

On univoque points for self-similar sets

by

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Abstract. Let $K \subseteq \mathbb{R}$ be the unique attractor of an iterated function system. We consider the case where K is an interval and study those elements of K with a unique coding. We prove under mild conditions that the set of points with a unique coding can be identified with a subshift of finite type. As a consequence, we can show that the set of points with a unique coding is a graph-directed self-similar set in the sense of Mauldin and Williams (1988). The theory of Mauldin and Williams then provides a method by which we can explicitly calculate the Hausdorff dimension of this set. Our algorithm can be applied generically, and our result generalises the work of Daróczy, Kátai, Kallós, Komornik and de Vries.

1. Introduction. Let $\{f_j\}_{j=1}^m$ be an iterated function system (IFS) of similitudes which are defined on \mathbb{R} by

$$f_j(x) = r_j x + a_j,$$

where the similarity ratios satisfy $0 < r_j < 1$ and the translation parameter a_j is in \mathbb{R} . It is well known that there exists a unique non-empty compact set $K \subset \mathbb{R}$ such that

$$(1.1) \quad K = \bigcup_{j=1}^m f_j(K).$$

We call K the *self-similar set* or *attractor* for the IFS $\{f_j\}_{j=1}^m$; see [H] for further details. We refer to the elements of $\{f_j(K)\}_{j=1}^m$ as *first-level intervals* when K is an interval. An IFS is called *homogeneous* if all the similarity ratios r_j are equal. For any $x \in K$, there exists a sequence $(i_n)_{n=1}^\infty \in \{1, \dots, m\}^\mathbb{N}$ such that

$$x = \lim_{n \rightarrow \infty} f_{i_1} \circ \dots \circ f_{i_n}(0) = \bigcap_{n=1}^\infty f_{i_1} \circ \dots \circ f_{i_n}(K).$$

We call such a sequence a *coding* of x .

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The attractor K defined by (1.1) may equivalently be defined to be the set of points in \mathbb{R} which admit a coding, i.e., we can define a surjective projection map between the symbolic space $\{1, \dots, m\}^{\mathbb{N}}$ and the self-similar set K by

$$\pi((i_n)_{n=1}^{\infty}) := \lim_{n \rightarrow \infty} f_{i_1} \circ \dots \circ f_{i_n}(0).$$

An $x \in K$ may have many different codings; if $(i_n)_{n=1}^{\infty}$ is unique then we call x a *univoque point*. The set of univoque points is called the *univoque set* and we denote it by $U_{\{f_j\}_{j=1}^m}$, i.e.,

$$U_{\{f_j\}_{j=1}^m} := \left\{ x \in K : \text{there exists a unique } (i_n)_{n=1}^{\infty} \in \{1, \dots, m\}^{\mathbb{N}} \right. \\ \left. \text{satisfying } x = \lim_{n \rightarrow \infty} f_{i_1} \circ \dots \circ f_{i_n}(0) \right\}.$$

Let $\tilde{U}_{\{f_j\}_{j=1}^m} := \pi^{-1}(U_{\{f_j\}_{j=1}^m})$. If there is no risk of confusion, we denote $U_{\{f_j\}_{j=1}^m}$ and $\tilde{U}_{\{f_j\}_{j=1}^m}$ by U and \tilde{U} respectively. With a little effort, it may be shown that π is a homeomorphism between the set of unique codings \tilde{U} and the univoque set U . In this paper we present a general algorithm for determining the Hausdorff dimension of U when K is an interval. Unless stated otherwise, in what follows we will always assume that our IFS is such that K is an interval.

Part of our motivation comes from the study of β -expansions. Given $\beta > 1$ and $x \in [0, (\lceil \beta \rceil - 1)(\beta - 1)^{-1}]$ there exists a sequence $(a_n)_{n=1}^{\infty} \in \{0, \dots, \lceil \beta \rceil - 1\}^{\mathbb{N}}$ such that

$$x = \sum_{n=1}^{\infty} a_n \beta^{-n}.$$

We call such a sequence a β -*expansion* of x .

Expansions in non-integer bases were pioneered in the papers of Rényi [R] and Parry [P]. For more information, see [EHJ, DKr, dVK] and the references therein.

We can study β -expansions via the IFS

$$g_j(x) = \frac{x + j}{\beta}, \quad j \in \{0, \dots, \lceil \beta \rceil - 1\}.$$

The self-similar set for this IFS is the interval $\mathcal{A}_\beta := [0, (\lceil \beta \rceil - 1)(\beta - 1)^{-1}]$. For β -expansions, it is clear that any first-level interval $g_j(\mathcal{A}_\beta)$ intersects at most two other first-level intervals. For any $M \in \mathbb{N}$, it is straightforward to show that

$$g_{i_1} \circ \dots \circ g_{i_M}(0) = \sum_{n=1}^M i_n \beta^{-n}.$$

Therefore, $\lim_{n \rightarrow \infty} g_{i_1} \circ \dots \circ g_{i_n}(0) = x$ if and only if $(i_n)_{n=1}^\infty$ is a β -expansion of x .

Much work has been done on the set of points with a unique β -expansion. Glendinning and Sidorov [GS] classified those $\beta \in (1, 2)$ for which the Hausdorff dimension of the univoque set is positive. However, their approach did not allow them to calculate the Hausdorff dimension. Their result was later generalised to arbitrary $\beta > 1$ in [KLD]. Daróczy and Kátai [DK] offered an approach to the problem of calculating the dimension when $\beta \in (1, 2)$, but they could only calculate the dimension when β is a special purely Parry number (β is a *Parry number* if the β -expansion of 1 in base β is eventually periodic). Making use of similar ideas, Kallós [K1, K2] showed that for $\beta > 2$:

- (1) If $\beta \in [\lceil \beta \rceil - 1, (\lceil \beta \rceil - 1 + \sqrt{(\lceil \beta \rceil)^2 - 2\lceil \beta \rceil + 5})]$, then the Hausdorff dimension of the univoque set is equal to $(\log(\lceil \beta \rceil - 2))(\log \beta)^{-1}$.
- (2) If $\beta \in [(\lceil \beta \rceil - 1 + \sqrt{(\lceil \beta \rceil)^2 - 2\lceil \beta \rceil + 5}), \lceil \beta \rceil)$ and β is a purely Parry number, Kallós can still find the dimensional result.

Zou, Lu and Li [ZLL] considered the univoque set for a class of homogeneous self-similar sets with overlaps. Their motivation was to generalise Glendinning and Sidorov’s result [GS]. In some cases, they provide an explicit formula for the dimension of the univoque set. What made the work of Zou, Lu and Li different to the work of Glendinning and Sidorov, was that the self-similar sets they considered were of Lebesgue measure zero. Their approach was similar to Glendinning and Sidorov’s, the crucial technique being to find a new characterisation of the univoque set.

Recently, in the setting of β -expansions, Kong and Li [KL] generalised Kallós’ results; their approach made use of different techniques which were based on the admissible blocks introduced by de Vries and Komornik [dVK]. Kong and Li were able to calculate the dimension of the univoque set for β within certain intervals. These intervals cover almost all β , even some bases for which \tilde{U} is not a subshift of finite type.

In the papers mentioned above, the approaches given always have two points in common. The first is that their method depends on finding a symbolic characterisation of the univoque set via the greedy algorithm. For general self-similar sets such a characterisation is not possible. The second point is that in their setup every first-level interval has at most two adjacent first-level intervals intersecting it. For general self-similar sets, some first-level intervals may intersect many first-level intervals simultaneously. As such, their methods do not simply translate over and we have to find a new approach.

The goal of this paper is to give a general algorithm for calculating the Hausdorff dimension of the univoque set when the self-similar set is an

interval. When this algorithm can be implemented, it identifies the univoque set with a subshift of finite type. With this new symbolic representation, we can use a directed graph to represent the set \tilde{U} (see for example [LM, Chapter 2]). We then show that U is a graph-directed self-similar set in the sense of Mauldin and Williams [MW]. Using the results of [MW] we can then calculate $\dim_H(U)$ explicitly. This algorithm can be implemented in a generic sense that we will properly formalise later.

The structure of the paper is as follows. In Section 2 we describe the self-similar set via a dynamical system and state Theorem 2.4 which is our main result. In Section 3 we prove Theorem 2.4 and demonstrate that for most cases, the hypothesis of Theorem 2.4 is satisfied (Corollary 3.1). In Section 4 we restrict to β -expansions and provide an alternative methodology for determining the subshift of finite type representation of \tilde{U} . In Section 5 we introduce the definition of a graph-directed self-similar set and illustrate how to calculate the dimension of the univoque set using this tool. In Section 6 we give a worked example. Finally in Section 7, we discuss how the approach given can be extended to higher dimension.

After completion of this paper we were made aware of the work of Bundfuss, Krüger and Troubetzkoy [BKT]. They were concerned with iterating maps on a manifold M and the set of $x \in M$ that were never mapped into some hole. Theorem 2.4 is essentially a consequence of Proposition 4.1 of [BKT]. However, all of our results regarding calculating $\dim_H(U)$ and the identification of the univoque set with a graph-directed self-similar set are completely new.

2. Preliminaries and main results. In this section we describe the elements of our attractor in terms of a dynamical system. Recall that $K = [a, b] \subseteq \mathbb{R}$ is the attractor of our IFS $\{f_j\}_{j=1}^m$, i.e.,

$$K = \bigcup_{j=1}^m f_j(K).$$

Define $T_j(x) := f_j^{-1}(x) = (x - a_j)r_j^{-1}$ for each $1 \leq j \leq m$. We denote the concatenation $T_{i_n} \circ \dots \circ T_{i_1}(x)$ by $T_{i_1 \dots i_n}(x)$. The following lemma provides an alternative formulation of codings of elements of K in terms of the maps T_j .

LEMMA 2.1. *Let $x \in K$. Then $(i_n)_{n=1}^\infty \in \{1, \dots, m\}^\mathbb{N}$ is a coding for x if and only if $T_{i_1 \dots i_n}(x) \in K$ for all $n \in \mathbb{N}$.*

Proof. Assume $x \in K$ has a coding $(i_n)_{n=1}^\infty$. By the continuity of the maps f_j the following equation holds for all $n \in \mathbb{N}$:

$$T_{i_1 \dots i_n}(x) = \lim_{M \rightarrow \infty} f_{i_{n+1}} \circ \dots \circ f_{i_M}(0).$$

Obviously the right hand side of the above equation is an element of K . Hence we have deduced the rightward implication.

Now let us assume that $(i_n)_{n=1}^\infty$ is such that $T_{i_1 \dots i_n}(x) \in K$ for all $n \in \mathbb{N}$. Let $x_n = T_{i_1 \dots i_n}(x)$. We observe that

$$|f_{i_1} \circ \dots \circ f_{i_n}(0) - x| = |f_{i_1} \circ \dots \circ f_{i_n}(0) - f_{i_1} \circ \dots \circ f_{i_n}(x_n)| \leq r^n |x_n|,$$

where $r = \max_{1 \leq j \leq m} r_j$. By our assumption $x_n \in K$, in which case $|x_n|$ can be bounded above by a constant independent of x and n . It follows that $\lim_{n \rightarrow \infty} f_{i_1} \circ \dots \circ f_{i_n}(0) = x$ and $(i_n)_{n=1}^\infty$ is a coding for x . ■

The dynamical interpretation provided by Lemma 2.1 will make our proofs and exposition far more succinct. The following proposition is a straightforward consequence of the lemma.

PROPOSITION 2.2. *Let $x \in K$. There exists $(i_n)_{n=1}^N \in \{1, \dots, m\}^N$ and distinct $k, l \in \{1, \dots, m\}$ satisfying $T_{i_1 \dots i_N k}(x) \in K$ and $T_{i_1 \dots i_N l}(x) \in K$ if and only if $x \notin U$.*

Let $I_j = f_j(K)$; then I_j is precisely the set of points that are mapped back into K by T_j . The following reformulation of the definition of U is a consequence of Proposition 2.2:

(2.1)

$$U = \{x \in K : \nexists 1 \leq k < l \leq m \text{ and } (i_n)_{n=1}^N \text{ such that } T_{i_1 \dots i_N}(x) \in I_k \cap I_l\}.$$

By Lemma 2.1 we know that every $x \in K$ has an infinite sequence of maps which under finite iteration always map x back into K . What (2.1) states is that if $x \in U$, then each of these finite iterations always avoids the intersections of the I_j 's.

In what follows we always assume that there are s pairs $(i_k, j_k) \in \{1, \dots, m\}^2$ such that $H_k := I_{i_k} \cap I_{j_k} \neq \emptyset$ and $i_k \neq j_k$. In fact we will always assume that we are in the case where each $H_k := [a_k, b_k]$ is a non-trivial interval and is contained in the interior of K . There is no loss of generality in making this assumption. If for some $[a_k, b_k]$ it is true that $a_k = a$ or $b_k = b$, then the conclusion of Theorem 2.4 is still true under an appropriately modified hypothesis. The argument required is the same as that given below except for an additional notational consideration. We may also assume that the elements of $\{H_k\}$ are pairwise disjoint and that they are located from left to right in K . In the dynamical literature these regions H_k are commonly referred to as switch regions (see for example [DKr]). We give a simple example to illustrate the above.

EXAMPLE 2.3. Let $[0, 1/(\beta - 1)]$ be the attractor of $\{f_0(x) = \beta^{-1}x, f_1(x) = \beta^{-1}(x + 1)\}$, where $1 < \beta < 2$. Then we define $T_0(x) = \beta x, T_1(x) = \beta x - 1$; see Figure 1.

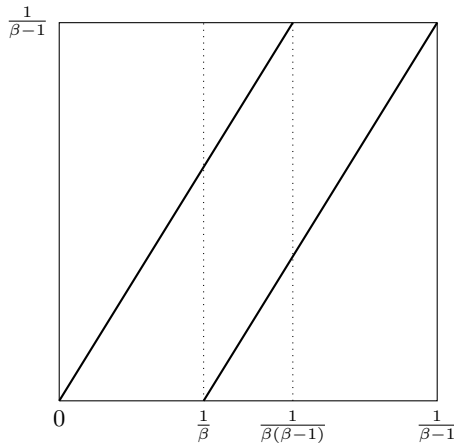


Fig. 1. The dynamical system for $\{T_0, T_1\}$

From this figure, we know that $f_0([0, 1/(\beta - 1)]) \cap f_1([0, 1/(\beta - 1)]) = [1/\beta, 1/\beta(\beta - 1)]$. For any $x \in [1/\beta, 1/\beta(\beta - 1)]$ both T_0 and T_1 map x into $[0, 1/(\beta - 1)]$.

Now we can state our first result. Recall that \tilde{U} is defined to be the set of symbolic codings of points in U .

THEOREM 2.4. *For each a_k and b_k , suppose there exist two finite sequences $(\eta_1 \dots \eta_P) \in \{1, \dots, m\}^P$, $(\omega_1 \dots \omega_Q) \in \{1, \dots, m\}^Q$ such that*

$$(2.2) \quad T_{\eta_1 \dots \eta_P}(a_k) \in \bigcup_{i=1}^s (a_i, b_i),$$

$$(2.3) \quad T_{\omega_1 \dots \omega_Q}(b_k) \in \bigcup_{i=1}^s (a_i, b_i).$$

Then \tilde{U} is a subshift of finite type.

3. Proof of Theorem 2.4. The proof is constructive. By our assumptions and the continuity of the T_j 's, we can find $\delta_{a_k}, \delta_{b_k} > 0$ such that

$$T_{\eta_1 \dots \eta_P}(a_k - \delta_{a_k}, a_k) \subset \bigcup_{i=1}^s (a_i, b_i), \quad T_{\omega_1 \dots \omega_Q}(b_k, b_k + \delta_{b_k}) \subset \bigcup_{i=1}^s (a_i, b_i).$$

Moreover, we may assume $[a_k - \delta_{a_k}, b_k + \delta_{b_k}] \cap [a_j - \delta_{a_j}, b_j + \delta_{b_j}] = \emptyset$ for $1 \leq k < j \leq s$. Let $\delta = \min_{1 \leq k \leq s} \{\delta_{a_k}, \delta_{b_k}\}$ and $H = \bigcup_{i=1}^s [a_i - \delta, b_i + \delta]$. By the monotonicity of the T_j 's and Proposition 2.2 it is clear that any element of H is mapped into the switch region, therefore H is in the complement of the univoque set.

We partition K via the iterated function system: for any L we have

$$K = \bigcup_{(i_1, \dots, i_L) \in \{1, \dots, m\}^L} f_{i_1} \circ \dots \circ f_{i_L}(K).$$

We also assume L is sufficiently large such that $|f_{i_1} \circ \dots \circ f_{i_L}(K)| < \delta$ for all $(i_1, \dots, i_L) \in \{1, \dots, m\}^L$. We have a corresponding partition of the symbolic space $\{1, \dots, m\}^{\mathbb{N}}$ provided by the cylinders of length L . For each $(i_1, \dots, i_L) \in \{1, \dots, m\}^L$ let

$$C_{i_1 \dots i_L} = \{(x_n) \in \{1, \dots, m\}^{\mathbb{N}} : x_n = i_n \text{ for } 1 \leq n \leq L\}.$$

The set $\{C_{i_1 \dots i_L}\}_{(i_1, \dots, i_L) \in \{1, \dots, m\}^L}$ is a partition of $\{1, \dots, m\}^{\mathbb{N}}$, and we have $f_{i_1} \circ \dots \circ f_{i_L}(K) = \pi(C_{i_1 \dots i_L})$. Let

$$(3.1) \quad \mathbb{F} = \left\{ (i_1, \dots, i_L) \in \{1, \dots, m\}^L : f_{i_1} \circ \dots \circ f_{i_L}(K) \cap \bigcup_{k=1}^s H_k \neq \emptyset \right\},$$

$$(3.2) \quad \mathbb{F}' = \bigcup_{(i_1, \dots, i_L) \in \mathbb{F}} \pi(C_{i_1 \dots i_L}).$$

By our assumptions on the size of our cylinders the following inclusions hold:

$$\bigcup_{k=1}^s H_k \subset \mathbb{F}' \subset H.$$

Using these inclusions it is a straightforward observation that $x \notin U$ if and only if there exists $(\theta_1, \dots, \theta_{n_1}) \in \{1, \dots, m\}^{n_1}$ such that $T_{\theta_1 \dots \theta_{n_1}}(x) \in \mathbb{F}'$. Showing there exists $(\theta_1, \dots, \theta_{n_1}) \in \{1, \dots, m\}^{n_1}$ such that $T_{\theta_1 \dots \theta_{n_1}}(x) \in \mathbb{F}'$ if and only if x has a coding containing a block from \mathbb{F} is straightforward. If $x \notin U$, then by the above observation there exists $(\theta_1, \dots, \theta_{n_1})$ in $\{1, \dots, m\}^{n_1}$ such that $T_{\theta_1 \dots \theta_{n_1}}(x) \in \mathbb{F}'$. Therefore, x has a coding containing a block from \mathbb{F} . Going in the opposite direction, suppose that x has a coding $(x_n)_{n=1}^{\infty}$ such that $x_{M+1} \dots x_{M+L} \in \mathbb{F}$ for some $M \in \mathbb{N}$. Then $T_{x_1 \dots x_M}(x)$ is in \mathbb{F}' . However, $\mathbb{F}' \subset H$, and as previously remarked $H \subset U^c$, therefore $T_{x_1 \dots x_M}(x) \notin U$ and $x \notin U$. Taking \mathbb{F} to be the set of forbidden words defining a subshift of finite type we see that \tilde{U} is a subshift of finite type. ■

The conditions in Theorem 2.4 are met for a large class of self-similar sets, provided that the attractor is an interval. We recall the definition of a universal coding. A coding $(d_n)_{n=1}^{\infty} \in \{1, \dots, m\}^{\mathbb{N}}$ of x is called a *universal coding* for x if given any finite block $(\delta_1, \dots, \delta_k) \in \{1, \dots, m\}^k$, there exists j such that $d_{j+i} = \delta_i$ for $1 \leq i \leq k$. Theorem 1.4 from [B] implies that Lebesgue almost every $x \in K$ has a universal coding. This result implies the following corollary.

COROLLARY 3.1. *For Lebesgue almost every $x \in K$, there exists a sequence $(i_n)_{n=1}^N$ and H_k such that $T_{i_1 \dots i_N}(x)$ is in the interior of H_k .*

Let $\Lambda \subset K$ be the set of full measure described by Corollary 3.1. It follows that the hypothesis of Theorem 2.4 fails only when an endpoint of a H_k is contained in $K \setminus \Lambda$. There are no obvious obstacles to the endpoints of H_k being members of Λ . Hence we expect the conditions of Theorem 2.4 to be satisfied most of the time. As we will see in Section 4, a stronger statement holds when we restrict to β -expansions.

REMARK 3.2. References [DK, K1, K2, KL] all consider homogeneous IFS's. We however allow the similarity ratios to be different. Another advantage of our method is that we can find the forbidden blocks quickly and uniformly.

REMARK 3.3. The method used in Theorem 2.4 cannot easily be implemented when K is not an interval. The key difficulty is that when we construct the neighborhoods of a_k and b_k , their images may not be mapped into $\bigcup_{k=1}^s H_k$ by the same maps that worked for a_k and b_k .

REMARK 3.4. In higher dimensions we can prove an analogous result. The proof requires a minor modification; the main ideas are outlined in the final section. For self-affine sets which are simple sets, for instance, rectangles, cubes (see the definition of self-affine sets in [F]), our theorem still holds. However, in this case we do not know whether an analogue of Corollary 3.1 is true.

Using a similar idea to the proof of Theorem 2.4 we give a simple proof of the following interesting result.

THEOREM 3.5. *If our attractor K is an interval, then U is closed if and only if \tilde{U} is a subshift of finite type.*

Proof. If \tilde{U} is a subshift of finite type, then \tilde{U} is closed as the forbidden blocks cannot appear in the limit of sequences of \tilde{U} . Hence U is also closed due to the fact that U is homeomorphic to \tilde{U} .

Conversely, suppose U is closed, or equivalently U^c is open. For each interval H_k the endpoints a_k and b_k are in U^c . It follows that there exist $\delta_{a_k}, \delta_{b_k} > 0$ such that $(a_k - \delta_{a_k}, a_k) \subset U^c$ and $(b_k, b_k + \delta_{b_k}) \subset U^c$. The remaining proof, i.e., finding the forbidden blocks, is the same as the proof of Theorem 2.4. ■

This theorem generalises Komornik and de Vries' statement; see the corresponding equivalent statement in [dVK, Theorem 1.8]. Moreover, in higher dimensions a similar result still holds.

4. β -Expansions case. In this section we restrict to β -expansions and give an alternative method for determining the subshift of finite type representation of \tilde{U} . Firstly, we recall the relevant IFS for studying β -expansions:

given $\beta > 1$ define

$$g_j(x) = \frac{x + j}{\beta}, \quad j \in \{0, \dots, \lceil \beta \rceil - 1\}.$$

The self-similar set for this IFS is the interval $\mathcal{A}_\beta = [0, (\lceil \beta \rceil - 1)(\beta - 1)^{-1}]$.

We now define greedy and lazy expansions.

DEFINITION 4.1. The *greedy map* $G : \mathcal{A}_\beta \rightarrow \mathcal{A}_\beta$ is defined by

$$G(x) = \begin{cases} \beta x \bmod 1, & x \in [0, 1), \\ \beta x - \lceil \beta \rceil, & x \in [1, \frac{\lceil \beta \rceil - 1}{\beta - 1}]. \end{cases}$$

For any $n \geq 1$ and $x \in \mathcal{A}_\beta$, we define $a_n(x) = \lfloor \beta G^{n-1}(x) \rfloor$, where $\lfloor y \rfloor$ denotes the integer part of $y \in \mathbb{R}$. We then have

$$\begin{aligned} x &= \frac{a_1(x)}{\beta} + \frac{G(x)}{\beta} = \frac{a_1(x)}{\beta} + \frac{a_2(x)}{\beta^2} + \frac{G^2(x)}{\beta^2} = \dots \\ &= \sum_{n=1}^{\infty} \frac{a_n(x)}{\beta^n}. \end{aligned}$$

The sequence $(a_n)_{n=1}^{\infty} \in \{0, \dots, \lceil \beta \rceil - 1\}^{\mathbb{N}}$ generated by G is called the *greedy expansion* or *greedy coding*. The orbit $\{G^n(x)\}_{n=1}^{\infty}$ is called the *greedy orbit* of x .

Similarly, we define the lazy map and the corresponding lazy expansion as follows.

DEFINITION 4.2. The *lazy map* $L : \mathcal{A}_\beta \rightarrow \mathcal{A}_\beta$ is defined by

$$L(x) = \begin{cases} \beta x, & x \in [0, \frac{\lceil \beta \rceil - 1}{\beta(\beta - 1)}], \\ \beta x - b_j, & x \in \left(\frac{\lceil \beta \rceil - 1}{\beta(\beta - 1)} + \frac{b_j - 1}{\beta}, \frac{\lceil \beta \rceil - 1}{\beta(\beta - 1)} + \frac{b_j}{\beta} \right] \text{ for } b_j \in \{1, \dots, \lceil \beta \rceil\}. \end{cases}$$

Here b_j is an element of our set of digits. By Lemma 2.1, for each $x \in \mathcal{A}_\beta$ we can generate a β -expansion for x by iterating L . The β -expansion generated by L is called the *lazy expansion* of x . The orbit $\{L^n(x)\}_{n=1}^{\infty}$ is called the *lazy orbit* of x .

Given $i \in \{0, \dots, \lceil \beta \rceil - 1\}$ it is a simple calculation to show that $g_i(\mathcal{A}_\beta) \cap g_j(\mathcal{A}_\beta) \neq \emptyset$ if and only if $j = i - 1, i, i + 1$, in which case the non-trivial switch regions are of the form

$$S_l = \left[\frac{l}{\beta}, \frac{\lceil \beta \rceil - 1}{\beta(\beta - 1)} + \frac{l - 1}{\beta} \right]$$

for some $1 \leq l \leq \lceil \beta \rceil - 1$. We remark that the greedy and lazy maps only differ on the intervals S_l . Clearly an $x \in \mathcal{A}_\beta$ is a univoque point if and only if it is never mapped into an interval S_l . This implies the following important technical result.

PROPOSITION 4.3. *Given $x \in K$, we have $x \in U$ if and only if its greedy and lazy expansions coincide.*

This simple observation will be a powerful tool: it allows us to give a lexicographic characterisation of \tilde{U} which will help us determine our subshift of finite type representation.

Each element of $U \setminus \{0, ([\beta] - 1)(\beta - 1)^{-1}\}$ is eventually mapped into $[[\beta] - 1 - \beta)(\beta - 1)^{-1}, 1]$ by G and L (as by definition the orbits of G and L coincide for univoque points). Moreover, once inside this interval they are not mapped out [GS, p. 536]. Therefore, due to the countable stability of Hausdorff dimension [F, p. 32], to determine the Hausdorff dimension of U , we only need to find the Hausdorff dimension of $U \cap [[\beta] - 1 - \beta)(\beta - 1)^{-1}, 1]$. We denote $U \cap [[\beta] - 1 - \beta)(\beta - 1)^{-1}, 1]$ and $\pi^{-1}(U \cap [[\beta] - 1 - \beta)(\beta - 1)^{-1}, 1])$ by U_β and \tilde{U}_β respectively.

Let $(\alpha_n)_{n=1}^\infty$ be the greedy expansion of 1 and let $(\varepsilon_n)_{n=1}^\infty = (\overline{\alpha_n})_{n=1}^\infty = ([\beta] - 1 - \alpha_n)_{n=1}^\infty$. We are interested in giving conditions when \tilde{U}_β is a subshift of finite type. In this paper, we consider only the collection of β such that the greedy expansion of 1 is infinite. If the greedy expansion of 1 is finite, then \tilde{U}_β may not be a subshift of finite type, good examples being Tribonacci numbers [dVK, Theorems 1.2 and 1.5]. Let σ denote the usual shift map.

We now introduce the lexicographic ordering on infinite sequences: given $(a_n)_{n=1}^\infty, (b_n)_{n=1}^\infty \in \{0, \dots, [\beta] - 1\}^\mathbb{N}$ we say that $(a_n)_{n=1}^\infty < (b_n)_{n=1}^\infty$ if there exists $M \in \mathbb{N}$ such that $(a_1, \dots, a_M) = (b_1, \dots, b_M)$ and $a_{M+1} < b_{M+1}$. There also exists a lexicographic ordering on finite sequences, defined in the obvious way.

THEOREM 4.4. *If there exists $M \in \mathbb{N}$ such that $(\varepsilon_{M+n})_{n=1}^\infty > (\alpha_n)_{n=1}^\infty$ then \tilde{U}_β is a subshift of finite type. More specifically, there exists $p > M$ such that*

$$\tilde{U}_\beta = \{(d_n)_{n=1}^\infty : (\varepsilon_1, \dots, \varepsilon_p, ([\beta] - 1)^\infty) < \sigma^k((d_n)_{n=1}^\infty) < (\alpha_1, \dots, \alpha_p, (0)^\infty) \text{ for any } k \geq 0\}.$$

The hypothesis of Theorem 4.4 is in fact equivalent to that of Theorem 2.4. We omit the details of this equivalence, not to hinder our exposition. The spirit of this proof is similar to the proof of Theorem 2.4. Heuristically speaking, we are giving an equivalent argument but expressed in the language of sequences. When expressed in this language, the proof becomes more concise and provides a more efficient method for determining the set of forbidden words.

The following criterion of unique codings is pivotal. In fact, in [DK, K1, K2, KL], the approach strongly depends on this criterion.

THEOREM 4.5. *Let $(a_n)_{n=1}^\infty$ be a coding of $x \in [([\beta] - 1 - \beta)(\beta - 1)^{-1}, 1]$. Then $(a_n)_{n=1}^\infty \in \tilde{U}_\beta$ if and only if*

$$(\varepsilon_n)_{n=1}^\infty < \sigma^k((a_n)_{n=1}^\infty) < (\alpha_n)_{n=1}^\infty \quad \text{for any } k \geq 0.$$

This theorem is a corollary of [dVK, Theorem 1.1].

Proof of Theorem 4.4. From Theorem 4.5 we know that

$$\tilde{U}_\beta = \{(a_n)_{n=1}^\infty : (\varepsilon_n)_{n=1}^\infty < \sigma^k((a_n)_{n=1}^\infty) < (\alpha_n)_{n=1}^\infty \text{ for any } k \geq 0\}.$$

Let M be as in the statement of Theorem 4.4. There exists $p > M$ such that

$$(\varepsilon_{M+1}, \dots, \varepsilon_p) > (\alpha_1, \dots, \alpha_{p-M}).$$

Recall $(\varepsilon_n) = (\overline{\alpha_n})$, thus we equivalently have

$$(\varepsilon_1, \dots, \varepsilon_{p-M}) > (\alpha_{M+1}, \dots, \alpha_p).$$

We shall prove that $\tilde{U}_\beta = U'_\beta$ where

$$U'_\beta := \{(a_n)_{n=1}^\infty : (\varepsilon_1, \dots, \varepsilon_p, ([\beta] - 1)^\infty) < \sigma^k((a_n)_{n=1}^\infty) < (\alpha_1, \dots, \alpha_p, (0)^\infty) \text{ for any } k \geq 0\}.$$

By Theorem 4.5 we have $U'_\beta \subseteq \tilde{U}_\beta$, therefore it suffices to prove the opposite inclusion.

Let $(a_n)_{n=1}^\infty \in \tilde{U}_\beta$ and assume that $(a_n)_{n=1}^\infty \notin U'_\beta$. Therefore, we have $\sigma^{k_0}((a_n)_{n=1}^\infty) \geq (\alpha_1, \dots, \alpha_p, (0)^\infty)$ or $(\varepsilon_1, \dots, \varepsilon_p, ([\beta] - 1)^\infty) \geq \sigma^{k_0}((a_n)_{n=1}^\infty)$ for some $k_0 \geq 0$. But this is not possible: if, e.g., $(\varepsilon_1, \dots, \varepsilon_p, ([\beta] - 1)^\infty) \geq \sigma^{k_0}((a_n)_{n=1}^\infty)$ then $(a_{k_0+1}, \dots, a_{k_0+p}) = (\varepsilon_1, \dots, \varepsilon_p)$ since $(a_n)_{n=1}^\infty \in \tilde{U}_\beta$. Hence,

$$(a_{k_0+M+1}, \dots, a_{k_0+p}) = (\varepsilon_{M+1}, \dots, \varepsilon_p) > (\alpha_1, \dots, \alpha_{p-M}),$$

which contradicts $(a_n)_{n=1}^\infty \in \tilde{U}_\beta$. The other case is proved similarly. Hence we may conclude that $\tilde{U}_\beta \subseteq U'_\beta$. ■

REMARK 4.6. Theorem 4.4 implies that when the greedy orbit of 1 falls into the switch region, then \tilde{U}_β is a subshift of finite type. This theorem is a little weaker than Komornik and de Vries' statement [dVK, Theorem 1.8]. However, we can find the forbidden blocks more quickly. It is not necessary to use Theorem 4.5 to find the subshift of finite type, while Komornik and de Vries' method depends on it. We have proved in Theorem 2.4 that for self-similar sets a similar idea still works. Moreover, we have mentioned in Theorem 3.5 that U is closed if and only if \tilde{U} is a subshift of finite type. Thus Theorem 2.4 can be interpreted as a generalisation of Komornik and de Vries' result to the setting of self-similar sets.

REMARK 4.7. In [K2], Kallós used similar ideas to prove a similar theorem. However, the argument in the proof of Theorem 4.4 may not be applied in other complicated settings, as generally we cannot find a criterion for unique codings in terms of a symbolic representation.

In the setting of β -expansions, let

$$A = \{\beta \in (1, \infty) : \text{the expansion of } 1 \text{ is unique}\}.$$

Schmeling [S] proved the Lebesgue measure of A is zero. In fact he proved a much stronger result which implies the following corollary.

COROLLARY 4.8. *For almost every $\beta \in (1, \infty)$ the hypotheses of Theorem 4.4 are satisfied.*

This should be compared with Corollary 3.1. Unlike that result, Corollary 4.8 allows us to conclude that we can apply Theorem 4.4 for a Lebesgue generic parameter in an appropriate parameter space.

5. Hausdorff dimension of univoque set

5.1. Graph-directed self-similar sets. Before demonstrating how to calculate the dimension of a univoque set, we introduce the notion of a graph-directed self-similar set. The terminology we use is taken from [MW].

A *graph-directed construction* in \mathbb{R} consists of the following:

1. A finite union $\bigcup_{u=1}^n J_u$ of bounded closed intervals such that the J_u are pairwise disjoint.
2. A directed graph $G = (V, E)$ with vertex set $V = \{1, \dots, n\}$ and edge set E . Moreover, we assume that for any $u \in V$ there is some $v \in V$ such that $(u, v) \in E$.
3. For each edge $(u, v) \in E$ there exists a similitude $f_{u,v}(x) = r_{uv}x + a_{uv}$, where $r_{uv} \in (0, 1)$ and $a_{uv} \in \mathbb{R}$. Moreover, for each $u \in V$ the set $\{f_{u,v}(J_v) : (u, v) \in E\}$ satisfies the *strong separation condition*, i.e.,

$$\bigcup_{(u,v) \in E} f_{u,v}(J_v) \subseteq J_u,$$

and the elements of $\{f_{u,v}(J_v) : (u, v) \in E\}$ are pairwise disjoint.

As is the case for self-similar sets, we have the following result.

THEOREM 5.1. *For each graph-directed construction, there exists a unique vector of non-empty compact sets (C_1, \dots, C_n) such that, for each $u \in V$, $C_u = \bigcup_{(u,v) \in E} f_{u,v}(C_v)$.*

We let $K^* := \bigcup_{u=1}^n C_u$ and call it the *graph-directed self-similar set* of this construction. To each graph-directed construction we can associate a weighted incidence matrix A . This matrix is defined by $A = (r_{u,v})_{(u,v) \in V \times V}$; for simplicity, we assume that $r_{u,v} = 0$ if $(u, v) \notin E$. For each $t \geq 0$ we

define another adjacency matrix $A^t = (a_{t,u,v})_{(u,v) \in V \times V}$, where $a_{t,u,v} = r_{u,v}^t$. Let $\Phi(t)$ denote the largest non-negative eigenvalue of A^t . A graph is *strongly connected* if for any two vertices $u, v \in V$, there exists a directed path from u to v . A *strongly connected component* of G is a subgraph C of G such that C is strongly connected; let $\text{SC}(G)$ be the set of all strongly connected components of G . Now we state the main result of [MW].

THEOREM 5.2. *For every graph-directed construction such that G is strongly connected, the Hausdorff dimension of K^* is t_0 , where t_0 is uniquely defined by $\Phi(t_0) = 1$.*

If the graph-directed construction G is not strongly connected, we still have a similar result. As is well known, a directed graph G must have a strongly connected component (see [LM, Section 4.4]). In this case the following theorem makes sense.

THEOREM 5.3. *If the G in our graph-directed construction is not strongly connected, let $t_1 = \max\{t_C : \Phi(t_C) = 1, C \in \text{SC}(G)\}$, where $\Phi(t_C)$ is the largest eigenvalue of the adjacency matrix of the strongly connected subgraph C . Then $\dim_H(K^*) = t_1$.*

Proof. We can decompose G into several subgraphs which are each strongly connected. Then the theorem holds due to Theorem 5.2 and the countable stability of Hausdorff dimension. ■

5.2. Calculating the dimension of a univoque set. Now we show how to construct a graph-directed self-similar set using the subshift of finite type representation of \tilde{U} obtained in Theorem 2.4. As we will see, in this case, the graph-directed self-similar set K^* mentioned above will in fact equal U .

Recall the projection map $\pi : \{1, \dots, m\}^{\mathbb{N}} \rightarrow K$ is defined by

$$\pi((i_n)_{n=1}^{\infty}) = \lim_{n \rightarrow \infty} f_{i_1} \circ \dots \circ f_{i_n}(0).$$

We use the same notation as in the proof of Theorem 2.4. Let \mathbb{F} be the set of finite forbidden blocks and $W = \{1, \dots, m\}^L \setminus \mathbb{F}$. The set of vertices in our directed graph will be

$$V = \{(a_1, \dots, a_{L-1}) \in \{1, \dots, m\}^{L-1} : \text{there exists } a_L \in \{1, \dots, m\} \\ \text{such that } (a_1, \dots, a_{L-1}, a_L) \in W\}.$$

We now define our edges. For any two vertices $u, v \in V$, $u = (u_1, \dots, u_{L-1})$, $v = (v_1, \dots, v_{L-1})$, we draw an edge from u to v , and label this edge (u, v) , if $(u_2, \dots, u_{L-1}) = (v_1, \dots, v_{L-2})$ and $(u_1, \dots, u_{L-1}, v_{L-1}) \in W$. Here we should note that the vertices u, v which are from V are blocks, while in the definition of a graph-directed construction, u and v refer to integers.

Now we have defined our edges and hence we have constructed a directed graph $G = (V, E)$. If there exists a vertex $u \in V$ for which there is no $v \in V$ satisfying $(u, v) \in E$, then we remove u from our vertex set. Removing this u does not change any of the results above, so without loss of generality we may assume that for every $u \in V$ there exists $v \in V$ for which (u, v) is an allowable edge. This verifies item 2 in the above definition of a graph-directed construction.

Before showing that items 1 and 3 are satisfied, we recall an important result from [LM]. We define an infinite path in our graph G to be a sequence $((u^n, v^n))_{n=1}^\infty \in E^\mathbb{N}$ such that $v^n = u^{n+1}$ for all $n \in \mathbb{N}$, where $u^n = u_1^n \cdots u_{L-1}^n$. Define

$$X_G := \{(y_n)_{n=1}^\infty \in \{1, \dots, m\}^\mathbb{N} : \text{there exists an infinite path } ((u^n, v^n))_{n=1}^\infty \in E^\mathbb{N} \text{ such that } y_n = u_1^n \text{ for all } n \in \mathbb{N}\}.$$

Theorem 2.3.2 of [LM] states the following.

THEOREM 5.4. *Let G be the directed graph as constructed above. Then $\tilde{U} = X_G$.*

We define

$$K_u := \{x = \pi((d_n)_{n=1}^\infty) : d_i = u_i \text{ for } 1 \leq i \leq L - 1 \text{ and } (d_n)_{n=1}^\infty \in \tilde{U}\},$$

$$J_u := \text{conv}(K_u).$$

Here $u = (u_1, \dots, u_{L-1}) \in V$ and $\text{conv}(\cdot)$ denotes convex hull.

LEMMA 5.5. *Let $u, v \in V$ and $u \neq v$. Then $J_u \cap J_v = \emptyset$.*

Proof. Since J_u and J_v are the convex hulls of K_u and K_v respectively, they are both intervals. We assume that $J_u = [c, d]$ and $J_v = [e, f]$. As K_u is compact, the endpoints of J_u are elements of K_u . Similarly, $e, f \in K_v$. Now we prove that $[c, d] \cap [e, f] = \emptyset$.

If $[c, d]$ and $[e, f]$ intersect in a point then this point must be an endpoint. Without loss of generality assume $d = e$; then $d \in K_u \cap K_v$. However, $K_u \subset U$ and we have a contradiction as $u \neq v$.

Now let us assume J_u and J_v intersect in an interval. Without loss of generality, we assume that $c < e < d$. Since e is a univoque point in K_v , we know by Proposition 2.2 that there exists a unique sequence of T_j 's of length $L - 1$ that map e into K . As $e \in K_v$ this sequence of transformations must be $T_{v_1 \dots v_{L-1}}$. By our assumption $c < e < d$, therefore by the monotonicity of the maps T_j , we have $T_{u_1 \dots u_{L-1}}(c) < T_{u_1 \dots u_{L-1}}(e) < T_{u_1 \dots u_{L-1}}(d)$. Both $T_{u_1 \dots u_{L-1}}(c), T_{u_1 \dots u_{L-1}}(d)$ are in K , but as K is an interval this implies $T_{u_1 \dots u_{L-1}}(e) \in K$, a contradiction. ■

By Lemma 5.5 we can take $\{J_u\}_{u \in V}$ to be the set of bounded closed intervals required in item 1 of the definition of a graph-directed construction.

It remains to prove item 3. First of all we define our similitudes: given an edge $(u, v) \in E$ we define $f_{uv}(x) = r_{u_1}x + a_{u_1}$. The following lemma proves that item 3 is indeed satisfied.

LEMMA 5.6. *Fix $u \in V$. Then*

$$\bigcup_{(u,v) \in E} f_{uv}(J_v) \subseteq J_u$$

and $f_{uv}(J_v) \cap f_{u'v'}(J_{v'}) = \emptyset$ for all distinct pairs of edges.

Proof. For the first statement, it is sufficient to prove

$$\bigcup_{(u,v) \in E} f_{uv}(K_v) \subseteq K_u.$$

Suppose $(u, v) \in E$ and $x = f_{uv}(y)$ where $y \in K_v$. Let $(y_n)_{n=1}^\infty \in \tilde{U}$ be the unique coding of y . By Theorem 5.4 we know that $(y_n)_{n=1}^\infty \in X_G$. Let $(x_n)_{n=1}^\infty$ be such that $x_1 = u_1$ and $x_i = y_{i-1}$ for $i \geq 2$. Then $(x_n)_{n=1}^\infty$ is a coding of x . Since $(u, v) \in E$ we have $(u_2, \dots, u_{L-1}) = (v_1, \dots, v_{L-2})$. Moreover, as $(u, v) \in E$ and $(y_n)_{n=1}^\infty \in X_G$, we see that $(x_n)_{n=1}^\infty \in X_G$. Using Theorem 5.4 again we know that $(x_n)_{n=1}^\infty \in \tilde{U}$, which combined with the observation $(x_1, \dots, x_{L-1}) = (u_1, \dots, u_{L-1})$ implies $x \in K_u$.

The second statement is an immediate consequence of Lemma 5.5 and the fact that our similitudes are bijections from \mathbb{R} to \mathbb{R} that do not depend on v . ■

We have verified all of the criteria for a graph-directed construction and may therefore conclude that Theorem 5.1 holds. We now show that for our graph construction, $K^* = U$. We begin by proving that the K_u 's are precisely the C_u 's in Theorem 5.1.

LEMMA 5.7. *For each $u \in V$ we have $K_u = \bigcup_{(u,v) \in E} f_{uv}(K_v)$.*

Proof. Let $x \in K_u$ and $(x_n)_{n=1}^\infty$ be the unique coding for x . Then $x_n = u_n$ for $1 \leq n \leq L - 1$. Let

$$v = (v_1, \dots, v_{L-1}) = (x_2, \dots, x_L) = (u_2, \dots, u_{L-1}, x_L).$$

By Theorem 5.4 we have $(x_n)_{n=1}^\infty \in X_G$. Therefore $v \in V$ and $(u, v) \in E$. Let $y \in K$ have coding $(x_{n+1})_{n=1}^\infty \in X_G$; by Theorem 5.4 we know that $(x_{n+1})_{n=1}^\infty \in \tilde{U}$. As $(x_2, \dots, x_L) = (v_1, \dots, v_{L-1})$ we can deduce that $y \in K_v$. As $f_{uv}(y) = x$ we have shown that $K_u \subseteq \bigcup_{(u,v) \in E} f_{uv}(K_v)$. The inverse inclusion is proved in Lemma 5.6. ■

By the uniqueness part of Theorem 5.1 we may conclude from Lemma 5.7 that the set $\bigcup_{u=1}^n C_u$ in the statement equals $\bigcup_{u \in V} K_u$. The fact that $U = \bigcup_{u \in V} K_u$ is immediate from the definition of K_u . Hence $U = K^*$ and is the graph-directed self-similar set for our construction. Therefore, Theorems

5.2 and 5.3 apply and we use them to calculate the Hausdorff dimension of U . We include an explicit calculation in Section 6.

Now we give a final remark to finish this section. In [KL], Kong and Li proved the following interesting result.

THEOREM 5.8. *There exist intervals for which the function mapping β to the Hausdorff dimension of the univoque set is strictly decreasing.*

This result is somewhat counterintuitive. As β gets larger, the corresponding switch regions shrink. Therefore, one might expect that the set of points whose orbits avoid the switch regions, i.e. the univoque set, would be larger. However, Theorem 5.8 shows that in terms of Hausdorff dimension this is not always the case.

A similar idea to the proof of Theorem 2.4 allows us to recover Theorem 5.8 quickly. We only give an outline of this argument. A straightforward manipulation of the formulas given in Theorems 5.2 and 5.3 yields $\dim_H(U_\beta) = \log \lambda / \log \beta$, where λ is the largest eigenvalue of the transition matrix defining our subshift of finite type. Using similar ideas to those given in the proof of Theorem 2.4, we can show that if β satisfies the hypothesis of that theorem, then the hypothesis is also satisfied for β' sufficiently close to β . Moreover, a more delicate argument implies that for β' sufficiently close to β , the set of forbidden words for β' equals the set of forbidden words for β . In other words, the subshift of finite type defining the univoque set for β' equals the subshift of finite type defining the univoque set for β . The assertion that $\dim_H(U_\beta)$ is decreasing on some sufficiently small interval containing β now follows from the formula stated above.

6. An example. In this section, we give an example to show how to calculate the dimension of a univoque set.

EXAMPLE 6.1. Let $[0, \frac{1}{\beta-1}]$ be the self-similar set with IFS $\{f_0(x), f_1(x)\}$ where

$$f_0(x) = \frac{x}{\beta}, \quad f_1(x) = \frac{x+1}{\beta}.$$

Let β^* be the unique $\beta \in (1, 2)$ satisfying the equation $(111(00001)^\infty)_\beta = 1$. In this case $\beta^* \approx 1.84$. We now calculate $\dim_H(U_{\beta^*})$.

The greedy expansion of 1 in this base is $(\alpha_n)_{n=1}^\infty = (111(00001)^\infty)$. We observe that $(\varepsilon_4, \varepsilon_5, \varepsilon_6, \varepsilon_7) > (\alpha_1, \alpha_2, \alpha_3, \alpha_4)$, so by Theorem 4.4 we deduce that \tilde{U} is given by a subshift of finite type. Moreover, in the statement of Theorem 4.4 we can take $p = 7$. We now construct the relevant directed graph. In this case our set W is

$$W = \{(a_1, \dots, a_7) : (0001111) < (a_1, \dots, a_7) < (1110000)\};$$

moreover the set of vertices equals

$$V = \{(a_1, \dots, a_6) : (000111) < (a_1, \dots, a_6) < (111000)\}.$$

We now construct the edge set in accordance with the construction given in Section 5.2. In total there are 26 vertices:

$$\begin{aligned} v_1 &= (001001), & v_2 &= (001010), & v_3 &= (001011), & v_4 &= (001100), \\ v_5 &= (001101), & v_6 &= (010010), & v_7 &= (010011), & v_8 &= (010100), \\ v_9 &= (010101), & v_{10} &= (010110), & v_{11} &= (011001), & v_{12} &= (011010), \\ v_{13} &= (011011), & v_{14} &= (100100), & v_{15} &= (100101), & v_{16} &= (100110), \\ v_{17} &= (101001), & v_{18} &= (101010), & v_{19} &= (101011), & v_{20} &= (101100), \\ v_{21} &= (101101), & v_{22} &= (110010), & v_{23} &= (110011), & v_{24} &= (110100), \\ v_{25} &= (110101), & v_{26} &= (110110). \end{aligned}$$

We now follow Mauldin and William’s approach and construct a 26×26 matrix $(A_{i,j})$, where $A_{i,j} = 1/(\beta^*)^t$ if there is an edge from vertex v_i to v_j , otherwise $A_{i,j} = 0$. A computer calculation then yields $\dim_H(U_{\beta^*}) \approx 0.79$.

7. Final remark. We mentioned in Remark 3.4 that the main idea of Theorem 2.4 is still effective in higher dimensions. To conclude we give a brief outline of the argument required.

First of all assume that our attractor $K \subset \mathbb{R}^d$ is some sufficiently nice set, i.e. a rectangle, cube, or polyhedron. In this case the switch regions are also nice sets. We assume that every point on the boundary of the switch regions is mapped into the interior of a switch region. An analogue of Corollary 3.1 holds in higher dimensions, and so we expect this assumption to hold generically. As a consequence of this construction we can enlarge the switch region and not change the univoque set. A similar argument to that given in the proof of Theorem 2.4 shows that if we enlarge the switch region in a very careful manner, the points that never map into the switch region are precisely those whose codings avoid a finite set of forbidden words. Therefore the set of codings of univoque points is a subshift of finite type.

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