Adding machines, endpoints, and inverse limit spaces

by

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Abstract. Let f be a unimodal map in the logistic or symmetric tent family whose restriction to the omega limit set of the turning point is topologically conjugate to an adding machine. A combinatoric characterization is provided for endpoints of the inverse limit space (I, f), where I denotes the core of the map.

1. Introduction. The topological structure of inverse limit spaces with unimodal bonding maps has received great interest in recent years. Ingram's Conjecture, dating to the early 1990's, states that inverse limit spaces (I, f) and (I, g) are not topologically homeomorphic when f and g are distinct symmetric tent maps [8]. Kailhofer [10] first proved the conjecture in the case where the turning point is periodic. Štimac [13] then provided a proof when the turning point is of finite order, and later Raines and Štimac [12] proved the conjecture when the turning point is non-recurrent. Most recently, Barge, Bruin and Štimac [1] established Ingram's Conjecture.

An investigation of inverse limit spaces often involves endpoints, as they are a topological invariant. Barge and Martin [2] provide a topological characterization of endpoints for inverse limit spaces (I, f) where f is a continuous self-map of a closed interval. A characterization with both a combinatoric and analytic component is provided by Bruin [6] when f is unimodal and the turning point is not periodic. The combinatoric component uses the kneading sequence of the bonding map f. In this paper we establish that the combinatoric component of Bruin's characterization suffices in the case where f is unimodal and $f|_{\omega(c)}$ is topologically conjugate to an adding machine.

Given a unimodal map f with recurrent turning point c, set

$$\mathcal{E} = \{ x = (x_0, x_1, \ldots) \in (I, f) \mid x_i \in \omega(c) \text{ for all } i \in \mathbb{N} \}.$$

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It is not difficult to show that the set of endpoints of (I, f) is contained in \mathcal{E} . Here I is understood to be the "core" of the map f. There are no endpoints in the case where c is not recurrent with the exception of the symmetric tent or logistic map with kneading sequence 10^{∞} [2]. Let \mathcal{A} denote the collection of unimodal maps f where $f|_{\omega(c)}$ is topologically conjugate to an adding machine. In the case where $f \in \mathcal{A}$ is infinitely renormalizable, we use our characterization to establish that \mathcal{E} is precisely the collection of endpoints of (I, f). In addition, using our characterization, we prove there exist symmetric tent maps $f \in \mathcal{A}$ for which the collection of endpoints of (I, f) is a proper subset of \mathcal{E} .

Given an infinitely renormalizable map f in the logistic family with turning point c it is known that $f|_{\omega(c)}$ is topologically conjugate to an adding machine; see for example [11, Proposition III.4.5]. It was unknown whether adding machines could be embedded in the non-infinitely renormalizable case until 2006 when Block et al. [4] proved that adding machines can be embedded in symmetric tent maps. Indeed these embeddings occur for a dense set of parameters in the interval [1,2]. Adding machines embedded in non-infinitely renormalizable unimodal maps are called *strange adding machines* (SAM) [4].

In [4] an SAM scheme is defined and this SAM scheme is used to show the existence of adding machines embedded in maps from the symmetric tent family. It is precisely the symmetric tent maps obtained with an SAM scheme for which we prove that \mathcal{E} contains non-endpoints of (I, f). In contrast, as noted above, we prove that if f is an infinitely renormalizable logistic map, then \mathcal{E} is precisely the collection of endpoints of (I, f). An alternative proof for the latter is provided in [9]; however the approach does not lend itself to investigating the SAM setting.

It is known that if $f:[0,1] \to [0,1]$ is unimodal with a closed invariant subset X such that $f|_X$ is topologically conjugate to an adding machine, then the turning point c is in X (for a short proof see [4]). Hence in searching for adding machines embedded in unimodal maps, it suffices to consider $f|_{\omega(c)}$. A set $S \subset [0,1]$ is said to *straddle* c if S contains points lying on both sides of c but does not contain c. An SAM scheme produces a set that straddles c and it is precisely this set that we exploit to establish that \mathcal{E} contains non-endpoints in the symmetric tent case.

Throughout this note it is assumed that all maps are unimodal, have no wandering intervals, and have no attracting periodic orbits. We note that if such a unimodal map f is not renormalizable, then f is topologically conjugate to a symmetric tent map. In the renormalizable case, f may be taken to be in the logistic family [5].

2. Background

2.1. Unimodal maps. A continuous function $f : [0,1] \to [0,1]$ for which there exists a point $c \in (0,1)$ such that $f|_{[0,c]}$ is strictly increasing and $f|_{[c,1]}$ is strictly decreasing is called *unimodal*. This point c is called the *turning point* and we set $c_i = f^i(c)$ for all $i \in \mathbb{N}$. Examples of unimodal maps include symmetric tent and logistic maps. The symmetric tent map $T_a: [0,1] \to [0,1]$ with $a \in [0,2]$ is given by

$$T_a(x) = \begin{cases} ax & \text{if } x \le 1/2, \\ a(1-x) & \text{if } x \ge 1/2. \end{cases}$$

The logistic map $g_a : [0,1] \to [0,1]$ with $a \in [0,4]$ is defined by $g_a(x) = ax(1-x)$.

Given a unimodal map f and $x \in [0, 1]$, the *itinerary* of x under f is given by $I(x) = I_0I_1I_2\cdots$ where $I_j = 1$ if $f^j(x) > c$, $I_j = 0$ if $f^j(x) < c$, and $I_j = *$ if $f^j(x) = c$. We make the convention that the itinerary stops after the first * appears, and hence if $f^n(x) \neq c$ for all n, then I(x) is infinite. The *kneading sequence* of a map f, denoted $\mathcal{K}(f)$, is the sequence I(f(c)). For ease of notation we set $\mathcal{K}(f) = e_1e_2e_3\cdots$, and therefore e_i indicates the position of c_i relative to c. For $x \in [0, 1]$ we let $\omega(x, f) = \omega(x) = \{y \in [0, 1] \mid$ there exists $n_1 < n_2 < \cdots$ with $f^{n_i}(x) \to y\}$ denote the omega limit set of x under f.

A unimodal map f (with turning point c) is renormalizable provided there exists a restrictive interval $J \ni c$ and an $n \ge 2$ such that $f^n(J) \subset J$ and $f^n|_J$ is again unimodal. If we may repeat this process infinitely often, we say the map f is infinitely renormalizable. We note that every tent map T_a with $a \in (1, \sqrt{2}]$ is renormalizable, and every tent map T_a with $a \in (\sqrt{2}, 2]$ is non-renormalizable; no map in the tent family is infinitely renormalizable. A unimodal map f is locally eventually onto (leo) provided that for every $\epsilon > 0$ there exists $M \in \mathbb{N}$ such that, if U is an interval with $|U| > \epsilon$ and if $n \ge M$, then $f^n(U) = [c_2, c_1]$. In the case where $a \in (\sqrt{2}, 2]$, T_a is leo; moreover, any renormalizable map is not leo.

Throughout this paper we use the notation $\langle a; b \rangle$ to denote the interval with endpoints a and b when it is unclear whether a < b or b < a. For each set S, the convex hull is denoted [S].

2.2. Adding machines. Let $\alpha = \langle q_1, q_2, \ldots \rangle$ be a sequence of integers where each $q_i \geq 2$. Define Δ_{α} to be the set of all sequences (a_1, a_2, \ldots) such that $0 \leq a_i \leq q_i - 1$ for each *i*. Apply the metric d_{α} to Δ_{α} by

$$d_{\alpha}((x_1, x_2, \ldots), (y_1, y_2, \ldots)) = \sum_{i=1}^{\infty} \frac{\delta(x_i, y_i)}{2^i}$$

where $\delta(x_i, y_i) = 0$ if $x_i = y_i$ and $\delta(x_i, y_i) = 1$ otherwise. Addition on Δ_{α} is defined as follows. Set

$$(x_1, x_2, \ldots) + (y_1, y_2, \ldots) = (z_1, z_2, \ldots)$$

where $z_1 = x_1 + y_1 \mod q_1$, and for each $j \ge 2$, $z_j = x_j + y_j + r_{j-1} \mod q_j$ with $r_{j-1} = 0$ if $x_{j-1} + y_{j-1} + r_{j-2} < q_{j-1}$ and $r_{j-1} = 1$ otherwise (we set $r_0 = 0$). Define $f_\alpha : \Delta_\alpha \to \Delta_\alpha$ by

$$f_{\alpha}((x_1, x_2, \ldots)) = (x_1, x_2, x_3, \ldots) + (1, 0, 0, \ldots).$$

The dynamical system $f_{\alpha} : \Delta_{\alpha} \to \Delta_{\alpha}$ is the α -adic adding machine map. Note that f_{α} is one-to-one and onto.

The following theorem provides a characterization for when a continuous map on a compact topological space is topologically conjugate to an adding machine. See also [7].

THEOREM 2.1 ([3, Theorem 2.3]). Let $\alpha = \langle j_1, j_2, \ldots \rangle$ be a sequence of integers with $j_i \geq 2$ for each *i*. Let $m_i = j_1 j_2 \cdots j_i$ for each *i*. Let $f : X \to X$ be a continuous map of a compact topological space X. Then f is topologically conjugate to f_{α} if and only if the following hold:

- (1) For each positive integer *i*, there is a cover \mathcal{P}_i of X consisting of m_i pairwise disjoint, nonempty, clopen sets which are cyclically permuted by *f*.
- (2) For each positive integer i, \mathcal{P}_{i+1} partitions \mathcal{P}_i .
- (3) If mesh (\mathcal{P}_i) denotes the maximum diameter of an element of the cover \mathcal{P}_i , then mesh $(\mathcal{P}_i) \to 0$ as $i \to \infty$.

The following theorem from [4] proves the existence of SAMs.

THEOREM 2.2 ([4, Theorem 3.1]). Let $\alpha = \langle p_1, p_2, \cdots \rangle$ be a sequence of integers greater than 1. The set of parameters s, such that for the tent map f_s the restriction of f_s to the closure of the orbit of c = 1/2 is topologically conjugate to $f_{\alpha} : \Delta_{\alpha} \to \Delta_{\alpha}$, is dense in $[\sqrt{2}, 2]$.

Given $f \in \mathcal{A}$, there exists a nested hierarchy $\mathcal{H} = \{\mathcal{P}_n \mid n \in \mathbb{N}\}$ of partitions of $\omega(c)$ satisfying conditions (1), (2), and (3) of Theorem 2.1. For ease of notation, the following hold for each $n \in \mathbb{N}$:

- The collection \mathcal{P}_n equals $\{P_{n,i}\}_{i=0}^{|\mathcal{P}_n|-1}$.
- The unique element of \mathcal{P}_n containing c is denoted $P_{n,0}$.
- For each $i = 1, \ldots, |\mathcal{P}_n| 1, P_{n,i} = f(P_{n,i-1}).$

Note that $f(P_{n,|\mathcal{P}_n|-1}) = P_{n,0}$ and $c_i \in P_{n,i}$ for each $i = 1, \ldots, |\mathcal{P}_n| - 1$.

A set $S \subset [0, 1]$ is said to *straddle* $x \in [0, 1]$ if S contains points lying on both sides of x but does not contain x.

PROPOSITION 2.3. Let $f \in \mathcal{A}$ be a symmetric tent map. Then for each $n \in \mathbb{N}$ the partition \mathcal{P}_n of $\omega(c)$ from \mathcal{H} contains an element straddling c.

Proof. It suffices to assume f is leo (i.e., has slope greater than $\sqrt{2}$). Fix $n \in \mathbb{N}$ and assume that no element of \mathcal{P}_n straddles c. Then for each $1 \leq i \leq |\mathcal{P}_n| - 1$, $[P_{n,i}]$ lies entirely on one side of c. Hence $f|_{[P_{n,i}]}$ is one-to-one and onto $[P_{n,i+1}]$ for each $1 \leq i \leq |\mathcal{P}_n| - 2$, and $f([P_{n,|\mathcal{P}_n|-1}]) = [P_{n,0}]$ in a one-to-one manner. Since $f([P_{n,0}]) = [P_{n,1}]$, it follows that $f^{k \cdot |\mathcal{P}_n|}([P_{n,0}]) = [P_{n,0}]$ for all $k \in \mathbb{N}$. As f is locally eventually onto, this is a contradiction. Thus every partition \mathcal{P}_n of $\omega(c)$ must contain an element straddling c.

In contrast to Proposition 2.3, given an infinitely renormalizable logistic map $f \in \mathcal{A}$ and a partition $\mathcal{P}_n \in \mathcal{H}$ the collection $\{[P_{n,k}]\}_{k=0}^{|\mathcal{P}_n|-1}$ of convex hulls is pairwise disjoint, i.e., no element from \mathcal{P}_n straddles c.

2.3. Inverse limit spaces. Given a continuum (compact connected metric space) I and a continuous map $f : I \to I$, the associated *inverse limit space* (I, f) is defined by

$$(I, f) = \{x = (x_0, x_1, \ldots) \mid x_n \in I \text{ and } f(x_{n+1}) = x_n \text{ for all } n \in \mathbb{N}\}$$

and has metric

$$d(x,y) = \sum_{i=0}^{\infty} \frac{|x_i - y_i|}{2^i}.$$

The map $\hat{f}: (I, f) \to (I, f)$ given by $\hat{f}((x_0, x_1, \ldots)) = (f(x_0), x_0, x_1, \ldots)$ is called the *induced homeomorphism* on (I, f). For $x \in (I, f), \pi_i(x) = x_i$ denotes the *i*th projection of x. The *backward itinerary* of a point $x \in (I, f)$ is defined coordinatewise by $\mathcal{I}_i(x)$, where $\mathcal{I}_i(x) = 1$ if $x_i > c$, $\mathcal{I}_i(x) = 0$ if $x_i < c$, and $\mathcal{I}_i(x) = *$ if $x_i = c$.

As in [6], for each $x \in (I, f)$ such that $x_i \neq c$ for all i > 0, set

$$\tau_R(x) = \sup\{n \ge 1 \mid \mathcal{I}_{n-1}(x)\mathcal{I}_{n-2}(x)\cdots\mathcal{I}_1(x) = e_1e_2\cdots e_{n-1} \text{ and} \\ \#\{1 \le i \le n \mid e_i = 1\} \text{ is even}\}$$

and

$$\tau_L(x) = \sup\{n \ge 1 \mid \mathcal{I}_{n-1}(x)\mathcal{I}_{n-2}(x)\cdots\mathcal{I}_1(x) = e_1e_2\cdots e_{n-1} \text{ and} \\ \#\{1 \le i \le n \mid e_i = 1\} \text{ is odd}\}.$$

Note that in general, $\tau_L(x)$ and/or $\tau_R(x)$ can be infinite. For each $x \in (I, f)$, set $\Gamma(x) = \{y \in (I, f) \mid \mathcal{I}_i(y) = \mathcal{I}_i(x) \text{ for all } i \geq 1\}.$

As we focus on unimodal bonding maps, our inverse limit spaces are atriodic (i.e., contain no homeomorphic copies of the letter Y); hence we may use the following definition. A point $x \in (I, f)$ is an *endpoint* of (I, f)provided for every pair A and B of subcontinua of (I, f) with $x \in A \cap B$, either $A \subset B$ or $B \subset A$. Barge and Martin characterize endpoints using the concept of ϵ -crooked. Namely, if $f : [c, d] \to [a, b]$ is a continuous surjection (not necessarily unimodal), $p \in [c, d]$, and $\epsilon > 0$, then f is ϵ -crooked with respect to p provided p does not separate $f^{-1}([a, a + \epsilon])$ from $f^{-1}([b - \epsilon, b])$ in [c, d].

THEOREM 2.4 ([2, Theorem 1.4]). Let I be a closed interval, and let $f: I \to I$ be continuous. Then $p = (p_0, p_1, ...)$ is an endpoint of (I, f) if and only if for each positive integer i, each interval J_i with $p_i \in int(J_i)$, and each $\epsilon > 0$, there is a positive integer N such that if $p_{i+N} \in J_{i+N}$ and $f^N(J_{i+N}) = J_i$, then f^N is ϵ -crooked with respect to p_{i+N} .

3. Endpoints and embedded adding machines. In Theorem 3.4 we characterize the endpoints of (I, f) where $f \in \mathcal{A}$. In Theorem 3.5 and Corollary 3.6 we precisely define the collection of all endpoints of (I, f) where f is an infinitely renormalizable logistic map. Here and throughout the remainder of the paper, I denotes the core $[c_2, c_1]$.

DEFINITION 3.1. For a unimodal map f, we define $\mathcal{E}_f := \{(x_0, x_1, \ldots) \in (I, f) \mid x_i \in \omega(c, f) \text{ for all } i \in \mathbb{N}\}$. When the map f is clearly understood in the context, we simply denote this set \mathcal{E} .

LEMMA 3.2. Let f be a unimodal map with $\mathcal{K}(f) \neq 10^{\infty}$ and suppose $x = (x_0, x_1, \ldots) \in (I, f) \setminus \mathcal{E}$. Then x is not an endpoint of (I, f).

Proof. If c is not recurrent and $\mathcal{K}(f) \neq 10^{\infty}$, then (I, f) has no endpoints. We thus assume that c is recurrent. Let $x \in (I, f) \setminus \mathcal{E}$. We may choose $i \in \mathbb{N}$ such that $x_i \notin \omega(c, f)$ and since c is recurrent we have $\{c_n \mid n \geq 0\} \subset \omega(c, f)$. Thus we may choose an interval J_i with x_i in the interior of J_i and such that $J_i \cap \omega(c, f) = \emptyset$. Fix $\epsilon > 0$ and $N \in \mathbb{N}$. Let $J_{i+N} \ni x_{i+N}$ be such that $f^N(J_{i+N}) = J_i$. Since $\{c_n \mid n \geq 0\} \cap J_i = \emptyset$, we see that $f^N|J_{i+N}$ is monotone and hence f^N is not ϵ -crooked with respect to x_{i+N} .

If no such J_{i+N} exists, use the continuity of f to obtain an interval $V \ni x_{i+N}$ such that $f^N(V) \subset J_i$. Set $J_i = f^N(V)$ and $J_{i+N} = V$. Then f^N maps J_{i+N} monotonically onto J_i . It follows from Theorem 2.4 that x is not an endpoint of (I, f).

Bruin [6] provides the following characterization for endpoints.

PROPOSITION 3.3 ([6, Proposition 2]). Let f be a unimodal map and $x \in (I, f)$ be such that $x_i \neq c$ for all $i \geq 0$. Then x is an endpoint of (I, f) if and only if $\tau_R(x) = \infty$ and $x_0 = \sup \pi_0(\Gamma(x))$ (or $\tau_L(x) = \infty$ and $x_0 = \inf \pi_0(\Gamma(x))$).

Theorem 3.4 demonstrates that fewer conditions suffice in the case where $f \in \mathcal{A}$.

THEOREM 3.4. Let $f \in \mathcal{A}$ and $x \in \mathcal{E}$ be such that $x_i \neq c$ for all $i \geq 0$. Then x is an endpoint of (I, f) if and only if $\tau_R(x) = \infty$ or $\tau_L(x) = \infty$. Proof. The forward direction follows immediately from Proposition 3.3. We thus assume that x is not an endpoint of (I, f). Then there exist subcontinua A and B of (I, f) such that $x \in A \cap B$, $A \setminus B \neq \emptyset$, and $B \setminus A \neq \emptyset$. For all i, we set $A_i = \pi_i(A)$ and $B_i = \pi_i(B)$. We set $J_i = A_i \cup B_i$ and since $x_i \in A_i \cap B_i$, it follows that J_i is an interval in I. Let $J_i = [a_i, b_i]$. Since $A \setminus B \neq \emptyset$ and $B \setminus A \neq \emptyset$, there exists an $i \in \mathbb{N}$ such that whenever $j \geq i$, then $A_j \setminus B_j \neq \emptyset$ and $B_j \setminus A_j \neq \emptyset$. Fix this particular $i \in \mathbb{N}$ and choose $\epsilon > 0$ small such that $[a_i, a_i + \epsilon]$ lies in one of $A_i \setminus B_i$ or $B_i \setminus A_i$ and $[b_i - \epsilon, b_i]$ lies in the other. Then for each $\alpha \in \mathbb{N}$, $f^{\alpha}(A_{i+\alpha}) = A_i$, $f^{\alpha}(B_{i+\alpha}) = B_i$, and $x_{i+\alpha} \in A_{i+\alpha} \cap B_{i+\alpha}$. Thus $x_{i+\alpha}$ separates $f^{-\alpha}([a_i, a_i + \epsilon])$ and $f^{-\alpha}([b_i - \epsilon, b_i])$ for all $\alpha \in \mathbb{N}$. That is, for each $\alpha \in \mathbb{N}$, $f^{\alpha} : J_{i+\alpha} \to J_i$ fails to be ϵ -crooked with respect to $x_{i+\alpha}$.

Let $\mathcal{H} = \{\mathcal{P}_m\}_{m \geq 1}$ be a nested hierarchy of partitions of $\omega(c)$. Because $\operatorname{mesh}(\mathcal{P}_m) \to 0$, there exists $N \in \mathbb{N}$ such that the element $P_{N,j}$ of \mathcal{P}_N containing x_i lies completely in $(a_i + \epsilon, b_i - \epsilon)$, and moreover $j \neq 0$.

Thus $x_{i+j} \in P_{N,0}$. Note that f^j is not monotone on $\langle x_{i+j}; c \rangle$. If f^j were monotone on that interval, then $f^j(J_{i+j} \setminus \langle c; x_{i+j} \rangle) = J_i$, since f^j is symmetric about c and $c_j \in (a_i + \epsilon, b_i - \epsilon)$. But then x_{i+j} would not separate $f^{-j}([a_i, a_i + \epsilon])$ and $f^{-j}([b_i - \epsilon, b_i])$, a contradiction.

Note that as $x_i \neq c_k$ for any $k \geq 0$, there exists M > N such that $x_i \notin P_{M,j}$ (else $x_i = c_j$). Hence we may always find M > N and k > j such that $x_i \in P_{M,k}$. Now suppose that \mathcal{P}_M is fixed with M > N and $x_i \in P_{M,k}$. Then we may find n > k such that whenever I(f(p)) begins $e_1 \cdots e_n$, then $p \in [P_{M,0}]$.

Suppose either $\tau_R(x) = \infty$ or $\tau_L(x) = \infty$. Then there exists L > n such that $\mathcal{I}_{L+i-1}(x) \cdots \mathcal{I}_i(x) = e_1 \cdots e_L$. Hence $x_{i+L} \in P_{M,0}$, $c_L \in P_{M,k}$, and f^L is monotone on $\langle x_{i+L}; c \rangle$. Consider J_{i+L} . If $J_{i+L} \ni c$, then the monotonicity of f^L on the interval $\langle x_{i+L}; c \rangle$ forces $f^L : J_{i+L} \to J_i$ to be ϵ -crooked with respect to x_{i+L} , a contradiction. If $c \notin J_{i+L}$, then as $x_{i+L} \in int(J_{i+L})$ and f^L maps $\langle x_{i+L}; c \rangle$ monotonically into $(a_i + \epsilon, b_i - \epsilon), f^L : J_{i+L} \to J_i$ will again be ϵ -crooked with respect to x_{i+L} . Hence $\tau_R(x) < \infty$ and $\tau_L(x) < \infty$.

In Theorem 3.4 we assume $x_i \neq c$ for all $i \geq 0$. In the case where $x_i = c$ for some i, we note that this can occur for at most one i, as c is nonperiodic. Hence if $x_i = c$ for some $i \geq 0$, set $y = \hat{f}^{-(i+1)}(x)$. Then x is an endpoint of (I, f) if and only if y is an endpoint of (I, f). As $y_i \neq c$ for all $i \geq 0$ and $y \in \mathcal{E}$, we may check whether y is an endpoint using Theorem 3.4.

THEOREM 3.5. Let f be an infinitely renormalizable logistic map and let $x \in \mathcal{E}$ be such that $x_i \neq c$ for all $i \geq 0$. Then $\tau_R(x) = \infty$ or $\tau_L(x) = \infty$.

Proof. As f is an infinitely renormalizable logistic map, it follows that $f \in \mathcal{A}$. Since $x \in \mathcal{E}$ with $x_i \neq c$ for all $i \geq 0$, we have $x_0 \in \omega(c)$ and $x_0 \neq c_j$ for all $j \geq 0$. Let $\mathcal{H} = \{\mathcal{P}_n\}_{n\geq 1}$. Suppose $x_0 \in P_{n,j}$ for some

 $n, j \in \mathbb{N}$. Then there exist m > n and k > j such that $x_0 \in P_{m,k}$ (else $x_0 \in P_{N,j}$ for all $N \ge n$, implying $x_0 = c_j$, a contradiction). As the collection $\{[P_{n,i}]\}_{i=0}^{|\mathcal{P}_n|-1}$ of convex hulls is pairwise disjoint for each $n \in \mathbb{N}$, all points $y \in \mathcal{E}$ with $y_0 \in [P_{m,k}]$ have backward itineraries with $\mathcal{I}_{k-1}(y)\mathcal{I}_{k-2}(y)\cdots\mathcal{I}_1(y) = e_1e_2\cdots e_{k-1}$. Note that $m, k \in \mathbb{N}$ may be chosen arbitrarily large such that $x_0 \in P_{m,k}$; hence at least one of $\tau_R(x)$ or $\tau_L(x)$ is infinite.

COROLLARY 3.6. Let f be an infinitely renormalizable logistic map. Then \mathcal{E} is precisely the collection of endpoints of (I, f).

The following definitions and basic results are used in Section 4.

DEFINITION 3.7. Given a unimodal map f and $j \in \mathbb{N}$, we define V_j to be the maximal open interval containing c_1 for which f^j is monotone on V_j . For $x \in (I, f)$ set $A_x = \{k \mid x_k \in V_k\}$.

Clearly $V_j \subseteq V_k$ for all $j \geq k$. For $x \in (I, f)$, if $x_j \in V_j$, then the itineraries of x_j and c_1 agree for at least j-1 iterates under f; thus x_1 and c_j must lie on the same side of c. If $x_j \in V_{j-1} \setminus V_j$, then x_1 and c_j lie on opposite sides of c. In both of these cases, as $x_j \in V_{j-1}$, it follows that x_{j-i} and c_{i+1} lie on the same side of c for $i = 0, \ldots, j-2$.

PROPOSITION 3.8. Let f be a unimodal map and $x \in \mathcal{E}$ be such that $x_i \neq c$ for all $i \geq 0$. Then $\tau_R(x) < \infty$ and $\tau_L(x) < \infty$ if and only if $|A_x| < \infty$.

Proof. Assume $|A_x| < \infty$. Then there exists a maximal k such that $x_k \in V_k$, and thus for all j > k there exists $0 \le m_j \le j - 1$ such that c_{m_j+1} and x_{j-m_j} lie on opposite sides of c. Hence $\tau_R(x) < \infty$ and $\tau_L(x) < \infty$.

Now assume $|A_x| = \infty$. Then there exist infinitely many k such that $x_k \in V_k$ and $\mathcal{I}_k(x)\mathcal{I}_{k-1}(x)\cdots\mathcal{I}_1(x) = e_1e_2\cdots e_k$. Hence either $\tau_R(x) = \infty$ or $\tau_L(x) = \infty$.

COROLLARY 3.9. Let $f \in \mathcal{A}$ and $x \in \mathcal{E}$ be such that $x_i \neq c$ for all $i \geq 0$. Then x is an endpoint of (I, f) if and only if $|A_x| = \infty$.

4. Non-endpoints and strange adding machines. In this section we use the SAM scheme provided in [4] to prove the following theorem.

THEOREM 4.1. For each symmetric tent map g constructed from an SAM scheme as in [4] with $g|_{\omega(c)}$ topologically conjugate to an adding machine, the set of endpoints of (I,g) is a proper subset of \mathcal{E} .

We next provide an outline for the construction of a symmetric tent map g obtained by an SAM scheme [4], define a specific nested hierarchy of partitions that exists for the strange adding machine embedded in g, and then use this hierarchy to locate non-endpoints lying in \mathcal{E} . We recall the following definitions and results from [4].

DEFINITION 4.2 ([4, Definition 2.1]). Given a symmetric tent map f, an SAM scheme for f of length s + t + 1 is a collection C_1 of disjoint, closed subintervals of [0, 1],

$$\mathcal{C}_1 = \{L_2, L_1, R_1, R_2, A_1, \dots, A_s, B_1, \dots, B_s, Y_1, \dots, Y_t\}$$

such that each of the following holds:

- $L_2 < L_1 < \{c\} < R_1 < R_2$ (i.e., L_2 lies to the left of L_1).
- For each $i = 1, \ldots, s$, $A_i \cap [L_2 \cup R_2] = \emptyset$ and $B_i \cap [L_2 \cup R_2] = \emptyset$. Also, for each $i = 1, \ldots, t, Y_i \cap [L_2 \cup R_2] = \emptyset$.
- $f(L_1) = f(R_1) = A_1$ and $f(L_2) = f(R_2) = B_1$.
- For each i = 1, ..., s 1, $f(A_i) = A_{i+1}$ and $f(B_i) = B_{i+1}$.
- $f(A_s) = f(B_s) = Y_1$.
- For each $i = 1, \ldots, t 1, f(Y_i) = Y_{i+1}$.
- The set $A_s \cup B_s$ straddles c, but for each $i = 1, \ldots, s-1$ the set $A_i \cup B_i$ does not straddle c.
- $f(Y_t) = [L_2 \cup R_2].$

DEFINITION 4.3 ([4, Definition 2.2]). Let f be a symmetric tent map and suppose

$$\mathcal{C}_1 = \{L_2^1, L_1^1, R_1^1, R_2^1, A_1^1, \dots, A_{s_1}^1, B_1^1, \dots, B_{s_1}^1, Y_1^1, \dots, Y_{t_1}^1\}$$

and

$$\mathcal{C}_2 = \{L_2^2, L_1^2, R_1^2, R_2^2, A_1^2, \dots, A_{s_2}^2, B_1^2, \dots, B_{s_2}^2, Y_1^2, \dots, Y_{t_2}^2\}$$

are SAM schemes for f. Then C_2 is a refinement of C_1 provided the following hold.

- Each interval in the collection C_2 is a subset of an interval in the collection \mathcal{C}_1 .
- $L_2^2 \subset L_1^1$, $L_1^2 \subset L_1^1$, $R_1^2 \subset R_1^1$, and $R_2^2 \subset R_1^1$. For each $i = 1, \ldots, s_2 1$, $A_i^2 \cup B_i^2$ is contained in one of the intervals in the collection C_1 .
- One of the two intervals $A_{s_2}^2$, $B_{s_2}^2$ is contained in L_2^1 and the other interval is contained in R_2^1 .

We now begin with an arbitrary symmetric tent map f with slope greater than $\sqrt{2}$ and let $\alpha = \langle p_1, p_2, \ldots \rangle$ be a sequence of integers with $p_i \geq 2$ for all i. As is shown in [4], we may let \mathcal{C}_1 be an SAM scheme for f of length $p_1 \cdots p_d$ for some $d \in \mathbb{N}$. Again as in [4], we may obtain a sequence $\{\mathcal{C}_n\}_{n \geq 1}$ of refinements such that C_2 has length $p_1 \cdots p_{d+1}$, C_3 has length $p_1 \cdots p_{d+2}$, etc. For each $n \in \mathbb{N}$, denote

$$\mathcal{C}_n = \{L_2^n, L_1^n, R_1^n, R_2^n, A_1^n, \dots, A_{s_n}^n, B_1^n, \dots, B_{s_n}^n, Y_1^n, \dots, Y_{t_n}^n\}.$$

By the proof of [4, Lemma 2.5], the refinement \mathcal{C}_{n+1} of \mathcal{C}_n is chosen such that $A_1^{n+1} \subset A_1^n$, $B_1^{n+1} \subset A_1^n$, and if we set $S = MB_1^n \cdots B_{s_n}^n Y_1^n \cdots Y_{t_n}^n$

where $M \in \{L_2^n, R_2^n\}$, then A_1^{n+1} and B_1^{n+1} both "permute through" (by the map f) the elements of C_n in the following order:

(4.1)
$$A_1^n \cdots A_{s_n}^n Y_1^n \cdots Y_{t_n}^n \underbrace{S \cdots S}_{p_{d'+1}-2 \text{ times}} (L_2^n \cup R_2^n) B_1^n \cdots B_{s_n}^n Y_1^n \cdots Y_{t_n}^n$$

Here $p_1 \cdots p_{d'} = s_n + t_n + 1$ is the length of \mathcal{C}_n and $p_1 \cdots p_{d'+1} = s_{n+1} + t_{n+1} + 1$ is the length of \mathcal{C}_{n+1} . Note that $s_{n+1} = (p_{d'+1} - 1)(t_n + s_n + 1)$ and $t_{n+1} =$ $t_n + s_n$. The only disagreement in the "permutations" of A_1^{n+1} and B_1^{n+1} occurs in the s_{n+1} th position, denoted above by $L_2^n \cup R_2^n$, where one traces through L_2^n and the other traces through R_2^n . It follows that $Y_1^{n+1} \subset B_1^n$ and $Y_{s_n+1}^{n+1} \subset Y_1^n$.

As in [4], for $n \in \mathbb{N}$, set \mathbf{C}_n to be the collection of all $x \in [0, 1]$ such that the forward orbit of x under f lies in $\bigcup_{J \in \mathcal{C}_n} J$. Note that each point in the forward orbit of $x \in \mathbf{C}_n$ lies in exactly one element of \mathcal{C}_n . Let $\mathbf{C} = \bigcap_{n \ge 1} \mathbf{C}_n$.

REMARK 4.4. Given $n \in \mathbb{N}$ and an SAM scheme \mathcal{C}_n , set $M^n = [L_2^n \cup R_2^n]$ and use this set to replace the sets L_2^n, L_1^n, R_1^n , and R_2^n . This new collection of sets will be denoted

$$\mathcal{D}_n = \{ M^n, A_1^n, \dots, A_{s_n}^n, B_1^n, \dots, B_{s_n}^n, Y_1^n, \dots, Y_{t_n}^n \}.$$

Thus, for each $n \in \mathbb{N}$, f acts on the collection of points C in the following way.

- $f(M^n \cap \mathbf{C}) = (A_1^n \cup B_1^n) \cap \mathbf{C}.$
- For each $i = 1, ..., s_n 1$, $f((A_i^n \cup B_i^n) \cap \mathbf{C}) = (A_{i+1}^n \cup B_{i+1}^n) \cap \mathbf{C}$. $f((A_{s_n}^n \cup B_{s_n}^n) \cap \mathbf{C}) = Y_1^n \cap \mathbf{C}$.
- For each $i = 1, ..., t_n 1, f(Y_i^n \cap \mathbf{C}) = Y_{i+1}^n \cap \mathbf{C}.$
- $f(Y_{t_n}^n \cap \mathbf{C}) = M^n \cap \mathbf{C}.$

As in [4] we identify an interval J, a natural projection $\varphi : [0,1] \to J$, and a unimodal map $q: J \to J$ such that $q(\varphi(x)) = \varphi(f(x))$ for all $x \in \mathbb{C}$. As $g: J \to J$ is conjugate to a symmetric tent map, we thus consider g to be a symmetric tent map with turning point c. Note that the map φ preserves order; that is, if A < B with both A, B in \mathcal{D}_n for some n, then $\varphi(A) < \varphi(B)$ where both $\varphi(A)$ and $\varphi(B)$ are intervals in J. By the construction of $g, \varphi(\mathbf{C}) = \omega(c,g)$ and $g|_{\omega(c)}$ is topologically conjugate to $g_{\alpha}: \Delta_{\alpha} \to \Delta_{\alpha} \text{ (see [4]).}$

We now use the SAM scheme, φ , and g from above to obtain a nested hierarchy $\{\mathcal{P}_n^{\varphi}\}_{n\geq 1}$ of partitions of $\omega(c,g)$ such that for each $n \in \mathbb{N}$, the $s_n + t_n + 1$ elements of \mathcal{P}_n^{φ} are labeled as follows:

- $P_{n,0} = \varphi(M^n \cap \mathbf{C}).$
- $P_{n,i} = \varphi((A_i^n \cup B_i^n) \cap \mathbf{C})$ for $i = 1, \dots, s_n$.
- $P_{n,i} = \varphi(Y_{i-s_n}^n \cap \mathbf{C})$ for $i = s_n + 1, \dots, s_n + t_n$.

PROPOSITION 4.5. The hierarchy of partitions $\{\mathcal{P}_n^{\varphi}\}_{n\geq 1}$ satisfies the three conditions of Theorem 2.1.

Proof. Let g be as above. As $g(\varphi(x)) = \varphi(f(x))$ for all $x \in \mathbb{C}$, by Remark 4.4 we have the following for each $n \in \mathbb{N}$:

- $g(P_{n,0}) = g(\varphi(M^n \cap \mathbf{C})) = \varphi(f(M^n \cap \mathbf{C})) = \varphi((A_1^n \cup B_1^n) \cap \mathbf{C}) = P_{n,1}.$
- For each $i = 1, \ldots, s_n 1$, $g(P_{n,i}) = g(\varphi((A_i^n \cup B_i^n) \cap \mathbf{C}))$ = $\varphi(f((A_i^n \cup B_i^n) \cap \mathbf{C})) = \varphi((A_{i+1}^n \cup B_{i+1}^n) \cap \mathbf{C}) = P_{n,i+1}.$
- $g(P_{n,s_n}) = g(\varphi((A_{s_n}^n \cup B_{s_n}^n) \cap \mathbf{C})) = \varphi(f((A_{s_n}^n \cup B_{s_n}^n) \cap \mathbf{C})) = \varphi(Y_1^n \cap \mathbf{C}) = P_{n,s_n+1}.$
- For each $i = 1, \dots, t_n 1, g(P_{n,s_n+i}) = g(\varphi(Y_i^n \cap \mathbf{C})) = \varphi(f(Y_i^n \cap \mathbf{C})) = \varphi(Y_{i+1}^n \cap \mathbf{C}) = P_{n,s_n+i+1}.$

•
$$g(P_{n,s_n+t_n}) = g(\varphi(Y_{t_n}^n \cap \mathbf{C})) = \varphi(f(Y_{t_n}^n \cap \mathbf{C})) = \varphi(M^n \cap \mathbf{C}) = P_{n,0}.$$

As the intervals in \mathcal{D}_n are pairwise disjoint and φ preserves order on \mathcal{D}_n , it follows that the elements of \mathcal{P}_n^{φ} are pairwise disjoint. By the construction of **C** each element of \mathcal{P}_n^{φ} is nonempty and clopen. It is shown in the proof of [4, Theorem 3.1] that mesh $(\mathcal{P}_n^{\varphi}) \to 0$.

Since φ is the natural projection and \mathcal{D}_{n+1} refines \mathcal{D}_n for each $n \in \mathbb{N}$, it follows that $\mathcal{P}_{n+1}^{\varphi}$ refines \mathcal{P}_n^{φ} .

Given a symmetric tent map f and a sequence $\alpha = \langle p_1, p_2, \ldots \rangle$ of integers with each $p_i \geq 2$, we have produced a symmetric tent map g such that $g|_{\omega(c)}$ is topologically conjugate to the adding machine $g_{\alpha} : \Delta_{\alpha} \to \Delta_{\alpha}$. We also precisely described a nested hierarchy $\{\mathcal{P}_n^{\varphi}\}_{n\geq 1}$ of partitions that identify the adding machine embedded in g. Further, the construction in [4, Theorem 3.1] allows g to be taken arbitrarily close to f.

We next establish that the set of endpoints of (I, g) is a proper subset of \mathcal{E} . First a few observations. Fix $n \in \mathbb{N}$. Then $P_{n+1,s_{n+1}+s_n+1} \subseteq P_{n,s_n+1}$. By construction $c_{s_n} \in \varphi(A_{s_n}^n \cap \mathbf{C})$ and the only element of \mathcal{P}_n^{φ} straddling c is P_{n,s_n} . Thus there exists an $x_1 \in P_{n,s_n}$ such that $\langle x_1; c_{s_n} \rangle \ni c$; namely, $x_1 \in \varphi(B_{s_n}^n \cap \mathbf{C})$ and if $x \in \mathcal{E}$ is such that $\pi_1(x) = x_1$, then $x_{s_n} \in V_{s_n-1} \setminus V_{s_n}$.

PROPOSITION 4.6. Let g be the symmetric tent map constructed above and $\{\mathcal{P}_n^{\varphi}\}_{n\geq 1}$ be the hierarchy of partitions of $\omega(c,g)$. Then there exists $x_0 \in \omega(c,g)$ such that for all $n \in \mathbb{N}$, $x_0 \in P_{n,s_n+s_{n-1}+\dots+s_1+1}$, and there exists a unique point $x \in \mathcal{E}$ with $\pi_0(x) = x_0$. Moreover, $x_i \neq c$ for all $i \geq 0$ and $|A_x| < \infty$.

Proof. Recall that for each $n \in \mathbb{N}$, $P_{n,s_n+1} \supseteq P_{n+1,s_{n+1}+s_n+1}$. Further, by the construction of the partition \mathcal{C}_{n+1} from \mathcal{C}_n , if \mathcal{C}_n has length $p_1 \cdots p_{d'}$ and \mathcal{C}_{n+1} has length $p_1 \cdots p_{d'+1}$, then $s_{n+1} = (p_{d'+1} - 1)(s_n + t_n + 1)$. It thus follows, for each $n \in \mathbb{N}$, that $P_{n,s_n+1} \supseteq P_{n+1,s_{n+1}+s_n+1} \supseteq P_{n+2,s_{n+2}+s_{n+1}+s_n+1}$.

We can extend this to obtain an infinite sequence of nested clopen sets

$$P_{1,s_1+1} \supseteq P_{2,s_2+s_1+1} \supseteq P_{3,s_3+s_2+s_1+1} \supseteq \cdots,$$

and as each set is nonempty, their intersection is nonempty. Thus there exists a point $x_0 \in \varphi(\mathbf{C}) = \omega(c,g)$ such that $x_0 \in P_{n,s_n+s_{n-1}+\dots+s_1+1}$ for all n, and hence $x_0 \neq c_i$ for all $i \geq 0$. As $g|_{\omega(c)}$ has a well-defined inverse (see Section 2), we may uniquely choose $x \in \mathcal{E}$ with $\pi_0(x) = x_0$ and $x_i \neq c$ for all $i \geq 0$.

For each $n \in \mathbb{N}$, if $x_0 \in P_{n,s_n+s_{n-1}+\dots+s_1+1}$ then $x_1 \in P_{n+1,s_{n+1}+s_n+\dots+s_1}$. As $P_{n+1,s_{n+1}+s_n+\dots+s_1} = \varphi(Y_{s_n+\dots+s_1}^{n+1} \cap \mathbb{C})$ and $Y_{s_n}^{n+1} \subset B_{s_n}^n$, we see that $x_{s_n+\dots+s_1} \in V_{s_n-1} \setminus V_{s_n}$ for all n. Hence $x_{s_1} \notin V_{s_1}$ and $s_1 \notin A_x$. For each $n > s_1, n \in A_x$ only if $x_n \in P_{1,1}$; the only coordinates of x lying in $P_{1,1}$ are of the form $x_{k \cdot (s_1+t_1+1)+s_1}$, where $k \in \mathbb{N}$. Thus it suffices to check only these coordinates to determine which values of n are contained in the set A_x . By (4.1), $x_{k \cdot (s_1+t_1+1)+s_1} \in V_{s_1-1} \setminus V_{s_1}$ for all $k = 0, 1, \dots, p_{d'+1} - 2$, where $(p_{d'+1} - 1)(s_1 + t_1 + 1) = s_2$. Further, we have $x_{s_2+s_1} \in V_{s_2-1} \setminus V_{s_2}$. Thus $n \notin A_x$ for each $s_1 \leq n \leq s_2 + s_1$. Similarly, for each $n > s_2 + s_1$, $n \in A_x$ only if $x_n \in P_{2,1}$. The only coordinates of x lying in $P_{2,1}$ are of the form $x_{k \cdot (s_2+t_2+1)+s_2+s_1}$, where $k \in \mathbb{N}$. As above, it suffices to check only these coordinates when determining the values of n lying in A_x ; we conclude that $n \notin A_x$ for all $s_2 + s_1 \leq n \leq s_3 + s_2 + s_1$. Recursively we obtain $|A_x| < \infty$.

Proof of Theorem 4.1. Given the symmetric tent map g constructed above and the nested hierarchy $\{\mathcal{P}_n^{\varphi}\}_{n\geq 1}$ of partitions of $\omega(c,g)$, there exists a point $x_0 \in \omega(c,g)$ such that for all $n \in \mathbb{N}$, $x_0 \in P_{n,s_n+s_{n-1}+\dots+s_1+1}$. By Proposition 4.6 and Corollary 3.9, the point $x = (x_0, x_1, \ldots) \in \mathcal{E}$ is not an endpoint of (I,g). By Lemma 3.2, the set of endpoints of (I,g) is thus a proper subset of \mathcal{E} .

As f was an arbitrary symmetric tent map with slope greater than $\sqrt{2}$ and g can be constructed arbitrarily close to f, it follows that the set of parameters for which $g|_{\omega(c)}$ is topologically conjugate to an adding machine with the set of endpoints of (I,g) a proper subset of \mathcal{E} , is dense in $[\sqrt{2}, 2]$. Standard techniques then show that the set of parameters for which this is true is dense in [1, 2].

We close with the following observation.

PROPOSITION 4.7. Let g be a symmetric tent map constructed from an SAM scheme as in [4] such that $g|_{\omega(c)}$ is topologically conjugate to an adding machine. Then neither the set of endpoints of (I, g) nor its complement in \mathcal{E} is closed.

Proof. Let $x = (x_0, x_1, x_2, \ldots) \in \mathcal{E}$ be a non-endpoint of (I, g) and $y = (y_0, y_1, y_2, \ldots) \in \mathcal{E}$ be an endpoint of (I, g). Thus $x_0, y_0 \in \omega(c)$ and $\omega(y_0) =$

 $\omega(c)$. Hence there exists an increasing sequence $\{k_i\}$ of positive integers such that $g^{k_i}(y_0) \to x_0$. Thus $\hat{g}^{k_i}(y) \to x$, and as $\hat{g}^{k_i}(y)$ is an endpoint of (I,g) for all $i \in \mathbb{N}$, it follows that the set of endpoints of (I,g) is not closed. A similar argument will show the complement of the endpoints in \mathcal{E} is not closed.

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