

On triple curves through a rational triple point of a surface

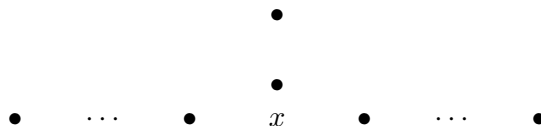
by M. R. GONZALEZ-DORREGO (Madrid)

Abstract. Let k be an algebraically closed field of characteristic 0. Let C be an irreducible nonsingular curve in \mathbb{P}^n such that $3C = S \cap F$, where S is a hypersurface and F is a surface in \mathbb{P}^n and F has rational triple points. We classify the rational triple points through which such a curve C can pass (Theorem 1.8), and give an example (1.12). We only consider reduced and irreducible surfaces.

On curves passing through rational triple points of surfaces

DEFINITION 1.1. Let F be a reduced surface and P a point of F . Let (F, P) be a surface singularity (that is, the spectrum of an equicharacteristic local noetherian complete ring of Krull dimension 2, without zero divisors, whose closed point P is singular). Let $\pi : \tilde{F} \rightarrow F$ be the minimal desingularization of F at P . The *genus* of a normal singularity P is defined to be $\dim_k (R^1 \pi_* \mathcal{O}_{\tilde{F}})_P$. If the genus is 0, the singularity is said to be *rational*. A rational singularity, P , such that the multiplicity of the maximal ideal of the local ring $\mathcal{O}_{F,P}$ is 3, is called a *rational triple point*. We are going to use configurations of dots and x ($\bullet^2 = -2$, $x^2 = -3$) as vertices of the dual graph of the minimal desingularization of the singularity; each vertex corresponds to a curve and each arc to an intersection [1, p. 135]. We list the following *singularity types* of P :

- (1) X_{ijk} , $i, j, k \geq 1$; i denotes the number of dots \bullet to the left of x , j the number of \bullet 's above x , and k the number of \bullet 's to the right of x .

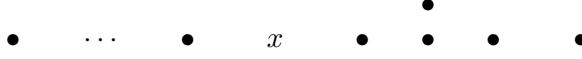


2000 *Mathematics Subject Classification*: 14H45, 14J17, 14J25.

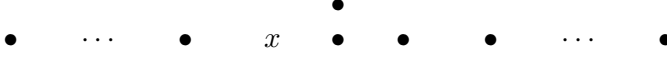
Key words and phrases: rational triple singularity, surface singularity, minimal desingularization, maximal cycle, fundamental cycle.

We would like to thank the Department of Mathematics at the University of Toronto for its hospitality during the preparation of this manuscript.

(2) Y_i , $i \geq 1$; i denotes the number of \bullet 's to the left of x .



(3) R_{ij} , $i, j \geq 1$; i denotes the number of \bullet 's to the left of x and j the number of \bullet to the right of the vertical edge of the graph.



(4) T_i , $i \geq 1$; i denotes the number of \bullet 's to the left of x .



(5) U_{ij} , $i \geq 1$, $j \geq 2$; i denotes the number of \bullet 's to the left of x , and j the number of \bullet 's between x and the vertical edge of the graph.



(6) V_i , $i \geq 1$; i denotes the number of \bullet 's to the left of the vertical edge of the graph.



(7) W_2 .



(8) W_3 .



(9) W_4 .



1.2. Notation. Let F be a reduced surface and P a point of F . Let (F, P) be a surface singularity (that is, the spectrum of an equicharacteristic complete local ring of Krull dimension 2 whose closed point P is singular). Let $\text{Reg}(F)$ denote the regular locus of (F, P) . Let \mathcal{L} be the set of smooth curves Γ on (F, P) whose generic point lies on $\text{Reg}(F)$. Let $\pi : \tilde{F} \rightarrow F$ be the minimal desingularization of F at P . Let $\Phi_{\tilde{F}} : \mathcal{L} \rightarrow \pi^{-1}(P)$ be the map of sets which sends $\Gamma \in \mathcal{L}$ to the exceptional point of its strict transform $\Gamma_{\tilde{F}}$ on \tilde{F} (see [2]).

DEFINITION 1.3.

- (1) The *maximal cycle* is the cycle $Z_{\tilde{F}} = \sum m_i E_i$, defined by the divisorial part of $\mathcal{M}\mathcal{O}_{\tilde{F}}$, where \mathcal{M} is the maximal ideal $\text{Max } \mathcal{O}_{F,P}$ of $\mathcal{O}_{F,P}$; the E_i are the irreducible components of dimension 1 of the exceptional fiber $\pi^{-1}(P)$ and the m_i are nonnegative integers. A component E_j such that $m_j = 1$ is called a *reduced component* of the cycle.
- (2) Consider positive cycles $Z = \sum r_i E_i$, $r_i \geq 0$, such that

$$(Z.E_i) \leq 0 \quad \text{for all } i.$$

The unique componentwise smallest cycle Z satisfying this condition is called the *fundamental cycle* of \tilde{F} .

PROPOSITION 1.4 (see [2, 1.2]). *Let (F, P) be a complete surface singularity. For any irreducible component E of $\pi^{-1}(P)$, let ord_E denote the divisorial valuation of the function field of (F, P) given by the filtration of $\mathcal{O}_{\tilde{F},E}$ by the powers of its maximal ideal. The components E such that*

$$\mathcal{L}_E := \{\Gamma \in \mathcal{L} \mid \Phi_{\tilde{F}}(\Gamma) \in E\} \neq \emptyset$$

are those for which $\text{ord}_E(\mathcal{M}\mathcal{O}_{\tilde{F}}) = 1$. The set \mathcal{L} is the disjoint union of the \mathcal{L}_E .

LEMMA 1.5 (see [2, 1.14]). *The families of smooth curves on a normal surface singularity are in one-to-one correspondence with the reduced components of the maximal cycle of its minimal desingularization π .*

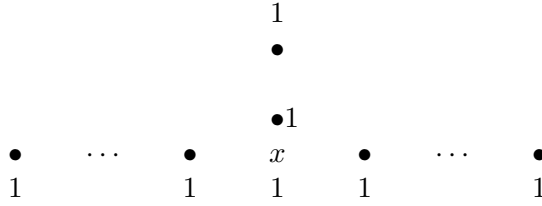
NOTE 1.5.1. For a rational surface singularity, the maximal cycle of π and the fundamental cycle of its weighted dual graph coincide [1].

COROLLARY 1.6. *If an irreducible nonsingular curve C passes through a rational singularity P of a surface F , then its strict transform must intersect transversally only one reduced component of the fundamental cycle.*

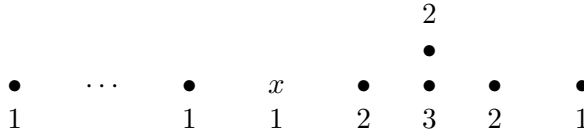
Proof. By Lemma 1.5 and Note 1.5.1 the families of nonsingular curves on a rational surface singularity are in one-to-one correspondence with the reduced components of the fundamental cycle of its minimal desingularization. By Proposition 1.4, $C \in \mathcal{L}_E$ where E is an irreducible component of $\pi^{-1}(P)$ such that $\text{ord}_E(\mathcal{M}\mathcal{O}_{\tilde{F}}) = 1$; thus its strict transform must intersect E transversally, and can intersect no other irreducible exceptional curves because the set of nonsingular curves C on (F, P) whose generic point lies on $\text{Reg}(F)$, \mathcal{L} , is a disjoint union of \mathcal{L}_E by 1.4.

1.6.1. Fundamental cycles for rational triple singularities. We exhibit the fundamental cycle for each singularity type.

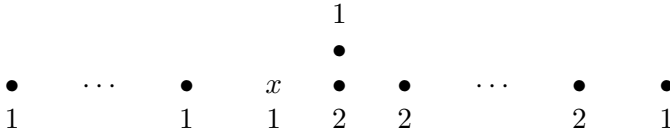
(1) *Case* X_{ijk} , $i, j, k \geq 1$. The fundamental cycle is



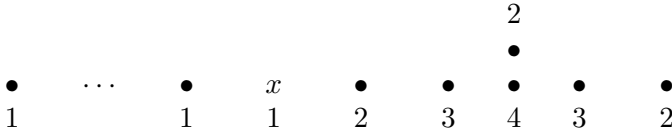
(2) *Case* Y_i , $i \geq 1$. The fundamental cycle is



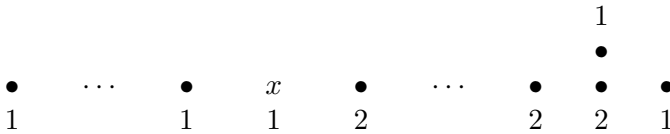
(3) *Case* R_{ij} , $i, j \geq 1$. The fundamental cycle is



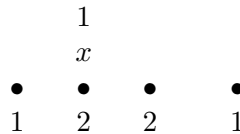
(4) *Case* T_i , $i \geq 1$. The fundamental cycle is



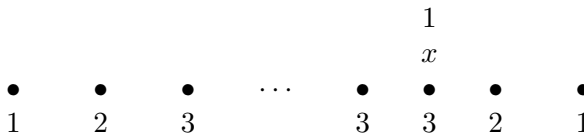
(5) *Case* U_{ij} , $i \geq 1, j \geq 2$. The fundamental cycle is



(6) *Case* V_1 . The fundamental cycle is



(7) *Case* V_i , $i \geq 2$. The fundamental cycle is



(8) *Case* W_2 . The fundamental cycle is

$$\begin{array}{ccccccc}
 & & & & & & 2 \\
 & & & & & & \bullet \\
 x & & \bullet & & \bullet & & \bullet & & \bullet & & \bullet \\
 1 & & 2 & & 3 & & 4 & & 4 & & 3 & & 2
 \end{array}$$

(9) *Case* W_3 . The fundamental cycle is

$$\begin{array}{ccccccc}
 & & & & & & 2 \\
 & & & & & & \bullet \\
 x & & \bullet & & \bullet & & \bullet & & \bullet & & \bullet \\
 1 & & 3 & & 4 & & 3 & & 2 & & 1
 \end{array}$$

(10) *Case* W_4 . The fundamental cycle is

$$\begin{array}{ccccccc}
 & & & & & & 2 \\
 & & & & & & \bullet \\
 x & & \bullet & & \bullet & & \bullet & & \bullet & & \bullet \\
 1 & & 2 & & 3 & & 3 & & 3 & & 2 & & 1
 \end{array}$$

1.7.0. Let C be an irreducible nonsingular curve with $3C = S \cap F$, where S is a hypersurface and F is a surface with rational triple points. Suppose that C passes through a rational triple point P of F . Let \tilde{F} be the minimal desingularization of F at P , $\pi : \tilde{F} \rightarrow F$. Let E_k , $1 \leq k \leq n$, be the irreducible components of the exceptional divisor. The total transform $\pi^*(3C)$ equals $\sum_{j=1}^n \beta_j E_j + 3E$, where E is the strict transform of C , $\beta_j \in \mathbb{N}$.

LEMMA 1.7. *Let E and E_j , $1 \leq j \leq n$, be as in 1.7.0. The square of the exceptional cycle of C is*

$$\left(\sum_{j=1}^n \beta_j E_j \right)^2 = -3E \cdot \left(\sum_{j=1}^n \beta_j E_j \right) = -3\beta_l$$

where l is the unique natural number such that $E_l \cap E \neq \emptyset$.

Proof. Since $\sum_{j=1}^n \beta_j E_j + 3E$ is a Cartier divisor, it has intersection 0 with E_j for all j . Thus, $(\sum_{j=1}^n \beta_j E_j + 3E)(\sum_{j=1}^n \beta_j E_j) = 0$.

THEOREM 1.8. *Let C be as in 1.7.0. The square of the exceptional cycle of C is -6 if C passes through one singularity of type X_{iii} , $i \geq 1$, or of type R_{11} , or of type U_{1j} , $j \geq 2$, or of type W_2 , or of type W_3 . If C passes through one singularity of type V_i , $i \in \mathbb{N}$, the square of the exceptional cycle is -9 ; moreover if $i = 3b + 2$ for $b \in \mathbb{Z}^+$, the square of the exceptional cycle is $-3b - 9$, and if $i = 3a - 1$, $a \in \mathbb{N}$, it is $-3a - 3$. The curve C cannot pass through any other rational triple point of the surface.*

Proof. By Corollary 1.6, if an irreducible nonsingular curve C passes through a rational singularity of F , then its strict transform must intersect transversally only one reduced component of the fundamental cycle. We

Let us see whether we can find, for $a \in \mathbb{N}$, $b, c \in \mathbb{Z}$, a cycle of the form

$$(1.8.3) \quad \begin{array}{ccccccc} & & & & (k+1)a-(j+1)c & & \\ & & & & \circ 3 & & \\ & & & & \bullet(k+1)a-jc & & \\ & & & & & & \\ & & & & \bullet(k+1)a-c & & \\ & & & & x & & \\ \bullet & \dots & \bullet & & \bullet & \dots & \bullet \\ (k+1)a-ib & & (k+1)a-b & & (k+1)a & & ka & & a \end{array}$$

From the equations

$$\begin{aligned} (k+1)a - b + (k+1)a - c + ka + (k+1)a(-3) &= 0, \\ (k+1)a - (j+1)c &= 3, \end{aligned}$$

we obtain

$$a = -b - c, \quad c = -\frac{3 + (k+1)b}{k+j+2}.$$

Since

$$2((k+1)a - ib) = (k+1)a - (i-1)b,$$

we get $a = \frac{(i+1)b}{k+1}$; so $b > 0$. Thus,

$$b = \frac{3k+3}{k(i+j+2) + ij + 2i + 2j + 3} \notin \mathbb{Z}.$$

Therefore, the cycle (1.8.3) cannot occur.

Let l be the unique natural number, $1 < l < i$, such that $E_l \cap E \neq \emptyset$. Let us see whether we can find, for $a \in \mathbb{N}$, $b, c \in \mathbb{Z}$, a cycle of the form

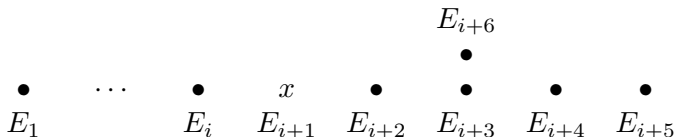
$$(1.8.4) \quad \begin{array}{ccccccc} & & & & & & \bullet(k+1)a-jc \\ & & & & & & \\ & & & & & & \circ 3 \\ & & & & & & \bullet(k+1)a-c \\ \bullet & \dots & \bullet & \dots & \bullet & & x & \bullet & \dots & \bullet \\ (k+1)a-ib & & (k+1)a-lb & & (k+1)a-b & & (k+1)a & & ka & & a \end{array}$$

The equation

$$(k+1)a - (l+1)b + (k+1)a - (l-1)b + 3 + ((k+1)a - lb)(-2) = 0$$

leads to a contradiction. Thus, the cycle (1.8.4) cannot occur.

follows:

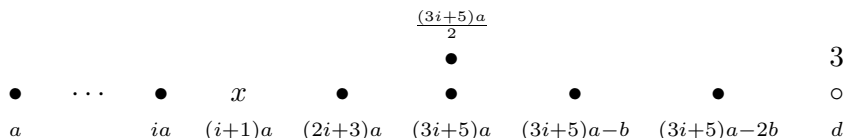


Let a and b denote the respective multiplicities with which E_1 and E_{i+5} appear in $\pi^*(3C)$. Then the equation $\pi^*(3C).E_t = 0$ for all t and the fact that E meets only E_l and no other exceptional curve implies that the cycle $\pi^*(3C)$ has the form, for $a \in \mathbb{N}$, $b \in 2\mathbb{N}$,

$$(1.8.6) \quad \begin{array}{cccccccccccc}
 & & & & 3 & & & & \frac{3b}{2} & & & \\
 & & & & \circ & & & & \bullet & & & \\
 \bullet & \cdots & \bullet & \bullet & \bullet & \cdots & \bullet & x & \bullet & \bullet & \bullet & \bullet \\
 a & & (l-1)a & la & \frac{(4+i-l)b}{2} & & \frac{7b}{2} & 2b & \frac{5b}{2} & 3b & 2b & b
 \end{array}$$

Now, $\pi^*(3C).E_l = 0$ reads $2la = (l-1)a + 3 + (4+i-l)b/2$. Putting this together with the equation $la = (7+i-l)b/2$, we obtain $(l+1)a = 3 + la - 3b/2$ or $a = 3 - 3b/2$. Since no strictly positive integers a and b can satisfy the last equality, we arrive at a contradiction.

Let us see whether we can find, for $a, (3i+5)a/2 \in \mathbb{N}$, $b \in \mathbb{Z}$, $d = (3i+5)a - 3b$, a cycle of the form



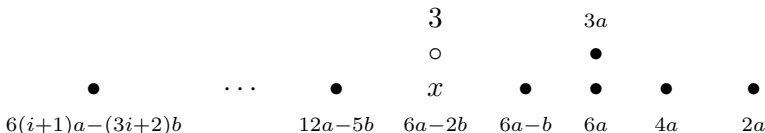
From the equations

$$(3i+5)a - b + (2i+3)a + \frac{(3i+5)a}{2} + (3i+5)a(-2) = 0,$$

$$(3i+5)a - 3b = 3,$$

we obtain $(i+1)a = 2b$, $a = \frac{6}{3i+7} \notin \mathbb{N}$ for $i \geq 1$.

For $2a, 3a \in \mathbb{N}$, $b \in \mathbb{Z}$, consider a cycle



The equation $6a - b + 12a - 5b + 3 + (6a - 2b)(-3) = 0$ leads to a contradiction. Thus, C cannot pass through a Y_i singularity for $i \geq 1$.

(3) *Case R_{ij} , $i, j \geq 1$.* Consider its fundamental cycle. If we could find, for $2a, (j+1)a \in \mathbb{N}$, $b \in \mathbb{Z}$, $d = 2(i+1)(j+1)a - (2i+1)b$, a cycle

Consider, for $a \in \mathbb{N}$, $b, c \in \mathbb{Z}$, $\frac{(i+1)a-b}{2} \in \mathbb{N}$, a cycle

$$(1.8.9) \quad \begin{array}{cccccccc} & & & 3 & \frac{(i+1)a-b}{2} & & & \\ & & & \circ & \bullet & & & \\ \bullet & \dots & \bullet & x & \bullet & \bullet & \dots & \bullet \\ a & & ia & (i+1)a & (i+1)a-b & (i+1)a-c & & (i+1)a-jc \end{array}$$

From the equation

$$(i+1)a - b + 3 + ia + (i+1)a(-3) = 0,$$

we obtain $b = 3 - (i+2)a$. From

$$(i+1)a + \frac{(i+1)a-b}{2} + (i+1)a - c + ((i+1)a-b)(-2) = 0,$$

we obtain $\frac{9-(2i+5)a}{2} = c$. On the other hand,

$$2((i+1)a - jc) = (i+1)a - (j-1)c,$$

so $c = \frac{9(i+1)}{2(i+1)(j+2)+3(j+1)} \geq 1$; since $a \in \mathbb{N}$ and $a = \frac{9-2c}{2i+5}$, we get $c = 1$. Consequently, $i = 1$, $a = 1$, $b = 0$, $j = 1$.

We have $(\sum_{j=1}^5 \beta_j E_j)^2 = (E_1 + 2E_2 + 2E_3 + E_4 + E_5)^2 = -6$.

In this case, β_l of Lemma 1.7 is 2.

Hence, C can pass through a rational singularity of type R_{11} , but not through a rational singularity of type R_{ij} with i or $j > 1$.

(4) *Case T_i , $i \geq 1$.* Consider its fundamental cycle. If we could find, for $2a, 3a \in \mathbb{N}$, $b \in \mathbb{Z}$, $d = (i+1)6a - (5i+2)b$, a cycle

$$\begin{array}{cccccccccccc} & & & & & & & & & 3a & & & & \\ & & & 3 & & & & & & \bullet & & & & \\ \circ & \bullet & \dots & \bullet & \bullet & x & \bullet & \bullet & \bullet & \bullet & \bullet & \bullet & \bullet & \\ & d & & 18a-12b & 12a-7b & 6a-3b & & 6a-b & 6a & 4a & 2a & & & \end{array}$$

then C would pass through a rational singularity of type T_i , $i \geq 1$. From the equations

$$6a - b + 4a + 3a + 6a(-2) = 0, \quad (i+2)6a - (5i+7)b = 3,$$

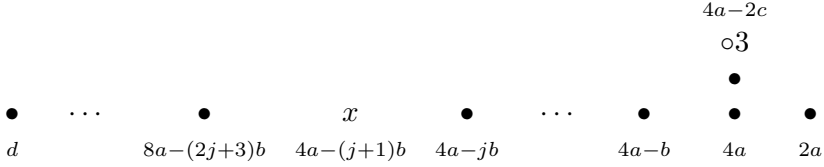
we see that $a = b$ and $i = -2$, which is absurd.

Let l be the unique natural number, $1 < l \leq i$, such that there is no cycle such that $E_l \cap E \neq \emptyset$.

Consider, for $a \in \mathbb{N}$, $b, c \in \mathbb{Z}$, $\frac{(i+1)a-3b}{2} \in \mathbb{N}$, $d = (i+1)a - 2c$, a cycle

$$\begin{array}{cccccccc} & & & & & & \frac{(i+1)a-3b}{2} & & \\ & & & (i+1)a & & & \bullet & & \\ \bullet & \dots & \bullet & x & \bullet & \bullet & \bullet & \bullet & \bullet \\ a & & ia & & (i+1)a-b & (i+1)a-2b & (i+1)a-3b & (i+1)a-c & d \\ & & & \circ & & & & & \\ & & & 3 & & & & & \end{array}$$

Consider, for $2a \in \mathbb{N}$, $b, c \in \mathbb{Z}$, $d = 4(i+1)a - ((i+1)j + (2i+1))b$ a cycle

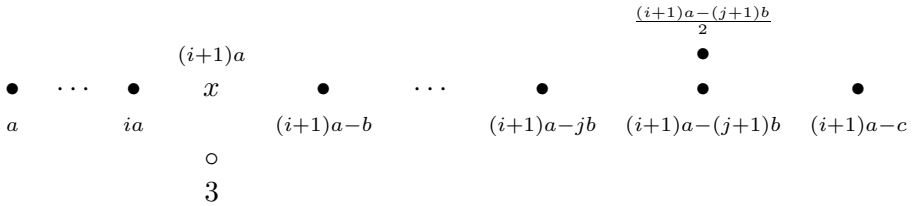


From the equations

$$4a - b + 4a - c + 2a + 4a(-2) = 0, \quad 4a - 2c = 3,$$

we obtain $b + c = 2a$, $c = \frac{4a-3}{2}$; so $b = \frac{3}{2} \notin \mathbb{Z}$.

Consider, for $a \in \mathbb{N}$, $b, c \in \mathbb{Z}$, $\frac{(i+1)a - (j+1)b}{2} \in \mathbb{N}$, a cycle



From the equations

$$ia + (i+1)a - b + 3 + (i+1)a(-3) = 0,$$

$$(i+1)a - jb + \frac{(i+1)a - (j+1)b}{2} + (i+1)a - c + ((i+1)a - (j+1)b)(-2) = 0,$$

we obtain

$$b = 3 - (i+2)a, \quad c = \frac{(i+1)a + (j+3)b}{2},$$

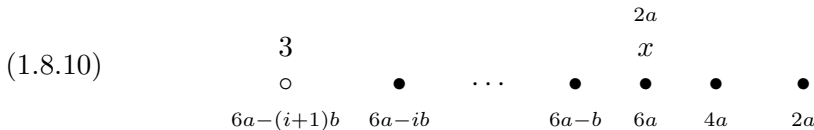
so $c = \frac{9+3j - ((i+2)(j+2)+1)a}{2}$. Since

$$2((i+1)a - c) = (i+1)a - (j+1)b,$$

we get $c - 2b = c$, so $b = 0$; thus $a = \frac{3}{i+2} \in \mathbb{N}$ implies that $i = 1$ and $a = 1$; so $c = 1$ for $j \geq 1$.

Hence, C can pass through a rational singularity of type U_{1j} for $j \geq 2$, but there are no C passing through an U_{ij} singularity for $i > 1$, $j \geq 2$.

(6) *Case V_i , $i \geq 1$.* Consider its fundamental cycle. For $2a \in \mathbb{N}$, $b \in \mathbb{Z}$, consider a cycle

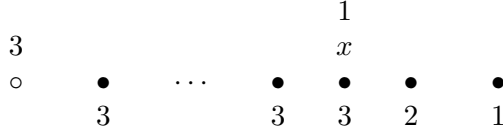


From the equations

$$6a - b + 2a + 4a + 6a(-2) = 0,$$

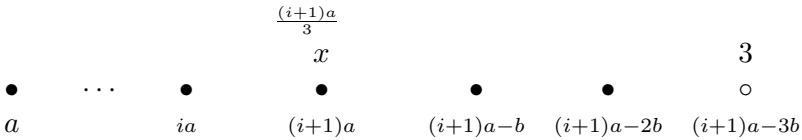
$$6a - (i + 1)b = 3,$$

we obtain $b = 0$, $2a = 1$; we have the cycle



For $i \in \mathbb{N}$, the singularity V_i can occur.

For $a \in \mathbb{N}$, $b \in \mathbb{Z}$, $\frac{(i+1)a}{3} \in \mathbb{N}$, consider a cycle

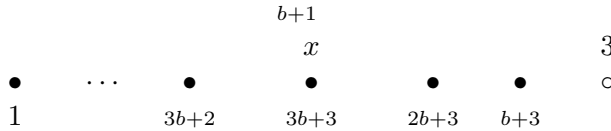


Since

$$ia + \frac{(i + 1)a}{3} + (i + 1)a - b + (-2)(i + 1)a = 0,$$

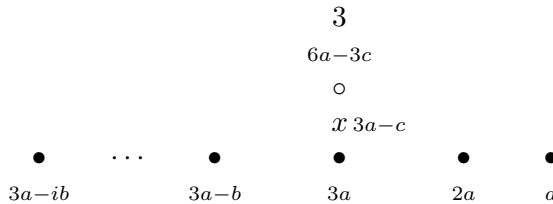
$$(i + 1)a - 3b = 3,$$

we obtain $a = 1$ and $b = \frac{i-2}{3}$. In this case, the total transform of $3C$, for $b \in \mathbb{Z}^+$, is



For $b \in \mathbb{Z}^+$, the singularity V_{3b+2} can occur.

For $a \in \mathbb{N}$, $b, c \in \mathbb{Z}$, consider a cycle

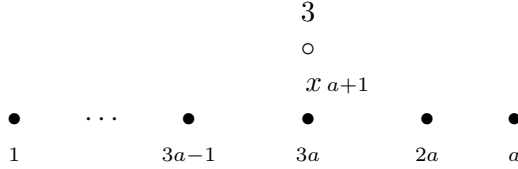


Since $6a - 3c = 3$, we have $c = 2a - 1$. From the equation

$$3a - b + 3a - c + 2a + 3a(-2) = 0,$$

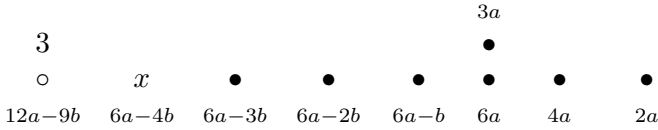
we obtain $2a = b + c$; so $b = 1$. From $2(3a - ib) = 3a - (i - 1)b$, we get $a = \frac{i+1}{3}$. For $a \geq 1$, the singularity V_{3a-1} can occur.

In this case, the total transform of $3C$ is



So C can pass through a rational singularity of type V_{3a-1} , $a \geq 1$.

(7) *Case W_2 .* Consider its fundamental cycle. If we could find, for $2a, 3a \in \mathbb{N}$, $b \in \mathbb{Z}$, a cycle

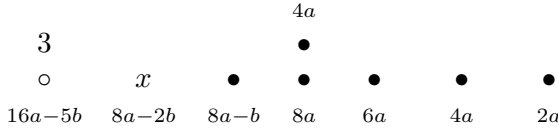


then C would pass through a rational singularity of type W_2 . Let us see if it is possible to find a and b satisfying the equations $12a - 9b = 3$ and $6a - b + 4a + 3a + 6a(-2) = 0$. From the second equation we obtain $a = b$. Thus $a = 1$.

We have $(\sum_{j=1}^8 \beta_j E_j)^2 = -6$. In this case, β_l of Lemma 1.7 is 2.

Hence, C can pass through a rational singularity of type W_2 .

(8) *Case W_3 .* Consider its fundamental cycle. If we could find, for $2a \in \mathbb{N}$, $b \in \mathbb{Z}$, a cycle



then C would pass through a rational singularity of type W_3 . From the equations

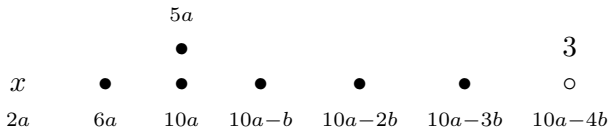
$$8a - b + 6a + 4a + 8a(-2) = 0, \quad 16a - 5b = 3,$$

we obtain $2a = b$ and $a = \frac{1}{2}$, so $2a = 1$.

We have $(\sum_{j=1}^7 \beta_j E_j)^2 = -6$. In this case, β_l of Lemma 1.7 is 2.

Hence, C can pass through a rational singularity of type W_3 .

Let us see whether we can find, for $2a, 5a \in \mathbb{N}$, $b \in \mathbb{Z}$, a cycle



From the equations

$$6a + 5a + 10a - b + 10a(-2) = 0, \quad 10a - 4b = 3,$$

Let I be the ideal generated in $k[x, y, t', u']$ by the relations above. Then $k[x, y, t', u']/I$ is a free module over $k[y, u']$ generated by $(1, x, x^2, x^3, t', t'x)$; it is the affine coordinate ring of the surface F with a rational triple point X_{111} at the origin. In $k[x, y, t', u']$ we consider the ideal J generated by the irreducible polynomial $f(x, y, t', u') = 7t' - u' + y - 4x^2$. This polynomial is obtained as follows. Let us look at the dual graph (1.8.6). Then $f(x, y, t', u')$ equals $\frac{(y-x^2)^3}{y(y+x^2)}$ modulo I . The quotient $k[x, y, t', u']/J$ is the affine coordinate ring of a hypersurface S . After projectivization, the intersection of S and F is a multiplicity-three structure on a curve C passing through the singularity X_{111} of F .

References

- [1] M. Artin, *On isolated rational singularities of surfaces*, Amer. J. Math. 88 (1966), 129–136.
- [2] G. González-Sprinberg and M. Lejeune-Jalabert, *Families of smooth curves on singularities and wedges*, Ann. Polon. Math. 67 (1997), 179–190.
- [3] J. Kollár, *Toward moduli of singular varieties*, thesis, Brandeis Univ., 1983.
- [4] M. Spivakovsky, *Sandwiched singularities and desingularizations of surfaces by normalized Nash-transformations*, Ann. of Math. 131 (1990), 411–491.

Departamento de Matemáticas
 Universidad Autónoma de Madrid
 28049 Madrid, Spain
 E-mail: mrosario.gonzalez@uam.es

*Received 26.11.2003
 and in final form 27.10.2005*

(1490)