

Correspondence between diffeomorphism groups and singular foliations

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Abstract. It is well-known that any isotopically connected diffeomorphism group G of a manifold determines a unique singular foliation \mathcal{F}_G . A one-to-one correspondence between the class of singular foliations and a subclass of diffeomorphism groups is established. As an illustration of this correspondence it is shown that the commutator subgroup $[G, G]$ of an isotopically connected, factorizable and non-fixing C^r diffeomorphism group G is simple iff the foliation $\mathcal{F}_{[G, G]}$ defined by $[G, G]$ admits no proper minimal sets. In particular, the compactly supported e -component of the leaf preserving C^∞ diffeomorphism group of a regular foliation \mathcal{F} is simple iff \mathcal{F} has no proper minimal sets.

1. Introduction. Throughout by a *foliation* we mean a singular foliation (Sussmann [17], Stefan [15]), and by a *regular foliation* we mean a foliation whose leaves have the same dimension. Introducing the notion of foliations, Sussmann and Stefan emphasized that they play a role of collections of “accessible” sets. Alternatively, they regarded foliations as integrable smooth distributions. Another point of view is to treat foliations as by-products of non-transitive geometric structures (cf. [2], [20] and examples in [10]). In Molino’s approach some types of singular foliations constitute collections of closures of leaves of certain regular foliations ([7], [21]). In this note we regard foliations as a special type of diffeomorphism groups.

Given a C^∞ smooth paracompact boundaryless manifold M , $\text{Diff}^r(M)_0$ (resp. $\text{Diff}_c^r(M)_0$), where $1 \leq r \leq \infty$, is the subgroup of the group of all C^r diffeomorphisms $\text{Diff}^r(M)$ on M consisting of diffeomorphisms that can be joined to the identity through a C^r isotopy (resp. compactly supported C^r isotopy) on M . A diffeomorphism group $G \leq \text{Diff}^r(M)$ is called *isotopically connected* if any element f of G can be joined to id_M through a C^r isotopy in G . That is, there is a mapping $\mathbb{R} \times M \ni (t, x) \mapsto f_t(x) \in M$ of class C^r

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with $f_t \in G$ for all t and such that $f_0 = \text{id}$ and $f_1 = f$. It is well-known that any isotopically connected group $G \leq \text{Diff}^r(M)_0$ defines a unique foliation of class C^r , designated by \mathcal{F}_G (see Sect. 2).

Our first aim is to establish a correspondence between the class $\mathfrak{F}^r(M)$ of all C^r foliations on M and a subclass of diffeomorphism groups on M , and, by using it, to interpret some results and some open problems concerning non-transitive diffeomorphism groups. The second aim is to prove new results (Theorems 1.1 and 1.2) illustrating this correspondence.

A group $G \leq \text{Diff}^r(M)$ is called *factorizable* if for every open cover \mathcal{U} and every $g \in G$ there are $g_1, \dots, g_r \in G$ with $g = g_1 \dots g_r$ and such that $g_i \in G_{U_i}$, $i = 1, \dots, r$, for some $U_1, \dots, U_r \in \mathcal{U}$. Here for $U \subset M$ and $G \leq \text{Diff}^r(M)$, G_U stands for the identity component of the group of all diffeomorphisms from G compactly supported in U . Next, G is said to be *non-fixing* if $G(x) \neq \{x\}$ for every $x \in X$.

THEOREM 1.1. *Assume that $G \leq \text{Diff}_c^r(M)_0$, $1 \leq r \leq \infty$, is an isotopically connected, non-fixing and factorizable group of diffeomorphisms of a smooth manifold M . Then the commutator group $[G, G]$ is simple if and only if the corresponding foliation $\mathcal{F}_{[G, G]}$ admits no proper (i.e. not equal to M) minimal set.*

In early 1970's Thurston and Mather proved that the group $\text{Diff}_c^r(M)_0$, where $1 \leq r \leq \infty$, $r \neq \dim(M) + 1$, is perfect and simple (see [18], [6], [1]). Next, similar results were proved for classical diffeomorphism groups of class C^∞ ([1], [13]). For the significance of these simplicity theorems, see, e.g., [1], [13] and references therein.

Let (M_i, \mathcal{F}_i) , $i = 1, 2$, be foliated manifolds. A map $f : M_1 \rightarrow M_2$ is called *foliation preserving* if $f(L_x) = L_{f(x)}$ for any $x \in M_1$, where L_x is the leaf meeting x . Next, if $(M_1, \mathcal{F}_1) = (M_2, \mathcal{F}_2)$ then f is *leaf preserving* if $f(L_x) = L_x$ for all $x \in M_1$. Throughout, $\text{Diff}^r(M, \mathcal{F})$ will stand for the group of all leaf preserving C^r diffeomorphisms of a foliated manifold (M, \mathcal{F}) . Define $\text{Diff}^r(M, \mathcal{F})_0$ and $\text{Diff}_c^r(M, \mathcal{F})_0$ analogously. Observe that a perfectness theorem for the compactly supported identity component $\text{Diff}_c^\infty(M, \mathcal{F})_0$, which is a non-transitive counterpart of Thurston's theorem, has been proved by the author [9] and by Tsuboi [19]. Next, the author [10], following Mather [6, II], showed that $\text{Diff}_c^r(M, \mathcal{F})_0$ is perfect provided $1 \leq r \leq \dim \mathcal{F}$. Observe that, in general, the group $\text{Diff}_c^r(M, \mathcal{F})_0$ is not simple for obvious reasons.

THEOREM 1.2. *Let (M, \mathcal{F}) be a foliation on a C^∞ smooth manifold M with no leaves of dimension 0. Then the commutator subgroup*

$$\mathcal{D} = [\text{Diff}_c^r(M, \mathcal{F})_0, \text{Diff}_c^r(M, \mathcal{F})_0]$$

is simple if and only if $\mathcal{F}_\mathcal{D}$ does not have any proper minimal set. In par-

ticular, if \mathcal{F} is regular, and $1 \leq r \leq \dim \mathcal{F}$ or $r = \infty$, then $\text{Diff}_c^r(M, \mathcal{F})_0$ is simple if and only if \mathcal{F} has no proper minimal sets.

In the proof of Theorem 1.1 in Sect. 3 some ideas from Ling [5] are in use.

2. Foliations correspond to a subclass of the class of diffeomorphism groups. Let $1 \leq r \leq \infty$ and let L be a subset of a C^r manifold M endowed with a C^r differentiable structure which makes it an immersed submanifold. Then L is *weakly imbedded* if for any locally connected topological space N and a continuous map $f : N \rightarrow M$ satisfying $f(N) \subset L$, the map $f : N \rightarrow L$ is continuous as well. It follows that in this case such a differentiable structure is unique. A *foliation of class C^r* is a partition \mathcal{F} of M into weakly imbedded submanifolds, called leaves, such that the following condition holds. If x belongs to a k -dimensional leaf, then there is a local chart (U, φ) of class C^r with $\varphi(x) = 0$, and $\varphi(U) = V \times W$, where V is open in \mathbb{R}^k , and W is open in \mathbb{R}^{n-k} , such that if $L \in \mathcal{F}$ then $\varphi(L \cap U) = V \times l$, where $l = \{w \in W : \varphi^{-1}(0, w) \in L\}$. A foliation is called *regular* if all leaves have the same dimension.

Sussmann [17] and Stefan [15], [16] regarded foliations as collections of accessible sets in the following sense.

DEFINITION 2.1. A smooth mapping φ of an open subset of $\mathbb{R} \times M$ into M is said to be a C^r arrow, $1 \leq r \leq \infty$, if

- (1) $\varphi(t, \cdot) = \varphi_t$ is a local C^r diffeomorphism for each t , possibly with empty domain,
- (2) $\varphi_0 = \text{id}$ on its domain,
- (3) $\text{dom}(\varphi_t) \subset \text{dom}(\varphi_s)$ whenever $0 \leq s < t$.

Given an arbitrary set \mathcal{A} of arrows, let \mathcal{A}^* be the family of local diffeomorphisms ψ such that $\psi = \varphi(t, \cdot)$ for some $\varphi \in \mathcal{A}$, $t \in \mathbb{R}$. Next, $\hat{\mathcal{A}}$ denotes the set consisting of all local diffeomorphisms which are finite compositions of elements from \mathcal{A}^* or $(\mathcal{A}^*)^{-1} = \{\psi^{-1} : \psi \in \mathcal{A}^*\}$, and of the identity. Then the orbits of $\hat{\mathcal{A}}$ are called *accessible sets* of \mathcal{A} .

For $x \in M$ let $\mathcal{A}(x)$, $\bar{\mathcal{A}}(x)$ be the vector subspaces of $T_x M$ generated by $\{\dot{\varphi}(t, y) : \varphi \in \mathcal{A}, \varphi_t(y) = x\}$, $\{d_y \psi(v) : \psi \in \hat{\mathcal{A}}, \psi(y) = x, v \in \mathcal{A}(y)\}$, respectively. Then we have ([15])

THEOREM 2.2. Let \mathcal{A} be an arbitrary set of C^r arrows on M . Then

- (1) every accessible set of \mathcal{A} admits a (unique) C^r differentiable structure of a connected weakly imbedded submanifold of M ;
- (2) the collection of accessible sets defines a foliation \mathcal{F} ; and
- (3) $\mathcal{D}(\mathcal{F}) := \{\bar{\mathcal{A}}(x)\}$ is the tangent distribution of \mathcal{F} .

Let $G \leq \text{Diff}^r(M)$ be an isotopically connected group of diffeomorphisms. Let \mathcal{A}_G be the set of restrictions of isotopies $\mathbb{R} \times M \ni (t, x) \mapsto f_t(x) \in M$ in G to open subsets of $\mathbb{R} \times M$. Then we denote by \mathcal{F}_G the foliation defined by the set \mathcal{A}_G of arrows. Observe that $\hat{\mathcal{A}}_G = \mathcal{A}_G$, and consequently $\hat{\mathcal{A}}_G(x) = \mathcal{A}_G(x)$.

REMARK 2.3. (1) Of course, any subgroup $G \leq \text{Diff}^r(M)$ determines a unique foliation. Namely, G has a unique maximal subgroup G_0 which is isotopically connected.

(2) Denote by G_c the subgroup of all compactly supported elements of G . Then G_c need not be isotopically connected even if G is. In fact, let $G = \text{Diff}^r(\mathbb{R}^n)_0$, $1 \leq r \leq \infty$. Then every $f \in G_c$ is isotopic to the identity but the isotopy need not be in G_c . That is, G_c is not isotopically connected. Observe that the C^0 case is exceptional: due to Alexander's trick for $r = 0$ (see, e.g., [3, p. 70]), G_c is isotopically connected.

Likewise, let $C = \mathbb{R} \times \mathbb{S}^1$ be the annulus and let $G = \text{Diff}^r(C)_0$. Then we have the twisting number epimorphism $T : G_c \rightarrow \mathbb{Z}$. It is easily seen that $f \in G_c$ can be joined to id by a compactly supported isotopy iff $T(f) = 0$. Consequently, G_c is not isotopically connected.

Denote by $\mathfrak{G}^r(M)$ (resp. $\mathfrak{G}_c^r(M)$), $1 \leq r \leq \infty$, the collection of isotopically connected (resp. isotopically connected through compactly supported isotopies) groups of C^r diffeomorphisms of M . Next, $\mathfrak{F}^r(M)$ will stand for the set of all foliations of class C^r on M . Then each $G \in \mathfrak{G}^r(M)$ determines a unique foliation from $\mathfrak{F}^r(M)$, denoted by \mathcal{F}_G . That is, we have the mapping $\beta_M : \mathfrak{G}^r(M) \ni G \mapsto \mathcal{F}_G \in \mathfrak{F}^r(M)$. Conversely, to any foliation $\mathcal{F} \in \mathfrak{F}^r(M)$ we assign $G_{\mathcal{F}} := \text{Diff}_c^r(M, \mathcal{F})_0$ and we get the mapping $\alpha_M : \mathfrak{F}^r(M) \ni \mathcal{F} \mapsto G_{\mathcal{F}} \in \mathfrak{G}_c^r(M)$. The following is obvious.

PROPOSITION 2.4. *One has $\beta_M \circ \alpha_M = \text{id}_{\mathfrak{F}^r(M)}$. In particular*

$$\alpha_M : \mathfrak{F}^r(M) \ni \mathcal{F} \mapsto G_{\mathcal{F}} \in \mathfrak{G}_c^r(M)$$

is an injection identifying the class of C^r foliations with a subclass of C^r diffeomorphism groups.

Observe that usually $(\alpha_M \circ \beta_M)(G) \in \mathfrak{G}_c^r(M)$ is not a subgroup of G even if $G \in \mathfrak{G}_c^r(M)$. For instance, take the group of Hamiltonian diffeomorphisms of a Poisson manifold (see [20]). See also examples in [11].

REMARK 2.5. Note that we can also define $\alpha'_M : \mathfrak{F}^r(M) \ni \mathcal{F} \mapsto G'_{\mathcal{F}} \in \mathfrak{G}^r(M)$, where $G'_{\mathcal{F}} := \text{Diff}^r(M, \mathcal{F})_0 \in \mathfrak{G}^r(M)$, and we get another identification of the class of C^r foliations with a subclass of C^r diffeomorphism groups. However we prefer α_M to α'_M because of Proposition 2.11 below.

For $\mathcal{F}_1, \mathcal{F}_2 \in \mathfrak{F}^r(M)$ we say that \mathcal{F}_1 is a *subfoliation* of \mathcal{F}_2 if each leaf of \mathcal{F}_1 is contained in a leaf of \mathcal{F}_2 . We then write $\mathcal{F}_1 \prec \mathcal{F}_2$. By a *flag structure*

we mean a finite sequence $\mathcal{F}_1 \prec \cdots \prec \mathcal{F}_k$ of foliations of M . Next, by the *intersection* of $\mathcal{F}_1, \mathcal{F}_2$ we mean the partition $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2 := \{L_1 \cap L_2 : L_i \in \mathcal{F}_i, i = 1, 2\}$ of M . Clearly, if $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2$ is a foliation then $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2 \prec \mathcal{F}_i$, $i = 1, 2$.

It is a rare phenomenon that $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2$ is a regular foliation if $\mathcal{F}_1, \mathcal{F}_2$ are regular. In the category of (singular) foliations this may happen more often.

PROPOSITION 2.6.

- (1) *If the distribution $\mathcal{D}(\mathcal{F}_1 \bar{\cap} \mathcal{F}_2)$ is of class C^r ([15]) then $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2$ is a foliation.*
- (2) *If $G_1, G_2 \in \mathfrak{G}^r(M)$ have the intersection $G = G_1 \cap G_2$ isotopically connected then $\mathcal{F}_G = \mathcal{F}_{G_1} \bar{\cap} \mathcal{F}_{G_2}$.*
- (3) *For $\mathcal{F}_1, \mathcal{F}_2 \in \mathfrak{F}^r(M)$, if $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2$ is a foliation then there is $G \in \mathfrak{G}^r(M)$ such that $G \leq G_{\mathcal{F}_1} \cap G_{\mathcal{F}_2}$ and $\mathcal{F}_G = \mathcal{F}_1 \bar{\cap} \mathcal{F}_2$.*
- (4) *For $\mathcal{F}_1, \mathcal{F}_2 \in \mathfrak{F}^r(M)$, if $G_{\mathcal{F}_1} \cap G_{\mathcal{F}_2}$ is connected then $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2$ is a foliation.*

Proof. (1) In fact, the distribution of $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2$ is then integrable.

(2) Denote by \mathcal{IG} the set of all isotopies in G . Clearly, $\mathcal{I}(G_1 \cap G_2) = \mathcal{IG}_1 \cap \mathcal{IG}_2$ for arbitrary $G_1, G_2 \in \mathfrak{G}^r(M)$. For $x \in M$, set $\mathcal{IG}(x) := \{y \in M : (\exists f \in \mathcal{IG})(\exists t \in I) y = f_t(x)\}$. By definition, $L_x = \mathcal{IG}(x)$, where $L_x \in \mathcal{F}_G$ is a leaf meeting x . Therefore, since G_1, G_2, G are isotopically connected we have $L_x = \mathcal{IG}(x) = \mathcal{IG}_1(x) \cap \mathcal{IG}_2(x) = L_x^1 \cap L_x^2$, where $L_x^i \in \mathcal{F}_{G_i}$, $i = 1, 2$.

(3) Set $\mathcal{F} = \mathcal{F}_1 \bar{\cap} \mathcal{F}_2$ and $G = G_{\mathcal{F}}$. Use Prop. 2.4.

(4) In view of Prop. 2.4 we have $\mathcal{F}_{G_{\mathcal{F}_0}} = \mathcal{F}_0$ for all $\mathcal{F}_0 \in \mathfrak{F}^r(M)$. Put $G = G_{\mathcal{F}_1} \cap G_{\mathcal{F}_2}$. Therefore, in view of (2), $\mathcal{F}_1 \bar{\cap} \mathcal{F}_2 = \mathcal{F}_{G_{\mathcal{F}_1}} \bar{\cap} \mathcal{F}_{G_{\mathcal{F}_2}} = \mathcal{F}_G$ is a foliation. ■

Let $\mathcal{F}_1 \prec \cdots \prec \mathcal{F}_k$ be a flag structure on M and let $x \in M$. If $x \in L_i \in \mathcal{F}_i$ we write $p_i(x) = \dim L_i$, $\bar{p}_i(x) = p_i(x) - p_{i-1}(x)$ ($i = 2, \dots, k$) and $q_i(x) = m - p_i(x)$.

DEFINITION 2.7. A chart (U, φ) of M with $\varphi(0) = x$ is called a *distinguished chart* at x with respect to $\mathcal{F}_1 \prec \cdots \prec \mathcal{F}_k$ if $U = V_1 \times \cdots \times V_k \times W$ where $V_1 \subset \mathbb{R}^{p_1(x)}$, $V_i \subset \mathbb{R}^{\bar{p}_i(x)}$ ($i \geq 2$) and $W \subset \mathbb{R}^{q_k(x)}$ are open balls and for any $L_i \in \mathcal{F}_i$ we have

$$\varphi(U) \cap L_i = \varphi(V_1 \times \cdots \times V_i \times l_i),$$

where $l_i = \{w \in V_{i+1} \times \cdots \times V_k \times W : \varphi(0, w) \in L_i\}$ for $i = 1, \dots, k$.

Observe that actually the above φ is an inverse chart; following [16] we call it a chart for simplicity. Notice as well that in the above definition one need not assume that \mathcal{F}_i is a foliation but only that it is a partition into weakly imbedded submanifolds; that \mathcal{F}_i is a foliation then follows by definition.

THEOREM 2.8. *Let $G_1 \leq \dots \leq G_k \leq \text{Diff}^r(M)$ be an increasing sequence of diffeomorphism groups of M . Then $\mathcal{F}_{G_1} \prec \dots \prec \mathcal{F}_{G_k}$ admits a distinguished chart at any $x \in M$.*

In fact, this is a straightforward consequence of Theorem 2 in [11].

COROLLARY 2.9. *Let $G_1 \leq \dots \leq G_k \leq \text{Diff}^r(M)$ and let (L, σ) be a leaf of \mathcal{F}_{G_k} . Then all G_i preserve L , and $\mathcal{F}_{G_1|L} \prec \dots \prec \mathcal{F}_{G_{k-1}|L}$ is a flag structure on L . Moreover, a distinguished chart at x for $\mathcal{F}_{G_1|L} \prec \dots \prec \mathcal{F}_{G_{k-1}|L}$ is the restriction to L of a distinguished chart at x for $\mathcal{F}_{G_1} \prec \dots \prec \mathcal{F}_{G_k}$.*

The following property of paracompact spaces is well-known.

LEMMA 2.10. *If X is a paracompact space and \mathcal{U} is an open cover of X , then there exists an open cover \mathcal{V} starwise finer than \mathcal{U} , that is, for all $V \in \mathcal{V}$ there is $U \in \mathcal{U}$ such that $\text{star}^\mathcal{V}(V) \subset U$. Here $\text{star}^\mathcal{V}(V) := \bigcup \{V' \in \mathcal{V} : V' \cap V \neq \emptyset\}$. In particular, for all $V_1, V_2 \in \mathcal{V}$ with $V_1 \cap V_2 \neq \emptyset$ there is $U \in \mathcal{U}$ such that $V_1 \cup V_2 \subset U$.*

PROPOSITION 2.11. *If $\mathcal{F} \in \mathfrak{F}^r(M)$ then $G_{\mathcal{F}} = \alpha(\mathcal{F})$ is factorizable.*

Proof. Let $\mathfrak{X}_c(M, \mathcal{F})$ be the Lie algebra of all compactly supported vector fields on M tangent to \mathcal{F} . Then there is a one-to-one correspondence between isotopies f_t in $G_{\mathcal{F}}$ and smooth paths X_t in $\mathfrak{X}_c(M, \mathcal{F})$ given by the equation

$$\frac{df_t}{dt} = X_t \circ f_t \quad \text{with} \quad f_0 = \text{id}.$$

Let $f = (f_t) \in \mathcal{IG}_{\mathcal{F}}$ and let X_t be the corresponding family in $\mathfrak{X}_c(M, \mathcal{F})$. By considering $f_{(p/m)t} f_{(p-1/m)t}^{-1}$, $p = 1, \dots, m$, instead of f_t we may assume that f_t is close to the identity.

Let \mathcal{U} be an open cover of M . We choose a family of open sets, $(V_j)_{j=1}^s$, which is starwise finer than \mathcal{U} , and satisfies $\text{supp}(f_t) \subset V_1 \cup \dots \cup V_s$ for each t . Let $(\lambda_j)_{j=1}^s$ be a partition of unity subordinate to (V_j) , and let $Y_t^j = \lambda_j X_t$. We set

$$X_t^j = Y_t^1 + \dots + Y_t^j, \quad j = 1, \dots, s,$$

and $X_t^0 = 0$. Each of the smooth families X_t^j integrates to an isotopy g_t^j with support in $V_1 \cup \dots \cup V_j$. We get the fragmentation

$$f_t = g_t^s = f_t^s \circ \dots \circ f_t^1,$$

where $f_t^j = g_t^j \circ (g_t^{j-1})^{-1}$, with the required inclusions

$$\text{supp}(f_t^j) = \text{supp}(g_t^j \circ (g_t^{j-1})^{-1}) \subset \text{star}(V_j) \subset U_{i(j)}$$

which hold if f_t is sufficiently small. Thus the group of isotopies of $G_{\mathcal{F}}$ is factorizable. Consequently, $G_{\mathcal{F}}$ itself is factorizable. ■

REMARK 2.12. The identification α_M enables us to consider several new properties of foliations from $\mathfrak{F}^r(M)$. For instance, one can say that a foliation \mathcal{F} is *perfect* if so is the corresponding diffeomorphism group $G_{\mathcal{F}} = \alpha_M(\mathcal{F})$. As mentioned before, it is known that $G_{\mathcal{F}} = \text{Diff}_c^r(M, \mathcal{F})_0$ is perfect provided \mathcal{F} is regular and $1 \leq r \leq \dim \mathcal{F}$ or $r = \infty$ ([9], [19], [10]). It is not known whether $G_{\mathcal{F}}$ is perfect for singular foliations and a possible proof seems to be very difficult. In turn, possible perfectness of $G_{\mathcal{F}} = \text{Diff}_c^r(M, \mathcal{F})_0$ with r large is closely related to the simplicity of $\text{Diff}_c^{n+1}(M^n)_0$ (see [4]).

Likewise, one can consider *uniformly perfect* or *bounded* foliations by using the corresponding notions for groups (see [14] and references therein).

Finally consider the following important feature of subclasses of the class $\mathfrak{F}^r(M)$, depending also on M and r . A subclass \mathfrak{K} of $\mathfrak{F}^r(M)$ is called *faithful* if the following holds: For all $\mathcal{F}_1, \mathcal{F}_2 \in \mathfrak{K}$ and for any group isomorphism $\Phi : \alpha_M(\mathcal{F}_1) \cong \alpha_M(\mathcal{F}_2)$ there is a C^r foliated diffeomorphism $\varphi : (M, \mathcal{F}_1) \cong (M, \mathcal{F}_2)$ such that for all $f \in \alpha_M(\mathcal{F}_1)$, $\Phi(f) = \varphi \circ f \circ \varphi^{-1}$. From the reconstruction results of Rybicki [12] and Rubin [8] it is known that the class of regular foliations of class C^∞ , $\mathfrak{F}_{\text{reg}}^\infty(M)$, is faithful.

3. Proofs of Theorems 1.1 and 1.2

Proof of Theorem 1.1. First observe that the fact that a foliation \mathcal{F} has no proper minimal set is equivalent to the statement that all leaves of \mathcal{F} are dense.

(\Rightarrow) Assume that $\emptyset \neq L \subset M$ is a proper closed saturated subset of M . Choose $x \in M \setminus L$. We will prove the following statement:

- (*) there are a ball $U \subset M \setminus L$ with $x \in U$ and $g \in [G_U, G_U]$ such that $g(x) \neq x$.

Then we are done by setting $H := \{g \in [G, G] : g|_L = \text{id}_L\}$. To prove (*), choose balls U and V in M such that $x \in V \subset \overline{V} \subset U$. Take $f \in G$ such that $f(x) \neq x$. By assumption, for $\mathcal{U} = \{U, \overline{V}\}$ we may write $g = g_r \dots g_1$, where all g_i are supported in elements of \mathcal{U} . Let $s := \min\{i \in \{1, \dots, r\} : \text{supp}(g_i) \subset U \text{ and } g_i(x) \neq x\}$. Then $g_s \in G_U$ satisfies $g_s(x) \neq x$.

Now take an open W such that $x \in W \subset U$ and $g_s(x) \notin W$. Choose $f \in G_W$ with $f(x) \neq x$ by an argument similar to the above. It follows that $f(g_s(x)) = g_s(x) \neq g_s(f(x))$, and therefore $[f, g_s](x) \neq x$. Thus $g = [f, g_s]$ satisfies the claim.

(\Leftarrow) First observe the following commutator formulae for all $f, g, h \in G$:

$$(3.1) \quad [fg, h] = f[g, h]f^{-1}[f, h], \quad [f, gh] = [f, g]g[f, h]g^{-1}.$$

Next, in view of a theorem of Ling [5] we know that $[G, G]$ is a perfect group,

that is,

$$(3.2) \quad [G, G] = [[G, G], [G, G]].$$

Suppose that H is a non-trivial normal subgroup of $[G, G]$. Let $x \in M$ satisfy $h(x) \neq x$ for some $h \in H$. Fix a ball U_0 such that $h(U_0) \cap U_0 = \emptyset$. By the definition of $\mathcal{F}_{[G, G]}$ and the assumption that each leaf $L \in \mathcal{F}_{[G, G]}$ is dense, for every $y \in M$ there are a ball U_y with $y \in U_y$ and $f_y \in [G, G]$ such that $f_y(U_y) \subset U$. Let $\mathcal{U} = \{U_y\}_{y \in M}$.

By Lemma 2.10 we can find an open cover \mathcal{V} starwise finer than \mathcal{U} . We denote $\mathcal{U}^G = \{g(U) : U \in \mathcal{U}, g \in [G, G]\}$ and

$$G^{\mathcal{U}} = \prod_{U \in \mathcal{U}^G} [G_U, G_U].$$

By assumption G is factorizable with respect to \mathcal{V} . First we show that $[G, G] \subset G^{\mathcal{U}}$, i.e. any $[g_1, g_2] \in [G, G]$ can be expressed as a product of elements of the form $[h_1, h_2]$, where $h_1, h_2 \in G_U$ for some $U \in \mathcal{U}^G$. In view of (3.1) and (3.2) we may assume that $g_1, g_2 \in [G, G]$. Now the relation $[G, G] \subset G^{\mathcal{U}}$ is an immediate consequence of (3.1) and the fact that \mathcal{V} is starwise finer than \mathcal{U} .

Next we have to show that $G^{\mathcal{U}} \subset H$. It suffices to check that for every $f, g \in G_U$ with $U \in \mathcal{U}$ the bracket $[f, g]$ belongs to H . This implies that for every $f, g \in G_U$ with $U \in \mathcal{U}^G$ one has $[f, g] \in H$, since H is a normal subgroup in $[G, G]$.

We have fixed $h \in H$ and U_0 such that $h(U_0) \cap U_0 = \emptyset$. If $U \in \mathcal{U}$ and $f, g \in G_U$, take $k \in [G, G]$ such that $k(U) \subset U_0$, and put $\bar{f} = kfk^{-1}$, $\bar{g} = kgk^{-1}$. It follows that $[h\bar{f}h^{-1}, \bar{g}] = \text{id}$. Therefore, $[\bar{f}, \bar{g}] = [[h, \bar{f}], \bar{g}] \in H$, and we also have $[f, g] \in H$. Thus $G^{\mathcal{U}} \subset H$, and consequently $[G, G] \leq H$, as required. ■

Proof of Theorem 1.2. By assumption and Prop. 2.11, $\text{Diff}^r(M, \mathcal{F})_0$ is factorizable and non-fixing. Since $\text{Diff}^r(M, \mathcal{F})_0$ is isotopically connected, the first assertion follows from Theorem 1.1. The second assertion is a consequence of $\text{Diff}^r(M, \mathcal{F})_0$ being perfect ([9] and [19] for $r = \infty$, and [6] and [10] for $1 \leq r \leq \dim \mathcal{F}$). ■

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