(x) = h(x) if g(x) < 1. Let e = mg. Then $e \in J$; and e(x) = 1 for $x \in X - Z(f)$; so ef = f, which implies that $f \in J$. Thus, $I \subseteq J$.

We recall that an ideal I of any subring of C(X) is said to be free if for each $p \in X$, there is an $f \in I$ such that $p \notin Z(f)$.

LEMMA 3.2. Let X be a completely regular Hausdorff space. The ring $C_{\infty}(X)$ is the intersection of the free maximal ideals of $C^*(X)$.

Proof. The intersection of the free maximal ideals of $C^*(X)$ coincides with the set $I = \{f \in C^*(X) : f^{\beta}(\beta X - X) = 0\}$. Now it is easily seen that the following statements are equivalent: $f \in C_{\infty}(X)$, i. e., for every $\varepsilon > 0$, the set $F_s = \{x \in X : |f(x)| \ge \varepsilon\}$ is compact; for every $\varepsilon > 0$, $F_s^{\beta} = F_s$; for every $\varepsilon > 0$, $|f^{\beta}(p)| < \varepsilon$ for all $p \in \beta X - X$; and $f \in I$.

THEOREM 3.3 (1). Let X be a locally compact Hausdorff space, and let A be an ideal of $C^*(X)$. Then the following statements are equivalent.

- (a) $C_s(X) \subseteq A \subseteq C_{\infty}(X)$.
- (b) For $a\overline{ll}$ $M \in \mathfrak{M}_s(C^*(X))$, $M \supseteq A$ if and only if M is a free ideal.
- (c) The mapping $p \to M^{*p} \cap A$ $(p \in X)$ is a homeomorphism from X to $\mathfrak{M}_s(A)$.

Proof. (b) \leftrightarrow (c). Since the mapping $p \to M^{*p}$ ($p \in X$) is a homeomorphism from X to the space of fixed maximal ideals of $C^*(X)$, this follows without difficulty from [K], Theorem 5.2 (for the spaces $\mathfrak{M}_s(C^*(X))$ and $\mathfrak{M}_s(A)$).

(a) \rightarrow (b). Let $M \in \mathfrak{M}_s(C^*(X))$ be a free ideal. Then by Lemma 3.2, $A \subseteq C_{\infty}(X) \subseteq M$.

⁽¹⁾ The statement (a) \rightarrow (c) has been obtained independently by J. G. Horne, using the concept of 0-ideal. For $A=C_1(X)$, this result was announced by M. E. Shanks in [17]. His proof (which has not been published) is also based on a viewpoint which is different from ours. For $A=C_\infty(X)$, (and Lemma 3.4), cf. Loomis [14], p. 60. (Added in proof: See also Théorèm 1 of K. Fujiwara, Sur les anneaux des fonctions continues à support compact, Math. J. Okayama Univ. 3 (1954)- p. 175-184.)

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Now suppose $M \in \mathfrak{M}_{\epsilon}(C^*(X))$ is a fixed ideal, i. e. $M = M^p$ for some $p \in X$. Since X is locally compact, there is a neighborhood V of p with compact closure. And since X is completely regular, there is an $f \in C^*(X)$ such that f(p) = 1, f(X - V) = 0. Thus $f \in C_s(X)$, and $f \notin M^p$, so $C_s(X) \subset M^p$. Hence $A \subset M^p$.

Before concluding the proof of Theorem 3.3, we state and prove a lemma.

The one-point compactification $X \cup \{\infty\}$ of a locally compact Hausdorff space X will be denoted by X^* .

LEMMA 3.4. Let X be a locally compact Hausdorff space. Then $(C_{\infty}(X); \mathbb{R})$ (notation as in [K], § 6) is isomorphic to $C(X^*)$.

Proof. By Theorem 3.3, (a) ->(c), whose proof has been completed. the mapping $p \to M^{*p} \cap C_{\infty}(X)$ $(p \in X)$ is a homeomorphism from X to $\mathfrak{M}_s(C_{\infty}(X))$. Thus, $C_{\infty}(X)$ may be regarded as the ring $C_{\infty}(\mathfrak{M}_s(C_{\infty}(X)))$. By [K], Theorem 6.3, $(C_{\infty}(X); R)$ is a subring of $C(X^*)$. But it is evident that in this case, all of $C(X^*)$ is obtained.

We return to the proof of 3.3.

(b) \rightarrow (a). Since $A \subseteq M$ for every free ideal $M \in \mathfrak{M}_s(C^*(X))$, it follows from Lemma 3.2 that $A \subseteq C_{\infty}(X)$.

By 3.4, we imbed $C_{\infty}(X)$ in $C(X^*)$. Now $C(X^*)$ is isomorphic to the subring of $C^*(X)$ consisting of all functions which "approach a limit at ∞ ". Thus, A may be viewed as an ideal of $C(X^*)$ contained in M^{∞} . Now for each $p \in X$, there exists an $f \in A$ such that $f(p) \neq 0$. Hence $\Delta(A) = \bigcap Z(f) = {\infty}$. By Lemma 3.1, the ideal of all functions vanishing in a neighborhood of ∞ is contained in A, i. e., $C_s(X) \subseteq A$.

Before stating the next theorem, we point out that it was shown in [3], Theorem 3.3, that every prime ideal of C(X) is contained in a unique maximal ideal.

THEOREM 3.5. Let X be a compact Hausdorff space; P, a prime ideal of C(X); and M^p, the unique maximal ideal containing P. Then every maximal ideal of P has the form $P \cap M^q$, where $q \neq p$, $M^q \in \mathfrak{M}(C(X))$.

Proof. Suppose not, and let I be a maximal ideal of P which is not of the form indicated. There is a non-negative function $g \in P-I$. For let $f \in P - I$ be arbitrary. The relations $\max\{f, 0\} \cdot \min\{f, 0\} = 0 \in P$, $\max\{f,0\} + \min\{f,0\} = f \in P - I$ imply that both $\max\{f,0\}$, $\min\{f,0\}$ are in P but not both are in I; hence, either $\max\{f,0\}$ or $-\min\{f,0\}$ is in P-I. Now we have also $\sqrt{g} \in P-I$. Thus P/I is not a zero-ring; so I must be a prime ideal of P. It follows that P is isomorphic to an ideal P' of $C^*(X-\{p\})$ satisfying $C_s(X-\{p\}) \subseteq P' \subseteq C_\infty(X-\{p\})$ and having a free primitive ideal. This contradicts Theorem 3.3.



COROLLARY 3.6. Let X be a locally compact Hausdorff space. Then $C_{\bullet}(X)$ is an ideal of $C_{\infty}(X)$ which is contained in no maximal ideal.

Proof. M^{∞} is a prime ideal of $C(X^*)$; by Theorem 3.5, every maximal ideal of M^{∞} has the form $M^{\infty} \cap M^q$, where $q \neq \infty$, $M^q \in \mathfrak{M}(C(X^*))$. But $C_s(X) \subset M^q$.

COROLLARY 3.7. Let X be a compact Hausdorff space; F, a closed subset of X; and A, the subring of C(X) consisting of all functions which vanish on F. Then every maximal ideal of A has the form $A \cap M^q$, where $q \notin F$, $M^q \in \mathfrak{M}(C(X)).$

Proof. Form a quotient space by reducing F to a point.

EXAMPLE 3.8. The ring $C_{\infty}(R)$ is a ring in which to every maximal ideal there corresponds an element in its complement having a relative identity (so that every maximal ideal is primitive [K], § 4), but not every element has a relative identity. For, it is clear that any maximal ideal failing to have this property would necessarily contain $C_s(R)$. By 3.6, there are no such maximal ideals. And, the function f in $C_{\infty}(R)$ defined by $f(x) = 1/(x^2 + 1)$, for all $x \in R$, is an element with no relative identity.

It has been noted that we may view $C_{\infty}(X)$ as the ideal M^{∞} in $C(X^*)$. It is easily seen that, similarly, $C_s(X)$ may be considered as the ideal N^{∞} of $C(X^*)$ (see section 2 for the definition of N^p). In fact, $C_s(X)$ and $C_{\infty}(X)$ are the minimal and maximal ideals associated with the closed set {∞} in X^* , in the sense of Lemma 3.1. If I is a proper ideal of $C_{\infty}(X)$, it follows from Theorem 3.3 that I is contained in no primitive ideal if and only if $\Delta(I) \cap X$ is empty, or, regarding $\Delta(I)$ as a subset of X^* , if and only if $\Delta(I) = {\infty}$. Thus, by Lemma 3.1, $C_s(X)$ is the minimal ideal contained in no primitive ideal.

Now $N^{\infty} = M^{\infty}$ if and only if ∞ is a P-point of X^* ; equivalently, every countable union of compact subsets of X is contained in a compact set (cf. [3], 4.2). It is easily seen that if X is a σ -compact non-compact space, or a non-countably compact space, then $N^{\infty} \neq M^{\infty}$, i. e., $C_s(X) \neq C_{\infty}(X)$.

According to Lemma 3.2, $C_{\infty}(X)$ is the intersection of the free maximal ideals of $C^*(X)$. We consider now the intersection D of the free maximal ideals of C(X). The ideal D must be a subring of $C^*(X)$; for if $f \in C(X)$ is unbounded, there is a $p \in \beta X - X$ such that $\hat{f}(p) = \infty$ (cf. section 2), so that $f \notin M^p$. But D is then a subring of $C_{\infty}(X)$, because $(M^p \cap C^*(X)) \subseteq M^{*p}$ for every p. Since D is an ideal of C(X), it is an ideal of $C^*(X)$.

If X is a locally compact, σ -compact space, then D is contained properly in $C_{\infty}(X)$. For, $\beta X - X$ is clearly a G_{δ} -set of βX , and it is closed in βX (cf. [11], p. 163, Exer. G); hence there is an $f \in C^*(X)$ such that $Z(f^{\beta}) = \beta X - X$ (cf. [11], p. 134, Exer. J). Thus, $f \in C_{\infty}(X)$, but f is in no maximal ideal of C(X), since it is a unit of C(X). It follows that in this case, $C_{\infty}(X)$ is not an ideal of C(X).

By Lemma 2.1, D coincides with $\{f \in C(X): Z(f)^{\beta} \supset \beta X - X\}$. Now if $f \in C_s(X)$, then $\beta X = X^{\beta} = (X - Z(f))^{\beta} \cup Z(f)^{\beta} = (\overline{X} - \overline{Z(f)}) \cup Z(f)^{\beta}$; so $Z(f)^{\beta} \supset \beta X - X$, i. e., $f \in D$. Thus $C_s(X) \subseteq D$. We next give two sufficient conditions that $C_s(X) = D$.

THEOREM 3.9. If either (a) X is a P-space (not necessarily locally compact), or (b) X is a locally compact Hausdorff space and ∞ is a P-point of X^* , then $C_s(X)$ coincides with the intersection of the free maximal ideals of C(X).

Proof. (a) Let $f \in D$. Since X is a P-space, Z(f) is open ([3], Theorem 5.3). Hence X - Z(f) and Z(f) are completely separated; so $(X - Z(f))^{\beta}$ and $Z(f)^{\beta}$ are disjoint subsets of βX . Now $Z(f)^{\beta} \supset \beta X - X$ implies that $(X - Z(f))^{\beta} \subseteq X$. Since $\overline{X - Z(f)} \subseteq (X - Z(f))^{\beta}$, and $(X - Z(f))^{\beta}$ is a compact subset of X, it follows that $\overline{X - Z(f)}$ is compact, i. e., that $f \in C_s(X)$. Therefore $D \subseteq C_s(X)$. Combining with the remark preceding the theorem, we have $C_s(X) = D$.

(b) It has been shown that $C_s(X) \subseteq D \subseteq C_{\infty}(X)$. Thus, if ∞ is a P-point of X^* , we have $C_s(X) = C_{\infty}(X) = D$:

Note that the two cases considered in 3.9 are mutually exclusive in all spaces with an infinite number of points. For, if X is a locally compact P-space, and ∞ is a P-point of X^* , then X^* is a compact P-space; so X^* , and hence X, is finite ([3], Cor. 5.4).

We designate by W(a) the space of all ordinals less than the ordinal a, with the interval topology. The space $X = W(\omega_1 + 1) \times W(\omega_0 + 1) - \{(\omega_1, \omega_0)\}$ shows that it need not be true that $C_s(X) = D$. Every continuous function of this space is bounded, so D is identical with the intersection of the free maximal ideals of $C^*(X)$, $i. e., D = C_{\infty}(X)$. But $C_s(X) \neq C_{\infty}(X)$, in other words, ∞ is not a P-point of X^* , since X is evidently not countably compact.

A familiar question related to these matters is, for what spaces X is it true that $X^* = \beta X$ (cf. e. g., [8], p. 62-63)? It is of course necessary that X be locally compact Hausdorff. And for this class, $X^* = \beta X$ if and only if every function in C(X) has a continuous extension to X^* , which is clearly equivalent to the condition that C(X) coincide with the set of functions which "approach a limit at ∞ ". Thus, it certainly suffices that every function in C(X) be constant outside a compact set; this is equivalent to the statement that each $f \in C(X)$ has the form $g + r \cdot 1$, $g \in C_{\infty}(X)$, $r \in R$, and that ∞ is a P-point of X^* . That this is not necessary is shown by the space

$$W(\omega_1+1)\times W(\omega_0+1)-\{(\omega_1,\omega_0)\}$$
.

The final result of this section indicates that an interesting condition on C(X) (see [3], Theorem 5.3) is too restrictive when applied to $C_{\infty}(X)$. Theorem 3.10. Let X be a locally compact Hausdorff space. Then $C_{\infty}(X)$ is a regular (2) ring if and only if X is finite.

Proof. If X is finite, then X is discrete; so $C_{\infty}(X)$ is the direct sum of a finite number of fields (each isomorphic to R), and hence is regular.

Conversely, suppose that $C_{\infty}(X)$ is regular. Now $C_{\infty}(X)$ is an ideal of $(C_{\infty}(X); R)$, which by Lemma 3.4, is isomorphic to $C(X^*)$. It follows from [12], Theorem 1, and the fact that R is regular, that $C(X^*)$ is regular. Thus X^* is a P-space ([3], Theorem 5.3). But X^* is compact; so X^* , and hence X, is finite ([3], Cor. 5.4).

4. Algebraic characterizations. In this section we give ring characterizations of the \mathfrak{Z} -ideals of $C^*(X)$ (cf. section 2) containing $C_s(X)$ and contained in $C_\infty(X)$, where X is a locally compact Hausdorff space. Characterizing conditions are given separately for the ideals of greatest interest, $C_s(X)$ and $C_\infty(X)$.

Before giving these theorems, we state in 4.1 an essentially known result, which will be needed in the proofs. We include a proof of 4.1 based on a different viewpoint, which we believe to be interesting in itself; it is developed from the method used by Heider in his characterization of the lattice of all continuous real-valued functions on a compact Hausdorff space [6].

Let A be a subring of a commutative ring B. If the mapping a defined by $\alpha(M) = M \cap A$ is one-to-one from $\mathfrak{M}(B)$ onto $\mathfrak{M}(A)$, we shall say that B is an \mathfrak{M} -extension of A. Throughout this section, the symbol α will denote a mapping defined as above for the pair of rings under discussion.

There are many examples of \mathfrak{M} -extensions which are proper extensions. The ring C([0,1]) is a proper \mathfrak{M} -extension of the ring of continuous rational functions over R defined on [0,1], as well as of the ring of differentiable real-valued functions on [0,1]. More generally, C([0,1]) is a proper \mathfrak{M} -extension of any subring A of C([0,1]) having the properties: (1) For each $p \in [0,1]$, $\{r \in R: f(p) = r \text{ for some } f \in A\}$ is a field; (2) if $f \in A$ and Z(f) is empty, then f is a unit of A. For, (1) evidently implies that for each $p \in [0,1]$, $\{f \in A: f(p) = 0\}$ is a primitive ideal of A; while it follows from (2), by a familiar argument of Gelfand and Kolmogoroff, that every primitive ideal of A is fixed. Many proper subrings of C([0,1]) satisfying (1) and (2) can be constructed merely by restricting the functions at a single point p. For instance, the collection of rings $A_1, A_2, A_3, A_4, \ldots$, consisting of all functions f in C([0,1]) such

⁽²⁾ For the definition and simple properties, see [15], p. 147-149.

that f(p) lies in Ra, Ra $(\sqrt{2})$, Ra $(\sqrt{2},\sqrt{3})$, Ra $(\sqrt{2},\sqrt{3},\sqrt{5})$, ..., respectively, (where Ra denotes the rationals) is a sequence of subrings such that A_{i+1} is a proper \mathfrak{M} -extension of A_i (i=1,2,3,...). Also, C([0,1]) is a proper \mathfrak{M} -extension of each A_i . In every example given above, the mapping α is actually a homeomorphism if the two spaces are given the Stone topology.

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Any ring A satisfying (\Re) of 4.1, (1) possesses an induced partial order defined as follows: Given $a \in A$, we set $a \ge 0$ if and only if the image of a in A/M is non-negative for each $M \in \mathfrak{M}(A)$. The symbol \le used in the statement of condition (\mathfrak{B}) of 4.1, (1) and in condition (\mathfrak{B}_s) of 4.6, signifies this partial order.

It would be incorrect to say that Theorem 4.1 is merely a translation of Heider's result on lattices into the terminology of rings. If the "maximality" condition is omitted from [6], Theorem 5.1, the analogue of our 4.1, (1) is not obtained. For example, the rings of rational functions and differentiable functions mentioned above satisfy all the hypotheses of 4.1, (1); but they do not satisfy the remaining conditions of [6], Theorem 5.1, since they are not lattices.

Whenever it is convenient, we shall identify a ring with any ring with which it is known to be isomorphic, without further notice.

THEOREM 4.1 (3). (1) Let A be a commutative ring satisfying

- (\Re) A is a semi-simple algebra over R such that for each $M \in \mathfrak{M}(A)$, we have $A/M \cong R$.
 - (3) A has an identity (denoted by 1).
 - (B) For each $a \in A$, there exists an $r \in R$ such that $a \leqslant r \cdot 1$.
- Then A is isomorphic to a dense subring of $C(\mathfrak{M}_K(A))$, where K is a suitable compact Hausdorff topology.
 - (2) If, in addition, A satisfies
- (E) Any M-extension of A satisfying (R), (I) and (B) coincides with A,

then A is isomorphic to $C(\mathfrak{M}_K(A))$.

(3) Conversely, if X is a compact Hausdorff space, then C(X) satisfies (\Re) , (\Im) , (\Im) and (\mathfrak{C}) .



Proof. (1) By (R), A is a ring of functions from $\mathfrak{M}(A)$ to R; and by (R) and (R), each function is bounded. Thus, A is a subring of $C^*(\mathfrak{M}(A))$ (where $\mathfrak{M}(A)$ has the discrete topology). We form $\beta \mathfrak{M}(A)$, and extend each $a \in A$ to a^{β} , an element of $C(\beta \mathfrak{M}(A))$. Now for each $x \in \beta \mathfrak{M}(A) - \mathfrak{M}(A)$, there is an $M \in \mathfrak{M}(A)$ such that $a^{\beta}(x) = a^{\beta}(M)$ for all $a \in A$, namely, the kernel of the homomorphism $\tau \colon A \to R$ defined by $\tau(a) = a^{\beta}(x)$. Furthermore, there is not more than one M corresponding to x. For, if $M_1, M_2 \in \mathfrak{M}(A), M_1 \neq M_2$, then there is an $a \in A$ such that $a(M_1) \neq a(M_2)$; so if M_1 corresponds to x, $a^{\beta}(M_2) \neq a^{\beta}(x)$.

We partition $\beta\mathfrak{M}(A)$ by identifying all points which are not distinguished by elements of A, i. e., we stipulate that for any x, $y \in \beta\mathfrak{M}(A)$, $x \equiv y$ if and only if $a^{\beta}(x) = a^{\beta}(y)$ for all $a \in A$. It has just been shown that the points of the resulting quotient space are in one-to-one correspondence with the points of $\mathfrak{M}(A)$. It can easily be shown that the quotient topology is compact Hausdorff. From this, a compact Hausdorff topology K may be given to $\mathfrak{M}(A)$ in the natural way. Thus, A is a subalgebra of $C(\mathfrak{M}_K(A))$. Furthermore, A separates points of $\mathfrak{M}(A)$. By the Stone-Weierstrass Theorem, A is dense in $C(\mathfrak{M}_K(A))$.

- (2) Let X be a compact Hausdorff space. It is well known that C(X) satisfies (\mathfrak{R}) ; and it is evident that C(X) satisfies (\mathfrak{I}) and (\mathfrak{B}) . Thus, $C(\mathfrak{M}_K(A))$ is an \mathfrak{M} -extension of A satisfying (\mathfrak{R}) , (\mathfrak{I}) and (\mathfrak{B}) . From (\mathfrak{E}) , $A = C(\mathfrak{M}_K(A))$.
- (3) Let X be a compact Hausdorff space. It remains only to show that C(X) satisfies (\mathfrak{E}) . Suppose B is an \mathfrak{M} -extension of C(X) satisfying (\mathfrak{R}) , (\mathfrak{I}) and (\mathfrak{B}) . It follows from (1) that B is a subring of $C(\mathfrak{M}_{K'}(B))$, where K' is a compact Hausdorff topology. Since the elements of B are continuous, K' is at least as strong as the Stone topology (Lemma 2.3). Thus, α is continuous from $\mathfrak{M}_{K'}(B)$ to $\mathfrak{M}_{\mathfrak{s}}(C(X))$. Since α is also one-to-one and onto, it is a homeomorphism.

Now X is homeomorphic to $\mathfrak{M}_s(C(X))$ under the natural mapping $p \to M^p$ $(p \in X)$. Since $a: \mathfrak{M}_{K'}(B) \to \mathfrak{M}_s(C(X))$ is defined by $\alpha(M) = M \cap A$, $M \in \mathfrak{M}_{K'}(B)$, it is clear that X and $\mathfrak{M}_{K'}(B)$ are homeomorphic under the natural mapping. Thus, B may be *identified* with a subring of C(X). Since also $B \supseteq C(X)$, we have B = C(X).

Let A be a commutative ring satisfying (\Re) . As in [K], § 6, we may imbed A in the ring with identity (A; R). From [K], Theorems 6.1 and 6.3, it follows that (A; R) also satisfies (\Re) . Thus, as above, (A; R) possesses an induced partial order. The symbol \leqslant used in the statement of (\Re) , 4.2, signifies this partial order.

^(*) The author is indebted to J. E. Kist for pointing out the similarity of 4.1 to the ordered algebra theorem of Stone (see, e.g., [10], Theorem 3.1). (Added in proof: Stone's theorem is more general than our 4.1; but we have since obtained a substantial improvement in 4.1, which is almost the same as Stone's theorem. The rest of the section can be correspondingly improved. In effect, we assume that A is an "almost Archimedean" ordered algebra (rather than Archimedean); in compensation, only an algebra isomorphism can be obtained — the order is not necessarily preserved. The additions and changes in the proofs are too lengthy to be indicated here.)

THEOREM 4.2. Let A be a commutative ring satisfying (\Re) and (\Im') For each $a \in A$, there exists an $s \in R$ such that $(a,0) \leq s(0,1)$ in (A;R).

Then A is isomorphic to a dense subring of $C_{\infty}(\mathfrak{M}_L(A))$, where L is a suitable locally compact Hausdorff topology.

Proof. Imbed A in (A; R). As observed above, (A; R) satisfies (\Re) ; and it is evident that (A; R) satisfies (\Im) . Finally, (\Im') implies that (A; R) satisfies (\Im) . For let $(a,t) \in (A; R)$ be given, and let $s \in R$ be such that $(a,0) \leq s(0,1)$. Then $(a,t) \leq (s+t)(0,1)$.

We conclude that (A; R) is isomorphic to a dense subring of $C(\mathfrak{M}_K(A; R))$, where K is some compact Hausdorff topology. Let L denote the relative topology on $\mathfrak{M}(A_0)$; then $\mathfrak{M}_L(A_0)$ is locally compact Hausdorff, and $\mathfrak{M}_K(A; R)$ is its one-point compactification, with $\infty = A_0$ (notation as in [K], § 6). Given $f \in C(\mathfrak{M}_K(A; R))$, the elements of (A; R) which approximate f may always be chosen so as to coincide with f at ∞ . (If $f(\infty) = r$, and $|(a,s)-f| < \varepsilon/2$, then $|(a,r)-f| < \varepsilon$, since $|(a,r)-(a,s)| = |r-s| < \varepsilon/2$). In particular, every element of $C_\infty(\mathfrak{M}_L(A_0))$ may be approximated by elements of (A; R) that vanish at ∞ , i. e., by elements of A_0 . Thus, A is isomorphic to a dense subring of $C_\infty(\mathfrak{M}_L(A_0))$, or, of $C_\infty(\mathfrak{M}_L(A))$.

We shall now utilize the concept of 3-ideal which was introduced in section 2. It is clear that every intersection of 3-ideals is a 3-ideal.

LEMMA 4.3. If A is a subring of $C_{\infty}(X)$, there is a smallest \mathfrak{Z} -ideal $\mathfrak{Z}(A,X)$ of $C^*(X)$ such that $A\subseteq \mathfrak{Z}(A,X)\subseteq C_{\infty}(X)$.

Proof. $C_{\infty}(X)$ is a 3-ideal, since it is the intersection of the free maximal ideals of $C^*(X)$ (Lemma 3.2). Therefore the desired ideal $\mathfrak{Z}(A,X)$ is simply the intersection of all the 3-ideals containing A.

THEOREM 4.4. (1) Let A be a commutative ring satisfying (\Re) , (\Re') and (\Im') Any \Re -extension of A satisfying (\Re) and (\Re') such that $a(\Re(a): a \in \Im(B,\Re(B))) = \{\Re(a): a \in \Im(A,\Re(A))\}$ coincides with A.

Then A is isomorphic to a 3-ideal of $C^*(\mathfrak{M}_L(A))$ containing $C_s(\mathfrak{M}_L(A))$ and contained in $C_{\infty}(\mathfrak{M}_L(A))$, where L is a suitable locally compact Hausdorff topology.

(2) Conversely, if X is a locally compact Hausdorff space, then every \mathfrak{Z} -ideal of $C^*(X)$ containing $C_s(X)$ and contained in $C_{\infty}(X)$ satisfies (\mathfrak{R}) , (\mathfrak{B}') and (\mathfrak{C}') .

Proof. (1) Let X be a locally compact Hausdorff space, and let H be a \mathfrak{F} -ideal of $C^*(X)$ such that $C_s(X) \subseteq H \subseteq C_\infty(X)$. Then H satisfies (\mathfrak{R}) and (\mathfrak{B}') . For, by Theorem 3.3, each ideal of $\mathfrak{M}_s(H)$ is the intersection of H with a fixed ideal of $C^*(X)$. Condition (\mathfrak{R}) then follows immediately.

Now imbed H in (H; R). Since $\mathfrak{M}_s(H; R)$ is compact, every element of (H; R) is bounded, so H satisfies (\mathfrak{B}') .

Suppose A satisfies (\Re) , (\Re') and (\mathfrak{C}') . By Theorem 4.2, A is isomorphic to a dense subring of $C_{\infty}(\mathfrak{M}_L(A))$, where L is a suitable locally compact Hausdorff topology. In view of Theorem 3.3, it follows that $\mathfrak{Z}(A,\mathfrak{M}_L(A))$ is an \mathfrak{M} -extension of A as in (\mathfrak{C}') . From (\mathfrak{C}') , we have $A = \mathfrak{Z}(A,\mathfrak{M}_L(A))$.

(2) Let X be a locally compact Hausdorff space, and let H be such that $C_s(X) \subseteq H \subseteq C_\infty(X)$. It remains only to show that H satisfies (\mathfrak{C}'). Suppose B is an \mathfrak{M} -extension of H as in (\mathfrak{C}'). It follows from 4.2 that B is isomorphic to a dense subring of $C_\infty(\mathfrak{M}_{L'}(B))$, where L' is a locally compact Hausdorff topology. Since the elements of B are continuous, L' is at least as strong as the Stone topology (Lemma 2.3). Thus, α is continuous from $\mathfrak{M}_{L'}(B)$ to $\mathfrak{M}_s(H)$.

Next, let $\mathcal{R}\subseteq \mathfrak{M}_s(H)$ be compact. By Theorem 3.3, the Stone topology on $\mathfrak{M}_s(H)$ is locally compact Hausdorff. Thus, using the same method as in 3.1, we find an $a \in C_s(\mathfrak{M}_s(H))$ whose support contains \mathcal{R} , and repeating the argument, a non-negative $b \in C_s(\mathfrak{M}_s(H))$ exceeding 1 everywhere on the support of a. Let $e=\min\{b,1\}$. Then $e \in C_s(\mathfrak{M}_s(H))$, and e is a relative identity for a. By hypothesis, $H\supseteq C_s(\mathfrak{M}_s(H))$; so $a,e \in H$. We now view a and e as functions on $\mathfrak{M}_{L'}(B)$. Since ae=a in B also, it is clear that e(M)=1 for each $M \in \mathfrak{M}_{L'}(B)$ in the support of a. Thus, a has a support which is a closed subset of $\mathfrak{D}=\{M \in \mathfrak{M}_{L'}(B)\colon e(M)=1\}$. The set $\{x \in \beta \mathfrak{M}(B; R)\colon (e,0)^{\beta}(x)=1\}$ is compact, so its continuous image in the quotient space which yields the one-point compactification of $\mathfrak{M}_{L'}(B)$ is also compact. But this latter set, since it does not contain the point at infinity, coincides with \mathfrak{D} . It follows that $a \in C_s(\mathfrak{M}_{L'}(B))$. Since a is continuous and a is closed, $a^{-1}(a)$ is compact. Thus, we have shown that a^{-1} takes compact sets into compact sets.

Finally, we extend a to a mapping a^* : $\mathfrak{M}_*(B) \to \mathfrak{M}_*(H)$, where $\mathfrak{M}_*(B) = \mathfrak{M}_{L'}(B) \cup \{\infty_B\}$, $\mathfrak{M}_*(H) = \mathfrak{M}_s(H) \cup \{\infty_H\}$ are the one-point compactifications of $\mathfrak{M}_{L'}(B)$, $\mathfrak{M}_s(H)$, respectively, by stipulating that $a^*(\infty_B) = \infty_H$. If $\mathfrak{B} \subseteq \mathfrak{M}_*(H)$ is any open set containing ∞_H , then $\mathfrak{M}_*(H) - \mathfrak{B}$ is compact; so $a^{*-1}(\mathfrak{M}_*(H) - \mathfrak{B}) = a^{-1}(\mathfrak{M}_*(H) - \mathfrak{B})$ is compact, and hence is the complement of an open set containing ∞_B . It follows that a^* is continuous, and hence a homeomorphism. Thus, a is a homeomorphism from $\mathfrak{M}_{L'}(B)$ to $\mathfrak{M}_s(H)$.

By Theorem 3.3, X is homeomorphic to $\mathfrak{M}_{\epsilon}(H)$ under the mapping $p \to M^{*p} \cap H$, $(p \in X)$. Hence X and $\mathfrak{M}_{L'}(B)$ are homeomorphic under the natural mapping. Thus, $\mathfrak{Z}(B,\mathfrak{M}_{L'}(B))$ may be identified with $\mathfrak{Z}(H,X)$, $i.\ e.$, with H. Since $B \subseteq \mathfrak{Z}(B,\mathfrak{M}_{L'}(B))$, and $B \supseteq H$, we have B = H.

COROLLARY 4.5 (4). (1) Let A be a commutative ring satisfying (\Re) , (\Re') and

 (\mathfrak{E}_{∞}) Any \mathfrak{M} -extension of A satisfying (\mathfrak{R}) and (\mathfrak{B}') coincides with A. Then A is isomorphic to $C_{\infty}(\mathfrak{M}_L(A))$, where L is a suitable locally compact Hausdorff topology.

(2) Conversely, if X is a locally compact Hausdorff space, then $C_{\infty}(X)$ satisfies (\Re) , $(\Re)'$ and (\mathfrak{E}_{∞}) .

Proof. The only statement which might require a remark is that if X is a locally compact Hausdorff space, then $C_{\infty}(X)$ satisfies (\mathfrak{C}_{∞}) . A proof of this can be given by using the proof of 4.4, (2) in a manner similar to 4.6, (2) below.

The final theorem is a direct generalization of Theorem 4.1, (2) and (3).

THEOREM 4.6 (5). (1) Let A be a commutative ring satisfying (\Re) and (\Im) . Every element of A has a relative identity.

 (\mathfrak{B}_s) For each $a \in A$, there exists an $r \in R$ such that $a \leq re$, where e is a suitable relative identity for a.

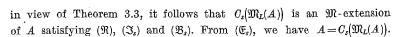
(\mathfrak{S}_s) Any \mathfrak{M} -extension of A satisfying (\mathfrak{R}), (\mathfrak{I}_s) and (\mathfrak{B}_s) coincides with A.

Then A is isomorphic to $C_s(\mathfrak{M}_L(A))$, where L is a suitable locally compact Hausdorff topology.

(2) Conversely, if X is a locally compact Hausdorff space, then $C_s(X)$ satisfies (\Re) , (\Im_s) , (\Im_s) and (\mathfrak{C}_s) .

Proof. (1) We modify the proof of Theorem 4.2 as follows: By (\mathfrak{F}_s) and (\mathfrak{B}_s) , each element of A_0 in (A;R) is a bounded function. Now [K], Theorem 6.3, shows that each element of (A;R) is the sum of a function in A_0 and a constant function, and hence is bounded. Since condition (\mathfrak{B}) of Theorem 4.1, (1) is used only to obtain boundedness, we may again apply 4.1, (1) to (A;R). Thus, from 4.2, we conclude that A is isomorphic to a dense subring of $C_{\infty}(\mathfrak{M}_L(A))$, where L is a locally compact Hausdorff topology. We now show that, in fact, $A \subseteq C_s(\mathfrak{M}_L(A))$. Let $a \in A$, and let $e \in A$ be a relative identity for a. Since $e \in C_{\infty}(\mathfrak{M}_L(A))$, $\{M \in \mathfrak{M}_L(A): |e(M)| \geqslant 1\}$ is compact. The support of a is a closed subset of $\{M \in \mathfrak{M}_L(A): |e(M)| \geqslant 1\}$. Hence $a \in C_s(\mathfrak{M}_L(A))$.

Now if X is a locally compact Hausdorff space, then $C_s(X)$ satisfies (\Re) , (\Im_s) and (\Im_s) . For, (\Re) was shown to hold in 4.4; (\Im_s) follows from a construction like that used in 4.4, (2); and (\Im_s) is evident. Thus,



- (2) Let X be a locally compact Hausdorff space. It remains only to show that $C_s(X)$ satisfies (\mathfrak{S}_s) . Suppose B is an \mathfrak{M} -extension of $C_s(X)$ satisfying (\mathfrak{R}) , (\mathfrak{I}_s) and (\mathfrak{B}_s) . It follows from the preceding part of the proof that B is a subring of $C_s(\mathfrak{M}_{L'}(B))$, where L' is a locally compact Hausdorff topology. As in the proof of 4.4, (2), X is homeomorphic to $\mathfrak{M}_{L'}(B)$. Thus $B = C_s(X)$.
- 5. βF -points (6). The set U is called an X-neighborhood of $p \in \beta X$ if U has the form $X \cap \Omega$, where Ω is a neighborhood of p in βX . Thus when $p \in X$, the set of X-neighborhoods of p coincides with the set of neighborhoods of p in X. For convenience, when U is an X-neighborhood of p, we shall refer to the set $U \{p\}$ as a deleted X-neighborhood of p even when $p \in \beta X X$, so that $U \{p\} = U$.

Let $f \in C(X)$, and Y be a subset of X. If a statement about f(x) is true for each $x \in Y$, we shall say the statement is true for f on Y.

We recall that for any $f \in C(X)$, \hat{f} denotes the extension to βX of f (as a function into the one-point compactification of R). For any maximal ideal M^p of C(X), $f \in M^p$ implies $\hat{f}(p) = 0$. But the converse is false in general, as can be seen from Lemma 2.1.

In the following definition, we generalize several concepts discussed in [4].

DEFINITION 5.1. Let $p \in \beta X$. We define p to be a:

- (1) βF -point (with respect to X), if for each $f \in C(X)$ such that $\hat{f}(p) = 0$, there is an X-neighborhood of p on which one of the relations $t \ge 0$, $t \le 0$ holds;
- (2) $\beta P'$ -point (with respect to X), if for each $f \in C(X)$ such that $\hat{f}(p) = 0$, there is a deleted X-neighborhood of p on which one of the relations f > 0, f < 0, f = 0 holds;
- (3) βP -point (with respect to X), if for each $f \in C(X)$ such that $\hat{f}(p) = 0$, there is an X-neighborhood of p on which f = 0.

We observe that if $\hat{f}(p)=0$, then \hat{f} is continuous and finite-valued in some neighborhood of p in βX . Thus, since X is dense in βX , each of the conclusions in 5.1, (1) and (3), is equivalent to that obtained by replacing "X-neighborhood" with "neighborhood in βX ", and f with \hat{f} . However, this is not the case for (2).

⁽⁴⁾ For a characterization of $C_{\infty}(X)$ as a ring and lattice, see [1], Theorem 5.

⁽⁵⁾ A similar characterization of $C_{\bullet}(X)$, using its vector lattice properties, has been obtained by J. E. Kist.

^(°) It is interesting to compare this section with the results obtained in [7] for the ring of entire functions. (Added in proof: We have since shown that the conclusions of 5.6 hold for any prime ideal of C(X), and that all conclusions of 5.8, 5.11 and 5.13 hold when p is any βF -point, The proofs will be given elsewhere.)

A point $p \in X$ is a βP -point (resp. $\beta P'$ -point) if and only if it is a P-point (resp. P'-point) as defined in [3], 4.1 (resp. [4], 8.1).

When $p \in vX - X$, the concept of βP -point coincides with that of "P-point with respect to X" given in [3], \S 4; but when $p \notin vX$, the concept of βP -point is more restrictive. For, whenever $p \notin vX$, there is an $f \notin M^p$ such that $\hat{f}(p) = 0$ (cf. [8], Theorem 45). Now there is a restriction placed on f if p is a βP -point, but not if p is a P-point with respect to X. As an example, let X be the discrete countable space $\{e_1, e_2, \dots, e_n, \dots\}$; then vX=X. Let p be any point in $\beta X-X$. Since X is a P-space, p is a P-point with respect to X (cf. [3], Theorem 5.3, (4)). But p cannot be a βP -point, since the function f defined by $f(e_n) = 1/n$ (n = 1, 2, ...), vanishes on no X-neighborhood of p, although $\hat{f}(p) = 0$.

If $p \notin X$ is a $\beta P'$ -point, then p is a P-point with respect to X. For, if p is a $\beta P'$ -point, every $f \in C(X)$ satisfying $\hat{f}(p) = 0$ and such that neither t>0, t<0 holds on any X-neighborhood of p, must vanish on some X-neighborhood of p. In particular, each $f \in M^p$ satisfies these conditions (cf. 2.1).

Now suppose $p \notin X$ is a βF -point, and a P-point with respect to X. Then every $f \in C(X)$ satisfying $\hat{f}(p) = 0$ either (1) belongs to M^p , so that, by the second condition, it vanishes on an X-neighborhood of p; or (2) is non-zero on some X-neighborhood of p, so that, by the first condition, it is positive or negative on some X-neighborhood of p. Thus, p is a $\beta P'$ -point.

THEOREM 5.2. Let $p \in \beta X$. Then p is a βF -point if and only if the ideal Nº is prime.

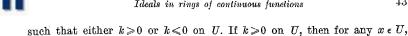
The proof is almost identical with the last part of the proof of [4], Theorem 2.5.

Since N^p coincides with the intersection of the prime ideals contained in M^{p} ([4], Theorem 1.4), 5.2 justifies the formulation of the hypothesis that p is a βF -point which was given in the introduction. Theorem 5.2 and [4], Theorem 2.5 together show that every point of βX is a βF -point if and only if X is an F-space ([4], Definition 2.1).

We next relate the concept of βF -point to zero-sets of functions in C(X).

THEOREM 5.3. Let $p \in \beta X$. Then p is a βF -point if and only if for each pair of functions $f,g \in C(X)$ satisfying $\hat{f}(p) = \hat{g}(p) = 0$, there is an X-neighborhood U of p such that at least one of the relations $(Z(f) \cap U)$ $\supset (Z(g) \cap U), (Z(f) \cap U) \subset (Z(g) \cap U)$ is valid.

Proof. Let p be a βF -point, and let $f, g \in C(X)$ satisfy $\hat{f}(p) = \hat{g}(p) = 0$. Set k=|f|-|g|. Then $\hat{k}(p)=0$, so there is an X-neighborhood U of p



f(x) = 0 implies g(x) = 0, i. e., $(Z(f) \cap U) \subseteq (Z(g) \cap U)$; and similarly if $k \leq 0$ on U.

Conversely, if p is not a βF -point, let $f \in C(X)$ satisfy $\hat{f}(p) = 0$ and change sign in every X-neighborhood of p. Define $g = \max\{f, 0\}$, $h = \min\{f, 0\}$. For every X-neighborhood U of p, there exist $x, y \in U$ such that $g(x) \neq 0$, $h(y) \neq 0$, whence g(y) = 0, h(x) = 0. Thus, neither $(Z(q) \cap U) \supset (Z(h) \cap U)$ nor $(Z(q) \cap U) \subset (Z(h) \cap U)$ is valid.

Let $p \in \beta X$. If p is a non-isolated point of X, we define M^{p} to be the set of all $f \in C(X)$ such that Z(f) meets every deleted neighborhood of p. Otherwise, we set $M'^p = M^p$. Evidently, $M^p \supseteq M'^p \supset N^p$.

THEOREM 5.4. If p is a βF -point, then M'^p is a prime ideal.

Proof. Since M^p is a prime ideal, it suffices to consider the case where p is a non-isolated point of X. Let $f, g \in M'^p$. By 5.3, there is an X-neighborhood U of p such that (say) $(Z(f) \cap U) \supseteq (Z(g) \cap U)$. Let V' be an arbitrary deleted X-neighborhood of p. Since $U \cap V'$ is a deleted X-neighborhood of p, there is a point x in $Z(g) \cap U \cap V'$. Now we have also $x \in Z(f) \cap U \cap V'$. But $Z(f-g) \supseteq Z(f) \cap Z(g)$; so $x \in Z(f-g) \cap U \cap V'$. Hence Z(f-g) meets V'. It follows that $f-g \in M^{\prime p}$.

It is clear that M'^p is closed under multiplication by arbitrary elements of C(X), and that the complement of M'^p is a multiplicative system. Hence M'^p is a prime ideal.

We note that if p is a $\beta P'$ -point, then $M'^p = N^p$; and if p is a βF point such that $M'^p = N^p$, then p is a $\beta P'$ -point.

When p is not a βF -point, M'^p need not even be an ideal. For example, let X=R, p=0, and let $f,g \in C(X)$ be defined by $f(x)=x\sin^2 1/x$, $x \neq 0, \ f(0) = 0, \ \text{and} \ g(x) = x \cos^2 1/x, \ x \neq 0, \ g(0) = 0.$ Then $f, g \in M'^p$, but $f+g\notin M'^p$. On the other hand, M'^p can be an ideal at a non- βF -point. For example, let X be a linearly ordered space, $p \in X$ a point with character c_{10} (see, e. g., [3], § 6). Then it is easily seen that p is not a βF point. But $M'^p = M^p$, since every continuous function which is zero at p is zero on a whole interval to the left of p; so M'^p is an ideal.

We point out next that when p is a βF -point but not a $\beta P'$ -point (whence $N^p \neq M^{\prime p}$), then either $M^{\prime p} = M^p$ or $M^{\prime p} \neq M^p$ can occur. In both our examples, we shall make use of the space $E=N\cup\{e\}$ defined as follows ([4], 8.5): $N = \{e_1, e_2, ...\}$ is the denumerable discrete space, and $e \in \beta N - N$. Thus every e_n is an isolated point, while deleted neighborhoods of e are the members of some free ultra-filter on N (i.e., maximal filter on N with total intersection void). It follows that e is a $\beta P'$ -point of E ([4], 8.6).

For the first case, let X be the space described in [4], 8.10: $X=E\cup W(\omega_1)$, where all points of $N\cup W(\omega_1)$ are isloated, and a neighborhood of e in X is the union of a neighborhood of e in E with the complement in $W(\omega_1)$ of a countable subset of $W(\omega_1)$. Then e is a βF -point but not a $\beta P'$ -point, and $M'=M^e$.

The second case is illustrated by the following example (due to L. Gillman).

EXAMPLE 5.5. For n=1,2,..., let $E_n=\{e_{n1},e_{n2},...,e_n\}$ be a copy of E (with e_n corresponding in E_n to e in E), and $X=(\bigcup_n E_n)\cup E=(\bigcup_n E_n)\cup \{e\}$, with the following topology: each e_{nm} is isolated; the neighborhoods of e_n are its neighborhoods in E_n ; while each neighborhood of e is the union of a neighborhood U of e in E with a neighborhood U_n of e_n in E_n for each $e_n \in U$.

Then e is a βF -point. For consider any $f \in C(X)$ satisfying f(e) = 0. If there is a deleted neighborhood V of e such that j > 0 or j < 0 on $V \cap E$, then the defining property obviously holds for f. If no such neighborhood exists, then, since e is a $\beta F'$ -point of E, there is a neighborhood W of e such that f = 0 on $W \cap E$. Set

 $W_1 = \{e_n \in W : f > 0 \text{ on some deleted neighborhood of } e_n\},$

 $W_2 = \{e_n \in W: f < 0 \text{ on some deleted neighborhood of } e_n\},$

 $W_3 = \{e_n \in W: f = 0 \text{ on some deleted neighborhood of } e_n\}.$

Precisely one of W_1 , W_2 , W_3 is a deleted neighborhood of e in E. The union of this set with suitable neighborhoods of its elements in the associated E_n 's is a neighborhood of e in X on which $f \ge 0$ or $f \le 0$.

The function $g \in C(X)$ defined by $g(e_n) = g(e) = 0$, $g(e_{nm}) = 1/m$ (m, n = 1, 2, ...) shows that e is not a $\beta P'$ -point.

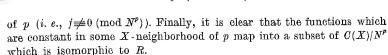
Finally, $M'^e \neq M^e$, as shown by the function $h \in C(X)$ defined as follows: $h(e_n) = h(e_{nm}) = 1/n \ (m, n = 1, 2, ...), \ h(e) = 0.$

We now investigate the properties of the quotient-rings $C(X)/N^p$ and $C(X)/M'^p$.

THEOREM 5.6. Let p be a βF -point. (a) The ring $C(X)/N^p$ is an ordered integral domain containing R, in which the image of M^p forms the unique maximal ideal; and $C(X)/N^p$ has infinitely large elements if and only if $p \notin vX$.

(b) The corresponding statement for $C(X)/M^{\prime p}$ also holds.

Proof. If $f \in C(X)$, $g \in N^p$, and $0 \le f \le g$, then, trivially, $f \in N^p$. For any $h \in C(X)$, $h^2 - |h|^2 = 0 \in N^p$. By Theorem 5.2, N^p is prime; so at least one of the congruences $h = |h| \pmod{N^p}$, $h = -|h| \pmod{N^p}$ is valid. Thus, by [2], Theorem 4.4, $C(X)/N^p$ is an ordered integral domain. Explicitly, the image of $f \in C(X)$ in $C(X)/N^p$ is positive if $f \ge 0$ on some X-neighborhood of p (i. e., $f = |f| \pmod{N^p}$), but f = 0 on no X-neighborhood



Since M^p is the only maximal ideal of C(X) containing N^p , it is evident that $C(X)/N^p$ has a unique maximal ideal, namely, the image of M^p .

If $p \in vX$, then for each $f \in C(X)$, there is an $r \in R$ such that $\hat{f}(p) = r$. Thus, the image of f differs from the element corresponding to r by at most an infinitely small element. Conversely, if $p \notin vX$, there is a $g \in C(X)$, $g \geqslant 0$, such that $\hat{g}(p) = \infty$. Hence g is unbounded on every X-neighborhood of p; so the image of g is an infinitely large element.

The proof for $C(X)/M'^p$ is similar.

A commutative ring A with identity is a valuation ring if for any $a, b \in A$, either a divides b or b divides a.

THEOREM 5.7. If p is a βF -point, then $C(X)/M'^p$ is a valuation ring. If p is a $\beta P'$ -point, or if X is an F-space, then $C(X)/N^p$ is a valuation ring.

Proof. It is easily seen that every element of $C(X)/N^p$ which is not infinitely small has an inverse. The same statement then follows for its homomorphic image $C(X)/M'^p$. Thus, to show that either $C(X)/N^p$ or $C(X)/M'^p$ is a valuation ring, it suffices to consider an arbitrary pair of distinct infinitely small elements.

Let p be a βF -point, and let $\gamma, \delta \in C(X)/M'^p$ be infinitely small positive elements such that $\gamma < \delta$. It will be shown that δ divides γ .

When p is isolated or $p \notin X$, then $M'^p = M^p$, so the desired conclusion is obvious. We therefore suppose that p is a non-isolated point of X.

Let $f,g\in C(X)$ map into γ,δ , respectively. We show first that it may be assumed that $Z(f)=Z(g)=\{p\}$, and that $0\leqslant f\leqslant g\leqslant 1$ on X.

Since $f\geqslant 0$ on some X-neighborhood of p, we may suppose that $0\leqslant f\leqslant 1$ on X, replacing f by min $\{|f|,1\}$ if necessary. Now f>0 on some deleted X-neighborhood of p, so $Z(f)-\{p\}$ is a closed subset of X. By complete regularity, there is an $f_1\in C^*(X)$ such that $0\leqslant f_1\leqslant 2$, $f_1(x)=0$ for $x\in Z(f)-\{p\}$, and $f_1(p)=2$. Set $h=f+f_1$. Then $0\leqslant h\leqslant 3$, $Z(h)=Z(f)-\{p\}$, and h(p)=2. Let $k=1-\min\{h,1\}$. Then k(x)=1 when $x\in Z(h)$, and k(x)=0 when $k(x)\geqslant 1$; so Z(k) is a closed X-neighborhood of p. Thus, $Z(f+k)=\{p\}$, and $0\leqslant f+k\leqslant 1$; moreover, f+k is congruent to f modulo N^p , and hence modulo $M^{(p)}$.

Similarly, we may suppose that $0 \leqslant g \leqslant 1$, and that $Z(g) = \{p\}$. Finally, since $\gamma \leqslant \delta$ in $C(X)/M'^p$, we have $f \leqslant g$ on some X-neighborhood of p. Thus, $\min\{f,g\} \equiv f \pmod{M'^p}$, so replacing f by $\min\{f,g\}$ if necessary, we may assume that $f \leqslant g$ everywhere.

The remainder of this part of the proof is a simple modification of the argument used in [4], Theorem 2.3, III. On $X-\{p\}$, we define:

 $(1) \quad d = f/g.$

For every real r, define a function $\mu_r \in C^*(X)$ by

(2) $\mu_r(x) = f(x) - rg(x)$.

Obviously, if r > s, then $\mu_r \leqslant \mu_s$ (since $g \geqslant 0$). Furthermore, $\mu_r(p) = 0$ for every real r.

We have $\mu_0 = f \ge 0$. Now there is a deleted neighborhood of p not meeting Z(f-g). Thus, since $f-g \le 0$, for each neighborhood U of p, there is a $y \in U$ such that $\mu_1(y) = f(y) - g(y) < 0$. We may put

(3) $d(p) = \sup\{r: \mu_r \geqslant 0 \text{ on some neighborhood of } p\}.$

It must be shown that d is continuous at p. By (3), for every r > d(p), and for every neighborhood U of p, there is an $x \in U$ such that $\mu_r(x) < 0$. Since $\mu_r(p) = 0$, $\mu_r < 0$ on some neighborhood of p. From this point we may follow the proof of [4], 2.3, exactly, changing only the notation. We then have: For every $\varepsilon > 0$, there is a neighborhood U of p such that $|d(x) - d(p)| \le \varepsilon$, $x \in U - \{p\}$. Thus, $d \in C(X)$. Clearly f = dg, so $f \equiv dg$ (mod M^{p}). This concludes the proof that $C(X)/M^{p}$ is a valuation ring.

If p is a $\beta P'$ -point, then $M'^p = N^p$, so $C(X)/N^p$ is a valuation ring by the result just established.

Now suppose X is an F-space. Given a pair of distinct infinitely small positive elements of $C(X)/N^p$, let a, b be functions in C(X) which map into these elements. It is easily seen that we may assume that $0 \le a \le b \le 1$. Clearly $Z(a) \supseteq Z(b)$. Set c = a/b on X - Z(b). Then $c \in C^*(X - Z(b))$. By [4], Theorem 2.6, c has a continuous extension $c' \in C^*(X)$. Clearly a = c'b, so $a \equiv c'b \pmod{N^p}$. Thus, $C(X)/N^p$ is a valuation ring.

COROLLARY 5.8. If p is a βF -point, then the prime ideals containing M'^p form a chain. If p is a $\beta P'$ -point, or if X is an F-space, then the set of all prime ideals contained in M^p form a chain.

Proof. It is easily seen that the set of all ideals in a valuation ring form a chain. The sets in question are the sets of inverse images, under the natural mapping, of the prime ideals in $C(X)/M'^p$, $C(X)/N^p$, respectively (cf. also [2], Theorem 3.10).

We shall show next that the conclusions of the second parts of 5.7 and 5.8 never hold when p fails to be a βF -point.

Theorem 5.9. If p is not a βF -point, then the prime ideals contained in M^p do not form a chain.

Proof. By Theorem 5.2, if p is not a βF -point, then N^p is not prime. From [4], Theorem 1.4, we have that the intersection of the prime ideals contained in M^p is not a prime ideal. But then the prime ideals contained in M^p do not form a chain (cf. [2], Theorem 3.9).

COROLLARY 5.10. If p is not a βF -point, then $C(X)/N^p$ is not a valuation ring.

Proof. By 5.9 there are incomparable prime ideals contained in M^p (and containing N^p); these map into incomparable prime ideals in $C(X)/N^p$. As already noted, the ideals of a valuation ring form a chain.

The following alternative proof of 5.9 seems interesting. By 5.3, if p is not a βF -point, there are functions $g,h\in C(X)$ satisfying $\hat{g}(p)=\hat{h}(p)=0$, and such that Z(g),Z(h) are incomparable in every X-neighborhood of p. We show that $\{g,g^2,\ldots,g^n,\ldots\}\cap (N^p,h)$ is empty. Suppose not; then there exist $d\in N^p, k\in C(X)$ and a positive integer m such that $g^m=d+kh$. Let V be an X-neighborhood of p on which d=0. Then $Z(g)\cap V=Z(g^m)\cap V=\left([Z(k)\cup Z(h)]\cap V\right)\supseteq \left(Z(h)\cap V\right)$, a contradiction. Similarly, $\{h,h^2,\ldots,h^n,\ldots\}\cap (N^p,g)$ is empty.

By [15], Lemma 2, p. 105, there are prime ideals P,Q containing $(N^p,g), (N^p,h)$, respectively, and disjoint from the multiplicative systems $\{h,h^2,\ldots,h^n,\ldots\}$, $\{g,g^2,\ldots,g^n,\ldots\}$, respectively. Since P and Q contain N^p , they are contained in M^p ; and they are clearly incomparable.

This method can be used to obtain a prime ideal contained properly in M^p whenever p is not a P-point with respect to X (cf. [3], Theorem 3.5). That is, we choose a function $f \in M^p - N^p$, and let P be an ideal which is maximal in the class of ideals containing N^p and disjoint from the multiplicative system $\{f, f^1, \dots, f^n, \dots\}$. Now P is never the entire complement of $\{f, f^2, \dots, f^n, \dots\}$ in M^p . For, let $g = \max\{f, 0\}$, $h = \min\{f, 0\}$; then the relation $g + h = f \in P$ implies that not both g and h are in P, while $gh = 0 \in P$ implies that at least one of the elements g, h is in P. Thus, exactly one of g, h is in P. From this, we conclude that $|f| = g - h \notin P$. Thus $|f|^{1/k} \notin P$, so that $|f|^{1/k} \in M^p - (P \cup \{f, f^2, \dots, f^n, \dots\})$, $(k = 2, 3, \dots)$.

THEOREM 5.11. If p is a βF -point, and I is a proper 3-ideal of C(X) containing M'^p , then I is a prime ideal. If p is a $\beta F'$ -point or if X is an F-space, and I is a 3-ideal of C(X) containing N^p , then I is a prime ideal.

Proof. By Lemma 2.2, I is an intersection of proper prime ideals. From 5.8, the prime ideals containing I form a chain. Thus, any intersection of prime ideals containing I is a prime ideal.

Finally, we consider a type of ideal which may be viewed as a generalization of N^p .

LEMMA 5.12. Let h be a fixed element of a maximal ideal M^p of C(X), and let I be the set of all f in M^p such that $(Z(f) \cap U) \supseteq (Z(h) \cap U)$, where U is an X-neighborhood of p (depending on f). Then I is an ideal of C(X).

I is an ideal.

Proof. Given $f,g \in I$, choose X-neighborhoods U,V of p satisfying $(Z(f) \cap U) \supseteq (Z(h) \cap U)$, $(Z(g) \cap V) \supseteq (Z(h) \cap V)$. Then $(Z(f-g) \cap U \cap V) \supseteq (Z(f) \cap Z(g) \cap U \cap V) \supseteq (Z(h) \cap U \cap V)$; so $f-g \in I$. Since it is clear that I is closed under multiplication by arbitrary elements of C(X).

THEOREM 5.13. If p is a $\beta P'$ -point or if X is an F-space, then the ideal I defined in 5.12 is prime.

Proof. Since I is clearly a 3-ideal containing N^p , this follows from 5.11.

6. P-spaces, and prime ideals of M^p . We take up first some questions related to [K], § 5. An example was given there of a ring possessing an ideal of an ideal which fails to be an ideal of the whole ring. We give now an example of a ring of continuous functions having the same property. Let X=R, and let i be the identity function: i(x)=x, for all $x \in X$. Let I be the ideal $\{gi: g \in M^0\}$ of C(X); and let J be the ideal $\{gi^2+ni^2: g \in M^0, n \text{ an integer}\}$ of I. Then $i^2 \in J$, but $i^2/2 \notin J$; so J is not an ideal of C(X).

The theorem which follows shows that for rings of continuous functions, this is the usual situation.

THEOREM 6.1. Let I be a proper ideal of C(X), and let I be a proper ideal of I. Then I is invariably an ideal of C(X) if and only if X is a P-space. In particular, if $p \in X$ is not a P-point, then there is an ideal of an ideal of C(X), contained in M^p , which fails to be an ideal of C(X).

Proof. If X is a P-space, then C(X) is a regular ring ([3], Theorem 5.3). Let $j \in J$ be given, and let $a \in C(X)$ be arbitrary. There is a $b \in C(X)$ such that $j^2b=j$. Then $jba \in I$; so $ja=j(jba) \in J$. Thus, J is an ideal of C(X).

Conversely, suppose X is not a P-space. Let p be a point of X which is not a P-point, and let $f \in M^p - N^p$. Let I be the ideal $\{gf: g \in M^p\}$ of C(X); and let J be the ideal $\{gf^2 + nf^2: g \in M^p, n \text{ an integer}\}$ of I. Then $f^2 \in J$; but $f^2/2 \in J$, since a continuous function which vanishes at p cannot assume the value 1/2 in every neighborhood of p. Thus, J is not an ideal of C(X).

It was also noted in [K], § 5 that a prime ideal of an ideal need not be prime in the whole ring. Now if P and Q are prime ideals of a ring A, $P \cap Q$ is a prime ideal of P; and if P and Q are incomparable, $P \cap Q$ is not prime in A. Thus, an example in function rings may be obtained from any C(X), where X has more than one point; if $q \neq p$, the ideal $M^p \cap M^q$ is a prime ideal of M^p which is not prime in C(X).

By [3], Lemma 3.2, if a prime ideal P of M^p is to be prime in C(X), it is necessary that P contain N^p . In the next theorem, we see that this condition is also sufficient.



THEOREM 6.2. Let p be any point in βX . Then the prime ideals of C(X) containing N^p coincide with the prime ideals of M^p containing N^p .

Proof. If P is a prime ideal of C(X) containing N^p , it is contained in M^p ; and it is easily seen to be a prime ideal of M^p .

Now let P be a prime ideal of M^p containing N^p . We assume that P is proper, the case $P = M^p$ being trivial. By [K], Theorem 5.1, the set $Q = \{f \in C(X): fM^p \subseteq P\}$ is a prime ideal of C(X) such that $P = Q \cap M^p$. Since $Q \supseteq N^p$, we have $Q \subseteq M^p$ ([3], Theorem 3.3). Hence P = Q; so P is a prime ideal of C(X).

We close with a result about subfamilies of $\mathcal{Z}(C(X))$, the family of zero-sets of C(X). By a \mathcal{Z} -filter on X, we mean a non-empty subfamily \mathcal{F} of $\mathcal{Z}(C(X))$ having the finite intersection property, and such that $A \in \mathcal{F}$, $B \in \mathcal{Z}(C(X))$, $A \subseteq B$ imply $B \in \mathcal{F}$. It is well known that there is a natural correspondence between the proper ideals I of C(X) and the \mathcal{Z} -filters \mathcal{F} on X, namely, $I \to \mathcal{F} = \mathcal{Z}(I)$ ([8], Theorem 36). We give first an example to show that in general this correspondence is not one-to-one. Let X = R, let i be the identity function: i(x) = x, for all $x \in X$, and let I = (i), $J = (i^2)$. Clearly $\mathcal{Z}(I) = \mathcal{Z}(J) = \mathcal{Z}(M^0)$. But $i \notin J$, so $I \neq J$.

Again, our theorem on this question shows that the example illustrates the normal situation.

THEOREM 6.3. The proper ideals of C(X) and the Z-filters on X are in one-to-one correspondence if and only if X is a P-space. In particular, if $p \in X$ is not a P-point, there are distinct ideals contained in M^P having the same Z-filter on X.

Proof. Let X be a P-space, and let I,J be proper ideals of C(X) such that $\mathcal{Z}(I) = \mathcal{Z}(J)$. Then $\Delta(I) = \Delta(J)$ (see section 2). Now in a P-space, every ideal is the intersection of all the maximal ideals containing it ([3], Theorem 5.3). Hence $I = \bigcap_{p \in \Delta(I)} M^p = \bigcap_{p \in \Delta(I)} M^p = J$. It follows that the correspondence between ideals of C(X) and Z-filters on X is one-to-one.

Conversely, suppose X is not a P-space; let p be a point of X which is not a P-point, let f be any function in $M^p - N^p$, and let I = (f), $J = (f^2)$. Since $Z(f) = Z(f^2)$, and in view of the relation $Z(gh) = Z(g) \cup Z(h)$, we have Z(I) = Z(J). But $f \notin J$, since a continuous function g cannot satisfy g(x)f(x) = 1 for values of x arbitrarily near p; so $I \neq J$. It follows that the correspondence between ideals of C(X) and Z-filters on X is not one-to-one.

When X is a P-space, it is clear that the correspondence discussed in Theorem 6.3 is a lattice isomorphism.

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Sur l'unicohérence, les homéomorphies locales et les continus irréductibles

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§ 1. Introduction. Soient X et Y deux espaces métriques compacts et f une fonction dont la variable x parcourt X et dont Y est l'ensemble des valeurs. Appelons f homéomorphie locale au sens large lorsqu'il existe, pour tout point $x \in X$, un entourage (ensemble ouvert contenant ce point) U_x tel que la fonction partielle $f|U_x$ est une homéomorphie. Lorsqu'il en existe, pour tout $x \in X$, dont les images $f(U_x)$ sont en outre ouverts (donc des entourages ouverts de f(x) dans Y), la fonction f est dite (voir [2], p. 35) homéomorphie locale tout court. Appelons enfin la fonction f recouvrement de Y par X (voir le livre [11] de Pontriagin, p. 352, définition 45 (1)), lorsqu'il existe, pour tout point $y \in Y$, un entourage V_y tel que l'ensemble $f^{-1}(V_y)$, c'est-à-dire celui des x pour lesquels $f(x) \in V_y$, est somme d'une famille d'ensembles ouverts disjoints,

$$f^{-1}(V_y) = \sum_i U_i,$$

sur lesquels les fonctions partielles f|U sont des homéomorphies et $f(U_l)=V_v$.

Les fonctions de ces trois classes sont donc continues par définition. Toute homéomorphie locale en est trivialement une au sens large, mais pas réciproquement, même lorsque X et Y sont compacts. Par exemple, la fonction $f(x)=e^{ix}$ transforme le segment $0 \le x \le 2\pi$ en circonférence par l'homéomorphie locale au sens large, sans qu'elle soit une homéomorphie locale; en effet, aucun ensemble ouvert dans une circonférence n'est l'image homéomorphe d'un ensemble qui est ouvert dans le segment et en contient le bout x=0.

Le même exemple montre qu'une homéomorphie locale au sens large peut augmenter l'ordre d'un point, à savoir transformer le bout (donc point d'ordre 1) d'un segment en un point de circonférence (donc point d'ordre 2); mais il sera démontré qu'elle ne peut le diminuer (voir § 3, théorème 2).

⁽¹⁾ Dans [9] et [11], c'est l'espace X qui est dit recouvrement de l'espace Y.