

Uniqueness of entire functions and fixed points

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Abstract. Let f and g be entire functions, n , k and m be positive integers, and λ , μ be complex numbers with $|\lambda| + |\mu| \neq 0$. We prove that $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ must have infinitely many fixed points if $n \geq k + 2$; furthermore, if $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ and $(g^n(z)(\lambda g^m(z) + \mu))^{(k)}$ have the same fixed points with the same multiplicities, then either $f \equiv cg$ for a constant c , or f and g assume certain forms provided that $n > 2k + m^* + 4$, where m^* is an integer that depends only on λ .

1. Introduction and main results. In this paper, a meromorphic function will mean meromorphic in the whole complex plane. We shall use the standard notations of value distribution theory [10]: $T(r, f)$, $m(r, f)$, $N(r, f)$, $\overline{N}(r, f)$, etc. We denote by $S(r, f)$ any function that satisfies $S(r, f) = o(T(r, f))$ as $r \rightarrow \infty$ possibly outside a set of finite linear measure.

We say that two meromorphic functions f and g share a small function $a(z)$ IM (ignoring multiplicities) when $f - a$ and $g - a$ have the same zeros. If f and g have the same zeros with the same multiplicities, then we say that f and g share $a(z)$ CM (counting multiplicities).

Let p be a positive integer and $a \in \mathbb{C}$. We denote by $N_p(r, 1/(f - a))$ the counting function of the zeros of $f - a$, where an m -fold zero is counted m times if $m \leq p$ and p times if $m > p$. We say that a finite value z_0 is a fixed point of f if $f(z_0) = z_0$.

In 1959, Hayman [4] proved the following result.

THEOREM A. *Let f be a transcendental entire function, and $n \geq 1$ be a positive integer. Then $f^n f' - 1$ has infinitely many zeros.*

Wang [8] extended Theorem A, and proved the next result.

THEOREM B. *Let f be a transcendental meromorphic function, and n, k be positive integers with $n \geq k + 1$. Then $(f^n)^{(k)} - 1$ has infinitely many zeros.*

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It is of interest to establish uniqueness theorems corresponding to the above results. Fang and Hua [2], Yang and Hua [9] obtained the following results.

THEOREM C. *Let f and g be nonconstant entire functions, and $n \geq 6$ be a positive integer. If $f^n f'$ and $g^n g'$ share 1 CM, then either $f(z) = c_1 e^{cz}$, $g(z) = c_2 e^{-cz}$, where c_1, c_2 and c are constants satisfying $(c_1 c_2)^{n+1} c^2 = -1$, or $f = tg$ for a constant t such that $t^{n+1} = 1$.*

THEOREM D. *Let f and g be nonconstant entire functions, and n and k be positive integers with $n > 2k + 4$. If $(f^n)^{(k)}$ and $(g^n)^{(k)}$ share 1 CM, then either $f(z) = c_1 e^{cz}$, $g(z) = c_2 e^{-cz}$, where c_1, c_2 and c are constants satisfying $(-1)^k (c_1 c_2)^n (nc)^{2k} = -1$, or $f = tg$ for a constant t such that $t^n = 1$.*

In [1], Fang also obtained the following results.

THEOREM E. *Let f be a transcendental entire function, and n and k be positive integers with $n \geq k + 2$. Then $(f^n(f - 1))^{(k)} - 1$ has infinitely many zeros.*

THEOREM F. *Let f and g be nonconstant entire functions, and n, k be positive integers with $n \geq 2k + 8$. If $(f^n(f - 1))^{(k)}$ and $(g^n(g - 1))^{(k)}$ share 1 CM, then $f = g$.*

Corresponding to the above results, some authors considered uniqueness of entire functions that have fixed points (see Fang and Qiu [3], Lin and Yi [7]). In the present paper, we consider the existence of fixed points of $(f^n(\lambda f^m + \mu))^{(k)}$ and the corresponding uniqueness theorems, where n, m and k are positive integers, and we obtain the following results which generalize the above theorems.

THEOREM 1. *Let $f(z)$ be a transcendental entire function, n, k and m be positive integers, and λ, μ be complex numbers satisfying $|\lambda| + |\mu| \neq 0$. Then*

$$(n - k - 1)T(r, f) \leq \bar{N} \left(r, \frac{1}{(f^n(z)(\lambda f^m(z) + \mu))^{(k)} - z} \right) + S(r, f).$$

COROLLARY. *Let $f(z)$ be a transcendental entire function, n, k and m be positive integers with $n \geq k + 2$, and λ, μ be complex numbers such that $|\lambda| + |\mu| \neq 0$. Then $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ has infinitely many fixed points.*

REMARK 1. It is easy to see that a polynomial $P(z)$ with degree $n \geq 1$ has exactly n fixed points (counting multiplicities), but a transcendental entire function may have no fixed points. For example, the function $f = e^{\alpha(z)} + z$ has no fixed points, where $\alpha(z)$ is an entire function.

We define an integer m^* , corresponding to the differential polynomials $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ and $(g^n(z)(\lambda g^m(z) + \mu))^{(k)}$ in Theorem 2, by

$$m^* = \begin{cases} m, & \lambda \neq 0, \\ 0, & \lambda = 0. \end{cases}$$

THEOREM 2. *Let $f(z)$ and $g(z)$ be transcendental entire functions, n, m and k be positive integers, and λ and μ be constants that satisfy $|\lambda| + |\mu| \neq 0$. Suppose that $n > 2k + m^* + 4$. If $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ and $(g^n(z)(\lambda g^m(z) + \mu))^{(k)}$ share z CM, then the following conclusions hold:*

- (i) *If $\lambda\mu \neq 0$, then $f^d(z) \equiv g^d(z)$, where $d = \text{GCD}(n, m)$; in particular, $f(z) \equiv g(z)$ when $d = 1$.*
- (ii) *If $\lambda\mu = 0$, then either $f = cg$ for a constant c that satisfies $c^{n+m^*} = 1$, or $k = 1$ and $f(z) = b_1 e^{bz^2}$, $g(z) = b_2 e^{-bz^2}$ for some constants b_1, b_2 and b that satisfy $4(\lambda + \mu)^2 (b_1 b_2)^{n+m^*} ((n + m^*)b)^2 = -1$.*

2. Some lemmas

LEMMA 1 ([10]). *Let f be a nonconstant meromorphic function, and a_0, a_1, \dots, a_n be finite complex numbers such that $a_n \neq 0$. Then*

$$T(r, a_n f^n + a_{n-1} f^{n-1} + \dots + a_1 f + a_0) = nT(r, f) + S(r, f).$$

LEMMA 2 ([6]). *Let f be a nonconstant meromorphic function, and p, k be positive integers. Then*

$$(2.1) \quad N_p(r, 1/f^{(k)}) \leq T(r, f^{(k)}) - T(r, f) + N_{p+k}(r, 1/f) + S(r, f),$$

$$(2.2) \quad N_p(r, 1/f^{(k)}) \leq k\bar{N}(r, f) + N_{p+k}(r, 1/f) + S(r, f).$$

LEMMA 3 ([11]). *Let*

$$(2.3) \quad H = \left(\frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left(\frac{G''}{G'} - \frac{2G'}{G-1} \right),$$

where F and G are nonconstant meromorphic functions. If F and G share 1 CM and $H \neq 0$, then

$$(2.4) \quad T(r, F) + T(r, G) \leq 2(N_2(r, 1/F) + N_2(r, 1/G) + N_2(r, F) + N_2(r, G)) + S(r, F) + S(r, G).$$

LEMMA 4 ([10]). *Let $f(z)$ be a nonconstant meromorphic function, and $a_1(z), a_2(z)$ and $a_3(z)$ be distinct small functions of $f(z)$. Then*

$$T(r, f) < \sum_{j=1}^3 \bar{N}\left(r, \frac{1}{f - a_j}\right) + S(r, f).$$

LEMMA 5. *Let f and g be nonconstant entire functions, n, m and k be positive integers, and let*

$$F = (f^n(z)(\lambda f^m(z) + \mu))^{(k)}, \quad G = (g^n(z)(\lambda g^m(z) + \mu))^{(k)},$$

where $\lambda\mu \neq 0$. If there exist nonzero constants a_1 and a_2 such that

$$\overline{N}\left(r, \frac{1}{F - a_1}\right) = \overline{N}\left(r, \frac{1}{G}\right), \quad \overline{N}\left(r, \frac{1}{G - a_2}\right) = \overline{N}\left(r, \frac{1}{F}\right),$$

then $n \leq 2k + 2 + m$.

Proof. By the second fundamental theorem, we have

$$\begin{aligned} (2.5) \quad T(r, F) &\leq \overline{N}\left(r, \frac{1}{F}\right) + \overline{N}\left(r, \frac{1}{F - a_1}\right) + S(r, F) \\ &\leq \overline{N}\left(r, \frac{1}{F}\right) + \overline{N}\left(r, \frac{1}{G}\right) + S(r, F) \\ &\leq N_1\left(r, \frac{1}{F}\right) + N_1\left(r, \frac{1}{G}\right) + S(r, F). \end{aligned}$$

From 2.5, Lemma 1 and Lemma 2, we obtain

$$\begin{aligned} T(r, F) &\leq T(r, F) - T(r, f^n(z)(\lambda f^m(z) + \mu)) \\ &\quad + N_{k+1}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) \\ &\quad + N_{k+1}\left(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}\right) + S(r, f) + S(r, g). \end{aligned}$$

Hence

$$\begin{aligned} (2.6) \quad (n + m)T(r, f) &\leq N_{k+1}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) \\ &\quad + N_{k+1}\left(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}\right) + S(r, f) + S(r, g) \\ &\leq (k + 1)(\overline{N}(r, 1/f) + \overline{N}(r, 1/g)) \\ &\quad + m(T(r, f) + T(r, g)) + S(r, f) + S(r, g). \end{aligned}$$

By a similar reasoning, we have

$$(2.7) \quad (n + m)T(r, g) \leq (k + 1)(\overline{N}(r, 1/f) + \overline{N}(r, 1/g)) + m(T(r, f) + T(r, g)) + S(r, f) + S(r, g).$$

From 2.6 and 2.7, we have

$$(n - 2k - 2 - m)(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g),$$

which implies that $n \leq 2k + 2 + m$. Lemma 5 is thus proved.

LEMMA 6. Suppose that F and G are given by Lemma 5. If $n > 2k + m$ and $F = G$, then $f^d(z) \equiv g^d(z)$, where $d = \text{GCD}(n, m)$.

Proof. From $F = G$, we get

$$(f^n(z)(\lambda f^m(z) + \mu))^{(k)} = (g^n(z)(\lambda g^m(z) + \mu))^{(k)}.$$

By integration, we have

$$(f^n(z)(\lambda f^m(z) + \mu))^{(k-1)} = (g^n(z)(\lambda g^m(z) + \mu))^{(k-1)} + a_{k-1},$$

where a_{k-1} is a constant. If $a_{k-1} \neq 0$, Lemma 5 yields $n \leq 2k + m$, which is a contradiction. Hence $a_{k-1} = 0$. Repeating the same process $k - 1$ times, we obtain

$$(2.8) \quad f^n(z)(\lambda f^m(z) + \mu) = g^n(z)(\lambda g^m(z) + \mu).$$

Now we suppose that $h = f/g$. By 2.8, we get

$$\lambda g^m(h^{n+m} - 1) = \mu(1 - h^n).$$

When $h^{n+m} = 1$, the above equation yields $h^n = 1$, that is, $f^n = g^n$ and $f^m = g^m$, so $f^d(z) \equiv g^d(z)$, where $d = \text{GCD}(n, m)$. When $h^{n+m} \neq 1$, by substituting $f = gh$ into 2.8, we have

$$g^m = -\frac{\mu}{\lambda} \cdot \frac{1 + h + \cdots + h^{n-1}}{1 + h + \cdots + h^{n+m-1}} = -\frac{\mu}{\lambda} \cdot \frac{\prod_{i=1}^{n-1}(h - \zeta_i)}{\prod_{i=1}^{n+m-1}(h - \eta_i)},$$

where $\zeta_i \neq 1$, $\zeta_i^n = 1$, and $\eta_i \neq 1$, $\eta_i^{n+m} = 1$. Since g is an entire function, we know that every zero of $h^{n+m} - 1$ has to be a zero of $h^n - 1$. Noting that $n > 2k + m$, we deduce that h is a constant. Hence, g is a constant, which is a contradiction. Therefore, $f^d(z) \equiv g^d(z)$, where $d = \text{GCD}(n, m)$.

LEMMA 7. *Let f and g be transcendental entire functions, n, m and k be positive integers, and $F = (f^n(z)(\lambda f^m(z) + \mu))^{(k)}$, $G = (g^n(z)(\lambda g^m(z) + \mu))^{(k)}$, where $\lambda\mu \neq 0$. If $FG = z^2$, then $n \leq k + 2$.*

Proof. Suppose $n > k + 2$. From $FG = z^2$, we have

$$(2.9) \quad (f^n(z)(\lambda f^m(z) + \mu))^{(k)}(g^n(z)(\lambda g^m(z) + \mu))^{(k)} = z^2.$$

Suppose that z_0 is a p -fold zero of f . Since $\lambda\mu \neq 0$, we know that z_0 must be an $(np - k)$ -fold zero of $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$. As g is an entire function and $n > k + 2$, it follows from 2.9 that z_0 is a zero of z^2 of order at least 3, which is impossible. Thus f has no zeros. Let $f(z) = e^{\beta(z)}$, where $\beta(z)$ is a nonconstant entire function. Then

$$(2.10) \quad (f^{m+n})^{(k)} = (e^{(m+n)\beta})^{(k)} = P_1(\beta', \beta'', \dots, \beta^{(k)})e^{(m+n)\beta},$$

$$(2.11) \quad (f^n)^{(k)} = (e^{n\beta})^{(k)} = P_2(\beta', \beta'', \dots, \beta^{(k)})e^{n\beta},$$

where P_1 and P_2 are differential polynomials in $\beta', \beta'', \dots, \beta^{(k)}$. It is easy to see that $P_1 \neq 0$, $P_2 \neq 0$, $T(r, P_1) = S(r, f)$ and $T(r, P_2) = S(r, f)$. From 2.9, 2.10 and 2.11 we obtain

$$N\left(r, \frac{1}{\lambda P_1 e^{m\beta} + \mu P_2}\right) = S(r, f).$$

By Lemmas 4 and 1, we have

$$\begin{aligned} mT(r, f) &= T(r, P_1 e^{m\beta}) + S(r, f) \\ &\leq \overline{N}\left(r, \frac{1}{\lambda P_1 e^{m\beta} + \mu P_2}\right) + \overline{N}\left(r, \frac{1}{P_1 e^{m\beta}}\right) + S(r, f) \\ &= S(r, f), \end{aligned}$$

which is a contradiction. Thus $n \leq k + 2$. This completes the proof of Lemma 7.

LEMMA 8. *Let f and g be nonconstant entire functions, n, m and k be positive integers, and $F = (f^n(z)(\lambda f^m(z) + \mu))^{(k)}$, $G = (g^n(z)(\lambda g^m(z) + \mu))^{(k)}$, where $|\lambda| + |\mu| \neq 0$, and $\lambda\mu = 0$. If there exist nonzero constants a_1 and a_2 such that $\overline{N}(r, 1/(F - a_1)) = \overline{N}(r, 1/G)$ and $\overline{N}(r, 1/(G - a_2)) = \overline{N}(r, 1/F)$, then $n \leq 2k + 2 - m^*$.*

Proof. If $\lambda \neq 0$, by the same arguments as in the proof of Lemma 5, we have

$$(n - 2k - 2 + m)(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g),$$

which implies that $n \leq 2k + 2 - m^*$.

If $\lambda = 0$, a similar argument gives

$$(2.12) \quad nT(r, f) \leq (k + 1)(\overline{N}(r, 1/f) + \overline{N}(r, 1/g)) + S(r, f) + S(r, g),$$

$$(2.13) \quad nT(r, g) \leq (k + 1)(\overline{N}(r, 1/f) + \overline{N}(r, 1/g)) + S(r, f) + S(r, g).$$

Hence

$$(n - 2k - 2)(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g),$$

and we deduce that $n \leq 2k + 2$.

By the arguments much similar to the proof of Lemma 6, we have the following lemma.

LEMMA 9. *Suppose that F and G are given by Lemma 8. If $n > 2k - m^*$ and $F = G$, then $f = cg$ for a constant c that satisfies $c^{n+m^*} = 1$.*

Proof. Suppose that $\lambda \neq 0$. By using the same arguments as in the proof of Lemma 6, we have $\lambda f^{m+n} = \lambda g^{m+n}$ if $n > 2k - m$. If $\lambda = 0$, then we have $\mu f^n = \mu g^n$. Thus we obtain the conclusion of Lemma 9.

LEMMA 10 ([5]). *Suppose that f is a nonconstant meromorphic function, and $k \geq 2$ is an integer. If*

$$N(r, f) + N(r, 1/f) + N(r, 1/f^{(k)}) = S(r, f'/f),$$

then $f = e^{az+b}$, where $a \neq 0$, b are constants.

3. Proofs of theorems

Proof of Theorem 1. Set $F = f^n(z)(\lambda f^m(z) + \mu)$. By Lemma 4, we have

$$(3.1) \quad T(r, F^{(k)}) \leq \overline{N}\left(r, \frac{1}{F^{(k)}}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f).$$

CASE 1: $\lambda \neq 0$. By (3.1) and Lemma 2 with $p = 1$, we obtain

$$(3.2) \quad \begin{aligned} T(r, F^{(k)}) &\leq N_1\left(r, \frac{1}{F^{(k)}}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f) \\ &\leq T(r, F^{(k)}) - T(r, F) + N_{k+1}\left(r, \frac{1}{F}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f), \end{aligned}$$

and so

$$\begin{aligned} T(r, F) &\leq N_{k+1}\left(r, \frac{1}{F}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f) \\ &\leq N_{k+1}\left(r, \frac{1}{f^n}\right) + N_{k+1}\left(r, \frac{1}{\lambda f^m(z) + \mu}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f) \\ &\leq (k+1+m)T(r, f) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f). \end{aligned}$$

Since $T(r, F) = (m+n)T(r, f) + S(r, f)$, we have

$$(n-k-1)T(r, f) \leq \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f).$$

Hence, the conclusion of Theorem 1 holds in this case.

CASE 2: $\lambda = 0$. Since $|\lambda| + |\mu| \neq 0$, we know that $\mu \neq 0$. By using the same arguments as above, we have

$$\begin{aligned} T(r, F) &\leq N_{k+1}\left(r, \frac{1}{F}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f) \\ &\leq N_{k+1}\left(r, \frac{1}{\mu f^n}\right) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f) \\ &\leq (k+1)T(r, f) + \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f). \end{aligned}$$

Noting that $T(r, F) = nT(r, f) + S(r, f)$, we obtain

$$(n-k-1)T(r, f) \leq \overline{N}\left(r, \frac{1}{F^{(k)} - z}\right) + S(r, f).$$

Theorem 1 follows.

Proof of Theorem 2. We consider the following two cases.

(i) $\lambda\mu \neq 0$. Let

$$(3.3) \quad F = \frac{(f^n(z)(\lambda f^m(z) + \mu))^{(k)}}{z}, \quad G = \frac{(g^n(z)(\lambda g^m(z) + \mu))^{(k)}}{z}.$$

Then F and G are transcendental meromorphic functions that share 1 CM. Let H be given by (2.3). If $H \not\equiv 0$, by Lemma 3 we know that (2.4) holds. From Lemma 2, we have

$$\begin{aligned}
 (3.4) \quad N_2(r, 1/F) &\leq N_2\left(r, \frac{1}{(f^n(z)(\lambda f^m(z) + \mu))^{(k)}}\right) + S(r, f) \\
 &\leq T(r, (f^n(z)(\lambda f^m(z) + \mu))^{(k)}) - (m+n)T(r, f) \\
 &\quad + N_{k+2}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) + S(r, f) \\
 &= T(r, F) - (m+n)T(r, f) \\
 &\quad + N_{k+2}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) + S(r, f).
 \end{aligned}$$

Similarly, we have

$$\begin{aligned}
 (3.5) \quad N_2(r, 1/G) &\leq T(r, G) - (m+n)T(r, g) \\
 &\quad + N_{k+2}\left(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}\right) + S(r, g).
 \end{aligned}$$

From (3.4) and (3.5), we obtain

$$(3.6) \quad N_2(r, 1/F) \leq N_{k+2}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) + S(r, f),$$

$$(3.7) \quad N_2(r, 1/G) \leq N_{k+2}\left(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}\right) + S(r, g).$$

Again, from (3.4) and (3.5), we have

$$\begin{aligned}
 (m+n)(T(r, f) + T(r, g)) &\leq T(r, F) + T(r, G) - N_2(r, 1/F) - N_2(r, 1/G) \\
 &\quad + N_{k+2}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) + N_{k+2}\left(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}\right) \\
 &\quad + S(r, f) + S(r, g).
 \end{aligned}$$

Combining (3.6), (3.7) and Lemma 3, we get

$$\begin{aligned}
 (3.8) \quad (m+n)(T(r, f) + T(r, g)) &\leq 2N_{k+2}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) \\
 &\quad + 2N_{k+2}\left(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}\right) + S(r, f) + S(r, g) \\
 &\leq (2k+4)(\bar{N}(r, 1/f) + \bar{N}(r, 1/g)) + 2N_{k+2}\left(r, \frac{1}{\lambda f^m(z) + \mu}\right) \\
 &\quad + 2N_{k+2}\left(r, \frac{1}{\lambda g^m(z) + \mu}\right) + S(r, f) + S(r, g).
 \end{aligned}$$

Thus, we deduce that

$$(m + n - 2k - 4 - 2m)(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g),$$

which contradicts the assumption that $n > 2k + 4 + m$. Therefore $H \equiv 0$. Integrating twice, we deduce from (2.3) that

$$(3.9) \quad \frac{1}{F-1} = \frac{A}{G-1} + B,$$

where $A (\neq 0)$ and B are constants. From (3.9) we have

$$(3.10) \quad F = \frac{(B+1)G + (A-B-1)}{BG + (A-B)}, \quad G = \frac{(B-A)F + (A-B-1)}{BF - (B+1)}.$$

We consider the following three cases.

CASE 1: $B \neq 0, -1$. From (3.10) we have $\bar{N}(r, \frac{1}{F - \frac{1}{B}}) = \bar{N}(r, G)$. From the second fundamental theorem,

$$(3.11) \quad T(r, F) \leq \bar{N}(r, 1/F) + \bar{N}\left(r, \frac{1}{F - \frac{1}{B}}\right) + S(r, F) \\ = \bar{N}(r, 1/F) + \bar{N}(r, G) + S(r, F) \leq \bar{N}(r, 1/F) + S(r, F).$$

By (3.11) and the same reasoning as in the proof of (3.4), we obtain

$$T(r, F) \leq N_1(r, 1/F) + S(r, f) \\ \leq T(r, F) - (m+n)T(r, f) + N_{k+1}\left(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}\right) + S(r, f).$$

Hence

$$(m+n)T(r, f) \leq (k+1)\bar{N}(r, 1/f) + N_{k+1}\left(r, \frac{1}{\lambda f^m(z) + \mu}\right) + S(r, f) \\ \leq (k+m+1)T(r, f) + S(r, f),$$

which contradicts $n > 2k + 4 + m$.

CASE 2: $B = 0$. From (3.10) we have

$$(3.12) \quad F = \frac{G + (A-1)}{A}, \quad G = AF - (A-1).$$

If $A \neq 1$, we infer from (3.12) that

$$\bar{N}\left(r, \frac{1}{F - \frac{A-1}{A}}\right) = \bar{N}(r, 1/G), \quad \bar{N}(r, 1/F) = \bar{N}\left(r, \frac{1}{G + (A-1)}\right).$$

By Lemma 5, we have $n \leq 2k + 2 + m$. This contradicts the assumption that $n > 2k + 4 + m$. Thus $A = 1$ and $F = G$. By Lemma 6, we have $f^d(z) \equiv g^d(z)$, where $d = \text{GCD}(n, m)$ in this case.

CASE 3: $B = -1$. From (3.10) we obtain

$$(3.13) \quad F = \frac{A}{-G + (A+1)}, \quad G = \frac{(A+1)F - A}{F}.$$

If $A \neq -1$, we deduce from (3.13) that

$$\overline{N}\left(r, \frac{1}{F - \frac{A}{A+1}}\right) = \overline{N}(r, 1/G), \quad \overline{N}(r, F) = \overline{N}\left(r, \frac{1}{G - A - 1}\right).$$

By the same reasoning as in Cases 1 and 2, we get a contradiction. Hence $A = -1$. From (3.13), we have $FG = 1$, that is,

$$(f^n(z)(\lambda f^m(z) + \mu))^{(k)}(g^n(z)(\lambda g^m(z) + \mu))^{(k)} = z^2$$

by Lemma 7, which is impossible.

(ii) $\lambda\mu = 0$. Since $|\lambda| + |\mu| \neq 0$, we distinguish two cases.

CASE A: $\mu = 0, \lambda \neq 0$. In this case, we have $F = (\lambda f^{n+m}(z))^{(k)}$ and $G = (\lambda g^{n+m}(z))^{(k)}$. Let

$$F_1 = \frac{(\lambda f^{n+m}(z))^{(k)}}{z}, \quad G_1 = \frac{(\lambda g^{n+m}(z))^{(k)}}{z}.$$

Then F_1 and G_1 share 1 CM. By similar arguments to those in the proof of (i), we have $F_1 \equiv G_1$ or $F_1 G_1 \equiv 1$. If $F_1 \equiv G_1$, then Lemma 9 yields $f \equiv cg$, where c is a constant that satisfies $c^{n+m} = 1$. Now we assume that $F_1 G_1 = 1$.

If $k = 1$, then

$$(3.14) \quad \lambda^2 (f^{n+m})'(g^{n+m})' = z^2.$$

Since f and g are entire functions and $n > 2k + m + 4$, by using similar arguments to the proof of Lemma 7 we deduce from (3.14) that f and g have no zeros. Let $f = e^{\alpha(z)}, g = e^{\beta(z)}$, where $\alpha(z), \beta(z)$ are nonconstant entire functions. Set

$$(3.15) \quad h(z) = \frac{1}{f(z)g(z)};$$

we know that $h(z) = e^{\gamma(z)}$, where $\gamma(z)$ is an entire function.

We claim that $\gamma(z)$ is a constant. In fact, suppose $\gamma(z)$ is a nonconstant entire function. Then $h(z)$ is a transcendental entire function. From (3.14), we get

$$(3.16) \quad (m+n)^2 \lambda^2 (f^{n+m-1})' f' (g^{n+m-1})' g' = z^2.$$

From (3.15) and (3.16), we have

$$(3.17) \quad \left(\frac{g'}{g} + \frac{1}{2} \frac{h'}{h}\right)^2 = \frac{1}{4} \left(\frac{h'}{h}\right)^2 - \frac{z^2 h^{m+n}}{(m+n)^2 \lambda^2}.$$

Let $\xi = \frac{g'}{g} + \frac{1}{2} \frac{h'}{h}$. Then (3.17) becomes

$$(3.18) \quad \xi^2 = \frac{1}{4} \left(\frac{h'}{h}\right)^2 - \frac{z^2 h^{m+n}}{(m+n)^2 \lambda^2}.$$

If $\xi \equiv 0$, from (3.18), we get

$$(3.19) \quad h^{m+n} = \frac{(m+n)^2 \lambda^2}{4z^2} \left(\frac{h'}{h} \right)^2.$$

Since $h(z) = e^{\gamma(z)}$, from (3.19) we obtain

$$\begin{aligned} (m+n)T(r, h) &= (m+n)m(r, h) + O(1) \\ &\leq m\left(r, \frac{1}{4z^2}\right) + 2m\left(r, \frac{h'}{h}\right) + O(1) = S(r, h). \end{aligned}$$

Hence h is a constant, which is a contradiction. Therefore $\xi \not\equiv 0$. Differentiating (3.18), we have

$$\begin{aligned} (3.20) \quad 2\xi\xi' &= \frac{1}{2} \frac{h'}{h} \left(\frac{h'}{h} \right)' - \frac{2z}{\lambda^2(m+n)^2} h^{m+n} - \frac{1}{\lambda^2(m+n)} z^2 h^{m+n-1} h' \\ &= \frac{1}{2} \frac{h'}{h} \left(\frac{h'}{h} \right)' - \frac{1}{\lambda^2(m+n)^2} h^{m+n-1} (2zh + (m+n)z^2 h'). \end{aligned}$$

From (3.18) and (3.20), we obtain

$$\begin{aligned} (3.21) \quad \frac{1}{\lambda^2(m+n)^2} h^{m+n} \left(2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi} \right) \\ = \frac{1}{2} \frac{h'}{h} \left(\left(\frac{h'}{h} \right)' - \frac{h'}{h} \frac{\xi'}{\xi} \right). \end{aligned}$$

If $2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi} \equiv 0$, then we deduce from (3.21) that either $\frac{h'}{h} \equiv 0$ or $\left(\frac{h'}{h} \right)' - \frac{h'}{h} \frac{\xi'}{\xi} \equiv 0$. If $\frac{h'}{h} \equiv 0$, then h is a constant, which is a contradiction. If $\left(\frac{h'}{h} \right)' - \frac{h'}{h} \frac{\xi'}{\xi} \equiv 0$, we have

$$(3.22) \quad \frac{h'}{h} = \frac{\xi}{d},$$

where $d (\neq 0)$ is a constant. Thus from (3.18) and (3.22) we get

$$(3.23) \quad \frac{z^2 h^{m+n}}{\lambda^2(m+n)^2} = \left(\frac{1}{4} - d^2 \right) \left(\frac{h'}{h} \right)^2.$$

Hence, $(m+n)T(r, h) = S(r, h)$, which is also a contradiction.

Now we assume that $2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi} \not\equiv 0$. Since $h = e^{\gamma(z)}$ and $\xi = \frac{g'}{g} + \frac{1}{2} \frac{h'}{h}$, from (3.18) and (3.21) we have

$$N(r, h'/h) = S(r, h), \quad N(r, \xi) = S(r, h),$$

and

$$\begin{aligned}
 (3.24) \quad & (m+n)T(r, h) = (m+n)m(r, h) \\
 & \leq m\left(r, \frac{1}{2z + (m+n)z^2\frac{h'}{h} - 2z^2\frac{\xi'}{\xi}}\right) \\
 & \quad + m\left(r, \frac{h'}{h}\left(\left(\frac{h'}{h}\right)' - \frac{h'}{h}\frac{\xi'}{\xi}\right)\right) + O(1) \\
 & \leq m\left(r, \frac{h'}{h}\left(\left(\frac{h'}{h}\right)' - \frac{h'}{h}\frac{\xi'}{\xi}\right)\right) + m\left(r, 2z + (m+n)z^2\frac{h'}{h} - 2z^2\frac{\xi'}{\xi}\right) \\
 & \quad + N\left(r, 2z + (m+n)z^2\frac{h'}{h} - 2z^2\frac{\xi'}{\xi}\right) + O(1) \\
 & \leq N(r, \xi'/\xi) + S(r, h) + S(r, \xi) \\
 & \leq T(r, \xi) + S(r, h) + S(r, \xi).
 \end{aligned}$$

Noting that $h = e^{\gamma(z)}$ is a transcendental entire function, from (3.18) we get

$$\begin{aligned}
 (3.25) \quad & 2T(r, \xi) = T(r, \xi^2) + S(r, \xi) \\
 & = T\left(r, \frac{1}{4}\left(\frac{h'}{h}\right)^2 - \frac{z^2h^{m+n}}{\lambda^2}\right) + S(r, \xi) \\
 & = N\left(r, \frac{1}{4}\left(\frac{h'}{h}\right)^2 - \frac{z^2h^{m+n}}{\lambda^2(m+n)^2}\right) \\
 & \quad + m\left(r, \frac{1}{4}\left(\frac{h'}{h}\right)^2 - \frac{z^2h^{m+n}}{\lambda^2(m+n)^2}\right) + S(r, \xi) \\
 & \leq (m+n)m(r, h) + N\left(r, \left(\frac{h'}{h}\right)^2\right) + S(r, h) + S(r, \xi) \\
 & \leq (m+n)T(r, h) + S(r, h) + S(r, \xi).
 \end{aligned}$$

Combining this with (3.24), we have

$$\frac{m+n}{2}T(r, h) = S(r, h),$$

which is a contradiction. Thus, $\gamma(z)$ is a constant, and so $h(z) = e^{\gamma(z)}$ is also a constant. From (3.15), we obtain

$$(3.26) \quad f(z)g(z) = e^{\alpha(z)}e^{\beta(z)} = C,$$

where $C (\neq 0)$ is a constant. So we have

$$(3.27) \quad \beta(z) = -\alpha(z) + c_1$$

for a constant c_1 . Substituting $f = e^{\alpha(z)}$, $g = e^{\beta(z)}$ into (3.16), we infer from

(3.26) and (3.27) that

$$f(z) = b_1 e^{bz^2}, \quad g(z) = b_2 e^{-bz^2},$$

where b_1, b_2 and b are constants that satisfy $4\lambda^2(b_1 b_2)^{n+m}((m+n)b)^2 = -1$.

If $k \geq 2$, then

$$(3.28) \quad \lambda^2(f^{n+m})^{(k)}(g^{n+m})^{(k)} = z^2.$$

Since f and g are entire functions and $n > 2k+m+4$, by using the arguments similar to the proof of Lemma 7, we deduce from (3.14) that f and g have no zeros. Let

$$(3.29) \quad f = e^{\alpha(z)}, \quad g = e^{\beta(z)},$$

where $\alpha(z), \beta(z)$ are nonconstant entire functions. By (3.28), we have

$$(3.30) \quad N(r, 1/(f^{m+n})^{(k)}) \leq N(r, 1/z^2) = O(\log r).$$

Combining (3.29) and (3.30), we obtain

$$N(r, f^{m+n}) + N(r, 1/f^{m+n}) + N(r, 1/(f^{m+n})^{(k)}) = O(\log r).$$

By (3.29), $T(r, (f^{m+n})'/f^{m+n}) = T(r, (m+n)\alpha')$. If α is transcendental, we know from Lemma 10 that $f = e^\alpha = e^{az+b}$ for some constants $a \neq 0$ and b . This is impossible. Hence α must be a polynomial, and so β is also a polynomial. Let $\deg(\alpha) = p$ and $\deg(\beta) = q$. If $p = q = 1$, we have

$$(3.31) \quad f = e^{Az+B}, \quad g = e^{Cz+D},$$

where A, B, C and D are constants that satisfy $AC \neq 0$. Substituting (3.31) into (3.28), we obtain

$$\lambda^2(m+n)^{2k}(AC)^k e^{(m+n)(A+C)z+(m+n)(B+D)} = z^2,$$

which is impossible. Thus $\max\{p, q\} > 1$. We can assume that $p > 1$. Then $(f^{m+n})^{(k)} = P e^{(m+n)\alpha}$, where P is a polynomial of degree $kp - k \geq k \geq 2$. From (3.28), we have $p = k = 2$ and $q = 1$. Suppose that

$$f^{m+n} = e^{(m+n)(A_1 z^2 + B_1 z + C_1)}, \quad g^{m+n} = e^{(m+n)(D_1 z + E_1)},$$

where A_1, B_1, C_1, D_1, E_1 are constants such that $A_1 D_1 \neq 0$. Then

$$(3.32) \quad (f^{m+n})'' = (m+n)(4(m+n)A_1^2 z^2 + 4(m+n)A_1 B_1 z + (m+n)B_1^2 + 2A_1) e^{(m+n)(A_1 z^2 + B_1 z + C_1)},$$

$$(3.33) \quad (g^{m+n})'' = (m+n)^2 D_1^2 e^{(m+n)(D_1 z + E_1)}.$$

Substituting (3.32) and (3.33) into (3.28), we have

$$Q(z) e^{(m+n)(A_1 z^2 + (B_1 + D_1)z + C_1 + E_1)} = z^2,$$

where $Q(z)$ is a polynomial of degree 2. Since $A_1 \neq 0$, we get a contradiction.

CASE B: $\lambda = 0, \mu \neq 0$. In this case, by similar arguments to those in Case A, f and g must satisfy $f(z) = b_1 e^{bz^2}, g(z) = b_2 e^{-bz^2}$ or $f = cg$, where

b_1, b_2, b and c are constants that satisfy $4\mu^2(b_1b_2)^n(nb)^2 = -1$ and $c^n = 1$. This completes the proof of Theorem 2.

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