

On some arithmetic properties of polynomial expressions involving Stirling numbers of the second kind

by

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1. Introduction. Stirling numbers of the second kind $S(n, k)$, where $k, n \in \mathbb{N} = \{1, 2, \dots\}$, count partitions of the set $[n] = \{1, \dots, n\}$ into k nonempty disjoint sets. For example, $S(n, 1) = 1$ and $S(n, 2) = 2^{n-1} - 1$ for every $n \in \mathbb{N}$. More generally,

$$(1) \quad S(n, k) = \sum_{i=1}^k \frac{(-1)^{k-i}}{(k-i)!i!} i^n.$$

The proof of this well-known expansion can be found in Stanley [19, p. 34] or many other sources.

$S(n, k)$ belong to the most popular combinatorial numbers and as such are subject of many articles. A small sample is [2, 3, 5, 10, 11, 17, 20, 21]. Here we are inspired by the following questions. For a fixed $k \in \mathbb{N}$, are there infinitely many squares in the sequence $(S(n, k))_{n \geq 1}$? What about higher powers? And what about taking instead of $S(n, k)$ a polynomial expression in it or in several Stirling numbers $S(n, k_1), S(n, k_2), \dots, S(n, k_m)$? The only results known to us dealing with these or related diophantine problems on $S(n, k)$ were obtained by Brindza and Pintér [4] and Pintér [13, 14]. We review them briefly and then summarize our theorems. The main tools that we use are the results of Corvaja and Zannier [6] which are described in Section 2. Our results are proved in Section 3.

Pintér proved in [13] that for any fixed $a \in \mathbb{N}$, if $S(n, n-a)$ is an m th power, $m \geq 3$, then $n < C$ where $C = C(a)$ is an effectively computable constant. He also proved an analogous result for the relation $S(n, n-a) \in S$ where S is the set of positive integers composed only of primes from a fixed finite set. In [14] he proved that for all fixed integers $1 < a < b$ the solutions of

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the equation $S(m, a) = S(n, b)$ satisfy $\max(m, n) < Cb(\log b)^3 \log(b!/a!) \log a$ where C is an effectively computable absolute constant. Brindza and Pintér [4] considered the equations $S(x, x - a) = by^z$ and $S(x, a) = by^z$ with parameters $a, b \in \mathbb{N}$ and unknowns $x, y, z \in \mathbb{N}$. As for the first equation, they proved that if (x, y, z) is a solution with $x > 2b16^a a^{8a}$ and $y > 1$, then $z(7.5 + \log z)^{-2} < 11000(\log b + 8a \log a + 3a)$. (The same bound is also proved for Stirling numbers of the first kind $s(n, k)$ which count the permutations of $1, \dots, n$ with k cycles.) Further, if $z \geq 3$ is fixed or $z = 2$ and $a \neq 1, 3$, then $\max(x, y)$ can be effectively bounded in terms of a and b . As for the second equation, they proved that in all solutions (x, y, z) with $y > 1$ (also $a > 1$), z is bounded by a constant that is effectively computable in terms of a and b . The main tool used in all these results is the theory of linear forms in logarithms.

Now we state our results and begin with two theorems on the “Stirling-like” numbers $T(n, k)$. These are given by

$$T(n, k) = \sum_{i=1}^k t(k, i) i^n,$$

where $t(k, i) \in \mathbb{Q}$, $1 \leq i \leq k$, are some fixed *nonzero* constants.

THEOREM 1.1. *For any two fixed integers k_1 and k_2 , $1 < k_1 < k_2$, the relation*

$$(2) \quad \frac{T(n, k_2)}{T(n, k_1)} \in \mathbb{Z}$$

holds for only finitely many $n \in \mathbb{N}$.

THEOREM 1.2. *Suppose $d > 1$ is an integer, $a_1, \dots, a_m \in \mathbb{N}$ are m positive integers, not all divisible by d , and $1 < k_1 < \dots < k_m$ are m distinct integers. Then the diophantine equation*

$$(3) \quad T(n, k_1)^{a_1} T(n, k_2)^{a_2} \dots T(n, k_m)^{a_m} = x^d$$

has only finitely many solutions $(n, x) \in \mathbb{N}^2$.

Our remaining theorems deal with the more particular Stirling numbers $S(n, k)$, but in much more general expressions. In the next theorem ψ is a ring isomorphism, defined in Proposition 2.4, between the power sums and the ring $\mathbb{Q}[X_p : p \in \mathcal{P}]$ of rational polynomials in countably many variables indexed by the primes.

THEOREM 1.3. *Let $P \in \mathbb{Q}[Y_1, \dots, Y_m]$ be a nonconstant polynomial and $t \in \mathbb{N}$. There exists a constant $C = C(m, t) > 0$ such that if $C < k_1 < \dots < k_m$ are m distinct integers, then the polynomial*

$$P(\psi(S(\nu, k_1)), \dots, \psi(S(\nu, k_m)))$$

depends on at least t variables.

COROLLARY 1.4. *Let $\theta > 23/42$ and $P \in \mathbb{Q}[Y_1, \dots, Y_m]$ be a nonconstant polynomial. There exists a constant $C = C(m, \theta) > 0$ such that if $C < k_1 < \dots < k_m$ are m distinct integers, then*

$$|P(S(n, k_1), \dots, S(n, k_m))| > (k_1 - k_1^\theta)^n \quad \text{for every } n \geq n_0.$$

THEOREM 1.5. *Let $P, Q \in \mathbb{Q}[Y_1, \dots, Y_m]$ be two polynomials such that P does not divide Q . There exists a constant $C = C(m) > 0$ depending only on m such that if $C < k_1 < \dots < k_m$ are m distinct integers, then*

$$\frac{Q(S(n, k_1), \dots, S(n, k_m))}{P(S(n, k_1), \dots, S(n, k_m))} \in \mathbb{Z}$$

for only finitely many $n \in \mathbb{N}$.

THEOREM 1.6. *Let $d \in \mathbb{N}$, $d > 1$, and $P \in \mathbb{Q}[Y_1, \dots, Y_m]$ be a polynomial which is not a d th power in $\mathbb{Q}[Y_1, \dots, Y_m]$. There is a constant $C = C(m, \deg(P))$ depending only on m and the degree of P such that if $C < k_1 < \dots < k_m$ are m distinct numbers, then the diophantine equation*

$$P(S(n, k_1), \dots, S(n, k_m)) = x^d$$

admits only finitely many solutions $(n, x) \in \mathbb{N}^2$.

THEOREM 1.7. *If $P \in \mathbb{Q}[Y_1, \dots, Y_m]$ is not a perfect power in $\mathbb{Q}[Y_1, \dots, Y_m]$, then there exists a constant $C_1 = C_1(P)$ depending only on P such that if $C_1 < k_1 < \dots < k_m$ are m distinct integers, then the diophantine equation*

$$P(S(n, k_1), \dots, S(n, k_m)) = x^d$$

has only finitely many solutions $(n, x, d) \in \mathbb{N}^3$, $x, d > 1$.

Theorems 1.1 and 1.2 are straightforward applications of the results of Corvaja and Zannier [6] on power sums. The proofs of Theorems 1.3–1.7 are more technical and require besides [6] our Propositions 3.1 and 3.3. (The proof of Theorem 1.7 also uses some bounds from the theory of linear forms in logarithms.) Proposition 3.1 proves that certain polynomial systems $\{P_i \in \mathbb{C}[X_1, \dots, X_m] : i = 1, \dots, m\}$ are invertible. Proposition 3.3 shows that systems $\{S(n, k_i) : i = 1, \dots, m\}$ can be reduced, by restriction to appropriate sets of primes, to such polynomial systems. To obtain these sets we apply bounds on numbers of primes in short intervals.

Since $S(n, k)$ are quite special power sums, the independence of their coefficients $(-1)^{k-i}/(i!(k-i)!)$ given in (1) (see the application of Lemma 3.2 in the proof of Proposition 3.3 for the exact meaning of “independence”) enables one to handle quite general polynomial expressions. We think that our methodology may be useful also for some power sums more general than $S(n, k)$, especially for those arising in combinatorial enumeration.

But some independence of the coefficients is necessary. Note that Theorem 1.1 cannot be generalized much more towards Theorem 1.5 because

if $T_0(n, k) = k^n + (k - 1)^n + \dots + 1^n$, $Q(X_1, \dots, X_4) = X_1 - X_2$, and $P(X_1, \dots, X_4) = X_3 - X_4$, then

$$\frac{Q(T_0(2k, n), T_0(2k - 1, n), T_0(k, n), T_0(k - 1, n))}{P(T_0(2k, n), T_0(2k - 1, n), T_0(k, n), T_0(k - 1, n))} = 2^n \in \mathbb{Z}$$

for all $k, n \in \mathbb{N}$ although P does not divide Q . Similarly, $T_0(n, k)$ and $P(X_1, X_2) = X_1 - X_2$ show that the monomial on the left hand side of (3) cannot be in general replaced by a sum of two monomials: although P is linear, $P(T_0(k, n), T_0(k - 1, n)) = x^d$ has infinitely many solutions $(n, x) \in \mathbb{N}^2$ for any fixed $d > 1$ and $k \in \mathbb{N}$.

Recall this notation: $\mathbb{N} = \{1, 2, \dots\}$ are positive integers, \mathbb{Z} is the set of all integers, \mathbb{Q} are rational numbers, \mathbb{C} are complex numbers, if $m \in \mathbb{N}$ then $[m] = \{1, \dots, m\}$, and $\mathcal{P} = \{2, 3, 5, 7, 11, \dots\}$ is the set of prime numbers.

2. The results of Corvaja and Zannier. Our presentation of the results in [6] is somewhat more “formal” as it separates the power sums as integer sequences and their syntactic descriptions by the exponential polynomials. Let \mathcal{E} be the set of all finite rational linear combinations

$$\alpha = \alpha(\nu) = \sum_{i=1}^k c_i a_i^\nu$$

where the $c_i \in \mathbb{Q}$ are all nonzero, $a_1 > \dots > a_k > 0$ are distinct positive integers, $k \geq 0$ (the empty sum being 0), and ν is a *formal* variable. The integers a_i are the *roots* of α , the rationals c_i are its *coefficients*, and k is its *rank*. \mathcal{E} is, with the obvious addition and multiplication, a commutative integral domain with 1 which extends the field \mathbb{Q} . One more operation will be of importance. The substitutions $\nu \mapsto e + d\nu$, where $e, d \in \mathbb{Z}$ and $d > 0$, act on \mathcal{E} by transforming $c \cdot a^\nu$ to $ca^e \cdot (a^d)^\nu$. For example,

$$\text{if } \alpha(\nu) = \frac{1}{3} \cdot 4^\nu - 3^\nu + \frac{7}{6} \cdot 1^\nu \quad \text{then} \quad \alpha(2\nu - 1) = \frac{1}{12} \cdot 16^\nu - \frac{1}{3} \cdot 9^\nu + \frac{7}{6} \cdot 1^\nu.$$

One turns α into a function $\alpha : \mathbb{N} \rightarrow \mathbb{Q}$ by substituting positive integers $n \in \mathbb{N}$ for ν . It is clear that two different $\alpha_1, \alpha_2 \in \mathcal{E}$ produce two different functions; one even has $\alpha_1(n) > \alpha_2(n)$ or vice versa for all $n > n_0$. Thus one can view \mathcal{E} in two ways, as a ring of exponential polynomials or as a ring of particular functions from \mathbb{N} to \mathbb{Q} .

The following result is Theorem 1 of [6].

PROPOSITION 2.1. *If $\alpha, \beta \in \mathcal{E}$ are such that $\alpha(n)/\beta(n) \in \mathbb{Z}$ for infinitely many $n \in \mathbb{N}$, then there is a $\gamma \in \mathcal{E}$ such that*

$$(4) \quad \alpha = \beta \cdot \gamma.$$

The following result is Corollary 1 of Theorem 2 in [6].

PROPOSITION 2.2. *If $\alpha \in \mathcal{E}$, $d > 1$ is an integer, and $\alpha(n) = x^d$ has infinitely many solutions $(n, x) \in \mathbb{N}^2$, then there is an integer $e \in \{0, 1, \dots, d - 1\}$ and an element $\beta \in \mathcal{E}$ such that*

$$(5) \quad \alpha(e + d\nu) = \beta(\nu)^d.$$

The following result is a part of Corollary 2 of Theorem 3 in [6]; in [6] a stronger approximation result is given.

PROPOSITION 2.3. *Suppose that $\alpha \in \mathcal{E}$ has rank at least 2 and its two leading roots $a_1 > a_2$ are coprime. Then, for every fixed integer $d > 1$, the equation $\alpha(n) = x^d$ has only finitely many solutions $(n, x) \in \mathbb{N}^2$.*

This is a consequence of Proposition 2.2. Indeed, suppose that there are infinitely many solutions. Then (5) holds. So $\beta(\nu)$ has rank at least 2 as well. If $b_1 > b_2$ are the two leading roots of $\beta(\nu)$, then $\beta(\nu)^d$ has two leading roots $b_1^d > b_1^{d-1}b_2$ with the gcd at least $b_1^{d-1} \geq b_1 \geq 2$. On the other hand, the two leading roots of $\alpha(e + d\nu)$ are $a_1^d > a_2^d$ and are coprime. Hence (5) cannot hold, which is a contradiction.

The simple criterion of Proposition 2.3 answers the question about perfect powers in $(S(n, k))_{n \geq 1}$. By (1), for $k \geq 2$ the two leading roots of $S(\nu, k)$ are the coprime numbers $k > k - 1$. So, $S(n, k) = x^d$ has for fixed $k, d \geq 2$ only finitely many solutions $(n, x) \in \mathbb{N}^2$. Combining this with the result of Brindza and Pintér [4] (see the previous section) we find that for every fixed $k \geq 2$ the equation $S(n, k) = x^d$ has only finitely many solutions $(n, x, d) \in \mathbb{N}^3$ with $x, d > 1$.

There is yet a third way of looking at the ring \mathcal{E} which we state in the form of the next proposition; its proof is trivial.

PROPOSITION 2.4. *Let the mapping $\psi : \mathcal{E} \rightarrow \mathbb{Q}[X_p : p \in \mathcal{P}]$ be defined by $\psi(c1^\nu) = c$ for $c \in \mathbb{Q}$, $\psi(p^\nu) = X_p$ for $p \in \mathcal{P}$, and by the multiplicative and additive extension on the remaining elements of \mathcal{E} . Then ψ is a ring isomorphism between \mathcal{E} and $\mathbb{Q}[X_p : p \in \mathcal{P}]$.*

For example,

$$\psi\left(-\frac{7}{90} \cdot 24^\nu + 10^\nu + 5 \cdot 9^\nu - 10 \cdot 1^\nu\right) = -\frac{7}{90} X_2^3 X_3 + X_2 X_5 + 5 X_3^2 - 10.$$

The isomorphism ψ is a standard tool for dealing with exponential polynomials and goes back at least to Ritt [16]. See van der Poorten [15, 3.2] for more information.

We need to show that certain relations of type (4) or (5) are impossible. We accomplish this by interpreting them via ψ as polynomial identities. See Luca and Walsh [12] for similar applications.

3. The proofs. In the proofs of Theorems 1.1 and 1.2 we need the well-known *Bertrand's postulate*. It asserts that for every $n \in \mathbb{N}$, $n \geq 2$, the

interval $(n/2, n]$ contains at least one prime number. A simple proof was given by Erdős [7]. A nice presentation of this proof is in Aigner and Ziegler [1, pp. 7–12].

Proof of Theorem 1.1. Suppose, for a contradiction, that (2) holds for infinitely many $n \in \mathbb{N}$. By Proposition 2.1, we have in \mathcal{E} the identity

$$(6) \quad T(\nu, k_2) = T(\nu, k_1) \cdot \gamma$$

with a nonzero $\gamma \in \mathcal{E}$. Hence $k_2 = ak_1$ where $a \in \mathbb{N}$ is the leading root of γ . Since $k_2 > k_1$, we have $k_2 \geq 2k_1$. By Bertrand’s postulate, there is a prime number p such that $k_2 \geq p > k_1$. From (6),

$$A = B \cdot C$$

where $A = \psi(T(\nu, k_2))$, $B = \psi(T(\nu, k_1))$, and $C = \psi(\gamma)$ are polynomials from $\mathbb{Q}[X_p : p \in \mathcal{P}]$. The variable X_p does not appear in B but it appears exactly once in A , in the monomial $t(k_2, p)X_p$ (remember that all $t(k, i)$ are nonzero). Since $k_1 > 1$, B is a nonconstant polynomial. If X_p does not appear in C , the identity is patently impossible. If X_p appears in C , it is also impossible because then $B \cdot C$ has at least one monomial of the type DX_p , where D is a nonconstant monomial in the variables distinct from X_p , but A contains no such monomial. We have a contradiction. ■

Proof of Theorem 1.2. Without loss of generality we can assume that no exponent a_i in (3) is divisible by d . We fix an m -tuple $1 < k_1 < \dots < k_m$ of distinct integers and an m -tuple of positive integers a_1, \dots, a_m none of which is divisible by $d > 1$, and assume that

$$T(n, k_1)^{a_1} \dots T(n, k_m)^{a_m} = x^d$$

has infinitely many solutions $(n, x) \in \mathbb{N}^2$. We show that this leads to a contradiction.

By Proposition 2.2,

$$T(e + d\nu, k_1)^{a_1} \dots T(e + d\nu, k_m)^{a_m} = \gamma^d$$

for some $e \in \{0, 1, \dots, d - 1\}$ and $\gamma \in \mathcal{E}$. Applying ψ , we obtain the identity

$$(7) \quad \prod_{j=1}^m \left(\sum_{i=1}^{k_j} t(k_j, i) i^e X(i)^d \right)^{a_j} = Q^d$$

where $X(i) = \prod_{p|i} X_p^{m_p(i)}$ ($m_p(i)$ is the exponent of p in the prime decomposition of i) and $Q = \psi(\gamma) \in \mathbb{Q}[X_p : p \in \mathcal{P}]$. Let q be the maximum prime number in the interval $(k_m/2, k_m]$. By Bertrand’s postulate, q exists. The variable X_q appears in each of the m factors on the left hand side of (7) at most once, possibly in the summand $t(k_j, q)q^e X(q)^d = t(k_j, q)q^e X_q^d$,

and it does appear in the last m th factor. Distinguishing X_q and denoting $R = \mathbb{Q}[X_p : p \in \mathcal{P} \ \& \ p \neq q]$, we write (7) in the form

$$(8) \quad \prod_{j=1}^m (t(k_j, q)q^e X_q^d + B_j)^{a_j} = Q^d.$$

Here $t(k_j, q) = 0$ if $q > k_j$ and $t(k_m, q) \neq 0$, $B_j \in R$, and $Q \in R[X_q]$. Clearly, every B_j is nonzero and except for the case $k_{j_0} = q$ and $k_{j_0-1} = q - 1$ when $B_{j_0} = B_{j_0-1}$, we see that if $j_1 > j_2$ then B_{j_1} has a monomial not contained in B_{j_2} (since all $t(k, i)$ are nonzero). Hence each two of the B_j s with $k_j \geq q$ differ by more than a constant multiple. So we write (8) as

$$B \prod_{j=1}^s (X_q^d + C_j)^{b_j} = Q^d$$

where $B, C_j \in R$ are nonzero, $s \geq 1$, b_j are positive integers not divisible by d , and C_j are mutually distinct. This identity is impossible. The left hand side, taken as a polynomial in X_q , has $ds \geq 2$ distinct roots none of which has multiplicity divisible by d . But each root of the right hand side (if any) has multiplicity divisible by d . We have obtained a contradiction. ■

A polynomial $P \in \mathbb{C}[X_1, \dots, X_m]$ depends on X_i if $\partial P / \partial X_i \neq 0$. An interval partition \mathcal{M} of $[m]$ is a partition $[m] = I_1 \cup \dots \cup I_s$ into disjoint nonempty intervals $I_1 < \dots < I_s$. A polynomial system $\{P_i \in \mathbb{C}[X_1, \dots, X_m] : i = 1, \dots, m\}$ is \mathcal{M} -regular if (i) for every k and $i \in I_k$ one has $P_i \in \mathbb{C}[X_j : j \in I_1 \cup \dots \cup I_k]$, (ii) for every k and $i, j \in I_k$ the variable X_j appears in P_i only in the monomial $b_{i,j} X_j$ ($b_{i,j} \in \mathbb{C}$ is possibly zero), and (iii) the Jacobian $\det(\partial P_i / \partial X_j)$ is a nonzero constant. We call the linear tail $\sum_{j \in I_k} b_{i,j} X_j$ of P_i , $i \in I_k$, the linear part of P_i .

Suppose $\{P_i : i = 1, \dots, m\}$ is \mathcal{M} -regular. Then, by (i) and (ii), the Jacobi matrix $(\partial P_i / \partial X_j)$ has a block lower triangular form with s blocks on the main diagonal (and zeros above the blocks) where the k th block is a square $|I_k| \times |I_k|$ matrix A_k of coefficients of the linear parts of the P_i s, $i \in I_k$. Condition (iii) is equivalent to the fact that every A_k is regular because the Jacobian equals $\det(A_1) \dots \det(A_s)$.

If the polynomials and their variables are indexed instead of $[m]$ by two arbitrary m -sets of integers M and N , we transfer the interval partition \mathcal{M} of $[m]$ to M and N in the obvious way and work with \mathcal{M} -regular systems also in this more general situation.

PROPOSITION 3.1. *Let $P \in \mathbb{C}[X_1, \dots, X_m]$ be a nonconstant polynomial, \mathcal{M} an interval partition of $[m]$ with parts $I_1 < \dots < I_s$, and $\{P_i \in \mathbb{C}[X_1, \dots, X_m] : i = 1, \dots, m\}$ an \mathcal{M} -regular system of polynomials. Then the following hold.*

(a) *There exist m polynomials $Q_1, \dots, Q_m \in \mathbb{C}[X_1, \dots, X_m]$ inverting the system $\{P_i : i = 1, \dots, m\}$, that is, $P_i(Q_1, \dots, Q_m) = X_i$ for every $i = 1, \dots, m$.*

(b) *The system $\{Q_i : i = 1, \dots, m\}$ of (a) is \mathcal{M} -regular.*

(c) *The polynomial $P(P_1, \dots, P_m) \in \mathbb{C}[X_1, \dots, X_m]$ is nonconstant.*

(d) *If P depends on some variable $X_j, j \in I_s$, then $P(P_1, \dots, P_m)$ also depends on some variable $X_k, k \in I_s$.*

Proof. Let P, \mathcal{M} , and $\{P_i : i = 1, \dots, m\}$ be as stated. For every $k = 1, \dots, s$ consider the linear system

$$\left\{ \sum_{j \in I_k} b_{i,j} X_j = Y_i - R_i : i \in I_k \right\}$$

in the unknowns $X_j, j \in I_k$, where the left hand sides are the linear parts of the P_i s, $i \in I_k$, Y_i are new variables, and $R_i = P_i - \sum_{j \in I_k} b_{i,j} X_j \in \mathbb{C}[X_j : j \in I_1 \cup \dots \cup I_{k-1}]$. The unique solution obtained by multiplying by A_k^{-1} is the polynomials $X_j = T_j(Y_j : j \in I_k; X_j : j \in I_1 \cup \dots \cup I_{k-1}), j \in I_k$. The first $|I_1|$ polynomials T_j are linear and free of the X -variables. Substituting T_j for $X_j, j \in I_1$, in T_j with $j \in I_2$, we eliminate the X -variables in the next $|I_2|$ polynomials T_j . Continuing this way we eliminate from every T_j every X -variable and obtain some polynomials $Q_j, j = 1, \dots, m$, only in the Y -variables. It follows by elementary properties of matrix multiplication that $\{Q_j : j = 1, \dots, m\}$ indeed inverts $\{P_i : i = 1, \dots, m\}$.

The solution process in (a) gives the system $\{Q_i : i = 1, \dots, m\}$ the form prescribed in the conditions (i) and (ii) of regularity. The diagonal blocks of its Jacobi matrix are just the inverse matrices A_k^{-1} . Thus its Jacobian is nonzero and equals the reciprocal of the Jacobian of $\{P_i : i = 1, \dots, m\}$. This proves (b).

(c) follows immediately from (a): If $P(P_1, \dots, P_m)$ were constant, then $P(P_1(Q_1, \dots, Q_m), \dots, P_m(Q_1, \dots, Q_m)) = P(X_1, \dots, X_m)$ would be constant as well.

As for (d), we show that for a specialization of the first $|I_1| + \dots + |I_{s-1}|$ variables $P(P_1, \dots, P_m)$ becomes a nonconstant polynomial. We write P as a finite sum

$$P = \sum_M T_M \cdot M$$

where $M \in \mathbb{C}[X_j : j \in I_s]$ are distinct monic monomials and $T_M \in \mathbb{C}[X_j : j \in I_1 \cup \dots \cup I_{s-1}]$. Since P depends on one of the last $|I_s|$ variables, there is a nonconstant M_0 with a nonzero T_{M_0} . By (c), $T_{M_0}^* = T_{M_0}(X_j := P_j : j \in I_1 \cup \dots \cup I_{s-1})$ is a nonzero (but possibly constant) polynomial. We set $X_j := \alpha_j \in \mathbb{C}, j \in I_1 \cup \dots \cup I_{s-1}$, so that $T_{M_0}^*$ becomes a nonzero constant. Then $P(X_j := P_j : j \in I_1 \cup \dots \cup I_{s-1}; X_j : j \in I_s)$ becomes a nonconstant

polynomial $P^* \in \mathbb{C}[X_j : j \in I_s]$ and $\{P_j : j \in I_s\}$ becomes an \mathcal{N} -regular system $\{P_j^* \in \mathbb{C}[X_j : j \in I_s] : j \in I_s\}$ where \mathcal{N} consists of just one part I_s . Again by (c), $P(P_1, \dots, P_m)(X_j := \alpha_j : j \in I_1 \cup \dots \cup I_{s-1}) = P^*(X_j := P_j^* : j \in I_s)$ is a nonconstant polynomial. ■

Claim (a) of the previous proposition is a particular (and rather trivial) case of the famous *Jacobian conjecture* which is still open; see, for example, [8].

LEMMA 3.2. *Let $1 \leq k_1 < \dots < k_m$ be m distinct integers and*

$$D_m = D_m(X_1, \dots, X_m) = \det \left(\prod_{k=1}^{k_m - k_i} (k_i + k - X_j) \right)_{i,j=1}^m$$

where for $i = m$ the product is defined as 1. Then for every $t \in \mathbb{N}$ and $A \subset \mathbb{C}$ with $|A| \geq m(k_m - k_1 + t)$ there exist t mutually disjoint m -tuples $(\alpha_{i,1}, \dots, \alpha_{i,m}) \in A^m$ of elements of A , the elements in each m -tuple being mutually distinct, such that $D_m(\alpha_{i,1}, \dots, \alpha_{i,m}) \neq 0$ for every $i = 1, \dots, t$.

Proof. D_m is a polynomial which has degree at most $d = k_m - k_1$ in every variable X_i . We show that D_m is not identically zero by proving by induction on m that actually $\deg_{X_1}(D_m) = d$ (by symmetry, this holds for every variable). For $m = 1$ it is true because $D_1 = 1$. For $m > 1$ we expand D_m by the first row:

$$D_m = \prod_{k=1}^{k_m - k_1} (k_1 + k - X_1) \cdot E + \sum_{j=2}^m (-1)^{j+1} a_{1,j} M_{1,j}$$

where $E = D_{m-1}(X_2, \dots, X_m)$ corresponds to the $(m - 1)$ -tuple k_2, \dots, k_m , $a_{i,j}$ is the entry of the matrix defining D_m , and $M_{i,j}$ is the minor of $a_{i,j}$. The first term is of degree d in X_1 (by induction, E is a nonzero polynomial), $a_{1,j}$ are X_1 -free, and $\deg_{X_1}(M_{1,j}) \leq k_m - k_2 < d$. Hence $\deg_{X_1}(D_m) = d$.

Now it suffices to prove that for every $A \subset \mathbb{C}$ with $|A| \geq m(d + 1)$ we can select m distinct elements $\alpha_1, \dots, \alpha_m \in A$ such that $D_m(\alpha_1, \dots, \alpha_m) \neq 0$. Since D_m is a nonzero polynomial in m variables and of degree at most d in each, this follows by an easy induction on m using the basic fact that every nonzero $P \in \mathbb{C}[X]$, $\deg(P) \leq d$, has at most d distinct roots. ■

For the proofs of Theorems 1.3–1.7 we need a bound on the number of primes in the interval $(x - \Delta, x)$ stronger than Bertrand’s postulate. We use the fact that there exists a real number θ , $0 < \theta < 1$, and constants $\kappa, x_0 > 0$ such that

$$(9) \quad \pi(x) - \pi(x - \Delta) > \kappa \Delta / \log x$$

whenever $x > x_0$ and $x^\theta < \Delta < x$. By the result of Iwaniec and Pintz [9], this is true for every $\theta > 23/42$. We can certainly fix θ to be $2/3$.

For the next key result recall the definition of ψ given in Proposition 2.4. The notation like $X_p := \alpha_p : p \notin \mathcal{P}_k$ means that the variables X_p with $p \in \mathcal{P}_k$ are left unevaluated and for the remaining X_p we substitute the constants α_p .

PROPOSITION 3.3. *For every $m, t \in \mathbb{N}$ there is a constant $C = C(m, t) > 0$ such that the following holds. For any m distinct positive integers $C < k_1 < \dots < k_m$ there is an interval partition \mathcal{M} of $[m]$ and t mutually disjoint m -sets of prime numbers $\mathcal{P}_1, \dots, \mathcal{P}_t$ such that for every $k = 1, \dots, t$ and every specialization $\{\alpha_p \in \mathbb{C} : p \in \mathcal{P}\}$ the system of m polynomials from $\mathbb{Q}[X_p : p \in \mathcal{P}_k]$*

$$\{\psi(S(\nu, k_i))(X_p := \alpha_p : p \notin \mathcal{P}_k) : i = 1, \dots, m\}$$

is \mathcal{M} -regular.

Proof. First we fix an arbitrary decreasing sequence $\theta_1 > \theta_2 > \dots$ of numbers in the interval $(2/3, 1)$. To be specific, we set

$$\theta_i = \frac{5}{3} - \frac{i + 2}{i + 3}.$$

So $(\theta_i)_{i \geq 1} = (\frac{11}{12}, \frac{13}{15}, \frac{5}{6}, \dots)$. Let $m, t \in \mathbb{N}$ be given. We fix a sufficiently large constant $C = C(m, t) > 0$ meeting the following conditions, in which x_0 and κ are the constants of bound (9) corresponding to $\theta = 2/3$:

(10) $C > x_0,$

(11) $k > C \Rightarrow (m + 1)k^{\theta_1} < \frac{1}{2}k,$

(12) $k > C \Rightarrow \frac{\kappa k^{\theta_i}}{\log k} > m(m(2k)^{\theta_{i+1}} + t)$ for every $i = 1, \dots, m.$

Let $C < k_1 < \dots < k_m$ be m fixed integers. We take the set $A, 1 \in A \subset [m]$, defined by

$$A = \{1\} \cup \{i \in [m] : i \geq 2 \ \& \ k_i - k_i^{\theta_i} \geq k_{i-1}\}.$$

A has $s \geq 1$ elements $1 = a_1 < \dots < a_s \leq m$. We define the interval partition \mathcal{M} of $[m]$ by

$$\mathcal{M} = \{I_1, \dots, I_s\} = \{[1, a_2 - 1], [a_2, a_3 - 1], \dots, [a_{s-1}, a_s - 1], [a_s, m]\}$$

and set $a_{s+1} = m + 1$. For $j = 1, \dots, s$ we define the interval

$$J_j = (k_{a_j} - k_{a_j}^{\theta_{a_j}}, k_{a_j}].$$

Clearly,

(13) $k_{a_{j-1}} < J_j \leq k_{a_j}.$

Let $K = k_{a_{j+1}-1} = \max\{k_i : i \in I_j\}$. By the definition of A , if $a_{j+1} - 2 \in I_j$ then

$$k_{a_{j+1}-2} > K - K^{\theta_{a_{j+1}-1}} \geq K - K^{\theta_{a_j}}.$$

From this, if $a_{j+1} - 3 \in I_j$ then

$$k_{a_{j+1}-3} > k_{a_{j+1}-2} - k_{a_{j+1}-2}^{\theta_{a_{j+1}-2}} \geq K - 2K^{\theta_{a_j}}$$

and so on. In the end,

$$k_{a_j} \geq K - (|I_j| - 1)K^{\theta_{a_j}} > K - mK^{\theta_{a_j}}.$$

From this and by (11),

$$(14) \quad K = k_{a_{j+1}-1} < 2k_{a_j}$$

and

$$k_{a_j} - k_{a_j}^{\theta_{a_j}} > K - (m + 1)K^{\theta_{a_j}} > \frac{1}{2}K = \frac{1}{2}k_{a_{j+1}-1}.$$

We conclude that

$$(15) \quad J_j \subset \left(\frac{1}{2}k_i, k_i\right] \quad \text{for every } i \in I_j.$$

Let $j \in \mathbb{N}$, $1 \leq j \leq s$, be again arbitrary and fixed. Let $\mathcal{Q} \subset J_j$ be an $|I_j| = (a_{j+1} - a_j)$ -element set of primes. By (15), for every $i \in I_j$ and $p \in \mathcal{Q}$ the variable X_p appears in $\psi(S(\nu, k_i))$ only in the linear term $\alpha_{i,p}X_p$. By (1), the matrix of coefficients A_j is

$$A_j = (\alpha_{i,p})_{i \in I_j, p \in \mathcal{Q}} = \left(\frac{(-1)^{k_i-p}}{(k_i - p)!p!} \right)_{i \in I_j, p \in \mathcal{Q}}.$$

Let again $K = k_{a_{j+1}-1}$. The determinant $\det A_j$ is nonzero if and only if $\det B_j$ is nonzero where the matrix B_j arises by multiplying every column p of A_j by $(-1)^p(K - p)!p!$ and every row k_i by $(-1)^{k_i}$. We have

$$B_j = \left(\prod_{k=1}^{K-k_i} (k_i + k - p) \right)_{i \in I_j, p \in \mathcal{Q}}.$$

Hence $\det A_j \neq 0$ if and only if the polynomial $D_{|I_j|}$ of Lemma 3.2, corresponding to the parameters $\{k_i : i \in I_j\}$, does not vanish on the ordered $|I_j|$ -tuple of the elements of \mathcal{Q} . $D_{|I_j|}$ has degree $K - k_{a_j}$ in every variable. By the definition of A and (14),

$$(16) \quad K - k_{a_j} = \sum_{r=1}^{a_{j+1}-a_j-1} k_{a_j+r} - k_{a_j+r-1} < \sum_{r=1}^{a_{j+1}-a_j-1} k_{a_j+r}^{\theta_{a_j+r}} \leq mK^{\theta_{a_j+1}} < m(2k_{a_j})^{\theta_{a_j+1}}.$$

By (9) and (10),

$$(17) \quad |J_j \cap \mathcal{P}| > \frac{\kappa k_{a_j}^{\theta_{a_j}}}{\log(k_{a_j})}.$$

By (12), (16), and (17),

$$|J_j \cap \mathcal{P}| > m(K - k_{a_j} + t) \geq |I_j|(K - k_{a_j} + t).$$

Using Lemma 3.2, we select t mutually disjoint $|I_j|$ -sets of primes $\mathcal{Q}_{1,j} \subset J_j, \dots, \mathcal{Q}_{t,j} \subset J_j$ such that for every $\mathcal{Q}_{i,j}$, $1 \leq i \leq t$, the corresponding matrix of coefficients A_j is regular. We define, for $i = 1, \dots, t$, the desired m -element sets of primes as

$$\mathcal{P}_i = \bigcup_{j=1}^s \mathcal{Q}_{i,j}.$$

By the selection of the $\mathcal{Q}_{i,j}$ s and by (13), these sets are disjoint. Let $\{\alpha_p \in \mathbb{C} : p \in \mathcal{P}\}$ be any fixed specialization. By (13) and (15), for every $k = 1, \dots, t$ the Jacobi matrix of $\{\psi(S(\nu, k_i))(X_p := \alpha_p : p \notin \mathcal{P}_k) : i = 1, \dots, m\}$ satisfies conditions (i) and (ii) of \mathcal{M} -regularity. Its determinant is a nonzero constant because of the selection of the $\mathcal{Q}_{i,j}$ s. The proposition is proved. ■

Note that we have proved more than is stated (and we will need this): By (15), if $p \in \mathcal{P}_k$ belongs to the last part of \mathcal{M} , that is, $p \in \mathcal{Q}_{k,s}$, then X_p appears in every $\psi(S(\nu, k_i))$ (in all variables $\{X_p : p \in \mathcal{P}\}$) only in the linear term bX_p .

Proof of Theorem 1.3. It follows immediately by combining (c) of Proposition 3.1 and Proposition 3.3. ■

Proof of Corollary 1.4. We can use in the proof of Proposition 3.3 a decreasing sequence $(\theta_i)_{i \geq 1}$ in the interval $(23/42, 1)$ such that $\theta_1 = \theta$. Then we set C to be $C(m, 1)$ of Theorem 1.3. By Theorem 1.3 and by the proof of Proposition 3.3, the polynomial

$$Q = P(\psi(S(\nu, k_1)), \dots, \psi(S(\nu, k_m)))$$

has a monomial (with nonzero coefficient) containing a power X_p^s such that $s \geq 1$ and $p > k_1 - k_1^\theta$. Thus the leading root $a \in \mathbb{N}$ of $\psi^{-1}(Q) = ca^\nu + \dots$, $c \in \mathbb{Q}$ is nonzero, satisfies $a \geq p > k_1 - k_1^\theta$ and the corollary follows. ■

Proof of Theorem 1.5. We set the constant C to be $C(m, 1)$ of Proposition 3.3. We prove the contraposition of the implication. Suppose that $C < k_1 < \dots < k_m$ are fixed and $\frac{Q(S(n, k_1), \dots, S(n, k_m))}{P(S(n, k_1), \dots, S(n, k_m))} \in \mathbb{Z}$ for infinitely many $n \in \mathbb{N}$. By Proposition 2.1, we have in $\mathbb{Q}[X_p : p \in \mathcal{P}]$ the identity

$$\psi(Q(S(\nu, k_1), \dots, S(\nu, k_m))) = \psi(P(S(\nu, k_1), \dots, S(\nu, k_m))) \cdot T$$

for some polynomial T . Let \mathcal{P}_1 be the m -set of primes ensured by Proposition 3.3, with elements $p_1 < \dots < p_m$. Setting all X_p , $p \notin \mathcal{P}_1$, equal to zero we obtain the identity

$$Q(S_1^*, \dots, S_m^*) = P(S_1^*, \dots, S_m^*) \cdot T^*$$

where $S_i^* = \psi(S(\nu, k_i))(X_p = 0 : p \notin \mathcal{P}_1)$, $i = 1, \dots, m$, and T^* are polynomials from $\mathbb{Q}[X_p : p \in \mathcal{P}_1]$. Substituting for X_p , $p \in \mathcal{P}_1$, the polynomial

$Q_p(Y_p : p \in \mathcal{P}_1)$ ensured by (a) of Proposition 3.1 and Proposition 3.3, we obtain the identity

$$Q(Y_{p_1}, \dots, Y_{p_m}) = P(Y_{p_1}, \dots, Y_{p_m}) \cdot T^{**}.$$

Hence P divides Q . ■

LEMMA 3.4. *Let K be an integral domain of characteristic 0, $g, d \in \mathbb{N}$, and let $P \in K[X]$ satisfy*

$$P^g \in K[X^d].$$

Then $P = X^s Q(X^d)$ for some integer $s \geq 0$ and a polynomial $Q \in K[X]$.

Proof. We write $P = X^s Q$ where $c = Q(0) \neq 0$. From $P^g \in K[X^d]$ it follows that d divides gs and $Q^g \in K[X^d]$. Suppose, for a contradiction, that $Q \notin K[X^d]$. Let $m > 0$ be the smallest integer not divisible by d for which X^m has in Q a nonzero coefficient f . It is easy to see that the coefficient of X^m in Q^g is $gc^{g-1}f \neq 0$. This contradicts the assumption $Q^g \in K[X^d]$. ■

Proof of Theorem 1.6. We can assume that P depends on all variables Y_1, \dots, Y_m and that if Q^d divides P for some $Q \in \mathbb{Q}[Y_1, \dots, Y_m]$ then Q is constant. We set $t = \deg(P) + 1$ and C to be $C(m, \deg(P) + 1)$ of Proposition 3.3. Suppose that $C < k_1 < \dots < k_m$ are fixed and $P(S(n, k_1), \dots, S(n, k_m))$ is a d th power of a positive integer for infinitely many $n \in \mathbb{N}$. By Proposition 2.2, there is an integer $e, 0 \leq e < d$, and an element τ of \mathcal{E} so that

$$P(S(e + d\nu, k_1), \dots, S(e + d\nu, k_m)) = \tau^d.$$

Thus

$$P(S_1, \dots, S_m) = T^d$$

where $T = \psi(\tau)$ and $S_i = \psi(S(e + d\nu, k_i))$ are polynomials in $\mathbb{Q}[X_p : p \in \mathcal{P}]$. Let $\mathcal{M} = \{I_1, \dots, I_s\}$ be the interval partition of $[m]$ and $\mathcal{P}_1, \dots, \mathcal{P}_t$ be the t disjoint m -sets of primes guaranteed by Proposition 3.3. Let $\{\alpha_p \in \mathbb{C} : p \in \mathcal{P}\}$ be any fixed specialization. We take any of the sets, say \mathcal{P}_1 with the elements $p_1 < \dots < p_m$, and set every X_p for $p \notin \mathcal{P}_1$ equal to α_p . We get the identity

$$(18) \quad P(S_1^*, \dots, S_m^*) = (T^*)^d$$

where T^* and $S_i^* = S_i(X_p := \alpha_p : p \notin \mathcal{P}_1)$ are polynomials from $\mathbb{Q}[X_p : p \in \mathcal{P}_1]$.

Due to the substitution $\nu \mapsto e + d\nu$, actually the polynomial $P(S_1^*, \dots, S_m^*)$ lies in $\mathbb{Q}[X_p^d : p \in \mathcal{P}_1]$. Applying the previous lemma (with $g = d$) for each of the m variables, we see that

$$(19) \quad T^* = M \cdot U(X_p^d : p \in \mathcal{P}_1)$$

where $M = \prod_{p \in \mathcal{P}_1} X_p^{s_p}$, $s_p \geq 0$. From (18) and (19) we obtain, by replacing X_p^d with the variable Z_p , that

$$P(U_1, \dots, U_m) = \prod_{p \in \mathcal{P}_1} Z_p^{s_p} \cdot U^d$$

where $U_i, U \in \mathbb{Q}[Z_p : p \in \mathcal{P}_1]$. It follows that $\{U_i : i = 1, \dots, m\}$ is an \mathcal{M} -regular system. Indeed, $\mathcal{S} = \{\psi(S(\nu, k_i))(X_p := \alpha_p : p \notin \mathcal{P}_1) : i = 1, \dots, m\}$ is \mathcal{M} -regular by Proposition 3.3 and $U_i = \psi(S(e + d\nu, k_i))(X_p := \alpha_p : p \notin \mathcal{P}_1; X_p^d := Z_p : p \in \mathcal{P}_1)$. That the system $\{U_i : i = 1, \dots, m\}$ satisfies the conditions (i) and (ii) of regularity is clear. Its Jacobian is a nonzero constant because the substitution $\nu \mapsto e + d\nu$ results only in multiplying the column of X_p in the diagonal block in the Jacobi matrix of \mathcal{S} by the nonzero constant p^e . Inverting $\{U_i : i = 1, \dots, m\}$ by (a) of Proposition 3.1, we get the identity

$$P(Z_{p_1}, \dots, Z_{p_m}) = \prod_{p \in \mathcal{P}_1} Q_p^{s_p} \cdot U(Z_p := Q_p : p \in \mathcal{P}_1)^d$$

for some polynomials $Q_p \in \mathbb{Q}[Z_p : p \in \mathcal{P}_1]$. If U were nonconstant, then $U(Z_p := Q_p : p \in \mathcal{P}_1)$ would be nonconstant as well, by (b) and (c) of Proposition 3.1, which is impossible because P is free of all nonconstant d th powers. Hence the polynomial U is a constant different from 0. Using (18) and (19) we conclude that

$$P(S_1^*, \dots, S_m^*) = c \prod_{p \in \mathcal{P}_1} X_p^{ds_p}$$

where $c \in \mathbb{C}$ is nonzero and s_p are nonnegative integers. Not all s_p may be zero. Even more is true: By (d) of Proposition 3.1, there exists a $k \in I_s$ so that $s_{p_k} > 0$. Returning to all the variables before the specialization, we conclude that

$$(20) \quad P(S_1, \dots, S_m) = A \cdot \prod_{p \in \mathcal{P}_1} X_p^{ds_p} + B$$

where $s_{p_k} > 0$, $A, B \in \mathbb{Q}[X_p : p \in \mathcal{P} \setminus \mathcal{P}_1]$, and

$$(21) \quad B(X_p := \alpha_p : p \in \mathcal{P} \setminus \mathcal{P}_1) = 0.$$

Since the expression of $P(S_1, \dots, S_m)$ in the form (20) is unique, the polynomials A and B are independent of the choice of the specialization $\{\alpha_p \in \mathbb{C} : p \in \mathcal{P}\}$. Since (21) holds for every specialization, B must be a zero polynomial.

Thus there is a $k \in I_s$ such that the polynomial $P(S_1, \dots, S_m)$ is divisible by $X_{p_k}^d$. Since I_s is the last part of \mathcal{M} , by the remark after Proposition 3.1 the variable X_{p_k} appears in every S_i only in the term $bX_{p_k}^d$. Taking any of the sets of primes \mathcal{P}_i , $i = 1, \dots, t$, in place of \mathcal{P}_1 , we obtain a set \mathcal{Q} of t primes

such that (i) the monomial $(\prod_{p \in \mathcal{Q}} X_p)^d$ divides $P(S_1, \dots, S_m)$ and (ii) every X_p , $p \in \mathcal{Q}$, appears in every S_i only in the term bX_p^d . By (i), the degree of $P(S_1, \dots, S_m)$ in the variables $\{X_p : p \in \mathcal{Q}\}$ is at least $dt = d(\deg(P) + 1)$. By (ii), this degree is at most $d \deg(P)$. We have a contradiction. ■

Proof of Theorem 1.7. In view of Theorem 1.6 it suffices to show that if $C_1 < k_1 < \dots < k_m$ are distinct then the equality $P(S(n, k_1), \dots, S(n, k_m)) = x^d$ for $n, x \in \mathbb{N}$, $x > 1$, implies that d is bounded by a constant. We assume that P depends on all m variables and set $C_1 = C_1(P)$ to be equal to the constant $C(m, \deg(P))$ of Theorem 1.6. Suppose that $Q = P(\psi(S(\nu, k_1)), \dots, \psi(S(\nu, k_m)))$ is a monomial. We know, by the previous proof, that Q depends on $t = \deg(P) + 1$ variables X_p such that each of them appears in every $\psi(S(\nu, k_i))$ only in the linear term. But then we have the same contradiction for the degree of Q in these variables as before. So Q is not a monomial and $P(S(\nu, k_1), \dots, S(\nu, k_m)) \in \mathcal{E}$ has rank at least two. Thus $(P(S(n, k_1), \dots, S(n, k_m)))_{n \geq 1}$ is a nondegenerate linearly recurrent sequence having a leading root. By a result of Shorey and Stewart [18], it follows that there exists a constant C_2 which is effectively computable and depends on P and k_1, \dots, k_m , such that the equality $P(S(n, k_1), \dots, S(n, k_m)) = x^d$, $n, x \in \mathbb{N}$, $x > 1$, implies $d < C_2$. ■

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