

Unique range sets and uniqueness polynomials in positive characteristic II

by

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1. Introduction. Let \mathbf{K} be an algebraically closed field of characteristic $p \geq 0$, complete with respect to a non-archimedean absolute value. Let $\mathcal{M}^*(\mathbf{K})$ be the set of non-constant meromorphic functions defined on \mathbf{K} and \mathcal{F} be a non-empty subset of $\mathcal{M}^*(\mathbf{K})$. For $f \in \mathcal{F}$ and a set S in the range of f define

$$E(f, S) = \bigcup_{a \in S} \{(z, m) \in \mathbf{K} \times \mathbb{Z}^+ : f(z) = a \text{ with multiplicity } m\}.$$

Two functions f and g of \mathcal{F} are said to *share* S , counting multiplicity, if $E(f, S) = E(g, S)$. A set S is called a *unique range set*, counting multiplicity, for \mathcal{F} , if the condition $E(f, S) = E(g, S)$ for $f, g \in \mathcal{F}$ implies that $f \equiv g$. A polynomial P defined over \mathbf{K} is called a *uniqueness polynomial* for \mathcal{F} if the condition $P(f) = P(g)$ for $f, g \in \mathcal{F}$ implies that $f \equiv g$; P is called a *strong uniqueness polynomial* if the condition $P(f) = cP(g)$ for $f, g \in \mathcal{F}$ and some non-zero constant c implies that $c = 1$ and $f \equiv g$.

In [1] we showed, in the case of positive characteristic, that a special family of polynomials are strong uniqueness polynomials for non-archimedean meromorphic functions. This was accomplished by explicitly constructing, for the curves in \mathbf{P}^2 associated to the special family, regular 1-form(s) of Wronskian type. It then follows from the non-archimedean uniformization theorem that these curves are non-archimedean hyperbolic, i.e., there is no non-constant non-archimedean analytic map into the curves. In dealing with more general forms of polynomials than those considered in [1] we are unable to explicitly construct regular 1-form(s), i.e., regular sections of the canonical bundle \mathcal{K}_C , on the associated curves; however, we are able to construct explicitly regular m -fold symmetric product of 1-form(s), i.e., regular sections of powers of the canonical bundle \mathcal{K}_C^m , and this still implies that

the associated curves are non-archimedean hyperbolic by the Berkovich Picard Theorem. Locally, on an open neighborhood (U, t) of a smooth point of a curve with local coordinate (uniformization parameter), a regular 1-form may be expressed as $a(t)dt$ where $a(t)$ is a regular function on U ; analogously, a regular m -fold symmetric product of 1-form(s) is locally expressed as $a(t)dt^{\otimes m}$. Geometrically this means that, even though we cannot take a root to get a regular 1-form on the curve C ($a(t)^{1/m}$ is not necessarily single-valued), this can be done in an appropriate branched cover.

In Section 3, we treat the case when the characteristic p of the ground field (the ground field \mathbf{K} is assumed to be algebraically closed complete with respect to a non-archimedean absolute value) is zero, and the case when $p > 0$ and p does not divide the degree of the polynomial P . In these cases, we are able to give a complete classification without any extra assumption on the multiplicities of $P'(X) = 0$ as in [9]. We note that the proof for this case involves only the construction of regular 1-forms. This result is recorded as Theorem 1 below. We also note that this line of argument can apply to the complex case (cf. [2]).

In Section 4, we treat the case where $p > 0$ and p divides the degree of the polynomial P . For this, we need to construct regular products of 1-forms. Unfortunately, we are unable to give a complete classification for this case. However, one can see from the statement of Theorem 2 (and the remarks after the theorem) that our results are indeed very sharp. Another result in this section concerns the unique range set problem for non-archimedean entire functions. If $p = 0$ or if p does not divide the cardinality $|S|$ of a finite set $S \subset \mathbf{K}$, it is well known that S is a unique range set for non-archimedean entire functions if and only if S is affinely rigid (cf. [5] and [8]). This characterization is false if p divides $|S|$ (cf. [4] and [8]). However, using Theorem 2, we are able to offer a precise classification for most cases.

Throughout this paper we will let $P(X)$ be a polynomial of degree n in $\mathbf{K}[X]$. We will use l to denote the number of distinct roots of $P'(X)$, and we will denote those roots by $\alpha_1, \dots, \alpha_l$. We will use m_1, \dots, m_l to denote the multiplicities of the roots in P' . Thus,

$$P'(X) = a(X - \alpha_1)^{m_1} \cdots (X - \alpha_l)^{m_l},$$

where a is some non-zero constant. We will continually assume what we call

HYPOTHESIS I:

$$P(\alpha_i) \neq P(\alpha_j) \quad \text{whenever } i \neq j.$$

In other words, P is injective on the roots of P' .

Without loss of generality, we assume that we have listed the α_i so that the m_i are non-increasing. We note that Hypothesis I is a generic condition,

and one can see later from our arguments that it makes the computation easier.

We now define three special cases of $P(X)$ as above:

- (1A) $l = 1$ and the multiplicity of $X - \alpha_1$ in $P(X) - P(\alpha_1)$ is $\geq m_1$.
- (1B) $l = 2, \min\{m_1, m_2\} = 1$, and the multiplicity of $X - \alpha_i$ in $P(X) - P(\alpha_i)$ is $m_i + 1$ for $i = 1, 2$.
- (1C) $n = 4, l = 3$, and there exists a permutation ϕ of $\{1, 2, 3\}$ such that $\phi(i) \neq i$ for $i = 1, 2, 3$ and there exists a root w of $w^2 + w + 1 = 0$ such that

$$w = \frac{P(\alpha_i)}{P(\alpha_{\phi(i)})} \quad \text{for } i = 1, 2, 3.$$

The main results of this article are:

THEOREM 1. *Let $P(X)$ be a polynomial as above satisfying Hypothesis I. Assume $p = 0$, or $p > 0$ and $p \nmid n$. Let S be the zero set of P and assume S is affinely rigid. Then:*

- (I) *Either $P(X)$ belongs to (1A) or (1B) above, or $P(X)$ is a uniqueness polynomial for $\mathcal{M}^*(\mathbf{K})$.*
- (II) *Either $P(X)$ belongs to (1A), (1B) or (1C) above, or $P(X)$ is a strong uniqueness polynomial for $\mathcal{M}^*(\mathbf{K})$.*

This result (and its proof) is similar, but a little more complicated than the corresponding result in the complex case (see [2]).

The situation is more complicated when $p \mid n$, and we require some additional notation. We use μ_i to denote the multiplicity of $X - \alpha_i$ in $P(X) - P(\alpha_i)$. We define $b_{i,j}$ by writing

$$P(X) - P(\alpha_i) = \sum_{j=\mu_i}^n b_{i,j}(X - \alpha_i)^j.$$

We then define the homogeneous forms $A_{i,\mu_i}(X, Y, Z)$ by

$$A_{i,\mu_i}(X, Y, Z) = b_{i,\mu_i} Z \left[\frac{(X - \alpha_i Z)^{\mu_i} - (Y - \alpha_i Z)^{\mu_i}}{X - Y} \right] + b_{i,\mu_i+1} \left[\frac{(X - \alpha_i Z)^{\mu_i+1} - (Y - \alpha_i Z)^{\mu_i+1}}{X - Y} \right].$$

Let $m = 1 + \sum_{i=1}^l m_i$. When $c \neq 0, 1$ and $m_1 = \dots = m_l = 1$, for a fixed permutation ϕ of $\{1, \dots, l\}$ such that $\phi(i) \neq i$ we define the homogeneous forms $B_{i,m}(X, Y, Z)$ by

$$B_{i,m}(X, Y, Z) = \sum_{j=2}^m [b_{i,j}(X - \alpha_i Z)^j - cb_{\phi(i),j}(Y - \alpha_{\phi(i)} Z)^j] Z^{m-j}.$$

We then let

$$B(i, m) := B_{i,m}(X, Y, 1).$$

We are now ready to state the following theorem:

THEOREM 2. *Let $P(X)$ be a polynomial as above satisfying Hypothesis I and such that $p \mid n$. Let S be the zero set of $P(X)$ and assume that S is affinely rigid. Let m_i be arranged in non-increasing order. Then*

(I) *$P(X)$ is a uniqueness polynomial for $\mathcal{M}^*(\mathbf{K})$ if (A), (B), or (C) holds, where:*

(A) $l \geq 3$;

(B) $l = 2$ and either:

(1) $m_2 \geq 2$, or

(2) $m_2 = 1$ and either:

(a) $\mu_1 \leq m_1$, or

(b) $\mu_1 = m_1 + 1$ and either:

(i) $(m_1 + 2) \nmid n$, or

(ii) $(m_1 + 2) \mid n$, $A_{1,m_1}(X, Y, 1)$ is not a factor of $[P(X) - P(Y)]/(X - Y)$;

(C) $l = 1$ and (1), (2) or (3) holds, where:

(1) $\mu_1 \leq m_1 - 1$,

(2) $\mu_1 = m_1$ and either:

(a) $(m_1 + 1) \nmid n$, or

(b) $(m_1 + 1) \mid n$, $p \geq 5$, and $A_{1,m_1}(X, Y, 1)$ is not factor of $[P(X) - P(Y)]/(X - Y)$,

(3) $\mu_1 = m_1 + 1$, and either:

(a) $u = 2$, $p \geq 5$, and $A_{1,m_1+1}(X, Y, 1)$ is not a factor of $P(X) - P(Y)$, or

(b) $u \geq 3$ and $m_1 \geq 2$, except when $(m_1, p) = (2, 2)$, or $(u, m_1, p) = (3, 2, 5)$, or $(3, 3, 3)$, where u is defined by writing $P(X) - P(\alpha_1) = b_{1,m_1+1}(X - \alpha_1)^{m_1+1} + b_{1,m_1+u}(X - \alpha_1)^{m_1+u} + \dots$ with $b_{1,m_1+u} \neq 0$.

(II) *If $P(X)$ is a uniqueness polynomial for $\mathcal{M}^*(\mathbf{K})$ then it is also a strong uniqueness polynomial for $\mathcal{M}(\mathbf{K})$ except in the following cases:*

(A) $l = 3$, $m_1 = m_2 = m_3 = 1$, $3 \mid n - 1$, $4 \mid n$, there exists a permutation ϕ of $\{1, 2, 3\}$ such that $\phi(i) \neq i$ for $i = 1, 2, 3$, and there exists a root w of $w^2 + w + 1 = 0$ such that

$$w = \frac{P(\alpha_i)}{P(\alpha_{\phi(i)})} \quad \text{for } i = 1, 2, 3,$$

and such that $B(1, 4) = B(2, 4) = B(3, 4)$ and it is not a factor of $P(X) - wP(Y)$;

- (B) $l = 2, m_1 = m_2 = 1, 3 \mid n$ and there exists a constant c different from $0, 1,$ and -1 such that for some i, j with $\{i, j\} = \{1, 2\}$, we have $P(\alpha_i) = cP(\alpha_j)$ and $B(i, 3)$ is a factor of $P(X) - cP(Y)$;
- (C) $l = 2, m_1 = m_2 = 1, n$ is odd, $3 \mid n, P(\alpha_1) = -P(\alpha_2), B(1, 3) = B(2, 3),$ and $B(1, 3)/(X+Y-\alpha_1-\alpha_2)$ is a factor of $P(X)+P(Y)$.

REMARK 1. The condition we find here is very sharp since A_{1,μ_1} (in (I)), $B_{1,4}, B_{1,3}$ (in (II.A) and (II.B)) or $B_{1,3}/(X + Y - \alpha_1Z - \alpha_2Z)$ (in (II.C)) do define irreducible curves of genus 0 and degree larger than one.

REMARK 2. If we assume that $p \geq 7$ and $m_1 \geq 2$ when $l = 1$, then the conditions in Theorem 2 are necessary and sufficient.

Let $\mathcal{A}^*(\mathbf{K})$ be the set of non-constant entire functions. It is well known that a polynomial is a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$ if and only if its zero set is a unique range set of $\mathcal{A}^*(\mathbf{K})$. Let S be the set of zeros of $P(X)$. Suppose that b_{1,p^r} and b_{1,p^r-1} in the expansion of $P(X) - P(\alpha_1)$ are both non-zero. Similarly to [6], we consider the two-variable polynomial of degree $p^r - 1$

$$\begin{aligned} \mathcal{F}_{p^r-1}(X, Y) &:= A_{1,p^r-1}(X, Y, 1) \\ &= b_{1,p^r}(X - Y)^{p^r-1} + b_{1,p^r-1} \frac{(X - \alpha_1)^{p^r-1} - (Y - \alpha_1)^{p^r-1}}{X - Y}. \end{aligned}$$

For each s_j in S let $t_{j,1}, \dots, t_{j,p^r-1}$ be the $p^r - 1$ solutions in t of the equation $\mathcal{F}_{p^r-1}(t, s_j) = 0$. Then define

$$T_{\mathcal{F}_{p^r-1}}(S) = \{t_{1,1}, \dots, t_{1,p^r-1}, t_{2,1}, \dots, t_{n,p^r-1}\}.$$

We say S is preserved by a Frobenius transformation \mathcal{F}_{p^r-1} if $T_{\mathcal{F}_{p^r-1}}(S) = (p^r - 1)S$.

COROLLARY 1. Let $P(X)$ be a polynomial as above satisfying Hypothesis I and such that $p \mid n$. Let S be the zero set of $P(X)$.

- (I) In cases (A) and (B) below, S is a unique range set for $\mathcal{A}^*(\mathbf{K})$ if and only if S is affinely rigid:
 - (A) $l \geq 2$;
 - (B) $l = 1,$ and either
 - (1) $\mu_1 \leq m_1 - 1,$ or
 - (2) $\mu_1 = m_1,$ and either
 - (a) $(m_1 + 1) \nmid n,$ or
 - (b) $(m_1 + 1) \mid n$ and $p \geq 5,$

- (3) $\mu_1 = m_1 + 1 \geq 3$, and either
- (a) $b_{1,m_1+2} = 0$ and $p \geq 7$, or
 - (b) $b_{1,m_1+2} \neq 0$ and $m_1 + 2$ is not a power of p , and $p \geq 5$.
- (II) When $l = 1$, $\mu_1 = m_1 + 1 \geq 3$, $p \geq 7$, $b_{1,p^r} \neq 0$, and $m_1 + 2 = p^r$ for some $r \geq 1$, S is a unique range set for $\mathcal{A}^*(\mathbf{K})$ if and only if S is affinely rigid and S is not preserved by the Frobenius transformation $\mathcal{F}_{p^r-1}(X, Y)$.

2. Symmetric products of regular differential forms. The starting point of [1] is the theorem of Berkovich that a projective irreducible algebraic curve defined over a complete non-archimedean field \mathbf{K} is hyperbolic if and only if it is of positive genus (cf. [3] and [7]). This means simply that there is no non-constant analytic map from \mathbf{K} into an irreducible projective algebraic curve R defined over \mathbf{K} if and only if there is a regular 1-form (an element of $H^0(R, \mathcal{K}_R)$, where \mathcal{K}_R is the canonical sheaf of R) on R which is not identically zero. Since $H^0(R, \mathcal{K}_R^m)$, $m \geq 1$, is trivial if and only if R is a rational curve, this again means that there is no non-constant analytic map from \mathbf{K} into R if and only if there is a regular m -fold symmetric product of 1-form(s) (an element of $H^0(R, \mathcal{K}_R^m)$) on R .

For our purpose, we will need to consider plane curves which may have singularities. We now explain what we mean by a regular m -fold symmetric product of 1-forms. Let R be a plane curve defined by a homogeneous polynomial $R(X, Y, Z) = 0$ over \mathbf{K} and let \mathfrak{p} be a point of R . Let $[X]$, $[Y]$, $[Z]$ be the residue classes of X, Y, Z respectively in the coordinate ring of R . Every 1-form of R can be represented as $Q([X], [Y], [Z])d[X]$, where $Q([X], [Y], [Z])$ is a rational function in $[X], [Y], [Z]$. To check the regularity of a differential form, we will have to check it on each of the local parametrizations. To be more precise, $[X], [Y], [Z]$ can be analytically parametrized at a point $\mathfrak{p} \in R$ by

$$\varphi = (\varphi_0, \varphi_1, \varphi_2) : \Delta_\varepsilon = \{t \in \mathbf{K} \mid |t|_\nu < \varepsilon\} \rightarrow R, \quad \varphi(0) = \mathfrak{p}.$$

The order of a polynomial $Q([X], [Y], [Z])$ (a rational function, or a differential form) in $[X], [Y], [Z]$ with respect to a local parametrization φ at \mathfrak{p} is defined by

$$\text{ord}_{\mathfrak{p}, \varphi} Q([X], [Y], [Z]) := \text{ord}_t Q(\varphi_0, \varphi_1, \varphi_2).$$

Clearly, this definition is independent of the choice of the representing classes of $[X], [Y], [Z]$. For simplicity of notation, we write $\text{ord}_{\mathfrak{p}, \varphi} Q(X, Y, Z)$ for $\text{ord}_{\mathfrak{p}, \varphi} Q([X], [Y], [Z])$, where φ is a local parametrization of the curve at \mathfrak{p} . A differential form ω in $[X], [Y], [Z]$ is regular at \mathfrak{p} if $\text{ord}_{\mathfrak{p}, \varphi} \omega \geq 0$ for every analytic parametrization φ at \mathfrak{p} .

We deduce

THEOREM 3. *Let R be an irreducible projective plane curve defined over $(\mathbf{K}, | \cdot |_\nu)$. The curve R admits a non-trivial global regular m -fold symmetric product of 1-forms if and only if R is non-archimedean hyperbolic.*

3. Proof of Theorem 1. From now on we consider a polynomial P of the form

$$P(X) = X^n + a_{n-1}X^{n-1} + \dots + a_1X + a_0.$$

Its derivative may be expressed as

$$P'(X) = a(X - \alpha_1)^{m_1} \dots (X - \alpha_l)^{m_l},$$

where $a \neq 0$ and $\alpha_1, \dots, \alpha_l$ are the *distinct* roots of P' and $m_i \geq 1$. We assume that P satisfies Hypothesis I, i.e.,

$$(3.0.1) \quad P(\alpha_i) \neq P(\alpha_j) \quad \text{for all } 1 \leq i \neq j \leq l.$$

We denote by μ_i the multiplicity of $X - \alpha_i$ in $P(X) - P(\alpha_i)$. Therefore,

$$(3.0.2) \quad P(X) - P(\alpha_i) = *(X - \alpha_i)^{\mu_i} + \dots + *(X - \alpha_i)^{m_i+1} + \dots + *(X - \alpha_i)^n.$$

Here, we use $*$ to indicate a non-zero element in \mathbf{K} . We will use this notation throughout the paper. Note that $\mu_i \leq m_i + 1$ and that equality holds if the characteristic of \mathbf{K} is zero.

Let $F(X, Y, Z)$ be the homogenization of the polynomial of two variables

$$\frac{P(X) - P(Y)}{X - Y} = \sum_{k=1}^n a_k \sum_{j=0}^{k-1} X^{k-1-j} Y^j,$$

so that

$$(3.0.3) \quad F(X, Y, Z) = \sum_{k=1}^n \sum_{j=0}^{k-1} a_k X^{k-1-j} Y^j Z^{n-k} = Z^n \frac{P(X/Z) - P(Y/Z)}{X - Y}.$$

Denote by C the curve defined by $F(X, Y, Z) = 0$. Similarly, let $F_c(X, Y, Z)$ be the homogenization of the polynomial $P(X) - cP(Y)$ for $c \neq 0, 1$, and denote by C_c the curve defined by $F_c(X, Y, Z) = 0$. If f and g are non-archimedean meromorphic functions such that $P(f) = P(g)$ or $P(f) = cP(g)$, then $\phi = (f, g, 1)$ is a non-archimedean analytic map into C or C_c respectively. Our purpose is to construct respectively on C and each C_c , $c \neq 0, 1$, a regular 1-form or a regular product of 1-forms which is non-trivial on each of its components. Then Theorem 3 implies that f and g have to be constant, i.e., $P(X)$ is a strong uniqueness polynomial.

3.1. *On the curve $[F(X, Y, Z) = 0]$.* We may express the polynomial $F(X, Y, Z)$ as a polynomial in $X - \alpha_i Z$ and $Y - \alpha_i Z$:

$$\begin{aligned}
 (3.1.1) \quad F(X, Y, Z) = & * \left[\frac{(X - \alpha_i Z)^{\mu_i} - (Y - \alpha_i Z)^{\mu_i}}{X - Y} \right] Z^{n - \mu_i} \\
 & + * \left[\frac{(X - \alpha_i Z)^{w_i} - (Y - \alpha_i Z)^{w_i}}{X - Y} \right] Z^{n - w_i} \\
 & + \dots + * \left[\frac{(X - \alpha_i Z)^n - (Y - \alpha_i Z)^n}{X - Y} \right],
 \end{aligned}$$

where w_i is the degree of the second non-vanishing term in (3.0.2).

From (3.1.1), and the fact that $F(\varphi_0, \varphi_1, \varphi_2) = 0$ for any analytic parametrization $\varphi = (\varphi_0, \varphi_1, \varphi_2)$ at $\mathbf{p}_i = (\alpha_i, \alpha_i, 1)$, it is easily seen that

$$(3.1.2) \quad \text{ord}_{\mathbf{p}_i, \varphi}(X - \alpha_i Z) = \text{ord}_{\mathbf{p}_i, \varphi}(Y - \alpha_i Z),$$

hence

$$(3.1.3) \quad \text{ord}_{\mathbf{p}_i, \varphi}(X - Y) = \text{ord}_{\mathbf{p}_i}(X - \alpha_i Z - (Y - \alpha_i Z)) \geq \text{ord}_{\mathbf{p}_i, \varphi}(X - \alpha_i Z)$$

and

$$\begin{aligned}
 (3.1.4) \quad \text{ord}_{\mathbf{p}_i, \varphi}((X - \alpha_i Z)^{\mu_i - 1} + \dots + (Y - \alpha_i Z)^{\mu_i - 1}) \\
 \geq (w_i - 1) \text{ord}_{\mathbf{p}_i, \varphi}(X - \alpha_i Z).
 \end{aligned}$$

By Euler’s Theorem the condition $F(X, Y, Z) = 0$ is equivalent to

$$X \frac{\partial F}{\partial X} + Y \frac{\partial F}{\partial Y} + Z \frac{\partial F}{\partial Z} = 0.$$

The (Zariski) tangent space of C is defined by the equations $F(X, Y, Z) = 0$ and

$$dX \frac{\partial F}{\partial X} + dY \frac{\partial F}{\partial Y} + dZ \frac{\partial F}{\partial Z} = 0.$$

Then by Cramer’s rule

$$(3.1.5) \quad \gamma := \frac{W(X, Y)}{\frac{\partial F}{\partial Z}} = \frac{W(Y, Z)}{\frac{\partial F}{\partial X}} = \frac{W(Z, X)}{\frac{\partial F}{\partial Y}}$$

is a well defined rational 1-form on $\pi^{-1}(C)$ ($\pi : \mathbf{K}^3 \setminus \{0\} \rightarrow \mathbf{P}^2$ is the usual projection), where

$$W(X, Y) = \begin{vmatrix} X & Y \\ dX & dY \end{vmatrix}, \quad W(Y, Z) = \begin{vmatrix} Y & Z \\ dY & dZ \end{vmatrix}, \quad W(Z, X) = \begin{vmatrix} Z & X \\ dZ & dX \end{vmatrix}$$

are the Wronskians.

LEMMA 1. *Let P be a polynomial satisfying Hypothesis I and m_i be arranged in non-increasing order. Then any irreducible component of C admits a non-trivial regular 1-form in the following cases:*

- (i) $l \geq 3$, or $l = 2$ and $m_2 \geq 2$;
- (ii) $p > 0$, $l = 2$, $m_2 = 1$, $\mu_1 \leq m_1$, and the curve C has no linear components;
- (iii) $p > 0$, $l = 1$, $\mu_1 \leq m_1 - 1$, and the curve C has no linear components.

Proof. Differentiating and restricting to the curve $C = [F(X, Y, Z) = 0]$ yields

$$\begin{aligned} \frac{\partial F}{\partial X}(X, Y, Z) &= \frac{aZ^{n-1-\sum_{i=1}^l m_i} \prod_{i=1}^l (X - \alpha_i Z)^{m_i}}{X - Y}, \\ \frac{\partial F}{\partial Y}(X, Y, Z) &= \frac{-aZ^{n-1-\sum_{i=1}^l m_i} \prod_{i=1}^l (Y - \alpha_i Z)^{m_i}}{X - Y}. \end{aligned}$$

By (3.1.5) and canceling out the common factors, we get the following rational 1-form:

$$(3.1.6) \quad \eta = \frac{W(Y, Z)}{\prod_{i=1}^l (X - \alpha_i Z)^{m_i}} = \frac{-W(X, Z)}{\prod_{i=1}^l (Y - \alpha_i Z)^{m_i}},$$

well defined on $\pi^{-1}(C)$. Observe that η does not have any pole along $[Z = 0]$ (because, as the line $Z = 0$ is not an irreducible component of C , this would mean that $X = Y = 0$ as well). On the finite part of C (i.e., $Z \neq 0$) the only possible poles of η (on $\pi^{-1}(C)$) are the pull-back of the set $\{(\alpha_i, \alpha_j, 1) \in C \mid 1 \leq i, j \leq l\}$ and Hypothesis I implies that $\alpha_i = \alpha_j$. Let $m = 1 + \sum_{i=1}^l m_i$ and

$$\omega := \frac{(X - Y)^{m-3}}{\prod_{i=1}^l (X - \alpha_i Z)^{m_i}} W(Y, Z) = (X - Y)^{m-3} \eta,$$

which is well defined on the curve C and has a possible pole at $\mathbf{p}_i = (\alpha_i, \alpha_i, 1)$, $1 \leq i \leq l$, along C . Moreover, one can see from (3.1.3) that for each $j = 1, \dots, l$,

$$\text{ord}_{\mathbf{p}_j, \varphi} \omega \geq (m-3-m_j) \text{ord}_{\mathbf{p}_j, \varphi} (X - \alpha_j Z) = \left(\left(\sum_{i \neq j}^l m_i \right) - 2 \right) \text{ord}_{\mathbf{p}_j, \varphi} (X - \alpha_j Z),$$

which is ≥ 0 if $l \geq 3$ or $l = 2$ and $m_2 \geq 2$. Therefore, ω is a regular 1-form on C in these cases. It is easy to see that $X - Y$ is not a factor of $F(X, Y, Z)$. This completes the proof of (i).

For (ii), suppose that the multiplicity μ_1 of $X - \alpha_1$ in $P(X) - P(\alpha_1)$ is no greater than m_1 ; then μ_1 is divisible by p and can be written as $\mu_1 = p^a b$ with $a, b \geq 1$, $p \nmid b$. Consider the form

$$\omega := \frac{W(Y, Z)(X - Y)^{p^a-1} ((X - \alpha_1 Z)^{b-1} + \dots + (Y - \alpha_1 Z)^{b-1})^{p^a}}{(X - \alpha_1 Z)^{p^a b} (X - \alpha_2 Z)},$$

which is well defined on \mathbf{P}^2 . Since $m_1 \geq p^a b$ we can write ω as a product of η and a polynomial:

$$\omega = (X - Y)^{p^a-1} ((X - \alpha_1 Z)^{b-1} + \dots + (Y - \alpha_1 Z)^{b-1})^{p^a} (X - \alpha_1 Z)^{m_1 - p^a b} \eta,$$

hence the poles of ω are poles of η . By (3.1.4), $(\alpha_1, \alpha_1, 1)$ is not a pole of ω and, by (3.1.3), $(\alpha_2, \alpha_2, 1)$ is not a pole of ω either. Thus ω is regular on C .

If the curve C has no linear components, then ω is a non-trivial regular 1-form on every component of C .

We now consider case (iii), where $l = 1$ and $\mu_1 \leq m_1 - 1$. Similarly, we may write $\mu_1 = p^a b$ with $a, b \geq 1, p \nmid b$. Let $w_1 - 1$ be the degree of the second term in (3.1.1). If $w_1 \neq m_1 + 1$, then w_1 is divisible by p , hence $w_1 - \mu_1 \geq 2$. If $w_1 = m_1 + 1$, then we also have $w_1 - \mu_1 \geq 2$ since $\mu_1 \leq m_1 - 1$. We infer from (3.1.4) that

$$\begin{aligned} \omega &:= \frac{W(Y, Z)(X - Y)^{p^a - 1}((X - \alpha_1 Z)^{b-1} + \dots + (Y - \alpha_1 Z)^{b-1})^{p^a}}{(X - \alpha_1 Z)^{\mu_1 + 1}} \\ &= (X - Y)^{p^a - 1}((X - \alpha_1 Z)^{b-1} + \dots + (Y - \alpha_1 Z)^{b-1})^{p^a} (X - \alpha_1 Z)^{m_1 - \mu_1 - 1} \eta \end{aligned}$$

is regular on the curve C . Moreover, it is non-trivial on every component of C if C has no linear components. ■

3.2. *On the curve* $[F_c(X, Y, Z) = 0], c \neq 0, 1$. We shall establish the results of Section 3.1 on the curve $[F_c(X, Y, Z) = 0]$.

As in the previous subsection, we see that

$$\gamma := \frac{W(Y, Z)}{\frac{\partial F_c}{\partial X}} = \frac{W(Z, X)}{\frac{\partial F_c}{\partial Y}} = \frac{W(X, Y)}{\frac{\partial F_c}{\partial Z}}$$

is a well defined rational 1-form on $\pi^{-1}(C_c)$ ($\pi : \mathbf{K}^3 \setminus \{0\} \rightarrow \mathbf{P}^2$ is the usual projection). Differentiation yields on $C_c = [F_c(X, Y, Z) = 0]$:

$$\begin{aligned} \frac{\partial F_c}{\partial X}(X, Y, Z) &= aZ^{n-1-\sum_{i=1}^l m_i} \prod_{i=1}^l (X - \alpha_i Z)^{m_i}, \\ \frac{\partial F_c}{\partial Y}(X, Y, Z) &= -caZ^{n-1-\sum_{i=1}^l m_i} \prod_{i=1}^l (Y - \alpha_i Z)^{m_i}. \end{aligned}$$

Consider the rational 1-form (well defined on $\pi^{-1}(C_c)$)

$$\begin{aligned} (3.2.1) \quad \eta &:= \frac{W(Y, Z)}{(X - \alpha_1 Z)^{m_1} \dots (X - \alpha_l Z)^{m_l}} \\ &\equiv \frac{W(Z, X)}{-c(Y - \alpha_1 Z)^{m_1} \dots (Y - \alpha_l Z)^{m_l}}. \end{aligned}$$

We see again that there are no poles along $[Z = 0] \cap \pi^{-1}(C_c)$. Let

$$l_0 := \#\{(i, j) \mid P(\alpha_i) = cP(\alpha_j)\}.$$

Since $P(X)$ satisfies Hypothesis I, it is easy to see that $0 \leq l_0 \leq l$, and $l_0 = l$ if and only if there exists a permutation ϕ of $\{1, \dots, l\}$ such that $(\alpha_i, \alpha_{\phi(i)}, 1) \in C_c$ for any $i = 1, \dots, l$, i.e.,

$$\frac{P(\alpha_1)}{P(\alpha_{\phi(1)})} = \frac{P(\alpha_2)}{P(\alpha_{\phi(2)})} = \dots = \frac{P(\alpha_l)}{P(\alpha_{\phi(l)})} = c.$$

Therefore, η has at most l_0 possible poles at $(\alpha_i, \alpha_j, 1)$ with $P(\alpha_i) = cP(\alpha_j)$ along the curve C_c . For simplicity of notation, in what follows ϕ will always be a permutation of $\{1, \dots, l\}$ such that $\phi(i) = j$ if $P(\alpha_i) = cP(\alpha_j)$.

We shall need the following:

PROPOSITION 1. *Let P be a polynomial satisfying Hypothesis I, and ϕ be a permutation of $\{1, \dots, l\}$ such that $\phi(i) = j$ if $P(\alpha_i) = cP(\alpha_j)$. If there exists $1 \leq i \leq l$ such that $|m_i - m_{\phi(i)}| \geq 2$, then every irreducible component of C_c admits a non-trivial regular 1-form.*

Proof. Without loss of generality, we may assume that $m_i - m_{\phi(i)} \geq 2$. Let

$$\omega := \frac{W(Y, Z)(Y - \alpha_{\phi(i)}Z)^{m_i-2}}{(X - \alpha_iZ)^{m_i}},$$

which is well defined on \mathbf{P}^2 . By (3.2.1), along the curve C_c , ω has only possible poles at $(\alpha_i, \alpha_j, 1)$, $j \neq i$. Since P satisfies Hypothesis I, from the definition of the permutation ϕ we see that if $P(\alpha_i) \neq cP(\alpha_{\phi(i)})$ then $(\alpha_i, \alpha_j, 1) \notin C_c$ for each $j \neq i$. Therefore, ω is regular on the curve C_c . Otherwise, from the relation

$$\begin{aligned} & \frac{W(Y, Z)(Y - \alpha_{\phi(i)}Z)^{m_i-2}}{(X - \alpha_iZ)^{m_i}} \\ &= (Y - \alpha_{\phi(i)}Z)^{m_i-m_{\phi(i)}-2} \frac{W(Y, Z)(Y - \alpha_{\phi(i)}Z)^{m_{\phi(i)}}}{(X - \alpha_iZ)^{m_i}} \end{aligned}$$

and $m_i - m_{\phi(i)} \geq 2$, we see that a pole of ω is also a pole of

$$\frac{W(Y, Z)(Y - \alpha_{\phi(i)}Z)^{m_{\phi(i)}}}{(X - \alpha_iZ)^{m_i}},$$

which is however regular on C_c by (3.2.1) and Hypothesis I. It is easy to see that C_c has no factor of the form $aY - bZ$, hence $W(Y, Z) \not\equiv 0$. This implies that ω is non-trivial on any component of C_c . ■

REMARK. A similar result was obtained in [9] using the truncated second main theorem for rational functions of [10] and [11]. The proof above using the construction of a regular 1-form is much simpler.

Let $\mathbf{p}_i = (\alpha_i, \alpha_{\phi(i)}, 1)$ and $\mathbf{p}_j = (\alpha_j, \alpha_{\phi(j)}, 1)$ be distinct points in \mathbf{P}^2 . Let L_{ij} be the linear form defined as follows:

$$(3.2.2) \quad L_{ij} := (Y - \alpha_{\phi(j)}Z) - \frac{\alpha_{\phi(i)} - \alpha_{\phi(j)}}{\alpha_i - \alpha_j} (X - \alpha_jZ).$$

In other words, $[L_{ij} = 0]$ is the line passing through \mathbf{p}_i and \mathbf{p}_j . Thus L_{ij} is also equal to

$$(Y - \alpha_{\phi(i)}Z) - \frac{\alpha_{\phi(i)} - \alpha_{\phi(j)}}{\alpha_i - \alpha_j} (X - \alpha_iZ).$$

It is clear from the definition that

$$(3.2.3) \quad \text{ord}_{\mathfrak{p}_i, \varphi} L_{ij} \geq \min\{\text{ord}_{\mathfrak{p}_i, \varphi}(X - \alpha_i Z), \text{ord}_{\mathfrak{p}_i, \varphi}(Y - \alpha_{\phi(i)} Z)\}$$

and

$$(3.2.4) \quad \text{ord}_{\mathfrak{p}_j, \varphi} L_{ij} \geq \min\{\text{ord}_{\mathfrak{p}_j, \varphi}(X - \alpha_j Z), \text{ord}_{\mathfrak{p}_j, \varphi}(Y - \alpha_{\phi(j)} Z)\}.$$

LEMMA 2. *Let P be a polynomial satisfying Hypothesis I and m_i be arranged in non-increasing order. If the curve C_c has no linear factor then any irreducible component of C_c admits a non-trivial regular 1-form except in the following cases:*

- (i) $l = l_0 = 3$ and $m_1 = m_2 = m_3 = 1$;
- (ii) $l = 2$ and $m_1 = m_2 = 1$ and $l_0 = 1, 2$;
- (iii) $l = 1$ and $m_1 = 1$.

Proof. If $l = 1$, it is clear that η has no pole on $\pi^{-1}(C_c)$. Therefore

$$\omega := \frac{W(Y, Z)}{(X - \alpha_1 Z)^2} = (X - \alpha_1 Z)^{m_1 - 2} \eta$$

is well defined and regular on C_c if $m_1 \geq 2$. It is easy to see that $[X - \alpha_1 Z = 0]$ is not a component of C_c , thus ω is non-trivial on any irreducible component of C_c .

We now assume that $l \geq 2$. If $m_2 \geq 2$ then $m_1 + m_2 - 2 \geq m_1 \geq m_i$ for $1 \leq i \leq l$. The only possible poles of the 1-form

$$\omega := \frac{W(Y, Z)L_{12}^{m_1 + m_2 - 2}}{(X - \alpha_1 Z)^{m_1}(X - \alpha_2 Z)^{m_2}}$$

on the curve C_c are $\mathfrak{p}_i = (\alpha_i, \alpha_{\phi(i)}, 1)$, $i = 1, 2$. If $\text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) \leq \text{ord}_{\mathfrak{p}_1, \varphi}(Y - \alpha_{\phi(1)} Z)$ then $\text{ord}_{\mathfrak{p}_1, \varphi} L_{12} = \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z)$. Therefore, as $m_1 + m_2 - 2 \geq m_1$, ω is regular at \mathfrak{p}_1 . If $\text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) > \text{ord}_{\mathfrak{p}_1, \varphi}(Y - \alpha_{\phi(1)} Z)$ then $\text{ord}_{\mathfrak{p}_1, \varphi} L_{12} = \text{ord}_{\mathfrak{p}_1, \varphi}(Y - \alpha_{\phi(1)} Z)$. By (3.2.1), on $\pi^{-1}(C_c)$ we have

$$\frac{W(Y, Z)(Y - \alpha_{\phi(1)} Z)^{m_{\phi(1)}}}{(X - \alpha_1 Z)^{m_1}} \equiv \frac{W(Z, X)(X - \alpha_2 Z)^{m_2} \cdots (X - \alpha_l Z)^{m_l}}{-c(Y - \alpha_{\phi(2)} Z)^{m_{\phi(2)}} \cdots (Y - \alpha_{\phi(l)} Z)^{m_{\phi(l)}}},$$

which is regular at $\pi^{-1}(\mathfrak{p}_1)$. The regularity of ω follows from this because $m_1 + m_2 - 2 \geq m_{\phi(1)}$ and $\text{ord}_{\mathfrak{p}_1, \varphi} L_{12} = \text{ord}_{\mathfrak{p}_1, \varphi}(Y - \alpha_{\phi(1)} Z)$. The regularity of ω at \mathfrak{p}_2 is similarly established. Therefore ω is regular on C_c and is non-trivial on any component of C_c provided that it has no linear component.

It remains to consider the case $m_2 = 1$. Then $p \neq 2$ and $m_i = 1$ for any $i = 2, \dots, l$. By Proposition 1, we only need to consider the cases $m_1 = 1$ and $m_1 = 2$. First, we suppose that $m_1 = 2$. Since $p \neq 2$, $\mu_1 = m_1 + 1 = 3$. Similarly, we have $\mu_{\phi(1)} = m_{\phi(1)} + 1 = 2$, since $\phi(1) \neq 1$ and $m_i = 1$ for any

$i = 2, \dots, l$. Let

$$\omega := \frac{W(Y, Z)L_{12}}{(X - \alpha_1 Z)^2(X - \alpha_2 Z)},$$

which is well defined in \mathbf{P}^2 and the only possible poles on the curve C_c are $\mathbf{p}_1 = (\alpha_1, \alpha_{\phi(1)}, 1)$ and $\mathbf{p}_2 = (\alpha_2, \alpha_{\phi(2)}, 1)$. If $\mathbf{p}_i \notin C_c$ then, on the curve C_c , ω is regular at this point. If $\mathbf{p}_1 \in C_c$ then from the expression of $F_c(X, Y, Z) = 0$ at \mathbf{p}_1 we see readily that

$$3 \operatorname{ord}_{\mathbf{p}_1, \varphi}(X - \alpha_1 Z) = 2 \operatorname{ord}_{\mathbf{p}_1, \varphi}(Y - \alpha_{\phi(1)} Z) > 0.$$

Hence,

$$2 \leq \operatorname{ord}_{\mathbf{p}_1, \varphi}(X - \alpha_1 Z) < \operatorname{ord}_{\mathbf{p}_1, \varphi}(Y - \alpha_{\phi(1)} Z)$$

and

$$\operatorname{ord}_{\mathbf{p}_1, \varphi} L_{12} = \operatorname{ord}_{\mathbf{p}_1, \varphi}(X - \alpha_1 Z).$$

We infer that

$$\begin{aligned} \operatorname{ord}_{\mathbf{p}_1, \varphi} \omega &= \operatorname{ord}_{\mathbf{p}_1, \varphi} W(Y, Z) + \operatorname{ord}_{\mathbf{p}_1, \varphi} L_{12} - 2 \operatorname{ord}_{\mathbf{p}_1, \varphi}(X - \alpha_1 Z) \\ &\geq \operatorname{ord}_{\mathbf{p}_1, \varphi}(Y - \alpha_{\phi(1)} Z) - \operatorname{ord}_{\mathbf{p}_1, \varphi}(X - \alpha_1 Z) - 1 \geq 0. \end{aligned}$$

Similarly, if $\mathbf{p}_2 \in C_c$ then

$$2 \operatorname{ord}_{\mathbf{p}_2, \varphi}(X - \alpha_2 Z) = (m_{\phi(2)} + 1) \operatorname{ord}_{\mathbf{p}_2, \varphi}(Y - \alpha_{\phi(2)} Z) > 0,$$

where $m_{\phi(2)} = 1, 2$. If $m_{\phi(2)} = 1$ then we have $\operatorname{ord}_{\mathbf{p}_2, \varphi}(Y - \alpha_{\phi(2)} Z) = \operatorname{ord}_{\mathbf{p}_2, \varphi}(X - \alpha_2 Z)$ and ω is clearly regular at \mathbf{p}_2 . If $m_{\phi(2)} = 2$ then $3 \operatorname{ord}_{\mathbf{p}_2, \varphi}(Y - \alpha_{\phi(2)} Z) = 2 \operatorname{ord}_{\mathbf{p}_2, \varphi}(X - \alpha_2 Z) > 0$ and ω is also regular at \mathbf{p}_2 . Finally, we consider the case $m_1 = 1$. If $l_0 \leq l - 2$ then we may assume that $(\alpha_1, \alpha_j, 1)$ and $(\alpha_2, \alpha_j, 1)$ are not in C_c for any $1 \leq j \leq l$. This implies that the 1-form

$$\omega := \frac{W(Y, Z)}{(X - \alpha_1 Z)(X - \alpha_2 Z)}$$

is regular on C_c by (3.2.1). If $l_0 = l - 1$, we may assume that $(\alpha_1, \alpha_j, 1) \notin C_c$ for any $1 \leq j \leq l$ and $(\alpha_i, \alpha_{\phi(i)}, 1) \in C_c$ for $2 \leq i \leq l$. Suppose that $l \geq 3$; then

$$\omega := \frac{W(Y, Z)L_{23}}{(X - \alpha_1 Z)(X - \alpha_2 Z)(X - \alpha_3 Z)}$$

is well defined and regular on C_c . If $l_0 = l$, we need $l \geq 4$, and

$$\omega := \frac{W(Y, Z)L_{12}L_{34}}{(X - \alpha_1 Z)(X - \alpha_2 Z)(X - \alpha_3 Z)(X - \alpha_4 Z)}$$

is regular on C_c . Since C_c has no linear component, the restriction of ω to any of its components is non-trivial by construction. ■

REMARK. In [9], there is another exceptional case: $n = 5$, $l = l_0 = 2$, $m_1 = m_2 = 2$ and $\mu_i = m_i + 1$. This case actually can be eliminated since $X + Y - \alpha_1 Z - \alpha_2 Z$ is a linear factor of C_c , which means that S is not affine rigid.

3.3. Proof of Theorem 1. The curve in the following lemma is one of the exceptional cases in our results.

LEMMA 3. *Let λ_1, λ_2 be non-zero constants and b be a positive integer. Let*

$$A(X, Y, Z) = \lambda_1 \left[\frac{(X - \alpha Z)^b - (Y - \alpha Z)^b}{X - Y} \right] Z + \lambda_2 \left[\frac{(X - \alpha Z)^{b+1} - (Y - \alpha Z)^{b+1}}{X - Y} \right].$$

Then $[A(X, Y, Z) = 0]$ is an irreducible curve of genus 0.

Proof. Without loss of generality, we may assume that $\alpha = 0$ by taking a linear transformation. Then

$$A(X, Y, Z) = \lambda_1 \left[\frac{X^b - Y^b}{X - Y} \right] Z + \lambda_2 \left[\frac{X^{b+1} - Y^{b+1}}{X - Y} \right].$$

If $A(X, Y, Z)$ is reducible, then $b \geq 2$ and it can only be factored as

$$A(X, Y, Z) = [H_j(X, Y)Z + H_{j+1}(X, Y)]G_{b-1-j}(X, Y),$$

where H_j, H_{j+1} and G_{b-1-j} are homogeneous polynomials in X and Y of degree $j, j + 1, b - j - 1$ respectively. From the expression of $A(X, Y, Z)$, we have

$$G_{b-1-j}(X, Y) \left| \left[\frac{X^{b+1} - Y^{b+1}}{X - Y} \right] \right. \quad \text{and} \quad G_{b-1-j}(X, Y) \left| \left[\frac{X^b - Y^b}{X - Y} \right] \right.$$

Since $\gcd(b, b + 1) = 1$, this is impossible unless $G_{b-1-j}(X, Y)$ is constant. Therefore, this curve is irreducible.

It is clear that this curve has only one multiple point $(0, 0, 1)$ of multiplicity $b - 1$. The deficiency is

$$\delta_A = \frac{(\deg A - 1)(\deg A - 2)}{2} - \frac{(b - 1)(b - 2)}{2} = 0.$$

Therefore the genus is 0. ■

We are now in a position to prove Theorem 1. As indicated at the beginning of this section, $P(X)$ is a uniqueness polynomial for meromorphic functions if the curve C admits a regular 1-form non-trivial on each of its components; and $P(X)$ is a strong uniqueness polynomial for meromorphic functions if the curve C and each $C_c, c \neq 0, 1$, admit a regular 1-form non-trivial on each of their components. Therefore by Lemma 1, $P(X)$ is a uniqueness polynomial if its zero set S is affinely rigid except when (i) $l = 1$ and $\mu_1 = m_1$, (ii) $l = 1$ and $\mu_1 = m_1 + 1$, or (iii) $l = 2, \min\{m_1, m_2\} = m_2 = 1$ and $\mu_i = m_i + 1$ for $i = 1, 2$. Since $p \nmid n, n = (\sum_{i=1}^l m_i) + 1$. Therefore, $n = m_1 + 1$ in cases (i), (ii), and $n = m_1 + 2$ in case (iii). Hence, one can

easily see that the curve C in case (i) is defined by

$$F(X, Y, Z) = * \left[\frac{(X - \alpha_1 Z)^{m_1} - (Y - \alpha_1 Z)^{m_1}}{X - Y} \right] Z + * \left[\frac{(X - \alpha_1 Z)^{m_1+1} - (Y - \alpha_1 Z)^{m_1+1}}{X - Y} \right].$$

Therefore, C is irreducible and its genus is 0 by Lemma 3.

In case (ii), the curve C is defined by

$$F(X, Y, Z) = * \left[\frac{(X - \alpha_1 Z)^{m_1+1} - (Y - \alpha_1 Z)^{m_1+1}}{X - Y} \right],$$

which can be factorized into linear components. Therefore S is not affinely rigid.

In case (iii), the curve C is defined by

$$F(X, Y, Z) = * \left[\frac{(X - \alpha_1 Z)^{m_1+1} - (Y - \alpha_1 Z)^{m_1+1}}{X - Y} \right] Z + * \left[\frac{(X - \alpha_1 Z)^{m_1+2} - (Y - \alpha_1 Z)^{m_1+2}}{X - Y} \right].$$

Therefore, C is irreducible and its genus is 0 by Lemma 3.

Similarly, by Lemmas 1 and 2, $P(X)$ is a strong uniqueness polynomial if its zero set S is affinely rigid except for cases (i)–(iii) above and (iv) $l = l_0 = 3$ and $m_1 = m_2 = m_3 = 1$. We have checked that $P(X)$ is not a uniqueness polynomial in the cases (i)–(iii). For case (iv), we have $n = 4$, and

$$\frac{P(\alpha_1)}{P(\alpha_{\phi(1)})} = \frac{P(\alpha_2)}{P(\alpha_{\phi(2)})} = \frac{P(\alpha_3)}{P(\alpha_{\phi(3)})} = w,$$

where $\{\phi(1), \phi(2), \phi(3)\} = \{1, 2, 3\}$ and $\phi(i) \neq i, i = 1, 2, 3$, and $w^2 + w + 1 = 0$. One checks easily that the curve $C_w = [F_w(X, Y, Z) = 0]$ is irreducible and has genus 0. Therefore, $P(X)$ is not a strong uniqueness polynomial in this case. This completes the proof of the theorem. ■

4. Proof of Theorem 2. The situation is more complicated if $n = \deg P(X)$ is divisible by $p > 0$ due to the fact that the curves C and C_c may have singularities at infinity in this case. We are unable to find regular 1-forms, but, as we shall show, there exist products of 1-forms (i.e., sections of \mathcal{K}_C^m and $\mathcal{K}_{C_c}^m$) which are regular and non-trivial on C and on each $C_c, c \neq 0, 1$. In the following we set

$$(4.0.1) \quad m = 1 + \sum_{i=1}^l m_i.$$

If n is divisible by p then m is clearly the largest exponent in $P(X)$ not divisible by p .

4.1. *On the curve* $[F(X, Y, Z) = 0]$. Let $F(X, Y, Z)$ and all the other notation be the same as previously defined.

LEMMA 4. *Suppose that* $p > 0$ *and* $p \mid n$. *Let* $\gcd(n, m) = d$ *and* ξ_d^j , $0 \leq j \leq d - 1$, *be the primitive roots of* $X^d = 1$. *Then the only possible poles of the differential form*

$$\zeta := \frac{W(Y, Z)}{Z(X - \alpha_1 Z)^{m_1} \cdots (X - \alpha_l Z)^{m_l}}$$

on $\pi^{-1}(C)$ *are the pull-backs of* $\mathfrak{p}_i = (\alpha_i, \alpha_i, 1)$, $1 \leq i \leq l$, *and* $\mathfrak{q}_j = (\xi_d^j, 1, 0)$, $0 \leq j \leq d - 1$.

Proof. Since $p \mid n$, we have

$$(4.1.1) \quad \frac{\partial F}{\partial Z}(X, Y, Z) = a_m(n - m)Z^{n-m-1} \left(\sum_{i=0}^{m-1} X^{m-1-i} Y^i + ZH_{m-2} \right),$$

where H_{m-2} is a homogeneous polynomial of degree $m - 2$ in X, Y, Z . Restricting $\partial F/\partial X$ and $\partial F/\partial Y$ to the curve $C = [F(X, Y, Z) = 0]$ yields

$$\begin{aligned} \frac{\partial F}{\partial X}(X, Y, Z) &= \frac{ma_m Z^{n-m} \prod_{i=1}^l (X - \alpha_i Z)^{m_i}}{X - Y}, \\ \frac{\partial F}{\partial Y}(X, Y, Z) &= \frac{-ma_m Z^{n-m} \prod_{i=1}^l (Y - \alpha_i Z)^{m_i}}{X - Y}. \end{aligned}$$

Together with (4.1.1) and (3.1.5), we have

$$\begin{aligned} (4.1.2) \quad \zeta &= \frac{W(Y, Z)}{Z(X - \alpha_1 Z)^{m_1} \cdots (X - \alpha_l Z)^{m_l}} \\ &\equiv -\frac{W(Z, X)}{Z(Y - \alpha_1 Z)^{m_1} \cdots (Y - \alpha_l Z)^{m_l}} \\ &\equiv -\frac{W(X, Y)}{(X - Y)(X^{m-1} + X^{m-2}Y + \cdots + Y^{m-1} + ZH_{m-2})}, \end{aligned}$$

which is a rational 1-form on $\pi^{-1}(C)$. Similarly to the proof of Lemma 1, one can easily verify that the only possible poles of ζ on $\pi^{-1}(C)$ are the pull-backs of $\mathfrak{p}_i = (\alpha_i, \alpha_i, 1)$, $1 \leq i \leq l$, and $(x, 1, 0)$ with $x^n = 1$ and $x^m = 1$. For the latter case, it is easy to see that ξ_d^j , $0 \leq j \leq d - 1$, are the only solutions satisfying $x^n = 1$ and $x^m = 1$. Therefore, the pull-backs of $\mathfrak{q}_j = (\xi_d^j, 1, 0)$, $0 \leq j \leq d - 1$, are the only possible poles of ζ along $\pi^{-1}(C) \cap [Z = 0]$. ■

Suppose that $*(X - \alpha_i Z)^v$ appears in the expression of (3.0.2), and suppose that there is a term $*(X - \alpha_i Z)^{v_1}$ following it. Let $A_{i,v-1}Z^{n-v}$ be the sum of the terms in (3.1.1) up to degree $v - 1$ in X and Y , i.e.,

$$(4.1.3) \quad A_{i,v-1} = * \left[\frac{(X - \alpha_i Z)^{\mu_i} - (Y - \alpha_i Z)^{\mu_i}}{X - Y} \right] Z^{v-\mu_i} + \dots \\ + * \left[\frac{(X - \alpha_i Z)^v - (Y - \alpha_i Z)^v}{X - Y} \right].$$

We have the following estimates on its order at \mathfrak{p}_i , which is a point of C by (3.1.1), and \mathfrak{q}_j .

LEMMA 5. *We have*

- (i) $\text{ord}_{\mathfrak{p}_i, \varphi}(A_{i,v-1}) \geq v \text{ord}_{\mathfrak{p}_i, \varphi}(X - \alpha_i Z)$;
- (ii) $\text{ord}_{\mathfrak{p}_r, \varphi}(A_{i,m-1}) \geq (p-1) \text{ord}_{\mathfrak{p}_r, \varphi}(X - \alpha_r Z)$ for $1 \leq r \leq l$;
- (iii) $p \mid -m \text{ord}_{\mathfrak{q}_j, \varphi} Z + \text{ord}_{\mathfrak{q}_j, \varphi}((X - Y)A_{i,m-1})$ for $0 \leq j \leq d-1$.

Proof. We first note that from (3.1.1), $\mathfrak{p}_i = (\alpha_i, \alpha_i, 1)$, $i = 1, \dots, l$, and \mathfrak{q}_j , $0 \leq j \leq d-1$, are points of C . Moreover, (3.1.1) implies

$$\text{ord}_{\mathfrak{p}_i, \varphi}(A_{i,v-1}) \geq (v-1) \text{ord}_{\mathfrak{p}_i, \varphi}(X - \alpha_i Z) \geq v \text{ord}_{\mathfrak{p}_i, \varphi}(X - \alpha_i Z).$$

This proves (i).

Since m is the largest exponent in $P(X)$ not divisible by p and n is divisible by p , we may write

$$F(X, Y, Z) = A_{i,m-1} Z^{n-m} + (X - Y)^{p-1} H(X, Y, Z),$$

where $H(X, Y, Z)$ is the homogeneous polynomial of degree $n - p$. Since $\mathfrak{p}_r = (\alpha_r, \alpha_r, 1) \in C$, this equation implies that $\mathfrak{p}_r \in [A_{i,m-1}(X, Y, Z) = 0]$ and

$$\text{ord}_{\mathfrak{p}_r, \varphi}(A_{i,m-1}) \geq (p-1) \text{ord}_{\mathfrak{p}_r, \varphi}(X - Y) \geq (p-1) \text{ord}_{\mathfrak{p}_r, \varphi}(X - \alpha_r Z).$$

This gives (ii).

Since $(X - Y)F(X, Y, Z) - (X - Y)A_{i,m-1}Z^{n-m}$ is a p th power, for $\mathfrak{q}_j \in C$, $j = 0, \dots, d-1$, we have

$$p \mid (n - m) \text{ord}_{\mathfrak{q}_j, \varphi} Z + \text{ord}_{\mathfrak{q}_j, \varphi}((X - Y)A_{i,m-1}),$$

which is equivalent to

$$p \mid -m \text{ord}_{\mathfrak{q}_j, \varphi} Z + \text{ord}_{\mathfrak{q}_j, \varphi}((X - Y)A_{i,m-1})$$

since n is divisible by p . This shows (iii). ■

LEMMA 6. *Let $P(X)$ be a polynomial of degree n satisfying Hypothesis I. Let m_i be arranged in non-increasing order. Assume that $p > 0$ and $p \mid n$. If the curve C has no linear components then any irreducible component of C admits a non-trivial regular product of 1-forms, i.e., elements of $H^0(C, \text{sym}^2 \mathcal{K}_C)$, in the following cases:*

- (i) $l \geq 3$; $l = 2$ and $m_2 \geq 2$; $l = 2$ and $m_2 = 1$, and $\mu_1 \leq m_1$; or $l = 1$ and $\mu_1 \leq m_1 - 1$;
- (ii) $l = 2$, $m_2 = 1$, $\mu_1 = m_1 + 1$ and either

- (a) $(m_1 + 2) \nmid n$, or
- (b) $(m_1 + 2) \mid n$ and A_{1,m_1+1} is not a factor of $F(X, Y, Z)$;
- (iii) $l = 1$ and $\mu_1 = m_1$ and either
 - (a) $(m_1 + 1) \nmid n$, or
 - (b) $(m_1 + 1) \mid n$, $p \geq 5$, and A_{1,m_1} is a factor of $F(X, Y, Z)$;
- (iv) $l = 1$, $\mu_1 = m_1 + 1$, and either
 - (a) $u = 2$, $p \geq 5$, and A_{1,m_1+1} is not a factor of $F(X, Y, Z)$, or
 - (b) $u \geq 3$, and $m_1 \geq 2$, except when $(m_1, p) = (2, 2)$ or $(u, m_1, p) = (3, 2, 5), (3, 3, 3)$, where u is defined by the expansion $P(X) = P(\alpha_1) + *(X - \alpha_1)^{m_1+1} + *(X - \alpha_1)^{m_1+u} + \text{higher order terms}$.

Proof. Part (i) is already covered by Lemma 1. The proof for the other cases is more involved as regards the verification of regularity of products of 1-forms; to shorten the proof we will omit some arguments that have been done in the proof of Lemma 1.

Let $m = 1 + \sum_{i=1}^l m_i$. For case (ii), we have $m = m_1 + 2$, $\mu_1 = m_1 + 1$, $\mu_2 = 2$, and hence $p \nmid m_1 + 2$, $p \nmid m_1 + 1$, and $p \neq 2$. Moreover,

$$A_{1,m_1+1} = *Z \left[\frac{(X - \alpha_1 Z)^{m_1+1} - (Y - \alpha_1 Z)^{m_1+1}}{X - Y} \right] + * \left[\frac{(X - \alpha_1 Z)^{m_1+2} - (Y - \alpha_1 Z)^{m_1+2}}{X - Y} \right],$$

which defines an irreducible curve of genus 0 by Lemma 3. Let $e = 3$ if the degree of the non-vanishing term after the degree $m_1 + 2$ in the expression of (3.0.2) is $m_1 + 3$, and $e = 4$ otherwise. Take

$$\omega = \left(\frac{W(Y, Z)}{Z(X - \alpha_1 Z)^{m_1}(X - \alpha_2 Z)} \right)^{m_1+e} ((X - Y)A_{1,m_1+1}Z^{e-2})^{m_1},$$

which is well defined on \mathbf{P}^2 . On the curve C , ω has possible poles only at $\mathfrak{p}_j = (\alpha_j, \alpha_j, 1)$, $j = 1, 2$, and $\mathfrak{q}_j = (\xi_d^j, 1, 0)$, $0 \leq j \leq d - 1$, with $d = \gcd(n, m_1 + 2)$ and ξ_d a primitive root of $X^d = 1$. It is clear that ω is regular at \mathfrak{p}_1 since Lemma 5(i) implies that

$$\text{ord}_{\mathfrak{p}_1, \varphi} A_{1,m_1+1} \geq (m_1 + e - 1) \text{ord}_{\mathfrak{p}_1, \varphi} (X - \alpha_1 Z).$$

By Lemma 5(ii), $\text{ord}_{\mathfrak{p}_2, \varphi} A_{1,m_1+1} \geq (p - 1) \text{ord}_{\mathfrak{p}_2, \varphi} (X - \alpha_2 Z)$, hence

$$\text{ord}_{\mathfrak{p}_2, \varphi} \omega \geq (m_1 p - m_1 - e) \text{ord}_{\mathfrak{p}_2, \varphi} (X - \alpha_2 Z) \geq m_1(p - 1) - 4 \geq 0$$

as $p \geq 3$ or $p = 3$ and $m_1 \geq 2$. We note that if $p = 3$, then $m_1 \neq 1$ since $p \nmid m_1 + 2$. Therefore, this shows that ω is regular at \mathfrak{p}_2 . For the points at infinity \mathfrak{q}_j , $j = 0, \dots, d - 1$, observe that

$$(4.1.4) \quad \text{ord}_{\mathfrak{q}_j, \varphi} (A_{1,m_1+1}(X - Y)) + \text{ord}_{\mathfrak{q}_j, \varphi} Z \geq 3,$$

for if $\text{ord}_{q_j, \varphi} A_{1, m_1+1}(X - Y) = \text{ord}_{q_j, \varphi} Z = 1$ then Lemma 5(iii) implies $m - 1 = m_1 + 1$ is divisible by p , which is impossible. Similarly if $m_1 = 1$ and $\text{ord}_{q_j, \varphi} Z = 1$ then Lemma 5(iii) implies that $-3 + \text{ord}_{q_j, \varphi} A_{1, m_1+1}(X - Y)$ is divisible by p , hence $\text{ord}_{q_j, \varphi} A_{1, m_1+1}(X - Y) \geq 3$. Therefore, we get

$$(4.1.5) \quad \text{ord}_{q_j, \varphi}(A_{1, m_1+1}(X - Y)) + 2 \text{ord}_{q_j, \varphi} Z \geq 5 \quad \text{if } m_1 = 1.$$

Moreover, if $m_1 = 1$ then we may take $e = 4$ since the degree of the non-vanishing term following the degree 3 term cannot be 4; otherwise p would be 2. In this case we get

$$\begin{aligned} \text{ord}_{q_j, \varphi} \omega &\geq -(m_1 + e) + m_1 \text{ord}_{q_j, \varphi}(A_{1, m_1+1}(X - Y)) + m_1(e - 2) \text{ord}_{q_j, \varphi} Z \\ &\geq m_1[\text{ord}_{q_j, \varphi}(A_{1, m_1+1}(X - Y)) + (e - 2) \text{ord}_{q_j, \varphi} Z - 1] - e. \end{aligned}$$

By (4.1.4) this implies that $\text{ord}_{q_j, \varphi} \omega \geq 2m_1 - 4$, which is non-negative if $m_1 \geq 2$. If $m_1 = 1$, then $e = 4$ and the preceding inequality implies that

$$\text{ord}_{q_j, \varphi} \omega \geq \text{ord}_{q_j, \varphi}(A_{1, m_1+1}(X - Y)) + 2 \text{ord}_{q_j, \varphi} Z - 5,$$

which is also non-negative by (4.1.5). This concludes the proof that ω is regular on C . We also need to check if ω is non-trivial on every component of C . For this, we will have to check if $[A_{1, m_1+1} = 0]$ is a component of C since it is an irreducible curve of genus 0. Suppose that $(m_1 + 2) \nmid n$ and $A_{1, m_1+1} \mid F(X, Y, Z)$. Then $(X - Y)A_{1, m_1+1} \mid (X - Y)F(X, Y, Z)$ and we see, by evaluating at $Z = 0$, that $(X^{m_1+2} - Y^{m_1+2}) \mid (X^n - Y^n)$. Let ξ be a primitive root of $X^{m_1+2} = 1$ in \mathbf{K} . If $(X^{m_1+2} - Y^{m_1+2}) \mid (X^n - Y^n)$ then $(\xi, 1)$ is also a solution of $X^n - Y^n$ and so $1 = \xi^n$, which is impossible if $(m_1 + 2) \nmid n$. The proof breaks down if $(m_1 + 2) \mid n$ so it is necessary to assume, in this case, that A_{1, m_1+1} is not a factor of $F(X, Y, Z)$.

For (iii), we have $l = 1$ and $\mu_1 = m_1 = m - 1$. Then m_1 is divisible by p and can be written as $m_1 = p^a b$ with $a, b \geq 1$. If $\text{gcd}(n, m) = 1$, then

$$\omega := \frac{W(Y, Z)(X - Y)^{p^a - 1}((X - \alpha_1 Z)^{b-1} + \dots + (Y - \alpha_1 Z)^{b-1})^{p^a}}{Z(X - \alpha_1 Z)^{m_1}}$$

is regular and non-trivial on any component of C if it has no linear components.

If $1 < \text{gcd}(n, m) = d < m$, we may write $m = m_0 d$ with $m_0 \geq 2$ and $d \geq 2$. Then $m - d - 2 = (m_0 - 1)d - 2 \geq 0$. Let

$$\omega := \left(\frac{W(Y, Z)(X^d - Y^d)}{Z(X - \alpha_1 Z)^{m_1}} \right)^{m_1} A_{1, m_1}^{m_1 - d - 1}.$$

Since $\mu_1 = m_1$,

$$\begin{aligned} A_{1, m_1} &= *Z \left[\frac{(X - \alpha_1 Z)^{m_1} - (Y - \alpha_1 Z)^{m_1}}{X - Y} \right] \\ &\quad + * \left[\frac{(X - \alpha_1 Z)^{m_1+1} - (Y - \alpha_1 Z)^{m_1+1}}{X - Y} \right], \end{aligned}$$

which again defines an irreducible curve of genus 0. Similarly, ω has only a possible pole at \mathfrak{p}_1 . Since m_1 is divisible by p , the degree of the term appearing after $(X - \alpha_1)^{m_1+1}$ in (3.0.2) is at least $m_1 + p$. Note that such a term must exist since $p \nmid m$ and $p \mid n$. By Lemma 5(i) we see that

$$\text{ord}_{\mathfrak{p}_1, \varphi} A_{1, m_1} \geq (m_1 + p) \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z),$$

thus

$$(4.1.6) \quad \begin{aligned} \text{ord}_{\mathfrak{p}_1, \varphi} \omega &\geq [(m_1 - d - 1)(m_1 + p) - m_1(m_1 - d)] \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) \\ &= [d(pm_0 - m_0 - p) - 2p + 1] \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z). \end{aligned}$$

If $p = 2$ then since $p \nmid m_1 + 1$ we have $m_0 \geq 3$ and $d \geq 3$. Thus, by (4.1.6), $\text{ord}_{\mathfrak{p}_1, \varphi} \omega$ is non-negative. If $m_0 \geq 3$ and $p \geq 3$ then, by (4.1.6), $\text{ord}_{\mathfrak{p}_1, \varphi} \omega \geq 2(2p - 3) - 2p + 1 = 2p - 5$, which is positive. It remains to check the case $m_0 = 2$ and $p \geq 3$. Since $p \mid m - 1$, if $m_0 = 2$ and $p \geq 5$ then $d \geq 3$, and (4.1.6) implies that $\text{ord}_{\mathfrak{p}_1, \varphi} \omega$ is positive. Similarly, if $m_0 = 2$ and $p = 3$, then d can only be 2 or greater than 5. The latter case still implies that $\text{ord}_{\mathfrak{p}_1, \varphi} \omega$ is non-negative. Thus, the only remaining case to be checked is $m_0 = 2, p = 3$ and $d = 2$. In this case we have

$$\omega = \frac{W(Y, Z)(X^2 - Y^2)}{Z(X - \alpha_1 Z)^3}.$$

The expansion of $F(X, Y, Z)$ at $\mathfrak{p}_1 = (\alpha_1, \alpha_1, 1)$ is given by

$$*(X - Y)^2 + * \sum_{i=0}^3 (X - \alpha_1 Z)^{3-i} (Y - \alpha_1 Z)^i + \text{higher order terms},$$

which implies that

$$2 \text{ord}_{\mathfrak{p}_1, \varphi}(X - Y) = 3 \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z).$$

This means that $\text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) \geq 2$ and that

$$\begin{aligned} \text{ord}_{\mathfrak{p}_1, \varphi} \omega &\geq \text{ord}_{\mathfrak{p}_1, \varphi}(X + Y) + \text{ord}_{\mathfrak{p}_1, \varphi}(X - Y) - 2 \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) - 1 \\ &\geq \frac{1}{2} \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) - 1 \geq 0. \end{aligned}$$

Thus regularity is established in every case. Since $[A_{1, m_1} = 0]$ is an irreducible curve of genus 0, we conclude that ω is non-trivial on any component of C provided that A_{1, m_1} is not a factor of $F(X, Y, Z)$. Moreover, as $m = m_1 + 1$ and $A_{1, m_1} = A_{1, m-1}$, we conclude as before that $A_{1, m-1}$ is not a factor of $F(X, Y, Z)$ if $m \nmid n$.

For (iii.b), we have $m \mid n$ and $\mu_1 = m_1 = m - 1$. Assume that $p \geq 5$. Let $m_1 + u$ ($\geq m_1 + 2$) be the degree of the non-vanishing term next to $(X - \alpha_1)^m$ in the expansion of $P(X)$ in (3.0.2). Since $p \mid m_1$ and $p \geq 5$, we

have $p \mid u$, $m_1 \geq 5$ and $u \geq 5$. Then

$$\omega := \left(\frac{W(Y, Z)}{Z(X - \alpha_1 Z)^{m_1}} \right)^{m_1+u} (A_{1,m_1}(X - Y))^{m_1} Z^{(u-2)(m_1-1)-2}$$

is regular at \mathfrak{p}_1 . This follows easily from the inequalities

$$\begin{aligned} (u - 2)(m_1 - 1) - 2 &\geq 0, \\ \text{ord}_{\mathfrak{p}_1, \varphi} A_{1,m_1} &\geq (m_1 + u - 1) \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z), \\ \text{ord}_{\mathfrak{p}_1, \varphi}(X - Y) &\geq \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z). \end{aligned}$$

At the points at infinity \mathfrak{q}_j , $j = 0, 1, \dots, m - 1$, we have (as $u \geq 5$ and $m_1 \geq 5$)

$$\begin{aligned} \text{ord}_{\mathfrak{q}_j, \varphi} \omega &\geq ((u - 2)(m_1 - 1) - 2) \text{ord}_{\mathfrak{q}_j, \varphi} Z \\ &\quad + m_1 \text{ord}_{\mathfrak{q}_j, \varphi}(A_{1,m_1}(X - Y)) - m_1 - u \\ &\geq (u - 2)m_1 - u - u = (u - 2)(m_1 - 2) - 4 \geq 0. \end{aligned}$$

Since $[A_{1,m_1} = 0]$ is an irreducible curve of genus 0, ω is regular and non-trivial on any component of C only if A_{1,m_1} is not a factor of $F(X, Y, Z)$.

For (iv.a), we have $l = 1$, $\mu_1 = m_1 + 1 = m$ and $u = 2$, where $m_1 + u$ ($\geq m_1 + 2$) is the degree of the non-vanishing term following $(X - \alpha_1)^m$ in the expansion of $P(X)$ in (3.0.2). Similarly, in this case

$$\begin{aligned} A_{1,m_1+1} &= *Z \left[\frac{(X - \alpha_1 Z)^{m_1+1} - (Y - \alpha_1 Z)^{m_1+1}}{X - Y} \right] \\ &\quad + * \left[\frac{(X - \alpha_1 Z)^{m_1+2} - (Y - \alpha_1 Z)^{m_1+2}}{X - Y} \right], \end{aligned}$$

which gives an irreducible curve of genus 0. If $n = m_1 + 2$ then $F(X, Y, Z) = A_{1,m_1+1}$. Hence the curve C is irreducible and has genus 0. If $n \geq m_1 + 3$ then there is a non-vanishing term following $(X - \alpha_1)^{m_1+2}$ in the expansion of $P(X)$ in (3.0.2) with degree $v > m_1 + 2$. Since $p \mid m_1 + 2$ and $p \mid v$, we have $v \geq m_1 + 2 + p$ and $\text{ord}_{\mathfrak{p}_1, \varphi} A_{1,m_1+1} \geq (m_1 + 1 + p) \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z)$ by Lemma 5(i). Observe that the condition $p \mid m_1 + 2$ implies that $m_1 \geq p - 2$, hence $m_1 \geq 3$ and $m_1 - 2 \geq p - 4 \geq 1$ if $p \geq 5$. From this, it is easy to see that $(m_1 - 2)(p - 2) \geq 6$ if $p \geq 5$ and $(p, m_1) \neq (5, 3)$. Take

$$\omega := \left(\frac{W(Y, Z)}{(X - \alpha_1 Z)^{m_1}} \right)^{m_1+1} A_{1,m_1+1}^{m_1-2}.$$

Then

$$\begin{aligned} \text{ord}_{\mathfrak{p}_1, \varphi} \omega &\geq [(m_1 + 1 + p)(m_1 - 2) - m_1(m_1 + 1)] \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) \\ &= [(m_1 - 2)(p - 2) - 6] \text{ord}_{\mathfrak{p}_1, \varphi}(X - \alpha_1 Z) \end{aligned}$$

is non-negative if $p \geq 5$ and $(p, m_1) \neq (5, 3)$. Therefore, ω is regular and non-trivial on any component of C if A_{1,m_1+1} is not factor of C . For the

remaining case, i.e., $(p, m_1) = (5, 3)$, we take

$$\omega := \left(\frac{W(Y, Z)}{Z(X - \alpha_1 Z)^3} \right)^5 A_{1,4}(X - Y)((X - \alpha_1 Z)^4 - (Y - \alpha_1 Z)^4)Z,$$

which is well defined in \mathbf{P}^2 and can have poles only at \mathbf{p}_1 and at \mathbf{q}_i , the points at infinity. Let \mathbf{q} be one of the points \mathbf{q}_i . By Lemma 5(i), we have

$$5 \mid \text{ord}_{\mathbf{q},\varphi} Z + \text{ord}_{\mathbf{q},\varphi}((X - \alpha_1 Z)^4 - (Y - \alpha_1 Z)^4),$$

and hence $\text{ord}_{\mathbf{q}} Z + \text{ord}_{\mathbf{q},\varphi}((X - \alpha_1 Z)^4 - (Y - \alpha_1 Z)^4) \geq 5$. Therefore, ω is regular at the points at infinity. At \mathbf{p}_1 , we see from the expansion of $F(X, Y, Z)$ in (3.1.1) that

$$\text{ord}_{\mathbf{p}_1,\varphi}((X - \alpha_1 Z)^4 - (Y - \alpha_1 Z)^4) \geq 5 \text{ord}_{\mathbf{p}_1,\varphi}(X - \alpha_1 Z)$$

and $\text{ord}_{\mathbf{p}_1,\varphi}(A_{1,4}(X - Y)) \geq 10 \text{ord}_{\mathbf{p}_1,\varphi}(X - \alpha_1 Z)$, hence ω is also regular at \mathbf{p}_1 .

For (iv.b), we have $l = 1$, $\mu_1 = m_1 + 1 = m$, and $u \geq 3$. We note that in this case $p \mid m_1 + u$ and we need to exclude the following cases: $m_1 = 2$ and $p = 2$; $(u, m_1) = (3, 2)$, which gives $p = 5$; and $(u, m_1) = (3, 3)$, which gives $p = 3$. The first two conditions and $p \mid m_1 + u$ imply that $u \geq 5$ if $m_1 = 2$. Take

$$\omega := \left(\frac{W(Y, Z)}{Z(X - \alpha_1 Z)^{m_1}} \right)^{m_1+u} (A_{1,m_1}(X - Y))^{m_1} Z^{(u-2)(m_1-1)-2},$$

where $A_{1,m_1}(X - Y) = (X - \alpha_1 Z)^{m_1+1} - (Y - \alpha_1 Z)^{m_1+1}$. The assumption implies that $m_1 \geq 3$ or $m_1 = 2$ and $u \geq 5$, hence $(u - 2)(m_1 - 1) - 2 \geq 0$. Since $\text{ord}_{\mathbf{p}_1,\varphi} A_{1,m_1} \geq (m_1 + u - 1) \text{ord}_{\mathbf{p}_1,\varphi}(X - \alpha_1 Z)$ and $\text{ord}_{\mathbf{p}_1,\varphi}(X - Y) \geq \text{ord}_{\mathbf{p}_1,\varphi}(X - \alpha_1 Z)$, it is clear that ω is regular at \mathbf{p}_1 . Let \mathbf{q} be one of the poles at infinity. Then

$$\begin{aligned} (4.1.7) \quad \text{ord}_{\mathbf{q},\varphi} \omega &\geq ((u - 2)m_1 - u) \text{ord}_{\mathbf{q},\varphi} Z + m_1 \text{ord}_{\mathbf{q},\varphi}(A_{1,m_1}(X - Y)) \\ &\quad - m_1 - u \\ &\geq (u - 2)m_1 - u - u = (u - 2)(m_1 - 2) - 4, \end{aligned}$$

which is non-negative except when $m_1 = 2$ or $(u, m_1) = (3, 3), (3, 4), (3, 5), (4, 3), (5, 3)$. Since $p \mid u + m_1$ and $p \nmid m_1 + 1$, (u, m_1) cannot be $(5, 3)$ nor $(3, 5)$. Similarly, if $(u, m_1) = (3, 3)$ then $p = 3$. This case is ruled out by our assumption. We are left with the cases: $(u, m_1) = (3, 4), (4, 3)$, or $m_1 = 2$. Since $p \mid m_1 + u$, the first two cases occur only when $p = 7$, and $u \geq p - 2$ if $m_1 = 2$. Moreover, since $(m_1, p) \neq (2, 5)$ we must have $p \geq 7$ if $m_1 = 2$. The inequality (4.1.7) becomes

$$(4.1.8) \quad \text{ord}_{\mathbf{q},\varphi} Z + 4 \text{ord}_{\mathbf{q},\varphi}(A_{1,m_1}(X - Y)) - 7 \quad \text{if } (u, m_1) = (3, 4),$$

$$(4.1.9) \quad 2 \text{ord}_{\mathbf{q},\varphi} Z + 3 \text{ord}_{\mathbf{q},\varphi}(A_{1,m_1}(X - Y)) - 7 \quad \text{if } (u, m_1) = (4, 3),$$

$$(4.1.10) \quad (p - 6)(\text{ord}_{\mathbf{q},\varphi} Z - 1) + 2 \text{ord}_{\mathbf{q},\varphi}(A_{1,m_1}(X - Y)) - 6 \quad \text{if } m_1 = 2,$$

respectively. On the other hand, by Lemma 5(iii) we have

$$(4.1.11) \quad 7 \mid \text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) - 5 \text{ord}_{q,\varphi} Z \quad \text{if } (u, m_1) = (3, 4),$$

$$(4.1.12) \quad 7 \mid \text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) - 4 \text{ord}_{q,\varphi} Z \quad \text{if } (u, m_1) = (4, 3),$$

$$(4.1.13) \quad p \mid \text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) - 3 \text{ord}_{q,\varphi} Z \quad \text{if } m_1 = 2.$$

For $\text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) \geq 2$, (4.1.8) is non-negative. If $\text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) = 1$ then $\text{ord}_{q,\varphi} Z \geq 3$ by (4.1.11), hence (4.1.8) is non-negative. Similarly, if $\text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) \geq 2$ then (4.1.9) is non-negative; if $\text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) = 1$ then $\text{ord}_{q,\varphi} Z \geq 2$ by (4.1.12), hence (4.1.9) is non-negative. If $p > 7$ and $m_1 = 2$ it is easily checked that (4.1.10) is non-negative. If $p = 7$, then (4.1.13) implies that $\text{ord}_{q,\varphi} Z \geq 5$ if $\text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) = 1$, and $\text{ord}_{q,\varphi} Z \geq 3$ if $\text{ord}_{q,\varphi}(A_{1,m_1}(X-Y)) = 2$. Again we conclude that (4.1.10) is non-negative. Since the curve C has no linear factors, ω is regular and non-trivial on any component of C . This concludes the proof of the lemma. ■

4.2. *On the curve $[F_c(X, Y, Z) = 0]$, $c \neq 0, 1$.* We shall use the notation of Section 3, and recall that

$$P(X) - P(\alpha_i) = \sum_{j=\mu_i}^n b_{i,j}(X - \alpha_i)^j.$$

If $\mathbf{p}_i = (\alpha_i, \alpha_{\phi(i)}, 1) \in C_c$, then we may write

$$(4.2.1) \quad F_c(X, Y, Z) = \sum_{j=\mu_i}^n b_{i,j} Z^{n-j} (X - \alpha_i Z)^j \\ - c \sum_{j=\mu_{\phi(i)}}^n b_{\phi(i),j} Z^{n-j} (Y - \alpha_{\phi(i)} Z)^j.$$

Denote by $B_{i,m}$ the following sum:

$$B_{i,m}(X, Y, Z) = \sum_{j=\mu_i}^m b_{i,j} Z^{m-j} (X - \alpha_i Z)^j \\ - c \sum_{j=\mu_{\phi(i)}}^m b_{\phi(i),j} Z^{m-j} (Y - \alpha_{\phi(i)} Z)^j.$$

If $p \mid n$, then $m = 1 + \sum_{i=1}^l m_i < n$, and so there exists an integer $u_i > m$ such that $b_{i,u_i} \neq 0$ or $b_{\phi(i),u_i} \neq 0$, and $b_{i,j} = b_{\phi(i),j} = 0$ for $m < j < u_i$. In other words, u_i is the degree in X and Y of the non-vanishing terms in (4.2.1) following $B_{i,m}$. Then, at \mathbf{p}_i ,

$$(4.2.2) \quad \text{ord}_{\mathbf{p}_i,\varphi} B_{i,m} \geq u_i \min\{\text{ord}_{\mathbf{p}_i,\varphi}(X - \alpha_i Z), \text{ord}_{\mathbf{p}_i,\varphi}(Y - \alpha_{\phi(i)} Z)\};$$

and at $\mathbf{q}_i = (x_i, 1, 0)$ such that $x_i^m = c$ and $x_i^n = c$, we have

$$(4.2.3) \quad p \mid \text{ord}_{\mathbf{q}_i,\varphi} B_{i,m} - m \text{ord}_{\mathbf{q}_i,\varphi} Z.$$

LEMMA 7. Let P be a polynomial of degree n satisfying Hypothesis I. Assume that $p > 0$ and $p \mid n$. If the curve C_c has no linear components, then each component of C_c admits a non-trivial product of 1-forms in the following cases:

- (i) $l \geq 4$; $l = 2, 3$ and $\max\{m_i\} \geq 2$; or $l = 1$ and $m_1 \geq 2$;
- (ii) $l = 3$, $m_1 = m_2 = m_3 = 1$, and $B_{1,4}$ is not a factor of $F_c(X, Y, Z)$ if $l_0 = l = 3$, $3 \mid n - 1$, $4 \mid n$, and $B_{1,4} = B_{2,4} = B_{3,4}$;
- (iii) $l = 2$, $m_1 = m_2 = 1$, $l_0 = 1$, and $B_{i,3}$ is not a factor of $F_c(X, Y, Z)$ if $3 \mid n$ and $(\alpha_i, \alpha_{\phi(i)}, 1) \in C_c$;
- (iv) $l = 2$, $m_1 = m_2 = 1$, $l_0 = 2$ and $B_{1,3} = B_{2,3}$, and $B_{1,3}/(X + Y - (\alpha_1 + \alpha_2)Z)$ is not a factor of $F_c(X, Y, Z)$ if n is odd, and $3 \mid n$.

REMARK. In (ii), we let $\tilde{P}_1(X) = P_0(X) - (P_0(\alpha_1) - cP_0(\alpha_{\phi(1)}))/(1 - c)$, where $P(X) = \sum_{i=0}^n a_i X^i$ and $P_0(X) = \sum_{i=0}^4 a_i X^i$. Then the sum $B_{1,4}$ is the homogenization of $\tilde{P}_1(X) - c\tilde{P}_1(Y)$, and $B_{1,4} = B_{2,4} = B_{3,4}$ is equivalent to the conditions that $\tilde{P}_1(\alpha_i) = c\tilde{P}_1(\alpha_{\phi(i)})$ for $i = 1, 2, 3$. These statements will be verified in the proof of the lemma.

Proof of Lemma 7. From Lemma 2, we already have (i), and (ii) in the case $l_0 < 3$. It remains to consider the cases (ii) for $l_0 = 3$, (iii), and (iv). We have

$$\begin{aligned} \frac{\partial F_c}{\partial X}(X, Y, Z) &= ma_m Z^{n-m} \prod_{i=1}^l (X - \alpha_i Z)^{m_i}, \\ \frac{\partial F_c}{\partial Y}(X, Y, Z) &= -mca_m Z^{n-m} \prod_{i=1}^l (Y - \alpha_i Z)^{m_i}, \\ \frac{\partial F_c}{\partial Z}(X, Y, Z) &= (n - m)a_m Z^{n-m-1}(X^m - cY^m + ZG_{m-1}), \end{aligned}$$

where G_{m-1} is homogeneous polynomial of degree $m - 1$. From these we get

$$\frac{W(Y, Z)}{Z \prod_{i=1}^l (X - \alpha_i Z)^{m_i}} \equiv \frac{W(Z, X)}{-cZ \prod_{i=1}^l (Y - \alpha_i Z)^{m_i}} \equiv \frac{W(X, Y)}{-(X^m - cY^m + ZG_{m-1})}.$$

This 1-form will be denoted by θ . We see that on the curve C_c , a point at infinity $\mathbf{q}_i = (x_i, 1, 0)$ is a pole of θ only if $x_i^m = c$ and $x_i^n = c$.

We first consider the case $l = l_0 = 3$ and $m_1 = m_2 = m_3 = 1$. We have $m = 4$ and $p \neq 2, 3$ in this case. We note that if $p = 3$ and $l_0 = l = 3$ then $c = 1$, which is impossible. Moreover, the constant c is a solution of the equation $w^2 + w + 1 = 0$ and the only possible poles of θ are $\mathbf{p}_i = (\alpha_i, \alpha_{\phi(i)}, 1)$, $i = 1, 2, 3$, and $\mathbf{q}_j = (x_j, 1, 0)$ satisfying $x_j^4 = w$ and $x_j^n = w$. The solutions of $X^4 = w$ are $w, -w, w\xi, -w\xi$, where ξ is a primitive root of $X^4 = 1$. If $3 \nmid n - 1$, none of these can be a solution of $X^n = w$, which implies that θ has

no pole at infinity; if $3 \mid n - 1$ and $2 \nmid n$, i.e., $\gcd(n, m) = 1$, then $(w, 1, 0)$ is the only possible pole of θ at infinity; if $3 \mid n - 1$, $2 \mid n$ and $4 \nmid n$, then $(w, 1, 0)$ and $(-w, 1, 0)$ are the only two possible poles of θ at infinity; if $3 \mid n - 1$ and $4 \mid n$, then it has four possible poles at infinity. For the first two cases, i.e., $3 \nmid n - 1$ or $3 \mid n - 1$ and $2 \nmid n$, we may take

$$\omega = \frac{W(Y, Z)L_{12}L_{30}}{Z(X - \alpha_1 Z)(X - \alpha_2 Z)(X - \alpha_3 Z)},$$

where L_{30} is the line passing through $(\alpha_3, \alpha_{\phi(3)}, 1)$ and $(w, 1, 0)$. Similarly, ω is regular and is non-trivial on each component of C_c if C_c has no linear factor. For the other two cases, i.e., $3 \mid n - 1$, and $2 \mid n$, we take

$$\omega = \left(\frac{W(Y, Z)L_{12}}{Z(X - \alpha_1 Z)(X - \alpha_2 Z)(X - \alpha_3 Z)} \right)^p B_{3,4} Z^{p-4},$$

which is regular at \mathfrak{p}_1 and \mathfrak{p}_2 . Since $m_3 = m_{\phi(3)} = 1$, we have $\text{ord}_{\mathfrak{p}_3}(X - \alpha_3) = \text{ord}_{\mathfrak{p}_3}(Y - \alpha_{\phi(3)})$. Hence inequality (4.2.2) and $u_i \geq p$ imply that ω is regular at \mathfrak{p}_3 . At the point at infinity $\mathfrak{q} = (w, 1, 0)$,

$$(4.2.4) \quad \text{ord}_{\mathfrak{q}, \varphi} \omega \geq \text{ord}_{\mathfrak{q}, \varphi} B_{3,4} + (p - 4) \text{ord}_{\mathfrak{q}, \varphi} Z - p.$$

By (4.2.3), $\text{ord}_{\mathfrak{q}, \varphi} B_{3,4} - 4 \text{ord}_{\mathfrak{q}, \varphi} Z$ is divisible by p . Moreover, $\text{ord}_{\mathfrak{q}, \varphi} B_{3,4} + (p - 4) \text{ord}_{\mathfrak{q}, \varphi} Z$ is greater than zero since $p \geq 5$. Hence, it is greater than p and this implies that the integer in (4.2.4) is not negative. Therefore ω is regular on C_c . To check that ω is non-trivial on each component of C_c we analyze further the sum $B_{i,4}$, $i = 1, 2, 3$. We write $P(X) = P_0(X) + Q(X)$, where $P(X) = \sum_{j=0}^n a_j X^j$, $P_0(X) = \sum_{j=0}^4 a_j X^j$ and $Q(X) = \sum_{j=5}^n a_j X^j$. Then $P(X) - P(\alpha_i) = P_0(X) - P_0(\alpha_i) + Q(X) - Q(\alpha_i)$. The sum $\tilde{B}_{i,4}$ is the homogenization of $P_0(X) - P_0(\alpha_i) - c(P_0(Y) - P_0(\alpha_{\phi(i)}))$, since $p \geq 5$ and the degree of each term in $Q(X)$ is divisible by p . On the other hand, let

$$\tilde{P}_i(X) := P_0(X) - \frac{P_0(\alpha_i) - cP_0(\alpha_{\phi(i)})}{1 - c};$$

then $\tilde{P}_i(X) - c\tilde{P}_i(Y) = P_0(X) - P_0(\alpha_i) - c(P_0(Y) - P_0(\alpha_{\phi(i)}))$ and we see that $B_{i,4}$ is also the homogenization of $\tilde{P}_i(X) - c\tilde{P}_i(Y)$. Since $\deg P_0 = 4$ and $p \neq 2$, we see that each of the four points at infinity of $[B_{i,4} = 0]$ has multiplicity one and so are non-singular points. At finite points, we see that the only possible singular points of $[B_{i,4} = 0]$ are $(\alpha_j, \alpha_{\phi(j)}, 1)$, $j = 1, 2, 3$, with multiplicity 2 since $\tilde{P}'_i(X) = P'(X)$. Clearly, $\mathfrak{p}_i = (\alpha_i, \alpha_{\phi(i)}, 1) \in B_{i,4}$. If there exists one $j \neq i$ such that $(\alpha_j, \alpha_{\phi(j)}, 1) \notin [B_{i,4} = 0]$, then it is easy to see that $[B_{i,4} = 0]$ is irreducible and has genus at least 1. In this case, there exists a non-trivial regular 1-form on $[B_{i,4} = 0]$, and ω is non-trivial on every component of C_c other than $[B_{i,4} = 0]$. We now consider the case $\mathfrak{p}_j \in B_{i,4}$ for each $j \neq i$. Then $\tilde{P}_i(\alpha_j) = c\tilde{P}_i(\alpha_{\phi(j)})$, equivalently, $P_0(\alpha_j) - cP_0(\alpha_{\phi(j)}) =$

$P_0(\alpha_i) - cP_0(\alpha_{\phi(i)})$. Since $B_{i,4}$ is the homogenization of $P_0(X) - P_0(\alpha_i) - c(P_0(Y) - P_0(\alpha_{\phi(i)}))$, this implies that $B_{j,4} = B_{i,4}$. Therefore, in this case we have $B_{1,4} = B_{2,4} = B_{3,4}$, and $[B_{i,4} = 0]$ has three ordinary multiple points of multiplicity 2. If $[B_{i,4} = 0]$ is reducible, then Bézout’s theorem implies that it consists of a line and a smooth irreducible curve of genus 1; if $[B_{i,4} = 0]$ is irreducible, then it is a curve of genus 0. The first case is certainly fine since C_c does not have a linear factor, and a component of genus 1 admits a non-trivial regular one form. Therefore, we only need to assume that $B_{i,4}$ is not a factor of $F_c(X, Y, Z)$ if $B_{1,4} = B_{2,4} = B_{3,4}$. However, if $B_{i,4}$ is a factor of $F_c(X, Y, Z)$, then we see that $(X^4 - wY^4) \mid (X^n - wY^n)$ by evaluating $B_{i,4}$ and $F_c(X, Y, Z)$ at $Z = 0$. Since $w^3 = 1$ and $3 \mid n - 1$, we have $w^n = 1$. This implies that $(X^4 - (wY)^4) \mid (X^n - (wY)^n)$, which, however, is impossible if $4 \nmid n$.

For (iii), we may assume that $\mathbf{p}_1 = (\alpha_1, \alpha_2, 1) \in C_c$ and $(\alpha_2, \alpha_1, 1) \notin C_c$. Then the only possible poles of θ are \mathbf{p}_1 and $\mathbf{q} = (x, 1, 0)$ satisfying $x^3 = c$ and $x^n = c$. Suppose that $\xi^3 = c$; then $X^3 = c$ has three possible solutions $\xi, w\xi$ and $w^2\xi$, where $w^2 + w + 1 = 0$. Therefore, if $3 \nmid n$, θ has at most one pole (i.e., $\mathbf{q}_0 = (\xi, 1, 0)$) at infinity. In this case, we take

$$\omega = \frac{W(Y, Z)L_{10}}{Z(X - \alpha_1 Z)(X - \alpha_2 Z)},$$

where L_{10} is a line passing through \mathbf{p}_1 and \mathbf{q}_0 . If $3 \mid n$, then we take

$$\omega = \left(\frac{W(Y, Z)}{Z(X - \alpha_1 Z)(X - \alpha_2 Z)} \right)^p B_{1,3} Z^{p-3}.$$

One checks (by an argument analogous to the one given in the previous case) that ω is indeed regular on C_c and that $B_{1,3}$ defines an irreducible curve of genus 0. Therefore it is necessary to assume that $B_{1,3}$ is not a factor of $F_c(X, Y, Z)$.

For (iv), $l = l_0 = 2$ and $m_1 = m_2 = 1$ imply that $p \neq 2, 3, c = -1$, and θ has poles at infinity, say $(x, 1, 0)$, only if $x^3 = -1$ and $x^n = -1$. Obviously θ has no pole at infinity if n is even. If n is odd and $3 \nmid n$ then $(-1, 1, 0)$ is the only pole of θ at infinity. In this case, we take

$$\omega = \frac{W(Y, Z)L_{12}}{Z(X - \alpha_1 Z)(X - \alpha_2 Z)}.$$

Since the line $[L_{12} = X + Y - (\alpha_1 + \alpha_2)Z = 0]$ passes through the points $\mathbf{p}_1 = (\alpha_1, \alpha_2, 1), \mathbf{p}_2 = (\alpha_2, \alpha_1, 1)$ and $(1, -1, 0)$, ω is regular at these points. If n is odd and $3 \mid n$ we claim that $B_{1,3} = B_{2,3}$. For simplicity, write

$$P'(X) = (X - \alpha_1)(X - \alpha_2)Q(X).$$

Then

$$P(X) - P(\alpha_1) = \left(\frac{\alpha_1 - \alpha_2}{2} (X - \alpha_1)^2 + \frac{1}{3} (X - \alpha_1)^3 + \dots \right) R(X).$$

Here Q and R are polynomials. We deduce from this that

$$B_{1,3} = \frac{\alpha_1 - \alpha_2}{2} [(X - \alpha_1 Z)^2 - (Y - \alpha_2 Z)^2] Z + \frac{1}{3} [(X - \alpha_1 Z)^3 + (Y - \alpha_2 Z)^3],$$

$$B_{2,3} = \frac{\alpha_2 - \alpha_1}{2} [(X - \alpha_2 Z)^2 - (Y - \alpha_1 Z)^2] Z + \frac{1}{3} [(X - \alpha_2 Z)^3 + (Y - \alpha_1 Z)^3].$$

Clearly, $X + Y - (\alpha_1 + \alpha_2)Z$ is a linear factor of $B_{1,3}$ and $B_{2,3}$; moreover, it is easily seen that $B_{1,3} = B_{2,3}$ and $B_{1,3}/(X + Y - (\alpha_1 + \alpha_2)Z)$ is irreducible and defines a curve of genus 0. Thus

$$\omega = \left(\frac{W(Y, Z)}{Z(X - \alpha_1 Z)(X - \alpha_2 Z)} \right)^p B_{1,3} Z^{p-3}$$

is regular on C_c and is non-trivial on each component of C_c if $B_{1,3}/(X + Y - (\alpha_1 + \alpha_2)Z)$ is not a factor of $F_c(X, Y, Z)$. ■

4.3. Proof of Theorem 2 and Corollary 1. Theorem 2 follows directly from Lemmas 6 and 7.

We now prove the corollary. It is well known that if S is not affinely rigid, then $P(X)$ is not a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$. From now on we suppose that S is affinely rigid. When $l \geq 3$, $P(X)$ is already a strong uniqueness polynomial for $\mathcal{M}^*(\mathbf{K})$ except when $l = l_0 = 3$, $m_1 = m_2 = m_3 = 1$, $3 \mid n - 1$, $4 \mid n$, $B_{1,4} = B_{2,4} = B_{3,4}$, and $B_{1,4}$ is a factor of $F_c(X, Y, Z)$. We actually proved that in this case $[B_{1,4} = 0]$ is irreducible and of genus 0. From our proof of Lemma 7, we see that on the components of C_c other than $[B_{1,4} = 0]$, the product of 1-forms we constructed is regular and non-vanishing. Thus those components must be of positive genus. On the other hand, we have shown in the proof of Lemma 7 that $B_{1,4}(X, Y, 1)$ can be written as $\tilde{P}_1(X) - c\tilde{P}_1(Y)$ with $\deg \tilde{P}_1(X) = 4$. Since $p \neq 2$, $p \nmid 4$, \tilde{P}_1 is a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$ if and only if $\tilde{P}_1(X) - c\tilde{P}_1(Y)$ and $(\tilde{P}_1(X) - \tilde{P}_1(Y))/(X - Y)$ have no linear factors. Therefore, $B_{1,4}(X, Y, 1) = 0$ cannot admit a solution consisting of a pair of non-constant non-archimedean entire functions. Therefore, $P(X)$ is a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$ if $l \geq 3$. Moreover, this argument works analogously for cases (I.A), (I.B.1), and (I.B.2) since the polynomials $A_{1,m_1}(X, Y, 1)$ (in Theorem 2(I.B.2.b.ii) and (I.C.2)) and $B_{1,3}(X, Y, 1)$ (in Theorem 2(II.C)) do not admit any solution consisting of a pair of non-constant non-archimedean entire functions.

It now remains to consider the case when $l = 1$ and $\mu_1 = m_1 + 1$. Then

$$P(X) - P(\alpha_1) = b_{1,m_1+1}(X - \alpha_1)^{m_1+1} + b_{1,m_1+2}(X - \alpha_1)^{m_1+2} + \dots$$

If $b_{1,m_1+2} = 0$, then Theorem 2(I.C.3.b) implies that $P(X)$ is also a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$ provided $m_1 \geq 2$ and $p \geq 7$. Therefore (I.B.3.a) holds. If $b_{1,m_1+2} \neq 0$, we let

$$P_0(X) = b_{1,m_1+1}(X - \alpha_1)^{m_1+1} + b_{1,m_1+2}(X - \alpha_1)^{m_1+2}.$$

Then as was shown in [1], the polynomial $P_0(X)$ is a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$ if and only if $m_1 + 2 = p^r s$, $p \nmid s$, $s \geq 2$. We also note that in this case,

$$\frac{P_0(X) - P_0(Y)}{X - Y} = A_{1,m_1+1}(X, Y, 1).$$

Therefore, $A_{1,m_1+1}(X, Y, 1) = 0$ has no solutions in $\mathcal{A}^*(\mathbf{K}) \times \mathcal{A}^*(\mathbf{K})$ if $m_1 + 2$ is not a power of p . Hence, $P(X)$ is a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$ in the case (I.B.3.b) by Theorem 2(I.C.3.a).

We now prove (II). We let $m_1 + 2 = p^r$ for some positive integer r . From Theorem 2(I.C.3.a) and the previous discussion, $P(X)$ is not a strong uniqueness polynomial for $\mathcal{A}^*(\mathbf{K})$ if and only if $F(X, Y, Z)$ is divisible by $A_{1,m_1+1} = A_{1,p^r-1}$. This condition is equivalent to $P(X) - P(Y)$ being divisible by

$$\begin{aligned} A_{1,p^r-1}(X, Y, 1) &= b_{1,p^r-1} \frac{(X - \alpha_1)^{p^r-1} - (Y - \alpha_1)^{p^r-1}}{X - Y} + b_{1,p^r}(X - Y)^{p^r-1} \\ &= \mathcal{F}_{p^r-1}(X, Y). \end{aligned}$$

If $P(X) - P(Y)$ is divisible by $\mathcal{F}_{p^r-1}(X, Y)$, then $(p^r - 1)S = T_{\mathcal{F}_{p^r-1}}(S)$ by the lemma stated at the end of this section, which was proved in [6] over \mathbb{C} , but its proof works for any field. On the other hand, if $(p^r - 1)S = T_{\mathcal{F}_{p^r-1}}(S)$, one see easily that the points $\{(t_{ij}, s_i, 1) \mid 1 \leq i \leq n, 1 \leq j \leq p^r - 1\}$ are in the intersection of two curves defined by $P(X) - P(Y)$ and $\mathcal{F}_{p^r-1}(X, Y)$ and the sum of the relevant intersection multiplicities is $n(p^r - 1)$. Moreover, the curves have one extra intersection point $(1, 1, 0)$ at infinity, which implies they must have a common component by Bézout's theorem. Since $A_{1,m_1+1}(X, Y, Z)$ is irreducible, this implies that $\mathcal{F}_{p^r-1}(X, Y)$ is a factor of $P(X) - P(Y)$.

LEMMA (cf. [6]). *Let $P(X) = (X - s_1) \cdots (X - s_n)$ be a monic polynomial with divisor of zeros S in \mathbf{K} . Let $R(x, y) = x^d + \cdots$ be a degree d polynomial in $\mathbf{K}[x, y]$ such that $R(x, y)$ divides $P(x) - bP(y)$ with some $b \neq 0$ in \mathbf{K} . Then*

$$[P(X)]^d = \prod_{i=1}^n R(x, s_i).$$

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