

Relative Borsuk–Ulam Theorems for Spaces with a Free \mathbb{Z}_2 -action

by

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Summary. Let (X, A) be a pair of topological spaces, $T : X \rightarrow X$ a free involution and A a T -invariant subset of X . In this context, a question that naturally arises is whether or not all continuous maps $f : X \rightarrow \mathbb{R}^k$ have a T -coincidence point, that is, a point $x \in X$ with $f(x) = f(T(x))$. In this paper, we obtain results of this nature under cohomological conditions on the spaces A and X .

1. Introduction. One formulation of the Borsuk–Ulam Theorem [1] is that there is no map from S^m to S^n equivariant with respect to the antipodal map, when $m > n$. In [6], it was proved that if X and Y are Hausdorff, pathwise connected and paracompact spaces equipped with free involutions $T : X \rightarrow X$ and $S : Y \rightarrow Y$ such that for some natural $n \geq 1$, $\check{H}^r(X; \mathbb{Z}_2) = 0$ for $1 \leq r \leq n$ and $\check{H}^{n+1}(Y/S; \mathbb{Z}_2) = 0$, where Y/S is the orbit space of Y by S , then there is no equivariant map $f : (X, T) \rightarrow (Y, S)$.

The first aim of this paper is to generalize this result for the following relative case:

THEOREM 1.1. *Let X, Y be a Hausdorff, connected and paracompact spaces equipped with free involutions $T : X \rightarrow X$ and $S : Y \rightarrow Y$. Let A be a non-empty connected and T -invariant subset of X . Suppose that for some $n \geq 1$, $\check{H}^r(A, \mathbb{Z}_2) = 0$ for $1 \leq r \leq n - 1$, $i^* : \check{H}^n(X, \mathbb{Z}_2) \rightarrow \check{H}^n(A, \mathbb{Z}_2)$ is the null homomorphism, where $i : A \hookrightarrow X$ is the inclusion map and $\check{H}^{n+1}(Y/S; \mathbb{Z}_2) = 0$. Then there is no equivariant map $f : (X, T) \rightarrow (Y, S)$.*

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The following theorem is an important consequence of Theorem 1.1.

THEOREM 1.2. *Let X be a Hausdorff, connected and paracompact space with a free involution $T : X \rightarrow X$. Let A be a non-empty connected and T -invariant subset of X . Suppose that for some $n \geq 1$, $\check{H}^r(A, \mathbb{Z}_2) = 0$ for $1 \leq r \leq n - 2$ and $i^* : \check{H}^{n-1}(X, \mathbb{Z}_2) \rightarrow \check{H}^{n-1}(A, \mathbb{Z}_2)$ is the null homomorphism, where $i : A \hookrightarrow X$ is the inclusion map. Then, if $\varphi : X \multimap \mathbb{R}^k$ is an acyclic multi-valued map and $n \geq k$, there exists $x \in X$ such that $\varphi(x) \cap \varphi(T(x)) \neq \emptyset$.*

In the particular case when $\varphi = f$ is a single-valued map, we obtain

COROLLARY 1.3. *Let X be a Hausdorff, connected and paracompact space with a free involution $T : X \rightarrow X$. Let A be a non-empty connected and T -invariant subset of X . Suppose that for some $n \geq 1$, $\check{H}^r(A, \mathbb{Z}_2) = 0$ for $1 \leq r \leq n - 2$ and $i^* : \check{H}^{n-1}(X, \mathbb{Z}_2) \rightarrow \check{H}^{n-1}(A, \mathbb{Z}_2)$ is the null homomorphism, where $i : A \hookrightarrow X$ is the inclusion map. Then, if $f : X \rightarrow \mathbb{R}^k$ is a map with $n \geq k$, there exists $x \in X$ such that $f(x) = f(T(x))$.*

The paper is organized as follows. In Section 2, we prove Theorem 1.1. In Section 3, we recall definitions, fix notations, state necessary results on multi-valued maps and prove Theorem 1.2. In Section 4, we show an interesting example to which Theorem 1.2 can be applied and we finish the paper with some applications.

Throughout the paper, we assume that all spaces under consideration are Hausdorff spaces. $\check{H}^*(\ , \mathbb{Z}_2)$ denotes Čech cohomology with coefficients in \mathbb{Z}_2 .

2. Proof of Theorem 1.1. In this section we prove Theorem 1.1. We need first to prove the following lemma.

LEMMA 2.1 (cf. [6]). *Let X, Y be Hausdorff and paracompact spaces, equipped with free involutions $T : X \rightarrow X$ and $S : Y \rightarrow Y$. Let $e \in \check{H}^1(X/T, \mathbb{Z}_2)$ and $u \in \check{H}^1(Y/T, \mathbb{Z}_2)$ be the Euler classes of the \mathbb{Z}_2 -principal bundles $X \rightarrow X/T$ and $Y \rightarrow Y/T$, respectively. If $e^{n+1} \neq 0$ and $u^{n+1} = 0$, then there is no equivariant map $f : (X, T) \rightarrow (Y, S)$.*

Proof. Let $B\mathbb{Z}_2$ be the classifying space for \mathbb{Z}_2 , and denote by $\alpha \in \check{H}^1(B\mathbb{Z}_2, \mathbb{Z}_2)$ the Euler class of the universal principal \mathbb{Z}_2 -bundle over $B\mathbb{Z}_2$. Since X is a Hausdorff paracompact space, one can take a classifying map $h : X/T \rightarrow B\mathbb{Z}_2$ for the principal \mathbb{Z}_2 -bundle $X \rightarrow X/T$, and from $h^* : \check{H}^1(B\mathbb{Z}_2, \mathbb{Z}_2) \rightarrow \check{H}^1(X/T, \mathbb{Z}_2)$ one gets the Euler class

$$e = h^*(\alpha) \in \check{H}^1(X/T, \mathbb{Z}_2)$$

of $X \rightarrow X/T$.

Now suppose $f : (X, T) \rightarrow (Y, S)$ is an equivariant map, and let $g : Y/S \rightarrow B\mathbb{Z}_2$ be a classifying map for $Y \rightarrow Y/S$. Then $g \circ f$ is also a classifying

map for $X \rightarrow X/T$, and therefore it is homotopic to h ; here $\bar{f} : X/T \rightarrow Y/S$ is induced by f . Since $u = g^*(\alpha)$, we have $e = h^*(\alpha) = (g \circ \bar{f})^*(\alpha) = \bar{f}^* \circ g^*(\alpha) = \bar{f}^*(u)$, and thus $\bar{f}^*(u^{n+1}) = e^{n+1} \neq 0$, which contradicts the fact that $u^{n+1} = 0$. ■

Proof of Theorem 1.1. Let $\hat{e} \in \check{H}^1(A/T, \mathbb{Z}_2)$ be the Euler class of the principal \mathbb{Z}_2 -bundle $A \rightarrow A/T$ and let $\bar{i} : A/T \hookrightarrow X/T$ be induced by the inclusion $i : A \hookrightarrow X$. We have

$$\bar{i}^*(e) = \hat{e} \in \check{H}^1(A/T, \mathbb{Z}_2),$$

where $e \in \check{H}^1(X/T, \mathbb{Z}_2)$ is the Euler class of $X \rightarrow X/T$.

Now, let us consider the following diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \check{H}^0(X/T) & \xrightarrow{p^*} & \check{H}^0(X) & \xrightarrow{\tau} & \check{H}^0(X/T) & \xrightarrow{\cup e} & \check{H}^1(X/T) & \longrightarrow & \dots \\ & & \bar{i}^* \downarrow & & i^* \downarrow & & \bar{i}^* \downarrow & & \bar{i}^* \downarrow & & \\ 0 & \longrightarrow & \check{H}^0(A/T) & \xrightarrow{p^*} & \check{H}^0(A) & \xrightarrow{\tau} & \check{H}^0(A/T) & \xrightarrow{\cup \hat{e}} & \check{H}^1(A/T) & \longrightarrow & \dots \\ & & & & & & & & & & \\ & & \dots & \longrightarrow & \check{H}^r(X/T) & \xrightarrow{p^*} & \check{H}^r(X) & \xrightarrow{\tau} & \check{H}^r(X/T) & \xrightarrow{\cup e} & \check{H}^{r+1}(X/T) & \longrightarrow & \dots \\ & & & & \bar{i}^* \downarrow & & i^* \downarrow & & \bar{i}^* \downarrow & & \bar{i}^* \downarrow & & \\ & & \dots & \longrightarrow & \check{H}^r(A/T) & \xrightarrow{p^*} & \check{H}^r(A) & \xrightarrow{\tau} & \check{H}^r(A/T) & \xrightarrow{\cup \hat{e}} & \check{H}^{r+1}(A/T) & \longrightarrow & \dots \end{array}$$

where the rows are Gysin exact sequences (see for example [2, Theorem 17.9.2]) and each square commutes by naturality, p is the quotient map and τ is the transfer homomorphism. Since A is connected, $p^* : \check{H}^0(A/T, \mathbb{Z}_2) \rightarrow \check{H}^0(A, \mathbb{Z}_2)$ is an isomorphism, hence $\cup \hat{e} : \check{H}^0(A/T, \mathbb{Z}_2) \rightarrow \check{H}^1(A/T, \mathbb{Z}_2)$ is injective and thus $\hat{e} = 1 \cup \hat{e} \in \check{H}^1(A/T, \mathbb{Z}_2)$ is nonzero. The fact that $\check{H}^r(A, \mathbb{Z}_2) = 0$ for $1 \leq r \leq n - 1$ implies that $\cup \hat{e} : \check{H}^r(A/T, \mathbb{Z}_2) \rightarrow \check{H}^{r+1}(A/T, \mathbb{Z}_2)$ is an isomorphism for $1 \leq r \leq n - 2$ and injective for $r = n - 1$, hence $\hat{e}^n \in \check{H}^n(A/T, \mathbb{Z}_2)$ is nonzero. Since $\bar{i}^*(e^n) = \hat{e}^n \neq 0$, we see that $e^n \in \check{H}^n(X/T, \mathbb{Z}_2)$ is nonzero.

Now, we will show that $e^{n+1} \in \check{H}^{n+1}(X/T, \mathbb{Z}_2)$ is nonzero. Suppose $e^{n+1} = e^n \cup e = 0$. Then $e^n \in \ker(\cup e) = \text{im}(\tau)$ and there exists a nonzero $a \in \check{H}^n(X, \mathbb{Z}_2)$ such that $\tau(a) = e^n$. Therefore, $\bar{i}^* \circ \tau(a) = \bar{i}^*(e^n) = \hat{e}^n \neq 0$.

On the other hand, since $i^* : \check{H}^n(X, \mathbb{Z}_2) \rightarrow \check{H}^n(A, \mathbb{Z}_2)$ is the null homomorphism and each square in the diagram commutes, it follows that $\bar{i}^* \circ \tau(a) = \tau \circ i^*(a) = 0$. Thus $e^{n+1} \neq 0$.

Finally, since $\check{H}^{n+1}(Y/S, \mathbb{Z}_2) = 0$, we see that $u^{n+1} \in \check{H}^{n+1}(Y/S, \mathbb{Z}_2)$ is zero, and by Lemma 2.1 there is no equivariant map $f : (X, T) \rightarrow (Y, S)$. ■

3. Results on multi-valued maps and proof of Theorem 1.2. Let X and Y be two spaces and assume that for each point $x \in X$ a non-empty closed subset $\varphi(x)$ of Y is given; in this case, we say that φ is a *multi-valued*

map from X into Y and we write $\varphi : X \multimap Y$. More precisely, a multi-valued map $\varphi : X \multimap Y$ can be defined as a subset φ of $X \times Y$ for which the following condition is satisfied: for every $x \in X$ the set $\varphi_x = \{y \in Y \mid (x, y) \in \varphi\}$ is a non-empty closed subset of Y .

A multi-valued map $\varphi : X \multimap Y$ is called *upper semicontinuous* (u.s.c.) if for every open subset U of Y the set $\varphi^{-1}(U) = \{x \in X \mid \varphi(x) \subset U\}$ is an open subset of X .

A compact space X is *acyclic* (with respect to the functor $\check{H}^*(\cdot, \mathbb{Z}_2)$) if $\check{H}^0(X, \mathbb{Z}_2) = \mathbb{Z}_2$ and $\check{H}^q(X, \mathbb{Z}_2) = 0$ for all $q > 0$. In other words, X has the cohomology of a point.

An u.s.c. multi-valued map $\varphi : X \multimap Y$ is called *acyclic* if for every $x \in X$ the set $\varphi(x)$ is an acyclic subset of Y .

Let $\varphi : X \multimap Y$ be an u.s.c. multi-valued map and consider

$$\Gamma_\varphi = \{(x, y) \in X \times Y \mid y \in \varphi(x)\},$$

the *graph* of φ . Associated with φ are two projections, $p : \Gamma_\varphi \rightarrow X$ and $q : \Gamma_\varphi \rightarrow Y$, given by $p(x, y) = x$ and $q(x, y) = y$.

Below, we list some basic properties of u.s.c. multi-valued mappings.

LEMMA 3.1. *Let X be a connected space and $\varphi : X \multimap Y$ an u.s.c. multi-valued map with connected values. Then $\varphi(X) = \bigcup_{x \in X} \varphi(x)$ is a connected space.*

A continuous function $p : X \rightarrow Y$ is called *perfect* if it is closed, surjective and $p^{-1}(y)$ is compact for each $y \in Y$.

LEMMA 3.2 ([3, Theorem 5.3]). *Let $p : X \rightarrow Y$ be a perfect function. If Y is paracompact, so also is X .*

LEMMA 3.3 ([4, Proposition 32.3]). *Let $\varphi : X \multimap Y$ be an u.s.c. multi-valued map with compact values. Then the projection $p : \Gamma_\varphi \rightarrow X$ is a perfect function. In particular, if X is paracompact, so also is Γ_φ .*

THEOREM 3.4 ([7]). *Let X, Y be Hausdorff paracompact spaces and $p : X \rightarrow Y$ a continuous, closed onto map such that $p^{-1}(y)$ is acyclic for every $y \in Y$. Then the induced homomorphism*

$$p^* : \check{H}^*(Y, \mathbb{Z}_2) \rightarrow \check{H}^*(X, \mathbb{Z}_2)$$

is an isomorphism.

Proof of Theorem 1.2. Let $\varphi : X \multimap \mathbb{R}^k$ be an acyclic multi-valued map. Define

$$\begin{aligned} \tilde{X} &= \{(x, T(x), u, v) \in X^2 \times \mathbb{R}^{2k} \mid u \in \varphi(x), v \in \varphi(T(x))\}, \\ \tilde{A} &= \{(x, T(x), u, v) \in A^2 \times \mathbb{R}^{2k} \mid u \in \varphi(x), v \in \varphi(T(x))\}. \end{aligned}$$

Thus \tilde{X} is the graph of the u.s.c. multi-valued map $\Phi : \{(x, T(x)) \mid x \in X\} \rightarrow \mathbb{R}^{2k}$ given by

$$\Phi(x, T(x)) = \varphi(x) \times \varphi(T(x))$$

and \tilde{A} is the graph of Φ restricted to $\{(a, T(a)) \mid a \in A\}$. By Lemma 3.3, \tilde{X} and \tilde{A} are paracompact spaces. Moreover, since X and A are connected and $\Phi(x, T(x))$ is connected for each $x \in X$, by Lemma 3.1, \tilde{X} and \tilde{A} are connected. The map $\tilde{T} : \tilde{X} \rightarrow \tilde{X}$ defined by

$$\tilde{T}(x, T(x), u, v) = (T(x), x, v, u)$$

is a free involution on \tilde{X} . Moreover, \tilde{A} is \tilde{T} -invariant. Now, using Theorem 3.4, one can prove that $\check{H}^r(\tilde{A}, \mathbb{Z}_2) = 0$ for $1 \leq r \leq n - 2$ and $j^* : \check{H}^{n-1}(\tilde{X}, \mathbb{Z}_2) \rightarrow \check{H}^{n-1}(\tilde{A}, \mathbb{Z}_2)$ is the null homomorphism, where $j : \tilde{A} \hookrightarrow \tilde{X}$ is the inclusion map. In fact, let $s : \tilde{X} \rightarrow X$ be defined by

$$s(x, T(x), u, v) = x$$

and $s|_{\tilde{A}} : \tilde{A} \rightarrow A$ be the restriction of s to \tilde{A} . Then s and $s|_{\tilde{A}}$ are continuous, closed and onto maps. Moreover, $s^{-1}(x) = \{(x, T(x))\} \times \varphi(x) \times \varphi(T(x))$, which is acyclic for each $x \in X$. Hence, by Theorem 3.4, $s^* : \check{H}^*(X, \mathbb{Z}_2) \rightarrow \check{H}^*(\tilde{X}, \mathbb{Z}_2)$ and $(s|_{\tilde{A}})^* : \check{H}^*(A, \mathbb{Z}_2) \rightarrow \check{H}^*(\tilde{A}, \mathbb{Z}_2)$ are isomorphisms. Consequently, $\check{H}^r(\tilde{A}, \mathbb{Z}_2) = 0$ for $1 \leq r \leq n - 2$. Note that $i \circ (s|_{\tilde{A}}) = s \circ j$, giving the commutative diagram

$$\begin{array}{ccc} \check{H}^{n-1}(\tilde{X}, \mathbb{Z}_2) & \xrightarrow{j^*} & \check{H}^{n-1}(\tilde{A}, \mathbb{Z}_2) \\ s^* \uparrow \simeq & & \simeq \uparrow (s|_{\tilde{A}})^* \\ \check{H}^{n-1}(X, \mathbb{Z}_2) & \xrightarrow{i^*} & \check{H}^{n-1}(A, \mathbb{Z}_2) \end{array}$$

Since $i^* : \check{H}^{n-1}(X, \mathbb{Z}_2) \rightarrow \check{H}^{n-1}(A, \mathbb{Z}_2)$ is the null homomorphism and s^* and $(s|_{\tilde{A}})^*$ are isomorphisms, it follows that $j^* : \check{H}^{n-1}(\tilde{X}, \mathbb{Z}_2) \rightarrow \check{H}^{n-1}(\tilde{A}, \mathbb{Z}_2)$ is the null homomorphism.

Finally, suppose that $\varphi(x) \cap \varphi(T(x)) = \emptyset$ for all $x \in X$. Then we have a well defined equivariant map $F : (\tilde{X}, \tilde{T}) \rightarrow (S^{k-1}, a)$ given by

$$F(x, T(x), u, v) = \frac{u - v}{\|u - v\|},$$

where $a : S^{k-1} \rightarrow S^{k-1}$ is the antipodal map. Since $n \geq k$, $\check{H}^n(S^{k-1}/a, \mathbb{Z}_2) = 0$, which contradicts Theorem 1.1.

Therefore, $\varphi(x) \cap \varphi(T(x)) \neq \emptyset$ for some $x \in X$. ■

4. Examples and applications

EXAMPLE 4.1. Let $T_n = T_1 \sharp \cdots \sharp T_1$ be the n -fold connected sum of tori $T_1 = S^1 \times S^1$, which is embedded in \mathbb{R}^3 symmetrically with respect to

the origin. Let $T : T_n \rightarrow T_n$ be the antipodal map given by $T(x, y, z) = (-x, -y, -z)$. If n is even, there exists a loop $A = \{(x, 0, z) \mid x^2 + z^2 = 1\} \subset T_n$ homeomorphic to S^1 , which divides T_n into two components symmetrical with respect to the origin and is such that $T(A) = A$. Since $i^* : \check{H}^1(T_n, \mathbb{Z}_2) \rightarrow \check{H}^1(A, \mathbb{Z}_2)$ is the null homomorphism, by Theorem 1.2, for any u.s.c. multi-valued map $\varphi : T_n \multimap \mathbb{R}^2$ with connected compact values, there exists $x \in T_n$ such that $\varphi(x) \cap \varphi(T(x)) \neq \emptyset$. In particular, for any continuous map $f : T_n \rightarrow \mathbb{R}^2$, there exists $x \in T_n$ such that $f(x) = f(T(x))$.

REMARK 4.2. In Example 4.1, let us note that $\check{H}^1(T_n, \mathbb{Z}_2) \neq 0$, and therefore [6, Theorem 1 or Theorem A'] cannot be applied to show this result.

4.1. Maximizing simultaneously two related functions. Let $f : X \times Y \rightarrow \mathbb{R}$, where Y is compact. For each $x \in X$, let $f_x : Y \rightarrow \mathbb{R}$ be defined by $f_x(y) = f(x, y)$ for every $y \in Y$. Thus, f defines a family of maps, $\{f_x : Y \rightarrow \mathbb{R}\}_{x \in X}$. If X admits a free involution $T : X \rightarrow X$, we can ask if there exists $x_0 \in X$ such that the maps f_{x_0} and $f_{T(x_0)}$ can be simultaneously maximized, that is, there exists $y_0 \in Y$ such that

$$f_{x_0}(y_0) \geq f_{x_0}(y) \quad \text{and} \quad f_{T(x_0)}(y_0) \geq f_{T(x_0)}(y), \quad \text{for every } y \in Y.$$

This problem is related to a Borsuk–Ulam problem for multi-valued maps. Namely, let $\alpha : X \rightarrow \mathbb{R}$ be defined by

$$\alpha(x) = \max f_x(Y) \quad \text{for each } x \in X,$$

and $\varphi : X \multimap Y$ be the multi-valued map defined by

$$\varphi(x) = \{y \in Y \mid f_x(y) = \alpha(x)\}.$$

Then f_{x_0} and $f_{T(x_0)}$ can be simultaneously maximized if and only if $\varphi(x_0) \cap \varphi(T(x_0)) \neq \emptyset$.

Let $Y \subset \mathbb{R}^n$ be a convex subset. A function $f : Y \rightarrow \mathbb{R}$ is said to be *quasiconcave* if, for each $\lambda \in (0, 1)$, we have

$$f(\lambda y_1 + (1 - \lambda)y_2) \geq \min\{f(y_1), f(y_2)\} \quad \text{for all } y_1, y_2 \in Y.$$

In particular, if $f : Y \rightarrow \mathbb{R}$ is quasiconcave and Y is compact, then the set $\{y \in Y \mid f(y) = \max f(Y)\}$ is a non-empty, compact and convex subset of Y .

In view of Theorem 1.2 and Example 4.1, we can assert that:

COROLLARY 4.3. *If $Y \subset \mathbb{R}^2$ is a compact and convex subset of \mathbb{R}^2 and $f : T_{2n} \times Y \rightarrow \mathbb{R}$ is a continuous function such that $f_x : Y \rightarrow \mathbb{R}$ is quasiconcave for each $x \in T_{2n}$, then there exists $x_0 \in T_{2n}$ such that f_{x_0} and f_{-x_0} can be simultaneously maximized.*

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