MATHEMATICAL LOGIC AND FOUNDATIONS

## Remarks on the Stone Spaces of the Integers and the Reals without $\mathbf{AC}$

by

## Horst HERRLICH, Kyriakos KEREMEDIS and Eleftherios TACHTSIS

## Presented by Czesław RYLL-NARDZEWSKI

Summary. In ZF, i.e., the Zermelo–Fraenkel set theory minus the Axiom of Choice AC, we investigate the relationship between the Tychonoff product  $2^{\mathcal{P}(X)}$ , where 2 is  $2 = \{0, 1\}$  with the discrete topology, and the Stone space S(X) of the Boolean algebra of all subsets of X, where  $X = \omega, \mathbb{R}$ . We also study the possible placement of well-known topological statements which concern the cited spaces in the hierarchy of weak choice principles.

**1. Notation and terminology.** Let  $\mathbf{X} = (X, T)$  be a topological space. Throughout the paper, we shall denote topological spaces by bold letters and underlying sets by non-bold letters.

A space **X** is said to be *compact* iff every open cover  $\mathcal{U}$  of X has a finite subcover  $\mathcal{V}$ . Equivalently, **X** is compact iff every family  $\mathcal{G}$  of closed subsets of X with the *finite intersection property*, fip for abbreviation, has a non-empty intersection.

Furthermore, **X** is said to be a *Loeb space* iff  $\mathcal{K}(\mathbf{X}) \setminus \{\emptyset\}$ , where  $\mathcal{K}(X)$  is the family of all closed subsets of **X**, has a choice function. A choice function f of  $\mathcal{K}(\mathbf{X}) \setminus \{\emptyset\}$  is called a *Loeb function*.

Given a set X,  $\mathbf{2}^{X}$  will denote the Tychonoff product of the discrete space  $\mathbf{2}$  (2 = {0,1}), and

$$\mathcal{B}_X = \{ [p] : p \in \operatorname{Fn}(X, 2) \},\$$

where  $\operatorname{Fn}(X,2)$  is the set of all finite partial functions from X into 2 and  $[p] = \{f \in 2^X : p \subset f\}$ , will denote the standard base for the product topology on  $2^X$ .

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If  $X \neq \emptyset$  then S(X) will denote the *Stone space* of the Boolean algebra of all subsets of X, i.e., the set of all ultrafilters on X together with the topology having as a base the collection of all (clopen) sets of the form

$$[Z] = \{ \mathcal{F} \in S(X) : Z \in \mathcal{F} \}, \quad Z \subseteq X.$$

A family  $\mathcal{F}$  of subsets of X is *independent* if for any two finite, disjoint sets  $\mathcal{A}, \mathcal{B} \subseteq \mathcal{F}$  the set  $(\bigcap \mathcal{A}) \cap (\bigcap \{B^c : B \in \mathcal{B}\})$  is infinite.

Next we list the choice principles we shall be using in the paper.

- 1. CAC (Form 8 in [4]): AC restricted to countable families of non-empty sets.
- 2. DC (Principle of Dependent Choices and form 43 in [4]): For every set  $X \neq \emptyset$ , for every binary relation R on X such that Dom(R)= X, there is a sequence  $(x_n)_{n\in\omega} \subseteq X$  such that  $\forall n \in \omega, x_n R x_{n+1}$ .
- 3. **SPFB**(X): For every family  $\{\mathcal{H}_i : i \in I\}$  of filterbases of X there exists a family  $\{\mathcal{F}_i : i \in I\}$  of ultrafilters of X satisfying  $\mathcal{H}_i \subseteq \mathcal{F}_i$  for all  $i \in I$ .
- 4. **WSPFB**(X): For every family  $\{\mathcal{H}_i : i \in I\}$  of filterbases of X such that for every  $i \in I$ , there exists an ultrafilter  $\mathcal{F}$  of X extending  $\mathcal{H}_i$ , there exists a family  $\{\mathcal{F}_i : i \in I\}$  of ultrafilters of X satisfying  $\mathcal{H}_i \subseteq \mathcal{F}_i$  for all  $i \in I$ .
- 5. **BPI**(X): Every filterbase of X is included in an ultrafilter of X.
- 6. **BPI** (Boolean Prime Ideal Theorem and form 14 in [4]): *Every Boolean algebra has a prime ideal*. Equivalently, for every set X, **BPI**(X).
- 7.  $\mathbf{UF}(X)$ : There is a free ultrafilter on X.

Note that  $\mathbf{BPI} \to \mathbf{BPI}(\mathbb{R}) \to \mathbf{BPI}(\omega) \to \mathbf{UF}(\omega)$ . In [1] it is shown that  $\mathbf{UF}(\omega)$  is equivalent to  $\mathbf{UF}(\mathbb{R})$  and in [6] it is shown that  $\mathbf{BPI}(\omega)$  does not imply  $\mathbf{BPI}(\mathbb{R})$  in  $\mathbf{ZF}$ . Whether  $\mathbf{UF}(\omega) \to \mathbf{BPI}(\omega)$  is an open problem.

Throughout the paper  $\aleph$  will always denote a well-ordered infinite cardinal number. As usual,  $\omega$  denotes the set of natural numbers and  $\mathbb{N}$  denotes the set of positive integers.

2. Introduction and some preliminary results. In this paper we study the relationship between the spaces  $2^{\mathcal{P}(X)}$  and S(X), where  $X = \omega, \mathbb{R}$ , with respect to compactness, the Loeb property, embeddings, and cardinality of S(X) and of infinite closed subsets of S(X). Moreover, we are interested in the placement of well-known topological results concerning  $2^{\mathcal{P}(X)}$  and S(X) in the hierarchy of weak choice principles.

Some of the goals we intend to meet in the current investigation are listed below:

- (1) In **ZF** and for  $X = \omega, \mathbb{R}$ , the principle **BPI**(X) implies " $2^{\mathcal{P}(X)}$  is a continuous image of S(X)" (Theorem 6(i)).
- (2) In **ZF** and for  $X = \omega$ ,  $\mathbb{R}$ , if S(X) is compact and Loeb then  $|S(X)| = |2^{\mathcal{P}(X)}|$ , which in turn implies **UF**(X) (Theorem 6(iii)).
- (3) In **ZF**, for every infinite set X, S(X) embeds as a closed subspace of  $\mathbf{2}^{\mathcal{P}(X)}$  (Theorem 7(i)).
- (4) In **ZFC** (= **ZF** + **AC**),  $2^{\mathcal{P}(X)}$  does not embed as a subspace of S(X),  $X = \omega, \mathbb{R}$  (Corollary 11(ii)).
- (5) **DC** implies that every infinite closed subset of  $S(\omega)$  contains a topological copy of  $S(\omega)$  (Theorem 10(i)).
- (6) **DC** and " $S(\omega)$  is compact and Loeb" together imply that every infinite closed subset of  $S(\omega)$  has size  $|2^{\mathbb{R}}|$  (Theorem 10)(ii)).
- (7) **BPI**( $\omega$ ) implies that  $S(\omega) \setminus \omega$  contains a topological copy of  $S(\omega)$ , which in turn implies **UF**( $\omega$ ) (Theorem 10(iii)).
- (8) **CAC** implies that for every infinite set X and for every countably infinite relatively discrete subspace G of S(X),  $\overline{G}$  is homeomorphic to  $S(\omega)$  (Theorem 10(iv)).

Before launching into the proofs of the main results we present some preliminary facts. The first one, Proposition 1 below, is a good reason for studying Loeb spaces. In addition, this kind of space is useful because of Proposition 2 which is a **ZF** result concerning Tychonoff products of compact spaces.

PROPOSITION 1.

- (i) For every set X, S(X) is Loeb iff **WSPFB**(X).
- (ii) For every set X, S(X) is compact and Loeb iff  $\mathbf{SPFB}(X)$ .
- (iii) For every set X,  $\mathbf{WSPFB}(X)$  and  $\mathbf{BPI}(X)$  iff  $\mathbf{SPFB}(X)$ .
- (iv) **WSPFB**( $\omega$ ) does not imply **SPFB**( $\omega$ ). Equivalently, "S( $\omega$ ) is Loeb" does not imply "S( $\omega$ ) is compact". In particular, **WSPFB**( $\omega$ ) does not imply **BPI**( $\omega$ ).

*Proof.* (i)( $\rightarrow$ ) Fix a family { $\mathcal{H}_i : i \in I$ } of filterbases of X as in **WSPFB**(X) and let f be a Loeb function of S(X). Clearly,  $G_i = \bigcap \{[H] : H \in \mathcal{H}_i\}$  is a non-empty closed subset of S(X). It is straightforward to see that { $\mathcal{F}_i = f(G_i) : i \in I$ } satisfies the conclusion of **WSPFB**(X) for the family { $\mathcal{H}_i : i \in I$ }.

(i)( $\leftarrow$ ) Since, for every  $K \in \mathcal{K}(S(X)) \setminus \{\emptyset\}$ ,  $K = \bigcap\{[A] : A \in \mathcal{P}(X)$  and  $K \subset [A]\}$ , it follows that  $\mathcal{H}_K = \{A \in \mathcal{P}(X) : K \subset [A]\}$  is a filterbase of X included in every element of K. Hence,  $\{\mathcal{H}_K : K \in \mathcal{K}(S(X)) \setminus \{\emptyset\}\}$  satisfies the hypotheses of **WSPFB**(X). Let  $\{\mathcal{F}_K : K \in \mathcal{K}(S(X)) \setminus \{\emptyset\}\}$  satisfy the conclusion of **WSPFB**(X) for the collection  $\{\mathcal{H}_K : K \in \mathcal{K}(S(X)) \setminus \{\emptyset\}\}$ . It is straightforward to verify that the function  $f : \mathcal{K}(S(X)) \setminus \{\emptyset\} \to S(X)$ ,  $f(K) = \mathcal{F}_K$ , is a Loeb function of S(X).

(ii) is straightforward in view of (i) and the observation that  $\mathbf{SPFB}(X)$  implies that every filterbase of X can be extended to an ultrafilter (equivalently, S(X) is compact).

(iii) is obvious.

(iv) Any **ZF** model, such as Solovay's Model  $\mathcal{M}5(\aleph)$  in [4], satisfying the negation of  $\mathbf{UF}(\omega)$  satisfies  $\mathbf{WSPFB}(\omega)$  and the negation of  $\mathbf{SPFB}(\omega)$  and of  $\mathbf{BPI}(\omega)$ .

PROPOSITION 2 ([3], [10]). (**ZF**) Let  $(\mathbf{X}_i)_{i \in \mathbb{N}}$  be a family of compact  $T_1$  spaces. Then the product  $\mathbf{X} = \prod_{i \in \mathbb{N}} \mathbf{X}_i$  is compact and Loeb iff there exists a family  $(f_i)_{i \in \mathbb{N}}$  such that for all  $i \in \mathbb{N}$ ,  $f_i$  is a Loeb function for  $\mathbf{X}_i$ . In particular:

- (i)  $\mathbf{2}^{\aleph}$  (resp.  $[\mathbf{0},\mathbf{1}]^{\aleph}$ ) is compact and Loeb.
- (ii) AC restricted to families of non-empty sets of reals (equivalently, "
   "
   "
   R is well-orderable"
   ) implies "
   2<sup>ℝ</sup> is compact and Loeb".

In view of (ii) of Proposition 2, a number of questions arise at this point.

QUESTION 1.

- (i) Is any of the statements "2<sup>ℝ</sup> is Loeb", "2<sup>ℝ</sup> is compact" provable in ZF?
- (ii) Does any of the statements "2<sup>ℝ</sup> is Loeb", "2<sup>ℝ</sup> is compact" imply AC(ℝ)?
- (iii) Does the conjunction " $2^{\mathbb{R}}$  is Loeb" and " $2^{\mathbb{R}}$  is compact" imply  $AC(\mathbb{R})$ ?
- (iv) Does " $2^{\mathbb{R}}$  is Loeb" imply " $2^{\mathbb{R}}$  is compact"?
- (v) Does " $\mathbf{2}^{\mathbb{R}}$  is compact" imply " $\mathbf{2}^{\mathbb{R}}$  is Loeb"?

Regarding Question 1(i), that " $\mathbf{2}^{\mathbb{R}}$  is compact" is not provable in **ZF** has been established in [5], and that " $\mathbf{2}^{\mathbb{R}}$  is Loeb" is not provable in **ZF** has been established in [8] (both fail in Cohen's Second Model  $\mathcal{M}7$  in [4]).

Regarding (ii) and (iii) the answer is in the negative. Indeed, **BPI** implies " $2^{\mathbb{R}}$  is Loeb" and " $2^{\mathbb{R}}$  is compact" and it is known that in Cohen's Basic Model  $\mathcal{M}1$  in [4], **BPI** holds but  $\mathbf{AC}(\mathbb{R})$  fails.

Taking into account the following result from [6], we get a partial answer to Question 1(v):

THEOREM 3 ([6]). The following statements are pairwise equivalent:

- (i)  $\mathbf{2}^{\mathbb{R}}$  is compact.
- (ii) **BPI** $(\omega)$ .
- (iii) For every separable compact  $T_2$  space  $\mathbf{X}, \mathbf{X}^{\mathbb{R}}$  is compact.
- (iv) In a Boolean algebra  $\mathcal{B}$  of size  $\leq |\mathbb{R}|$  every filter can be extended to an ultrafilter.
- (v) Tychonoff products of finite subspaces of  $\mathbb{R}$  are compact.

Theorem 3 also justifies the introduction of the principle " $2^{\mathcal{P}(\mathbb{R})}$  is compact" in the next lemma.

Lemma 4.

- (i) "2<sup>ℝ</sup> is compact" implies "for every separable compact T<sub>2</sub> space X, for every family G = {G<sub>i</sub> : i ∈ I ⊆ ℝ} of non-empty closed subsets of X, there exists a choice function of G". In particular, "2<sup>ℝ</sup> is compact" implies "every family G = {G<sub>i</sub> : i ∈ ℝ} of non-empty closed subsets of 2<sup>ℝ</sup> has a choice function", and BPI(ω) implies "for every family G = {G<sub>i</sub> : i ∈ ℝ} of filterbases of ω there exists a family {F<sub>i</sub> : i ∈ ℝ} ⊂ S(ω) such that for every i ∈ ℝ, G<sub>i</sub> ⊂ F<sub>i</sub>".
- (ii) " $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$  is compact" implies " $\mathbf{2}^{\mathbb{R}}$  is compact" and " $\mathbf{2}^{\mathbb{R}}$  is Loeb". In particular, **BPI**( $\mathbb{R}$ ) implies **SPFB**( $\omega$ ) (for every family { $\mathcal{H}_i : i \in I$ }) of filterbases of  $\omega$  there exists a family { $\mathcal{F}_i : i \in I$ }  $\subset S(\omega)$  satisfying  $\mathcal{H}_i \subset \mathcal{F}_i$  for all  $i \in I$ ). Moreover, **BPI**( $\mathbb{R}$ ) implies  $|S(\omega)| = |2^{\mathbb{R}}|$ .

*Proof.* (i) By Theorem 3, " $\mathbf{2}^{\mathbb{R}}$  is compact" implies " $\mathbf{X}^{\mathbb{R}}$  is compact". Let  $\mathcal{G} = \{G_i : i \in I \subseteq \mathbb{R}\}$  be a family of non-empty closed subsets of  $\mathbf{X}$ . Then  $\mathcal{S} = \{\pi_i^{-1}(G_i) : i \in I\}$  is a family of closed subsets of  $\mathbf{X}^{\mathbb{R}}$  with the fip. Thus,  $\bigcap \mathcal{S} \neq \emptyset$ . Clearly, any  $f \in \bigcap \mathcal{S}$  is a choice function of  $\mathcal{G}$ .

The assertion about  $\mathbf{BPI}(\omega)$  follows from Theorem 7(i) below, the proof of  $(i)(\rightarrow)$  of Proposition 1 and the first (or the second) assertion of (i) of the present lemma.

(ii) We have  $\mathbf{2}^{\mathbb{R}} \simeq (\prod_{x \in \mathbb{R}} 2^{\{x\}}) \times (\prod_{x \in \mathcal{P}(\mathbb{R}) \setminus \mathbb{R}} \{0\})$  ( $\simeq$  means homeomorphic) and the latter set is a closed subset of  $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$ . Thus, by our assumption,  $\mathbf{2}^{\mathbb{R}}$  is compact.

On the other hand, since  $(\mathbf{2}^{\mathbb{R}})^{\mathcal{P}(\mathbb{R})} \simeq \mathbf{2}^{\mathbb{R} \times \mathcal{P}(\mathbb{R})}$  and  $\mathbf{2}^{\mathbb{R} \times \mathcal{P}(\mathbb{R})} \simeq \mathbf{2}^{\mathcal{P}(\mathbb{R})}$  (we have  $|\mathbb{R} \times \mathcal{P}(\mathbb{R})| = |\mathcal{P}(\mathbb{R})|$  because  $|\mathcal{P}(\mathbb{R})| \le |\mathbb{R} \times \mathcal{P}(\mathbb{R})|$  and  $|\mathcal{P}(\mathbb{R})| = |\mathcal{P}(\mathbb{R} \times \mathbb{R})| = |\mathcal{P}(\bigcup\{\{x\} \times \mathbb{R} : x \in \mathbb{R}\})| \ge |\bigcup\{\mathcal{P}(\{x\} \times \mathbb{R}) : x \in \mathbb{R}\}| = |\mathbb{R} \times \mathcal{P}(\mathbb{R})|$ ), it follows, by our assumption, that  $(\mathbf{2}^{\mathbb{R}})^{\mathcal{P}(\mathbb{R})}$  is compact. Taking into account that the size of  $\mathcal{K}(\mathbf{2}^{\mathbb{R}})$  is  $|\mathcal{P}(\mathbb{R})|$ , we can finish off the reasoning as in the proof of (i).

The first assertion about  $\mathbf{BPI}(\mathbb{R})$  follows from the proof of Proposition 1 and Theorem 7(i). The second assertion follows from the original assertion of (ii) of the present lemma and Theorems 6(iii) and 7(i).

We would like to point out here that in view of Proposition 2 and the fact that  $\mathbb{R}$  is well-orderable in every Fraenkel–Mostowski permutation model (see [4]), every permutation model satisfies " $2^{\mathbb{R}}$  is compact and Loeb".

Clearly, the set  $A = \{\chi_{\{x\}} : x \in \mathbb{R}\}$ , where for  $U \subset \mathbb{R}$ ,  $\chi_U$  is the characteristic function of U, is a relatively discrete subset of  $\mathbf{2}^{\mathbb{R}}$  and  $\chi_{\emptyset}$  is an accumulation point of A such that every neighborhood of  $\chi_{\emptyset}$  leaves out finitely many members of A. If  $\mathbf{UF}(\omega)$  fails, then  $|S(\omega)| = \aleph_0$  and

 $S(\omega)$  cannot have uncountable relatively discrete sets. However, if we assume **BPI**( $\omega$ ), we find, as a corollary to Lemma 4(i), that  $S(\omega)$  has uncountable relatively discrete subsets and, in particular,  $|\mathbb{R}| \leq |S(\omega)|$ .

COROLLARY 5. **BPI**( $\omega$ ) implies " $S(\omega)$  has a relatively discrete subset of size  $|\mathbb{R}|$ ". Hence,  $|\mathbb{R}| \leq |S(\omega)|$ .

*Proof.* Fix an almost disjoint family  $\mathcal{A} = \{A_i : i \in \mathbb{R}\}$  of subsets of  $\omega$  (for all  $i, j \in \mathbb{R}, i \neq j, |A_i \cap A_j| < \aleph_0$ ) and choose, by our assumption and Lemma 4, for every  $i \in \mathbb{R}$  an ultrafilter  $\mathcal{F}_i \in S(\omega)$  which extends the family  $\mathcal{H}_i$  of all cofinite subsets of  $A_i$ . It can be readily verified that  $F = \{\mathcal{F}_i : i \in \mathbb{R}\}$  is a relatively discrete subset of  $S(\omega)$ .

**3. Main results.** It is known that in **ZFC** the product  $\mathbf{2}^{\mathbb{R}}$  is a continuous image of  $S(\omega)$ . We show in the next theorem that, in **ZF**, **BPI**(X) suffices to make  $\mathbf{2}^{\mathcal{P}(X)}$  a continuous image of S(X),  $X = \omega$ ,  $\mathbb{R}$ .

Theorem 6.

- (i) In **ZF**, for  $X = \omega, \mathbb{R}$ , **BPI**(X) implies " $2^{\mathcal{P}(X)}$  is a continuous image of S(X)".
- (ii) It is relatively consistent with  $\mathbf{ZF}$  that  $S(\omega)$  is Loeb, but  $\mathbf{2}^{\mathbb{R}}$  is not Loeb.
- (iii) In **ZF**, for  $X = \omega, \mathbb{R}$ , "S(X) is compact and Loeb" implies " $|S(X)| = |2^{\mathcal{P}(X)}|$ ", which in turn implies  $\mathbf{UF}(X)$ .

Proof. (i) We prove the assertion for  $X = \mathbb{R}$ . The case  $X = \omega$  can be treated similarly. Fix an independent family  $\mathcal{A}$  in  $\mathbb{R}$  of size  $|\mathcal{P}(\mathbb{R})|$ . Such a family is easily seen to exist in  $\mathbb{Z}\mathbf{F}$ . (If  $D \subset \mathbf{2}^{\mathcal{P}(\mathbb{R})}$  is a dense set of size  $|\mathbb{R}|$  (use the Hewitt–Marczewski–Pondiczery theorem [2, Theorem 2.3.15]), then the family  $\mathcal{A} = \{A_x : x \in \mathcal{P}(\mathbb{R})\}$ , where  $A_x = \{d \in D : d(x) = 1\}$ , is clearly independent.) It suffices, in view of [9, Proposition 3: if |X| = |Y|, i.e., there is a bijection  $f : X \to Y$ , then  $\mathbf{2}^X$  and  $\mathbf{2}^Y$  are topologically homeomorphic], to show that the product  $\mathbf{2}^A$  is a continuous image of  $S(\mathbb{R})$ . For every  $\mathcal{F} \in S(\mathbb{R})$  let  $f_{\mathcal{F}} = \chi_{\mathcal{F} \cap \mathcal{A}}$ . Let  $T : S(\mathbb{R}) \to \mathbf{2}^A$  be the function  $T(\mathcal{F}) = f_{\mathcal{F}}$ . Since  $\mathcal{A}$  is independent, it follows that for every  $f \in 2^{\mathcal{A}}, \mathcal{W}_f =$  $f^{-1}(\{1\}) \cup \{A^c : f(A) = 0\}$  has the fip. Hence, by  $\mathbf{BPI}(\mathbb{R}), \mathcal{W}_f$  can be extended to an ultrafilter  $\mathcal{F}_f$ . Thus,  $T(\mathcal{F}_f) = f$  and T is onto. Furthermore, for every  $A \in \mathcal{A}$  and  $i \in \{0, 1\}$ , the set

$$T^{-1}([\{(A,i)\}]) = \begin{cases} [A] & \text{if } i = 1, \\ [A^c] & \text{if } i = 0, \end{cases}$$

is clearly open in  $S(\mathbb{R})$ . Thus, T is continuous and onto as required.

(ii) It is known that in Feferman's forcing model (Model  $\mathcal{M}2$  in [4]) every ultrafilter on  $\omega$  is principal. Hence  $S(\omega)$  is a countable discrete space,

meaning that  $S(\omega)$  is Loeb. On the other hand, in  $\mathcal{M}2$  there is a family of two-element subsets of  $\mathcal{P}(\mathbb{R})$  having no choice functions (see [4]), hence by Theorem 12(ii) below,  $\mathbf{2}^{\mathbb{R}}$  fails to be Loeb in this model.

(iii) For  $X = \omega$  and for the first implication, it suffices to show that  $|2^{\mathbb{R}}| \leq |S(\omega)|$ . Let  $\mathcal{A}$  be an independent family of  $\omega$  of size  $|\mathbb{R}|$ . Clearly, for each  $h \in 2^{\mathcal{A}}$ ,  $\mathcal{H}_h = h^{-1}(\{1\}) \cup \{A^c : h(A) = 0\}$  is a subbase for a filter of  $\omega$ . By our assumption and Proposition 1(ii), pick for each  $h \in 2^{\mathcal{A}}$  an ultrafilter  $\mathcal{U}_h$  which includes  $\mathcal{H}_h$ . Then the mapping  $h \mapsto \mathcal{U}_h$ ,  $h \in 2^{\mathcal{A}}$ , is one-to-one.

For the second implication, note that if every ultrafilter on  $\omega$  is fixed, then  $|S(\omega)| = \aleph_0$ , which is impossible in view of our assumption.

The assertions regarding  $S(\mathbb{R})$  and  $2^{\mathcal{P}(\mathbb{R})}$  are proved similarly upon noting also that  $\mathbf{UF}(\omega) = \mathbf{UF}(\mathbb{R})$  (see [1]).

THEOREM 7. The following are provable in **ZF**:

- (i) For every infinite set X, S(X) embeds as a closed subspace of the product 2<sup>P(X)</sup>. Hence, if 2<sup>P(X)</sup> is compact (or Loeb), then S(X) is compact (resp. Loeb).
- (ii) "S(ℝ) is Loeb" implies UF(ω). Hence, by (i), "2<sup>P(ℝ)</sup> is Loeb" implies UF(ω).

Proof. (i) Let  $T: S(X) \to \mathbf{2}^{\mathcal{P}(X)}$  be the function defined by  $T(\mathcal{F}) = \chi_{\mathcal{F}}$ for all  $\mathcal{F} \in S(X)$ . Clearly, T is one-to-one, continuous and open (we have  $T([A]) = \{\chi_{\mathcal{F}} : \mathcal{F} \in [A]\} = [\{(A, 1)\}] \cap T(S(X)))$ . Put  $F = \{T(\mathcal{F}_x) : x \in X\}$ , where for every  $x \in X$ ,  $\mathcal{F}_x$  is the principal ultrafilter generated by x. As in the proof of Theorem 3.5 in [12] one verifies that for every  $f \in \overline{F} \setminus F$ ,  $f^{-1}(\{1\})$  is a free ultrafilter on X. Hence,  $T(f^{-1}(\{1\})) = f$  and  $\overline{F} \subseteq T(S(X))$ . To complete the proof, it suffices to show that  $T(S(X)) \subseteq \overline{F}$ . We leave this as an easy exercise for the reader.

(ii) Basing on the fact that  $\mathbf{UF}(\omega) = \mathbf{UF}(\mathbb{R})$ , assume toward a contradiction that every ultrafilter on  $\mathbb{R}$  is principal. This implies that  $S(\mathbb{R})$  is homeomorphic to the discrete space  $\mathbb{R}$ . By our assumption  $(S(\mathbb{R}) \text{ is Loeb})$ ,  $\mathcal{P}(\mathbb{R}) \setminus \{\emptyset\}$  has a choice function. This means that  $\mathcal{P}(\omega)$  is well-orderable, which in turn implies that every filter on  $\omega$  can be extended to an ultrafilter. But then there is a free ultrafilter on  $\omega$ , hence on  $\mathbb{R}$ , a contradiction. This completes the proof of (ii) and of the theorem.

In view of Theorem 7 it is natural to ask whether  $\mathbf{2}^{\mathbb{R}}$  embeds in  $S(\omega)$ , or whether  $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$  embeds in  $S(\mathbb{R})$ . The answer is *in the negative* even in **ZFC** set theory and it is derived from [2, Theorem 3.6.14, Corollary 3.6.15] (Theorem 3.6.14 is due to Novák [11]) and the fact that, in **ZFC** (in particular, in **ZF** + **BPI**), for every set X, S(X) and  $\beta(X)$  (the Čech–Stone extension of the discrete space X; see [2]) are homeomorphic. We also obtain the above result as a by-product of our subsequent Theorem 8. We would like to draw the reader's attention to the fact that Theorem 8 (and the result in Remark 9) is established in the absence of the axiom **BPI**( $\omega$ ) (resp. **BPI**(X)), or equivalently of " $S(\omega)$  is compact" (resp. of "S(X) is compact").

THEOREM 8. Let  $\mathcal{A} = \{A_n : n \in \omega\}$  be a partition of  $\omega$ . If  $\{\mathcal{F}_n : n \in \omega\}$ is a family such that  $\forall n \in \omega, \mathcal{F}_n \in S(A_n)$  and  $\mathcal{F}$  is an ultrafilter of  $\omega$ , then:

- (i)  $\mathcal{W}_{\mathcal{F}} = \{F_w : F \in \mathcal{F}, w \in W_F\}$ , where  $F_w = \bigcup\{w(n) : n \in F\}$  and  $W_F = \prod_{n \in F} \mathcal{F}_n$ , is a filterbase of  $\omega$ . In addition, if  $\mathcal{F}$  is free then  $\bigcap \mathcal{W}_{\mathcal{F}} = \emptyset$ .
- (ii) The filter  $\mathcal{H}_{\mathcal{F}} = \{H \in \mathcal{P}(\omega) : W \subset H \text{ for some } W \in \mathcal{W}_{\mathcal{F}}\}$  generated by  $\mathcal{W}_{\mathcal{F}}$  is an ultrafilter of  $\omega$ . In addition, if  $\mathcal{F}$  is free then  $\mathcal{H}_{\mathcal{F}}$  is free.
- (iii)  $\mathcal{H}_{\mathcal{F}} \in \overline{G}$ , where  $G = \{\mathcal{G}_n : n \in \omega\}$  and  $\mathcal{G}_n$  is the (unique) ultrafilter of  $\omega$  generated by  $\mathcal{F}_n$ .
- (iv) The mapping  $T: S(\omega) \to \overline{G}$ ,  $T(\mathcal{F}) = \mathcal{H}_{\mathcal{F}}$ , is a homeomorphism. In particular,  $|S(\omega)| = |\overline{G}|$ .

*Proof.* (i) Fix  $F_w, H_u \in \mathcal{W}_{\mathcal{F}}$  and let  $Q = F \cap H$ . Clearly,  $Q \in \mathcal{F}, v \in W_Q$ , where  $v(q) = w(q) \cap u(q), q \in Q$  and  $Q_v = \bigcup \{v(s) : s \in Q\} \subseteq F_w \cap H_u$ . Thus,  $\mathcal{W}_{\mathcal{F}}$  is a filterbase. The second assertion is straightforward.

(ii) Fix  $K \subset \omega$ . If  $K \notin \mathcal{H}_{\mathcal{F}}$  then  $\{n \in \omega : K \cap A_n \in \mathcal{F}_n\} \notin \mathcal{F}$ . Since  $\mathcal{F}$  is maximal, it follows that  $\{n \in \omega : K^c \cap A_n \in \mathcal{F}_n\} \in \mathcal{F}$ . Hence,  $K^c \in \mathcal{H}_{\mathcal{F}}$  and  $\mathcal{H}_{\mathcal{F}}$  is an ultrafilter.

The second assertion follows from the second assertion of (i).

(iii) Clearly, if  $\mathcal{F}$  is a principal ultrafilter then  $\mathcal{H}_{\mathcal{F}} \in G$ . So, we assume that  $\mathcal{F}$  is a free ultrafilter. Since the family  $\mathcal{V}_{\mathcal{F}} = \{[F_w] : F \in \mathcal{F}, w \in W_F\}$  is a neighborhood base of  $\mathcal{H}_{\mathcal{F}}$  and  $|V \cap G| = \aleph_0$  for every  $V \in \mathcal{V}_{\mathcal{F}}$ , it follows that  $\mathcal{H}_{\mathcal{F}} \in \overline{G}$  as required.

(iv) Since for every  $\mathcal{F}, \mathcal{S} \in S(\omega), \mathcal{F} \neq \mathcal{S}$  implies  $\mathcal{H}_{\mathcal{F}} \neq \mathcal{H}_{\mathcal{S}}$ , we see that the mapping T is one-to-one. Since, for every  $F \in \mathcal{P}(\omega)$ ,

$$\mathcal{F} \in [F] \leftrightarrow F \in \mathcal{F} \leftrightarrow \bigcup \{A_n : n \in F\} \in \mathcal{H}_{\mathcal{F}} \leftrightarrow \mathcal{H}_{\mathcal{F}} \in \left[\bigcup \{A_n : n \in F\}\right],$$

we see that T maps basic open sets of  $S(\omega)$  to basic open sets of  $T(S(\omega))$ .

To complete the proof of (iv) it suffices to show that T is onto. Fix  $\mathcal{H} \in \overline{G}$ . It is easy to verify that  $\mathcal{W} = \{W_H : H \in \mathcal{H}\}$  is a filterbase of  $\omega$ , where  $W_H = \{n \in \omega : H \in \mathcal{G}_n\}$ .

We show next that the filter  $\mathcal{F}_{\mathcal{W}}$  of  $\omega$  generated by  $\mathcal{W}$  is maximal. Fix  $M \subseteq \omega$  and let  $F_M = \bigcup \{A_n : n \in M\}$ . We consider the following two cases:

- (a)  $F_M \in \mathcal{H}$ . In this case it is easily seen that  $W_{F_M} = M$  and consequently  $M \in \mathcal{F}_{\mathcal{W}}$ .
- (b)  $F_M^c \in \mathcal{H}$ . This means that  $W_{F_M^c} = \{n \in \omega : F_M^c \in \mathcal{G}_n\} = M^c \in \mathcal{F}_{\mathcal{W}}$ .

Thus,  $\mathcal{F}_{\mathcal{W}}$  is an ultrafilter of  $\omega$  as required. Then  $T(\mathcal{F}_{\mathcal{W}}) = \mathcal{H}_{\mathcal{F}_{\mathcal{W}}} = \mathcal{H}$ . (Let  $H \in \mathcal{H}$ ; then  $W_H = \{n \in \omega : H \in \mathcal{G}_n\} \in \mathcal{F}_{\mathcal{W}}$ . For every  $n \in W_H$ ,  $H \cap A_n \in \mathcal{F}_n$ , therefore  $H = \bigcup \{H \cap A_n : n \in W_H\} \in \mathcal{W}_{\mathcal{F}_{\mathcal{W}}} \subseteq \mathcal{H}_{\mathcal{F}_{\mathcal{W}}}$ . Hence  $\mathcal{H} \subseteq \mathcal{H}_{\mathcal{F}_{\mathcal{W}}}$  and, since  $\mathcal{H}$  is an ultrafilter, it follows that  $\mathcal{H} = \mathcal{H}_{\mathcal{F}_{\mathcal{W}}}$ .) So, T is onto  $\overline{G}$  and T is a homeomorphism, finishing the proof of the theorem.

REMARK 9. Analogously we can prove a generalization of Theorem 8, obtained by replacing  $\omega$  by any infinite set X and replacing a countable partition by a partition indexed by any set I, changing only "In particular,  $|S(\omega)| = |\overline{G}|$ " in (iv) to "In particular,  $|S(I)| \leq |S(X)|$ ".

The statement "every infinite closed subset of  $S(\omega)$  includes a topological copy of  $S(\omega)$ " is of course a well-known **ZFC** result (see [2, Theorem 3.6.14]). However, we show next that by Theorem 8 the above statement is a theorem of a *strictly weaker* axiomatic system than **ZFC**, namely **ZF**+**DC**. In addition, although the statement "every infinite closed subset of  $S(\omega)$  includes a topological copy of  $S(\omega)$ " implies, in **ZFC**, the statement " $S(\omega) \setminus \omega$ includes a topological copy of  $S(\omega)$ ", this implication ceases to be valid in **ZF** set theory.

Theorem 10.

- (i) DC implies "every infinite closed subset of S(ω) includes a topological copy of S(ω)".
- (ii) DC and "S(ω) is compact and Loeb" together imply "every infinite closed subset of S(ω) has size |2<sup>ℝ</sup>|", hence S(ω) has no countably infinite closed subspaces.
- (iii) BPI(ω) implies "S(ω)\ω includes a topological copy of S(ω)", which in turn implies UF(ω). Hence, "every infinite closed subset of S(ω) includes a topological copy of S(ω)" does not imply "S(ω)\ω includes a topological copy of S(ω)" in ZF.
- (iv) **CAC** implies "for every infinite set X, and every relatively discrete subspace  $G = \{\mathcal{G}_n : n \in \omega\}$  of S(X),  $S(\omega)$  is homeomorphic to  $\overline{G}$ ". In particular, **CAC** restricted to countable families of non-empty sets of reals implies "for every countably infinite relatively discrete subset G of  $S(\omega)$ ,  $\overline{G}$  is homeomorphic to  $S(\omega)$ ".

*Proof.* (i) First we show that **DC** implies that every infinite closed subset of  $S(\omega)$  includes a countably infinite relatively discrete subset. Fix an infinite closed subset F of  $S(\omega)$ . If F has no accumulation points, then the conclusion follows immediately from the fact that **DC** implies that every infinite set has a countably infinite subset. So assume that F has an accumulation point, say  $x_F$ . We shall construct a set  $A = \{a_n : n \in \mathbb{N}\} \subseteq F$  and a set  $\{V_n : n \in \mathbb{N}\}$  of open sets such that  $a_i \in V_i$  and  $V_i \cap V_j = \emptyset$  for  $i \neq j$ .

We commence by defining

$$W = \left\{ (V_1, \dots, V_n) \in \mathcal{B}^n : n \in \mathbb{N}, \, V_i \cap F \neq \emptyset, \, V_i \cap V_j = \emptyset \text{ for } i \neq j, \\ \text{and } x_F \notin \bigcup \{ V_i : i = 1, \dots, n \} \right\},$$

where  $\mathcal{B}$  is the clopen base  $\{[U] : U \subseteq \omega\}$  of  $S(\omega)$ . Since  $|\mathcal{B}| = |\mathbb{R}| = |\mathbb{R}^{\omega}|$ , it follows that  $|W| = |\mathbb{R}|$ . For all  $x, y \in W$ , we define a binary relation R on W by stating xRy if and only if  $x \subseteq y$ . We assert that Dom(R) = W. Indeed, let  $(V_1, \ldots, V_n) \in W$  for some  $n \in \mathbb{N}$ . Since  $x_F \notin \bigcup \{V_i : i = 1, \ldots, n\}$ and  $\bigcup \{V_i : i = 1, \ldots, n\}$  is closed, there exists  $V \in \mathcal{B}$  such that  $x_F \in V$ and  $V \cap \bigcup \{V_i : i = 1, \ldots, n\} = \emptyset$ . Since  $x_F$  is an accumulation point of F, let  $y \in (V \cap F) \setminus \{x_F\}$ . Then there exist disjoint basic neighborhoods  $U_1$  and  $U_2$  of  $x_F$  and y, respectively, such that both  $U_1$  and  $U_2$ are contained in V. Put  $V_{n+1} = U_2$ . Then  $(V_1, \ldots, V_n, V_{n+1}) \in W$  and  $(V_1, \ldots, V_n)R(V_1, \ldots, V_n, V_{n+1})$ , so Dom(R) = W as asserted.

By **DC**, there exists a sequence  $(V_n)_{n\in\mathbb{N}}$  of basic open sets such that  $V_n \cap F \neq \emptyset$ ,  $V_n \cap V_m = \emptyset$  for  $n \neq m$  (and  $x_F \notin \bigcup \{V_n : n \in \mathbb{N}\}$ ). Since for every  $n \in \mathbb{N}$ ,  $V_n \cap F$  is a non-empty (closed) subset of  $S(\omega)$ , we may let, by **DC**,  $a_n \in V_n \cap F$ ,  $n \in \mathbb{N}$ . Put  $A = \{a_n : n \in \mathbb{N}\}$ . Then A is a countably infinite relatively discrete subset of  $S(\omega)$ .

Now we prove the original assertion. Let F be an infinite closed subset of  $S(\omega)$  and let  $I_F$  be the set of all isolated points of F. It follows from the first part of the proof that  $I_F$  is infinite (otherwise,  $H = F \setminus I_F$  is an infinite, dense-in-itself, hence closed, subset of F, hence of  $S(\omega)$ ; thus, H contains a countably infinite relatively discrete subset, a contradiction). By **DC**,  $I_F$  has a countably infinite subset, say  $G = \{\mathcal{G}_n : n \in \omega\}$ . By **DC** again, pick, for every  $n \in \omega$ ,  $G_n \in \mathcal{G}_n$  such that  $[G_n] \cap G = \{\mathcal{G}_n\}$ . Clearly, for all  $n \in \omega$  and  $m \in n, G_m \notin \mathcal{G}_n$  and consequently  $G_m^c \in \mathcal{G}_n$ . Thus, for all  $n \in \omega$ ,  $G_n \setminus \bigcup \{G_m^c : m \in n\} = G_n \cap \bigcap \{G_m^c : m \in n\} \in \mathcal{G}_n$ . Hence, we may assume that  $\{G_n : n \in \omega\}$  is a family of pairwise disjoint subsets of  $\omega$ . Let  $\mathcal{A} = \{A_n : n \in \omega\}$  be a partition of  $\omega$  such that  $G_n \subseteq A_n$ for all  $n \in \omega$ . (Hence,  $A_n \in \mathcal{G}_n$  for all  $n \in \omega$ .) Clearly, for every  $n \in \omega$ ,  $\mathcal{F}_n = \{U \cap A_n : U \in \mathcal{G}_n\}$  is an ultrafilter of  $A_n$ , and  $\mathcal{G}_n$  is the unique ultrafilter of  $\omega$  generated by  $\mathcal{F}_n$ . By Theorem 8,  $S(\omega)$  is homeomorphic to  $\overline{G} \subseteq F$ , finishing the proof of (i).

(ii) This follows from part (i) and from Theorem 6(iii).

(iii) Let  $\mathcal{A} = \{A_n : n \in \omega\}$  be a partition of  $\omega$  into infinite sets. For each  $n \in \omega$ , let  $\mathcal{H}_n$  be the filterbase of  $\omega$  consisting of all subsets of  $A_n$ which are cofinite in  $A_n$ . By **BPI**( $\omega$ ) let, for each  $n \in \omega$ ,  $\mathcal{G}_n \in S(\omega)$  be such that  $\mathcal{H}_n \subset \mathcal{G}_n$  (see Lemma 4(i)). Clearly,  $G = \{\mathcal{G}_n : n \in \omega\}$  is a countably infinite relatively discrete subset of  $S(\omega)$ . Furthermore,  $G \subset S(\omega) \setminus \omega$  and  $\overline{G} \subset \overline{S(\omega) \setminus \omega} = S(\omega) \setminus \omega$  (as  $S(\omega) \setminus \omega$  is closed in  $S(\omega)$ ). Letting, for each  $n \in \omega, \mathcal{F}_n = \{U \cap A_n : U \in \mathcal{G}_n\}$ , an application of Theorem 8 at this point shows that  $S(\omega)$  is homeomorphic to  $\overline{G} \subset S(\omega) \setminus \omega$ .

That " $S(\omega) \setminus \omega$  includes a topological copy of  $S(\omega)$ " implies  $\mathbf{UF}(\omega)$  is straightforward.

The last assertion of (iii) follows from the fact that **DC**, hence by (i) "every infinite closed subset of  $S(\omega)$  includes a topological copy of  $S(\omega)$ ", holds in Feferman's forcing model  $\mathcal{M}2$  in [4], whereas  $\mathbf{UF}(\omega)$  fails in that model (see [4]).

(iv) By **CAC** let, for every  $n \in \omega$ ,  $G_n \subseteq X$  be such that  $[G_n] \cap G = \{\mathcal{G}_n\}$ . Without loss of generality assume that the  $G_n$ 's are pairwise disjoint (see the proof of part (i)) and that  $Y = X \setminus \bigcup \{G_n : n \in \omega\}$  is infinite. By **CAC**, Y has a countably infinite subset, hence Y has a partition  $\{U_n : n \in \omega\}$ . For each  $n \in \omega$ , let  $A_n = G_n \cup U_n$ . Then  $\{A_n : n \in \omega\}$  is a partition of X and letting, for each  $n \in \omega$ ,  $\mathcal{F}_n$  be as in the proof of (iii), we may conclude by Remark 9 that  $S(\omega)$  is homeomorphic to  $\overline{G}^{S(X)}$ , finishing the proof of (iv) and of the theorem.

Corollary 11.

- (i) DC and "S(ℝ) is compact and Loeb" together imply "every infinite closed subset of S(ℝ) has size |2<sup>P(ℝ)</sup>|", hence S(ℝ) has no countably infinite closed subspaces.
- (ii) In **ZFC**,  $\mathbf{2}^{\mathcal{P}(X)}$  does not embed as a subspace of S(X), where  $X = \omega, \mathbb{R}$ .

*Proof.* (ii) We argue only for  $X = \omega$  and assume toward a contradiction that  $h: \mathbf{2}^{\mathcal{P}(\omega)} \to S(\omega)$  is an embedding. Let  $G = \{\chi_{\{n\}} : n \in \omega\} \subseteq 2^{\mathcal{P}(\omega)}$ . Clearly, G is a relatively discrete subset of  $\mathbf{2}^{\mathcal{P}(\omega)}$ ,  $\overline{G} = G \cup \{\mathbf{0}\}$  in  $\mathbf{2}^{\mathcal{P}(\omega)}$ , where  $\mathbf{0} = \chi_{\emptyset}$ , and every neighborhood of  $\mathbf{0}$  includes all but finitely many members of G. Thus,  $\overline{G}$  is a compact subset of  $2^{\mathcal{P}(\omega)}$  and consequently we may identify  $\overline{G}$  with a countable closed subset of  $S(\omega)$  homeomorphic to the one-point compactification of  $\omega$  with the discrete topology. This contradicts the conclusion of part (ii) of Theorem 10 and completes the proof.

4. Further results. In this section we generalize Theorem 3 by replacing  $\omega$  with  $\mathcal{P}(\omega)$ . We observe, as expected, that all statements concerning **BPI**( $\omega$ ) given in Theorem 3 generalize without any difficulty. In particular, we note that Theorem 13 below is an analogue of Theorem 6 in [6].

Theorem 12.

- (i) "2<sup>ℝ</sup> is a Loeb space" iff "every product of finite subspaces of ℝ is Loeb".
- (ii) " $\mathbf{2}^{\mathbb{R}}$  is a Loeb space" implies that  $[\mathcal{P}(\mathbb{R})]^{<\omega} \setminus \{\emptyset\}$  has a choice function

(see also [8]). Hence, it implies that  $|[\mathcal{P}(\mathbb{R})]^{<\omega}| = |\mathcal{P}(\mathbb{R})|$  and a wellordering on each  $A \in [\mathcal{P}(\mathbb{R})]^{<\omega} \setminus \{\emptyset\}$  can be defined.

*Proof.* (i) We only prove  $(\rightarrow)$  as the reverse implication is obvious. Fix a family  $(X_i)_{i\in I}$  of finite subsets of  $\mathbb{R}$ . Since  $|[\mathbb{R}]^{<\omega}| = |\mathbb{R}|$  and  $|\mathbb{R} \times \mathbb{R}| = |\mathbb{R}|$ , we may assume that the sets  $X_i$  are pairwise disjoint. Thus,  $\mathbf{X} = \prod_{i\in I} \mathbf{X}_i$  embeds as a closed subspace in  $\mathbf{2}^{\bigcup\{X_i:i\in I\}}$  (see [8]) and the latter space can be viewed as a closed subspace of  $\mathbf{2}^{\mathbb{R}}$ . Hence, by our assumption,  $\mathbf{X}$  is Loeb.

(ii) Since  $|\mathcal{P}(\mathbb{R})| = |2^{\mathbb{R}}|$ , we may view each finite subset of  $\mathcal{P}(\mathbb{R})$  as a finite subset of  $2^{\mathbb{R}}$ . Furthermore, since  $2^{\mathbb{R}}$  is a T<sub>2</sub> space, every finite subset of  $2^{\mathbb{R}}$  is a closed set. Therefore, by our assumption, the family  $[\mathcal{P}(\mathbb{R})]^{<\omega} \setminus \{\emptyset\}$  has a choice function. By the fact that for every  $n \in \mathbb{N}$ ,  $|\mathcal{P}(\mathbb{R})^n| = |(2^{\mathbb{R}})^n| = |2^{\mathbb{R} \times n}| = |\mathcal{P}(\mathbb{R})|$  and our assumption we can define for every  $A \in [\mathcal{P}(\mathbb{R})]^{<\omega}$  an enumeration  $\{a_j^A : j \leq |A|\}$  of A. We have on the one hand  $|\mathcal{P}(\mathbb{R})^{\omega}| = |(2^{\mathbb{R}})^{\omega}| = |2^{\mathbb{R} \times \omega}| = |\mathcal{P}(\mathbb{R})|$  and for every  $n \in \mathbb{N}$ ,  $|\mathcal{P}(\mathbb{R})^n| = |\mathcal{P}(\mathbb{R})|$ , and on the other hand, by our assumption,  $|[\mathcal{P}(\mathbb{R})]^n| \leq |\mathcal{P}(\mathbb{R})^n|$  via the map  $F_n(A)(j) = a_j^A, j \leq n$ . Hence,  $|\mathcal{P}(\mathbb{R})| \leq |[\mathcal{P}(\mathbb{R})]^{<\omega}| = |\bigcup\{[\mathcal{P}(\mathbb{R})]^n : n \in \mathbb{N}\}| \leq |\mathcal{P}(\mathbb{R})^{\omega}| \leq |\mathcal{P}(\mathbb{R})|$ .

THEOREM 13. The following statements are pairwise equivalent:

- (i) In a Boolean algebra B of size ≤ |2<sup>ℝ</sup>| every filter can be extended to an ultrafilter.
- (ii) **BPI**( $\mathbb{R}$ ).
- (iii)  $S(\mathbb{R})$  is compact.
- (iv)  $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$  is compact.
- (v) For every compact  $T_2$  space **X** having a dense subset of size  $\leq |\mathbb{R}|$ , the product  $\mathbf{X}^{\mathcal{P}(\mathbb{R})}$  is compact.
- (vi) Every product of non-empty finite discrete subsets of  $\mathcal{P}(\mathbb{R})$  is compact.

*Proof.* (i) $\rightarrow$ (ii). This is clear.

(ii) $\leftrightarrow$ (iii). Follow the well-known proof that **BPI** is equivalent to "for every set X, the Stone space S(X) of the powerset algebra  $\mathcal{P}(X)$  is compact".

 $(iii) \rightarrow (iv)$ . This follows at once from Theorem 6(i).

 $(iv) \rightarrow (v)$ . Fix a compact  $T_2$  space **X** having a dense subset D of size  $\leq |\mathbb{R}|$ . By [2, Theorem 2.3.15],  $\mathbf{X}^{\mathcal{P}(\mathbb{R})}$  has a dense subset of size  $|\mathbb{R}|$ . Since our assumption implies **BPI**( $\mathbb{R}$ ) (by Theorem 7(i),  $S(\mathbb{R})$  is compact, and it is easy to see that the latter is true iff **BPI**( $\mathbb{R}$ ) is true), we may follow the proof of (ii) $\rightarrow$ (iii) of Theorem 6 in [6] in order to verify that  $\mathbf{X}^{\mathcal{P}(\mathbb{R})}$  is compact.

 $(\mathbf{v}) \rightarrow (\mathbf{v}i)$ . First notice that our assumption clearly implies that  $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$  is compact. Fix a family  $\mathcal{A} = \{A_i : i \in I\}$  of non-empty finite subsets of  $\mathbf{2}^{\mathbb{R}}$ . By Lemma 4 and Theorem 12, it follows that  $|I| \leq |\mathcal{P}(\mathbb{R})|$ . As we observed

in the proof of the first assertion of (ii) of Lemma 4,  $\mathbf{2}^{\mathbb{R}}$  is homeomorphic to a closed subset of  $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$ . It follows that, for every  $i \in I$ , we may view  $A_i$  as a finite subset of the compact  $T_2$  space  $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$ . Hence,  $A_i$  is a (discrete) closed subspace of  $\mathbf{2}^{\mathcal{P}(\mathbb{R})}$ . Thus,  $\prod_{i \in I} A_i$  is a closed subspace of  $(\mathbf{2}^{\mathcal{P}(\mathbb{R})})^{\mathcal{P}(\mathbb{R})}$ . Since  $(\mathbf{2}^{\mathcal{P}(\mathbb{R})})^{\mathcal{P}(\mathbb{R})} \simeq \mathbf{2}^{\mathcal{P}(\mathbb{R}) \times \mathcal{P}(\mathbb{R})} \simeq \mathbf{2}^{\mathcal{P}(\mathbb{R})}$  (indeed, notice that  $|\mathcal{P}(\mathbb{R}) \times \mathcal{P}(\mathbb{R})| =$  $|\mathcal{P}(\mathbb{R})|$  and use [9, Proposition 3]), it follows that  $(\mathbf{2}^{\mathcal{P}(\mathbb{R})})^{\mathcal{P}(\mathbb{R})}$  is compact, hence  $\prod_{i \in I} A_i$  is compact as required.

 $(vi) \rightarrow (i)$ . Our assumption implies that  $2^{\mathcal{P}(\mathbb{R})}$  is compact. In order to verify that (i) holds, mimic the proofs of  $(vi) \rightarrow (vii) \rightarrow (i)$  of Theorem 6 in [6] with  $\mathcal{P}(\mathbb{R})$  in place of  $\mathbb{R}$ .

Corollary 14.

- (i) For  $X = \omega, \mathbb{R}$ , "S(X) is compact and Loeb" iff " $2^{\mathcal{P}(X)}$  is compact and Loeb".
- (ii) BPI(ℝ) implies "2<sup>ℝ</sup> is compact" and "2<sup>ℝ</sup> is Loeb". In particular, under BPI(ℝ), "2<sup>ℝ</sup> is compact" iff "2<sup>ℝ</sup> is Loeb", and "S(ω) is compact" iff "S(ω) is Loeb".
- (iii) " $2^{\mathbb{R}}$  is compact and Loeb" iff "for every separable compact  $T_2$  space **X**, the product  $\mathbf{X}^{\mathbb{R}}$  is compact and Loeb".
- (iv) **BPI**( $\mathbb{R}$ ) implies " $S(\mathbb{R})$  has a relatively discrete subset of size  $|\mathcal{P}(\mathbb{R})|$ ".

*Proof.* (i) follows easily from Theorems 6(i), 7(i) and the fact that **BPI**(X) iff S(X) is compact.

(ii) follows from Lemma 4 and Theorem 13. (iii)( $\leftarrow$ ) is obvious.

(iii)( $\rightarrow$ ) Fix a separable compact T<sub>2</sub> space **X**. By [2, Theorem 2.3.15],  $\mathbf{X}^{\mathbb{R}}$  is separable. By our assumption and Theorem 3,  $\mathbf{X}^{\mathbb{R}}$  is compact. Let  $\operatorname{RO}(\mathbf{X}^{\mathbb{R}})$  be the family of all regular open sets of  $\mathbf{X}^{\mathbb{R}}$  and let *G* be a countable dense subset of  $\mathbf{X}^{\mathbb{R}}$ . Since for any  $O, Q \in \operatorname{RO}(\mathbf{X}^{\mathbb{R}}), O \neq Q$  implies  $O \cap G \neq Q \cap G$ , it follows that  $|\operatorname{RO}(\mathbf{X}^{\mathbb{R}})| \leq |\mathbb{R}|$  and consequently  $\mathbf{X}^{\mathbb{R}}$  has a base  $\mathcal{B}$ of size  $\leq |\mathbb{R}|$ . It follows, by the embedding lemma, that  $\mathbf{X}^{\mathbb{R}}$  embeds in the product  $[0, 1]^{\mathbb{R}}$  as a closed subspace. Since " $[0, 1]^{\mathbb{R}}$  is Loeb" iff "2<sup> $\mathbb{R}$ </sup> is Loeb" (see [7]), it follows by our assumption that " $\mathbf{X}^{\mathbb{R}}$  is Loeb" as required.

(iv) Fix an independent family  $\mathcal{A} = \{A_i : i \in \mathcal{P}(\mathbb{R})\}$  in  $\mathbb{R}$  as in the proof of Theorem 6(i). For every  $i \in \mathcal{P}(\mathbb{R})$  let  $\mathcal{W}_i = \{A_i\} \cup \{(A_j)^c : j \in \mathcal{P}(\mathbb{R}) \setminus \{i\}\}$  and  $K_i = \{\mathcal{F} \in S(\mathbb{R}) : \mathcal{W}_i \subset \mathcal{F}\}$ . Clearly,  $K_i$  is a non-empty closed subset of the compact space  $S(\mathbb{R})$ . By Theorem 13(v), the product  $S(\mathbb{R})^{\mathcal{P}(\mathbb{R})}$  is compact, hence  $\bigcap \{\pi_i^{-1}(K_i) : i \in \mathcal{P}(\mathbb{R})\} \neq \emptyset$ . Fixing f in the latter intersection, we easily conclude that  $F = \{f(i) : i \in \mathcal{P}(\mathbb{R})\}$  is a relatively discrete subset of  $S(\mathbb{R})$ .

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Horst Herrlich Feldhäuser Str. 69 28865 Lilienthal, Germany E-mail: horst.herrlich@t-online.de Kyriakos Keremedis Department of Mathematics University of the Aegean Karlovassi, Samos 83200, Greece E-mail: kker@aegean.gr

Eleftherios Tachtsis Department of Statistics and Actuarial-Financial Mathematics University of the Aegean Karlovassi, Samos 83200, Greece E-mail: ltah@aegean.gr

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