

# Rays to renormalizations

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**Summary.** Let  $K_P$  be the filled Julia set of a polynomial  $P$ , and  $K_f$  the filled Julia set of a renormalization  $f$  of  $P$ . We show, loosely speaking, that there is a finite-to-one function  $\lambda$  from the set of  $P$ -external rays having limit points in  $K_f$  onto the set of  $f$ -external rays to  $K_f$  such that  $R$  and  $\lambda(R)$  share the same limit set. In particular, if a point of the Julia set  $J_f = \partial K_f$  of a renormalization is accessible from  $\mathbb{C} \setminus K_f$  then it is accessible through an external ray of  $P$  (the converse is obvious). Another interesting corollary is that a component of  $K_P \setminus K_f$  can meet  $K_f$  only in a single (pre-)periodic point. We also study a correspondence induced by  $\lambda$  on arguments of rays. These results are generalizations to all polynomials (covering notably the case of connected Julia set  $K_P$ ) of some results of Levin and Przytycki (1996), Blokh et al. (2016) and Petersen and Zakeri (2019) where it is assumed that  $K_P$  is disconnected and  $K_f$  is a periodic component of  $K_P$ .

## 1. Introduction

**1.1. Polynomial external rays.** Let  $Q : \mathbb{C} \rightarrow \mathbb{C}$  be a non-linear polynomial considered as a dynamical system. Conjugating  $Q$  if necessary by a linear transformation, one can assume without loss of generality that  $Q$  is monic centered, i.e.,  $Q(z) = z^{\deg(Q)} + az^{\deg(Q)-2} + \dots$ .

We briefly recall the necessary definitions (see e.g. [DH1], [CG], [Mil0], [LS91] for details). The *filled Julia set*  $K_Q$  of  $Q$  is the complement  $\mathbb{C} \setminus A_Q$  to the *basin of infinity*  $A_Q = \{z : Q^n(z) \rightarrow \infty \text{ as } n \rightarrow \infty\}$ , and  $J_Q = \partial A_Q = \partial K_Q$  is the *Julia set* (here and below  $Q^n(z)$  is the image of  $z$  by the  $n$ -iterate  $Q^n$  of  $Q$  for  $n$  non-negative and the full preimage of  $z$  by  $Q^{|n|}$  for  $n$  negative).

Let  $u_Q : A_Q \rightarrow \mathbb{R}_+$  be Green's function in  $A_Q$  such that  $u_Q(z) \sim \log |z| + o(1)$  as  $z \rightarrow \infty$ . For all  $z$  in some neighborhood  $W$  of  $\infty$ ,  $u_Q(z) = \log |B_Q(z)|$

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where  $B_Q$  is the *Böttcher coordinate* of  $Q$  at  $\infty$ , i.e., a univalent function from  $W$  onto  $\{w : |w| > R\}$ , for some  $R > 1$ , such that  $B_Q(Q(z)) = B_Q(z)^{\deg Q}$  for  $z \in W$  and  $B_Q(z)/z \rightarrow 1$  as  $z \rightarrow \infty$ .

An *equipotential* of  $Q$  of level  $b > 0$  is the level set  $\{z : u_Q(z) = b\}$ . Alternatively, the equipotential containing a point  $z \in A_Q$  is the closure of the union  $\bigcup_{n>0} Q^{-n}(Q^n(z))$  and  $u_Q(z) = \lim_{n \rightarrow \infty} (\deg(Q))^{-n} \log |Q^n(z)|$  is the *level* of this equipotential where  $b = u_Q(z)$  is called the  *$Q$ -level* of  $z \in A_Q$ . Note that  $u_Q(Q(z)) = (\deg Q)u_Q(z)$  for all  $z \in A_Q$ .

The gradient flow for Green's function (potential)  $u_Q$  equipped with direction from  $\infty$  to  $J_Q$  defines  $Q$ -external rays. More specifically, the gradient flow has singularities precisely at the critical points of  $u_Q$  which are preimages by  $Q^n$ ,  $n = 0, 1, \dots$ , of critical points of  $Q$  that lie in the basin of infinity  $A_Q$ . If a trajectory  $R$  of the flow that starts at  $\infty$  does not meet a critical point of  $u_Q$ , it extends as a smooth (analytic) curve, *external ray*  $R$ , up to  $J_Q$ . If  $R$  does meet a critical point of  $u_Q$ , one should consider instead two corresponding (non-smooth) left and right external rays as left and right limits of smooth external rays tending to  $R$  (for a visualization of such rays, see e.g., Figures 1(a-b) of [LP96] or images in [PZ19]–[PZ20]; to get an impression about the geometry of the Julia set of renormalizable polynomials, see e.g. the computer images of [Pict]). Each external ray  $R$  is parameterized by the level of equipotential  $b \in (+\infty, 0)$ .

The *argument*  $\tau \in \mathbb{T} := \mathbb{R}/\mathbb{Z}$  of an external ray  $R$  is the argument of the curve  $R$  asymptotically at  $\infty$ . Informally,  $\tau$  is the argument at which  $R$  crosses the “circle at infinity”. The correspondence between external rays and their arguments is one-to-one on smooth rays and two-to-one on non-smooth ones. If  $R$  is a  $Q$ -external ray of argument  $\tau$  then  $Q(R)$  is also a ray of argument  $\sigma_{\deg(Q)}(\tau)$  where  $\sigma_k(t) = tk \pmod{1}$ . Note that, for any  $b$  large enough,  $B_Q$  maps the equipotential of level  $b$  onto the round circle  $\{|w| = e^b\}$  and arcs of external rays from this equipotential to  $\infty$  onto standard rays that are orthogonal to this circle. Finally,  $K_Q$  is connected if and only if  $B_Q$  extends as a univalent function to the basin of infinity  $A_Q$ , if and only if all external rays of  $Q$  are smooth.

Let  $\mathbb{S} = \{|z| = 1\}$  be the unit circle which we identify—when this is not confusing—with  $\mathbb{T}$  via the exponential  $\mathbb{T} \ni t \mapsto \exp(2\pi it) \in \mathbb{S}$ .

**1.2. Polynomial-like maps and renormalization.** Let us recall [DH2] that a triple  $(W, W_1, f)$  is a *polynomial-like map* if  $W, W_1$  are topological discs,  $\overline{W_1} \subset W$  and  $f : W_1 \rightarrow W$  is a proper holomorphic map of some degree  $m \geq 2$ . The set of non-escaping points  $K_f = \bigcap_{n=1}^{\infty} f^{-n}(W)$  is called the *filled Julia set* of  $(W, W_1, f)$ . By the Straightening Theorem [DH2], there exists a monic centered polynomial  $G$  of degree  $m$  which is *hybrid equivalent* to  $f$ , i.e., there is a quasiconformal homeomorphism  $h : \mathbb{C} \rightarrow \mathbb{C}$  which is

conformal a.e. on  $K_f$ , such that  $G \circ h = h \circ f$  near  $K_f$ . The map  $h$  is called a *straightening*. This implies in particular that  $K_f$  is the set of limit points of  $\bigcup_{n \geq 0} f^{-n}(z)$  for any  $z \in W$  with, perhaps, at most one exception.

We say that another polynomial-like map  $(\tilde{W}, \tilde{W}_1, \tilde{f})$  of the *same degree*  $m$  is *equivalent* to  $(W, W_1, f)$  if there is a component  $E$  of  $W \cap \tilde{W}$  such that  $K_f \subset E$  and  $f = \tilde{f}$  in a neighborhood of  $K_f$ . Taking a point  $z$  as above close to  $J_f = \partial K_f$ , it follows (cf. [McM, Theorem 5.11]) that  $K_f = K_{\tilde{f}}$  and that this is indeed an equivalence relation for polynomial-like maps. Denote by  $\mathbf{f}$  the equivalence class of the polynomial-like map  $(W, W_1, f)$ , by  $K_{\mathbf{f}}, J_{\mathbf{f}}$  the corresponding filled Julia set and Julia set of (any representative of)  $\mathbf{f}$ , and by  $f$  the restriction to a neighborhood of  $K_{\mathbf{f}}$  of an  $\mathbf{f}$ -representative (i.e., for any two representatives  $(W^{(i)}, W_1^{(i)}, f_i)$ ,  $i = 1, 2$ , we have  $f_1 = f_2 = f$  in a neighborhood of  $K_{\mathbf{f}}$ ).

From now on, let us fix a monic centered polynomial  $P : \mathbb{C} \rightarrow \mathbb{C}$  of degree  $d > 1$ .

We say that  $\mathbf{f}$  is a *renormalization* of  $P$  (cf. [McM], [Inou]) if  $\mathbf{f}$  is an equivalence class of polynomial-like maps such that  $K_{\mathbf{f}}$  is a connected proper subset of  $K_P$  and, for some  $r \geq 1$ ,  $f = P^r$  in a neighborhood of  $K_{\mathbf{f}}$ .

**1.3. Assumptions.** Suppose that

(p1)  $\mathbf{f}$  is a renormalization of  $P$ .

To avoid a situation when an external ray of  $P$  can have a limit point in  $J_{\mathbf{f}}$  as well as a limit point off  $J_{\mathbf{f}}$ , we introduce another condition:

(p2) *There exists a representative  $(W^*, W_1^*, f)$  of the renormalization  $\mathbf{f}$  of  $P$  and some  $b_* > 0$  as follows. If  $z \in \partial W_1^*$  belongs to an external ray of  $P$  which has a limit point in  $K_{\mathbf{f}}$  then the  $P$ -level of  $z$  is at least  $b_*$ , i.e.,  $u_P(z) \geq b_*$ .*

Let us stress that external rays of  $P$  as in (p2) can cross the boundaries of  $W^*, W_1^*$  many times (or e.g. have joint arcs with the boundaries).

This condition holds if  $W^*$  is obtained by the following frequently used construction that we only indicate here; see [Mil1], [McM], [Inou] for details. In the first step, a simply connected domain  $W_0$  is built using an appropriate Yoccoz puzzle so that  $\partial W_0 = L_{\text{hor}} \cup L_{\text{vert}} \cup F$  where  $L_{\text{hor}}$  is a union of finitely many arcs of a fixed equipotential of  $P$ ,  $L_{\text{vert}}$  is a union of finitely many arcs of external rays of  $P$  between ends of arcs of  $L_{\text{hor}}$ , and  $F$  is a finite set of repelling periodic points of  $J_P$  or/and their preimages such that  $K_{\mathbf{f}} \subset W_0 \cup F$  and  $f : f^{-1}(W_0) \rightarrow W_0$  is a branched covering. By the construction, every external ray of  $P$  to  $J_{\mathbf{f}} \setminus F$  must cross the “horizontal” part  $L_{\text{hor}}$  so that (p2) is obviously satisfied for the set of those rays. If either  $L_{\text{vert}} = F = \emptyset$  (as in Example 1 that follows) or  $F \cap K_{\mathbf{f}} = \emptyset$ , one can take  $W^* = W_0$  so that

(p2) holds for  $W_1^* = f^{-1}(W^*)$ . If  $F \subset J_{\mathbf{f}}$ , then  $W_0 \setminus f^{-1}(W_0)$  is a degenerate annulus. Then, in the second step,  $W^*$  is modified from  $W_0$  by “thickening” [Mil1, p. 12] around points of the set  $F$ , which adds only finitely many rays (tending to  $F$ ). Then (p2) holds for  $W_1^* = f^{-1}(W^*)$  as well.

EXAMPLE 1. Assume that the Julia set of the polynomial  $P$  is disconnected and  $K$  is a component of  $K_P$  different from a point. In this case  $K = K_{\mathbf{f}}$  for some renormalization  $\mathbf{f}$  of  $P$  and conditions (p1)–(p2) are fulfilled. The boundary of  $W^*$  (hence of  $W_1^*$ , too) can be chosen to be merely a component of an equipotential that encloses  $K$ . With such a choice, each intersection point of an external ray of  $P$  with  $\partial W^*$  has a fixed level so every external ray can cross the boundaries of  $W^*$  and  $W_1^*$  at most once.

Our goal is to study a correspondence between external rays of  $P$  that have limit points in  $J_{\mathbf{f}}$ , on the one hand, and external, or polynomial-like rays of the renormalization  $\mathbf{f}$ , on the other (up to a change of straightening, see below). In the case of disconnected Julia set  $J_P$  and the renormalization  $\mathbf{f}$  as in Example 1 this has been done in [LP96], [ABC16, Sect. 6], and [PZ19].

**1.4. Polynomial-like rays.** For a curve  $\alpha : [0, 1) \rightarrow \bar{\mathbb{C}}$ , the *limit* (or *principal*, or *accumulation*) set of  $\alpha$  is  $\text{Pr}(\alpha) = \bar{\alpha} \setminus \alpha$ .

Let us define external rays of the renormalization  $\mathbf{f}$ . By [DH2], since  $K_{\mathbf{f}}$  is connected, the monic centered polynomial  $G$  of degree  $m$  which is hybrid equivalent to any representative of  $\mathbf{f}$  is uniquely defined by  $\mathbf{f}$ . Let  $h$  be a *straightening* of  $\mathbf{f}$ . By this we mean a quasiconformal homeomorphism  $\mathbb{C} \rightarrow \mathbb{C}$  which is conformal a.e. on  $K_{\mathbf{f}}$  and satisfies  $G \circ h = h \circ f$  on some neighborhood of  $K_{\mathbf{f}}$ . One can also assume that  $h$  is conformal at  $\infty$  such that  $h'(\infty) \neq 0$ .

As the filled Julia set  $K_G$  is connected, given  $t \in \mathbb{T}$  there is a unique external ray of  $G$  of argument  $t$ , denoted by  $R_{t,G}$ . Its  $h^{-1}$ -image  $l_t^h := h^{-1}(R_{t,G})$  is called the *polynomial-like ray to  $K_{\mathbf{f}}$  of argument  $t$* . As  $h : \mathbb{C} \rightarrow \mathbb{C}$  is a homeomorphism,  $\text{Pr}(l_t^h) = h^{-1}(\text{Pr}(R_{t,G}))$ . Note that the straightening  $h$  is not unique. However, the polynomial  $G$  is unique, and if  $\tilde{h}$  is another straightening, although  $\tilde{h}$  defines another system of polynomial-like rays, the homeomorphism  $\tilde{h}^{-1} \circ h : \mathbb{C} \rightarrow \mathbb{C}$  maps  $l_t^h$  onto  $l_t^{\tilde{h}}$  and  $\text{Pr}(l_t^h)$  onto  $\text{Pr}(l_t^{\tilde{h}})$ .

In what follows we fix a straightening map  $h : \mathbb{C} \rightarrow \mathbb{C}$  (see Theorem 3(e) and its proof though). Then the set  $\{l_t^h\}$  of polynomial-like rays is fixed, too (where we omit  $h$  in  $l_t^h$  as  $h$  is fixed). For brevity,  $P$ -external rays are called *P-rays*, or just *rays*, and polynomial-like rays to  $K_{\mathbf{f}}$  are *f-rays*, or *polynomial-like rays*.

**1.5. Main results.** Given a connected compact set  $K \subset \mathbb{C}$  which is different from a point, we say that a curve  $\gamma : [0, 1) \rightarrow \Omega := \mathbb{C} \setminus K$  converges to a *prime end*  $\hat{P}$  of  $K$  if, for a conformal homeomorphism  $\psi : \mathbb{C} \setminus K \rightarrow \{|z| > 1\}$ ,

the curve  $\psi \circ \gamma : [0, 1) \rightarrow \{|z| > 1\}$  converges to a single point  $P \in \mathbb{S}$ ; we say that  $\gamma$  converges to the prime end  $\hat{P}$  *non-tangentially* if moreover  $\psi \circ \gamma$  converges to the point  $P$  non-tangentially, i.e., the set  $\psi \circ \gamma((1 - \epsilon, 1))$  lies inside a sector (Stolz angle)  $\{z : |\arg(z - P) - \arg P| \leq \alpha\}$  for some  $\epsilon > 0$  and  $\alpha \in (0, \pi/2)$ . Furthermore, we say that two curves  $\gamma_1, \gamma_2 : [0, 1) \rightarrow \Omega$  are *K-equivalent* if they both converge to the same prime end and moreover have the same limit sets  $\text{Pr}(\gamma_1) = \text{Pr}(\gamma_2)$  in  $\partial K$  <sup>(1)</sup>. By Lindelöf’s theorem (see e.g. [Pom, Theorem 2.16]), if two curves converge to the same prime end non-tangentially, they share the same limit set. Therefore, if  $\gamma_1, \gamma_2$  converge to the same prime end of  $K$  non-tangentially, then  $\gamma_1, \gamma_2$  are also *K-equivalent*.

The following statement was proved in [ABC16] <sup>(2)</sup> in the set up of Example 1.

**THEOREM 1** (cf. [ABC16, Theorem 6.9]). *Assume (p1)–(p2) hold. For each P-ray  $R$  that has an accumulation point in  $K_{\mathbf{f}}$  we have  $\text{Pr}(R) \subset J_{\mathbf{f}}$  and there is a unique polynomial-like ray  $l = \lambda(R)$  such that the curves  $l, R$  are  $K_{\mathbf{f}}$ -equivalent. Moreover,  $l, R$  converge to a single prime end of  $K_{\mathbf{f}}$  non-tangentially. Furthermore,  $\lambda : R \mapsto l$  maps the set of P-rays to  $K_{\mathbf{f}}$  onto the set of polynomial-like rays, and is “almost injective”:  $\lambda$  is one-to-one except when one and only one of the following (i)–(ii) holds. Suppose that  $\lambda^{-1}(\ell) = \{R_1, \dots, R_k\}$  with  $k > 1$ .*

- (i)  $k = 2$  and both rays  $R_1, R_2$  are non-smooth and share a common arc starting at a critical point of Green’s function  $u_P$  to  $J_{\mathbf{f}}$ , or
- (ii) there is  $z \in J_{\mathbf{f}}$  such that  $\text{Pr}(R_i) = \{z\}$ ,  $i = 1, \dots, k$ , at least two of the rays  $R_1, \dots, R_k$  are disjoint, and, for some  $n \geq 0$ ,  $P^{rn}(z) \in Y$  where  $Y \subset J_{\mathbf{f}}$  is a finite collection of repelling or parabolic periodic points of  $P$  that depends merely on  $K_{\mathbf{f}}$ .

If  $K_P$  is connected then (i) is not possible.

Note that in case (ii) any two disjoint  $P$ -rays completed by the joint limit point  $z$  split the plane into two domains such that one of them contains  $K_{\mathbf{f}} \setminus \{z\}$ , and the other one, points from  $K_P \setminus K_{\mathbf{f}}$ . In particular, if  $K_P$  is connected, the second domain must contain a component of  $K_P \setminus K_{\mathbf{f}}$  that goes all the way to a pre-periodic point  $z \in J_{\mathbf{f}}$ . In fact, this is “if and only if”: see Theorem 2(b) below.

For an illustration, see e.g. pictures in [McM, p. 116, explained in Example IV, p. 115] of a “dragon” filled Julia set of a quadratic polynomial  $P$  admitting three renormalizations; the maps  $\lambda$  corresponding to these renormalizations are one-to-one except at countably many polynomial-like rays

<sup>(1)</sup> One can show that if  $\gamma_1$  converges to a single point  $a \in \partial K$ , then  $\gamma_2$  is *K-equivalent* to  $\gamma_1$  if and only if  $\gamma_1, \gamma_2$  are homotopic through a family of curves in  $\Omega$  converging to  $a$ .

<sup>(2)</sup> In [ABC16], a different terminology is used.

where  $\lambda$  is 6-to-1 in the top picture, 2-to-1 in the left bottom and 3-to-1 in the right bottom. In all three cases, the landing points of rays where  $\lambda$  is not one-to-one are (pre-)periodic to a fixed point of  $P$  where six  $P$ -rays land.

The next two theorems are consequences of the proof of Theorem 1.

THEOREM 2. *Assume (p1)–(p2).*

- (a) *If a point  $a \in J_{\mathbf{f}}$  is accessible along a curve  $s$  in  $\mathbb{C} \setminus K_{\mathbf{f}}$ , then  $a$  is the landing point of a  $P$ -ray  $R$ ; moreover the curves  $s, R$  are  $K_{\mathbf{f}}$ -equivalent.*
- (b) *There exists a finite set  $Y \subset J_{\mathbf{f}}$  of repelling or parabolic periodic points of  $f$ , as follows. Let  $S$  be a component of  $K_P \setminus K_{\mathbf{f}}$  such that  $(\overline{S} \setminus S) \cap J_{\mathbf{f}} \neq \emptyset$ . Then  $\overline{S} \setminus S$  is a single point  $b \in J_{\mathbf{f}}$ , and moreover  $f^n(b) \in Y$  for some  $n \geq 0$ .*

Note that part (a) is in fact an easy corollary of Lemma 2.1 similar to a result of [LP96]. Part (b) is void if (and only if)  $K_{\mathbf{f}}$  is itself a component of  $K_P$ .

For the next statement, we introduce the following notations. Let  $\Lambda \subset \mathbb{T}$  be the set of arguments of all  $P$ -rays that have their limit points in  $J_{\mathbf{f}}$ . Observe that by Theorem 1 the whole limit sets of such rays are in  $J_{\mathbf{f}}$  and, given  $\tau \in \Lambda$ , there is a unique  $P$ -ray, denoted by  $R_{\tau, P}$ , which has its limit set in  $J_{\mathbf{f}}$ . Indeed, this is obvious if the  $P$ -ray of argument  $\tau$  is smooth. On the other hand, if there are two  $P$ -rays, left and right, of argument  $\tau$ , only one of them can have its limit point in  $J_{\mathbf{f}}$  because the other one must go to another component of  $K_P$ . Now, the map  $\lambda$  of Theorem 1 induces a map  $p : \Lambda \rightarrow \mathbb{T}$  such that for all  $\tau \in \Lambda$ ,

$$\lambda(R_{\tau, P}) = l_{p(\tau)}.$$

By Theorem 1,  $\text{Pr}(l_{p(\tau)}) = \text{Pr}(R_{\tau, P})$ , and moreover  $R_{\tau, P}, l_{p(\tau)}$  are  $K_{\mathbf{f}}$ -equivalent.

Given a positive integer  $k$ , let  $\sigma_k : \mathbb{T} \rightarrow \mathbb{T}$ ,  $\sigma_k(t) = kt \pmod{1}$ . Recall that  $\deg(f) = m$ . Let  $D := \deg(P^r) = d^r$ .

THEOREM 3 (cf. [PZ19]).

- (a)  *$\Lambda$  is a compact nowhere dense subset of  $\mathbb{T}$  which is invariant under  $\sigma_D$ .*
- (b)  *$\sigma_m \circ p = p \circ \sigma_D$  on  $\Lambda$ .*
- (c) *The map  $p : \Lambda \rightarrow \mathbb{T}$  is surjective and finite-to-one, and moreover “almost injective” as defined in Theorem 1.*
- (d)  *$p : \Lambda \rightarrow \mathbb{T}$  extends to a continuous monotone degree one map  $\tilde{p} : \mathbb{T} \rightarrow \mathbb{T}$ .*
- (e) *The map  $p$  is unique in the following sense: if  $\tilde{p} : \Lambda \rightarrow \mathbb{T}$  corresponds to another straightening  $\tilde{h}$ , then  $\tilde{p}(t) = p(t) + k/(m-1) \pmod{1}$  for some  $k = 0, 1, \dots, m-1$ .*

In the set up of Example 1, i.e., when  $K_P$  is disconnected and  $K_{\mathbf{f}}$  is a periodic component of  $K_P$ , Theorem 3 was proved in [PZ19] (by a different

method), with part (c) replaced by an explicit bound for the cardinality of fibers of the map  $p$  as well as with an extra statement about the Hausdorff dimension of the set  $\Lambda$ .

A detailed proof of the main Theorem 1 is given in Sect. 2 and the proofs of Theorems 2–3 are in Sect. 3. The proof of Theorem 1 follows rather closely the proofs of [LP96, Lemma 2.1] and [ABC16, Theorems 6.8–6.9]. An essential difference is that we have to adapt the proofs to the situation that external rays of  $P$  can cross the boundary of  $W_1^*$  as in (p2) many times.

**2. Proof of Theorem 1.** Let  $f : W_1^* \rightarrow W^*$  be a representative of  $\mathbf{f}$  as in (p2). As  $K_f$  is connected, all the critical points of  $f$  are in  $K_f$ . Hence, for each  $k$ ,  $f^k : f^{-k}(W_1^* \setminus K_f) \rightarrow W_1^* \setminus K_f$  is an unbranched (degree  $m^k$ ) map. Therefore,  $L_k := f^{-k}(\partial W_1^*)$  is the boundary of a simply connected domain  $f^{-k}(W_1^*)$ .

Let  $\mathcal{R}$  denote the set of all  $P$ -rays  $R$  such that  $R$  has a limit point in  $J_f$ . First, we show that all limit points of  $R \in \mathcal{R}$  are in  $J_f$ , introducing some notations along the way. Let

$$b_{*,k} = \inf\{u_P(z) : z \in R \cap L_k, R \in \mathcal{R}\}.$$

By (p2),  $b_{*,0} > 0$ . As  $R \in \mathcal{R}$  implies  $P^r(R) \in \mathcal{R}$ , we have  $b_{*,k} \geq b_{*,0}/D^k$ , hence  $b_{*,k} > 0$ , for all  $k$ . Let  $R \in \mathcal{R}$  and  $k \geq 0$ . Since  $R \cap L_k$  is a closed set and  $b_{*,k} > 0$ , there exists a unique point  $z_k(R) \in R \cap L_k$  such that  $u_P(z_k(R)) = \inf\{u_P(z) : z \in R \cap L_k\}$ . Observe that the arc  $\Gamma_{k,R}$  of  $R$  from  $z_k(R)$  down to  $J_P$  lies entirely in  $\overline{f^{-k}(W_1^*)}$ . As  $\bigcap_{k \geq 0} \overline{f^{-k}(W_1^*)} = K_f$ , we see immediately that the limit set of  $R$ , which is  $\bigcap_{k \geq 0} \overline{\Gamma_{k,R}}$ , is a subset of  $J_f$ .

Before proceeding with more notations and the main lemma, let us note that  $b_{*,k} = b_{*,0}/D^k$ ,  $k = 1, 2, \dots$ . Indeed, as  $f^k : f^{-k}(W_1^* \setminus K_f) \rightarrow W_1^* \setminus K_f$  is an unbranched covering, each component of  $f^{-k}(R)$  is an arc of some ray from  $\mathcal{R}$ . This implies that  $b_{*,k} \leq b_{*,0}/D^k$ . The opposite inequality was seen before.

Now, choose a conformal isomorphism  $\psi$  from  $\mathbf{C} \setminus K_f$  onto  $\mathbf{D}^* = \{|z| > 1\}$  such that  $\psi(z)/z \rightarrow e$  as  $z \rightarrow \infty$ , for some  $e > 0$ . A curve  $\tilde{R}$  in  $\mathbf{D}^*$  with limit set in  $\mathbb{S} = \{|z| = 1\}$  is called a  $K$ -related ray if its preimage  $\psi^{-1}(\tilde{R})$  is a  $P$ -ray  $R \in \mathcal{R}$ , i.e.,  $R$  has its limit set in  $K_f$ . The argument of  $\tilde{R}$  is said to be the argument of the ray  $\psi^{-1}(\tilde{R})$ . Let  $A_K = \psi(W^* \setminus K_f)$  be an “annulus” with boundary curves  $\psi(\partial W^*)$  and  $\mathbb{S}$ . Denote  $\tilde{z}_k(\tilde{R}) = \psi(z_k(R))$ . Note that  $\tilde{z}_k(\tilde{R}) \in \psi(L_k) \cap \tilde{R}$  and the arc of the  $R$ -related ray  $\tilde{R}$  from  $\tilde{z}_k(\tilde{R})$  to  $\mathbb{S}$  is contained in the “annulus” between  $\psi(L_k)$  and  $\mathbb{S}$ . An arc of a  $K$ -related ray  $\tilde{R} = \psi(R)$  from the point  $\tilde{z}_0(\tilde{R}) = \psi(z_0(R)) \in \psi(L_0)$  to  $\mathbb{S}$  is called a  $K$ -related arc. Its argument is the argument of the corresponding ray. The following main lemma and its proof are minor adaptations of the ones of [LP96, Lemma 2.1].

LEMMA 2.1.

- 1° Every  $K$ -related arc has a finite length, and hence converges to a unique point of  $\mathbb{S}$ .
- 2° For every closed arc  $I \subset \mathbb{S}$  (in particular a point), the set  $K(I)$  of arguments of all  $K$ -related arcs converging to a point of  $I$  is a non-empty compact set.
- 3° The set of all  $K$ -related arcs in  $\{z : 1 < |z| < 1 + \epsilon\}$  converging to a point  $z_0$  lies in a Stolz angle

$$\{z : |\arg(z - z_0) - \arg z_0| \leq \alpha\},$$

where  $\alpha \in (0, \pi/2)$  and  $\epsilon$  do not depend on  $z_0 \in \mathbb{S}$ .

*Proof.* 1° Let  $B_{*,k} = \sup\{u_P(z) : z \in L_k\}$ . For every  $k \geq 0$  there is a number  $C_k$  such that, for every ray  $R \in \mathcal{R}$ , the length of the arc  $R_k$  of  $R$  between the points  $z_k(R)$  and  $z_{k+1}(R)$  is bounded by  $C_k$ . This is because the latter arc is an arc of a  $P$ -ray that joins two equipotentials of positive levels  $B_{*,k}$ ,  $b_{*,k}$ . Denote  $\tilde{L}_k = \psi(L_k)$ . Then  $\tilde{L}_k$  is a compact subset of  $A_K$  which surrounds  $\mathbb{S}$ . By the above, every  $K$ -related arc  $\tilde{R}$  splits into arcs  $\tilde{R}_k = \psi(R_k)$ ,  $k \geq 0$ , i.e.,  $\tilde{R}_k$  is the arc of  $\tilde{R}$  joining  $\tilde{z}_k(\tilde{R})$  and  $\tilde{z}_{k+1}(\tilde{R})$ . For every  $k$ , the supremum of the lengths over all arcs  $\tilde{R}_k$  of the  $K$ -related rays  $\tilde{R}$  is bounded by

$$\tilde{C}_k = C_k \sup\{|\psi'(z)| : z \in \overline{W_1^*} \setminus f^{-k-2}(W_1^*)\}.$$

Let  $A_{1,K} = \psi(W_1^* \setminus K_f)$  and  $g = \psi \circ f \circ \psi^{-1} : A_{1,K} \rightarrow A_K$ . Then  $z$  tends to  $\mathbb{S}$  if and only if  $g(z)$  tends to  $\mathbb{S}$ . It is well-known (see e.g. [P86]) that  $g$  extends to an expanding holomorphic map in an annulus  $U_0 = \{z : 1 - \rho_0 < |z| < 1 + \rho_0\}$  for some  $\rho_0 > 0$ . This means that after passing if necessary to an iterate of  $g$  (which we also denote  $g$ ) we have

$$(1) \quad |(g^{-1})'(z)| < c < 1$$

for every  $z \in U_0$  and for every branch  $g^{-1}$  such that  $g^{-1}(z) \in U_0$ .

Fix a set  $\tilde{L}_m \subset U = A_K \cap U_0$  for some  $m$  large enough. Then, for each  $n = 1, 2, \dots$ ,  $\tilde{L}_{n+m} = \{z \in U : g^n(z) \in \tilde{L}_{n+m}\}$ . Denote by  $l_n$  the supremum of the lengths of  $\tilde{R}_{n+m}$  over all  $R \in \mathcal{R}$ . Note that each  $l_n$  is finite, because  $l_n \leq \tilde{C}_{m+n}$ . In fact, much more is true: as  $g^n(\tilde{R}_{n+m})$  is  $\tilde{S}_m$  for some ray  $S \in \mathcal{R}$ , (1) yields  $l_n < c^n l_0$ . Given a  $K$ -related ray  $\tilde{R}$ , the length of its arc from the point  $\tilde{z}_m(\tilde{R})$  to  $\mathbb{S}$ , which is in the component of  $\mathbf{C} \setminus \tilde{\gamma}_0$  containing  $\mathbb{S}$ , is bounded from above by  $\sum_{n=0}^{\infty} c^n l_0 < \infty$ . Moreover, the same argument shows the following

CLAIM 1. *The lengths of the arcs of  $K$ -related rays  $\tilde{R}$  between  $\tilde{z}_k(\tilde{R})$  and  $\mathbb{S}$  tend uniformly to zero (exponentially in  $k$ ).*

2° Fix a closed non-degenerate arc  $I \subset \mathbb{S}$ . There exists a  $K$ -related ray converging to a point of  $I$ . Indeed, otherwise no  $K$ -related ray ends in the arc  $g^n(I)$ , for any  $n$ . This is impossible because  $g^n(I) = \mathbb{S}$  for large  $n$  and the set of  $K$ -related rays is non-empty (for example, it contains images by  $\psi$  of  $P$ -rays landing at repelling periodic points of the polynomial-like map  $f : W_1^* \rightarrow W^*$ ; for the existence of such  $P$ -rays, see [Mil0], [EL89], [LP96]). We need to show that the set  $K(I)$  of arguments of all  $K$ -related rays ending in  $I$  is closed.

This is an immediate consequence of the next claim which follows, basically, from Claim 1 and will also be useful later on. Given a  $K$ -related ray  $\tilde{R}_t$  of argument  $t$  (i.e.,  $t \in \Lambda$ ) consider its arc  $\hat{r}_t$  between  $\tilde{L}_0$  and  $\mathbb{S}$ , parameterized as a curve  $\tilde{r}_t : [b_{*,0}, 0] \rightarrow A_K \cup \mathbb{S}$  as follows. For any  $b \in [b_{*,0}, 0)$ , define the point  $r_t(x) \in A_K$  to be such that  $\psi^{-1}(r_t(x))$  is a point of a  $P$ -ray of argument  $t$  and equipotential level  $b$ . Finally, let  $\tilde{r}_t(0) = \lim_{b \rightarrow 0} \tilde{r}_t(x) \in \mathbb{S}$  where the limit exists by 1°.

CLAIM 2. *The family  $\tilde{\mathcal{R}} = \{\tilde{r}_t\}_{t \in \Lambda}$  is a compact subset of  $C[b_{*,0}, 0]$ .*

Let us first show that this family is equicontinuous. In view of Claim 1, this will follow from the equicontinuity of the restricted family  $\mathcal{R}_m = \{\hat{r}_t : [b_{*,0}, b_{*,0}/D^m] \rightarrow A_K\}$  for each integer  $m > 1$ . Fix  $m$  and consider two objects: a compact set  $E_m \subset \mathbb{C}$  bounded by the equipotential of levels  $b_{*,0}$  and  $b_{*,0}/D^m$  of  $P$  and a family  $\mathcal{R}_m$  of (closed) arcs in  $E_m$  of all  $P$ -rays that join the equipotential levels  $b_{*,0}$ ,  $b_{*,0}/D^m$  and are parameterized by the equipotential level  $b \in [b_{*,0}, b_{*,0}/D^m]$ . It is easy to see that this is a compact subset of  $C[b_{*,0}, b_{*,0}/D^m]$  (indeed, map this family by a fixed high iterate of  $P$  to a family of smooth arcs of  $P$ -rays which are preimages of segments of standard rays by the Böttcher coordinate  $B_P$  at infinity; hence, this new family is compact; then pull it back). As  $\mathcal{R}_m \subset C[b_{*,0}, b_{*,0}/D^m]$  is compact, it is equicontinuous. In turn, since  $\psi^{-1}$  is a homeomorphism on  $E_m$  (onto its image) and each  $\psi^{-1}(\tilde{r}_t)$  is in  $\mathcal{R}_m$ , the family  $\tilde{\mathcal{R}}_m$  is equicontinuous too. Thus  $\tilde{\mathcal{R}}$  is an equicontinuous family.

It remains to prove that it is closed. So suppose a sequence  $\hat{r}_{t_n}$  converges uniformly in  $[b_{*,0}, 0]$ . In particular,  $\hat{r}_{t_n}$  crosses  $\tilde{L}_k$  for each  $k$  large enough. One can assume that  $t_n$  tends to some  $t$ . Then the sequence of arcs of  $P$ -rays  $\psi^{-1} \circ \tilde{r}_{t_n}$ , on the one hand, tends, uniformly on each interval  $[b_{*,0}, b_{*,0}/D^m]$ , to an arc  $r$  of a  $P$ -ray of argument  $t$ , on the other hand, crosses each  $L_k$  with  $k$  large. Hence,  $r$  has a limit point in  $K_f$ . Applying  $\psi$  we find that the limit of  $\tilde{r}_{t_n}$  is a  $K$ -related arc, which ends the proof of the claim.

This proves 2° when  $I$  is not a single point. By the intersection of compacta, 2° also holds if  $I$  is a point.

3° Every branch of  $g^{-n}$  is a well defined univalent function in every disc contained in  $U_0$ . Hence, by the Koebe distortion theorem (see e.g. [Gol]), one

can choose  $0 < \rho' < \rho_0$  such that for every

$$z \in U' = \{z : 1 - \rho' < |z| < 1 + \rho'\},$$

every  $n = 1, 2, \dots$  and every branch  $g^{-n}$ ,

$$(2) \quad \left| \frac{(g^{-n})'(x)}{(g^{-n})'(y)} \right| < 2$$

whenever  $|z - x| < \rho'$  and  $|z - y| < \rho'$ .

We reduce  $U'$  further as follows. By Claim 1, fix  $m_0 > m$  such that the length of the arc of any  $K$ -related ray  $\tilde{R}$  between  $\tilde{z}_{m_0}(\tilde{R})$  and  $\mathbb{S}$  is less than  $\rho'$ . On the other hand, if  $z$  lies in an unbounded component of  $R \setminus z_{m_0}(R)$ , i.e., in the arc of  $R$  between  $z_{m_0}(R)$  and  $\infty$ , then  $u_P(z) \geq b_{*,m_0}$ , in particular, there is  $r > 0$  independent of  $z$  and  $R$  as above such that the distance between  $z$  and  $J_P$  is at least  $r$ . Therefore, there exists some  $\rho_1 \in (0, \rho')$  such that for every  $z \in \{z : 1 < |z| < 1 + \rho_1\}$ , if  $z$  belongs to a  $K$ -related ray  $\tilde{R}$  then  $z$  lies in an arc of  $\tilde{R}$  between  $\tilde{z}_{m_0}(\tilde{R})$  and  $\mathbb{S}$ . Let

$$U_1 = \{z : 1 - \rho_1 < |z| < 1 + \rho_1\}.$$

We introduce the following notations:

Given  $x \in U_1$ , denote by  $l_x$  the part of the  $K$ -related ray passing through  $x$  between  $x$  and  $\mathbb{S}$  (if such a ray exists). This notation is correct: as already noted before, if another  $K$ -related ray passes through  $x$  and next ramifies from  $l_x$ , it goes to a component of  $\psi(J(f))$ , not to  $\mathbb{S}$ . So it is not  $K$ -related.

Denote by  $h_x$  the interval which joins  $x$  and  $\mathbb{S}$ , orthogonal to  $\mathbb{S}$ . Denote by  $l(x)$  and  $h(x)$  the corresponding Euclidean lengths. Find a large enough  $N$  such that  $\tilde{\gamma}_0 := \tilde{L}_N$  in  $U_1$ . By the choice of  $U_1$ ,

$$(3) \quad l(x) < \rho' \quad \text{for all } x \text{ between } \tilde{\gamma}_0 \text{ and } \mathbb{S}.$$

Let  $\tilde{\gamma}_1 = g^{-1}(\tilde{\gamma}_0)$ . There exists a positive  $\beta_0$  less than 1 such that

$$(4) \quad \frac{h(x)}{l(x)} > \beta_0$$

for all points  $x$  in the annulus  $V$  between  $\tilde{\gamma}_0$  and  $\tilde{\gamma}_1$ .

Fix the maximal  $\epsilon_0 > 0$  such that

$$U_2 = \{z : 1 - \epsilon_0 < |z| < 1 + \epsilon_0\}$$

does not intersect  $\tilde{\gamma}_1$ . We intend to prove assertion 3° of our lemma with

$$\alpha = \arccos\left(\frac{\beta_0}{8L}\right)$$

where  $L = \sup\{|g'(z)| : z \in U_0\}$  and with  $\epsilon$  between 0 and  $\epsilon_0$  so small that  $1 < |z| < 1 + \epsilon$  and  $h(z)/|z - z_0| \geq 2 \cos \alpha$  implies  $|\arg(z - z_0) - \arg z_0| \leq \alpha$ .

It is enough to prove that

$$(5) \quad \frac{h(x)}{l(x)} > \beta = \frac{\beta_0}{4L}$$

for all  $x \in U_2$ . Assume the contrary: there exists  $x_* \in U_2$  that belongs to some  $K$ -related ray  $\tilde{R}$  with

$$(6) \quad h(x_*)/l(x_*) \leq \beta.$$

Choose the minimal  $n \geq 1$  such that  $g^n(x_*) \in V$ .

The lengths  $h^{(i)}$  and  $l^{(i)}$  of the curves  $g^i(h_{x_*})$  and  $g^i(l_{x_*})$  cannot exceed  $\rho'$  for all  $i = 0, 1, \dots, n$ . This holds for  $l^{(i)}$  by (3), because  $g^i(x_*)$  is between  $\tilde{\gamma}_0$  and  $\mathbb{S}$ . We cope with  $h^{(i)}$ 's by induction:  $\text{Length}(h^{(0)}) < \rho$  by the definition of  $U_1$ . If it holds for all  $i \leq j - 1$  then by (2),

$$\frac{h^{(j-1)}}{l^{(j-1)}} \leq 4\beta = \beta_0/L.$$

Then

$$h^{(j)} \leq Lh^{(j-1)} \leq \beta_0 \cdot l^{(j-1)} < l^{(j-1)} < \rho'.$$

Now we use the assumption (6) and again apply (2) to obtain, for  $z_* = g^n(x_*) \in \tilde{S}_N$ ,

$$\frac{h(z_*)}{l(z_*)} \leq \frac{h^{(n)}}{l^{(n)}} \leq 4\beta = \beta_0/L < \beta_0.$$

This contradicts (4). ■

COMMENT. The key bound (5) can also be seen directly from (4) (with, for instance,  $\beta = \beta_0/10$ ) by applying, besides the Koebe distortion bound (2), another distortion bound: there is a function  $\epsilon : (0, 1) \rightarrow (0, +\infty)$ , with  $\epsilon(r) \rightarrow 0$  as  $r \rightarrow 0$ , such that for any univalent function  $\varphi$  on the unit disc, if  $\varphi(0) = 0$  and  $\varphi'(0) = 1$ , then

$$\left| \log \frac{\varphi(z)}{z} \right| < \epsilon(|z|)$$

(see e.g. [Gol]). This bound is applied to the function

$$\varphi(z) = \frac{g^{-n}(w + \rho_0 z) - g^{-n}(w)}{(g^{-n})'(w)\rho_0}$$

where  $n$  is minimal such that  $g^n(x) \in V$ , and  $w \in \mathbb{S}$  is the projection of  $g^n(x)$  to  $\mathbb{S}$  and reducing  $\rho'$ . Note that  $(g^{-n})'(w) > 0$  because  $g$  preserves  $\mathbb{S}$ .

We continue as follows (cf. [ABC16, proof of Theorem 6.9]). Recall that a straightening  $h : \mathbb{C} \rightarrow \mathbb{C}$  is a quasiconformal homeomorphism which is holomorphic at  $\infty$  and  $h'(\infty) \neq 0$ . It conjugates the polynomial-like map  $f$  to the polynomial  $G$  near their filled Julia sets  $K_f$  and  $K_G$ . Let  $B_G : A_G \rightarrow \mathbb{D}^*$  be the Böttcher coordinate of  $G$  such that  $B_G(z)/z \rightarrow 1$  as  $z \rightarrow \infty$ , which is well defined in the basin of infinity  $A_G = \mathbb{C} \setminus K_G$  as  $K_G$  is connected.

We have the following picture:

$$(7) \quad \mathbb{D}^* \xrightarrow{\psi^{-1}} \mathbb{C} \setminus K_f \xrightarrow{h} \mathbb{C} \setminus K_G \xrightarrow{B_G} \mathbb{D}^*.$$

Consider the map  $\Psi := \psi \circ h^{-1} \circ B_G^{-1} : \mathbb{D}^* \rightarrow \mathbb{D}^*$  from the uniformization plane of the polynomial  $G$  to the  $g$ -plane of  $K$ -related rays. It is a quasiconformal homeomorphism which is holomorphic at  $\infty$ . For  $u \in \mathbb{S}$ , let  $L_u = \Psi(r_u \cap \mathbb{D}^*)$  where  $r_u = \{tu : t > 0\}$  is a standard ray in the uniformization plane of  $G$  <sup>(3)</sup>.

LEMMA 2.2. *The curve  $L_u$  converges non-tangentially to a unique point  $z_0 = z_0(u)$  of the unit circle  $\mathbb{S}$ . Moreover, there is  $\beta \in (0, \pi/2)$  such that, for any  $u \in \mathbb{S}$  and all  $z \in L_u$  close enough to  $\mathbb{S}$ ,*

$$(8) \quad |\arg(z - z_0) - \arg z_0| \leq \beta.$$

Here  $\beta$  depends only on the quasiconformal deformation of the straightening map  $h$ . Furthermore, for every  $z_0 \in \mathbb{S}$  there exists a unique  $u$  such that  $L_u$  lands at  $z_0$ .

*Proof of Lemma 2.2* (cf. [ABC16, Section 6]). The map  $\Psi : \mathbb{D}^* \rightarrow \mathbb{D}^*$  extends to a homeomorphism of the closures and then to a quasiconformal homeomorphism  $\Psi^*$  of  $\mathbb{C}$  by  $\overline{\Psi^*(z)} = 1/\Psi^*(1/\bar{z})$  (see [Ahl]). Note that the quasiconformal deformations of  $\Psi$  and  $\Psi^*$  are the same, equal to the quasiconformal deformation  $M$  of the straightening map  $h$ . Consider the curve  $L_u^* = \Psi^*(r_u)$ . It is an extension of the curve  $L_u$ , which crosses  $\mathbb{S}$  at a point  $z_0 = \Psi^*(u)$ . As a quasiconformal image of a straight line, the curve  $L_u^*$  has the following property [Ahl]: there exists  $C = C(M) > 0$  such that

$$|z - z_0|/|z - 1/\bar{z}| < C \quad \text{for every } z \in L_u^*.$$

Therefore,  $L_u^*$  tends to  $z_0$  non-tangentially; moreover, (8) holds for some  $\beta = \beta(C(M))$ . The last claim follows from the fact that  $\Psi^*$  is a homeomorphism. ■

Now, define the correspondence  $\lambda$  as follows (having in mind (7)). Let  $R$  be a  $P$ -ray to  $K_f$ . By Lemma 2.1, the  $K$ -related ray  $\tilde{R} = \psi(R)$  tends to a point  $z_0 \in \mathbb{S}$ . By Lemma 2.2, there exists a unique  $L_u$  which tends to  $z_0$ . The curve  $\psi^{-1}(L_u) = h^{-1} \circ B_G^{-1}(\{tu : t > 1\})$  is a polynomial-like ray  $l_\tau$  where  $u = e^{2\pi i \tau}$ . Let

$$\lambda(R) := \psi^{-1}(L_u).$$

The correspondence  $\lambda$  is “onto” by the first claim of Lemma 2.2 along with Lemma 2.1(2°).

Now, both curves  $\tilde{R}, L_u$  in  $\mathbb{D}^*$  tend to the point  $z_0 \in \mathbb{S}$  non-tangentially, by Lemmas 2.1 and 2.2 respectively. Then, by definition, the  $P$ -ray  $R$  and the

<sup>(3)</sup> Note that the curve  $L_u$  lies in the left-hand disc  $\mathbb{D}^*$  of (7) while the point  $u$  is at the boundary of the right-hand disc there.

polynomial-like ray  $\lambda(R)$  converge to a single prime end of  $K_{\mathbf{f}}$  non-tangentially, hence  $R$  and  $\lambda(R)$  are also  $K_{\mathbf{f}}$ -equivalent. Finally, the condition that  $R$  and  $\lambda(R)$  are  $K_{\mathbf{f}}$ -equivalent uniquely determines the polynomial-like ray  $\lambda(R)$ .

It remains to prove the “almost injectivity” of  $\lambda$ . This is a direct consequence of the one-to-one correspondence between  $K$ -related rays and curves  $L_u$  established above and the following claim whose proof is identical to the one of [ABC16, Theorem 6.8] (for completeness, we reproduce it below with obvious changes in notation). While passing from  $K$ -related rays to  $P$ -rays we use the fact that if a  $K$ -related ray is periodic, the corresponding  $P$ -ray converges to a periodic point of  $P$  which is either repelling or parabolic (by the Snail Lemma [Mil0], it cannot be irrationally indifferent).

LEMMA 2.3. *Any point  $w \in \mathbb{S}$  is the landing point of precisely one  $K$ -related ray, except when one and only one of the following holds:*

- (i)  *$w$  is the landing point of exactly two  $K$ -related rays which are non-smooth and have a common smooth arc that goes to  $w$ ;*
- (ii)  *$w$  is a landing point of at least two disjoint  $K$ -related rays, in which case  $w$  is a (pre)periodic point of  $g$  and some iterate  $g^n(w)$  belongs to a finite set  $\hat{Y}$  (depending only on  $K$ ) of  $g|_{\mathbb{S}}$ -periodic points each of which is the landing point of finitely many, but at least two,  $K$ -related rays, which are periodic of the same period depending merely on the landing point  $w$  <sup>(4)</sup>.*

Moreover, if  $w$  is periodic then (i) cannot hold.

*Proof.* Assume that there are two  $K$ -related rays landing at a point  $w \in \mathbb{S}$  and that (i) does not hold. We need to prove that then (ii) holds. Since (i) does not hold, there exist disjoint  $K$ -related rays landing at  $w$ . Let us study this case in detail.

Associate to any such pair of rays  $\hat{R}_t, \hat{R}_{t'}$  an open arc  $(\hat{R}_t, \hat{R}_{t'})$  of  $\mathbb{S}$  as follows. Two points of  $\mathbb{S}^1$  with the arguments  $t, t'$  split  $\mathbb{S}$  into two arcs. Let  $(\hat{R}_t, \hat{R}_{t'})$  be the one that contains no arguments of  $K$ -related rays except possibly for those that land at  $w$ . Geometrically, this means the following. The  $K$ -related rays  $\hat{R}_t, \hat{R}_{t'}$  together with  $w \in \mathbb{S}$  split the plane into two domains. The arc  $(\hat{R}_t, \hat{R}_{t'})$  corresponds to one of them, disjoint from  $\mathbb{S}$ . Let  $L(\hat{R}_t, \hat{R}_{t'}) = \delta$  be the angular length of  $(\hat{R}_t, \hat{R}_{t'})$ . Clearly,  $0 < \delta < 1$ . Now we make a few observations.

- (1) *If  $K$ -related disjoint rays of arguments  $t_1, t'_1$  land at a common point  $w_1$  while  $K$ -related disjoint rays of arguments  $t_2, t'_2$  land at a point  $w_2 \neq w_1$ , then the arcs  $(\hat{R}_{t_1}, \hat{R}_{t'_1}), (\hat{R}_{t_2}, \hat{R}_{t'_2})$  are disjoint.*

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<sup>(4)</sup> In [ABC16, Theorem 6.8(ii)], it is claimed erroneously that all  $K$ -related rays to the point  $w$  are smooth (cf. [PZ20]). Note that this claim is not relevant to the rest of [ABC16].

The above follows from the definition of the arc  $(\hat{R}_t, \hat{R}_t)$ .

(2) *If disjoint  $K$ -related rays  $\hat{R}_t, \hat{R}_{t'}$  of arguments  $t, t'$  land at a common point  $w$ , then the  $K$ -related rays  $g(\hat{R}_t), g(\hat{R}_{t'})$  are also disjoint and land at the common point  $g(w)$ . Moreover,*

$$L(g(\hat{R}_t), g(\hat{R}_{t'})) \geq \min\{D\delta \pmod{1}, 1 - D\delta \pmod{1}\} > 0.$$

Indeed, the images  $g(\hat{R}_t), g(\hat{R}_{t'})$  are disjoint near  $g(w)$ , because  $g$  is locally one-to-one. Hence,  $g(\hat{R}_t) \cap g(\hat{R}_{t'}) = \emptyset$ , because otherwise the corresponding  $P$ -rays would have their limit sets in different components of  $K_P$ , a contradiction since both rays  $g(\hat{R}_t), g(\hat{R}_{t'})$  are  $K$ -related. Since the argument of  $g(\hat{R}_t)$  is represented by the point  $Dt \pmod{1} \in (0, 1)$ , we get the inequality of (2).

Let us consider the following set  $\hat{Z}(K)$  of points in  $\mathbb{S}$ :  $w \in \hat{Z}(K)$  if and only if there is a pair of disjoint  $K$ -related rays  $\hat{R}, \hat{R}'$  which both land at  $w$  and satisfy  $L(\hat{R}, \hat{R}') \geq 1/(2D)$ . Denote by  $\hat{Y}(K)$  the set of periodic points which are in forward images of the points of  $\hat{Z}(K)$ .

(3) *If the set  $\hat{Z}(K)$  is non-empty, then it is finite, and consists of (pre)-periodic points.*

Indeed,  $\hat{Z}(K)$  is finite by (1). Assume  $w \in \hat{Z}(K)$ . Then by (2) some iterate  $g^n(w)$  must hit  $\hat{Z}(K)$  again.

To complete the proof, choose disjoint  $K$ -related rays  $\hat{R}_t, \hat{R}_{t'}$  landing at  $w \in \mathbb{S}$  and use this to prove that all claims of (ii) hold.

*We show that the orbit  $w, g(w), \dots$  cannot be infinite.* Indeed, otherwise by (1)–(2), we have a sequence of non-degenerate pairwise disjoint arcs  $(g^n(\hat{R}_t), g^n(\hat{R}_{t'})) \subset \mathbb{S}$ ,  $n = 0, 1, \dots$ . By (2), some iterates of  $w$  must hit the finite set  $\hat{Z}(K)$  and hence  $\hat{Y}(K)$  (which are therefore non-empty), a contradiction.

*Hence for some  $0 \leq n < l$ ,  $g^n(w) = g^l(w)$ ; let us verify that the other claims of (ii) hold.* Replacing  $w$  by  $g^n(w)$ , we may assume that  $w$  is a (repelling) periodic point of  $g$  of period  $k = l - n$ . By (2),  $w \in \hat{Y}(K)$ . By [LP96, Theorem 1], the set of  $K$ -related rays landing at  $w$  is finite, and each  $K$ -related ray landing at  $w$  is periodic with the same period. Hence, (ii) holds. Finally, the last claim of the lemma follows because a periodic non-smooth ray must have infinitely many broken points, hence, no other ray can have a common arc with it that goes up to the Julia set; see [ABC16, Lemma 6.1] for details. ■

### 3. Proofs of Theorems 2–3

**3.1. Theorem 2.** Part (a) is an immediate corollary of Lemma 2.1 and Lindelöf's theorem, as in [LP96]. Indeed, since a curve  $s \subset W \setminus K_f$  converges

to a point  $a \in K_f$ , the curve  $\tilde{s} = \psi(s)$  converges to a point  $z_0 \in \mathbb{S}$ , and the limit of the function  $\psi^{-1}$  along the curve  $\tilde{s}$  exists and equals  $a$ . By Lemma 2.1, there is a  $K$ -related ray  $\tilde{R}$  that tends to  $z_0$ , and it tends non-tangentially. Then, by [Pom, Corollary 2.17], the  $P$ -ray  $R$  converges to the same point  $a$ . By definition, the curves  $s, R$  are  $K_f$ -equivalent.

Let us prove part (b). The closed set  $S \cup K_f$  is connected and so too is its complement (by the Maximum Principle). Consider the set  $\hat{S} = \psi(S) \subset \mathbb{D}^*$ . Let  $I = \overline{\hat{S}} \setminus \hat{S}$ . Then  $I$  is a connected closed subset of the unit circle  $\mathbb{S}$ .

Let us prove  $I$  is a single point. Otherwise there is an interior point  $x \in I$  which is  $g$ -periodic. Let  $\beta$  be a  $K$ -related ray that lands at  $x$ . Notice that since  $x$  is an interior point of  $I$ ,  $\beta$  must cross  $\hat{S}$ . Now, since  $x$  is  $g$ -periodic,  $R = \psi^{-1}(\beta)$  is a periodic  $P$ -ray, hence it converges to a periodic point  $a \in \overline{S} \setminus S$  of  $P$  and crosses  $S$ , a contradiction since  $S \subset K_P$ . This proves that  $I$  is a single point; denote it by  $z_0$ .

Choose two sequences  $z'_n, z''_n$  of  $\mathbb{S}$  tending to  $z_0$  from the left and from the right respectively, and two sequences of  $K$ -related rays  $l'_n, l''_n$  so that  $l'_n$  lands at  $z'_n$  and  $l''_n$  lands at  $z''_n$ . Then, passing perhaps to subsequences, by Claim 2 in the proof of Lemma 2.1, the sequence  $l'_n$  tends to a  $K$ -related ray  $l'$  and  $l''_n$  tends to a  $K$ -related ray  $l''$ , where  $l'$  and  $l''$  land at the same  $z_0$ . By the above,  $l', l''$  are disjoint.

Now we apply Lemma 2.3 to conclude that  $z_0$  is  $g$ -(pre-)periodic, and some iterate of  $z_0$  lies in a finite set  $\hat{Y} \subset \mathbb{S}$  of periodic points, which is independent of  $z_0$ . Hence, the point  $a$  is  $P$ -(pre-)periodic, and some iterate of  $a$  lies in a finite set  $Y \subset J_f$  of periodic points, which is independent of  $a$ . As every point of  $Y$  is a landing point of a periodic ray, it can be either repelling or parabolic.

**3.2. Theorem 3.** Proof of (b), (c): It follows from the definition of  $\Lambda$  that  $\sigma_D(\Lambda) = \Lambda$  and  $\sigma_m \circ p = p \circ \sigma_D$  on  $\Lambda$ . By invariance and since  $\Lambda \neq \mathbb{T}$ , the set  $\Lambda$  contains no intervals; (c) is a reformulation of a part of the statement of Theorem 1.

Proof of (a), (d): Considering  $\Lambda$  as a subset of  $\mathbb{S} = \{|z| = 1\}$  define a new map  $p_K : \Lambda \rightarrow \mathbb{S}$  as follows: for  $\tau \in \Lambda$ , let  $p_K(\tau) \in \mathbb{S}$  be the landing point of a  $K$ -related ray of argument  $\tau$ . Recall the map  $\Psi = \psi \circ h^{-1} \circ B_G^{-1} : \mathbb{D}^* \rightarrow \mathbb{D}^*$  introduced in the proof of Theorem 1, and its quasi-conformal extension  $\Psi^* : \mathbb{C} \rightarrow \mathbb{C}$ . By Lemma 2.2 and the definition of the maps  $\lambda$  and  $p$ , we have

$$(9) \quad p_K = \Psi^*|_{\mathbb{S}} \circ p.$$

Since  $\Psi^* : \mathbb{S} \rightarrow \mathbb{S}$  is an orientation preserving homeomorphism, it is enough to prove (a), (d) with  $p$  replaced by  $p_K$ . By Lemma 2(2<sup>o</sup>),  $p_K^{-1}(I)$  is closed in  $\mathbb{S}$  for any closed arc  $I \subset \mathbb{S}$ . Therefore,  $\Lambda = p_K^{-1}(\mathbb{S})$  is closed and the map  $p_K : \Lambda \rightarrow \mathbb{S}$  is continuous. To show (d), define an extension  $\tilde{p}_K : \mathbb{S} \rightarrow \mathbb{S}$  of

$p_K : A \rightarrow \mathbb{S}$  in an obvious way as follows. Let  $J := (t_1, t_2)$  be a component of  $\mathbb{S} \setminus A$ . Then  $p_K(t_1) = p_K(t_2) =: w_J$  because otherwise there would be a point of  $\mathbb{S}$  with no  $K$ -related rays landing at it. Let  $\tilde{p}_K(\tau) = w_J$  for all  $\tau \in J$ . Then  $\tilde{p}_K : \mathbb{S} \rightarrow \mathbb{S}$  is continuous. Now, given  $t \in \mathbb{S}$ , the set  $\tilde{p}_K^{-1}(\{t\})$  is either a singleton or a non-trivial closed arc. This follows from the definition of  $\tilde{p}_K$  and because  $K$ -related rays with different arguments do not intersect unless case (i) of Theorem 2.3 takes place. Therefore,  $\tilde{p}_K : \mathbb{S} \rightarrow \mathbb{S}$  is monotone and of degree one.

Proof of (e): Let  $\tilde{h}$  be another straightening,  $\tilde{\Psi} : \mathbb{D}^* \rightarrow \mathbb{D}^*$  the corresponding quasiconformal map and  $\tilde{\Psi}^* : \mathbb{C} \rightarrow \mathbb{C}$  its quasiconformal extension. As  $p_K : \mathbb{S} \rightarrow \mathbb{S}$  is independent of the straightening, by (9) we have  $\tilde{p} = T|_{\mathbb{S}} \circ p$  where  $T = (\tilde{\Psi}^*)^{-1} \circ \tilde{\Psi}^*$ . On the other hand, on  $\mathbb{D}^*$ ,  $T = (B_G \circ \tilde{h}) \circ (B_G \circ h)^{-1}$ , hence  $T$  commutes with  $z \mapsto z^m$  for  $|z| > 1$  near  $\mathbb{S}$ , by definitions of  $h, B_G$ . Therefore, the homeomorphism  $\nu := T|_{\mathbb{S}} : \mathbb{S} \rightarrow \mathbb{S}$  commutes with  $z \mapsto z^m$  on  $\mathbb{S}$ , too. It is then well known that  $\nu(z) = \nu(1)z$  for some  $\nu(1) \in \mathbb{C}$  with modulus 1 such that  $\nu^m = \nu$  (proof: as  $\nu(1)^m = \nu(1)$  let  $v = \nu(1)$ , so that a homeomorphism  $\nu_0 = v^{-1}\nu : \mathbb{S} \rightarrow \mathbb{S}$  commutes with  $z \mapsto z^m$  too and  $\nu_0(1) = 1$ ; then there is a lift  $\tilde{\nu}_0 : \mathbb{R} \rightarrow \mathbb{R}$  of  $\nu_0$  such that  $\tilde{\nu}_0(0) = 0$ ,  $\tilde{\nu}_0 - 1$  is 1-periodic and  $\tilde{\nu}_0(mx) = m\tilde{\nu}_0(x)$  for all  $x \in \mathbb{R}$ , which in turn implies  $\tilde{\nu}_0(n/m^k) = n/m^k$  for all  $n, k \in \mathbb{Z}_{>0}$ ; by continuity,  $\tilde{\nu}_0(x) = x$  for all  $x$ ).

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