On the error term of an asymptotic formula of Ramanujan

by

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Dedicated to Professor Yoichi Motohashi on his sixtieth birthday

1. Introduction. In [21], Ramanujan records (without proof) many curious asymptotic formulae. One of them is

(1.1)
$$d^{2}(1) + d^{2}(2) + \dots + d^{2}(n) = An(\log n)^{3} + Bn(\log n)^{2} + Cn\log n + Dn + O(n^{3/5+\varepsilon}).$$

Also he records (without proof) the result that on the assumption of the Riemann hypothesis, the error term in (1.1) can be improved to $O(n^{1/2+\varepsilon})$. In view of a method due to H. L. Montgomery and R. C. Vaughan (see [17]), it is very likely that the error term is $O(n^{1/2})$. We propose this as a conjecture (see also [19], [22]). Unconditionally, the error term related to $d^2(j)$ is known to be $O(n^{1/2+\varepsilon})$ for any positive constant ε (see for example equation (14.30) of [10] and also [5] and [28]). Professor A. Schinzel has already considered some of the problems of Ramanujan (see [24]), namely for the arithmetic function $r^2(n)$, and he has proved that the corresponding error term is $O(n^{1/2}(\log n)^{8/3}(\log \log n)^{1/3})$ which is due to M. Kühleitner and W. G. Nowak (see [15], [16]). Let

(1.2)
$$E(x) = \sum_{n \le x} d^2(n) - x P_3(\log x),$$

where $P_3(y)$ is a polynomial in y of degree 3. From a general theorem of M. Kühleitner and W. G. Nowak (see e.g. (5.4) of [15]), it follows that

$$E(x) = \Omega(x^{3/8}).$$

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Let

(1.3)
$$\sum_{n \le x} d_4(n) = ax(\log x)^3 + bx(\log x)^2 + cx(\log x) + dx + O(x^{\alpha}).$$

Assuming that $\alpha < 1/2$ in (1.3), D. Suryanarayana and R. Sitaramachandra rao (see [25]) showed that (with some A > 0)

(1.4)
$$E(x) \ll x^{1/2} \exp(-A(\log x)^{3/5} (\log \log x)^{-1/5}),$$

and assuming additionally the Riemann hypothesis, they established (see [25]) that

(1.5)
$$E(x) \ll x^{\frac{2-\alpha}{5-4\alpha}} \exp(A(\log x)(\log \log x)^{-1}).$$

In [20], the second author jointly with K. Ramachandra proved that unconditionally, we have

(1.6)
$$E(x) \ll x^{1/2} (\log x)^5 (\log \log x).$$

It should be mentioned that recently M. Kühleitner and W. G. Nowak (see [16]) have given a precise upper bound for the error term related to the average number of solutions of the Diophantine equation $u^2 + v^2 = w^3$, and their arguments are in fact more general. For some more general interesting results, we refer to for example [1], [2], [3] and [23]; we also mention some related references [4], [14] and [27].

The main aim of this paper is to prove:

For
$$Y \ge Y_0$$
, we have (unconditionally)
(1.7) $\frac{1}{Y} \int_Y^{2Y} (E(x))^2 dx \ll Y \exp(-C(\log Y)^{3/5} (\log \log Y)^{-1/5})$

for an effective positive constant C.

That is, the natural but unproven conjectural inequality (1.4) is true in mean-square. This is established in a more general frame involving the integers k, l in Theorem 2 below.

Let $k \ge 2$ and $l \ge 2$ be integers. We define the Dirichlet series (in $\sigma > 1$)

$$F(s) = \frac{\zeta^k(s)}{\zeta(ls)} = \sum_{n=1}^{\infty} b_n n^{-s}.$$

Then, from the Perron formula (see for example [18]), we obtain

(1.8)
$$\sum_{n \le x} b_n = A_{(k-1)} x (\log x)^{k-1} + A_{(k-2)} x (\log x)^{k-2} + A_{(k-3)} x (\log x)^{k-3} + \dots + A_{(0)} x + E_{k,l}(x)$$
$$=: M_{k,l}(x) + E_{k,l}(x),$$

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(1.9)
$$\sum_{n \le x} d_k(n) = D_{(k-1)} x (\log x)^{k-1} + D_{(k-2)} x (\log x)^{k-2} + D_{(k-3)} x (\log x)^{k-3} + \dots + D_{(0)} x + \Delta_k(x)$$
$$=: M_k(x) + \Delta_k(x).$$

Note that the coefficients $A_{(j)}$ in (1.8) will depend on l whereas $D_{(j)}$ in (1.9) are independent of l.

We study the more general error term $E_{k,l}(x)$ of the Ramanujan type. We define

(1.10)
$$\alpha_k := \inf\{\alpha : \Delta_k(x) \ll x^\alpha\}$$

and

(1.11)
$$\beta_k := \inf \left\{ \beta : \int_2^Y (\Delta_k(x))^2 \, dx \ll Y^{1+2\beta} \right\}.$$

It is already known from the work of Kolesnik (see [13] and [12] respectively) that $\alpha_2 \leq 139/429, \alpha_3 \leq 43/96$ (a better value of $\alpha_2 \leq 23/73$ is known from the work of M. N. Huxley (see [9]) and in fact $\alpha_2 \leq 131/416$ from an unpublished work of M. N. Huxley), and from the work of D. R. Heath-Brown (see [7] and [8]) that

$$\alpha_k = \begin{cases} 3/4 - 1/k & \text{for } 4 \le k \le 8, \\ 1 - 3/k & \text{for } k \ge 8. \end{cases}$$

Better upper bounds are available for certain intermediate values of k (see Theorem 13.2 of [10]), namely $\alpha_9 \leq 35/54, \alpha_{10} \leq 41/60, \alpha_{11} \leq 7/10$ and $\alpha_{12} \leq 5/7$.

GENERAL CONJECTURE. For every integer $k \geq 2$, we have

$$\alpha_k = \frac{k-1}{2k}.$$

Regarding β_k , first of all we observe that $\beta_k \leq \alpha_k$. It is already known that (see Theorem 12.6(A) of [26])

$$\beta_k \ge \frac{k-1}{2k}.$$

We also know (see Theorem 12.8 of [26] and also Theorems 13.9 and 13.10 of [10]) that $\beta_2 = 1/4, \beta_3 = 1/3$, and from the work of D. R. Heath-Brown (see [7] and [8]) that $\beta_4 = 3/8$. We should also mention a result of Jutila (see [11]) which states that if $\alpha_2 = 1/4$, then $\mu(1/2) \leq 3/20$ and $E^*(T) \ll T^{5/16+\varepsilon}$, where $\mu(1/2) = \inf\{\xi : \zeta(1/2 + it) \ll (|t| + 10)^{\xi}\}$ and

$$\int_{0}^{T} \left| \zeta \left(\frac{1}{2} + it \right) \right|^2 dt = T \log \left(\frac{T}{2\pi} \right) + (2\gamma - 1)T + E^*(T).$$

Throughout the paper, we write

(1.12) $\delta(x) := \exp(-A(\log x)^{3/5} (\log \log x)^{-1/5})$

with A being a positive constant, and we assume that $x \ge e^{le^l}$ and $Y \ge 100e^{le^l}$. We prove

THEOREM 1. For every $\varepsilon > 0$ and for $x \ge x_0(l)$, we have

$$E_{k,l}(x) \ll \begin{cases} x^{\alpha_k + 2\varepsilon} & \text{if } l\alpha_k \ge 1 - l\varepsilon, \\ x^{1/l} & \text{if } l\alpha_k < 1 - l\varepsilon. \end{cases}$$

THEOREM 2. For every $\varepsilon > 0$ and $Y \ge Y_0(l)$, we have

$$I = \frac{1}{Y} \int_{Y}^{2Y} (E_{k,l}(x))^2 dx$$

$$\ll \begin{cases} Y^{2\beta_k + 2\varepsilon} & \text{if } l\beta_k \ge 1 - l\varepsilon/2, \\ Y^{2/l} \exp(-C(\log Y)^{3/5} (\log \log Y)^{-1/5}) & \text{if } l\beta_k < 1 - l\varepsilon/2, \end{cases}$$

where C is an effective positive constant depending only on k, l and ε .

2. Notation and preliminaries. C and A (with or without suffixes) denote effective positive constants unless otherwise specified, which need not be the same at each occurrence. We write $f(x) \ll g(x)$ to mean $|f(x)| < C_1g(x)$ (sometimes we use the O notation also). The notation [x] denotes the integral part of x. The implied constants are all effective. We assume that $x \ge x_0(l)$ and $Y \ge Y_0(l)$ where $x_0(l)$ and $Y_0(l)$ are positive constants depending only on l.

3. Some lemmas

LEMMA 3.1. We have the relation

$$b_n = \sum_{j^l \mid n} \mu(j) d_k \left(\frac{n}{j^l}\right).$$

Proof. The proof is obvious.

LEMMA 3.2. For s > 1 and $r \ge 0$, we have

(3.2.1)
$$\sum_{n \le x} n^{-s} \mu(n) (\log n)^r = (-1)^r \eta^{(r)}(s) + O(x^{-(s-1)} \delta(x) (\log x)^r),$$

where $\eta^{(0)}(s) = \eta(s) = (\zeta(s))^{-1}$ and $\eta^{(r)}(s)$ for $r \ge 1$ denotes the rth derivative of $\eta(s) = (\zeta(s))^{-1}$.

Proof. This is Lemma 2.2 of [25]. \blacksquare

LEMMA 3.3. For $x \ge x_0(l)$, we have

(3.3.1)
$$E_{k,l}(x) \\ \ll \left| \sum_{n \le \varrho x^{1/l}} \mu(n) \Delta_k \left(\frac{x}{n^l} \right) \right| + x^{1/l} \varrho^{1-l} \delta(\varrho x^{1/l}) \left(\log \left(\max \left(\frac{1}{\varrho}, x \right) \right) \right)^{C_k},$$

where $0 < \varrho \ (= \varrho(x)) < 1$ and C_k is an effective positive constant depending only on k.

Proof. We fix $z = x^{1/l}$ and let $\varrho (= \varrho(x))$ be a number (or a function of x) which satisfies $0 < \varrho < 1$. We will choose ϱ appropriately later. We notice that if $n^l r \leq x$, then both $n > \varrho z$ and $r > \varrho^{-l}$ cannot hold simultaneously, and hence

(3.3.2)
$$\sum_{n \le x} b_n = \sum_{\substack{n^l r \le x \\ n \le \varrho z}} \mu(n) d_k(r) + \sum_{\substack{n^l r \le x \\ r \le \varrho^{-l}}} \mu(n) d_k(r) - \sum_{\substack{n \le \varrho z \\ r \le \varrho^{-l}}} \mu(n) d_k(r)$$
$$=: S_1 + S_2 - S_3.$$

From (1.9), we have

$$\begin{aligned} (3.3.3) \quad S_{1} &= \sum_{\substack{n^{l}r \leq x \\ n \leq \varrho z}} \mu(n)d_{k}(r) = \sum_{n \leq \varrho z} \mu(n) \sum_{r \leq xn^{-l}} d_{k}(r) \\ &= \sum_{n \leq \varrho z} \mu(n)\{M_{k}(xn^{-l}) + \Delta_{k}(xn^{-l})\} \\ &= \{D_{(k-1)}x(\log x)^{k-1} + D_{(k-2)}x(\log x)^{k-2} + \dots + D_{(0)}x\} \\ &\times \left(\sum_{n \leq \varrho z} \mu(n)n^{-l}\right) \\ &- lx\left(D_{(k-1)}\binom{k-1}{1}(\log x)^{k-2} + D_{(k-2)}\binom{k-2}{1}(\log x)^{k-3} + \dots\right) \\ &\times \left(\sum_{n \leq \varrho z} \mu(n)n^{-l}(\log n)\right) \\ &+ l^{2}x\left(D_{(k-1)}\binom{k-1}{2}(\log x)^{k-3} + D_{(k-2)}\binom{k-2}{2}(\log x)^{k-4} + \dots\right) \\ &\times \left(\sum_{n \leq \varrho z} \mu(n)n^{-l}(\log n)^{2}\right) \\ &- \dots + (-1)^{k-1}D_{(k-1)}l^{k-1}x\left(\sum_{n \leq \varrho z} \mu(n)n^{-l}(\log n)^{k-1}\right) \\ &+ \sum_{n \leq \varrho z} \mu(n)\Delta_{k}(xn^{-l}). \end{aligned}$$

Applying Lemma 3.2 for r = 0, 1, ..., k - 1 and s = l, we obtain (3.3.4) $S_1 = \{D_{(k-1)}x(\log x)^{k-1} + D_{(k-2)}x(\log x)^{k-2} + \dots + D_{(0)}x\}$ $\times ((\zeta(l))^{-1} + O((\rho z)^{1-l}\delta(\rho z)))$

$$\begin{aligned} &-lx \left(D_{(k-1)} \binom{k-1}{1} (\log x)^{k-2} + D_{(k-2)} \binom{k-2}{1} (\log x)^{k-3} + \cdots \right) \\ &\times (-\eta^{(1)}(l) + O((\varrho z)^{1-l} \delta(\varrho z) \log(\varrho z))) \\ &+ l^2 x \left(D_{(k-1)} \binom{k-1}{2} (\log x)^{k-3} + D_{(k-2)} \binom{k-2}{2} (\log x)^{k-4} + \cdots \right) \\ &\times (\eta^{(2)}(l) + O((\varrho z)^{1-l} \delta(\varrho z) (\log(\varrho z))^2)) \\ &- \cdots + (-1)^{k-1} D_{(k-1)} l^{k-1} x ((-1)^{k-1} \eta^{(k-1)}(l) \\ &+ O((\varrho z)^{1-l} \delta(\varrho z) (\log(\varrho z))^{k-1})) + \sum_{n \le \varrho z} \mu(n) \Delta_k(xn^{-l}) \\ &= M_{k,l}(x) + O(x(\varrho z)^{1-l} \delta(\varrho z) (\log x)^{k-1}) + \sum_{n \le \varrho z} \mu(n) \Delta_k(xn^{-l}). \end{aligned}$$

We find that

(3.3.5)
$$S_{2} = \sum_{\substack{n^{l}r \leq x \\ r \leq \varrho^{-l}}} \mu(n)d_{k}(r) = \sum_{r \leq \varrho^{-l}} d_{k}(r) \sum_{n \leq (x/r)^{1/l}} \mu(n)$$
$$= \sum_{r \leq \varrho^{-l}} d_{k}(r)M((x/r)^{1/l})$$
$$\ll x^{1/l} \sum_{r \leq \varrho^{-l}} d_{k}(r)r^{-1/l} \left(\delta\left(\left(\frac{x}{r}\right)^{1/l}\right)\right)$$
$$\ll x^{1/l} \varrho^{1-l}\delta(\varrho z)(\log(\varrho^{-l}))^{C_{k}},$$

since $\left(\frac{x}{r}\right)^{1/l} > \varrho z$, δ is decreasing, $\delta\left(\left(\frac{x}{r}\right)^{1/l}\right) \le \delta(\varrho z)$, and

$$\sum_{r \le \varrho^{-l}} d_k(r) r^{-1/l} = \sum_{r \le \varrho^{-l}} \frac{d_k(r)}{r} r^{1-1/l} \ll \varrho^{1-l} (\log(\varrho^{-l}))^{C_k}.$$

We also notice that

$$(3.3.6) S_3 = \sum_{\substack{n \le \varrho z \\ r \le \varrho^{-l}}} \mu(n) d_k(r) = \sum_{r \le \varrho^{-l}} d_k(r) M(\varrho z) \\ \ll \varrho^{-l} (\log(\varrho^{-l}))^{C'_k} (\varrho z) \delta(\varrho z) \\ \ll x^{1/l} \varrho^{1-l} \delta(\varrho z) (\log(\varrho^{-l}))^{C'_k} \end{cases}$$

for $z = x^{1/l}$. Now the lemma follows from (3.3.2) and (3.3.4)–(3.3.6).

4. Proof of the theorems

Proof of Theorem 1. We choose $\rho = 1/10$ and note that $z = x^{1/l}$. Therefore (from Lemma 3.3 and from the definition (1.10)), we obtain

$$(4.1) \quad E_{k,l}(x) \\ \ll_l \sum_{n \le z/10} \left| \Delta_k \left(\frac{x}{n^l} \right) \right| + x^{1/l} \delta \left(\frac{z}{10} \right) (\log x)^{C_k} \\ \ll_l \sum_{n \le z/10} \left(\frac{x}{n^l} \right)^{\alpha_k + \varepsilon} + x^{1/l} \delta \left(\frac{z}{10} \right) (\log x)^{C_k} \\ \ll_l \left\{ \begin{aligned} x^{\alpha_k + 2\varepsilon} + x^{1/l} \delta(z/10) (\log x)^{C_k} & \text{if } l\alpha_k \ge 1 - l\varepsilon, \\ x^{\alpha_k + \varepsilon} (z/10)^{1 - l\alpha_k - l\varepsilon} + x^{1/l} \delta(z/10) (\log x)^{C_k} & \text{if } l\alpha_k < 1 - l\varepsilon \\ \ll_{l,\varepsilon} \left\{ \begin{aligned} x^{\alpha_k + 2\varepsilon} & \text{if } l\alpha_k \ge 1 - l\varepsilon, \\ x^{1/l} & \text{if } l\alpha_k < 1 - l\varepsilon, \end{aligned} \right.$$

since, for $x \ge e^{le^l}$, we note that

$$x^{1/l}\delta\left(\frac{z}{10}\right)(\log x)^{C_k} = x^{1/l}\delta\left(\frac{x^{1/l}}{10}\right)(\log x)^{C_k} \\ \ll x^{1/l}\exp(-C(\log x)^{3/5}(\log\log x)^{-1/5}).$$

This proves Theorem 1. \blacksquare

Proof of Theorem 2. We choose here $\rho = (\delta(x^{1/l}))^{1/10}$ and note that $z = x^{1/l}$. Set

$$f(x) := \varrho z = x^{1/l} ((\delta(x^{1/l}))^{1/10}).$$

From Lemma 3.3, we have

(4.2)
$$E_{k,l}(x) \ll \sum_{\substack{n \le \varrho x^{1/l} \\ \ll E_1 + E_2}} \left| \Delta_k \left(\frac{x}{n^l} \right) \right| + x^{1/l} \varrho^{1-l} \delta(\varrho x^{1/l}) \left(\log \left(\max \left(\frac{1}{\varrho}, x \right) \right) \right)^{C_k}$$

Without loss of generality the constant A in (1.12) can be taken to be < 1. Note that $x \ge e^{le^l}$. Now, we observe that

(4.3)
$$f(x) := \varrho z = x^{1/l} ((\delta(x^{1/l}))^{1/10}) \ge x^{1/2l}$$

if $x \ge e^{l^{1/2}}$; but we have already assumed that $x \ge e^{le^l}$. Since the function δ is decreasing, we find that

$$\delta(\varrho z) \le \delta(x^{1/2l}).$$

Note that

(4.4)
$$\varrho^{1-l}\delta(\varrho z) \le \varrho^{-1}\delta(\varrho z) \le \delta(x^{1/2l})(\delta(x^{1/l}))^{-1/10}$$

$$= \exp(-A(\log(x^{1/2l}))^{3/5}(\log\log(x^{1/2l}))^{-1/5}) \\ \times \exp\left(\frac{A}{10}(\log(x^{1/l}))^{3/5}(\log\log(x^{1/l}))^{-1/5}\right) \\ \le \exp(-C(\log x)^{3/5}(\log\log x)^{-1/5}),$$

provided $x \ge e^{l^2}$. Hence, clearly,

(4.5)
$$\frac{1}{Y} \int_{Y}^{21} E_2^2 dx \ll Y^{2/l} \exp(-C(\log Y)^{3/5} (\log \log Y)^{-1/5}).$$

We note that for $Y \leq x \leq 2Y$, we have $f(x) \leq f(2Y)$. Now,

$$(4.6) \quad I_1 := \int_Y^{2Y} E_1^2 dx = \int_Y^{2Y} \left(\sum_{n \le \varrho x^{1/l}} \left| \Delta_k \left(\frac{x}{n^l} \right) \right| \right)^2 dx$$
$$\ll \int_Y^{2Y} \left(\left| \Delta_k(x) \right| + \left| \Delta_k \left(\frac{x}{2^l} \right) \right| + \dots + \left| \Delta_k \left(\frac{x}{[f(x)]^l} \right) \right| \right)^2 dx$$
$$+ \int_Y^{2Y} \left(\frac{x}{[f(x)]^l} \right)^{2(\alpha_k + \varepsilon)} dx$$
$$\ll \int_Y^{2Y} \left(\left| \Delta_k(x) \right| + \left| \Delta_k \left(\frac{x}{2^l} \right) \right| + \dots + \left| \Delta_k \left(\frac{x}{[f(x)]^l} \right) \right| \right)^2 dx + Y^{1+10\varepsilon}.$$

We note that (for $Y \ge 100e^{le^l}$), (4.7) $(\delta((2Y)^{1/l}))^{(1/10)(1-l\beta_k-l\varepsilon/2)} \le \exp(-C(\log Y)^{3/5}(\log\log Y)^{-1/5}),$ provided $1 - l\beta_k - l\varepsilon/2 > 0.$

Therefore, from (4.6) and the Minkowski inequality (see item 200 of [6]), we get (using the inequality $(a+b)^{1/2} \leq a^{1/2} + b^{1/2}$ for $a \geq 0$ and $b \geq 0$ and the definition (1.11))

$$(4.8) \quad I_1^{1/2} \ll \sum_{n \le f(2Y)} \left\{ \int_Y^{2Y} \left(\Delta_k \left(\frac{x}{n^l} \right) \right)^2 dx \right\}^{1/2} + Y^{1/2 + 5\varepsilon} \\ \ll \sum_{n \le f(2Y)} n^{l/2} \left(\frac{Y}{n^l} \right)^{(1/2)(1 + 2\beta_k + \varepsilon)} + Y^{1/2 + 5\varepsilon} \\ \ll Y^{1/2 + \beta_k + \varepsilon/2} \sum_{n \le f(2Y)} n^{-l\beta_k - l\varepsilon/2} + Y^{1/2 + 5\varepsilon} \\ \ll \left\{ \frac{Y^{1/2 + \beta_k + \varepsilon} + Y^{1/2 + 5\varepsilon}}{Y^{1/2 + \beta_k + \varepsilon/2} (f(2Y))^{1 - l\beta_k - l\varepsilon/2} + Y^{1/2 + 5\varepsilon}} & \text{if } l\beta_k \ge 1 - l\varepsilon/2, \\ Y^{1/2 + \beta_k + \varepsilon} (f(2Y))^{1 - l\beta_k - l\varepsilon/2} + Y^{1/2 + 5\varepsilon} & \text{if } l\beta_k < 1 - l\varepsilon/2, \\ \ll \left\{ \frac{Y^{1/2 + \beta_k + \varepsilon}}{Y^{1/2 + \beta_k + \varepsilon}} & \text{if } l\beta_k \ge 1 - l\varepsilon/2, \\ Y^{1/2 + \beta_k + \varepsilon} & \text{if } l\beta_k \ge 1 - l\varepsilon/2, \\ Y^{1/2 + 1/l} \exp(-C(\log Y)^{3/5} (\log \log Y)^{-1/5}) & \text{if } l\beta_k < 1 - l\varepsilon/2. \end{cases}$$

Hence, we obtain

(4.9)
$$\frac{I_1}{Y} \ll \begin{cases} Y^{2\beta_k + 2\varepsilon} & \text{if } l\beta_k \ge 1 - l\varepsilon/2, \\ Y^{2/l} \exp(-C(\log Y)^{3/5} (\log \log Y)^{-1/5}) & \text{if } l\beta_k < 1 - l\varepsilon/2. \end{cases}$$

This proves Theorem 2. \blacksquare

REMARK. From the work of Heath-Brown (see [7] and [8]), we know that $\beta_4 = 3/8$. If we fix k = 4 and l = 2 in Theorem 2, then we find that $l\beta_4 = 3/4 < 1$, and hence the inequality (1.7) follows from Theorem 2.

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