

Quantitative results of algebraic independence and Baker's method

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1. Introduction. It is well known that the method of A. Baker gives the transcendence of numbers related to exponential functions (see Theorems 2.3 and 2.4 of [2]). The idea of using Baker's method to obtain results of algebraic independence seems due to M. Waldschmidt [18], who makes a hypothesis on the transcendence type of the underlying field. In 1976, G. V. Chudnovsky announced some results of algebraic independence (transcendence degree 2), which was repeated in Chapter 2 of [5]. The complete proof of these last results can be seen in [8], where G. Diaz removed the complicated arguments coming from Kummer's theory by using the zero estimate of P. Philippon [13]. The result was also obtained by R. Tubbs [17] as a corollary to the general theorem on algebraic groups. G.-L. Chen [4] generalized Chudnovsky's result for exponential families to the case of large transcendence degree.

In this paper we shall establish quantitative results of this type in a general setting, by using Ably's method [1], which includes Chen's result. The improvement with respect to [1] comes from the construction of an auxiliary function with derivations. Furthermore if \wp is a Weierstrass elliptic function with algebraic invariants, and if \wp has no complex multiplications, we shall show that $\deg \operatorname{tr}_{\mathbb{Q}} \mathbb{Q}(\wp(u), \wp(\beta u), \wp(\beta^2 u), \wp(\beta^3 u)) \geq 2$, where β is an algebraic number of degree 4 and u is a complex number such that $u \notin \mathbb{Q}(\beta)$.

2. Notations and definitions. Let G be a commutative algebraic group of dimension $d \geq 1$ defined over a number field K . Let \mathbb{G}_a denote the additive group of complex numbers and \mathbb{G}_m the multiplicative group of complex numbers. We suppose that G decomposes as $G = \mathbb{G}_a^{d_0} \times \mathbb{G}_m^{d_1} \times G_2$, where $d_0 \in \{0, 1\}$, $d_1 \geq 0$, and G_2 is a commutative algebraic group of dimension $d_2 = d - d_0 - d_1$, defined over K and with no linear factor. The group $G_2(\mathbb{C})$ of complex points of G_2 is a complex Lie group. Let $\psi : \mathbb{C} \rightarrow G_2(\mathbb{C})$ be an an-

alytic homomorphism whose tangent map at the origin $\text{Lie } \psi : \mathbb{C} \rightarrow T_{G_2}(\mathbb{C})$ is nontrivial, where $T_{G_2}(\mathbb{C})$ denotes the Lie algebra of G_2 , identified with the tangent space at the origin, and let $\exp_{G_2} : T_{G_2}(\mathbb{C}) \rightarrow G_2(\mathbb{C})$ be its exponential map. We have $\psi = \exp_{G_2} \circ \text{Lie } \psi$. Let $\chi_2 : G_2(\mathbb{C}) \rightarrow \mathbb{P}_N(\mathbb{C})$ be the K -embedding of G_2 into projective N -space, as described by J.-P. Serre in [16]. Then $\chi_2 \circ \exp_{G_2} : T_{G_2}(\mathbb{C}) \rightarrow \mathbb{P}_N(\mathbb{C})$ is given by analytic functions $\Theta_0, \dots, \Theta_N$ (say) with order of growth at most 2. Let χ be the natural K -embedding of $G(\mathbb{C})$ into $\mathbb{A}_{d_0}(\mathbb{C}) \times \mathbb{A}_{d_1}(\mathbb{C}) \times \mathbb{P}_N(\mathbb{C})$ associated to χ_2 , where $\mathbb{A}_d(\mathbb{C})$ denotes the set of complex points of the d -dimensional affine space \mathbb{A}_d .

Let x_1, \dots, x_{d_1} be complex numbers linearly independent over \mathbb{Q} , and $\varphi : \mathbb{C} \rightarrow G(\mathbb{C})$ the analytic homomorphism defined by

$$\varphi(z) = (z, \exp(x_1 z), \dots, \exp(x_{d_1} z), \psi(z)).$$

In the definition of φ , if $d_0 = 0$, $d_1 = 0$ or $d_2 = 0$, we omit the corresponding component(s). Then we have $\varphi = \exp_G \circ \text{Lie } \varphi$, where $\text{Lie } \varphi$ is the tangent map of φ at the origin.

For complex numbers y_1, \dots, y_m linearly independent over \mathbb{Q} , we put $Y = \mathbb{Z}y_1 + \dots + \mathbb{Z}y_m$ and $\Gamma = \varphi(Y)$. We put $\ell = \text{rank}_{\mathbb{Z}}(Y \cap \ker \varphi)$ and we suppose $\ell < m$, hence we may assume without loss of generality that $y_{m-\ell+1}, \dots, y_m \in \ker \varphi$. Let L be an arbitrary subfield of \mathbb{C} . Let $W \subseteq T_G(\mathbb{C})$ denote a \mathbb{C} -vector subspace of $T_G(\mathbb{C})$ of least dimension which is defined over L and which contains $\text{Lie } \varphi(\mathbb{C})$. Put $n = \dim_{\mathbb{C}} W$, and suppose $n \geq d_0 + 1$. Let π_0 and π_1 be the projections of G onto $\mathbb{G}_a^{d_0}$ and $\mathbb{G}_m^{d_1}$, respectively. Then we define the Dirichlet exponent μ^\sharp as in M. Waldschmidt [23] as follows:

$$\mu^\sharp = \mu^\sharp(\Gamma, G, W) = \min_{G' \subsetneq G} \frac{\eta + \delta_1 + 2\delta_2}{\delta - \nu},$$

where G' runs over all connected algebraic subgroups of G which are defined over K , with $G' \neq G$ and $\delta > \nu$, and where

$$\begin{aligned} \eta &= \text{rank}_{\mathbb{Z}} \Gamma / (\Gamma \cap G'), & \nu &= \dim_{\mathbb{C}} W / (W \cap T_{G'}(\mathbb{C})), & \delta &= \dim G / G', \\ \delta_0 &= \dim \mathbb{G}_a^{d_0} / \pi_0(G'), & \delta_1 &= \dim \mathbb{G}_m^{d_1} / \pi_1(G'), & \delta_2 &= \delta - \delta_0 - \delta_1. \end{aligned}$$

We also define

$$\kappa = \kappa(\mu^\sharp) = \frac{\mu^\sharp(d - n) - d_1 - 2d_2}{(1 - \ell/m)\mu^\sharp} + 1.$$

Let $\underline{a}_1, \dots, \underline{a}_n$ denote a fixed basis of W over \mathbb{C} such that all the components are in L , that is, $\underline{a}_p = (a_{1p}, \dots, a_{dp})$, $a_{hp} \in L$ ($h = 1, \dots, d$; $p = 1, \dots, n$). By a linear transformation, we may suppose that $\Theta_0(\text{Lie } \psi(y_j)) \neq 0$ for $1 \leq j \leq m - \ell$, and we put

$$\underline{\omega} = \left(a_{hp}, y_j, \exp(x_i y_j), \frac{\Theta_s(\text{Lie } \psi(y_j))}{\Theta_0(\text{Lie } \psi(y_j))}; h = 1, \dots, d; p = 1, \dots, n; \right. \\ \left. i = 1, \dots, d_1; j = 1, \dots, m - \ell; s = 0, \dots, N \right),$$

where as above, if $d_0 = 0$, $d_1 = 0$, or $d_2 = 0$, we omit the corresponding components.

Let I be an ideal of $K[X_1, \dots, X_t]$ and $\underline{\alpha}$ a point of \mathbb{C}^t . Recall the notions of the height and degree of I and of the absolute value of I at $\underline{\alpha}$, denoted by $\text{Ht}(I)$, $\text{Deg}(I)$ and $\|I\|_{\underline{\alpha}}$, respectively, which were defined by P. Philippon in [12]; we define the size of I by $T(I) = \log \text{Ht}(I) + \text{Deg}(I)$. For a polynomial $P \in K[X_1, \dots, X_t]$, we define the size of P by $t(P) = \max(1 + \deg P, h(P))$, where $h(P)$ denotes the height of P (see [12, définition 1.11]). For $\underline{\alpha} \in \mathbb{C}^t$ and a positive real number R , we define $B^t(\underline{\alpha}, R)$ to be the open ball with center $\underline{\alpha}$ and radius R .

DEFINITION. Let $\underline{\alpha} \in \mathbb{C}^t$. A function $\Phi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ is said to be a *measure of algebraic independence* of $\underline{\alpha}$ at dimension k if for every ideal J of $K[X_1, \dots, X_t]$ of codimension $t - k$ and size $T(J)$ sufficiently large, we have

$$\|J\|_{\underline{\alpha}} \geq \exp(-\Phi(T(J))).$$

For every algebraic subvariety V of $\mathbb{P} = \mathbb{P}_{d_0} \times \mathbb{P}_{d_1} \times \mathbb{P}_N$ and real numbers $D_0, D_1, D_2 > 0$, we define $H(V; D_0, D_1, D_2)$ as in [13] to be the homogeneous polynomial equal to $(\dim V)!$ times the homogeneous part of (maximal) degree $(= \dim V)$ of the Hilbert–Samuel polynomial of V evaluated at (D_0, D_1, D_2) .

Then we know from §3 of [13] that

$$(1) \quad H(G; D_0, D_1, D_2) = \frac{d! \deg G_2}{d_0! d_1! d_2!} D_0^{d_0} D_1^{d_1} D_2^{d_2},$$

and for a connected algebraic subgroup G' of G with $G' \neq G$,

$$(2) \quad H(G'; D_0, D_1, D_2) \geq \frac{(d - \delta)!}{(d_0 - \delta_0)!(d_1 - \delta_1)!(d_2 - \delta_2)!} D_0^{d_0 - \delta_0} D_1^{d_1 - \delta_1} D_2^{d_2 - \delta_2},$$

where $\delta = \dim G/G'$, $\delta_0 = \dim \mathbb{G}_a^{d_0}/\pi_0(G')$, $\delta_1 = \dim \mathbb{G}_m^{d_1}/\pi_1(G')$, and $\delta_2 = \delta - \delta_0 - \delta_1$.

In what follows, we denote by c_0, c_1, c_2, \dots real numbers depending only on $G, [K : \mathbb{Q}], \chi, x_1, \dots, x_{d_1}, y_1, \dots, y_m$, by c a real number sufficiently large with respect to c_1, c_2, \dots , and by S_0 a real number sufficiently large with respect to c .

For $\underline{t} = (t_1, \dots, t_m) \in (\mathbb{N} \cup \{0\})^m$, we put $|\underline{t}| = t_1 + \dots + t_m$. Further for $\underline{h} = (h_1, \dots, h_m) \in \mathbb{Z}^m$, we put $\|\underline{h}\| = \max_{1 \leq i \leq m} |h_i|$ and $\underline{h} \cdot \underline{y} = h_1 y_1 + \dots + h_m y_m$; and for real $S > 0$, we put

$$\begin{aligned}
 Y^\pm(S) &= \{\underline{h} \cdot \underline{y}; (h_1, \dots, h_m) \in \mathbb{Z}^m, |h_i| < S, 1 \leq i \leq m\}, \\
 Y(S) &= \{\underline{h} \cdot \underline{y}; (h_1, \dots, h_m) \in \mathbb{Z}^m, 0 \leq h_i < S, 1 \leq i \leq m\}, \\
 \Gamma(S) &= \varphi(Y(S)), \\
 \mathbb{Z}^m(S) &= \{\underline{h} \in \mathbb{Z}^m; |h_i| < S, 1 \leq i \leq m\}, \\
 \mathbb{N}^m(S) &= \{\underline{h} \in \mathbb{N}^m; 0 \leq h_i < S, 1 \leq i \leq m\}.
 \end{aligned}$$

As in [1], we put

$$\begin{aligned}
 A(S, G') &= \left(\frac{1}{c} (S^{\mu^\sharp} (\log S)^{a-1})^\nu \text{card}((\Gamma(S) + G')/G') \right. \\
 &\quad \left. \times \frac{H(G'; S^{\mu^\sharp} (\log S)^{-1}, S^{\mu^\sharp-1}, S^{\mu^\sharp-2})}{H(G; S^{\mu^\sharp} (\log S)^{-1}, S^{\mu^\sharp-1}, S^{\mu^\sharp-2})} \right)^{1/\delta}
 \end{aligned}$$

for $S \geq S_0$ and a connected algebraic subgroup G' of G with $G' \neq G$, where a denotes a constant chosen below. It is clear that $\text{card}((\Gamma(S) + G')/G') \geq S^\eta$. We see from (1) and (2), and the definition of μ^\sharp that

$$A(S, G') \geq \left(\frac{1}{c} \right)^{1/\delta} (\log S)^{((a-1)\nu + \delta_0)/\delta}$$

for all connected algebraic subgroups $G' \subsetneq G$. We put

$$A(S) = \min_{G' \subsetneq G} A(S, G'),$$

where G' runs over all connected algebraic subgroups of G with $G' \neq G$, and we also put $B(S) = \min\{A(S), c^{-1}(\log S)^{((a-1)n+d_0)/d}\}$.

We introduce the following parameters:

$$\begin{aligned}
 \bar{D}_0(S) &= \begin{cases} S^{\mu^\sharp} (\log S)^{-1} B(S) & \text{if } d_0 \neq 0, \\ 1 & \text{otherwise,} \end{cases} \\
 \bar{D}_1(S) &= \begin{cases} S^{\mu^\sharp-1} B(S) & \text{if } d_1 \neq 0, \\ 1 & \text{otherwise,} \end{cases} \\
 \bar{D}_2(S) &= \begin{cases} S^{\mu^\sharp-2} B(S) & \text{if } d_2 \neq 0, \\ 1 & \text{otherwise,} \end{cases}
 \end{aligned}$$

and we put $D_0(S) = [\bar{D}_0(S)]$, $D_1(S) = [\bar{D}_1(S)]$, $D_2(S) = [\bar{D}_2(S)]$, where $[\xi]$ denotes the integral part of a real number ξ . Note that if $\mu^\sharp > i$ for $i = 0, 1, 2$, then we have $D_i(S) \geq 1$ for all $S \geq S_0$.

As in [13], if G is K -embedded in $\mathbb{P} = \mathbb{P}_{d_0} \times \mathbb{P}_{d_1} \times \mathbb{P}_N$, we say that a connected algebraic subgroup G' of G is *incompletely defined in G by equations of multi-degree $\leq (D_0, D_1, D_2)$* if G' is an irreducible component of $G \cap Z(I)$, where $Z(I) \subset \mathbb{P}$ denotes the set of common zeros of an ideal I of $K[\mathbb{P}]$ generated by polynomials of multi-degree $\leq (D_0, D_1, D_2)$. We fix a norm $\|\cdot\|$ on $T_G(\mathbb{C})$.

We put

$$S_1 = c^{d+1}(S_0 + 1)^{m/(\kappa-1)\mu^\sharp}, \quad \Delta(S) = S^{\mu^\sharp}(\log S)^a, \quad \varrho(S) = S^{\kappa\mu^\sharp}(\log S)^a,$$

where a is the same constant as above. Now we impose the following technical hypothesis which is similar to (H) of [1]:

(H_A) There exist positive constants c'_0 and S_0 such that for all $S \geq S_0$ and for all connected algebraic subgroups G' of G with $G' \subsetneq G \subset \mathbb{P}_{d_0} \times \mathbb{P}_{d_1} \times \mathbb{P}_{d_2}$, incompletely defined in G by equations of multi-degree $\leq (\bar{D}_0(S), \bar{D}_1(S), 2\bar{D}_2(S))$, and for all $y \in Y^\pm(S)$, we have

- (i) if $y \neq 0$, then $|y| \geq \exp(-c'_0 S \log S)$,
- (ii) either $\varphi(y) \in G'(\mathbb{C})$ or for all $u \in T_G(\mathbb{C})$ such that $\exp_G(u) \in G'(\mathbb{C})$,

$$\|u - \text{Lie } \varphi(y)\| \geq \exp(-\varrho(S)).$$

Let $\theta_1, \dots, \theta_q, \theta_{q+1}$ be complex numbers such that $\theta_1, \dots, \theta_q$ are algebraic independent over \mathbb{Q} , θ_{q+1} integral over $\mathbb{Z}[\theta_1, \dots, \theta_q]$ and $K(\underline{\omega}) = \mathbb{Q}(\theta_1, \dots, \theta_{q+1})$. Then the components of $\underline{\omega}$ can be written in the following forms:

$$\begin{aligned} a_{hp} &= \frac{A_{hp}(\theta_1, \dots, \theta_{q+1})}{Q(\theta_1, \dots, \theta_q)} & (h = 1, \dots, d; p = 1, \dots, n), \\ y_j &= \frac{B_j(\theta_1, \dots, \theta_{q+1})}{Q(\theta_1, \dots, \theta_q)} & (j = 1, \dots, m - \ell), \\ e^{x_i y_j} &= \frac{C_{ij}(\theta_1, \dots, \theta_{q+1})}{Q(\theta_1, \dots, \theta_q)} & (i = 1, \dots, d_1; j = 1, \dots, m - \ell), \\ \frac{\Theta_s(\text{Lie } \psi(y_j))}{\Theta_0(\text{Lie } \psi(y_j))} &= \frac{D_{sj}(\theta_1, \dots, \theta_{q+1})}{Q(\theta_1, \dots, \theta_q)} & (s = 1, \dots, N; j = 1, \dots, m - \ell), \end{aligned}$$

where $A_{hp}, B_j, C_{ij}, D_{sj}$ and Q are polynomials with coefficients in \mathbb{Z} .

Let $R(\theta_1, \dots, \theta_q, X) \in \mathbb{Z}[\theta_1, \dots, \theta_q][X]$ be the minimal polynomial of θ_{q+1} over $\mathbb{Z}[\theta_1, \dots, \theta_q]$. Let $\underline{\theta} = (\theta_1, \dots, \theta_q) \in B^q(\underline{\theta}, \exp(-c\varrho(S)))$. By using the semi-resultant of Chudnovsky, there exists a simple zero $\tilde{\theta}_{q+1}$ of $R(\tilde{\theta}_1, \dots, \tilde{\theta}_q, X)$ such that $|\tilde{\theta}_{q+1} - \theta_{q+1}| \leq \exp(-(c/2)\varrho(S))$ (cf. [21, p. 263]); and we use the same notation as above for the vector $(\tilde{\theta}_1, \dots, \tilde{\theta}_{q+1})$, i.e., $\tilde{\underline{\theta}} = (\tilde{\theta}_1, \dots, \tilde{\theta}_{q+1})$.

For any $\tilde{\underline{\theta}} = (\tilde{\theta}_1, \dots, \tilde{\theta}_{q+1})$ with $\tilde{\underline{\theta}} \in B^q(\underline{\theta}, \exp(-c\varrho(S)))$, let \tilde{a}_{hp} denote the fractions resulting when all θ_i in a_{hp} are replaced by $\tilde{\theta}_i$ ($i = 1, \dots, q+1$). Put $\tilde{W} := \mathbb{C}\tilde{a}_1 + \dots + \mathbb{C}\tilde{a}_n$, where $\tilde{a}_p = (\tilde{a}_{1p}, \dots, \tilde{a}_{dp})$ ($p = 1, \dots, n$). Now we shall impose the second hypothesis:

(H_B) For all $S \geq S_1$, for all $\tilde{\underline{\theta}} = (\tilde{\theta}_1, \dots, \tilde{\theta}_q) \in B^q(\underline{\theta}, \exp(-c\varrho(S)))$ and for all connected algebraic subgroups G' of G with $G' \neq G$, incom-

pletely defined by equations of multi-degree $\leq (\bar{D}_0, \bar{D}_1, 2\bar{D}_2)$, the following inequality holds:

$$\text{codim}_{\widetilde{W}}(\widetilde{W} \cap T_{G'}(\mathbb{C})) \geq \text{codim}_W(W \cap T_{G'}(\mathbb{C})).$$

3. The main result and corollaries. We shall prove the following theorem.

THEOREM. *Suppose that hypotheses (H_A) and (H_B) are satisfied and that $\kappa > 1$, and if G is nonlinear, then also $\mu^\sharp > 2$. Let k be an integer ≥ 0 such that $\kappa \geq k + 1$. Then there exists a real number*

$$c_1 = c_1(G, \chi, \varphi, [K : \mathbb{Q}], L, x_1, \dots, x_{d_1}, y_1, \dots, y_m, k) > 0$$

such that

(i) if $\kappa = k + 1$, the function

$$\Phi_1(T) = \exp(c_1 T^{\frac{d-n}{(\kappa-1)(n-d_0)}}$$

is a measure of algebraic independence of $\underline{\omega}$ at dimension k ,

(ii) if $\kappa > k + 1$, the function

$$\Phi_2(T) = c_1 \left(\frac{T}{(\log T)^{\frac{(k+1)(\kappa-1)(n-d_0)}{(d-n)\kappa}}} \right)^{\kappa/(\kappa-k-1)}$$

is a measure of algebraic independence of $\underline{\omega}$ at dimension k .

COROLLARY 1. *Under the assumptions of the Theorem, we have*

$$\text{deg tr}_{\mathbb{Q}} K(\underline{\omega}) \geq [\kappa].$$

REMARK. We shall compare our result with Ably’s in the special case that the Dirichlet exponent μ^\sharp attains its minimum when $G' = \{0\}$, where G' is a connected algebraic subgroup of G with $G' \neq G$, and furthermore we suppose $\ell = 0$, since otherwise this is complicated. To avoid confusion, we shall denote the quantities μ^\sharp and κ by $\mu^\sharp(A)$ and $\kappa(A)$ in Ably’s case, and by $\mu^\sharp(T)$ and $\kappa(T)$ in our case. Then under the above assumption, we have

$$\begin{aligned} \mu^\sharp(A) &= (m + d_1 + 2d_2)/d, & \kappa(A) &= dm/(m + d_1 + 2d_2), \\ \mu^\sharp(T) &= (m + d_1 + 2d_2)/(d - n), & \kappa(T) &= 1 + (d - n)m/(m + d_1 + 2d_2). \end{aligned}$$

Hence if $[\kappa(T)] > [\kappa(A)]$, our result is better than Ably’s, and otherwise the latter is better. However, one must bear in mind that our result requires some superfluous assumptions.

Now we shall state some corollaries derived from our Theorem. Let z_1, \dots, z_t be complex numbers and L an arbitrary subfield of \mathbb{C} . Let s denote the number of elements among $\{z_1, \dots, z_t\}$ linearly independent over L . For

simplicity, we shall assume that z_1, \dots, z_s (say) are linearly independent over L , and so we can write $z_h = \sum_{p=1}^s a_{hp}z_p$, $a_{hp} \in L$ ($h = 1, \dots, t$).

Then we shall need the following definition similar to that of Chen [4].

DEFINITION. Let α be a positive number and τ an irrational number. We say that a family $\{z_1, \dots, z_t\}$ of complex numbers satisfies *hypothesis* $H(L, \mathbb{Z}; \alpha)$ (resp. $H(L, \mathbb{Z} + \tau\mathbb{Z}; \alpha)$) if there exist positive constants c_* and N_* such that for all integers $N \geq N_*$, all integers k with $1 \leq k \leq s$ and all $\lambda_{i_1 \dots i_k} \in \mathbb{Z}$ (resp. $\lambda_{i_1, \dots, i_k} \in \mathbb{Z} + \tau\mathbb{Z}$) ($1 \leq i_1 < \dots < i_k \leq t$) satisfying $|\lambda_{i_1 \dots i_k}| \leq N$, we have either

$$\max_{1 \leq j_1 < \dots < j_k \leq s} \left| \sum_{1 \leq i_1 < \dots < i_k \leq t} \lambda_{i_1 \dots i_k} \det(a_{i_u j_v})_{1 \leq u, v \leq k} \right| = 0,$$

or

$$\max_{1 \leq j_1 < \dots < j_k \leq s} \left| \sum_{1 \leq i_1 < \dots < i_k \leq t} \lambda_{i_1 \dots i_k} \det(a_{i_u j_v})_{1 \leq u, v \leq k} \right| \geq \exp(-c_* N^\alpha).$$

Algebraic independence of values of the exponential function. Let x_1, \dots, x_{d_1} (resp. y_1, \dots, y_m) be \mathbb{Q} -linearly independent complex numbers. Let L be an arbitrary subfield of \mathbb{C} . Let r (resp. $r + 1$) denote the number of elements among $\{x_1, \dots, x_{d_1}\}$ (resp. $\{1, x_1, \dots, x_{d_1}\}$) linearly independent over L . As above, for brevity, we shall assume that $\{x_1, \dots, x_r\}$ (resp. $\{1, x_1, \dots, x_r\}$) are linearly independent over L , and hence $x_h = \sum_{p=1}^r a_{hp}x_p$ ($h = 1, \dots, d_1$) (resp. $x_h = \sum_{p=0}^r a_{hp}x_p$ ($h = 0, \dots, d_1$), $x_0 := 1$). Put

$$\begin{aligned} \kappa_1 &= (d_1 - r)m / (m + d_1) + 1, \quad \mu_1^\sharp = (m + d_1) / (d_1 - r), \quad \varrho_1 = (m + d_1) / mr, \\ \underline{\omega}^{(1)} &= (a_{hp}, e^{x_i y_j}; h = 1, \dots, d_1, p = 1, \dots, r, i = 1, \dots, d_1, j = 1, \dots, m), \\ \underline{\omega}^{(2)} &= (a_{hp}, y_j, e^{x_i y_j}; h = 0, \dots, d_1, p = 0, \dots, r, i = 1, \dots, d_1, j = 1, \dots, m). \end{aligned}$$

We consider the following technical hypothesis.

(H₁) There exist $c'_1, S'_1 > 0$ such that for all $S \geq S'_1$ and for all $\underline{\lambda} = (\lambda_1, \dots, \lambda_{d_1})$ not all zero in \mathbb{Z}^n satisfying $\|\underline{\lambda}\| \leq S$ (resp. for all $\underline{h} = (h_1, \dots, h_m)$ not all zero in \mathbb{Z}^m satisfying $\|\underline{h}\| \leq S$), we have

$$\left| \sum_{i=1}^{d_1} \lambda_i x_i \right| \geq \exp(-S^{(\mu_1^\sharp + m) / (2\mu_1^\sharp - 1)}),$$

resp.

$$\left| \sum_{j=1}^m h_j y_j \right| \geq \max(\exp(-c'_1 S \log S), \exp(-S^{(\mu_1^\sharp + m) / (\mu_1^\sharp + 1)})).$$

COROLLARY 2. Let $\sigma = 1$ or 2 . Suppose that $\kappa_1 > 1$ and that hypotheses (H₁) and $H(L, \mathbb{Z}; (\mu_1^\sharp + m) / d_1 (\mu_1^\sharp - 1))$ hold. Let k be an integer such that $\kappa_1 \geq k + 1$. Then there exists a real number $c_1 = c_1(x_1, \dots, x_{d_1}, y_1, \dots, y_m, L, k) > 0$ such that

- (i) if $\kappa_1 = k + 1$, the function $\Phi_1(T) = \exp(c_1 T^{\varrho_1})$ is a measure of algebraic independence of $\underline{\omega}^{(\sigma)}$ at dimension k ,
- (ii) if $\kappa_1 > k + 1$, the function $\Phi_2(T) = c_1(T/(\log T))^{(k+1)/\kappa_1\varrho_1} \kappa_1/(\kappa_1-k-1)$ is a measure of algebraic independence of $\underline{\omega}^{(\sigma)}$ at dimension k .

COROLLARY 3 (see Chen [4]). Under the assumptions of Corollary 2, we have

$$\deg \operatorname{tr}_{\mathbb{Q}} \mathbb{Q}(\underline{\omega}^{(\sigma)}) \geq [\kappa_1] \quad (\sigma = 1, 2).$$

COROLLARY 4. Let $\alpha \neq 0, 1$ and β be algebraic numbers with $\deg(\beta) = 5$. Then

$$\deg \operatorname{tr}_{\mathbb{Q}} \mathbb{Q}(\alpha^\beta, \alpha^{\beta^2}, \alpha^{\beta^3}, \alpha^{\beta^4}) \geq 3.$$

Algebraic independence of values of a Weierstrass elliptic function. We shall deduce from our Theorem the elliptic analogue of the preceding results. Let \wp be a Weierstrass elliptic function with algebraic invariants g_2 and g_3 , Ω the lattice of periods, and ω_1, ω_2 a fixed basis for Ω . We put $\tau = \omega_2/\omega_1$. Let \mathbb{F} be the field of multiplications of \wp , and $O(\mathbb{F})$ the ring of integers of \mathbb{F} . Let x_1, \dots, x_{d_1} (resp. y_1, \dots, y_m) be \mathbb{F} -linearly independent complex numbers. We suppose that $2m \leq md_1 - 1$ if \wp has complex multiplications, and $2m \leq md_1 + d_1 - 3$ otherwise. Let L be an arbitrary subfield of \mathbb{C} . Let r (resp. $r + 1$) denote the number of elements among $\{x_1, \dots, x_{d_1}\}$ (resp. $\{1, x_1, \dots, x_{d_1}\}$) linearly independent over L , and let a_{hp} be as in the exponential case. We put

$$\begin{aligned} \kappa_2 &= [\mathbb{F} : \mathbb{Q}](d_1 - r)m / ([\mathbb{F} : \mathbb{Q}]m + 2d_1) + 1, & \mu_2^\sharp &= ([\mathbb{F} : \mathbb{Q}]m + 2d_1) / (d_1 - r), \\ \varrho_2 &= ([\mathbb{F} : \mathbb{Q}]m + 2d_1) / rm, \\ \underline{\omega}^{(3)} &= (a_{hp}, \wp(x_i y_j); h = 1, \dots, d_1, p = 1, \dots, r, i = 1, \dots, d_1, j = 1, \dots, m, \\ & & & x_i y_j \notin \Omega), \\ \underline{\omega}^{(4)} &= (a_{hp}, y_j, \wp(x_i y_j); h = 0, \dots, d_1, p = 0, \dots, r, i = 1, \dots, d_1, \\ & & & j = 1, \dots, m, x_i y_j \notin \Omega). \end{aligned}$$

We consider the following technical hypothesis.

- (H₂) There exist $c'_2, S'_2 > 0$ such that for all $S \geq S'_2$ and for all $\underline{\lambda} = (\lambda_1, \dots, \lambda_{d_1})$ not all zero in $(O(\mathbb{F}))^{d_1}$ satisfying $\|\underline{\lambda}\| \leq S$ (resp. for all $\underline{h} = (h_1, \dots, h_m)$ not all zero in $(O(\mathbb{F}))^m$ satisfying $\|\underline{h}\| \leq S$), we have

$$\left| \sum_{i=1}^{d_1} \lambda_i x_i \right| \geq \exp(-S^{([\mathbb{F}:\mathbb{Q}]m + \mu_2^\sharp) / 18\mu_2^\sharp}),$$

resp.

$$\left| \sum_{j=1}^m h_j y_j \right| \geq \max(\exp(-c'_2 S \log S), \exp(-S^{([\mathbb{F}:\mathbb{Q}]m + \mu_2^\sharp) / 4\mu_2^\sharp})).$$

COROLLARY 5. *Let $\sigma = 3$ or 4 . Suppose that $\kappa_2 > 1$ and that hypotheses (H_2) and $H(L, \mathbb{Z} + \mathbb{Z}\tau; ([\mathbb{F} : \mathbb{Q}]m + \mu_2^\sharp)/2d_1(1 + \log d_1)(\mu_2^\sharp - 2))$ are satisfied. Let k be an integer such that $\kappa_2 \geq k + 1$. Then there exists a real number $c_1 = c_1(x_1, \dots, x_{d_1}, y_1, \dots, y_m, k, L) > 0$ such that*

- (i) *if $\kappa_2 = k + 1$, the function $\Phi_1(T) = \exp(c_1 T^{\varrho_2})$ is a measure of algebraic independence of $\underline{\omega}^{(\sigma)}$ at dimension k ,*
- (ii) *if $\kappa_2 > k + 1$, the function $\Phi_2(T) = c_1(T/(\log T))^{(k+1)/\kappa_2 \varrho_2} \kappa_2/(\kappa_2 - k - 1)$ is a measure of algebraic independence of $\underline{\omega}^{(\sigma)}$ at dimension k .*

COROLLARY 6. *Under the assumptions of Corollary 5, we have*

$$\deg \operatorname{tr}_{\mathbb{Q}} \mathbb{Q}(\underline{\omega}^{(\sigma)}) \geq [\kappa_2] \quad (\sigma = 3, 4).$$

COROLLARY 7. *Let \wp be a Weierstrass elliptic function with algebraic invariants. Let E be the elliptic curve associated to \wp . Let β be an algebraic number of degree $\delta \geq 2$ over \mathbb{F} and u a complex number such that $\wp(u), \wp(\beta u), \dots, \wp(\beta^{\delta-1}u)$ are defined and $u \notin \mathbb{Q}(\beta)$. Suppose that $\delta > 2/[\mathbb{F} : \mathbb{Q}]$. Then:*

- (i) *if \wp has no complex multiplications ($[\mathbb{F} : \mathbb{Q}] = 1$) and $\delta > 2$, we have*

$$\deg \operatorname{tr}_{\mathbb{Q}} \mathbb{Q}(\wp(u), \wp(\beta u), \dots, \wp(\beta^{\delta-1}u)) \geq \left\lfloor \frac{\delta + 2}{3} \right\rfloor,$$

- (ii) *if \wp has complex multiplications ($[\mathbb{F} : \mathbb{Q}] = 2$) and $\delta \geq 2$, we have*

$$\deg \operatorname{tr}_{\mathbb{Q}} \mathbb{Q}(\wp(u), \wp(\beta u), \dots, \wp(\beta^{\delta-1}u)) \geq \left\lfloor \frac{\delta + 1}{2} \right\rfloor.$$

REMARK. In Corollary 7, if \wp has no complex multiplications and $\deg(\beta) = 4$, we have $\deg \operatorname{tr}_{\mathbb{Q}} \mathbb{Q}(\wp(u), \wp(\beta u), \wp(\beta^2 u), \wp(\beta^3 u)) \geq 2$.

4. Propositions. We shall use the notations of §2. For every j with $1 \leq j \leq m - \ell$, a point $\gamma_j = \varphi(y_j) = \exp_G(\operatorname{Lie} \varphi(y_j))$ has projective coordinates

$$(3) \quad (Q(\underline{\theta}), B_j(\underline{\theta}), Q(\underline{\theta}), C_{1j}(\underline{\theta}), \dots, C_{d_1,j}(\underline{\theta}), Q(\underline{\theta}), D_{1j}(\underline{\theta}), \dots, D_{N_j}(\underline{\theta}))$$

in $\mathbb{P}_{d_0}(\mathbb{C}) \times \mathbb{P}_{d_1}(\mathbb{C}) \times \mathbb{P}_N(\mathbb{C})$. For every j with $1 \leq j \leq m - \ell$, we let $\tilde{\gamma}_j$ be the point with multiprojective coordinates given by evaluating the coordinate polynomials of (3) at $\tilde{\theta}$. Then $\tilde{\gamma}_j \in G(\mathbb{C})$ (cf. [21, §5]). Further, for $j = 1, \dots, m - \ell$, there exists $\tilde{y}_j \in T_G(\mathbb{C})$ such that $\exp_G(\tilde{y}_j) = \tilde{\gamma}_j$ and $\|\operatorname{Lie} \varphi(y_j) - \tilde{y}_j\| \leq \exp(-c/2)\varrho(S)$, since \exp_G is a local diffeomorphism. Put $\tilde{Y} = \mathbb{Z}\tilde{y}_1 + \dots + \mathbb{Z}\tilde{y}_{m-\ell}$, $\tilde{\Gamma} = \exp_G(\tilde{Y})$; and for $\underline{h} = (h_1, \dots, h_m) \in \mathbb{Z}^m$, put $\underline{h} \cdot \underline{y} = h_1 y_1 + \dots + h_m y_m \in \mathbb{C}$ and $\underline{h} \cdot \tilde{y} = h_1 \tilde{y}_1 + \dots + h_{m-\ell} \tilde{y}_{m-\ell} \in T_G(\mathbb{C})$.

We identify $T_G(\mathbb{C})$ with $\mathbb{C}^{d_0} \oplus \mathbb{C}^{d_1} \oplus \mathbb{C}^{d_2}$, and for $i = 0, 1, 2$ we denote by p_i the projection of $T_G(\mathbb{C})$ onto \mathbb{C}^{d_i} ; hence $\tilde{y} \in T_G(\mathbb{C})$ can be written as $\tilde{y} = p_0(\tilde{y}) + p_1(\tilde{y}) + p_2(\tilde{y})$.

We use the following criterion for algebraic independence:

PROPOSITION A ([1, p. 207]). Let $\underline{\alpha} = (\alpha_1, \dots, \alpha_t) \in \mathbb{C}^t$, $k \in \{0, \dots, t-1\}$, and K a number field. Let $u : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ be a continuous and strictly increasing function. Suppose that there exist $c_0 \geq 1$ and $N_0 > 0$ such that for every real $N \geq N_0$, there exists an ideal $I_N = (G_{N,1}, \dots, G_{N,m(N)})$ of $K[X_1, \dots, X_t]$ satisfying

- (i) the set of zeros of I_N in $B^t(\underline{\alpha}, \exp(-c_0 N^{k+1} u(N)))$ is empty,
- (ii) $\max_{1 \leq j \leq m(N)} |G_{N,j}(\underline{\alpha})| \leq \exp(-N^{k+1} u(N))$,
- (iii) $\max_{1 \leq j \leq m(N)} t(G_{N,j}) \leq N$.

Then if v denotes the inverse function of u , there exists $c_2 = c_2(c_0, t, k, [K : \mathbb{Q}]) > 0$ such that the function $\Phi(T) = c_2 T (v(c_2 T))^{k+1}$ is a measure of algebraic independence of $\underline{\alpha}$ at dimension k .

The proof of our Theorem will be established by combining the following result with Proposition A. Recall that the constant c occurred in the definition of $A(S, G')$.

PROPOSITION B. Suppose that hypotheses (H_A) and (H_B) are satisfied and that $\kappa > 1$, and $\mu^\sharp > 2$ if G is nonlinear. Then for all $S \geq S_1$ there exists an ideal $\mathcal{I}_S = (P_{S,1}, \dots, P_{S,m(S)})$ in $K[X_1, \dots, X_q]$ such that

- (i) the set of zeros of \mathcal{I}_S in $B^q(\underline{\theta}, \exp(-c\rho(S)))$ is empty,
- (ii) $\max_{1 \leq i \leq m(S)} |P_{S,i}(\underline{\theta})| \leq \exp(-c_3\rho(S))$,
- (iii) $\max_{1 \leq i \leq m(S)} t(P_{S,i}) \leq c_4\Delta(S)$.

5. Auxiliary lemmas. The proof of the following lemma is easy, and hence we shall omit it.

LEMMA 1. For every polynomial $P \in \mathbb{C}[X_1, \dots, X_t]$ and for any two points $\underline{z} = (z_1, \dots, z_t)$ and $\underline{z}' = (z'_1, \dots, z'_t)$ of \mathbb{C}^t satisfying $\max_{1 \leq i \leq t} |z_i - z'_i| \leq \varepsilon < 1$, we have

$$|P(\underline{z}) - P(\underline{z}')| \leq \varepsilon \exp(c't(P)),$$

where $c' > 1$ depends only on \underline{z} and t .

LEMMA 2. For all $\underline{h} \in \mathbb{Z}^m(S)$, there exist a finite set $\mathcal{B}_{\underline{h}}$ and a family $(u_i^\beta)_{\beta \in \mathcal{B}_{\underline{h}}, 0 \leq i \leq N}$ of polynomials in $q + 1$ variables with integral coefficients in K such that

- (i) $t(u_i^\beta) \leq c_5 S^2$,
- (ii) for every $\tilde{\underline{\theta}} \in B^q(\underline{\theta}, \exp(-c\rho(S)))$, there exists $\beta \in \mathcal{B}_{\underline{h}}$ such that $(u_0^\beta(\tilde{\underline{\theta}}), \dots, u_N^\beta(\tilde{\underline{\theta}}))$ is a system of projective coordinates of $\chi_2 \circ \exp_{G_2}(p_2(\underline{h} \cdot \tilde{\underline{y}}))$.

Proof. For the polynomials $(U_i^\beta)_{\beta \in \mathcal{B}_{\underline{h}}, 0 \leq i \leq N}$ in [1, lemme 2.2], put

$$u_i^\beta(\underline{Y}) = U_i^\beta(Q(\underline{Y}), D_{1,1}(\underline{Y}), \dots, D_{N,1}(\underline{Y}), \dots, Q(\underline{Y}), D_{1,m-\ell}(\underline{Y}), \dots, D_{N,m-\ell}(\underline{Y})).$$

LEMMA 3 ([19, Proposition 1.2.3]). *Suppose that $T_G(\mathbb{C})$ is identified with $\mathbb{C}^d = \{(z_1, \dots, z_d); z_i \in \mathbb{C}\}$. Let $\Theta_0, \dots, \Theta_N$ be as in §2. Then if $\Theta_j \neq 0$ ($0 \leq j \leq N$), there exist polynomials Q_{is} ($1 \leq i \leq d$, $0 \leq s \leq N$, $s \neq j$), depending on j , with coefficients in K such that*

$$\frac{\partial}{\partial z_i} \left(\frac{\Theta_s}{\Theta_j} \right) = Q_{is} \left(\frac{\Theta_0}{\Theta_j}, \dots, \frac{\Theta_{s-1}}{\Theta_j}, \frac{\Theta_{s+1}}{\Theta_j}, \dots, \frac{\Theta_N}{\Theta_j} \right).$$

6. Proof of Proposition B. In the preceding notation, recall $\underline{a}_1 = (a_{11}, \dots, a_{d1}), \dots, \underline{a}_n = (a_{1n}, \dots, a_{dn})$. Now we define n differential operators $D_{\underline{a}_1}, \dots, D_{\underline{a}_n}$ by

$$D_{\underline{a}_1} = \sum_{i=1}^d a_{i1} \frac{\partial}{\partial z_i}, \dots, D_{\underline{a}_n} = \sum_{i=1}^d a_{in} \frac{\partial}{\partial z_i}.$$

For $S \geq S_1$, we consider a finite set $\mathcal{B}_{\underline{h}}$ and a family (u_i^β) ($\beta \in \mathcal{B}_{\underline{h}}$, $0 \leq i \leq N$) of polynomials given in Lemma 2. Then the proof depends on the quantity $\max_{\beta \in \mathcal{B}_{\underline{h}}, 0 \leq i \leq N} |u_i^\beta(\underline{\theta})|$.

CASE 1: *There exists $\underline{h} \in \mathbb{Z}^m(S)$ such that*

$$\max_{\beta \in \mathcal{B}_{\underline{h}}, 0 \leq i \leq N} |u_i^\beta(\underline{\theta})| \leq \exp\left(-\frac{c}{5} \frac{\varrho(S)}{D_2(S) - 1}\right).$$

Since the proof of this case is as in Ably [1], we shall omit it.

CASE 2: *For all $\underline{h} \in \mathbb{Z}^m(S)$,*

$$\max_{\beta \in \mathcal{B}_{\underline{h}}, 0 \leq i \leq N} |u_i^\beta(\underline{\theta})| > \exp\left(-\frac{c}{5} \frac{\varrho(S)}{D_2(S) - 1}\right).$$

In a similar fashion to that of Ably [1], we divide the argument into several steps. In the first step, we shall construct an auxiliary function with many zeros, by Siegel's lemma and the estimation of rank in [14, lemme 6.7]. In the second step, we use the idea due to G. Diaz [7] to construct an ideal \mathcal{I}_S which takes "small values" at $\underline{\theta}$ by the extrapolation formula. In the third step, we appeal to P. Philippon's zero estimate [13] on algebraic groups to show that the variety of zeros of \mathcal{I}_S is locally empty.

STEP 1: *Construction of an auxiliary function.* For $S \geq S_1$, we put

$$M(S) = \left[\frac{1}{c^{d+1}} S^{(\kappa-1)\mu^\sharp/m} \right], \quad T(S) = [S^{\mu^\sharp} (\log S)^{a-1}].$$

Note that $M(S) \geq S_0$, since $S \geq S_1$, and that $M(S) < S$, because $(\kappa - 1)\mu^\sharp \leq m$ and c is sufficiently large. For simplicity, we write $D_0, D_1, D_2, A, B, M, \dots$ instead of $D_0(S), D_1(S), D_2(S), A(S), B(S), M(S), \dots$

Let E be a set of monic monomials in \underline{Z} with degree D_2 , linearly independent over $K(\underline{\theta})$ modulo the homogeneous ideal I of $K(\underline{\theta})[\underline{Z}]$ of polynomials which vanish on G_2 ; since the $K(\underline{\theta})$ -vector space of elements of $K(\underline{\theta})[\underline{Z}]/I$ of degree $D_2 - 1$ is of dimension $\geq c_6 D_2^{d_2}$, we can take E such that $\text{card } E \geq c_6 D_2^{d_2}$.

We consider the following polynomial:

$$P(X, \underline{Y}, \underline{Z}) = \sum_{\alpha \leq D_0 - 1} \sum_{|\underline{\beta}| \leq D_1 - 1} \sum_{\underline{Z}^\lambda \in E} P_{\alpha \underline{\beta} \underline{\lambda}}(\underline{\theta}) X^\alpha Y_1^{\beta_1} \cdots Y_{d_1}^{\beta_{d_1}} Z_0^{\lambda_0} \cdots Z_N^{\lambda_N},$$

where $\underline{\beta} = (\beta_1, \dots, \beta_{d_1})$, $\underline{\lambda} = (\lambda_0, \dots, \lambda_N)$, $|\underline{\beta}| = \beta_1 + \cdots + \beta_{d_1}$, $\underline{Z}^\lambda = Z_0^{\lambda_0} \cdots Z_N^{\lambda_N}$, and $P_{\alpha \underline{\beta} \underline{\lambda}} \in \mathbb{Z}[X_1, \dots, X_q]$ and $P_{\alpha \underline{\beta} \underline{\lambda}}(\underline{\theta}) = P_{\alpha \underline{\beta} \underline{\lambda}}(\theta_1, \dots, \theta_q)$.

We put $\underline{z} = (z_0, z_1, \dots, z_{d_1}, z_{d_1+1}, \dots, z_{d_1+d_2})$ and $\underline{z}' = (z_{d_1+1}, \dots, z_{d_1+d_2})$. Now we define a function $\Psi : \mathbb{C}^d \rightarrow \mathbb{C}^{2+d_1+N}$ by

$$\Psi(\underline{z}) = (z_0, e^{z_1}, \dots, e^{z_{d_1}}, \Theta_0(\underline{z}'), \dots, \Theta_N(\underline{z}')).$$

We put

$$F(\underline{z}) := P(\Psi(\underline{z})) = \sum_{\substack{\alpha \leq D_0 - 1 \\ |\underline{\beta}| \leq D_1 - 1 \\ \underline{Z}^\lambda \in E}} P_{\alpha \underline{\beta} \underline{\lambda}}(\underline{\theta}) z_0^\alpha \exp(\beta_1 z_1 + \cdots + \beta_{d_1} z_{d_1}) \times \Theta_0(\underline{z}')^{\lambda_0} \cdots \Theta_N(\underline{z}')^{\lambda_N}.$$

By Philippon [11], there exist polynomials A_0, \dots, A_N bihomogeneous in $(X_0, \dots, X_N; X'_0, \dots, X'_N)$ such that

$$\Theta_0(\underline{z}' + \underline{u}') = A_0(\Psi'(\underline{z}'); \Psi'(\underline{u}')), \dots, \Theta_N(\underline{z}' + \underline{u}') = A_N(\Psi'(\underline{z}'); \Psi'(\underline{u}'))$$

for \underline{z}' and \underline{u}' in \mathbb{C}^{d_2} , where $\Psi'(\underline{z}') = (\Theta_0(\underline{z}'), \dots, \Theta_N(\underline{z}'))$. Hence we have

$$F(\underline{z} + \underline{u}) = \sum_{\substack{\alpha \leq D_0 - 1 \\ |\underline{\beta}| \leq D_1 - 1 \\ \underline{Z}^\lambda \in E}} P_{\alpha \underline{\beta} \underline{\lambda}}(\underline{\theta}) (z_0 + u_0)^\alpha \exp(\beta_1(z_1 + u_1) + \cdots + \beta_{d_1}(z_{d_1} + u_{d_1})) \times A_0(\Psi'(\underline{z}'), \Psi'(\underline{u}'))^{\lambda_0} \cdots A_N(\Psi'(\underline{z}'), \Psi'(\underline{u}'))^{\lambda_N}$$

for $\underline{z} = (z_0, \dots, z_{d_1+d_2})$ and $\underline{u} = (u_0, \dots, u_{d_1+d_2})$. Then for $\underline{h} \in \mathbb{N}^m(S)$, we obtain

$$F(\underline{z} + \text{Lie } \varphi(\underline{h} \cdot \underline{y})) = \sum_{\substack{\alpha \leq D_0 - 1 \\ |\underline{\beta}| \leq D_1 - 1 \\ \underline{Z}^\lambda \in E}} P_{\alpha \underline{\beta} \underline{\lambda}}(\underline{\theta}) R_{\alpha \underline{\beta} \underline{\lambda}}(\Psi(\underline{z}); \Psi(\text{Lie } \varphi(\underline{h} \cdot \underline{y}))),$$

where $R_{\alpha \underline{\beta} \underline{\lambda}}(X, \underline{Y}, \underline{Z}; X', \underline{Y}', \underline{Z}')$ is a polynomial in $(X, \underline{Y}, \underline{Z}; X', \underline{Y}', \underline{Z}')$ with $\text{deg}_{X, X'} R_{\alpha \underline{\beta} \underline{\lambda}} \leq \alpha \leq D_0 - 1$, $\text{deg}_{\underline{Y}, \underline{Y}'} R_{\alpha \underline{\beta} \underline{\lambda}} \leq |\underline{\beta}| \leq D_1 - 1$, and $\text{deg}_{\underline{Z}, \underline{Z}'} R_{\alpha, \underline{\beta} \underline{\lambda}} \leq c_7(\lambda_0 + \cdots + \lambda_n) \leq c_7 D_2$. Note that

$$D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} F(\underline{z} + \underline{u})_{\underline{z}=0} = D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} F(\underline{u})$$

for $(t_1, \dots, t_n) \in \mathbb{N}^n$ and $\underline{u} \in \mathbb{C}^d$. For each $\underline{h} \in \mathbb{N}^m(S)$ and $\Theta_{j_0}(\underline{z}') \neq 0$, we see from Lemma 3 that

$$D_{\underline{a}_1}^{t_1} \dots D_{\underline{a}_n}^{t_n} \left(\frac{F(\underline{z} + \text{Lie } \varphi(\underline{h} \cdot \underline{y}))}{\Theta_{j_0}(\underline{z}')^{c_8 D_2}} \right)_{\underline{z}=0} = \sum_{\substack{\alpha \leq D_0 - 1 \\ |\beta| \leq D_1 - 1 \\ \underline{Z}^\lambda \in E}} P_{\alpha\beta\lambda}(\underline{\theta}) \\ \times U_{\alpha\beta\lambda}(\underline{h} \cdot \underline{y}, \underline{a}_1, \dots, \underline{a}_n, e^{x_1(\underline{h} \cdot \underline{y})}, \dots, e^{x_{a_1}(\underline{h} \cdot \underline{y})}, \Theta_0(\text{Lie } \psi(\underline{h} \cdot \underline{y})), \dots, \Theta_N(\text{Lie } \psi(\underline{h} \cdot \underline{y}))),$$

where $U_{\alpha\beta\lambda}$ is a polynomial with algebraic coefficients in variables $(X, V_{01}, \dots, \dots, V_{d_1+d_2,1}, \dots, V_{0n}, \dots, V_{d_1+d_2,n}, \underline{Y}, \underline{Z})$ with $\deg_X U_{\alpha\beta\lambda} \leq \alpha \leq D_0 - 1$, $\deg_V U_{\alpha\beta\lambda} \leq |\underline{t}| \leq T$, $\deg_Y U_{\alpha\beta\lambda} \leq (h_1 + \dots + h_m) \cdot \max \beta_i \leq m D_1 S$, $\deg_Z U_{\alpha\beta\lambda} \leq c_9 D_2$, and $t(U_{\alpha\beta\lambda}) \leq c_{10} T \log(D_0 + D_1 + D_2 + T)$.

For each $\underline{h} \in \mathbb{N}^m(S)$, we choose $j_{\underline{h}}, 0 \leq j_{\underline{h}} \leq N, \beta_{\underline{h}} \in \mathcal{B}_{\underline{h}}$ such that

$$\max_{\beta \in \mathcal{B}_{\underline{h}}, 0 \leq i \leq N} |u_i^\beta(\underline{\theta})| = |u_{j_{\underline{h}}}^{\beta_{\underline{h}}}(\underline{\theta})|.$$

Here $(u_0^{\beta_{\underline{h}}}(\underline{\theta}), \dots, u_N^{\beta_{\underline{h}}}(\underline{\theta}))$ are the projective coordinates of $\chi_2 \circ \psi(\underline{h} \cdot \underline{y})$. Then we have

$$(4) \quad Q(\underline{\theta})^{T+D_0+mD_1S} \left(\frac{u_{j_{\underline{h}}}^{\beta_{\underline{h}}}(\underline{\theta})}{\Theta_{j_{\underline{h}}}(\text{Lie } \psi(\underline{h} \cdot \underline{y}))} \right)^{c_8 D_2} \\ \times D_{\underline{a}_1}^{t_1} \dots D_{\underline{a}_n}^{t_n} \left(\frac{F(\underline{z} + \text{Lie } \varphi(\underline{h} \cdot \underline{y}))}{\Theta_{j_0}(\underline{z}')^{c_8 D_2}} \right)_{\underline{z}=0} = \sum_{\substack{\alpha \leq D_0 - 1 \\ |\beta| \leq D_1 - 1 \\ \underline{Z}^\lambda \in E}} P_{\alpha\beta\lambda}(\underline{\theta}) H_{\alpha\beta\lambda h \underline{t}}(\underline{\theta}),$$

where $H_{\alpha\beta\lambda h \underline{t}}(X_1, \dots, X_{q+1})$ is a polynomial with integer coefficients in K .

Now we shall require that $D_0 \sim T$. For this we shall choose a constant a (cf. §2) as follows:

$$(C1) \quad (a - 1)n + d_0 = ad,$$

and hence $a = -(n - d_0)/(d - n) < 0$. Then we have

$$t(H_{\alpha\beta\lambda h \underline{t}}) \leq c_{11}(D_0 \log S + (T \wedge D_0) \log D_0 + T \log D_1 + D_1 S + D_2 S^2) \\ \leq c_{12} \Delta(S),$$

where $T \wedge D_0$ means $\min\{T, D_0\}$. We put

$$\underline{X} = (X_1, \dots, X_{q+1}), \quad P_{\alpha\beta\lambda}(\underline{X}) = P_{\alpha\beta\lambda}(X_1, \dots, X_q), \\ H_{h \underline{t}}(\underline{X}) = \sum_{\alpha \leq D_0 - 1} \sum_{|\beta| \leq D_1 - 1} \sum_{\underline{Z}^\lambda \in E} P_{\alpha\beta\lambda}(\underline{X}) H_{\alpha\beta\lambda h \underline{t}}(\underline{X}), \\ n_i = \max\{\deg_{X_i} H_{\alpha\beta\lambda h \underline{t}}; \alpha \leq D_0 - 1, |\beta| \leq D_1 - 1, \\ \underline{Z}^\lambda \in E, \underline{h} \in \mathbb{N}^m(M), |\underline{t}| < T\} + 1 \quad (1 \leq i \leq q).$$

The purpose of this first step is to find polynomials $P_{\alpha\beta\lambda}$ not all 0 with rational integral coefficients with $\deg_{X_i} P_{\alpha\beta\lambda} \leq n_i$ ($1 \leq i \leq q$) such that $H_{\underline{h}\underline{t}}(\underline{X}) = 0$ for all $\underline{h} \in \mathbb{N}^m(M)$ and for all $\underline{t} \in \mathbb{N}^n, |\underline{t}| < T$. Now we consider the system

$$(S_1) \quad \{H_{\underline{h}\underline{t}}(\underline{X}) = 0; \underline{h} \in \mathbb{N}^m(M), \underline{t} \in \mathbb{N}^n, |\underline{t}| < T\}$$

with unknowns the coefficients of the polynomials $P_{\alpha\beta\lambda}$ ($\alpha \leq D_0 - 1, |\beta| \leq D_1 - 1, \underline{Z}^\lambda \in E$) and with coefficients the coefficients of the polynomials $H_{\alpha\beta\lambda\hbar t j}$ ($\alpha \leq D_0 - 1, |\beta| \leq D_1 - 1, \underline{Z}^\lambda \in E, \underline{h} \in \mathbb{N}^m(M), |\underline{t}| < T, 0 \leq j \leq \delta' - 1$). Then we shall show that (S_1) has a nontrivial solution. The system of linear equations

$$(S_2) \quad \{D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} F(\text{Lie } \varphi(\underline{h} \cdot \underline{y})) = 0; \underline{h} \in \mathbb{N}^m(M), \underline{t} \in \mathbb{N}^n, |\underline{t}| < T\}$$

with unknowns x_τ with $\{x_\tau; \tau\} = \{P_{\alpha\beta\lambda}(\underline{\theta}); \alpha \leq D_0 - 1, |\beta| \leq D_1 - 1, \underline{Z}^\lambda \in E\}$ is of rank at most $8^{\dim G'} T^\nu \text{card}((\Gamma(M) + G')/G')H(G'; D_0, D_1, D_2)$ for every connected algebraic subgroup $G' \subsetneq G$ (cf. [14, lemma 6.7]). By (4), (S_2) is equivalent to the system of linear equations

$$(S_3) \quad \{H_{\underline{h}\underline{t}}(\underline{\theta}) = 0; \underline{h} \in \mathbb{N}^m(M), \underline{t} \in \mathbb{N}^n, |\underline{t}| < T\}$$

with unknowns x_τ .

On the other hand, we have

$$H_{\underline{h}\underline{t}}(\underline{\theta}) = 0 \Leftrightarrow \sum_{\substack{\alpha \leq D_0 - 1 \\ |\beta| \leq D_1 - 1 \\ \underline{Z}^\lambda \in E}} P_{\alpha\beta\lambda}(\underline{\theta}) H_{\alpha\beta\lambda\hbar t j}(\underline{\theta}) = 0 \quad (\forall j, 0 \leq j \leq \delta' - 1).$$

Since $\theta_1, \dots, \theta_q$ are algebraic independent over K , we obtain a linear system such that the unknowns are the coefficients of $P_{\alpha\beta\lambda}$ and the coefficients are the coefficients of $H_{\alpha\beta\lambda\hbar t j}$, which is exactly the system (S_1) .

From $\deg_{X_i} P_{\alpha\beta\lambda} \leq n_i$ and $\deg_{X_i} H_{\alpha\beta\lambda\hbar t} \leq n_i$ ($1 \leq i \leq q$), we deduce that the rank L' of (S_1) satisfies $L' \leq 2^q (\prod_{i=1}^q n_i) \delta' \cdot \text{rank}(S_2)$. From the same arguments as in Abyl [1], we deduce

$$(5) \quad L' \leq 2^q \left(\prod_{i=1}^q n_i \right) \deg_{X_{q+1}} R \times 8^{\dim G'} T^\nu \text{card}((\Gamma(M) + G')/G')H(G'; D_0, D_1, D_2).$$

The number N of the unknowns of (S_1) is at least $c_{13} (\prod_{i=1}^q n_i) D_0^{d_0} D_1^{d_1} D_2^{d_2}$. We shall show that $L' < N$. Recall the definition of A and B in §2.

CASE (i): $B = c^{-1}(\log S)^{((a-1)n+d_0)/d}$. Taking $G' = \{0\}$ in (5), we obtain

$$L' \leq 2^q \left(\prod_{i=1}^q n_i \right) \deg_{X_{q+1}} R \cdot T^n M^{m-\ell},$$

since $\nu = n$. On the other hand, it follows from our choices of parameters that

$$D_0^{d_0} D_1^{d_1} D_2^{d_2} \geq \frac{1}{2c^a} S^{\mu^\# d - d_1 - 2d_2} (\log S)^{(a-1)n}.$$

Recalling the definition of T and M and combining these results, we have $N \geq 2[K : \mathbb{Q}]L'$, since c is sufficiently large.

CASE (ii): $B = A$. Let G'_0 be the connected algebraic subgroup of G such that $A = A(S, G'_0)$. From the definitions of $A(S, G'_0)$ and the parameters $\bar{D}_0, \bar{D}_1, \bar{D}_2$, we have

$$A^{\dim G/G'_0} \geq \frac{1}{c} T^\nu \text{card}((\Gamma(S) + G'_0)/G'_0) \frac{H(G'_0; \bar{D}_0/B, \bar{D}_1/B, \bar{D}_2/B)}{H(G; \bar{D}_0/B, \bar{D}_1/B, \bar{D}_2/B)}.$$

Taking account of the homogeneity of H and noting $A = B$, we have

$$H(G; \bar{D}_0, \bar{D}_1, \bar{D}_2) \geq \frac{1}{c} T^\nu \text{card}((\Gamma(S) + G'_0)/G'_0) H(G'_0; \bar{D}_0, \bar{D}_1, \bar{D}_2).$$

Furthermore, using (1) and recalling the choice of M we have

$$D_0^{d_0} D_1^{d_1} D_2^{d_2} > \frac{1}{c_{14}} 2^{g+1} (\deg_{X_{q+1}} R) 8^{\dim G'_0} [K : \mathbb{Q}] T^\nu \times \text{card}((\Gamma(M) + G'_0)/G'_0) H(G'_0; D_0, D_1, D_2),$$

where $c_{14} = c_{13}(\prod n_i)$, since c is sufficiently large. Finally, taking $G' = G'_0$ in (5), we obtain $N \geq 2[K : \mathbb{Q}]L'$.

Therefore in both cases, we have the same upper bound, and hence we can apply Siegel's lemma to find a nontrivial solution of the system (\mathcal{S}_1) such that $\max(t(P_{\alpha\beta\lambda})) \leq c_{15}\Delta(S)$.

STEP 2: *Derivation of coefficients and extrapolation.* The polynomials $H_{\underline{h}\underline{t}}$ constructed in the first step may vanish in a neighborhood of $\underline{\theta}$, and hence we need to modify them to get polynomials that satisfy the conditions of the proposition. For this we shall make use of the idea of Chudnovsky, developed by Diaz [7]. For $\underline{i} = (i_1, \dots, i_q) \in \mathbb{N}^q$ we define the differential operator and the length of \underline{i} by

$$D^{\underline{i}} = \frac{1}{i_1! \cdots i_q!} \left(\frac{\partial}{\partial X_1} \right)^{i_1} \cdots \left(\frac{\partial}{\partial X_q} \right)^{i_q}, \quad |\underline{i}| = i_1 + \cdots + i_q,$$

respectively. Let $\tilde{\underline{\theta}} \in B^q(\underline{\theta}, \exp(-c\rho(S)))$, and let $(\tilde{\theta}_1, \dots, \tilde{\theta}_{q+1})$ be the element of \mathbb{C}^{q+1} associated to $\tilde{\underline{\theta}}$ as in §2; we denote it by the same notation again. The set

$$I(\tilde{\underline{\theta}}) := \{ \underline{i} \in \mathbb{N}^q; \exists(\alpha, \underline{\beta}, \lambda), \alpha \leq D_0 - 1, |\underline{\beta}| \leq D_1 - 1, \underline{Z}^\lambda \in E, D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\tilde{\underline{\theta}}) \neq 0 \}$$

is nonempty and finite. Put

$$\underline{i}(\tilde{\underline{\theta}}) := \min_{\underline{i} \in I(\tilde{\underline{\theta}})} |\underline{i}|, \quad I := \{ \underline{i}(\tilde{\underline{\theta}}); \tilde{\underline{\theta}} \in B^q(\underline{\theta}, \exp(-c\rho(S))) \}.$$

For $\underline{i} \in I$, we put

$$H_{\underline{h}\underline{t}\underline{i}}(\underline{X}) = \sum_{\alpha \leq D_0-1} \sum_{|\underline{\beta}| \leq D_1-1} \sum_{\underline{Z}^\lambda \in E} D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\underline{X}) H_{\alpha\underline{\beta}\lambda\underline{h}\underline{t}}(\underline{X}).$$

LEMMA 4. For all $\underline{h} \in \mathbb{N}^m(S)$, all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T/2$, and all $\underline{i} \in I$, we have

$$|H_{\underline{h}\underline{t}\underline{i}}(\underline{\theta})| \leq \exp(-c_{16}\varrho(S)).$$

Proof. Following Ably [1], we first prove this for $\underline{h} \in \mathbb{N}^m(M)$, and then for $\underline{h} \in \mathbb{N}^m(S)$. We fix $\underline{i} \in I$ and $\tilde{\underline{\theta}}$ such that $\underline{i}(\tilde{\underline{\theta}}) = \underline{i}$. We have

$$\begin{aligned} (6) \quad H_{\underline{h}\underline{t}\underline{i}}(\underline{\theta}) &= \sum_{\substack{\alpha \leq D_0-1 \\ |\underline{\beta}| \leq D_1-1 \\ \underline{Z}^\lambda \in E}} (D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\underline{\theta}) - D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\tilde{\underline{\theta}})) H_{\alpha\underline{\beta}\lambda\underline{h}\underline{t}}(\underline{\theta}) \\ &+ \sum_{\substack{\alpha \leq D_0-1 \\ |\underline{\beta}| \leq D_1-1 \\ \underline{Z}^\lambda \in E}} D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\tilde{\underline{\theta}}) (H_{\alpha\underline{\beta}\lambda\underline{h}\underline{t}}(\underline{\theta}) - H_{\alpha\underline{\beta}\lambda\underline{h}\underline{t}}(\tilde{\underline{\theta}})) \\ &+ \sum_{\substack{\alpha \leq D_0-1 \\ |\underline{\beta}| \leq D_1-1 \\ \underline{Z}^\lambda \in E}} D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\tilde{\underline{\theta}}) H_{\alpha\underline{\beta}\lambda\underline{h}\underline{t}}(\tilde{\underline{\theta}}). \end{aligned}$$

By the definition of $\underline{i} = \underline{i}(\tilde{\underline{\theta}})$ and the construction of $H_{\underline{h}\underline{t}}$, we have

$$D^{\underline{i}} H_{\underline{h}\underline{t}}(\tilde{\underline{\theta}}) = \sum_{\alpha \leq D_0-1} \sum_{|\underline{\beta}| \leq D_1-1} \sum_{\underline{Z}^\lambda \in E} D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\tilde{\underline{\theta}}) H_{\alpha\underline{\beta}\lambda\underline{h}\underline{t}}(\tilde{\underline{\theta}}) = 0.$$

Using this and Lemma 1 in (6), we have

$$(7) \quad |H_{\underline{h}\underline{t}\underline{i}}(\underline{\theta})| \leq \exp\left(-\frac{c}{2}\varrho(S)\right) \exp(c_{17}\Delta(S)) \leq \exp\left(-\frac{c}{3}\varrho(S)\right),$$

because $t(H_{\alpha\underline{\beta}\lambda\underline{h}\underline{t}}) \leq c_{12}\Delta(S)$ and $t(D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}) \leq c_{18}\Delta(S)$.

Extrapolation. We shall extend this upper bound to the pair $(\underline{h}, \underline{t})$ with $\underline{h} \in \mathbb{N}^m(S)$, $\underline{t} \in \mathbb{N}^n$, $|\underline{t}| < T/2$. For this we consider the following polynomial and an analytic function:

$$\begin{aligned} \tilde{P}(X, \underline{Y}, \underline{Z}) &= \sum_{\alpha \leq D_0-1} \sum_{|\underline{\beta}| \leq D_1-1} \sum_{\underline{Z}^\lambda \in E} D^{\underline{i}} P_{\alpha\underline{\beta}\lambda}(\tilde{\underline{\theta}}) X^\alpha \underline{Y}^\beta \underline{Z}^\lambda, \\ \tilde{F}(\underline{z}) &= \tilde{P}(\Psi(\underline{z})), \end{aligned}$$

where $\underline{z} = (z_0, z_1, \dots, z_{d_1}, z_{d_1+1}, \dots, z_{d_1+d_2}) = (z_0, z_1, \dots, z_{d_1}, \underline{z}')$. We put $R_1(S) = c_{19}S$ with $c_{19} = \max_j \{2, m|y_j|\}$, and $R_2(S) = S^{1+(\kappa-1)\mu^\# / 3}$. For

simplicity, we write M , R_1 and R_2 in place of $M(S)$, $R_1(S)$ and $R_2(S)$. We define a one-variable function $\tilde{g}_{\underline{t}}(z)$ by

$$(8) \quad \tilde{g}_{\underline{t}}(z) = D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} \tilde{F}(\text{Lie } \varphi(z))$$

for $\underline{t} = (t_1, \dots, t_n) \in \mathbb{N}^n$; this is clearly an entire function. We define

$$\delta(M) = \min_{\substack{y', y'' \in Y(M) \\ y' \neq y''}} \{1, |y' - y''|\}.$$

By applying an extrapolation formula [15, lemme 4.5] to the function $\tilde{g}_{\underline{t}}(z)$, we obtain

$$(9) \quad |\tilde{g}_{\underline{t}}|_{R_1} \leq 2|\tilde{g}_{\underline{t}}|_{R_2} \left(\frac{4R_1}{R_2}\right)^{TM^{m/2}} + M^m \left(\frac{18R_1}{M|y_m|}\right)^{TM^{m/2}} \left(\frac{|y_m|}{2\delta(M)}\right)^{TM^{m-1/2}} \max_{\substack{\underline{h} \cdot \underline{y} \in Y(M) \\ 0 \leq k < T/2}} \left| \frac{\tilde{g}_{\underline{t}}^{(k)}(\underline{h} \cdot \underline{y})}{k!} \right|,$$

where $\tilde{g}_{\underline{t}}^{(k)}(z) = (\partial/\partial z)^k \tilde{g}_{\underline{t}}(z)$. From hypothesis (H_A) we have $\delta(M) \geq \exp(-c'_0 M \log M)$, since $M \geq S_0$. We shall estimate $|\tilde{g}_{\underline{t}}^{(k)}(\underline{h} \cdot \underline{y})|$. We infer from Lemma 1 and $t(H_{\alpha\beta\lambda h \underline{t}}) \leq c_{12}\Delta(S)$ that

$$(10) \quad \left| \sum_{\alpha \leq D_0 - 1} \sum_{|\underline{\beta}| \leq D_1 - 1} \sum_{\underline{Z}^\lambda \in E} D^i P_{\alpha\beta\lambda}(\tilde{\theta}) H_{\alpha\beta\lambda h \underline{t}}(\theta) \right| \leq \exp\left(-\frac{c}{3} \varrho(S)\right)$$

for all $\underline{h} \in \mathbb{N}^m(M)$ and all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T$. Then we have the following equality analogous to (4):

$$(11) \quad Q(\theta)^{T+D_0+mD_1S} \left(\frac{u_{j_{\underline{h}}}^{\beta_{\underline{h}}}(\theta)}{\Theta_{j_{\underline{h}}}(\text{Lie } \psi(\underline{h} \cdot \underline{y}))} \right)^{c_8 D_2} \times D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} \left(\frac{\tilde{F}(\underline{z} + \text{Lie } \varphi(\underline{h} \cdot \underline{y}))}{\Theta_{j_0}(\underline{z}')^{c_8 D_2}} \right)_{\underline{z}=0} = \sum_{\substack{\alpha \leq D_0 - 1 \\ |\underline{\beta}| \leq D_1 - 1 \\ \underline{Z}^\lambda \in E}} D^i P_{\alpha\beta\lambda}(\tilde{\theta}) H_{\alpha\beta\lambda h \underline{t}}(\theta).$$

From the assumption in this case we have

$$|u_{j_{\underline{h}}}^{\beta_{\underline{h}}}(\theta)| \geq \exp\left(-\frac{c}{5} \frac{\varrho(S)}{D_2 - 1}\right) \quad \text{for } \underline{h} \in \mathbb{Z}^m(S).$$

Further, since $\Theta_{j_{\underline{h}}}$ is of order ≤ 2 , we obtain $|\Theta_{j_{\underline{h}}}(\text{Lie } \psi(\underline{h} \cdot \underline{y}))| \leq \exp(c_{20} S^2)$, and finally $|Q(\theta)| \geq c_{21} > 0$. Hence from (10) and (11) we have

$$\begin{aligned}
 (12) \quad & \left| D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} \left(\frac{\tilde{F}(\underline{z} + \text{Lie } \varphi(\underline{h} \cdot \underline{y}))}{\Theta_{j_0}(\underline{z}')^{c_8 D_2}} \right) \Big|_{\underline{z}=0} \right| \\
 & \leq \exp\left(-\frac{c}{3} \varrho(S)\right) \exp\left(\frac{c}{5} \varrho(S)\right) \exp((c_{17} + c_{20})\Delta(S)) \\
 & \leq \exp\left(-\frac{c}{10} \varrho(S)\right)
 \end{aligned}$$

for all $\underline{h} \in \mathbb{N}^m(M)$ and all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T$. We shall use the following identity:

$$\begin{aligned}
 & D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} \tilde{F}(\text{Lie } \varphi(\underline{h} \cdot \underline{y})) \\
 & = \sum_{\substack{0 \leq \tau_i \leq t_i \\ 1 \leq i \leq n}} \binom{t_1}{\tau_1} \cdots \binom{t_n}{\tau_n} D_{\underline{a}_1}^{t_1 - \tau_1} \cdots D_{\underline{a}_n}^{t_n - \tau_n} \left(\frac{\tilde{F}(\underline{z} + \text{Lie } \varphi(\underline{h} \cdot \underline{y}))}{\Theta_{j_0}(\underline{z}')^{c_8 D_2}} \right) \Big|_{\underline{z}=0} \\
 & \qquad \qquad \qquad \times D_{\underline{a}_1}^{\tau_1} \cdots D_{\underline{a}_n}^{\tau_n} (\Theta_{j_0}(\underline{z}')^{c_8 D_2}) \Big|_{\underline{z}'=0}.
 \end{aligned}$$

Then (12) yields

$$|D_{\underline{a}_1}^{t_1} \cdots D_{\underline{a}_n}^{t_n} \tilde{F}(\text{Lie } \varphi(\underline{h} \cdot \underline{y}))| \leq \exp\left(-\frac{c}{15} \varrho(S)\right)$$

for all $\underline{h} \in \mathbb{N}^m(M)$ and all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T$. From our assumption, $\text{Lie } \varphi(\mathbb{C}) \subset W$, we have $\text{Lie } \varphi(z) = \ell_1(z)\underline{a}_1 + \cdots + \ell_n(z)\underline{a}_n$ for $z \in \mathbb{C}$, where $\ell_1(z) = \ell_1 z, \dots, \ell_n(z) = \ell_n z$ for some complex numbers ℓ_1, \dots, ℓ_n . Note that

$$\tilde{g}_{\underline{t}}^{(k)}(z) = \sum_{\substack{t'_1 + \cdots + t'_n = k \\ t'_i \geq 0}} \frac{k!}{t'_1! \cdots t'_n!} \ell_1^{t'_1} \cdots \ell_n^{t'_n} D_{\underline{a}_1}^{t_1 + t'_1} \cdots D_{\underline{a}_n}^{t_n + t'_n} \tilde{F}(\text{Lie } \varphi(z))$$

for an integer $k \geq 0$. Hence

$$(13) \quad |\tilde{g}_{\underline{t}}^{(k)}(\underline{h} \cdot \underline{y})| \leq \exp(c_{21}T) \exp\left(-\frac{c}{15} \varrho(S)\right) \leq \exp\left(-\frac{c}{20} \varrho(S)\right)$$

for all $\underline{h} \in \mathbb{N}^m(M)$, all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T/2$, and $0 \leq k \leq T/2$. It follows easily that

$$|\tilde{g}_{\underline{t}}|_{R_2} \leq \exp(c_{22}(T \log D_0 + T \log D_1 + D_0 \log R_2 + D_1 R_2 + D_2 R_2^2)),$$

and taking into account (9) and (13), we have $|\tilde{g}_{\underline{t}}|_{R_1} \leq \exp(-c_{23}\varrho(S))$, since $TM^m \log S \gg \ll \varrho(S)$. Therefore

$$(14) \quad |\tilde{g}_{\underline{t}}(\underline{h} \cdot \underline{y})| \leq \exp(-c_{23}\varrho(S))$$

for $\underline{h} \in \mathbb{N}^m(S)$. From the properties of the theta function (cf. [20, lemme 2.2]), we have $\max |\Theta_i(\text{Lie } \psi(\underline{h} \cdot \underline{y}))| \geq \exp(-c_{24}S^2)$, and we also obtain $\max |u_i^{\beta_{\underline{h}}}(\underline{\theta})| \leq \exp(c_{24}S^2)$, and $|Q(\underline{\theta})|^{T+D_0+mD_1S} \leq \exp(c_{25}\Delta(S))$. Thus

we deduce from (8), (11), and (14) that

$$\left| \sum_{\alpha \leq D_0-1} \sum_{|\beta| \leq D_1-1} \sum_{\underline{Z}^\lambda \in E} D^i P_{\alpha\beta\lambda}(\underline{\theta}) H_{\alpha\beta\lambda h\underline{t}}(\underline{\theta}) \right| \leq \exp(-c_{26}\varrho(S))$$

for all $\underline{h} \in \mathbb{N}^m(S)$ and all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T/2$. Finally, Lemma 1 shows that

$$|H_{h\underline{t}\underline{i}}(\underline{\theta})| = \left| \sum_{\alpha \leq D_0-1} \sum_{|\beta| \leq D_1-1} \sum_{\underline{Z}^\lambda \in E} D^i P_{\alpha\beta\lambda}(\underline{\theta}) H_{\alpha\beta\lambda h\underline{t}}(\underline{\theta}) \right| \leq \exp(-c_{27}\varrho(S))$$

for all $\underline{h} \in \mathbb{N}^m(S)$ and all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T/2$.

This completes the proof of Lemma 4.

STEP 3: Philippon's zero estimate

LEMMA 5. *The family $\{H_{h\underline{t}\underline{i}}; \underline{h} \in \mathbb{N}^m(S), \underline{t} \in \mathbb{N}^n, |\underline{t}| < T/2, \underline{i} \in I\}$ has no common zeros in $B^q(\underline{\theta}, \exp(-c\varrho(S)))$.*

Proof. The proof is by contradiction. Suppose that there exists a point $\underline{\theta} = (\theta_1, \dots, \theta_q) \in B^q(\underline{\theta}, \exp(-c\varrho(S)))$ such that $H_{h\underline{t}\underline{i}}(\underline{\theta}) = 0$ for all $\underline{h} \in \mathbb{N}^m(S)$, all $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T/2$, and all $\underline{i} \in I$.

Let $\underline{a}_1, \dots, \underline{a}_n$, and \widetilde{W} be as in §2. We see that $\underline{a}_1, \dots, \underline{a}_n$ are linearly independent over \mathbb{C} . Denote by $D_{\underline{a}_1}, \dots, D_{\underline{a}_n}$ the differential operators corresponding to $\underline{a}_1, \dots, \underline{a}_n$ (cf. the beginning of this section). Let $(\tilde{y}_1, \dots, \tilde{y}_{m-\ell})$ be the element of $T_G(\mathbb{C})^{m-\ell}$ defined as above. Then by the same arguments as in the previous section, we have

$$\begin{aligned} (Q(\underline{\theta}))^{T+D_0+mD_1S} & \left(\frac{u_{j_h}^{\beta_h}(\underline{\theta})}{\Theta_{j_h}(p_2(\underline{h} \cdot \tilde{y}))} \right)^{c_8 D_2} D_{\underline{a}_1}^{t_1} \dots D_{\underline{a}_n}^{t_n} \left(\frac{\tilde{F}(\underline{z} + \underline{h} \cdot \tilde{y})}{\Theta_{j_0}(\underline{z}')^{c_8 D_2}} \right)_{\underline{z}=0} \\ & = \sum_{\substack{\alpha \leq D_0-1 \\ |\beta| \leq D_1-1 \\ \underline{Z}^\lambda \in E}} D^i P_{\alpha\beta\lambda}(\underline{\theta}) H_{\alpha\beta\lambda h\underline{t}}(\underline{\theta}) = H_{h\underline{t}\underline{i}}(\underline{\theta}) = 0. \end{aligned}$$

By the previous arguments, we then have $D_{\underline{a}_1}^{t_1} \dots D_{\underline{a}_n}^{t_n} \tilde{F}(\underline{h} \cdot \tilde{y}) = 0$, since $Q(\underline{\theta}) \neq 0$ and $u_{j_h}^{\beta_h}(\underline{\theta}) \neq 0$. Hence $\tilde{P}(X, \underline{Y}, \underline{Z})$ vanishes to order at least $[T/2]$ along \widetilde{W} on $\tilde{\Gamma}(S)$. On the other hand, by our construction, \tilde{P} is not identically zero on G , and hence we see by Philippon's zero estimate [13, théorème 2.1] that there exists a connected algebraic subgroup G' of G with $G' \neq G$, incompletely defined by equations of multi-degree $\leq (\overline{D}_0, \overline{D}_1, 2\overline{D}_2)$, such that

$$\begin{aligned} (15) \quad & \left(\begin{array}{c} [T/2] + \text{codim}_{\widetilde{W}}(\widetilde{W} \cap T_{G'}(\mathbb{C})) \\ \text{codim}_{\widetilde{W}}(\widetilde{W} \cap T_{G'}(\mathbb{C})) \end{array} \right) \text{card}((\tilde{\Gamma}(S) + G')/G') \\ & \times H(G'; \overline{D}_0, \overline{D}_1, \overline{D}_2) \leq H(G; \overline{D}_0, \overline{D}_1, 2\overline{D}_2). \end{aligned}$$

From our hypothesis (H_B) , we have

$$\text{codim}_{\widetilde{W}}(\widetilde{W} \cap T_{G'}(\mathbb{C})) \geq \text{codim}_W(W \cap T_{G'}(\mathbb{C})).$$

As in Ably [1, p. 222], we deduce from hypothesis (H_A) that

$$\text{card}((\widetilde{\Gamma}(S) + G')/G') \geq \text{card}((\Gamma(S) + G')/G').$$

By the homogeneity of H , we infer from (15) that

$$(16) \quad \left(\frac{T}{3}\right)^\nu \text{card}((\Gamma(S) + G')/G') \frac{H(G'; \overline{D}_0/B, \overline{D}_1/B, \overline{D}_2/B)}{H(G; \overline{D}_0/B, \overline{D}_1/B, \overline{D}_2/B)} \leq 2^{d_2} B^{\dim G/G'}.$$

Recalling the definition of $A(S, G')$ and T , and taking into account $A \leq A(S, G')$ and $B \leq A$, we conclude from (16) that $c \leq 2^{d_2} 3^\nu$, which is impossible, since c is sufficiently large. This completes the proof of Lemma 5.

Proof of Proposition B (in Case 2). For $\underline{h} \in \mathbb{N}^m(S)$, $\underline{t} \in \mathbb{N}^n$ with $|\underline{t}| < T/2$, and $\underline{i} \in I$, we put

$$H_{\underline{h}\underline{t}\underline{i}}^*(\theta_1, \dots, \theta_q) := r(H_{\underline{h}\underline{t}\underline{i}}(\theta_1, \dots, \theta_q, X), R(\theta_1, \dots, \theta_q, X)),$$

where $r(\cdot, \cdot)$ denotes Chudnovsky's semi-resultant. We see from [3, p. 207] and Lemma 4 that

$$|H_{\underline{h}\underline{t}\underline{i}}^*(\theta_1, \dots, \theta_q)| \leq \exp(-c_{28}\varrho(S)), \quad t(H_{\underline{h}\underline{t}\underline{i}}^*) \leq c_{29}\Delta(S).$$

It follows from Lemma 5 that the family $\{H_{\underline{h}\underline{t}\underline{i}}^*; \underline{h} \in \mathbb{N}^m(S), \underline{t} \in \mathbb{N}^n, |\underline{t}| < T/2, \underline{i} \in I\}$ has no common zeros in $B^q(\underline{\theta}, \exp(-c\varrho(S)))$. If we denote by $\{P_{S,1}, \dots, P_{S,m(S)}\}$ the family of polynomials $\{H_{\underline{h}\underline{t}\underline{i}}^*; \underline{h} \in \mathbb{N}^m(S), \underline{t} \in \mathbb{N}^n, |\underline{t}| < T/2, \underline{i} \in I\}$ for $S \geq S_0$, and if we put $\mathcal{I}_S := (P_{S,j})_{1 \leq j \leq m(S)}$, then the ideal \mathcal{I}_S satisfies the conditions of Proposition B. This concludes the proof of Proposition B.

7. Proof of the Theorem. We shall show that the $\underline{\omega}$ of our Theorem satisfies the assumption of Proposition A. We may assume without loss of generality that $\theta_j \in K[\underline{\omega}]$ for all $j, 1 \leq j \leq q$. Put $F_j(\underline{\omega}) := \theta_j$, where $F_j \in K[\underline{Y}]$ ($1 \leq j \leq q$), and put $Q_{S,i}(\underline{Y}) := P_{S,i}(F_1(\underline{Y}), \dots, F_q(\underline{Y}))$ ($1 \leq i \leq m(S)$), where $P_{S,i}$ are the polynomials in $K[X_1, \dots, X_q]$, constructed in Proposition B. It is clear from Proposition B that

$$|Q_{S,i}(\underline{\omega})| = |P_{S,i}(\underline{\theta})| \leq \exp(-c_{30}\varrho(S)), \quad t(Q_{S,i}) \leq c_{31}t(P_{S,i}) \leq c_{32}\Delta(S).$$

On the other hand, it follows from Lemma 1 that for $j, 1 \leq j \leq q$,

$$|F_j(\underline{\omega}) - F_j(\underline{\tilde{\omega}})| \leq \exp(-c\varrho(S)) \quad \text{whenever} \quad \|\underline{\tilde{\omega}} - \underline{\omega}\| \leq \exp(-2c\varrho(S)).$$

Putting $\tilde{\theta} = F_j(\tilde{\omega})$, we have $\|\underline{\theta} - \tilde{\theta}\| \leq \exp(-c\rho(S))$; and it follows from Proposition B that

$$\max_{1 \leq i \leq m(S)} |P_{S,i}(\tilde{\theta})| = \max_{1 \leq i \leq m(S)} |Q_{S,i}(\tilde{\omega})| \neq 0.$$

Hence for all $S \geq S_0$, we have:

- (i) the family $(Q_{S,i})_{1 \leq i \leq m(S)}$ has no common zeros in the ball with center $\underline{\omega}$ and radius $\exp(-2c\rho(S))$,
- (ii) $\max_{1 \leq i \leq m(S)} |Q_{S,i}(\underline{\omega})| \leq \exp(-c_{30}\rho(S))$,
- (iii) $\max_{1 \leq i \leq m(S)} t(Q_{S,i}) \leq c_{32}\Delta(S)$.

Now recall the definitions of $\rho(S)$, $\Delta(S)$, and κ . Put $N = c_{32}\Delta(S)$; $\Delta(S)$ is a strictly increasing function, since $\mu^\sharp > 0$. Let σ be the inverse function of $c_{32}\Delta(S)$. Define a function $u : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ by $u(N) = c_{30}\rho(\sigma(N))N^{-(k+1)}$. Since $\sigma(N) = S$, we have

$$u(N) = c_{30}\rho(S)N^{-(k+1)} = \frac{c_{30}}{c_{32}^{k+1}} S^{(\kappa-k-1)\mu^\sharp} (\log S)^{-ka}.$$

For $\kappa \geq k + 1$, the function u is strictly increasing. In fact, if $\kappa > k + 1$, this is obvious. If $\kappa = k + 1$, we see from (C1) that $-ka > 0$. For all N such that $\sigma(N) \geq S_1$, we put $G_{N,i} = Q_{\sigma(N),i}$, $1 \leq i \leq m(\sigma(N))$. Then from properties (i), (ii), and (iii) above, for all N satisfying $\sigma(N) \geq S_1$ we have

- (i)' the family $(G_{N,i})_{1 \leq i \leq m(\sigma(N))}$ has no common zeros in the ball with center $\underline{\omega}$ and radius $\exp(-(2c/c_{30})N^{k+1}u(N))$,
- (ii)' $\max_{1 \leq i \leq m(\sigma(N))} |G_{N,i}(\underline{\omega})| \leq \exp(-N^{k+1}u(N))$,
- (iii)' $\max_{1 \leq i \leq m(\sigma(N))} t(G_{N,i}) \leq N$.

Hence $\underline{\omega}$ satisfies the assumption of Proposition A. Now we have $u(N) \gg\ll S^{(\kappa-k-1)\mu^\sharp} (\log S)^{-ka}$, where $N = c_{32}S^{\mu^\sharp} (\log S)^a$, and hence $u(N) \gg\ll N^{\kappa-k-1} (\log N)^{-(\kappa-1)a}$. Note that

- if $\kappa = k + 1$, the inverse function v of u satisfies $\log v(T) \gg\ll T^{-1/(\kappa-1)a}$,
- if $\kappa > k + 1$, then

$$v(T) \gg\ll \left(\frac{T}{(\log T)^{-(\kappa-1)a}} \right)^{1/(\kappa-k-1)}.$$

Then Proposition A shows that there exists $c_1 = c_1(G, [K : \mathbb{Q}], \phi, \chi, x_1, \dots, \dots, x_{d_1}, y_1, \dots, y_m, k, L) > 0$ such that

- if $\kappa = k + 1$, the function $\Phi_1(T) = \exp(c_1T^{-1/(\kappa-1)a})$ is a measure of algebraic independence of $\underline{\omega}$ at dimension k ,
- if $\kappa > k + 1$, the function $\Phi_2(T) = c_1(T/(\log T)^{-(\kappa-1)(k+1)a/\kappa})^{\kappa/(\kappa-k-1)}$ is a measure of algebraic independence of $\underline{\omega}$ at dimension k .

This completes the proof of the Theorem.

8. Proofs of corollaries

Exponential case

Proof of Corollary 2. First, we note that L is an arbitrary subfield of \mathbb{C} and $a_{hp} \in L$ (for all h, p).

For $\sigma = 1$ (resp. $\sigma = 2$), we take $K = \mathbb{Q}$, $G = \mathbb{G}_m^{d_1}$ (resp. $G = \mathbb{G}_a \times \mathbb{G}_m^{d_1}$), $\underline{\omega} = \underline{\omega}^{(1)} = (a_{hp}, e^{x_i y_j}; 1 \leq h \leq d_1, 1 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m)$ (resp. $\underline{\omega} = \underline{\omega}^{(2)} = (a_{hp}, y_j, e^{x_i y_j}; 0 \leq h \leq d_1, 0 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m)$). We consider the one-parameter subgroup $\varphi : \mathbb{C} \rightarrow G(\mathbb{C})$ defined by $\varphi(z) = (\exp(x_1 z), \dots, \exp(x_{d_1} z))$ (resp. $\varphi(z) = (z, \exp(x_1 z), \dots, \exp(x_{d_1} z))$). Put $Y = \mathbb{Z}y_1 + \dots + \mathbb{Z}y_m$, $\Gamma = \varphi(Y)$. Note that for both $\sigma = 1$ and $\sigma = 2$, we have $\ker \varphi = \{0\}$; hence $\ell = \text{rank}_{\mathbb{Z}}(Y \cap \ker \varphi) = 0$.

We put $\underline{a}_p = (a_{1p}, \dots, a_{d_1 p})$ ($1 \leq p \leq r$) (resp. $\underline{a}_p = (a_{0p}, \dots, a_{d_1 p})$ ($0 \leq p \leq r$)) and $W^{(1)} = \mathbb{C}\underline{a}_1 + \dots + \mathbb{C}\underline{a}_r$ (resp. $W^{(2)} = \mathbb{C}\underline{a}_0 + \dots + \mathbb{C}\underline{a}_r$). For brevity, we put $W = W^{(1)}$ or $W^{(2)}$. From $(x_1, \dots, x_{d_1}) = x_1 \underline{a}_1 + \dots + x_r \underline{a}_r$ (resp. $(x_0, \dots, x_{d_1}) = x_0 \underline{a}_0 + \dots + x_r \underline{a}_r$), we have $\text{Lie } \varphi(\mathbb{C}) \subset W$. First, for any algebraic subgroup $G' \subsetneq G$, we note that $\text{Lie } \varphi(\mathbb{C}) \cap T_{G'}(\mathbb{C}) = \{0\}$. In fact, otherwise we have $\text{Lie } \varphi(\mathbb{C}) \subset T_{G'}(\mathbb{C})$, because $\dim_{\mathbb{C}} \text{Lie } \varphi(\mathbb{C}) = 1$, which contradicts the fact that $\varphi(\mathbb{C})$ is Zariski-dense in $G(\mathbb{C})$ and $G'(\mathbb{C}) \subsetneq G(\mathbb{C})$. Hence for any algebraic subgroup $G' \subsetneq G$, we also deduce that $\Gamma \cap G' = \{0\}$. Next, we note for any algebraic subgroup $G' \subsetneq G$ that $W \cap T_{G'}(\mathbb{C}) = \{0\}$, for otherwise we have $W \cap T_{G'}(\mathbb{C}) \neq \{0\}$ and $W \cap T_{G'}(\mathbb{C}) \neq W$. On the other hand, since $\text{Lie } \varphi(\mathbb{C}) \cap (W \cap T_{G'}(\mathbb{C})) = \text{Lie } \varphi(\mathbb{C}) \cap T_{G'}(\mathbb{C}) = \{0\}$, $\text{Lie } \varphi(\mathbb{C})$ is contained in the orthogonal complement of $W \cap T_{G'}(\mathbb{C})$ in W , which contradicts the choice of W . Hence we see that μ_1^\sharp attains its minimum when $G' = \{0\}$, and so

$$\mu_1^\sharp = \frac{m + d_1}{d_1 - r} \quad \text{and} \quad \kappa_1 = \frac{(d_1 - r)m}{m + d_1} + 1.$$

Hypothesis (H_A) is a consequence of hypothesis (H_1) and the description of the connected algebraic subgroups of $\mathbb{G}_m^{d_1}$ (resp. of $\mathbb{G}_a \times \mathbb{G}_m^{d_1}$) (see [22] and also [4]). We shall prove that (H_B) follows from hypothesis $H(L, \mathbb{Z}; (\mu_1^\sharp + m)/d_1(\mu_1^\sharp - 1))$.

LEMMA 6. $H(L, \mathbb{Z}; (\mu_1^\sharp + m)/(\mu_1^\sharp - 1)d_1) \Rightarrow (H_B)$.

Proof. Here we shall only give the proof in the case $G = \mathbb{G}_m^{d_1}$. Note that $W/(W \cap T_{G'}(\mathbb{C})) \cong (W + T_{G'}(\mathbb{C}))/T_{G'}(\mathbb{C})$. Put $\nu = \dim W/(W \cap T_{G'}(\mathbb{C}))$. We suppose that $\underline{a}_{j_1} + T_{G'}(\mathbb{C}), \dots, \underline{a}_{j_\nu} + T_{G'}(\mathbb{C})$ (say) are linearly independent over \mathbb{C} in the vector space $T_G(\mathbb{C})/T_{G'}(\mathbb{C})$. Then we shall show under the hypothesis $H(L, \mathbb{Z}; (\mu_1^\sharp + m)/(\mu_1^\sharp - 1)d_1)$ that $\tilde{\underline{a}}_{j_1} + T_{G'}(\mathbb{C}), \dots, \tilde{\underline{a}}_{j_\nu} + T_{G'}(\mathbb{C})$ are linearly independent over \mathbb{C} , which yields (H_B) . The proof is by contradiction. Assume that $\tilde{\underline{a}}_{j_1} + T_{G'}(\mathbb{C}), \dots, \tilde{\underline{a}}_{j_\nu} + T_{G'}(\mathbb{C})$ are linearly dependent

Thus for any $\eta_1, \dots, \eta_{\nu+1}$ with $1 \leq \eta_1 < \dots < \eta_{\nu+1} \leq \delta$, we have

$$\begin{vmatrix} \tilde{p}_{\eta_1,1} & \cdots & \tilde{p}_{\eta_1,\nu} & m_{\eta_1} \\ \vdots & \ddots & \vdots & \vdots \\ \tilde{p}_{\eta_{\nu+1},1} & \cdots & \tilde{p}_{\eta_{\nu+1},\nu} & m_{\eta_{\nu+1}} \end{vmatrix} = 0.$$

Then from this equation and an elementary computation, we have

$$\begin{vmatrix} p_{\eta_1,1} & \cdots & p_{\eta_1,\nu} & m_{\eta_1} \\ \vdots & \ddots & \vdots & \vdots \\ p_{\eta_{\nu+1},1} & \cdots & p_{\eta_{\nu+1},\nu} & m_{\eta_{\nu+1}} \end{vmatrix} = \begin{vmatrix} p_{\eta_1,1} - \tilde{p}_{\eta_1,1} & p_{\eta_1,2} & \cdots & m_{\eta_1} \\ \vdots & \vdots & \ddots & \vdots \\ p_{\eta_{\nu+1},1} - \tilde{p}_{\eta_{\nu+1},1} & p_{\eta_{\nu+1},2} & \cdots & m_{\eta_{\nu+1}} \end{vmatrix} \\ + \cdots + \begin{vmatrix} \tilde{p}_{\eta_1,1} & \cdots & \tilde{p}_{\eta_1,\nu-1} & p_{\eta_1,\nu} - \tilde{p}_{\eta_1,\nu} & m_{\eta_1} \\ \vdots & \ddots & \vdots & \vdots & \vdots \\ \tilde{p}_{\eta_{\nu+1},1} & \cdots & \tilde{p}_{\eta_{\nu+1},\nu-1} & p_{\eta_{\nu+1},\nu} - \tilde{p}_{\eta_{\nu+1},\nu} & m_{\eta_{\nu+1}} \end{vmatrix}.$$

For all t ($1 \leq t \leq \nu + 1$) and for all s ($1 \leq s \leq \nu$), we easily obtain

$$|p_{\eta_t,s} - \tilde{p}_{\eta_t,s}| \leq c_{33} \|\underline{\lambda}^{(\eta_t)}\| \max_{\substack{1 \leq h \leq d_1 \\ 1 \leq s \leq \nu}} |a_{hjs} - \tilde{a}_{hjs}| \leq \|\underline{\lambda}^{(\eta_t)}\| \exp\left(-\frac{c}{3} \varrho(S)\right), \\ |p_{\eta_t,s}|, |\tilde{p}_{\eta_t,s}| \leq c_{34} \|\underline{\lambda}^{(\eta_t)}\|, \quad |m_{\eta_t}| \leq c_{34} \|\underline{\lambda}^{(\eta_t)}\|.$$

Hence we have an upper bound

$$(19) \quad \text{abs} \left(\begin{vmatrix} p_{\eta_1,1} & \cdots & p_{\eta_1,\nu} & m_{\eta_1} \\ \vdots & \ddots & \vdots & \vdots \\ p_{\eta_{\nu+1},1} & \cdots & p_{\eta_{\nu+1},\nu} & m_{\eta_{\nu+1}} \end{vmatrix} \right) \\ \leq c_{35} \|\underline{\lambda}^{(\eta_1)}\| \cdots \|\underline{\lambda}^{(\eta_{\nu+1})}\| \exp\left(-\frac{c}{3} \varrho(S)\right) \\ \leq c_{36} D_1^{d_1} \exp\left(-\frac{c}{3} \varrho(S)\right) \leq \exp\left(-\frac{c}{4} \varrho(S)\right).$$

Next, we shall find a lower bound for the above determinant. We use expansion along the $(\nu + 1)$ th row:

$$\begin{vmatrix} p_{\eta_1,1} & \cdots & p_{\eta_{\nu+1},1} \\ \vdots & \ddots & \vdots \\ p_{\eta_1,\nu} & \cdots & p_{\eta_{\nu+1},\nu} \\ m_{\eta_1} & \cdots & m_{\eta_{\nu+1}} \end{vmatrix} = m_{\eta_1} A_{\eta_1} + \cdots + m_{\eta_{\nu+1}} A_{\eta_{\nu+1}},$$

where A_{η_t} is the cofactor of m_{η_t} ($1 \leq t \leq \nu + 1$). Hence we have

$$A_{\eta_t} = \pm \sum_{i_1, \dots, i_{\nu+1}} \lambda_{i_1}^{(\eta_1)} \dots \lambda_{i_{t-1}}^{(\eta_{t-1})} \lambda_{i_t+1}^{(\eta_{t+1})} \dots \lambda_{i_{\nu+1}}^{(\eta_{\nu+1})} \times \begin{vmatrix} a_{i_1 j_1} & \dots & a_{i_{t-1} j_1} & a_{i_{t+1} j_1} & \dots & a_{i_{\nu+1} j_1} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ a_{i_1 j_\nu} & \dots & a_{i_{t-1} j_\nu} & a_{i_{t+1} j_\nu} & \dots & a_{i_{\nu+1} j_\nu} \end{vmatrix}.$$

It follows easily that

$$|m_{\eta_t} \lambda_{i_1}^{(\eta_1)} \dots \lambda_{i_{t-1}}^{(\eta_{t-1})} \lambda_{i_t+1}^{(\eta_{t+1})} \dots \lambda_{i_{\nu+1}}^{(\eta_{\nu+1})}| \leq \|\underline{\lambda}^{(\eta_1)}\| \dots \|\underline{\lambda}^{(\eta_{\nu+1})}\| \leq c(\delta, n) D_1^{d_1}.$$

Thus from hypothesis $H(L, \mathbb{Z}; (\mu_1^\sharp + m)/(\mu_1^\sharp - 1)d_1)$, we have either

$$\begin{vmatrix} p_{\eta_1, 1} & \dots & p_{\eta_1, \nu} & m_{\eta_1} \\ \vdots & \ddots & \vdots & \vdots \\ p_{\eta_{\nu+1}, 1} & \dots & p_{\eta_{\nu+1}, \nu} & m_{\eta_{\nu+1}} \end{vmatrix} = 0,$$

or

$$\text{abs} \left(\begin{vmatrix} p_{\eta_1, 1} & \dots & p_{\eta_1, \nu} & m_{\eta_1} \\ \vdots & \ddots & \vdots & \vdots \\ p_{\eta_{\nu+1}, 1} & \dots & p_{\eta_{\nu+1}, \nu} & m_{\eta_{\nu+1}} \end{vmatrix} \right) \geq \exp(-c_{37} D_1^{d_1 \alpha}),$$

where $\alpha = (\mu_1^\sharp + m)/(\mu_1^\sharp - 1)d_1$; but the latter contradicts (19), since $a < 0$; and hence $\text{rank } P = \text{rank}(P, {}^t \underline{m}) = \nu$, where ${}^t \underline{m}$ denotes the transpose of \underline{m} . Thus (18) has a nontrivial solution.

In the case of $(m_1, \dots, m_\delta) = (0, \dots, 0)$, it is clear that $\text{rank } \tilde{P} < \nu$. Then using the same arguments as above, it follows from hypothesis $H(L, \mathbb{Z}; \mu_1^\sharp/(\mu_1^\sharp - 1)d_1)$ that $\text{rank } P < \nu$, which will be excluded.

Thus we have shown that (18) always has a nontrivial solution (f_1, \dots, f_ν) (say). Now we see from (18) that

$$f_1(\lambda_1^{(\varrho)} a_{1j_1} + \dots + \lambda_{d_1}^{(\varrho)} a_{d_1 j_1}) + \dots + f_\nu(\lambda_1^{(\varrho)} a_{1j_\nu} + \dots + \lambda_{d_1}^{(\varrho)} a_{d_1 j_\nu}) = 2m_\varrho \pi i \quad (1 \leq \varrho \leq \delta).$$

We rewrite this equation as follows:

$$\lambda_1^{(\varrho)}(f_1 a_{1j_1} + \dots + f_\nu a_{1j_\nu}) + \dots + \lambda_{d_1}^{(\varrho)}(f_1 a_{d_1 j_1} + \dots + f_\nu a_{d_1 j_\nu}) = 2m_\varrho \pi i \quad (1 \leq \varrho \leq \delta).$$

Hence using Bertrand's theorem [6, Annexe] again, we have $f_1 \underline{a}_{j_1} + \dots + f_\nu \underline{a}_{j_\nu} \in T_{G'}(\mathbb{C})$. This means that $\underline{a}_{j_1} + T_{G'}(\mathbb{C}), \dots, \underline{a}_{j_\nu} + T_{G'}(\mathbb{C})$ are \mathbb{C} -linearly dependent in the vector space $T_G(\mathbb{C})/T_{G'}(\mathbb{C})$, which contradicts our choice of $\underline{a}_{j_1}, \dots, \underline{a}_{j_\nu}$. Therefore $\text{codim}_W(W \cap T_{G'}(\mathbb{C})) \leq \text{codim}_{\tilde{W}}(\tilde{W} \cap T_{G'}(\mathbb{C}))$.

This completes the proof of Lemma 6.

End of proof of Corollary 2. Since hypotheses (H_A) and (H_B) are satisfied, Corollary 2 follows immediately from our Theorem.

Proof of Corollary 4. Take $y_1 = 1, y_2 = \beta, \dots, y_5 = \beta^4; x_1 = \log \alpha, x_2 = \beta \log \alpha, \dots, x_5 = \beta^4 \log \alpha; L = \mathbb{Q}(\beta)$ in Corollary 2. Then the hypotheses of Corollary 2 are clearly satisfied. Thus the result follows from Corollary 3 by putting $m = d_1 = 5$, and $r = 1$.

Elliptic case. Let $E = E(\mathbb{C})$ be the elliptic curve associated to \wp, Ω the lattice of periods of \wp , and $K = \mathbb{Q}(g_2, g_3)$, where g_2 and g_3 are the algebraic invariants of \wp . Let ω_1, ω_2 denote a fixed basis for Ω , and hence $\Omega = \{m_1\omega_1 + m_2\omega_2; m_1, m_2 \in \mathbb{Z}\}$. Put $\tau = \omega_2/\omega_1$. The exponential map of E is given by $\exp_E : \mathbb{C} \rightarrow E(\mathbb{C}) \subset \mathbb{P}_2(\mathbb{C})$,

$$z \mapsto \begin{cases} (1, \wp(z), \wp'(z)) & \text{if } z \notin \Omega, \\ (0, 0, 1) & \text{if } z \in \Omega. \end{cases}$$

Proof of Corollary 5. If $\sigma = 3$ (resp. $\sigma = 4$), we take $G = E^{d_1}$ (resp. $G = \mathbb{G}_a \times E^{d_1}$). We consider the one-parameter subgroup $\varphi : \mathbb{C} \rightarrow G(\mathbb{C})$ defined by $\varphi(z) = (\exp_E(x_1 z), \dots, \exp_E(x_{d_1} z))$ (resp. $\varphi(z) = (z, \exp_E(x_1 z), \dots, \exp_E(x_{d_1} z))$).

CASE 1: $\mathbb{F} = \mathbb{Q}$. We take $Y = \mathbb{Z}y_1 + \dots + \mathbb{Z}y_m$. If $\sigma = 3$ (resp. $\sigma = 4$), we put $\underline{\omega} = (a_{hp}, 1, \wp(x_i y_j), \wp'(x_i y_j); 1 \leq h \leq d_1, 1 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m, x_i y_j \notin \Omega)$ (resp. $\underline{\omega} = (a_{hp}, y_j, 1, \wp(x_i y_j), \wp'(x_i y_j); 0 \leq h \leq d_1, 0 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m, x_i y_j \notin \Omega)$).

CASE 2: $\mathbb{F} \neq \mathbb{Q}, \mathbb{F} = \mathbb{Q}(\tau)$, where τ is some quadratic irrational number. We take $Y = \mathbb{Z}y_1 + \dots + \mathbb{Z}y_m + \mathbb{Z}\tau y_1 + \dots + \mathbb{Z}\tau y_m$ and $\underline{\omega} = (a_{hp}, 1, \wp(x_i y_j), \wp'(x_i y_j), 1, \wp(x_i y_j \tau), \wp'(x_i y_j \tau); 1 \leq h \leq d_1, 1 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m, x_i y_j \notin \Omega)$ (resp. $\underline{\omega} = (a_{hp}, y_j, 1, \wp(x_i y_j), \wp'(x_i y_j), 1, \wp(x_i y_j \tau), \wp'(x_i y_j \tau); 0 \leq h \leq d_1, 0 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m, x_i y_j \notin \Omega)$). Recall that $\underline{\omega}^{(3)} = (a_{hp}, \wp(x_i y_j); 1 \leq h \leq d_1, 1 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m, x_i y_j \notin \Omega)$ and $\underline{\omega}^{(4)} = (a_{hp}, y_j, \wp(x_i y_j); 0 \leq h \leq d_1, 0 \leq p \leq r, 1 \leq i \leq d_1, 1 \leq j \leq m, x_i y_j \notin \Omega)$.

We put $\underline{a}_p = (a_{1p}, \dots, a_{d_1 p})$ ($1 \leq p \leq r$) (resp. $\underline{a}_p = (a_{0p}, \dots, a_{d_1 p})$ ($0 \leq p \leq r$)) and $W^{(3)} = \mathbb{C}\underline{a}_1 + \dots + \mathbb{C}\underline{a}_r$ (resp. $W^{(4)} = \mathbb{C}\underline{a}_0 + \dots + \mathbb{C}\underline{a}_r$). From the same arguments as in the exponential case, we see that μ_2^\sharp attains its minimum when $G' = \{0\}$, and hence

$$\mu_2^\sharp = ([\mathbb{F} : \mathbb{Q}]m + 2d_1)/(d_1 - r), \quad \kappa_2 = ([\mathbb{F} : \mathbb{Q}](d_1 - r)m)/([\mathbb{F} : \mathbb{Q}]m + 2d_1) + 1.$$

(H_A) is a consequence of hypothesis (H₂) and the description of the connected algebraic subgroups of E^{d_1} (resp. $\mathbb{G}_a \times E^{d_1}$) with the aid of the

effective Kolchin theorem (cf. [10]). Since (H_B) is derived from hypothesis

$$H\left(L, \mathbb{Z} + \mathbb{Z}\tau; \frac{[\mathbb{F} : \mathbb{Q}]m + \mu_2^\sharp}{2d_1(1 + \log d_1)(\mu_2^\sharp - 2)}\right)$$

by the arguments similar to those of the exponential case, we shall omit its proof. Finally, since $K(\underline{\omega})$ is algebraic over $K(\underline{\omega}^{(\sigma)})$ ($\sigma = 3, 4$), Corollary 5 is easily deduced from our Theorem and a remark in [1, p. 225].

Proof of Corollary 7. We shall deduce this result from Corollary 6, in the case $\sigma = 4$. We take $y_j = \beta^{j-1}$ ($j = 1, \dots, \delta$), $x_i = \beta^{i-1}u$ ($i = 1, \dots, \delta$). We put $L = \mathbb{Q}(\beta)$. Then it is clear that $\{1, u\}$ are linearly independent over L and that $1, u, \beta u, \dots, \beta^{\delta-1}u$ are linearly dependent on $\{1, u\}$ over L . We put

$$\underline{a}_0 = \underbrace{(1, 0, \dots, 0)}_{\delta+1}, \quad \underline{a}_1 = \underbrace{(0, 1, \beta, \dots, \beta^{\delta-1})}_{\delta+1}$$

and $W = \mathbb{C}\underline{a}_0 + \mathbb{C}\underline{a}_1$; hence we have $\dim_{\mathbb{C}} W = 2$ and $r = 1$.

It is easily checked that hypothesis (H_2) (and hence (H_A)) is satisfied. Now we shall show that hypothesis (H_B) is always satisfied.

LEMMA 7. *Under the assumption of Corollary 7, (H_B) is true.*

Proof. The proof is similar to that of the exponential case.

We consider $G = \mathbb{G}_a \times E^\delta$. Any connected algebraic subgroup G' of G with $G' \neq G$ has the form $G' = G'_0 \times G'_2$, where $G'_0 = \{0\}$ or \mathbb{G}_a , and G'_2 is a connected algebraic subgroup of E^δ . Since $W \cap T_{G'}(\mathbb{C}) = \{0\}$, it is obvious that $\underline{a}_0 + T_{G'}(\mathbb{C})$ and $\underline{a}_1 + T_{G'}(\mathbb{C})$ are \mathbb{C} -linearly independent in $T_G(\mathbb{C})/T_{G'}(\mathbb{C})$. Then it suffices to prove that $\tilde{\underline{a}}_0 + T_{G'}(\mathbb{C})$ and $\tilde{\underline{a}}_1 + T_{G'}(\mathbb{C})$ are \mathbb{C} -linearly independent. The proof is by contradiction. Suppose that there exist complex numbers e_1, e_2 , not both zero, such that $e_1\tilde{\underline{a}}_0 + e_2\tilde{\underline{a}}_1 \in T_{G'}(\mathbb{C})$. This means that

$$e_1(\tilde{a}_{00}, \tilde{a}_{10}, \dots, \tilde{a}_{\delta 0}) + e_2(\tilde{a}_{01}, \tilde{a}_{11}, \dots, \tilde{a}_{\delta 1}) \in T_{G'}(\mathbb{C}),$$

that is,

$$(20) \quad (e_1\tilde{a}_{00} + e_2\tilde{a}_{01}, e_1\tilde{a}_{10} + e_2\tilde{a}_{11}, \dots, e_1\tilde{a}_{\delta 0} + e_2\tilde{a}_{\delta 1}) \in T_{G'}(\mathbb{C}).$$

In what follows, we denote by $c_i(\delta)$ ($i = 1, 2, 3$) positive numbers depending only on δ . First, we note that $\underline{a}_0 + T_{G'}(\mathbb{C})$ and $\underline{a}_1 + T_{G'}(\mathbb{C})$ being linearly independent implies $G' = \{0\} \times G'_2$. Hence we have $T_{G'}(\mathbb{C}) = \{0\} \oplus T_{G'_2}(\mathbb{C})$.

CASE 1: $\delta_2 = \dim E^\delta/G'_2 = 0$. This means $T_{G'}(\mathbb{C}) = \mathbb{C}^\delta$. Taking $f_1 = 0$ and $f_2 = 1$, we have

$$f_1\underline{a}_0 + f_2\underline{a}_1 \in T_{G'}(\mathbb{C}).$$

First, we assume $(\xi_1, \dots, \xi_{\delta_2}) \neq (0, \dots, 0)$. Then we shall show that

$$\text{rank} \begin{pmatrix} a_{00} & a_{01} & 0 \\ p_{10} & p_{11} & \xi_1 \\ \vdots & \vdots & \vdots \\ p_{\delta_2 0} & p_{\delta_2 1} & \xi_{\delta_2} \end{pmatrix} = 2,$$

which means that (23) has a nontrivial solution.

SUBCASE 2.1: $\delta_2 = 1$. Then (23) has nontrivial solution (for example, take $z_1 = 0, z_2 = p_{11}^{-1}\xi_1$).

SUBCASE 2.2: $\delta_2 > 1$. From our assumption, (21) has a nontrivial solution $(e_1, e_2) \neq (0, 0)$. Thus we have $\text{rank } \tilde{P} = \text{rank}(\tilde{P}, {}^t \underline{\xi})$, where $\underline{\xi} = (0, \xi_1, \dots, \xi_{\delta_2})$. Hence for any i_1, i_2 with $1 \leq i_1 < i_2 \leq \delta_2$, we have

$$\begin{vmatrix} \tilde{a}_{00} & \tilde{a}_{01} & 0 \\ \tilde{p}_{i_1 0} & \tilde{p}_{i_1 1} & \xi_{i_1} \\ \tilde{p}_{i_2 0} & \tilde{p}_{i_2 1} & \xi_{i_2} \end{vmatrix} = 0.$$

By similar arguments to those in the exponential case, from (22) we have

$$(24) \quad \text{abs} \left(\begin{vmatrix} a_{00} & a_{01} & 0 \\ p_{i_1 0} & p_{i_1 1} & \xi_{i_1} \\ p_{i_2 0} & p_{i_2 1} & \xi_{i_2} \end{vmatrix} \right) \leq \exp\left(-\frac{c}{4} \varrho(S)\right).$$

Next, we shall find a lower bound of the absolute value of the determinant

$$\begin{aligned} \begin{vmatrix} a_{00} & a_{10} & 0 \\ p_{i_1 0} & p_{i_1 1} & \xi_{i_1} \\ p_{i_2 0} & p_{i_2 1} & \xi_{i_2} \end{vmatrix} &= \begin{vmatrix} 1 & 0 & 0 \\ 0 & \sum_{j=1}^{\delta} \lambda_j^{(i_1)} \beta^{j-1} & \xi_{i_1} \\ 0 & \sum_{j=1}^{\delta} \lambda_j^{(i_2)} \beta^{j-1} & \xi_{i_2} \end{vmatrix} \\ &= \begin{vmatrix} \sum_{j=1}^{\delta} \lambda_j^{(i_1)} \beta^{j-1} & m_1^{(i_1)} \\ \sum_{j=1}^{\delta} \lambda_j^{(i_2)} \beta^{j-1} & m_1^{(i_2)} \end{vmatrix} \omega_1 + \begin{vmatrix} \sum_{j=1}^{\delta} \lambda_j^{(i_1)} \beta^{j-1} & m_2^{(i_1)} \\ \sum_{j=1}^{\delta} \lambda_j^{(i_2)} \beta^{j-1} & m_2^{(i_2)} \end{vmatrix} \omega_2 \\ &=: \zeta_1 \omega_1 + \zeta_2 \omega_2. \end{aligned}$$

It is clear that $\zeta_1, \zeta_2 \in \mathbb{Q}(\beta)$ and $\deg \zeta_1, \deg \zeta_2 \leq \delta$.

By an elementary computation, we see easily that

$$H(\zeta_1), H(\zeta_2) \leq (c_{40} \|\underline{\lambda}^{(i_1)}\| \|\underline{\lambda}^{(i_2)}\|)^{c_1(\delta)} \leq (c_{41} D_2^{3\delta_2})^{c_1(\delta)} \leq c_{42} D_2^{c_2(\delta)},$$

where $H(\zeta)$ denotes the usual height of an algebraic number ζ . If $\zeta_1 \omega_1 + \zeta_2 \omega_2 \neq 0$, we have

$$|\zeta_1 \omega_1 + \zeta_2 \omega_2| \geq C \exp(-c_3(\delta)(\log D_2)^{\tau_0}),$$

where $\tau_0 > 1$ is some absolute constant and $C > 0$ is a number depending

only on ω_1, ω_2 and δ (see [9, Theorem 1]). Thus in this case, we have

$$\text{abs} \left(\begin{vmatrix} a_{00} & a_{10} & 0 \\ p_{i_1 0} & p_{i_1 1} & \xi_{i_1} \\ p_{i_2 0} & p_{i_2 1} & \xi_{i_2} \end{vmatrix} \right) \geq C \exp(-c_3(\delta)(\log D_2)^{\tau_0}),$$

which contradicts (24), since S is sufficiently large. Thus we have

$$\begin{vmatrix} a_{00} & a_{10} & 0 \\ p_{i_1 0} & p_{i_1 1} & \xi_{i_1} \\ p_{i_2 0} & p_{i_2 1} & \xi_{i_2} \end{vmatrix} = 0 \quad (1 \leq i_1 < i_2 \leq \delta_2).$$

This means $\text{rank } P = \text{rank}(P, {}^t\xi) = 2$, and hence (23) has a nontrivial solution.

In the case of $(\xi_1, \dots, \xi_{\delta_2}) = (0, \dots, 0)$, we have $\text{rank } \tilde{P} < 2$; and we can also show that $\text{rank } P < 2$, which will be excluded.

Thus (23) always has a nontrivial solution $(f_1, f_2) \neq (0, 0)$ (say). Now by similar arguments to those in the exponential case, we have $f_1 \underline{a}_0 + f_2 \underline{a}_1 \in T_{G'}(\mathbb{C})$, which is a contradiction.

This completes the proof of Lemma 7.

End of proof of Corollary 7. Since hypotheses (H_A) and (H_B) are satisfied, we can now apply Corollary 6. We put $\underline{\omega}^{(4)} = (1, 0, \dots, 0, 0, \beta^{j-1}, \beta^{j-1}, \wp(\beta^s u); 1 \leq j \leq \delta, 0 \leq s \leq (\delta - 1)^2)$, $\underline{\omega}' = (\wp(\beta^s u); 0 \leq s \leq \delta - 1)$. Then it is clear that $\text{deg tr}_{\mathbb{Q}} \mathbb{Q}(\underline{\omega}^{(4)}) = \text{deg tr}_{\mathbb{Q}} \mathbb{Q}(\underline{\omega}')$.

If \wp has no complex multiplications, we have $\mathbb{F} = \mathbb{Q}$, and hence $\kappa = (\delta + 2)/3$. Thus if $\delta \geq 2$, we have $\kappa > 4/3$, and we deduce from Corollary 6 that $\text{deg tr}_{\mathbb{Q}} \mathbb{Q}(\underline{\omega}^{(4)}) \geq [(\delta + 2)/3]$.

If \wp has complex multiplications, we have $[\mathbb{F} : \mathbb{Q}] = 2$, and hence $\kappa = (\delta + 1)/2$. If $\delta \geq 2$, we have $\kappa \geq 3/2$, and we infer from Corollary 6 that $\text{deg tr}_{\mathbb{Q}} \mathbb{Q}(\underline{\omega}^{(4)}) \geq [(\delta + 1)/2]$.

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