

*POSNER'S SECOND THEOREM AND
ANNIHILATOR CONDITIONS WITH
GENERALIZED SKEW DERIVATIONS*

BY

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Abstract. Let \mathcal{R} be a prime ring of characteristic different from 2, \mathcal{Q}_r be its right Martindale quotient ring and \mathcal{C} be its extended centroid. Suppose that \mathcal{G} is a non-zero generalized skew derivation of \mathcal{R} and $f(x_1, \dots, x_n)$ is a non-central multilinear polynomial over \mathcal{C} with n non-commuting variables. If there exists a non-zero element a of \mathcal{R} such that $a[\mathcal{G}(f(r_1, \dots, r_n)), f(r_1, \dots, r_n)] = 0$ for all $r_1, \dots, r_n \in \mathcal{R}$, then one of the following holds:

- (a) there exists $\lambda \in \mathcal{C}$ such that $\mathcal{G}(x) = \lambda x$ for all $x \in \mathcal{R}$;
- (b) there exist $q \in \mathcal{Q}_r$ and $\lambda \in \mathcal{C}$ such that $\mathcal{G}(x) = (q + \lambda)x + xq$ for all $x \in \mathcal{R}$ and $f(x_1, \dots, x_n)^2$ is central-valued on \mathcal{R} .

1. Introduction. Let \mathcal{R} be a prime ring with center $\mathcal{Z}(\mathcal{R})$ and d be a non-zero derivation of \mathcal{R} . The well-known theorem of Posner [P] states that if $[d(x), x] \in \mathcal{Z}(\mathcal{R})$ for all $x \in \mathcal{R}$, then \mathcal{R} must be commutative. Starting from this result, several authors studied the relationship between the structure of prime ring \mathcal{R} and the behavior of an additive mapping f which satisfies the Engel-type condition $[f(x), x]_k = 0$. The Engel condition is defined by $[f(x), x]_k = [[f(x), x]_{k-1}, x]$ for all $x \in \mathcal{R}$ and all $k > 1$.

In [Lan], Lanski showed that if d is a derivation of \mathcal{R} such that $[d(x), x]_k = 0$ for all x in a Lie ideal \mathcal{L} of \mathcal{R} , then either \mathcal{L} is central in \mathcal{R} or $\text{char}(\mathcal{R}) = 2$ and \mathcal{R} satisfies the standard polynomial identity $S_4(x_1, \dots, x_4)$ of degree 4.

On the other hand, for a prime ring \mathcal{R} of characteristic different from 2, any non-central Lie ideal contains the set $\{[x_1, x_2] : x_1, x_2 \in \mathcal{I}\}$ of all evaluations of the polynomial $[x_1, x_2]$ in a two-sided ideal \mathcal{I} of \mathcal{R} . For this reason, many researchers in this area analyzed in detail the case when the Lie ideal is replaced by the set of all evaluations of a polynomial $f(x_1, \dots, x_n)$ and $[d(f(x_1, \dots, x_n)), f(x_1, \dots, x_n)]_k$ is a differential identity for a certain ideal of \mathcal{R} .

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In particular, we refer the reader to the results obtained by P.-H. Lee and T.-K. Lee in [L2] and [LL]. They proved that if $f(x_1, \dots, x_n)$ is a multilinear polynomial, then it must be central-valued in \mathcal{R} unless $\text{char}(\mathcal{R}) = 2$ and \mathcal{R} satisfies $S_4(x_1, \dots, x_4)$.

In a recent paper [DD], another related generalization is considered by the first author and Di Vincenzo. They describe what happens if the derivation d is replaced by an additive mapping δ satisfying the condition $\delta(xy) = \delta(x)y + xg(y)$ for all $x, y \in \mathcal{R}$ and for some derivation g of \mathcal{R} . Such a mapping δ is called a *generalized derivation* of \mathcal{R} with associated derivation d . Obviously, any derivation of \mathcal{R} and any mapping of \mathcal{R} of the form $f(x) = ax + xb$, for some $a, b \in \mathcal{R}$, are generalized derivations. The latter are usually called *inner generalized derivations* and play a leading role in the development of the theory of generalized derivations.

Basing on these definitions, the first author obtained in [D1] a related result with a specific annihilator condition on a generalized derivation acting on a multilinear polynomial. Let \mathcal{R} be a prime ring of characteristic different from 2, \mathcal{U} be its symmetric Utumi quotient ring and \mathcal{C} be its extended centroid. Let $f(x_1, \dots, x_n)$ be a non-central multilinear polynomial over \mathcal{C} with n non-commuting variables and $0 \neq a \in \mathcal{R}$. Suppose that $\mathcal{G} : \mathcal{R} \rightarrow \mathcal{R}$ is a non-zero generalized derivation satisfying the condition

$$a[\mathcal{G}(f(r_1, \dots, r_n)), f(r_1, \dots, r_n)] = 0 \quad \text{for all } r_1, \dots, r_n \in \mathcal{R}.$$

Then either there exists $\lambda \in \mathcal{C}$ such that $\mathcal{G}(x) = \lambda x$ for all $x \in \mathcal{R}$, or there exist $q \in \mathcal{U}$ and $\lambda \in \mathcal{C}$ such that $\mathcal{G}(x) = (q + \lambda)x + xq$ for all $x \in \mathcal{R}$ and $f(x_1, \dots, x_n)^2$ is central-valued on \mathcal{R} . Furthermore, the first author also addressed in [D2] the question of when the composition of two generalized derivations can be a generalized derivation. He described the forms of two generalized derivations \mathcal{F} and \mathcal{G} of a prime ring \mathcal{R} , in the case when $\mathcal{F}\mathcal{G}$ acts as a generalized derivation on the elements of the subset $f(\mathcal{R})$, where $f(\mathcal{R})$ is the set of all evaluations in \mathcal{R} of a non-central polynomial $f(x_1, \dots, x_n)$ over \mathcal{C} with n non-commuting variables.

In the current paper we continue the study of the set

$$\mathcal{S} = \{[\mathcal{G}(f(x_1, \dots, x_n)), f(x_1, \dots, x_n)] \mid x_1, \dots, x_n \in \mathcal{R}\}$$

for a generalized skew derivation \mathcal{G} of \mathcal{R} instead of a generalized derivation.

We now recall the relevant definition. Let \mathcal{R} be an associative ring and α be an automorphism of \mathcal{R} . An additive mapping $d : \mathcal{R} \rightarrow \mathcal{R}$ is called a *skew derivation* of \mathcal{R} if

$$d(xy) = d(x)y + \alpha(x)d(y)$$

for all $x, y \in \mathcal{R}$; then α is called the *associated automorphism* of d . An additive mapping $\mathcal{G} : \mathcal{R} \rightarrow \mathcal{R}$ is said to be a *generalized skew derivation* of \mathcal{R} if there exists a skew derivation d of \mathcal{R} with associated automorphism α

such that

$$\mathcal{G}(xy) = \mathcal{G}(x)y + \alpha(x)d(y)$$

for all $x, y \in \mathcal{R}$; d is said to be the *associated skew derivation* of \mathcal{G} and α is the *associated automorphism* of \mathcal{G} . This definition unifies the notions of skew derivation and generalized derivation, which are considered as classical additive mappings of non-associative algebras, and have been investigated by many researchers from various points of view (see [Cha1]–[Cha4], [CW], [L3], [Liu]).

One standard approach in studying the aforementioned set \mathcal{S} is to examine its size. For this, it is reasonable to study its left annihilator in \mathcal{R} . In fact we will prove:

MAIN THEOREM 1.1. *Let \mathcal{R} be a prime ring of characteristic different from 2, \mathcal{Q}_r be its right Martindale quotient ring and \mathcal{C} be its extended centroid. Suppose that \mathcal{G} is a non-zero generalized skew derivation of \mathcal{R} and $f(x_1, \dots, x_n)$ is a non-central multilinear polynomial over \mathcal{C} with n non-commuting variables. If there exists a non-zero element a of \mathcal{R} such that $a[\mathcal{G}(f(r_1, \dots, r_n)), f(r_1, \dots, r_n)] = 0$ for all $r_1, \dots, r_n \in \mathcal{R}$, then one of the following holds:*

- (a) *there exists $\lambda \in \mathcal{C}$ such that $\mathcal{G}(x) = \lambda x$ for all $x \in \mathcal{R}$;*
- (b) *there exist $q \in \mathcal{Q}_r$ and $\lambda \in \mathcal{C}$ such that*

$$\mathcal{G}(x) = (q + \lambda)x + xq \quad \text{for all } x \in \mathcal{R}$$

and $f(x_1, \dots, x_n)^2$ is central-valued on \mathcal{R} .

We should remark that in case \mathcal{G} is a usual (non-skew) derivation, the conclusion of Theorem 1 follows directly from the results of [DD] (where \mathcal{G} is an ordinary derivation) and [D1] (where \mathcal{G} is a generalized derivation).

In what follows, let \mathcal{Q}_r be the right Martindale quotient ring of \mathcal{R} , \mathcal{Q} be the two-sided Martindale quotient ring of \mathcal{R} and $\mathcal{C} = \mathcal{Z}(\mathcal{Q}) = \mathcal{Z}(\mathcal{Q}_r)$ the center of \mathcal{Q} and \mathcal{Q}_r ; \mathcal{C} is usually called the *extended centroid* of \mathcal{R} and is a field when \mathcal{R} is a prime ring. It should be remarked that \mathcal{Q} is a centrally closed prime \mathcal{C} -algebra. We refer the reader to [BMM] for the definitions and the related properties of these objects.

It is well known that automorphisms, derivations and skew derivations of \mathcal{R} can be extended to both \mathcal{Q} and \mathcal{Q}_r . Chang [Cha1] extended the definition of generalized skew derivation to the right Martindale quotient ring \mathcal{Q}_r of \mathcal{R} as follows: by a (right) generalized skew derivation we mean an additive mapping $\mathcal{G} : \mathcal{Q}_r \rightarrow \mathcal{Q}_r$ such that $\mathcal{G}(xy) = \mathcal{G}(x)y + \alpha(x)d(y)$ for all $x, y \in \mathcal{Q}_r$, where d is a skew derivation of \mathcal{R} and α is an automorphism of \mathcal{R} . Moreover, there exists $\mathcal{G}(1) = a \in \mathcal{Q}_r$ such that $\mathcal{G}(x) = ax + d(x)$ for all $x \in \mathcal{R}$. Furthermore, if $\mathcal{G}(1) \in \mathcal{Q}$, then \mathcal{G} can be extended to \mathcal{Q} . We will adopt the

following notation:

$$f(x_1, \dots, x_n) = x_1 \dots x_n + \sum_{\sigma \in S_n, \sigma \neq \text{id}} \alpha_\sigma x_{\sigma(1)} \dots x_{\sigma(n)}$$

for some $\alpha_\sigma \in \mathcal{C}$. The polynomial $f(x_1, \dots, x_n) \in \mathcal{C}\langle x_1, \dots, x_n \rangle$ is said to be *central-valued* on \mathcal{R} if $f(x_1, \dots, x_n) \in \mathcal{Z}(\mathcal{R})$ for all $x_1, \dots, x_n \in \mathcal{R}$. The polynomial $f(x_1, \dots, x_n) \in \mathcal{C}\langle x_1, \dots, x_n \rangle$ is called *non-central* if it is not central-valued on \mathcal{R} (or equivalently on the central closure $\mathcal{C}\mathcal{R}$ of \mathcal{R}). We always suppose that $\text{char}(\mathcal{R}) \neq 2$ and $f(x_1, \dots, x_n)$ is non-central-valued on \mathcal{R} .

2. The case of inner generalized skew derivations. Throughout this section we always denote the ring of $m \times m$ matrices over an algebraic set \mathcal{A} by $\mathcal{M}_m(\mathcal{A})$. Here \mathcal{A} may be a field, a ring or an algebra in different contexts.

In this section we will deal with the case when \mathcal{G} is an inner generalized skew derivation induced by elements $b, c \in \mathcal{R}$ and $\alpha \in \text{Aut}(\mathcal{R})$, that is, $\mathcal{G}(x) = bx + \alpha(x)c$ for all $x \in \mathcal{R}$. Our aim is to prove the following:

PROPOSITION 2.1. *Let \mathcal{R} be a prime ring of characteristic different from 2 and $f(x_1, \dots, x_n)$ be a non-central multilinear polynomial over \mathcal{C} with n non-commuting variables. Let $a, b, c \in \mathcal{R}$ with $a \neq 0$ and $\alpha \in \text{Aut}(\mathcal{R})$ such that $\mathcal{G}(x) = bx + \alpha(x)c$ for all $x \in \mathcal{R}$. If*

$$a[bf(r_1, \dots, r_n) + \alpha(f(r_1, \dots, r_n))c, f(r_1, \dots, r_n)] = 0$$

for all $r_1, \dots, r_n \in \mathcal{R}$, then one of the following holds:

- (a) *there exists $\lambda \in \mathcal{C}$ such that $\mathcal{G}(x) = \lambda x$ for all $x \in \mathcal{R}$;*
- (b) *$c - b \in \mathcal{C}$, $\mathcal{G}(x) = bx + xc$ for all $x \in \mathcal{R}$, and $f(x_1, \dots, x_n)^2$ is central-valued on \mathcal{R} .*

2.1. The matrix case. Let us first consider the case when $\mathcal{R} = \mathcal{M}_m(\mathcal{K})$, where \mathcal{K} is a field of characteristic different from 2. Note that the set $f(\mathcal{R}) = \{f(r_1, \dots, r_n) \mid r_1, \dots, r_n \in \mathcal{R}\}$ is invariant under the action of all inner automorphisms of \mathcal{R} . Let us write $r = (r_1, \dots, r_n) \in \mathcal{R} \times \dots \times \mathcal{R} = \mathcal{R}^n$. Then for any inner automorphism φ of $\mathcal{M}_m(\mathcal{K})$, we get $\underline{r} = (\varphi(r_1), \dots, \varphi(r_n)) \in \mathcal{R}^n$ and $\varphi(f(r)) = f(\underline{r}) \in f(\mathcal{R})$. As usual, we denote by e_{ij} the matrix unit having 1 in the (i, j) -entry and zero elsewhere.

Let us recall some results from [L1] and [Ler]. Let \mathcal{T} be a ring with 1 and let $e_{ij} \in \mathcal{M}_m(\mathcal{T})$ ($i, j = 1, \dots, m$) be the matrix units. For a sequence $u = (\mathcal{A}_1, \dots, \mathcal{A}_n)$ in $\mathcal{M}_m(\mathcal{T})$, the value of u is defined to be the product $|u| = \mathcal{A}_1 \dots \mathcal{A}_n$ and u is non-vanishing if $|u| \neq 0$. For a permutation σ of $\{1, \dots, n\}$, we write $u^\sigma = (\mathcal{A}_{\sigma(1)}, \dots, \mathcal{A}_{\sigma(n)})$. We call u *simple* if it is of the form $u = (a_1 e_{i_1 j_1}, \dots, a_n e_{i_n j_n})$, where $a_i \in \mathcal{T}$. A simple sequence u is called

even if for some σ , $|u^\sigma| = be_{ii} \neq 0$, and odd if for some σ , $|u^\sigma| = be_{ij} \neq 0$, where $i \neq j$. We have:

FACT 2.2 ([L1, Lemma]). *Let \mathcal{T} be a \mathcal{K} -algebra with 1 and let $\mathcal{R} = \mathcal{M}_m(\mathcal{T})$, $m \geq 2$. Suppose that $g(x_1, \dots, x_n)$ is a multilinear polynomial over \mathcal{K} such that $g(u) = 0$ for all odd simple sequences u . Then $g(x_1, \dots, x_n)$ is central-valued on \mathcal{R} .*

FACT 2.3 ([Ler, Lemma 2]). *Let \mathcal{T} be a \mathcal{K} -algebra with 1 and let $\mathcal{R} = \mathcal{M}_m(\mathcal{T})$, $m \geq 2$. Suppose that $g(x_1, \dots, x_n)$ is a multilinear polynomial over \mathcal{K} . Let $u = (\mathcal{A}_1, \dots, \mathcal{A}_n)$ be a simple sequence from \mathcal{R} .*

- (1) *If u is even, then $g(u)$ is a diagonal matrix.*
- (2) *If u is odd, then $g(u) = ae_{pq}$ for some $a \in \mathcal{T}$ and $p \neq q$.*

REMARK 2.4. Since $f(x_1, \dots, x_n)$ is not central-valued on \mathcal{R} , by Fact 2.2 there exists an odd simple sequence $r = (r_1, \dots, r_n)$ from \mathcal{R} such that $f(r) = f(r_1, \dots, r_n) \neq 0$. By Fact 2.3, $f(r) = \beta e_{pq}$, where $0 \neq \beta \in \mathcal{C}$ and $p \neq q$. Since $f(x_1, \dots, x_n)$ is a multilinear polynomial and \mathcal{C} is a field, we may assume that $\beta = 1$. Now, for distinct i, j , let $\sigma \in S_n$ be such that $\sigma(p) = i$ and $\sigma(q) = j$, and let ψ be the automorphism of \mathcal{R} defined by $\psi(\sum_{s,t} \xi_{st} e_{st}) = \sum_{s,t} \xi_{st} e_{\sigma(s)\sigma(t)}$. Then $f(\psi(r)) = f(\psi(r_1), \dots, \psi(r_n)) = \psi(f(r)) = \beta e_{ij} = e_{ij}$.

Let us recall several known results:

LEMMA 2.5 (Proposition 1 in [D1]). *Let \mathcal{R} be a prime ring of characteristic different from 2, $f(x_1, \dots, x_n)$ be a non-central multilinear polynomial over \mathcal{C} with n non-commuting variables and $a, b, c \in \mathcal{R}$, $a \neq 0$. If $a[bf(r_1, \dots, r_n) + f(r_1, \dots, r_n)c, f(r_1, \dots, r_n)] = 0$ for all $r_1, \dots, r_n \in \mathcal{R}$, then one of the following holds:*

- (a) $b, c \in \mathcal{C}$;
- (b) $c - b \in \mathcal{C}$, and $f(x_1, \dots, x_n)^2$ is central-valued on \mathcal{R} .

LEMMA 2.6 ([Cha2, Lemma 2]). *Let \mathcal{R} be a dense subring of the ring of linear transformations of a vector space \mathcal{V} over a division ring \mathcal{D} with $\dim_{\mathcal{D}} \mathcal{V} \geq 2$ and suppose \mathcal{R} contains some non-zero linear transformations of finite rank. Let α be an automorphism of \mathcal{R} and $a, b, c \in \mathcal{R}$. Suppose that*

$$\mathcal{G} : \mathcal{R} \rightarrow \mathcal{R}, \quad x \mapsto bx + \alpha(x)c,$$

is a mapping from \mathcal{R} into itself satisfying the condition $a[\mathcal{G}(x), x]_k = 0$ for all $x \in \mathcal{R}$, where k is a fixed positive integer. Then either $a = 0$ or α is the identical mapping on \mathcal{R} and $b, c \in \mathcal{Z}(\mathcal{R})$ unless $\dim_{\mathcal{D}} \mathcal{V} = 2$ and $\mathcal{D} = GF(2)$, the Galois field of two elements.

We start with the following lemma:

LEMMA 2.7. *Let \mathcal{H} be an infinite field and $m \geq 2$ an integer. If $\mathcal{A}_1, \dots, \mathcal{A}_k$ are not scalar matrices in $\mathcal{M}_m(\mathcal{H})$, then there exists an invertible matrix $\mathcal{B} \in \mathcal{M}_m(\mathcal{H})$ such that each matrix $\mathcal{B}\mathcal{A}_1\mathcal{B}^{-1}, \dots, \mathcal{B}\mathcal{A}_k\mathcal{B}^{-1}$ has all entries non-zero.*

Proof. Let us first show that if $\mathcal{A} \in \mathcal{M}_m(\mathcal{H})$ is not scalar, then there exists a conjugate $\mathcal{B}\mathcal{A}\mathcal{B}^{-1}$ having a non-zero entry in any particular position.

Assume that \mathcal{A} is not diagonal. Then for some $i \neq j$ the (i, j) -entry \mathcal{A}_{ij} of \mathcal{A} is non-zero. If $p \neq q$, then there exists a permutation $\sigma \in S_m$ such that $\sigma(i) = p$ and $\sigma(j) = q$. Consider the automorphism φ_σ on $\mathcal{M}_m(\mathcal{H})$ defined by $\varphi_\sigma(e_{rs}) = e_{\sigma(r)\sigma(s)}$ for all matrix unit e_{rs} . Let $\mathcal{B} \in \mathcal{M}_m(\mathcal{H})$ be the permutation matrix which induces the automorphism φ_σ in $\mathcal{M}_m(\mathcal{H})$. Thus the (p, q) -entry of $\mathcal{B}\mathcal{A}\mathcal{B}^{-1}$ is \mathcal{A}_{ij} . Assume now that $p = q$. By the previous argument, for $s \neq p$, some conjugate \mathcal{A}' of \mathcal{A} has non-zero (p, s) -entry. Let $\lambda \in \mathcal{H}$, and put $\mathcal{A}'_\lambda = (\mathcal{I} + \lambda e_{sp})\mathcal{A}'(\mathcal{I} - \lambda e_{sp})$. Then the (p, p) -entry of \mathcal{A}'_λ is $\mathcal{A}'_{pp} - \lambda\mathcal{A}'_{ps}$. Of course, we can choose λ in \mathcal{H} such that $\mathcal{A}'_{pp} - \lambda\mathcal{A}'_{ps}$ is not zero. This proves our claim in the case when \mathcal{A} is not diagonal.

If \mathcal{A} is a diagonal matrix which is not scalar, there exist $i \neq j$ such that $\mathcal{A}_{ii} \neq \mathcal{A}_{jj}$. The (i, j) -entry of the conjugate $\mathcal{A}'' = (\mathcal{I} + e_{ij})\mathcal{A}(\mathcal{I} - e_{ij})$ is $\mathcal{A}_{jj} - \mathcal{A}_{ii}$, which is not zero. Hence \mathcal{A}'' is not diagonal and by the previous case we are done.

Let us consider the set $\{x_{ij} : 1 \leq i, j \leq m\}$ of n^2 commutative indeterminates and let $\mathcal{M}_m(\mathcal{H}[x_{ij}])$ be the algebra of $m \times m$ matrices over the polynomial ring $\mathcal{H}[x_{ij}]$. Let $\mathcal{E} = \sum_{ij} x_{ij}e_{ij}$ be the generic matrix and consider $\mathcal{E}_l = \mathcal{E} \cdot \mathcal{A}_l \cdot \text{adj}(\mathcal{E})$ for $l = 1, \dots, k$. Any substitution of $c_{ij} \in \mathcal{H}$ for the indeterminates x_{ij} induces a homomorphism $\varphi : \mathcal{M}_m(\mathcal{H}[x_{ij}]) \rightarrow \mathcal{M}_m(\mathcal{H})$. If $\varphi(\mathcal{E})$ is an invertible matrix \mathcal{B} , then $\varphi(\mathcal{E}_l)$ is a non-zero scalar multiple of $\mathcal{B}\mathcal{A}_l\mathcal{B}^{-1}$. Clearly, any matrix $\mathcal{B} \in \mathcal{M}_m(\mathcal{H})$ is the image of \mathcal{E} under the action of some such homomorphism. Since each entry of $\text{adj}(\mathcal{E})$ is a homogeneous polynomial in $\{x_{ij}\}$, the entries of \mathcal{E}_l are homogeneous polynomials in $\{x_{ij}\}$ without constant terms. None of these entries is zero by our observation above: in any particular position some conjugate of \mathcal{A}_l has a non-zero entry. The determinant $\det(\mathcal{E})$ is a non-zero polynomial of $\mathcal{H}[x_{ij}]$. Let $\mathcal{W}(x_{ij})$ be the product of $\det(\mathcal{E})$ and all entries of \mathcal{E}_l for $l = 1, \dots, k$. It is not difficult to observe that $\mathcal{W}(x_{ij})$ is a non-zero polynomial. Since the field \mathcal{H} is infinite, some evaluation of $\mathcal{W}(x_{ij})$ is not zero in \mathcal{H} . As above, let φ be the homomorphism induced by this evaluation, then $\mathcal{B} = \varphi(\mathcal{E})$ is invertible and $\mathcal{B}\mathcal{A}_l\mathcal{B}^{-1} = \frac{1}{\det(\mathcal{B})}\varphi(\mathcal{E}_l)$ is a matrix with all entries non-zero, for $l = 1, \dots, k$. ■

LEMMA 2.8. *Let \mathcal{H} be an infinite field, $m \geq 2$ an integer and $\mathcal{R} = \mathcal{M}_m(\mathcal{H})$. If there exist $b, c, q \in \mathcal{R}$ such that q is an invertible matrix and*

$[bu + quq^{-1}c, u] = 0$ for all $u \in f(\mathcal{R})$, then one of the following holds:

- (a) $q^{-1}c, b + c \in \mathcal{Z}(\mathcal{R})$;
- (b) $q, c - b \in \mathcal{Z}(\mathcal{R})$ and $u^2 \in \mathcal{Z}(\mathcal{R})$ for all $u \in f(\mathcal{R})$.

Proof. If either $q^{-1}c \in \mathcal{Z}(\mathcal{R})$ or $q \in \mathcal{Z}(\mathcal{R})$, then the conclusion follows from Lemma 2.5. Thus we may assume that neither $q^{-1}c$ nor q is a scalar matrix and proceed to obtain a contradiction. By Lemma 2.7, there exists some invertible matrix $\mathcal{B} \in \mathcal{M}_m(\mathcal{H})$ such that each matrix $\mathcal{B}(q^{-1}c)\mathcal{B}^{-1}, \mathcal{B}q\mathcal{B}^{-1}$ has all entries non-zero. Denote by $\varphi(x) = \mathcal{B}x\mathcal{B}^{-1}$ the inner automorphism induced by \mathcal{B} . Since $f(\mathcal{R})$ is invariant under the action of all inner automorphisms of \mathcal{R} , we have $[\varphi(b)u + \varphi(q)u\varphi(q^{-1}c), u] = 0$ for all $u \in f(\mathcal{R})$. Let us write

$$\varphi(q) = \sum_{hl} q_{hl}e_{hl}, \quad \varphi(q^{-1}c) = \sum_{hl} c_{hl}e_{hl} \quad \text{for } 0 \neq q_{hl}, 0 \neq c_{hl} \in \mathcal{H}.$$

Since $e_{ij} \in f(\mathcal{R})$ for all $i \neq j$, for any $i \neq j$ we have

$$X = [\varphi(b)e_{ij} + \varphi(q)e_{ij}\varphi(q^{-1}c), e_{ij}]e_{ij} = 0.$$

In particular, the (i, j) -entry of X is $q_{ji}c_{ji} = 0$, which is a contradiction. ■

LEMMA 2.9. *Let \mathcal{H} be an infinite field, $m \geq 2$ an integer and $\mathcal{R} = \mathcal{M}_m(\mathcal{H})$. If there exist $a, b, c, q \in \mathcal{R}$ with $a \neq 0$ such that q is an invertible matrix and $a[bu + quq^{-1}c, u] = 0$ for all $u \in f(\mathcal{R})$, then one of the following holds:*

- (a) $q^{-1}c, b + c \in \mathcal{Z}(\mathcal{R})$;
- (b) $q, c - b \in \mathcal{Z}(\mathcal{R})$ and $u^2 \in \mathcal{Z}(\mathcal{R})$ for all $u \in f(\mathcal{R})$.

Proof. Assume that $a \in \mathcal{Z}(\mathcal{R})$. Since $a \neq 0$, we get $[bu + quq^{-1}c, u] = 0$ for all $u \in f(\mathcal{R})$ and we are done by Lemma 2.8. Hence we may assume that a is not central and as above neither $q^{-1}c$ nor q is a scalar matrix. Again by Lemma 2.7, there exists some invertible matrix $\mathcal{B} \in \mathcal{M}_m(\mathcal{H})$ such that each matrix $\mathcal{B}a\mathcal{B}^{-1}, \mathcal{B}(q^{-1}c)\mathcal{B}^{-1}, \mathcal{B}q\mathcal{B}^{-1}$ has all entries non-zero. Denote by $\varphi(x) = \mathcal{B}x\mathcal{B}^{-1}$ the inner automorphism induced by \mathcal{B} . Mimicking the above proof we will write $\varphi(a) = \sum_{hl} a_{hl}e_{hl}$, $\varphi(q) = \sum_{hl} q_{hl}e_{hl}$ and $\varphi(q^{-1}c) = \sum_{hl} c_{hl}e_{hl}$, for $0 \neq a_{hl}, 0 \neq q_{hl}, 0 \neq c_{hl} \in \mathcal{B}$. Moreover, for $e_{ij} \in f(\mathcal{R})$,

$$Y = \varphi(a)[\varphi(b)e_{ij} + \varphi(q)e_{ij}\varphi(q^{-1}c), e_{ij}]e_{ij} = \varphi(a)e_{ij}\varphi(q)e_{ij}\varphi(q^{-1}c)e_{ij} = 0.$$

In particular, the (j, j) -entry of Y is $a_{ji}q_{ji}c_{ji} = 0$, which is a contradiction.

Thus either $q^{-1}c \in \mathcal{Z}(\mathcal{R})$ and $a[(b+c)u, u] = 0$ for all $u \in f(\mathcal{R})$, or $q \in \mathcal{Z}(\mathcal{R})$ and $a[(b+c)u, u] = 0$ for all $u \in f(\mathcal{R})$. In both cases the conclusion follows from Lemma 2.5. ■

LEMMA 2.10. *Let \mathcal{K} be a field of characteristic different from 2, $m \geq 2$ an integer and $\mathcal{R} = \mathcal{M}_m(\mathcal{K})$. If there exist $0 \neq a, b, c, q \in \mathcal{R}$ such that q is*

an invertible matrix and $a[bu + quq^{-1}c, u] = 0$ for all $u \in f(\mathcal{R})$ then one of the following holds:

- (1) $q^{-1}c, b + c \in \mathcal{Z}(\mathcal{R})$;
- (2) $q, c - b \in \mathcal{Z}(\mathcal{R})$ and $u^2 \in \mathcal{Z}(\mathcal{R})$ for all $u \in f(\mathcal{R})$.

Proof. If one assumes that \mathcal{K} is infinite, the conclusion is a consequence of Lemma 2.9.

Now let \mathcal{H} be an infinite field which is an extension of the field \mathcal{K} and let $\overline{\mathcal{R}} = \mathcal{M}_m(\mathcal{H}) \cong \mathcal{R} \otimes_{\mathcal{K}} \mathcal{H}$. Note that the multilinear polynomial $f(x_1, \dots, x_n)$ is central-valued on \mathcal{R} if and only if it is central-valued on $\overline{\mathcal{R}}$. We observe that the generalized polynomial

$$\Phi(x_1, \dots, x_n) = a[bf(x_1, \dots, x_n) - qf(x_1, \dots, x_n)q^{-1}b, f(x_1, \dots, x_n)]$$

is a generalized polynomial identity for \mathcal{R} . Moreover, $\Phi(x_1, \dots, x_n)$ is multi-homogeneous of multi-degree $(2, \dots, 2)$ in the indeterminates x_1, \dots, x_n . On the other hand, the complete linearization of $\Phi(x_1, \dots, x_{n+1})$ leads to a multilinear generalized polynomial $\Theta(x_1, \dots, x_n, y_1, \dots, y_n)$, which is of the form

$$\Theta(x_1, \dots, x_n, x_1, \dots, x_n) = 2^n P(x_1, \dots, x_n).$$

Clearly, the multilinear polynomial $\Theta(x_1, \dots, x_n, y_1, \dots, y_n)$ is a generalized polynomial identity for \mathcal{R} and $\overline{\mathcal{R}}$ too. Since $\text{char}(\mathcal{K}) \neq 2$, we obtain $\Phi(r_1, \dots, r_n) = 0$ for all $r_1, \dots, r_n \in \overline{\mathcal{R}}$, and the conclusion follows from Lemma 2.9. ■

2.2. The proof of Proposition 2.1. Suppose first that α is an X -inner automorphism of \mathcal{R} , that is, there exists an element $q \in \mathcal{Q}$ such that $\alpha(x) = qxq^{-1}$ for all $x \in \mathcal{R}$. It is not difficult to see that the generalized polynomial

$$\Phi(x_1, \dots, x_n) = a[bf(x_1, \dots, x_n) - qf(x_1, \dots, x_n)q^{-1}c, f(x_1, \dots, x_n)]$$

is a generalized polynomial identity for \mathcal{R} . If $\{1, q^{-1}c\}$ are \mathcal{C} -linearly independent, then $\Phi(x_1, \dots, x_n)$ is a non-trivial generalized polynomial identity for \mathcal{R} . It follows from [Chul] that $\Phi(x_1, \dots, x_n)$ is a non-trivial generalized polynomial identity for \mathcal{Q} . By the well-known Martindale theorem [M], \mathcal{Q} is a primitive ring having non-zero socle with the field \mathcal{C} as its associated division ring. By [J, p. 75], \mathcal{Q} is isomorphic to a dense subring of the ring of linear transformations of a vector space \mathcal{V} over \mathcal{C} , containing some non-zero linear transformations of finite rank. Assume first that $\dim_{\mathcal{C}} \mathcal{V} = \infty$. As in Lemma 2 of [W], the set $f(\mathcal{R}) = \{f(r_1, \dots, r_n) \mid r_i \in \mathcal{R}\}$ is dense in \mathcal{R} . Since $\Phi(r_1, \dots, r_n) = 0$ is a generalized polynomial identity of \mathcal{R} , we know that \mathcal{R} satisfies the generalized polynomial identity

$$a[bx_1 - qx_1q^{-1}c, x_1].$$

This implies that $a[\mathcal{G}(x), x] = 0$ for all $x \in \mathcal{R}$. In this case, the desired conclusion is due to Lemma 2.6. On the other hand, if $\dim_{\mathcal{C}} \mathcal{V} = k \geq 2$ is a finite positive integer, then $\mathcal{Q} \cong \mathcal{M}_k(\mathcal{C})$ and the conclusion follows from Lemma 2.10.

In case $\{1, q^{-1}c\}$ are \mathcal{C} -linearly dependent, that is, $q^{-1}c \in \mathcal{C}$, the ring \mathcal{R} satisfies

$$\Phi(x_1, \dots, x_n) = a[bf(x_1, \dots, x_n) - cf(x_1, \dots, x_n), f(x_1, \dots, x_n)]$$

and we are done by Lemma 2.5.

So we may assume that α is X -outer. In view of [Chu2] we know that \mathcal{R} and \mathcal{Q} satisfy the same generalized polynomial identities with automorphisms. Therefore

$$\Phi(x_1, \dots, x_n) = a[bf(x_1, \dots, x_n) + \alpha(f(x_1, \dots, x_n))c, f(x_1, \dots, x_n)]$$

is also satisfied by \mathcal{Q} . Moreover, \mathcal{Q} is a centrally closed prime \mathcal{C} -algebra. Note that if $c = 0$ we are done by Lemma 2.5. We now suppose that both $c \neq 0$ and $a \neq 0$. In this case, it follows from [Chu3, Main Theorem] that $\Phi(x_1, \dots, x_n)$ is a non-trivial generalized identity for \mathcal{R} and for \mathcal{Q} . By [K, Theorem 1] we deduce that $\mathcal{R}\mathcal{C}$ has non-zero socle and \mathcal{Q} is primitive. Since α is an outer automorphism and any $(x_i)^\alpha$ -word degree in $\Phi(x_1, \dots, x_n)$ is equal to 1, by [Chu3, Theorem 3], \mathcal{Q} satisfies the generalized polynomial identity

$$a[bf(x_1, \dots, x_n) + f(y_1, \dots, y_n)c, f(x_1, \dots, x_n)].$$

In particular, \mathcal{Q} (and so also \mathcal{R}) satisfies the generalized polynomial identity

$$a[bf(x_1, \dots, x_n) + f(x_1, \dots, x_n)c, f(x_1, \dots, x_n)].$$

In view of Lemma 2.5, we obtain the required results.

3. The proof of Main Theorem 1.1. Let us first recall the following:

FACT 3.1 ([D1, Theorem 1]). *Let \mathcal{R} be a prime ring of characteristic different from 2, \mathcal{U} be its two-sided Utumi quotient ring and \mathcal{C} be its extended centroid. Let δ be a non-zero generalized derivation of \mathcal{R} and $f(x_1, \dots, x_n)$ be a non-central multilinear polynomial over \mathcal{C} with n non-commuting variables. If there exists an element $a \in \mathcal{R}$ such that $a[\delta(f(r_1, \dots, r_n)), f(r_1, \dots, r_n)] = 0$ for all $r_1, \dots, r_n \in \mathcal{R}$, then one of the following holds:*

- (a) $a = 0$;
- (b) there exists $\lambda \in \mathcal{C}$ such that $\delta(x) = \lambda x$ for all $x \in \mathcal{R}$;
- (c) there exist $q \in \mathcal{U}$ and $\lambda \in \mathcal{C}$ such that $\delta(x) = (q + \lambda)x + xq$ for all $x \in \mathcal{R}$ and $f(x_1, \dots, x_n)^2$ is central-valued on \mathcal{R} .

FACT 3.2 ([CL2, Theorem 1]). *Let \mathcal{R} be a prime ring, \mathcal{D} be an X -outer skew derivation of \mathcal{R} and α be an X -outer automorphism of \mathcal{R} . If $\Phi(x_i, \mathcal{D}(x_i), \alpha(x_i))$ is a generalized polynomial identity for \mathcal{R} , then \mathcal{R} also*

satisfies the generalized polynomial identity $\Phi(x_i, y_i, z_i)$, where x_i, y_i and z_i are distinct indeterminates.

3.1. The proof of Main Theorem 1.1. As remarked in the Introduction, we can write $\mathcal{G}(x) = bx + d(x)$ for all $x \in \mathcal{R}$, where $b \in \mathcal{Q}_r$ and d is a skew derivation of \mathcal{R} (see [Cha1]). Let us put $f(x_1, \dots, x_n) = \sum_{\sigma \in S_n} \gamma_\sigma x_{\sigma(1)} \cdots x_{\sigma(n)}$, where $\gamma_\sigma \in \mathcal{C}$. By [CL2, Theorem 2] we know that \mathcal{R} and \mathcal{Q}_r satisfy the same generalized polynomial identities with a single skew derivation. Thus \mathcal{Q}_r satisfies

$$\begin{aligned} \Phi(x_1, \dots, x_n, d(x_1), \dots, d(x_n)) \\ = a[bf(x_1, \dots, x_n) + d(f(x_1, \dots, x_n)), f(x_1, \dots, x_n)]. \end{aligned}$$

If d is X -inner, then there exist $c \in \mathcal{Q}_r$ and $\alpha \in \text{Aut}(\mathcal{Q}_r)$ such that $d(x) = cx + \alpha(x)c$ for all $x \in \mathcal{R}$. In this case $\mathcal{G}(x) = (b+c)x + \alpha(x)c$ and by Proposition 2.1 either $\mathcal{G}(x) = \lambda x$ for some $\lambda \in \mathcal{C}$, or $f(x_1, \dots, x_n)^2$ is central-valued on \mathcal{R} and $\mathcal{G}(x) = (b+c)x + xc$ for all $x \in \mathcal{R}$, where $b \in \mathcal{C}$.

Suppose that d is X -outer and that $\alpha \in \text{Aut}(\mathcal{Q}_r)$ is the associated automorphism of d . When α is the identity mapping on \mathcal{R} , then d is a usual derivation of \mathcal{R} . And hence \mathcal{G} becomes a generalized derivation of \mathcal{R} . In this case, the required results are due to Fact 3.1. Hence in what follows we always assume that $1_{\mathcal{R}} \neq \alpha \in \text{Aut}(\mathcal{R})$. We denote by $f^d(x_1, \dots, x_n)$ the polynomial obtained from $f(x_1, \dots, x_n)$ by replacing each coefficient γ_σ with $d(\gamma_\sigma)$. It should be remarked that

$$\begin{aligned} d(\gamma_\sigma x_{\sigma(1)} \cdots x_{\sigma(n)}) &= d(\gamma_\sigma) x_{\sigma(1)} \cdots x_{\sigma(n)} \\ &\quad + \alpha(\gamma_\sigma) \sum_{j=0}^{n-1} \alpha(x_{\sigma(1)} \cdots x_{\sigma(j)}) d(x_{\sigma(j+1)}) x_{\sigma(j+2)} \cdots x_{\sigma(n)}. \end{aligned}$$

So we have

$$\begin{aligned} d(f(x_1, \dots, x_n)) &= f^d(x_1, \dots, x_n) \\ &\quad + \sum_{\sigma \in S_n} \alpha(\gamma_\sigma) \sum_{j=0}^{n-1} \alpha(x_{\sigma(1)} \cdots x_{\sigma(j)}) d(x_{\sigma(j+1)}) x_{\sigma(j+2)} \cdots x_{\sigma(n)}. \end{aligned}$$

Since \mathcal{Q}_r satisfies $\Phi(x_1, \dots, x_n, d(x_1), \dots, d(x_n))$, it also satisfies

$$\begin{aligned} a[bf(x_1, \dots, x_n) + f^d(x_1, \dots, x_n), f(x_1, \dots, x_n)] \\ + a\left[\sum_{\sigma \in S_n} \alpha(\gamma_\sigma) \sum_{j=0}^{n-1} \alpha(x_{\sigma(1)} \cdots x_{\sigma(j)}) d(x_{\sigma(j+1)}) x_{\sigma(j+2)} \cdots x_{\sigma(n)}, f(x_1, \dots, x_n)\right]. \end{aligned}$$

By [CL2, Theorem 1] it follows that \mathcal{Q}_r satisfies $\Phi(x_1, \dots, x_n, y_1, \dots, y_n)$,

that is,

$$a[bf(x_1, \dots, x_n) + f^d(x_1, \dots, x_n), f(x_1, \dots, x_n)] \\ + a\left[\sum_{\sigma \in S_n} \alpha(\gamma_\sigma) \sum_{j=0}^{n-1} \alpha(x_{\sigma(1)} \cdots x_{\sigma(j)}) y_{\sigma(j+1)} x_{\sigma(j+2)} \cdots x_{\sigma(n)}, f(x_1, \dots, x_n)\right].$$

In particular, for any $i = 1, \dots, n$, \mathcal{Q}_r satisfies

$$(3.1) \quad a\left[\sum_{\sigma \in S_n} \alpha(\gamma_\sigma) \alpha(x_{\sigma(1)} \cdots x_{\sigma(i-1)}) y_{\sigma(i)} x_{\sigma(i+1)} \cdots x_{\sigma(n)}, f(x_1, \dots, x_n)\right].$$

Here we divide the argument into two subcases. Let us first consider the case when α is an inner automorphism of \mathcal{R} . Then there exists an invertible element $q \in \mathcal{Q}$ such that $\alpha(x) = qxq^{-1}$ for all $x \in \mathcal{R}$. Since $1_{\mathcal{R}} \neq \alpha \in \text{Aut}(\mathcal{R})$, we may assume that $q \notin \mathcal{C}$. Moreover, it is clear that $\alpha(\gamma_\sigma) = \gamma_\sigma$ for all coefficients involved in $f(x_1, \dots, x_n)$. Replacing each $y_{\sigma(i)}$ with $qx_{\sigma(i)}$ in (3.1), we find that \mathcal{Q}_r satisfies

$$a\left[q \sum_{\sigma \in S_n} \gamma_\sigma x_{\sigma(1)} \cdots x_{\sigma(2)} \cdots x_{\sigma(i-1)} x_{\sigma(i)} x_{\sigma(i+1)} \cdots x_{\sigma(n)}, f(x_1, \dots, x_n)\right],$$

that is,

$$a[qf(x_1, \dots, x_n), f(x_1, \dots, x_n)].$$

Note that $q \notin \mathcal{C}$ and $f(x_1, \dots, x_n)$ is not central-valued on \mathcal{Q}_r . Combining these facts with Fact 2.5 yields $a = 0$. We now assume that α is X -outer. In light of Fact 3.2 and the relation (3.1), \mathcal{Q}_r satisfies the generalized polynomial identity

$$(3.2) \quad a\left[\sum_{\sigma \in S_n} \alpha(\gamma_\sigma) z_{\sigma(1)} \cdots z_{\sigma(i-1)} y_{\sigma(i)} x_{\sigma(i+1)} \cdots x_{\sigma(n)}, f(x_1, \dots, x_n)\right]$$

for all $i = 1, \dots, n$. In particular, we choose:

- for all $i \geq 2$, $y_{\sigma(i)} = 0$;
- for all $i \geq 2$, $z_{\sigma(i)} = 0$.

Therefore by (3.2), \mathcal{Q}_r satisfies the generalized polynomial identity

$$(3.3) \quad a\left[y_1 \sum_{\sigma \in S_{n-1}} \alpha(\gamma_\sigma) x_{\sigma(2)} \cdots x_{\sigma(n)}, f(x_1, \dots, x_n)\right].$$

Let us write $\sum_{\sigma \in S_{n-1}} \alpha(\gamma_\sigma) x_{\sigma(2)} \cdots x_{\sigma(n)} = t_1(x_2, \dots, x_n)$. Then \mathcal{Q}_r satisfies the generalized polynomial identity

$$(3.4) \quad a[y_1 t_1(x_2, \dots, x_n), f(x_1, \dots, x_n)].$$

Applying [CL1, Lemma 3] to (3.4) we see that

$$[y_1 t_1(x_2, \dots, x_n), f(x_1, \dots, x_n)]$$

is a generalized polynomial identity for \mathcal{Q}_r . Therefore there exists a suitable field \mathcal{K} and an integer $t \geq 1$ such that \mathcal{Q}_r and the matrix ring $\mathcal{M}_t(\mathcal{K})$ satisfy the same polynomial identities. In particular, $\mathcal{M}_t(\mathcal{K})$ satisfies the generalized polynomial identity $[y_1 t_1(x_2, \dots, x_n), f(x_1, \dots, x_n)]$. Since $f(x_1, \dots, x_n)$ is not central-valued on \mathcal{Q}_r , we may assume $t \geq 2$. In this situation, by Fact 2.2, Fact 2.3 and Remark 2.4, for all $i \neq j$, there exist $r_1, \dots, r_n \in \mathcal{M}_t(\mathcal{K})$ such that $f(r_1, \dots, r_n) = e_{ij} \neq 0$ and

$$(3.5) \quad [y_1 t_1(r_2, \dots, r_n), e_{ij}] = 0$$

for all $y_1 \in \mathcal{M}_t(\mathcal{K})$. Here we also denote by $f^\alpha(x_1, \dots, x_n)$ the polynomial obtained from $f(x_1, \dots, x_n)$ through replacing each coefficient γ_σ with $\alpha(\gamma_\sigma)$. Note that $f^\alpha(r_1, \dots, r_n) \neq 0$. By (3.5), for $y_1 = e_{ii}X$ and for any $X \in \mathcal{M}_t(\mathcal{K})$, we have $e_{ii}Xt_1(r_2, \dots, r_n)e_{ij} = 0$, that is, $t_1(r_2, \dots, r_n)e_{ij} = 0$. In view of (3.5) we get

$$0 = y_1 t_1(r_2, \dots, r_n)e_{ij} - e_{ij}y_1 t_1(r_2, \dots, r_n) = -e_{ij}y_1 t_1(r_2, \dots, r_n),$$

which implies $t_1(r_2, \dots, r_n) = 0$. Let us start again from (3.2) and fix an index $j \in \{1, \dots, n\}$. We choose:

- for all $i \neq j$, $y_{\sigma(i)} = 0$;
- for all $i \neq j$, $z_{\sigma(i)} = 0$.

Therefore by (3.2) we deduce that \mathcal{Q}_r satisfies the generalized polynomial identity

$$(3.6) \quad a \left[y_j \sum_{\sigma \in S_{n-1}} \alpha(\gamma_\sigma) x_{\sigma(1)} \cdots x_{\sigma(j-1)} x_{\sigma(j+1)} \cdots x_{\sigma(n)}, f(x_1, \dots, x_n) \right].$$

Let us adopt a new notation for later discussion:

$$\sum_{\sigma \in S_{n-1}} \alpha(\gamma_\sigma) x_{\sigma(1)} \cdots x_{\sigma(j-1)} x_{\sigma(j+1)} \cdots x_{\sigma(n)} = t_j(x_1, \dots, x_{j-1}, x_{j+1}, \dots, x_n).$$

Thus \mathcal{Q}_r satisfies the generalized polynomial identity

$$a[y_j t_j(x_1, \dots, x_{j-1}, x_{j+1}, \dots, x_n), f(x_1, \dots, x_n)].$$

Moreover, we know that there exist $r_1, \dots, r_n \in \mathcal{M}_t(\mathcal{K})$ such that $f(r_1, \dots, r_n) = e_{ij} \neq 0$, and using the above argument, $t_j(r_1, \dots, r_{j-1}, r_{j+1}, \dots, r_n) = 0$. Finally notice that

$$f^\alpha(x_1, \dots, x_n) = \sum_j x_j t_j(x_1, \dots, x_{j-1}, x_{j+1}, \dots, x_n),$$

where each t_j is a multilinear polynomial of degree $n - 1$ and x_j appears in no monomial of t_j . This leads to the contradiction $f^\alpha(r_1, \dots, r_n) = 0$.

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