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## LOCAL DERIVATIONS IN POLYNOMIAL AND POWER SERIES RINGS

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## JANUSZ ZIELIŃSKI (Toruń)

**Abstract.** We give a description of all local derivations (in the Kadison sense) in the polynomial ring in one variable in characteristic two. Moreover, we describe all local derivations in the power series ring in one variable in any characteristic.

**1. Introduction.** The notion of a local derivation appeared in the paper of Kadison [1]. Let k be a field and A a commutative k-algebra with unity. Recall that a k-linear map  $d : A \to A$  is a *derivation of* A if d(ab) = ad(b) + bd(a) for all  $a, b \in A$ . Next, a k-linear map  $\alpha : A \to A$  is called a *local derivation of* A if for each  $a \in A$  there exists a derivation  $d_a$  of A such that  $\alpha(a) = d_a(a)$ .

Each derivation of A is a local derivation. It is known that every local derivation of a polynomial ring over an infinite field k is a derivation. In the case  $k = \mathbb{C}$  this was proved by Kadison [1]. For any infinite field k the result was formulated by Yon [3], but his proof was incorrect. The correct proof was given by Nowicki [2]. Furthermore, in [2] Nowicki gave an example of a local derivation of the polynomial ring in n variables over a finite field which is not a derivation.

In Section 3 we describe all local derivations in any polynomial ring in one variable in characteristic two. In our proof we use, among other things, the lemma (from Section 2) which states, under some additional assumptions, the invariance of the quotient of the product by the least common multiple. These methods are unrelated to Nowicki's example, but at the end of Section 3 we present a certain natural generalization of that example.

The final Section 4 is devoted to power series rings. It contains a construction of an infinite family of local derivations in the power series ring in one variable over an arbitrary field. This family is a generalization of another example given by Nowicki [2]. Finally, we give a full description of local derivations in any power series ring in one variable.

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**2. Preliminary lemmas.** Let k be a finite field of a positive characteristic p. If l is a non-negative integer, then we denote by  $B_l$  the subset of k[t] defined by

$$B_l := \{h \in k[t]; \deg_t(h) < l\}.$$

Moreover, we use the notations

$$G_l(g) := \prod_{h \in B_l} (g+h), \quad H_l(g) := \operatorname{lcm}\{g+h; h \in B_l\}$$

(the least common multiple is not uniquely defined, from now on we assume that it is a monic polynomial) for any  $g \in k[t]$  such that  $\deg_t(g) \geq l$  (then each element of the form g+h, for  $h \in B_l$ , is non-zero). It is clear that  $G_l(g)$  is divisible by  $H_l(g)$ .

LEMMA 1. Let  $g_1, g_2 \in k[t]$  be monic polynomials and  $\deg_t(g_1) \geq l$ ,  $\deg_t(g_2) \geq l$ . Then

$$\frac{G_l(g_1)}{H_l(g_1)} = \frac{G_l(g_2)}{H_l(g_2)}.$$

*Proof.* Put  $L_1 := \{g_1 + h; h \in B_l\}$  and  $L_2 := \{g_2 + h; h \in B_l\}$ . Define  $v_g(f) := m$  for  $f, g \in k[t] \setminus \{0\}$  such that  $f = g^m \overline{f}$  and  $\overline{f}$  is not divisible by g. Let  $g \in k[t]$  be an irreducible polynomial. Assume that g is a factor of at least one polynomial from the set  $L_1$ , that is,

$$\{f \in L_1; v_g(f) \ge 1\} \neq \emptyset.$$

If  $\#\{f \in L_1; v_g(f) \ge 1\} = 1$ , then g is a factor (in some power s) of exactly one polynomial from  $L_1$ . Then  $v_q(G_l(g_1)) = s = v_q(H_l(g_1))$  and

$$v_g\left(\frac{G_l(g_1)}{H_l(g_1)}\right) = 0.$$

Let s be a positive integer. We first prove that if

$$#\{f \in L_1; v_g(f) \ge s\} \ge 2,$$

then

$$\#\{f \in L_1; v_g(f) \ge s\} = \#\{f \in L_2; v_g(f) \ge s\}.$$

Since  $g^s | g_1 + h_1$  and  $g^s | g_1 + h_2$  for some  $h_1, h_2 \in B_l$ ,  $h_1 \neq h_2$ , we have  $g^s | h_1 - h_2$  and thus  $\deg_t(g^s) < l$ . Let  $g_1 - g_2 = g^s q + r$  for  $q, r \in k[t]$  such that  $\deg_t(r) < \deg_t(g^s)$ . Then

$$g_1 \equiv g_2 + r \pmod{g^s}$$

and  $r \in B_l$ . Since  $B_l$  is an additive group, when we add consecutively to both sides of the congruence all the elements of  $B_l$  we get on the left-hand side all the polynomials from  $L_1$  and on the right-hand side all the polynomials from  $L_2$ . Thus  $g^s$  divides the same number of polynomials from  $L_1$  and of polynomials from  $L_2$ . Suppose that  $\#\{f \in L_1; v_g(f) \ge 1\} \ge 2$ . Put  $m_s := \#\{f \in L_1; v_g(f) \ge s\}$ 

for any positive integer s. Let

$$j = \max\{s \ge 1; \ m_s \ge 2\},\$$
  
$$i_1 = \max\{s \ge 1; \ m_s \ge 1\} = \max\{v_g(f); \ f \in L_1\}.$$

The numbers j and  $i_1$  are well defined since  $L_1$  is a finite set of non-zero elements. Then

$$v_g(G_l(g_1)) = m_1 + \ldots + m_j + (i_1 - j), \quad v_g(H_l(g_1)) = i_1.$$

Hence

$$v_g\left(\frac{G_l(g_1)}{H_l(g_1)}\right) = m_1 + \ldots + m_j - j.$$

From what has already been proved, we conclude that  $m_s$ , for  $s = 1, \ldots, j$ , is also the number of polynomials from  $L_2$  divisible by  $g^s$ , that is,

$$\#\{f \in L_2; v_g(f) \ge s\} = m_s.$$

Moreover, we easily deduce that

$$\#\{f \in L_2; v_g(f) \ge s\} \le 1$$

for s > j. Let

$$i_2 = \max\{v_g(f); f \in L_2\}.$$

Then

$$v_g(G_l(g_2)) = m_1 + \ldots + m_j + (i_2 - j), \quad v_g(H_l(g_2)) = i_2.$$

Hence

$$v_g\left(\frac{G_l(g_2)}{H_l(g_2)}\right) = m_1 + \ldots + m_j - j.$$

The same arguments are valid when  $\{f \in L_2; v_g(f) \ge 1\} \neq \emptyset$ . Thus the polynomials  $G_l(g_1)/H_l(g_1)$  and  $G_l(g_2)/H_l(g_2)$  have the same factorization. Since both are monic, they are equal.

For example, for each monic  $g \in \mathbb{Z}_2[t]$  such that  $\deg_t(g) \ge 2$ ,

$$\frac{G_2(g)}{H_2(g)} = t(t+1),$$

and for each monic  $g \in \mathbb{Z}_2[t]$  such that  $\deg_t(g) \ge 3$ ,

$$\frac{G_3(g)}{H_3(g)} = t^4(t+1)^4(t^2+t+1).$$

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Slight changes in the proof of Lemma 1 actually show that if g is a monic polynomial from k[t] and  $\deg_t(g) \ge l$ , then

$$\frac{G_l(g)}{H_l(g)} = (-1)^l \prod_{h \in B_l \setminus \{0\}} h.$$

Moreover, the assumption of Lemma 1 that the polynomials are monic is not essential. If  $g_1, g_2$  are arbitrary polynomials from k[t] such that  $\deg_t(g_1) \ge l$  and  $\deg_t(g_2) \ge l$ , then

$$\widetilde{g}_2 \frac{G_l(g_1)}{H_l(g_1)} = \widetilde{g}_1 \frac{G_l(g_2)}{H_l(g_2)},$$

where  $\tilde{g}_1$  and  $\tilde{g}_2$  are respectively the leading coefficients of  $g_1$  and  $g_2$ . For our further purposes we require only Lemma 1.

LEMMA 2. Let 
$$f_1, \ldots, f_l \in k[t]$$
. Then the map  $F_l : k[t] \to k[t]$  defined by  

$$F_l(a) = \prod_{(b_1, \ldots, b_l) \in k^l} (a + f_1 b_1 + \ldots + f_l b_l)$$

for  $a \in k[t]$  is additive.

*Proof.* We prove by induction that for each  $l \ge 1$  there exist  $g_0, \ldots, g_s \in k[t]$  such that for every  $a \in k[t]$ ,

$$F(a) = g_s a^{p^s} + \ldots + g_1 a^p + g_0 a.$$

This proves the lemma since in characteristic p raising to the power  $p^{j}$  is additive and a linear combination of additive maps is additive.

Assume l = 1 and let the field k consist of  $\{c_1, \ldots, c_{p^r}\}$ . Then

(1) 
$$(a+f_1c_1)\dots(a+f_1c_{p^r}) = a^{p^r} - f_1^{p^r-1}a$$

since all the elements of the form  $-f_1c_i$  are roots of the polynomial  $x^{p^r} - f_1^{p^r-1}x$ .

Let 
$$l > 1$$
. By (1),

$$\prod_{\substack{(b_1,\dots,b_l)\in k^l}} (a+f_1b_1+\dots+f_lb_l)$$
  
= 
$$\prod_{\substack{(b_1,\dots,b_{l-1})\in k^{l-1}}} ((a+f_1b_1+\dots+f_{l-1}b_{l-1})^{p^r}-f_l^{p^r-1}(a+f_1b_1+\dots+f_{l-1}b_{l-1})).$$

Hence F(a) is equal to

$$\prod_{(b_1,\ldots,b_{l-1})\in k^{l-1}} (a^{p^r} - f_l^{p^r-1}a + (f_1^{p^r} - f_l^{p^r-1}f_1)b_1 + \ldots + (f_{l-1}^{p^r} - f_l^{p^r-1}f_{l-1})b_{l-1}).$$

We now apply the inductive assumption for  $\tilde{f}_i = f_i^{p^r} - f_l^{p^r-1} f_i$  and  $i = 1, \ldots, l-1$ . The proof is complete since  $(a^{p^r} - f_l^{p^r-1}a)^{p^m}$  is for any natural

*m* again a polynomial expression in *a*, with coefficients in k[t], such that the exponents of *a* are powers of *p*.

COROLLARY 3. The map  $F : k[t] \to k[t]$  defined by  $F(a) = G_l(a)$ , for  $a \in k[t]$ , is k-linear.

*Proof.* In Lemma 2 replace  $f_i$  by  $t^{i-1}$  for i = 1, ..., l. Then F is additive. Let  $c \in k$  and  $c \neq 0$ . Then  $cG_l(a) = G_l(ca)$  since for  $c \neq 0$  we have  $\{ch; h \in B_l\} = B_l$  and since  $c^{p^{lr}} = c$  in the field k of cardinality  $p^r$  (then  $B_l$  contains  $p^{lr}$  elements). If c = 0, then  $cG_l(a) = 0 = G_l(ca)$ .

**3.** Polynomial rings in characteristic two. Let k be a finite field of characteristic two and n be a fixed non-negative even integer. Consider the set

$$A_n := \Big\{ h \in k[t]; \, \deg_t(h) < n, \, h = \sum_{2|i} a_i t^i, \, a_i \in k \Big\}.$$

This is a finite set (and  $A_n = \{0\}$  in the case n = 0). Moreover, it forms an additive group. Define a k-linear map  $\alpha_n : k[t] \to k[t]$  by

$$\alpha_n(t^s) = \begin{cases} 0, & s \le n, \\ \lim_{t \to 0^+} \{t^{s-1} + h; h \in A_n\}, & s > n, 2 \mid (s-1), \\ 0, & s > n, 2 \mid s. \end{cases}$$

Observe that all the exponents of the polynomials on the right-hand side of the above equality are even numbers. Thus we may replace  $t^2$  by x. Furthermore we consider the least common multiple in the ring k[x]. Obviously  $\alpha_0$ is a partial derivative.

PROPOSITION 4. If  $n \neq 0$ , then  $\alpha_n$  is a local derivation of k[t] which is not a derivation.

*Proof.* Let  $f \in k[t]$ . If  $\deg_t(f) \leq n$ , then  $\alpha_n(f) = 0 = d(f)$  where d is the zero derivation of k[t]. Assume that  $\deg_t(f) = m > n$ . Let  $U := \{n < u \leq m; 2 \mid (u-1)\}$  and  $J_n(g) := \operatorname{lcm}\{g+h; h \in A_n\}$  for  $g \in k[t]$  such that  $\deg_t(g) \geq n$ . If  $f = \sum_{i \leq m} a_i t^i$ , where  $a_i \in k$  and  $a_m \neq 0$ , then

$$\alpha_n(f) = \sum_{i \in U} a_i J_n(t^{i-1}).$$

Suppose that  $\alpha_n(f) = f'g$  (we denote by f' the derivative  $\partial f/\partial t$ ) for some  $g \in k[t]$ . Let  $d : k[t] \to k[t]$  be the derivation such that d(t) = g. Then  $d(f) = f'd(t) = \alpha_n(f)$  and consequently  $\alpha_n$  is a local derivation. Hence, it remains to prove that f' divides  $\alpha_n(f)$ . Since

$$f' \in \Big\{ \sum_{i \in U} a_i t^{i-1} + h; h \in A_n \Big\},$$

it suffices to show that

$$\sum_{i \in U} a_i J_n(t^{i-1}) \quad \text{is divisible by} \quad J_n\Big(\sum_{i \in U} a_i t^{i-1}\Big).$$

Again, all the exponents of the polynomials of these two expressions are even numbers and we may replace  $t^2$  by x. Then the above expressions are respectively of the forms

$$\sum_{l \le j \le s} c_j H_l(x^j), \quad H_l\Big(\sum_{l \le j \le s} c_j x^j\Big)$$

for some l and s, where  $c_j \in k$  (more precisely j = (i-1)/2 and  $c_j = a_i$ ). Obviously

$$H_l\left(\sum_{1\leq j\leq s} c_j x^j\right) = H_l\left(\sum_{1\leq j\leq s} \frac{c_j}{c_s} x^j\right).$$

We now proceed to show that

$$\sum_{1 \le j \le s} c_j H_l(x^j) = c_s H_l\Big(\sum_{1 \le j \le s} \frac{c_j}{c_s} x^j\Big),$$

which completes the proof. By Lemma 1 it remains to prove that

$$\sum_{l \le j \le s} c_j G_l(x^j) = c_s G_l\left(\sum_{l \le j \le s} \frac{c_j}{c_s} x^j\right).$$

This equality is a consequence of Corollary 3.

The map  $\alpha_n$  is not a derivation since  $\alpha_n(t) = 0 \neq \alpha_n(t^{n+1})$ .

We denote by  $\sum_{2|n} f_n \alpha_n$ , for  $f_0, f_2, \ldots \in k[t]$ , a map from k[t] to k[t] such that

$$\left(\sum_{2|n} f_n \alpha_n\right)(f) = \sum_{2|n} f_n \alpha_n(f)$$

for every  $f \in k[t]$ . Observe that the map is well defined since for each f of degree s we have  $\alpha_n(f) = 0$  for  $n \geq s$ . The map  $\sum_{2|n} f_n \alpha_n$  is a local derivation of k[t] since for each f of degree s,

$$\sum_{2|n} f_n \alpha_n(f) = \sum_{n < s, 2|n} f_n \alpha_n(f)$$

and since a linear combination, with coefficients in k[t], of local derivations of k[t] is a local derivation of k[t].

THEOREM 5. A map  $\beta$  is a local derivation of k[t] iff there exist unique polynomials  $f_0, f_2, \ldots \in k[t]$  such that  $\beta = \sum_{2|n} f_n \alpha_n$ .

*Proof.* Assume that  $\beta : k[t] \to k[t]$  is a local derivation. Then  $\beta(t^m) = 0$  for all even m. Define

$$f_0 := \beta(t), \quad \beta_0 := \beta - f_0 \alpha_0 = \beta - f_0 \frac{\partial}{\partial t}.$$

Then  $\beta_0$  is a local derivation and  $\beta_0(t) = 0$ . Suppose we have defined polynomials  $f_0, f_2, \ldots, f_n$  and local derivations  $\beta_0, \beta_2, \ldots, \beta_n$  where *n* is an even integer, and suppose  $\beta_i(t^m) = 0$  for  $m \leq i+2$ . Since  $\beta_n$  is a local derivation and for every derivation  $d : k[t] \to k[t]$  and polynomial  $f \in k[t]$  we have d(f) = f'd(t), we conclude that

 $f' \,|\, \beta_n(f)$ 

for all  $f \in k[t]$ . In particular this is valid for all f of the form  $t^{n+3} + g$  where  $\deg_t(g) < n + 3$ . Since in this case  $\beta_n(g) = 0$  and  $(\partial/\partial t)t^m = 0$  for even m, we obtain

$$t^{n+2} + h \mid \beta_n(t^{n+3})$$

 $J \rightarrow (t^{n+2}) \mid \beta \mid (t^{n+3})$ 

for all  $h \in A_{n+2}$ . Then

In the case 
$$\beta_n(t^{n+3}) = 0$$
 we put  $f_{n+2} := 0$ . If  $\beta_n(t^{n+3}) \neq 0$ , then  
 $\beta_n(t^{n+3}) = f_{n+2}J_{n+2}(t^{n+2})$ 

for some  $f_{n+2} \in k[t]$ . Define  $\beta_{n+2} := \beta_n - f_{n+2}\alpha_{n+2}$ . Thus  $\beta_{n+2}$  is a local derivation and  $\beta_{n+2}(t^{n+3}) = 0$ . Moreover,

$$\beta - \beta_{n+2} = \sum_{m < n+3, \, 2|m} f_m \alpha_m.$$

We have obtained the sequence  $(f_0, f_2, ...)$  of polynomials in k[t] (in some cases  $f_n$  may be 0 for almost all n). Then  $\beta = \sum_{2|n} f_n \alpha_n$  since for each  $f \in k[t]$  of degree s we have

$$\sum_{2|n} f_n \alpha_n(f) = \sum_{n < s, 2|n} f_n \alpha_n(f) = \beta(f).$$

The sequence  $(f_0, f_2, ...)$  is unique since the value of  $\beta = \sum_{2|n} f_n \alpha_n$  at the monomials  $t, t^3, t^5, ...$  determines successively  $f_0, f_2, ...$ 

A consequence of the fact that  $(f_0, f_2, ...)$  is unique, is the linear independence of the set  $\{\alpha_n\}_{2|n}$  over k[t]. Unfortunately the methods used in the paper in characteristic two are not valid for higher characteristics. Instead it is possible to generalize Nowicki's example of [2].

Let k be a finite field of characteristic p and of cardinality  $q := p^r$  with  $r \ge 1$ . Let  $v(t) \in k[t]$  be a polynomial of the form

$$v(t) = c_m t^{q^m} + c_{m-1} t^{q^{m-1}} + \ldots + c_1 t^q + c_0 t,$$

where  $c_0, \ldots, c_m \in k$ . Define a map  $\gamma : k[t] \to k[t]$  by

$$\gamma(f) = v(f')$$

for all  $f \in k[t]$ . Obviously if  $\deg_t v(t) = 1$ , then  $\gamma$  is a derivation. A modification of the proof of Proposition 3 from [2] shows that if  $\deg_t v(t) > 1$ , then  $\gamma$  is a local derivation of k[t] which is not a derivation. The example from [2] in the case of one variable is obtained for  $v(t) = t - t^q$ .

As in [2] we may generalize the construction of the map  $\gamma$  to the ring  $k[x_1, \ldots, x_n]$ . Unfortunately even for one variable and characteristic two the family of local derivations obtained above for all v is not sufficient for description of all local derivations. A simple calculation shows that if  $k = \mathbb{Z}_2$ , then the local derivation  $\alpha_4 : \mathbb{Z}_2[t] \to \mathbb{Z}_2[t]$  and the set of the local derivations  $\gamma$  for all v are linearly independent over  $\mathbb{Z}_2[t]$ . It is also impossible to represent the map  $\alpha_4$  as an infinite sum of these local derivations.

4. Local derivations in the power series rings. Let k be an arbitrary field and A = k[[t]] be the formal power series ring over k. In [2] Nowicki proved that the map  $\gamma : A \to A$  such that if  $f \in A$ , then  $\gamma(f)$  is the coefficient of the monomial t in f, is a local derivation of A which is not a derivation. First we generalize this result. Denote by p the characteristic of k.

Let n be a positive integer. Define  $h_n: A \to A$  by

$$h_n(f) = na_n t^{n-1} \quad \text{for } f = \sum_{i=0}^{\infty} a_i t^i.$$

If p > 0, then we denote by B the set of the maps  $h_n$  for n not divisible by p. If p = 0, then put  $B := \{h_1, h_2, \ldots\}$ .

PROPOSITION 6. The map  $h_n : A \to A$  is a local derivation of A for each  $n \ge 1$ . If  $h_n \in B$ , then  $h_n$  is not a derivation. The set  $\{\partial/\partial t\} \cup B$  is linearly independent over A.

*Proof.* Let m be an integer such that m > n and if p > 0, then m is not divisible by p. By definition  $h_n(t^n) = nt^{n-1}$  and  $h_n(t^m) = 0$ . Suppose  $h_n$  is a derivation. Then  $h_n(t^n) = nt^{n-1}h_n(t)$  and  $h_n(t^m) = mt^{m-1}h_n(t)$ . Thus  $h_n(t) = 1$  and  $h_n(t) = 0$ . We obtain a contradiction.

Obviously  $h_n$  is k-linear. Let  $f = \sum_{i=0}^{\infty} a_i t^i \in A$ . If  $na_n = 0$ , then  $h_n(f) = 0 = d(f)$  where d is the zero derivation of A. Suppose that  $na_n \neq 0$ . Assume  $sa_s$  is the first non-zero element of the sequence  $(a_1, 2a_2, \ldots, na_n)$ . Then the element  $g := \sum_{i=s}^{\infty} ia_i t^{i-s}$  is invertible. Define the derivation d as follows:

$$d(t) = g^{-1}na_n t^{n-s}.$$

Hence

$$d(f) = f'd(t) = t^{s-1}na_nt^{n-s} = h_n(f).$$

Therefore  $h_n$  is a local derivation.

Let  $c\partial/\partial t + c_1h_{i_1} + \ldots + c_sh_{i_s} = 0$ . Let *m* be a positive integer such that  $m > \max\{i_1, \ldots, i_s\}$  and *m* is not divisible by *p* in the case p > 0. Then

$$0 = \left(c\frac{\partial}{\partial t} + c_1h_{i_1} + \ldots + c_sh_{i_s}\right)(t^m) = cmt^{m-1},$$

hence c = 0. Suppose  $i_r = \max\{i_j; c_j \neq 0\}$ . Then

$$0 = (c_1 h_{i_1} + \ldots + c_s h_{i_s})(t^{i_r}) = c_r i_r t^{i_r - 1},$$

and thus  $c_r = 0$ . This contradiction proves that  $c_j = 0$  for all  $i_j$ .

Note that the  $\gamma$  from Nowicki's example is equal to  $h_1$ . Define now  $M_n := \{f \in A; f = \sum_{i=n}^{\infty} a_i t^i\}$  for  $n \ge 0$ . It is evident that if  $n \ge 1$ , then  $h_n(A) \subseteq M_{n-1}$ . Let  $f_n \in A$  for all  $n \ge 1$ . We denote by  $\sum_{n=1}^{\infty} f_n h_n$  the map from A to A defined by

$$\left(\sum_{n=1}^{\infty} f_n h_n\right)(f) = \sum_{n=1}^{\infty} f_n h_n(f)$$

for every  $f \in A$ . Note that this map is well defined since for each  $f \in A$  we have  $f_n h_n(f) \in M_{n-1}$ . Thus the coefficient of every monomial  $t^i$  is a sum of a finite number of summands.

PROPOSITION 7. Every local derivation  $h : A \to A$  is determined by its values at  $t^i$  for all  $i \ge 1$ , that is, by the set  $\{h(t^i); i \ge 1\}$ .

*Proof.* Let  $f = \sum_{i=0}^{\infty} a_i t^i \in A$  and  $h(f) = \sum_{i=0}^{\infty} b_i t^i$ . Clearly  $h(a_0) = 0$ . Then

$$h(f) = a_1 h(t) + h \left(\sum_{i=2}^{\infty} a_i t^i\right).$$

Observe that  $h(M_n) \subseteq M_{n-1}$  for all  $n \ge 1$ . Indeed, if  $g = \sum_{i=n}^{\infty} c_i t^i$ , then

$$h(g) = d_g(g) = \left(\sum_{i=n}^{\infty} ic_i t^{i-1}\right) d_g(t) \subseteq M_{n-1}.$$

Since  $h(\sum_{i=2}^{\infty} a_i t^i) \in M_1$ , the coefficient  $b_0$  is determined by h(t). Suppose  $b_j$  for j < n is determined by  $h(t^i)$  for  $1 \le i \le n$ . Then

$$h(f) = \sum_{i=1}^{n+1} a_i h(t^i) + h\Big(\sum_{i=n+2}^{\infty} a_i t^i\Big).$$

Since  $h(\sum_{i=n+2}^{\infty} a_i t^i) \in M_{n+1}$ , the coefficient  $b_n$  is determined by  $h(t^i)$  for  $1 \le i \le n+1$ .

THEOREM 8. A map  $h: A \to A$  is a local derivation iff it is of the form  $h = \sum_{n=1}^{\infty} f_n h_n$  where  $f_1, f_2, \ldots \in A$ .

*Proof.* The map  $\sum_{n=1}^{\infty} f_n h_n$  is k-linear since, by the fact that  $h_n(A) \subseteq M_{n-1}$  for  $n \geq 1$ , the coefficient of every monomial  $t^i$  is determined only by

a finite number of summands. Thus k-linearity follows from the fact that a linear combination of k-linear maps is k-linear.

Let  $f = \sum_{i=0}^{\infty} a_i t^i \in A$ . If  $(\sum_{n=1}^{\infty} f_n h_n)(f) = 0$ , then  $d_f$  may be the zero derivation. Assume  $(\sum_{n=1}^{\infty} f_n h_n)(f) = \sum_{i=r}^{\infty} b_i t^i$  and  $b_r \neq 0$ . Let  $f' = \sum_{i=s}^{\infty} ia_i t^{i-1}$  and  $sa_s \neq 0$ . We first prove that  $s \leq r+1$ . Suppose, contrary to our claim, that s > r+1. Then

$$\left(\sum_{n=1}^{\infty} f_n h_n\right)(f) = \sum_{n=1}^{r+1} f_n h_n(f) + \sum_{n=r+2}^{\infty} f_n h_n(f) = \sum_{n=r+2}^{\infty} f_n h_n(f)$$

since  $h_n(f) = na_n t^{n-1} = 0$  for  $n \le r+1$ . However  $\sum_{n=r+2}^{\infty} f_n h_n(f) \in M_{r+1}$  and we obtain a contradiction.

Since  $sa_s \neq 0$ , the element  $h := \sum_{i=s}^{\infty} ia_i t^{i-s}$  is invertible. Define a derivation d of A by

$$d(t) = h^{-1} \sum_{i=r}^{\infty} b_i t^{i+1-s}$$

Hence

$$d(f) = f'd(t) = t^{s-1} \sum_{i=r}^{\infty} b_i t^{i+1-s} = \sum_{i=r}^{\infty} b_i t^i = \left(\sum_{n=1}^{\infty} f_n h_n\right)(f).$$

Let  $h: A \to A$  be a local derivation. Then

$$h(t^i) = d_{t^i}(t^i) = it^{i-1}d_{t^i}(t)$$

for all  $i \geq 1$ . Define  $f_i := d_{t^i}(t)$  for each  $i \geq 1$ . By Proposition 7, the local derivations h and  $\sum_{n=1}^{\infty} f_n h_n$  are equal if and only if they have the same values at  $t^i$  for all  $i \geq 1$ . Indeed,

$$\left(\sum_{n=1}^{\infty} f_n h_n\right)(t^i) = f_i h_i(t^i) = f_i i t^{i-1} = i t^{i-1} d_{t^i}(t) = h(t^i). \bullet$$

The proof above gives more, namely a k-linear map  $h : A \to A$  is a local derivation iff for each  $f = \sum_{i=0}^{\infty} a_i t^i \in A$  we have  $s \leq r+1$ , where  $h(f) = \sum_{i=r}^{\infty} b_i t^i$ ,  $b_r \neq 0$  and  $f' = \sum_{i=s}^{\infty} i a_i t^{i-1}$ ,  $sa_s \neq 0$ . Note that as a consequence of Proposition 7 we find that the polynomials  $f_n$  in Theorem 8 are unique for any n in the case p = 0, and for n not divisible by p in the case p > 0.

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Faculty of Mathematics and Computer Science Nicholas Copernicus University Chopina 12/18 87-100 Toruń, Poland E-mail: ubukrool@mat.uni.torun.pl

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