POSSIBLY THERE IS NO UNIFORMLY COMPLETELY RAMSEY NULL SET OF SIZE 2^{ω}

ву

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Abstract. We show that under the axiom CPA_{cube} there is no uniformly completely Ramsey null set of size 2^{ω} . In particular, this holds in the iterated perfect set model. This answers a question of U. Darji.

- 1. Introduction. The class of uniformly completely Ramsey null sets (UCR₀ sets) was defined and investigated in [Da], and also in [N1] and [N2]. In this paper we continue the investigation of this class and other kinds of small sets defined analogously. The main purpose of this paper is to give a full answer to the problem of U. Darji (see [Da, Question 1]) whether there is always an UCR₀ set of size continuum. We show that under the axiom CPA_{cube} there is no such set. In particular, since by Theorem 7.0.4 of [CP], CPA_{cube} holds in the iterated perfect set model, there is no such set in this model. Thus the answer to Darji's question is negative.
- **2. Definitions.** We identify $[\omega]^{\omega}$ with a subset of 2^{ω} . We often identify $[\omega]^{\leq \omega}$ with the space 2^{ω} via the standard isomorphism. For example, if $x, y \in 2^{\omega}$, then $x \subseteq y$ means that $\forall_{n \in \omega} \ x(n) \leq y(n)$. If $s \in [\omega]^{<\omega}$, $A \in [\omega]^{\omega}$ and $\max s < \min A$ then we define $[s, A] = \{x \in [\omega]^{\omega} : s \subseteq x \subseteq s \cup A\}$. These sets are called the *Ellentuck neighborhoods*. Moreover let $[s, A]^{\leq \omega} = \{x \subseteq \omega : s \subseteq x \subseteq s \cup A\}$.

We denote by $\psi_{s,A}$ the standard homeomorphism $\psi: 2^{\omega} \to [s,A]^{\leq \omega}$ defined by $\psi(x) = s \cup \{a_n : x(n) = 1\}$, where $A = \{a_0, a_1, a_2, \ldots\}$ with $a_0 < a_1 < a_2 < \ldots$

Recall that $X \subseteq [\omega]^{\omega}$ is completely Ramsey null (for short, X is CR_0) if for every Ellentuck neighborhood [s,A] there exists $B \in [A]^{\omega}$ such that $[s,B] \cap X = \emptyset$, and X is completely Ramsey (X is CR) if for every [s,A] there exists $B \in [A]^{\omega}$ such that $[s,B] \cap X = \emptyset \vee [s,B] \subseteq X$. A set $X \subseteq 2^{\omega}$ is uniformly completely Ramsey null if for every continuous function

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 $F: 2^{\omega} \to 2^{\omega}$ and for every $Y \subseteq X$, $F^{-1}[Y]$ is completely Ramsey. We then write $X \in UCR_0$. We will use the following characterization of UCR_0 sets given in [N1]: A set $X \subseteq 2^{\omega}$ is UCR_0 if for each continuous function $F: 2^{\omega} \to 2^{\omega}$ there exists $A \in [\omega]^{\omega}$ such that $|F[P(A)] \cap X| \leq \omega$.

We will use the following definitions from [CP]:

- A subset C of the product $\prod_{n\in\omega} 2^{\omega}$ of Cantor sets is said to be a *perfect cube* if $C = \prod_{n\in\omega} C_n$, where $C_n \in \operatorname{Perf}(2^{\omega})$ for each n.
- Let $\mathcal{F}_{\text{cube}}$ stand for the family of all continuous injections from a perfect cube C onto a set $P \in \text{Perf}(2^{\omega})$. The elements of $\mathcal{F}_{\text{cube}}$ are called *cubes*.
- We say that a family $\mathcal{E} \subseteq \operatorname{Perf}(2^{\omega})$ is $\mathcal{F}_{\text{cube}}$ -dense (or cube-dense) in $\operatorname{Perf}(2^{\omega})$ provided

$$\forall_{f \in \mathcal{F}_{\text{cube}}} \exists_{g \in \mathcal{F}_{\text{cube}}} (g \subseteq f \land \text{ran}(g) \in \mathcal{E}).$$

• Define

$$s_0^{\text{cube}} = \left\{ 2^{\omega} \setminus \bigcup \mathcal{E} : \mathcal{E} \text{ is } \mathcal{F}_{\text{cube}}\text{-dense in Perf}(2^{\omega}) \right\}.$$

Throughout this note we will use one fixed bijection $\langle \cdot, \cdot \rangle : \omega \times \omega \to \omega$. For each $A \in [\omega]^{\omega}$ and $n < \omega$ we define $(A)_n = \{a_{\langle k,n \rangle} : k \in \omega\}$ where $A = \{a_0, a_1, a_2 \ldots\}$ and $a_0 < a_1 < a_2 < \ldots$

Finally, for a finite set $A \in [\omega]^{<\omega}$ we define $(A)_n = \{a_{\langle k,n \rangle} : k \in \omega \land \langle k,n \rangle \leq r\}$ where $A = \{a_0,a_1,\ldots,a_r\}$ and $a_0 < a_1 < \ldots < a_r$.

For $X \subseteq (2^{\omega})^2$ we denote by $X_{(x)}$ and $X^{(y)}$ the x-section and y-section of X, respectively (i.e. $X_{(x)} = \{y : \langle x, y \rangle \in X\}, X^{(y)} = \{x : \langle x, y \rangle \in X\}$).

For completeness we briefly outline the definition of Σ and w Σ QN sets (see [BRR]).

We say that a sequence of functions $f_k: X \to \mathbb{R}_+$ converges quasinormally to 0 $(f_k \stackrel{\mathrm{QN}}{\to} 0)$ if there is a sequence $\varepsilon_n \to 0$ such that $\forall_{x \in X} \ \forall_k^{\infty} \ f_k(x) < \varepsilon_k$.

We say that a sequence of functions $f_k: X \to \mathbb{R}_+$ (Σ) converges to 0 if $\forall_{x \in X} \sum_{k=1}^{\infty} f_k(x) < \infty$.

A topological space X is a Σ space if for each sequence of continuous functions $f_k: X \to \mathbb{R}_+$, if $f_k \to 0$ pointwise then there is a subsequence k_l such that $f_{k_l} \stackrel{(\Sigma)}{\to} 0$.

Analogously, X is a w Σ QN space if for each sequence of continuous functions $f_k: X \to \mathbb{R}_+$, if $f_k \stackrel{(\Sigma)}{\to} 0$ then there is a subsequence k_l such that $f_{k_l} \stackrel{\mathrm{QN}}{\to} 0$.

3. \mathcal{F} - UCR₀ sets. The following terminology will be useful in our proof.

DEFINITION 3.1. Suppose that \mathcal{F} is an arbitrary family of Borel functions from 2^{ω} to 2^{ω} . We say that $X \subseteq 2^{\omega}$ is an \mathcal{F} -UCR₀ set if for every $F \in \mathcal{F}$ and every $Y \subseteq X$, $F^{-1}[Y]$ is completely Ramsey.

First note that $\mathcal{F}\text{-}UCR_0$ is a σ -ideal and if $\mathcal{F} \subseteq \mathcal{G}$ then $\mathcal{G}\text{-}UCR_0 \subseteq \mathcal{F}\text{-}UCR_0$. In what follows we shall consider this general definition for the following families of functions from 2^{ω} to 2^{ω} :

- 1-1 = all one-to-one continuous functions.
- Count = all continuous functions with countable preimages of points.
- 1-1-Borel = all one-to-one Borel functions.
- Count-Borel = all Borel functions with countable preimages of points.

Note that in [N2], a special case of this definition was considered for \mathcal{F} being the family of all Borel functions from 2^{ω} to 2^{ω} .

Proof. The inclusion \supseteq is obvious. Conversely, let $X \in 1$ -1-Borel-UCR₀. Let $Y \subseteq X$ and let [s, E] be an Ellentuck neighborhood. Let $B: 2^{\omega} \to 2^{\omega}$ be a Borel mapping with countable preimages of points.

Since $\forall_{y\in 2^{\omega}} | (\operatorname{Graph}(B))^{(y)}| \leq \omega$, by the Luzin-Novikov Theorem (see for instance [Ke, Theorem 18.10]) there are Borel sets $b_n \subseteq (2^{\omega})^2$ such that $\bigcup_{n\in\omega} b_n = \operatorname{Graph}(B)$ and $\forall_{y\in 2^{\omega}} |b_n^{(y)}| \leq 1$. Define A_n for $n\in\omega$ by letting $A_n = \pi_x[b_n]$. Then $\bigcup_{n\in\omega} A_n = 2^{\omega}$, since $2^{\omega} = \pi_x[\operatorname{Graph}(B)] = \bigcup_{n\in\omega} \pi_x[b_n] = \bigcup_{n\in\omega} A_n$. Since $A_n \in \Sigma_1^1$, A_n is completely Ramsey, hence there exists an Ellentuck neighborhood $[s_1, E_1] \subseteq [s, E]$ and $n_0 \in \omega$ such that $[s_1, E_1] \subseteq A_{n_0}$.

Define $B_1 = B \upharpoonright [s_1, E_1]$. It is easy to see that B_1 is one-to-one. There is an $i \in 2$ and an Ellentuck neighborhood $[s_2, E_2] \subseteq [s_1, E_1]$ such that $[s_2, E_2] \subseteq B_1^{-1}[\mathcal{C}_{\langle i \rangle}]$, where $\mathcal{C}_s = \{x \in 2^\omega : s \subseteq x\}$. Next, let $B_2 : [s_1, E_1]^{\leq \omega} \to 2^\omega$ be an extension of $B_1 \upharpoonright [s_2, E_2]$ to a one-to-one Borel function. Since $X \in 1$ -1-Borel-UCR₀, there exists $E_3 \in [E_2]^\omega$ such that

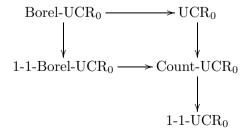
$$[s_2, E_3] \subseteq B_2^{-1}[Y] \vee [s_2, E_3] \cap B_2^{-1}[Y] = \emptyset.$$

Hence

$$[s_2, E_3] \subseteq B^{-1}[Y] \vee [s_2, E_3] \cap B^{-1}[Y] = \emptyset.$$

This proves that $X \in \text{Count-Borel-UCR}_0$.

Thus we have the following diagram of inclusions:



Next, we reformulate the characterization of UCR_0 sets from Theorem 1 of [N1] in our more general language:

Theorem 3.3. Suppose that \mathcal{F} is a family of Borel functions from 2^{ω} to 2^{ω} . Assume that:

- 1. For each $s \in [\omega]^{<\omega}$, $E \in [\omega]^{\omega}$ such that $\max(s) < \min(E)$ and $F \in \mathcal{F}$ we have $F \circ \psi_{s,E} \in \mathcal{F}$.
- 2. For every perfect set $P \subseteq 2^{\omega}$ there exist $F \in \mathcal{F}$ and $X \subseteq P$ such that $f^{-1}[X] \notin CR$.

Then for every $X \subseteq 2^{\omega}$ the following statements are equivalent:

(1)
$$X \in \mathcal{F}\text{-}\mathrm{UCR}_0$$
.

(2)
$$\forall_{F \in \mathcal{F}} \exists_{A \in [\omega]^{\omega}} |F[P(A)] \cap X| \leq \omega.$$

Proof. The proof is essentially the same as the proof of Theorem 1 in [N1]. We use assumption 2 to assure that there is no perfect set in \mathcal{F} -UCR₀. Assumption 1 is necessary in the proof of the implication $(2)\Rightarrow(1)$.

Unfortunately, we do not know of any examples of sets distinguishing the properties Borel-UCR₀, UCR₀, Count-UCR₀, 1-1-UCR₀, 1-1-Borel-UCR₀.

4. Main result

Theorem 4.1. Every 1-1-UCR₀ set is an s_0^{cube} set.

Proof. By Fact 1.0.3 from [CP] it is enough to consider functions defined on the entire space $(2^{\omega})^{\omega}$. So, suppose that $f:\prod_{n\in\omega}2^{\omega}\to 2^{\omega}$ is a cube. Let

$$F: 2^{\omega} \to (2^{\omega})^{\omega}, \quad F(Z) = ((Z)_n)_{n \in \omega}.$$

Note that a variant of this function has been used in [N2] to prove that every UCR₀ set is an (s_0^2) set.

We show that F is continuous. Let $Z \in 2^{\omega}$ and $(W_n)_{n < n_0}$ be a finite sequence of open subsets of 2^{ω} and suppose that $F(Z) \in \prod_{n < n_0} W_n \times \prod_{n \ge n_0} 2^{\omega}$. Then we can find $K_0 \in \omega$ such that for every $B \in 2^{\omega}$, if $B \cap K_0 = Z \cap K_0$ and $n < n_0$ then $(B)_n \in W_n$. Therefore F is continuous. It is also easy to see that $f \circ F$ is one-to-one, because f is a cube and F is one-to-one.

Let X be a 1-1-UCR₀ set. Then there is a set $A \in [\omega]^{\omega}$ such that $|(f \circ F)[P(A)] \cap X| \leq \omega$. Let $\{a_0, a_1, a_2, \ldots\}$ be an increasing enumeration of the elements of A. We define $\Xi : (2^{\omega})^{\omega} \to P(A)$ by

$$a_{2\langle k,n\rangle}\!\in\! \varXi((x_n)_{n\in\omega}) \ \Leftrightarrow \ x_n(k)=0, \quad a_{2\langle k,n\rangle+1}\!\in\! \varXi((x_n)_{n\in\omega}) \ \Leftrightarrow \ x_n(k)\!=\!1.$$

CLAIM 4.2. The image $F[\Xi[(2^{\omega})^{\omega}]]$ is a perfect cube in $(2^{\omega})^{\omega}$, denoted by C.

Proof. Define $E_{k,n} = \{a_{2\langle k,n\rangle}, a_{2\langle k,n\rangle+1}\}$. It is clear that $(E_{k,n})_{k,n\in\omega}$ is a partition of A into 2-element subsets.

Define

$$D_n = \Big\{ x \in 2^\omega : x \subseteq \bigcup_{k \in \omega} E_{k,n} \land \forall_{k \in \omega} | E_{k,n} \cap x | = 1 \Big\}.$$

These sets are perfect. We will show that

$$F[\Xi[(2^{\omega})^{\omega}]] = \prod_{n \in \omega} D_n.$$

" \subseteq ": Let $(x_n) \in F[\Xi[(2^{\omega})^{\omega}]]$ and fix $n_0 \in \omega$. Let $B \in \Xi[(2^{\omega})^{\omega}]$ be such that $(x_n)_{n \in \omega} = F(B)$. Obviously, $B \in P(A)$. From the definition of F we conclude that

$$\forall_{n \in \omega} \ x_n = (B)_n.$$

It easily follows from the definition of Ξ that $\operatorname{ran}(\Xi) \subseteq [\omega]^{\omega}$. Therefore, let $\{b_0, b_1, \ldots\}$ be an increasing enumeration of the elements of B.

Since from the definition of Ξ we easily see that $\forall_{k,n\in\omega} | B \cap E_{k,n}| = 1$, we have $\forall_{k,n\in\omega} b_{\langle k,n\rangle} \in E_{k,n}$. Hence, $(B)_{n_0} = \{b_{\langle k,n_0\rangle} : k \in \omega\}$ and therefore $x_{n_0} = (B)_{n_0} \subseteq \bigcup_{k\in\omega} E_{k,n_0}$ and $\forall_{k\in\omega} |E_{k,n_0} \cap x_{n_0}| = 1$. Finally, $x_{n_0} \in D_{n_0}$.

"\(\text{\text{\text{\$\sigma}}}\)": Let $(x_n)_{n\in\omega}\in\prod_{n\in\omega}D_n$. Define $x=\bigcup_{n\in\omega}x_n$. Since $x_n\in D_n$, we conclude that $(x_n)_{n\in\omega}$ are pairwise disjoint and $\forall_{k,n\in\omega}|E_{k,n}\cap x|=1$. Let $\{b_0,b_1,\ldots\}$ be an increasing enumeration of the elements of x. Then $\forall_{k,n\in\omega}b_{\langle k,n\rangle}\in E_{k,n}$. Hence $F(x)=(x_n)_{n\in\omega}$.

Now, define $(z_n)_{n\in\omega}\in(2^\omega)^\omega$ by

$$z_n(k) = 0 \Leftrightarrow a_{2\langle k,n \rangle} \in x, \quad z_n(k) = 1 \Leftrightarrow a_{2\langle k,n \rangle + 1} \in x.$$

Since $\Xi((z_n)_{n\in\omega})=x$, we finally obtain $F(\Xi((z_n)_{n\in\omega}))=(x_n)_{n\in\omega}$, therefore $(x_n)_{n\in\omega}\in F[\Xi[(2^\omega)^\omega]]$. This finishes the proof of the Claim.

Thus we obtain $|f[C] \cap X| \leq \omega$. Since next we can find a perfect cube $D \subseteq C$ such that $f[D] \cap X = \emptyset$, we finally conclude that X is an s_0^{cube} set.

COROLLARY 4.3. Assume CPA_{cube}. Then every 1-1-UCR₀ set has size $\leq \omega_1$ and $2^{\omega} = \omega_2$ holds.

Proof. This follows immediately from Proposition 1.0.4 of [CP] (stating that under CPA_{cube}, $s_0^{\text{cube}} \subseteq [2^{\omega}]^{\leq \omega_1}$) and from the previous theorem.

COROLLARY 4.4. Under the axiom CPA_{cube} there is no UCR_0 set of size 2^{ω} . In particular, this holds in the iterated perfect set model.

This answers a question of Darji from [Da].

Recall the following notion of smallness considered in [Sc]. We say that $X \subseteq 2^{\omega} \times 2^{\omega}$ has property (s_0^2) if every set $P \times Q \in \text{Perf} \times \text{Perf}$ has a subset $P_1 \times Q_1 \in \text{Perf} \times \text{Perf}$ disjoint from X. It was proven in [Sc] that the class of (s_0^2) sets is a σ -ideal on $2^{\omega} \times 2^{\omega}$. It was also proven in [N2] that every UCR₀ set is an (s_0^2) set. Note that Theorem 4.1 is a strengthening of this result, since we have the following easy fact:

Observation 4.5. Every s_0^{cube} set is an (s_0^2) set.

Proof. Let $X \in s_0^{\text{cube}}$. Suppose that $P, Q \subseteq 2^{\omega}$ are perfect sets. We may assume that $P \approx 2^{\omega}$ and $Q \approx 2^{\omega}$. Let h_P, h_Q be arbitrary homeomorphisms between $\prod_{n \in \omega} 2^{\omega}$ and P, Q, respectively. Define the following cube:

$$f: \prod_{n\in\omega} 2^{\omega} \to 2^{\omega} \times 2^{\omega}, \quad f((x_n)_{n\in\omega}) = \langle h_P((x_{2n})_{n\in\omega}), h_Q((x_{2n+1})_{n\in\omega}) \rangle.$$

Since $X \in s_0^{\text{cube}}$ there exists a subcube $g \subseteq f$, $g : \prod_{n \in \omega} C_n \to 2^{\omega} \times 2^{\omega}$ $(C_n \in \text{Perf})$, such that $\text{ran}(g) \cap X = \emptyset$. We define two perfect sets, P_1 and Q_1 , by putting

$$P_1 = h_P \Big[\prod_{n \in \omega} C_{2n} \Big]$$
 and $Q_1 = h_Q \Big[\prod_{n \in \omega} C_{2n+1} \Big].$

It is easy to see that P_1, Q_1 are perfect sets and $P_1 \times Q_1 \subseteq \operatorname{ran}(g)$. Therefore $(P_1 \times Q_1) \cap X = \emptyset$ and $P_1 \times Q_1 \subseteq P \times Q$. This proves that $X \in (s_0^2)$.

5. Thin sets related to trigonometric series. In this section we briefly discuss the relation between thin sets related to trigonometric series and $\mathcal{F}\text{-UCR}_0$ sets.

Theorem 5.1. Let $X \subseteq 2^{\omega}$ be a Σ set and let $h: 2^{\omega} \to 2^{\omega}$ be a continuous one-to-one function. Then $h^{-1}[X] \in CR_0$. In particular, every Σ set is a 1-1-UCR₀ set.

Proof. Since every continuous image of a Σ set is a Σ set, it is sufficient to show that $X \cap [\omega]^{\omega} \in \operatorname{CR}_0$. We notice that for every Ellentuck neighborhood [s,A] the set $[s,A]^{\leq \omega}$ is homeomorphic to 2^{ω} , hence finally it is enough to show that there exists $A \in [\omega]^{\omega}$ such that $[A]^{\omega} \cap X = \emptyset$.

We will make use of the following sequence of functions $f_n: 2^{\omega} \to \mathbb{R}$ defined in [BRR] and used there to prove that $2^{\omega} \notin \text{wQN}$:

$$f_n(x) = \begin{cases} \frac{1}{|\{i \le n : x(i) = 1\}|} & \text{if } x(n) = 1, \\ \frac{1}{n+1} & \text{if } x(n) = 0. \end{cases}$$

Obviously $f_n \in C(2^{\omega})$. One easily checks that $f_n(x) \to 0$ for each $x \in 2^{\omega}$. By assumption, there exists a subsequence $(f_{n_k})_{k \in \omega}$ such that $\sum_{k \in \omega} f_{n_k}(x) < \infty$ for each $x \in X$. Let $A = \{n_{k_0}, n_{k_1}, \ldots\}$. Suppose that $B \in [A]^{\omega}$. Clearly $B = \{n_{k_{r_0}}, n_{k_{r_1}}, \ldots\}$ where $(r_l)_{l \in \omega}$ is an increasing sequence of natural numbers. Moreover,

$$f_{n_{k_{r_l}}}(B) = \frac{1}{|\{s \le n_{k_{r_l}} : s \in B\}|} = \frac{1}{l+1}.$$

Thus $\sum_{k\in\omega} f_{n_k}(B) = \infty$ so $B \notin \{x : \sum_{k\in\omega} f_{n_k}(x) < \infty\}$. Therefore

$$[A]^{\omega} \cap \left\{ x : \sum_{k \in \omega} f_{n_k}(x) < \infty \right\} = \emptyset.$$

This proves that $[A]^{\omega} \cap X = \emptyset$.

THEOREM 5.2. Let $X \subseteq 2^{\omega}$ be a w ΣQN set and let $h: 2^{\omega} \to 2^{\omega}$ be a continuous one-to-one function. Then $h^{-1}[X] \in CR_0$. In particular, every w ΣQN set is a 1-1-UCR₀ set.

Proof. As in the proof of Theorem 5.1, it is enough to show that there exists $A \in [\omega]^{\omega}$ such that $X \cap [A]^{\omega} = \emptyset$.

We will slightly modify the functions from the previous proof. Namely, set

$$f_n(x) = \begin{cases} 2^{-|\{i \le n: x(i) = 1\}|} & \text{if } x(n) = 1, \\ 2^{-(n+1)} & \text{if } x(n) = 0. \end{cases}$$

Obviously $f_n \in C(2^{\omega})$. Let $x \in 2^{\omega}$. Then

$$\sum_{n \in \omega} f_n(x) \le \sum_{n \in \omega} 2^{-(n+1)} + \sum_{n \in \omega} 2^{-(n+1)} < \infty.$$

Since $X \in w\Sigma QN$ there exists a subsequence (n_k) such that $f_{n_k} \upharpoonright X \stackrel{QN}{\to} 0$. Then there exists a sequence $(\varepsilon_k)_{k \in \omega}$ of positive numbers converging to zero such that

$$X \subseteq \{x \in 2^{\omega} : \forall_k^{\infty} f_{n_k}(x) < \varepsilon_k\}.$$

Next, choose an increasing sequence $(i_j)_{j\in\omega}$ of natural numbers such that $1/2^{j+1} > \varepsilon_{i_j}$. Let $A = \{n_{i_0}, n_{i_1}, n_{i_2}, \ldots\}$. Suppose that $B \in [A]^{\omega}$. Clearly $B = \{n_{i_{r_0}}, n_{i_{r_1}}, n_{i_{r_2}}, \ldots\}$, where $(r_l)_{l\in\omega}$ is an increasing sequence of natural numbers. Moreover,

$$f_{n_{i_{r_{l}}}}(B) = 2^{-|\{s \leq n_{i_{r_{l}}}: s \in B\}|} = 2^{-(l+1)} \geq 2^{-(r_{l}+1)} > \varepsilon_{i_{r_{l}}}.$$

Thus $\exists_k^{\infty} f_{n_k}(x) > \varepsilon_k$. Therefore $[A]^{\omega} \cap \{x : \forall_k^{\infty} f_{n_k}(x) < \varepsilon_k\} = \emptyset$. This proves that $[A]^{\omega} \cap X = \emptyset$.

Unfortunately, we are still unable to prove that every Σ set is a UCR₀ set. Even, we do not know whether every γ -set is a UCR₀ set.

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