## The union of two D-spaces need not be D

by

## Dániel T. Soukup and Paul J. Szeptycki (Toronto)

**Abstract.** We construct from  $\Diamond$  a  $T_2$  example of a hereditarily Lindelöf space X that is not a D-space but is the union of two subspaces both of which are D-spaces. This answers a question of Arhangel'skii.

A  $T_1$  space X is said to be a D-space if for each open neighborhood assignment  $\{U_x : x \in X\}$  there is a closed and discrete subset  $D \subseteq X$  such that  $\{U_x : x \in D\}$  covers the space. The notion is due to van Douwen and was first studied in [2]. The main open question regarding D-spaces is whether every regular Lindelöf space is a D-space. Recently in [4] the construction of a consistent  $T_2$  counterexample to the van Douwen question was presented. In the present note we use the same technique to construct an example of a  $T_2$  space that is not a D-space but is the union of two subspaces that are both D-spaces. This answers a question of Arhangel'skii from [1].

A topology on  $\omega_1$  is defined by constructing a sequence  $\mathcal{U} = \{U_\alpha : \alpha < \omega_1\}$  of subsets of  $\omega_1$  such that  $\alpha \in U_\alpha$ . The example will be obtained by taking the family  $\mathcal{U} \cup \{\omega_1 \setminus H : H \in [\omega_1]^{<\omega}\}$  as a subbasis. Then sets of the form  $U_F \setminus H$ , where  $F, H \subseteq \omega_1$  are finite and  $U_F = \bigcap_{\alpha \in F} U_\alpha$ , form a basis for the topology. Any such topology is  $T_1$  and there is a natural way to make it  $T_2$  by identifying  $\omega_1$  in an appropriate way with some other  $T_2$  space and taking the common refinement of the two topologies.

We also partition  $\omega_1$  into a union of two stationary sets  $S_0 \cup S_1$ . We will construct the  $U_{\alpha}$ 's in such a way that  $\alpha \in U_{\alpha}$  is the neighborhood assignment witnessing the space is not D but both subspaces  $S_0$  and  $S_1$  are D-spaces. Whether the union of two D-spaces is always a D-space was asked in [1].

The following lemma shows how the subspaces will be made to be D.

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LEMMA 1. Suppose that  $\tau$  is a topology on  $\omega_1$  obtained by taking a family  $\{U_{\alpha}: \alpha \in \omega_1\} \cup \{\omega_1 \setminus F: F \in [\omega_1]^{<\omega}\}$  as a subbasis. Suppose that  $S \subseteq \omega_1$  is an uncountable subspace. Suppose also that for any uncountable  $T \subseteq S$  and any neighborhood assignment  $\{V_{\alpha}: \alpha \in T\}$  such that each  $V_{\alpha} = U_{F_{\alpha}}$  and the family  $\{F_{\alpha}: \alpha \in T\}$  is pairwise disjoint, there is a  $D \subseteq T$  countable and closed discrete in S such that  $\{U_{F_{\alpha}}: \alpha \in D\}$  covers a tail of S. Then the subspace S is hereditarily a D-space.

*Proof.* Fix an arbitrary neighborhood assignment  $\mathcal{V} = \{V_{\alpha} : \alpha \in S'\}$  with  $S' \subseteq S$ . Without loss of generality we may assume  $V_{\alpha} = U_{F_{\alpha}} \setminus G_{\alpha}$  for some finite  $F_{\alpha}$  and  $G_{\alpha}$ . Let M be a countable elementary submodel of some  $H(\kappa)$  for  $\kappa$  sufficiently large so that

$$\{V_{\alpha}, F_{\alpha}, G_{\alpha} : \alpha \in S'\} \in M.$$

Enumerate as  $\{d_n : n \in \omega\}$  the finite subsets of  $S' \cap M$ . Also enumerate  $S' \cap M = \{\beta_n : n \in \omega\}$ . We define a sequence  $\{E_n : n \in \omega\}$  as follows. First consider  $d_0$ .

If there is a  $\gamma \in S'$  such that  $F_{\gamma} = d_0$  by elementarity we may fix  $\gamma_0 \in S' \cap M$  such that  $F_{\gamma_0} = d_0$ .

If there is an uncountable  $T_0$  such that  $\{F_\alpha : \alpha \in T_0\}$  is an uncountable  $\Delta$ -system with root  $d_0$ , fix such a  $T_0$  and consider the family  $\{U_{F_\alpha \setminus d_0} : \alpha \in T_0\}$ . By assumption there is a  $D_0 \subseteq T_0$  countable and closed discrete in S such that  $\{U_{F_\alpha \setminus d_0} : \alpha \in D_0\}$  covers a tail of S. By elementarity we may assume that  $D_0 \in M$  and that

$$S \setminus M \subseteq \bigcup_{\alpha \in D_0} U_{F_\alpha \setminus d_0}.$$

If there is no such  $T_0$  just let  $D_0 = \emptyset$ .

Finally let  $k_0$  be minimal such that  $\beta_{k_0} \notin \bigcup \{U_{F_\alpha} \setminus G_\alpha : \alpha \in D_0 \cup \{\gamma_0\}\}$ . Now let  $E_0 = \{\gamma_0\} \cup D_0 \cup \{\beta_{k_0}\}$ .

Suppose n > 0 and we have constructed  $E_0 \subseteq \cdots \subseteq E_{n-1}$  and  $E_i \in M$  are countable and closed and discrete in S for each i < n. Let

$$S_n = S' \setminus \bigcup_{\alpha \in E_{n-1}} (U_{F_{\alpha}} \setminus G_{\alpha}),$$

and consider  $d_n$ .

If there is a  $\gamma \in S_n$  such that  $F_{\beta} = d_n$  then by elementarity we may fix  $\gamma_n \in S_n \cap M$  with  $F_{\gamma_n} = d_n$ .

If there is an uncountable  $T_n \subseteq S_n$  such that  $\{F_\alpha : \alpha \in T_n\}$  is a  $\Delta$ -system with root  $d_n$ , fix such a  $T_n$ . Proceed now as above, finding a countable subset  $D_n \in M$  of  $T_n$  closed discrete in S such that  $\{U_{F_\alpha \setminus d_n} : \alpha \in D_n\}$  covers  $S \setminus M$ . If there is no such uncountable  $T_n$  just let  $D_n = \emptyset$ . Moreover let  $k_n$ 

be minimal such that

$$\beta_{k_n} \not\in \bigcup \{U_{F_\alpha} \setminus G_\alpha : \alpha \in E_{n-1} \cup D_n \cup \{\gamma_n\}\}.$$

Finally let  $E_n = E_{n-1} \cup D_n \cup \{\gamma_n, \beta_{k_n}\}.$ 

Now, let

$$D = \bigcup_{n \in \omega} E_n.$$

CLAIM 2.  $S' \subseteq \bigcup \{U_{F_{\alpha}} \setminus G_{\alpha} : \alpha \in D\}.$ 

*Proof.* Clearly by choice of the  $\beta_{k_n}$  it must be the case that  $S' \cap M$  is covered. So fix  $\gamma \in S' \setminus M$ .

First consider the possibility that  $F_{\gamma} \subseteq M$ . If so, then by elementarity, there is a  $\beta \in S' \cap M$  such that  $F_{\beta} = F_{\gamma}$ . Fix n such that  $d_n = F_{\gamma}$  and consider stage n of the construction. If  $\gamma \not\in \bigcup_{\alpha \in E_{n-1}} U_{F_{\alpha}} \setminus G_{\alpha}$ , then at this stage we fixed  $\gamma_n$  with  $F_{\gamma_n} = d_n$  and we put  $\gamma_n \in E_n \subseteq D$ . Then since  $\gamma \in U_{F_{\gamma}}$  it follows that  $\gamma \in U_{F_{\gamma_n}}$ . And since  $\gamma_n \in M$  it follows that  $G_{\gamma_n} \subseteq M$ . Therefore  $\gamma \in U_{F_{\gamma_n}} \setminus G_{\gamma_n}$  as required since  $\gamma_n \in D$ .

Next, consider the possibility that  $F_{\gamma} \setminus M \neq \emptyset$ . Then there is an n such that  $d_n = F_{\gamma} \cap M$ . By the elementary submodel proof of the  $\Delta$ -system lemma (see [3] or for an explicit proof see [4]) it follows that there is an uncountable  $\Delta$ -system of the form  $\{F_{\alpha} : \alpha \in T_n\}$  with root  $d_n$  where  $T_n \subseteq S_n$ . By choice of  $D_n$  we may fix  $\alpha \in D_n$  such that  $\gamma \in U_{F_{\alpha} \setminus d_n}$ . And since  $\gamma \in U_{d_n}$  it follows that  $\gamma \in U_{F_{\alpha}}$ . Finally since  $\alpha \in M$  it follows that  $G_{\alpha} \subseteq M$  so  $\gamma \in U_{F_{\alpha}} \setminus G_{\alpha}$  as required since  $\alpha \in D_n \subseteq D$ .

Claim 3. D is closed discrete in S'.

*Proof.* This follows directly from the following observation: Suppose that X is a space,  $\{V_x : x \in X\}$  a neighborhood assignment and  $\{B_n : n \in \omega\}$  a family of closed discrete subsets such that

- 1.  $X = \bigcup \{V_x : x \in \bigcup_{k < \omega} B_k\}$ , and
- 2.  $B_n \subseteq X \setminus \bigcup \{V_x : x \in \bigcup_{k < n} B_k\}.$

Then  $\bigcup_n B_n$  is closed discrete.

This completes the proof of Lemma 1.  $\blacksquare$ 

REMARK. If the family of sets  $\{U_{\alpha} : \alpha \in \omega_1\}$  generates a Hausdorff topology, then the lemma still applies and the proof is in fact simplified since the extra parameter of the complement of the finite sets can be removed.

Now let us proceed with the construction of the example. The topology will be a common refinement of the topology generated by a sequence of subsets  $U_{\alpha} \subseteq \omega_1$  and by identifying  $\omega_1$  with a subset of  $[\mathbb{R}]^{<\omega}$  and using Euclidean open subsets to define a topology. In particular:

DEFINITION 4. Define a topology on  $[\mathbb{R}]^{<\omega}$  as follows. Let  $Q \subseteq \mathbb{R}$  be a Euclidean open set and let  $Q^* = \{H \in [\mathbb{R}]^{<\omega} : H \subseteq Q\}$ . Sets of the form  $Q^*$  define a topology  $\rho$  on  $[\mathbb{R}]^{<\omega}$ .

The proof of the following claim is straightforward.

Claim 5.

- 1.  $([\mathbb{R}]^{<\omega}, \rho)$  is of countable weight.
- 2. Any family  $\mathcal{X} \subseteq [\mathbb{R}]^{<\omega}$  of pairwise disjoint nonempty sets forms a Hausdorff subspace of  $([\mathbb{R}]^{<\omega}, \rho)$ .

Let us fix a countable base W for  $([\mathbb{R}]^{<\omega}, \rho)$ .

To proceed with the rest of the construction we assume  $\Diamond$  and fix two sequences:

- $\{C_{\alpha} : \alpha \in \omega_1\}$ , an enumeration of  $[\omega_1]^{\omega}$  such that  $C_{\alpha} \subseteq \alpha$  for each  $\alpha$ ;
- $\{a_{\alpha} : \alpha \in \omega_1\}$ , a special  $\diamondsuit$  sequence that captures functions on  $S_0$  stationarily often on  $S_1$  and vice versa in the following sense:
  - (a) for each uncountable partial function  $f: S_0 \to [\omega_1]^{<\omega}$  the set of  $\alpha \in S_1$  such that  $f \upharpoonright (\operatorname{dom}(f) \cap \alpha) = a_{\alpha}$  is stationary, and
  - (b) for each uncountable partial function  $f: S_1 \to [\omega_1]^{<\omega}$  the set of  $\alpha \in S_0$  such that  $f \upharpoonright (\text{dom}(f) \cap \alpha) = a_{\alpha}$  is stationary.

The existence of such a partition of  $\omega_1$  and corresponding  $\diamondsuit$  sequence is a consequence of  $\diamondsuit$ . Indeed, if  $\{a_{\alpha} : \alpha \in \omega_1\}$  is a  $\diamondsuit$  sequence, then  $S_0 = \{\alpha : 0 \in a_{\alpha}\}$  and  $S_1 = \{\alpha : 1 \in a_{\alpha}\}$  are both stationary, disjoint and  $\{a_{\alpha} \setminus \{i\} : \alpha \in S_i\}$  is a  $\diamondsuit_{S_i}$  sequence on  $\omega_1 \setminus \{i\}$  for each i < 2. Now, by putting together a  $\diamondsuit_{S_0}$  sequence and a  $\diamondsuit_{S_1}$  sequence one obtains the desired special  $\diamondsuit$  sequence (1).

We want to construct the sets  $U_{\alpha}$  so that a few things happen.

- (1) For every  $\alpha$ , if  $C_{\alpha}$  is closed discrete then  $\alpha \notin U_{\xi}$  for any  $\xi \in C_{\alpha}$ . (Since we will make sure that closed discrete sets are countable this ensures that X is not a D-space.)
- (2) For each i < 2 and each uncountable  $T \subseteq S_i$  and each function  $f: T \to [\omega_1]^{<\omega}$  such that the range is pairwise disjoint, there is an  $\alpha \in S_{1-i}$  such that  $f \upharpoonright (T \cap \alpha) = a_{\alpha}$  and there is a  $D_{\alpha} \subseteq T \cap \alpha$  that converges to  $\alpha$  such that  $\{U_{f(\beta)} : \beta \in D_{\alpha}\}$  covers  $S_i \setminus \alpha$ .

Note that if our space is constructed to be  $T_2$ , then (2) implies that D will be closed discrete in  $S_i$ , so if we can do (2) then by the previous lemma we will know that both  $S_0$  and  $S_1$  are D-spaces.

So suppose that we are at stage  $\alpha$  of the construction and we have constructed  $\{U_{\beta} \cap \alpha : \beta < \alpha\}$ . We need to decide whether or not to add  $\alpha$ 

<sup>(1)</sup> Thanks to Arnie Miller for pointing this out.

to  $U_{\beta}$  for each  $\beta < \alpha$ . Let  $\tau_{\alpha}$  be the topology on  $\alpha$  generated by the  $U_{\beta} \cap \alpha$ 's. Suppose, without loss of generality, that  $\alpha \in S_0$ . Let  $\{\beta_n : n \in \omega\}$  be the set of  $\beta \in S_1 \cap \alpha$  for which we have fixed a  $D_{\beta} \subseteq S_0 \cap \beta$  where  $D_{\beta}$  is closed discrete in  $S_0 \cap \alpha$  with respect to the subspace topology determined by  $\tau_{\alpha}$  and  $\{U_{a_{\beta}(\xi)} : \xi \in D_{\beta}\}$  is a cover of  $S_0 \cap (\beta, \alpha)$ . So we need to ensure that  $\alpha$  is covered by some set from  $\{U_{a_{\beta}(\xi)} : \xi \in D_{\beta}\}$ .

We also need to consider  $a_{\alpha}: S_1 \cap \alpha \to [\alpha]^{<\omega}$  coding a neighborhood assignment and find  $D_{\alpha} \subseteq S_1 \cap \alpha$  in conjunction with our choice for the neighborhoods for  $\alpha$  so that  $D_{\alpha}$  converges to  $\alpha$  and so that we will be able to ensure that  $\{U_{a_{\alpha}(\xi)}: \xi \in D_{\alpha}\}$  will cover a tail of the space. Recall that  $D_{\alpha}$  converging to  $\alpha$  ensures not only that  $D_{\alpha}$  will be closed discrete in  $S_1$  with respect to the subspace topology generated by  $\tau_{\alpha}$ , but that it will remain closed discrete regardless of how we extend the topology (as long as the final topology is  $T_2$ ). We begin by proving:

THEOREM 6. There exist  $\{U_{\gamma}^{\alpha}\}_{\gamma \leq \alpha}$  and  $\phi_{\alpha}: \alpha + 1 \to [\mathbb{R}]^{<\omega}$  for  $\alpha < \omega_1$  with the following properties:

- IH(1)  $U_{\gamma}^{\alpha} \subseteq \alpha + 1$  and  $U_{\alpha}^{\alpha} = \alpha + 1$  for every  $\gamma \leq \alpha < \omega_1$ , and the range of  $\phi_{\alpha}$  is pairwise disjoint for every  $\alpha < \omega_1$ .
- IH(2)  $U_{\gamma}^{\alpha} = U_{\gamma}^{\alpha_0} \cap (\alpha + 1)$  and  $\phi_{\alpha} = \phi_{\alpha_0} \upharpoonright (\alpha + 1)$  for all  $\gamma \leq \alpha \leq \alpha_0$ .

Let  $\tau_{\alpha}$  denote the topology generated by the sets

$$\{U_{\gamma}^{\alpha}: \gamma \leq \alpha\} \cup \{\phi_{\alpha}^{-1}(W): W \in \mathcal{W}\}$$

as a subbase. Let  $U_F^{\alpha} = \bigcap \{U_{\gamma}^{\alpha} : \gamma \in F\}$  for  $F \in [\alpha + 1]^{<\omega}$ .

- IH(3) If  $C_{\alpha}$  is  $\tau_{\alpha}$  closed discrete then  $\bigcup \{U_{\gamma}^{\alpha} : \gamma \in C_{\alpha}\} \neq \alpha + 1$ .
- IH(4) Let  $T_{\alpha} = \{\beta \leq \alpha : \text{there is a countable elementary submodel } M \prec H(\vartheta) \text{ for some sufficiently large } \vartheta \text{ such that (i)-(v) all hold}\}, where:$ 
  - (i)  $M \cap \omega_1 = \beta$ .
  - (ii)  $(a_{\eta}: \eta \in \omega_1), S_0, S_1, (C_{\eta}: \eta \in \omega_1) \in M$ .
  - (iii) There is a function  $\phi \in M$  such that  $\phi \upharpoonright \beta = \phi_{\beta} \upharpoonright \beta$ .
  - (iv) If  $\beta \in S_i$  then there is an uncountable  $f \in M$  coding a neighborhood assignment to an uncountable subset of  $S_{1-i}$  captured by our  $\diamondsuit$  sequence at  $\alpha$ . That is, f is such that  $\operatorname{dom}(f) \subseteq S_{1-i}$  and  $f : \operatorname{dom}(f) \to [\omega_1]^{<\omega}$  with  $f \upharpoonright (\operatorname{dom}(f) \cap \beta) = a_{\beta}$ . Furthermore,  $\xi \in U_{f(\xi)}$  for all  $\xi \in \operatorname{dom}(f)$ .
  - (v) There is a  $\{V_{\gamma}\}_{\gamma<\omega_1}\in M$  such that  $V_{\gamma}\cap\beta=U_{\gamma}^{\beta}\cap\beta$  for all  $\gamma<\beta$ .

Then for each i < 2 and each  $\beta \in T_{\alpha} \cap S_i$  there is a  $D_{\beta} \subseteq \text{dom}(a_{\beta})$  (independent of  $\alpha$ ) such that

- (a) if  $\beta \in T_{\alpha}$  then both  $D_{\beta}$  and  $\{a_{\beta}(\xi) : \xi \in D_{\beta}\}$  converge to  $\beta$  in  $\tau_{\alpha}$ (i.e., for each neighborhood V of  $\beta$ ,  $\{\xi \in D_{\beta} : \xi \notin V\}$  is finite and  $\{\xi \in D_{\beta} : a_{\beta}(\xi) \not\subseteq V\}$  is finite), and
- (b) if  $\beta \in T_{\alpha} \cap \alpha$  then for every  $V \in \tau_{\alpha}$  with  $\beta \in V$  the family

$$\{U_{a_{\beta}(\xi)}^{\alpha}: \xi \in D_{\beta}, a_{\beta}(\xi) \subseteq V\}$$

is an  $\omega$ -cover of  $(\beta, \alpha] \cap S_{1-i}$ .

Let us first show that the theorem implies that the resulting space is hereditarily Lindelöf, not a D-space, but each of the subspaces  $S_0$  and  $S_1$  is a D-space. It clearly follows from IH(1) and IH(2) that the resulting space is a refinement of a  $T_2$  topology, hence it is  $T_2$ .

To see why each subspace  $S_i$  is a D-space, without loss of generality, let us just consider  $S_0$ . By Lemma 1, it suffices to consider a neighborhood assignment of the form  $\{U_{f(\xi)}: \xi \in T\}$  where  $T \subseteq S_0$  is uncountable and  $f: T \to [\omega_1]^{<\omega}$  is such that the family  $\{f(\xi): \xi \in T\}$  is pairwise disjoint. And it suffices to find a subset of T closed discrete in  $S_0$  whose neighborhoods cover a tail of  $S_0$ . So fix such an f and fix a countable elementary submodel containing everything relevant including f and such that  $M \cap \omega_1 = \beta$  and  $f \upharpoonright (\operatorname{dom}(f) \cap \beta) = a_{\beta}$ . Therefore  $\beta \in T_{\alpha}$  for all  $\alpha \geq \beta$ . The set  $D_{\beta}$  given by the theorem converges to  $\beta$ , and since  $D_{\beta} \subseteq \text{dom}(f) \subseteq S_0$  and  $\beta \in S_1$ , it follows, as our topology is  $T_2$ , that  $D_{\beta}$  is closed discrete in  $S_0$ . Finally, note that IH(4)(b) implies that  $\{U_{f(\xi)}: \xi \in D_{\beta}\}\$  covers  $S_0 \setminus \beta$ , so by Lemma 1,  $S_0$  is a *D*-space.

Note that this shows that both  $S_0$  and  $S_1$  are hereditarily D-spaces, and indeed since the closed discrete sets witnessing D for neighborhood assignments are always countable, it follows that both  $S_0$  and  $S_1$  are hereditarily Lindelöf, so X is hereditarily Lindelöf.

Furthermore, closed discrete subsets of X are countable so IH(3) implies that X is itself not a D-space.

It remains to prove Theorem 6. We construct the sets  $\{U_{\beta}: \beta < \omega_1\}$  by constructing  $U^{\alpha}_{\beta}$  for all  $\beta < \alpha < \omega_1$  by recursion on  $\alpha$ . Suppose we are at some stage  $\alpha$  and  $\{U_{\beta}^{\gamma}: \beta < \gamma < \alpha\}$  has been constructed so that for  $\gamma < \alpha$ the inductive hypotheses have been preserved. Consider  $\alpha$  a limit ordinal. For each  $\beta < \alpha$ , let

 $\widetilde{U}^{\alpha}_{\beta} = \bigcup_{\beta < \gamma < \alpha} U^{\gamma}_{\beta}.$ 

And let  $\tau_{\alpha}$  be the topology generated on  $\alpha$  as described in the hypotheses of the theorem.

We let  $U_{\alpha}^{\alpha} = \alpha + 1$  and we need to decide for each  $\beta < \alpha$  whether

- $\begin{array}{l} \bullet \ \ U^{\alpha}_{\beta} = \widetilde{U}^{\alpha}_{\beta}, \, \mathrm{or} \\ \\ \bullet \ \ U^{\alpha}_{\beta} = \widetilde{U}^{\alpha}_{\beta} \cup \{\alpha\}. \end{array}$

Let  $T_{\alpha}$  be as in the inductive hypotheses. Assume that  $T_{\alpha} \cap \alpha \neq \emptyset$  (if it is empty, then the construction is simpler and we leave it to the reader to check this case). Enumerate as

$$\{(G_n, \beta_n) : n \in \omega\}$$

all pairs  $(G, \beta)$  where  $\beta \in T_{\alpha} \cap \alpha$  and  $G \in [\alpha \setminus \beta]^{<\omega}$ . For each  $\beta < \alpha$  let  $\{V_n(\beta) : n \in \omega\}$  be a decreasing local neighborhood base at  $\beta$  in the  $\tau_{\alpha}$  topology. Since each  $\beta < \alpha$  appears infinitely often in the enumeration  $\{\beta_n : n \in \omega\}$ , the family  $\{V_n(\beta_n) : \beta_n = \beta\}$  is a local neighborhood base at  $\beta$ . Also fix an enumeration  $\{\alpha_n : n \in \omega\}$  of  $\alpha$  and let  $\widetilde{\phi}$  denote the function  $\bigcup_{\beta < \alpha} \phi_{\beta}$ .

What we do at stage  $\alpha$  splits into cases.

Case 1:  $\alpha \in T_{\alpha}$  and  $C_{\alpha}$  is closed discrete in the  $\tau_{\alpha}$  topology on  $\alpha$ . Fix M witnessing this and fix  $f \in M$  such that  $f \upharpoonright (\operatorname{dom}(f) \cap M) = a_{\alpha}$ . Since the domain of f is uncountable, it includes an uncountable subset  $E \in M$  such that if we let  $g(\eta) = f(\eta) \cup \{\eta\}$  for all  $\eta \in \operatorname{dom}(f)$  then  $\{g(\eta) : \eta \in E\}$  is pairwise disjoint and

- $|g(\eta)| = m$  for all  $\eta \in E$  (for some fixed  $m \in \omega$ ), and
- for each  $\eta \in E$ , if  $g(\eta) = \{\xi(\eta, i) : i < m\}$  then  $|\widetilde{\phi}(\xi(\eta, i))| = k_i$  (for some fixed sequence  $(k_i)_{i \in m}$ ).

Let  $N = k_0 + \cdots + k_{m-1}$  and let  $H_{\xi}$  denote the N-element set  $\bigcup \{\widetilde{\phi}(\xi(\eta, i)) : i < m\}$ .

We now construct a sequence  $\{F_n: n \in \omega\}$  of finite sets as follows. Consider  $(G_0, \beta_0)$ . Since  $C_{\alpha}$  is closed discrete, let  $W_0 \subseteq V_0(\beta_0)$  be such that  $W_0 \cap C_{\alpha} \subseteq \{\beta_0\}$ . Consider now the set  $\{a_{\beta_0}(\xi) \subseteq W_0: \xi \in D_{\beta_0}\}$ . By our IH(4), we know this codes an  $\omega$ -cover of  $(\beta_0, \alpha)$ . And M knows this set is countable. Therefore there is a  $\xi_0 \in D_{\beta_0}$  such that

- $G_0 \subseteq \widetilde{U}^{\alpha}_{a_{\beta_0}(\xi_0)}$ , and
- $E' = \{ \eta \in E : g(\eta) \subseteq \widetilde{U}^{\alpha}_{a_{\beta_0}(\xi_0)} \}$  is uncountable.

Now we may fix an  $x \in [\mathbb{R}]^N$  which is a complete accumulation point of  $\{H_{\eta} : \eta \in E'\}$  and which is disjoint from  $\widetilde{\phi}(\alpha_0)$ . Finally fix a disjoint union  $Q_0$  of N rational intervals of measure < 1 containing and separating the points of x and disjoint from  $\widetilde{\phi}(\alpha_0)$  with  $Q_0^* \in \mathcal{W}$  and let

$$E_0 = \{ \eta \in E' : g(\eta) \subseteq \widetilde{\phi}^{-1}(Q_0^*) \}.$$

Since x was a complete accumulation point of  $\{H_{\eta} : \eta \in E'\}$ ,  $E_0$  is uncountable, and since  $Q_0 \in M$  it follows that  $E_0 \in M$ .

Let  $F_0 = a_{\beta_0}(\xi_0)$ . Note that  $G_0 \subseteq U_{F_0}$  and  $F_0 \cap C_\alpha = \emptyset$  since  $F_0 \subseteq W_0$ . And also  $\{\eta : a_\alpha(\eta) \cup \{\eta\} \subseteq \widetilde{U}_{F_0}^\alpha \cap \widetilde{\phi}^{-1}(Q_0^*)\} \supseteq E_0 \cap M$  so it is infinite. Proceeding in this fashion clearly shows that we can construct sequences  $(\xi_i)_{i<\omega}$ ,  $(E_i)_{i<\omega}$ ,  $(F_i)_{i<\omega}$  and  $(Q_i)_{i<\omega}$  so that for each  $i<\omega$ :

- $\xi_i \in D_{\beta_i}$  and  $F_i = a_{\beta_i}(\xi_i)$ .
- $G_i \subseteq U_{F_i}^{\alpha}$  and  $F_i \cap C_{\alpha} = \emptyset$ .
- $E_i \subseteq E_{i-1}$  is uncountable and  $E_i \in M$ .
- $Q_i$  is a disjoint union of N rational intervals of measure < 1/i and  $\overline{Q}_i \subseteq Q_{i-1}$  and  $\widetilde{\phi}(\alpha_i) \cap Q_i = \emptyset$ .
- $E_i \subseteq \{ \eta \in \text{dom}(f) : g(\eta) \subseteq \widetilde{\phi}^{-1}(Q_i^*) \cap \widetilde{U}_{F_i}^{\alpha} \}.$

Note that the intersection of the sets  $Q_i$  is an N-element subset  $x_{\alpha}$  of  $\mathbb{R}$  which is disjoint from  $\widetilde{\phi}(\beta)$  for each  $\beta < \alpha$ . So let  $\phi_{\alpha}$  extend  $\widetilde{\phi}$  by letting  $\phi_{\alpha}(\alpha) = x_{\alpha}$ . Then the range of  $\phi_{\alpha}$  is pairwise disjoint as required in IH(1).

Now choose  $\eta_i \in E_i$  for each i and let  $D_{\alpha} = \{\eta_i : i \in \omega\}$ .

For each  $\beta \in \bigcup_n F_n$  let  $U_{\beta}^{\alpha} = \widetilde{U}_{\beta}^{\alpha} \cup \{\alpha\}$ , and for  $\beta \in \alpha \setminus \bigcup_n F_n$  let  $U_{\beta}^{\alpha} = \widetilde{U}_{\beta}^{\alpha}$ .

This completes the recursive construction and we need to verify that the inductive hypotheses IH(1)–(4) are satisfied for  $\alpha$ . As noted above,  $\phi_{\alpha}$  satisfies the requirements of IH(2), and the rest of IH(1) and IH(2) follows from the construction. IH(3) is satisfied since each  $F_n \cap C_{\alpha}$  is empty, so  $\alpha \notin U_{\xi}^{\alpha}$  for all  $\xi \in C_{\alpha}$ . To see that IH(4)(i) holds for  $\alpha$ , note first that the following family is a local neighborhood base at  $\alpha$ :

$$\left\{\phi_{\alpha}^{-1}(Q_n^*)\cap\bigcap_{j< n}U_{F_j}^{\alpha}:n\in\omega\right\}.$$

Also note that by construction, for each n and for each  $i \geq n$  we have  $\eta_i \in E_n$  so that

$$\{\eta_i\} \cup a_{\alpha}(\eta_i) \subseteq \phi_{\alpha}^{-1}(Q_i^*) \subseteq \phi_{\alpha}^{-1}(Q_n^*),$$

and for all j < i we have  $E_i \subseteq E_j$  so for all  $j \le n < i$  we have  $\{\eta_i\} \cup a_{\alpha}(\eta_i) \subseteq U_{F_j}^{\alpha}$ . So  $\{a_{\alpha}(\eta_i) : i \in \omega\}$  and  $D_{\alpha}$  both converge to  $\alpha$  as required by IH(4)(i).

To verify IH(4)(ii), fix  $\beta \in T_{\alpha} \cap \alpha$  and fix a neighborhood V of  $\beta$  in the  $\tau_{\alpha}$  topology. Also, fix  $G \subseteq (\beta, \alpha]$  finite. Fix now n such that  $V_n(\beta) \subseteq V$  and so that  $(G_n, \beta_n) = (G \cap \alpha, \beta)$ . Then at this stage of the construction we fixed  $\xi_n \in D_{\beta_n} = D_{\beta}$  so that  $F_n = a_{\beta}(\xi_n) \subseteq V_n(\beta) \subseteq V$  and  $G \cap \alpha \subseteq U_{F_n}^{\alpha}$ . And  $\alpha \in U_{F_n}^{\alpha}$ , so  $G \subseteq U_{a_{\beta}(\xi)}^{\alpha}$  for some  $\xi \in D_{\beta}$  with  $a_{\beta}(\xi) \subseteq V$  as required.

Case 2:  $\alpha \notin T_{\alpha}$  or  $C_{\alpha}$  is not closed discrete. Then the construction is essentially the same but easier as we do not need to concern ourselves with whether the  $F_n$  are disjoint from  $C_{\alpha}$  (in the case  $C_{\alpha}$  is not closed discrete), nor do we need to construct the set  $D_{\alpha}$  in the domain of  $a_{\alpha}$  in the case where  $\alpha \notin T_{\alpha}$ .

REMARK. We do not know whether the space constructed is *dually discrete*. A space is dually discrete if for every neighborhood assignment, one can find a discrete subspace whose assigned neighborhoods cover the whole space. It was asked in [5] whether every Lindelöf space is dually discrete, and this question remains open.

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Dániel T. Soukup
Department of Mathematics
University of Toronto
Toronto, ON M5S 1A1 Canada
E-mail: daniel.soukup@mail.utoronto.ca

Paul J. Szeptycki Department of Mathematics and Statistics York University Toronto, ON M3J 1P3 Canada E-mail: szeptyck@yorku.ca

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